

Evaluating the nature and extent of changes to climate sensitivity between FGOALS-g2 and FGOALS-g3

He Wang¹, Lijuan Li², Xiaolong Chen³, and Bin Wang⁴

¹LASG,IAP Chines Academy of Sciences ²College of Earth Sciences, University of Chinese Academy of Sciences

²LASG,IAP Chines Academy of Sciences

³Institute of Atmospheric Physics

⁴LASG, Institute of Atmospheric Physics, Chinese Academy of Sciences

November 21, 2022

Abstract

Equilibrium climate sensitivity (ECS) and its related feedbacks are important metrics used to measure the global mean surface temperature change in future climate projections. This paper uses the radiative kernel approach and a simplified cloud feedback calculation (comparing three different cloud feedback methods) to analyze the differences in the ECS, as well as the feedbacks contributing to it, between two versions of the Flexible Global Ocean-Atmosphere-Land System model (i.e., FGOALS-g2 and FGOALS-g3). The results show that the ECS of FGOALS-g3 is smaller than that of FGOALS-g2 (2.8 K versus 3.3 K). The main feedbacks contributing to the ECS change in FGOALS-g3 are the weaker surface albedo feedback and stronger negative shortwave cloud feedback. The reduced surface albedo feedback in FGOALS-g3 is associated mainly with its mean base state, which has a lower surface air temperature and larger sea ice area compared with FGOALS-g2. The enhanced negative shortwave cloud feedback in FGOALS-g3 is caused mainly by the larger low-cloud area fraction and liquid water path. Furthermore, the ECS change can be traced back to the different cloud parameterization scheme, parameter tuning, ocean grid, and external forcings used in FGOALS-g3, as these all affect the mean climate state of the model.

1 **Evaluating the nature and extent of changes to climate sensitivity between FGOALS-**
2 **g2 and FGOALS-g3**

3

4 **He Wang ^{1,2}, Lijuan Li ¹, Xiaolong Chen ¹, Bin Wang ¹**

5

6 ¹ State Key Laboratory of Numerical Modeling for Atmospheric Sciences and Geophysical Fluid
7 Dynamics (LASG), Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences,
8 Beijing, China

9 ² College of Earth Sciences, University of Chinese Academy of Sciences, Beijing, China

10

11

12 Corresponding author: Lijuan Li (ljli@mail.iap.ac.cn)

13 **Key Points:**

- 14
- Three methods of different complexities for calculating cloud feedbacks are compared.
 - The equilibrium climate sensitivity of FGOALS-g3 is smaller than that of FGOALS-g2.
 - The equilibrium climate sensitivity decrease in FGOALS-g3 can be attributed mainly to its more cloud and weaker surface albedo feedback.
- 15
16
17
18

19 **Abstract**

20 Equilibrium climate sensitivity (ECS) and its related feedbacks are important metrics
21 used to measure the global mean surface temperature change in future climate projections. This
22 paper uses the radiative kernel approach and a simplified cloud feedback calculation (comparing
23 three different cloud feedback methods) to analyze the differences in the ECS, as well as the
24 feedbacks contributing to it, between two versions of the Flexible Global Ocean-Atmosphere-
25 Land System model (i.e., FGOALS-g2 and FGOALS-g3). The results show that the ECS of
26 FGOALS-g3 is smaller than that of FGOALS-g2 (2.8 K versus 3.3 K). The main feedbacks
27 contributing to the ECS change in FGOALS-g3 are the weaker surface albedo feedback and
28 stronger negative shortwave cloud feedback. The reduced surface albedo feedback in FGOALS-
29 g3 is associated mainly with its mean base state, which has a lower surface air temperature and
30 larger sea ice area compared with FGOALS-g2. The enhanced negative shortwave cloud
31 feedback in FGOALS-g3 is caused mainly by the larger low-cloud area fraction and liquid water
32 path. Furthermore, the ECS change can be traced back to the different cloud parameterization
33 scheme, parameter tuning, ocean grid, and external forcings used in FGOALS-g3, as these all
34 affect the mean climate state of the model.

35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

50 **Plain Language Summary**

51 Equilibrium climate sensitivity (ECS) is an important quantity as it measures the
52 magnitude of projected warming. However, there is some uncertainty regarding ECS-related
53 feedbacks due to the different methods used to calculate them. Three methods of different
54 complexity used to calculate cloud feedbacks are compared here, and the simplest method is
55 selected to analyze the change in ECS between two versions of the Flexible Global Ocean-
56 Atmosphere-Land System model (i.e., FGOALS-g2 and FGOALS-g3). The main causes of the
57 ECS difference between FGOALS-g3 and FGOALS-g2 are the surface albedo feedback and the
58 shortwave cloud feedback. These are related to the different base states which are further due to
59 the different cloud schemes, parameter tuning, and ocean grids used in the two models. Regional
60 characteristics cause the differences between the surface albedo feedback of the two versions of
61 the model to change over time.

62

63

64

65

66

67

68

69

70 **1 Introduction**

71 Climate warming is an important topic related to the future of humankind, and carbon
72 dioxide is one of the main greenhouse gases (GHGs) that causes this warming. Equilibrium
73 climate sensitivity (ECS), defined as the equilibrium change in annual global mean surface
74 temperature following a doubling of the atmospheric CO₂ concentration relative to the pre-
75 industrial level (piControl; Flato et al., 2013), can be used to understand how much the Earth's
76 surface temperature will change in response to a certain CO₂ concentration (Zeebe, 2011). The
77 ECS magnitude could be amplified or damped by many feedbacks—an interaction in which a
78 perturbation in one climate quantity causes a change in another, which in turn leads to an
79 additional change in the first quantity (Cubasch and Cess, 1990; Pachauri et al., 2014). The
80 physical feedbacks affecting the ECS include the temperature feedback (λ_T), water vapor
81 feedback (λ_{wv}), surface albedo feedback (λ_a), and cloud feedbacks (λ_c ; Zhang et al., 1994). The
82 temperature feedback can further be decomposed into the Planck feedback (λ_{Planck}) and lapse rate
83 feedback (λ_{LR}).

84 The ECS range of climate models participating in the Coupled Model Intercomparison
85 Project phase 3 (CMIP3; Randall et al., 2007) was 2.1–4.4 K, and then 2.1–4.7 K for CMIP5
86 (Flato et al., 2013), and 1.8–5.6 K for CMIP6 (Zelinka et al., 2020), indicating that the large
87 uncertainty in the ECS has not narrowed with the ongoing model development (Soden and Held,
88 2006). Although the lower limit of climate sensitivity is well-constrained and already provides
89 useful information for policy makers, the upper limit is more difficult to quantify (Knutti and
90 Hegerl, 2008). The wide ECS range in the CMIP models is caused by many factors: different
91 resolutions and/or grids (McGregor, 2015; Doescher et al., 2002), cross-field correlations (Soden
92 et al., 2008), different climate background states (Friedrich et al., 2016), and uncertainties

93 regarding the evolution of tropical low cloud (Vial et al., 2017). For example, different cloud
94 parameterizations are always considered to be a major factor affecting the ECS (Zhao et al.,
95 2016), and the greater decrease in low cloud coverage and extra-tropical albedo is the main
96 reason for the higher ECS of the CMIP6 models compared with those in CMIP5 (Zelinka et al.,
97 2020). Furthermore, aerosol–cloud interactions are the primary cause of the different ECS in the
98 two versions of the European Centre Earth model, EC-Earth2 and EC-Earth3 (Wyser et al.,
99 2020). In addition, advances in the methods used to calculate the ECS mean our understanding of
100 climate feedbacks is constantly changing; hence, the calculation method is another important link
101 affecting the values of ECS and climate feedback parameters.

102 A number of methods have been developed to quantify and compare the ECS and the
103 feedbacks contributing to it associated with different models. Among these methods, the one
104 proposed by Gregory et al. (2004) is the most widely used for calculating the ECS of a General
105 Circulation Model (GCM) in which the climate variables respond to a constant forcing, such as
106 an instantaneous doubling or quadrupling of CO₂. In this method,

$$107 \quad N = F - H = F + \lambda_{tot}\Delta T_s \quad (1)$$

108 where N is the top of atmosphere (TOA) net radiative flux, F is the radiative forcing induced by
109 the forcing agent, H is the radiative response caused by the raised CO₂ concentrations, which
110 offsets F , λ_{tot} is the total climate feedback parameter, and ΔT_s is the change in the near-surface
111 air temperature (SAT). If $F = H$, then N is equal to zero and the SAT change reaches a new
112 equilibrium state ΔT_{eqm} (Shine et al., 2003). In this case, in an experiment of abruptly
113 quadrupled CO₂ concentration (abrupt4×CO₂) relative to the piControl run, the ECS is taken to
114 be half of ΔT_{eqm} .

115 Based on the partial radiative perturbation method (Wetherald and Manabe, 1988), Soden
116 and Held (2006) proposed a widely used technique that decomposes each feedback into two
117 parts: a “radiative kernel”, $[\partial(N - F)/\partial X]$, describing the TOA radiative flux response to an
118 incremental change in a variable X (surface temperature, atmospheric temperature, water vapor,
119 surface albedo, cloud) that depends on the base state of the model, and the climate response of
120 the variable, (dX/dT_s) . The two parts are combined to measure the feedback amplitude of a
121 particular variable. The radiative kernel part implies that there is a linear relationship between
122 the TOA radiative flux and the perturbed variable. However, because cloud processes are
123 nonlinear, cloud feedbacks are more appropriately calculated in a different way (Shell et al.,
124 2008).

125 The simplest method to calculate the cloud feedback parameter is to regress the change in
126 cloud radiative forcing (CRF) onto the change in global average SAT between the doubled-CO₂
127 run and piControl run (Cess and Potter, 1988). Alternatively, the cloud feedback parameter can
128 be calculated as the residual difference between the total climate feedback (λ_{tot}) and the sum of
129 the other feedbacks (λ_T , λ_α , and λ_{WV} ; Soden and Held 2006; Senior and Mitchell, 2000).

130 To further reduce the sensitivity to uncertainties caused by external radiative forcings,
131 another method has been proposed that involves adjusting the model-simulated change in CRF to
132 account for cloud masking effects (Soden et al., 2008). For example, in the Community
133 Atmosphere Model version 5 (CAM5), the cloud forcing was adjusted to account for the direct
134 and indirect effects of GHGs and aerosols by introducing a “GHG kernel” and “aerosol kernel”,
135 which remove the forcing effects of GHGs and aerosols, respectively (Hansen et al., 2005;
136 Gettelman et al., 2016). In addition, to correct for changes in non-cloud variables that can alter
137 the cloud feedback, Vial et al. (2013) used the difference in the kernels for temperature, water

138 vapor, and surface albedo between all-sky and clear-sky conditions as part of the cloud feedback
139 term.

140 Yet another method to determine cloud feedbacks is to use overcast-sky CRF histograms,
141 where “overcast” indicates that cloud covers the entire atmospheric column in the radiation code.
142 In this method, zonal and monthly mean annual cycles of temperature and water vapor profiles
143 are averaged together as input to the Fu and Liou (1992) radiation code (Zelinka et al., 2012).

144 In brief, although the ECS calculation proposed by Gregory et al. (2004) is the most
145 commonly used, methods of different complexity are used to calculate feedbacks contributing to
146 the ECS, especially the cloud feedback. These methods all produce different feedback parameter
147 values, which makes it difficult to directly compare different studies. Consequently, one aim of
148 this study is to compare the values of the cloud feedback parameter obtained using different
149 methods and to identify the method that results in the smallest residual value. Another aim is to
150 analyze the change in the ECS, as well as the feedbacks contributing to that change, between two
151 versions of the Flexible Global Ocean-Atmosphere-Land System model, FGOALS-g2 and
152 FOGALS-g3, which are participating in CMIP5 and CMIP6, respectively.

153 The remainder of this paper is organized as follows. The two versions of the FGOALS-g
154 model and the comparison of different cloud feedback methods are described in section 2. The
155 analysis of ECS and the contributing feedback components are presented in section 3. A
156 summary and discussion are provided in section 4.

157 **2 Model Description, Methods, and Data Processing**

158 2.1. Model Description

159 FGOALS-g is a coupled model developed at the State Key Laboratory of Numerical
160 Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics (LASG), part of the

161 Institute of Atmospheric Physics (IAP) of the Chinese Academy of Sciences. The model
162 currently has three formal versions; i.e., FGOALS-g1, FGOALS-g2, and FGOALS-g3, which
163 have participated in CMIP3, CMIP5, and CMIP6 respectively (Li et al., 2007, 2013a, 2020a).
164 These versions of FGOALS-g comprise four component models (i.e., the atmospheric model,
165 ocean model, sea ice model, and land surface model) and a coupler. Compared with FGOALS-
166 g2, the components were updated in FGOALS-g3 as follows. The Grid-point Atmospheric
167 Model of LASG/IAP version 3 (GAMIL3; Li et al., 2020b) was used instead of GAMIL2 (Li et
168 al., 2013b), the LASG/IAP Climate system Ocean Model version 3 (LICOM3; Yu et al., 2018)
169 was used in place of LICOM2 (Liu et al., 2012), the Land Surface Model for Chinese Academy
170 of Sciences (CAS-LSM; Xie et al., 2018) was used rather than the Community Land Model
171 version 3 (CLM3, Oleson et al., 2004), the coupler 6 (Craig et al., 2005) was upgraded to the
172 coupler 7 (Craig et al., 2012), and the external forcings recommended by CMIP6 (Eyring et al.,
173 2016) were used instead of those from CMIP5 (Taylor et al., 2012). The upgrades of the
174 component models focus mainly on the horizontal grid resolution, physical processes, and tuning
175 parameters (Li et al., 2020a). In both FGOALS-g2 and FGOALS-g3, the sea ice model is the
176 Community Ice Code version 4 (CICE4). CAS-LSM is based on CLM4.5 (Oleson et al., 2013)
177 and takes into account the effects of lateral groundwater flow (Xie et al., 2012; Zeng et al.,
178 2018), human water intake (Zou et al., 2014; Zeng et al., 2016), soil freezing and thawing
179 interface changes (Gao et al., 2016; 2019), and river nitrogen transport processes (Liu et al.,
180 2019).

181

182 2.2. Methods

183 Our feedback calculations were based on the radiative kernels of CAM5 (Pendergrass et al.,
 184 2018) and used three different cloud feedback methods. The default method was to use the sum
 185 of the net TOA radiation flux change under GHG forcing and aerosol forcing as cloud masking
 186 of radiative forcing, which may be written as follows:

$$187 \quad \lambda_{tot} = \lambda_{sum} + Res = \lambda_T^{whole\ sky} + \lambda_{WV}^{whole\ sky} + \lambda_{\alpha}^{whole\ sky} + \lambda_c + Res \quad (2)$$

$$188 \quad \lambda_c = \frac{\Delta CRE}{\Delta T_s} + \frac{\Delta CRE^{GHG}}{\Delta T_s} + \frac{\Delta CRE^{Aerosol}}{\Delta T_s} + \sum_X (\lambda_x^{clear\ sky} - \lambda_x^{whole\ sky}) \quad (3)$$

189 The left-hand side of Eq. (2) is the total feedback (λ_{tot}) corresponding to the ECS calculated by
 190 the Gregory et al. (2004) method. The first term on the right-hand side of Eq. (2) is the sum of all
 191 feedback components (λ_{sum}) calculated using the radiative kernels of CAM5, which include the
 192 temperature feedback ($\lambda_T^{whole\ sky}$), the water vapor feedback ($\lambda_{WV}^{whole\ sky}$), and the surface albedo
 193 feedback ($\lambda_{\alpha}^{whole\ sky}$) of the whole sky, as well as the cloud feedback (λ_c). The second term on
 194 the right-hand side is a residual term (Res). In Eq. (3), ΔCRE is the change in cloud radiative
 195 effect (CRE), in which the CRE is the difference between the TOA whole-sky radiative flux and
 196 clear-sky radiative flux. The second and third terms on the right-hand side of Eq. (3) are the
 197 GHG forcing and aerosol forcing adjustment terms, respectively. The fourth term on the right-
 198 hand side of Eq. (3), $[\sum_X (\lambda_x^{clear\ sky} - \lambda_x^{whole\ sky})]$, is the sum of differences between whole-sky
 199 and clear-sky feedbacks (except for the cloud feedback).

200 Another relatively simple cloud feedback method used in this study is that of Soden et al.
 201 (2004):

$$202 \quad \lambda_c = \frac{\Delta CRE}{\Delta T_s} + \lambda_{cloud\ corr} \quad (4)$$

$$203 \quad \lambda_{cloud\ corr} = \sum_X (\lambda_x^{clear\ sky} - \lambda_x^{whole\ sky}) \quad (5)$$

204 Eq. (4) is relatively accurate when perturbations are small, however its accuracy decreases when
 205 perturbations become large, as in the abrupt4×CO₂ experiments (Jonko et al., 2012; Block and
 206 Mauritsen, 2013). Eq. (4) can alternatively be written as:

$$207 \quad \lambda_c = \frac{\Delta CRE}{\Delta T_s} + (\lambda_T^{clear\ sky} - \lambda_T^{whole\ sky} \\
 208 \quad + \lambda_{WV}^{clear\ sky} - \lambda_{WV}^{whole\ sky} + \lambda_\alpha^{clear\ sky} - \lambda_\alpha^{whole\ sky}) \quad (6)$$

209 Combining Eq. (2) and Eq. (6),

$$210 \quad \lambda_{tot} = \lambda_T^{clear\ sky} + \lambda_{WV}^{clear\ sky} + \lambda_\alpha^{clear\ sky} + \frac{\Delta CRE}{\Delta T_s} + Res \quad (7)$$

211 The methods that are based on Eq. (3) and Eq. (4) require the use of kernel data to calculate
 212 the cloud feedback, but the following method does not. In this method, the cloud feedback term
 213 (Chen et al., 2014) is simplified as:

$$214 \quad \lambda_c = \frac{\Delta CRE}{\Delta T_s} \quad (8)$$

215 Using Eq. (8) to replace the cloud feedback term in Eq. (2):

$$216 \quad \lambda_{tot} = \lambda_T^{whole\ sky} + \lambda_{WV}^{whole\ sky} + \lambda_\alpha^{whole\ sky} + \frac{\Delta CRE}{\Delta T_s} + Res \quad (9)$$

217 If the second and third terms on the right-hand side of Eq. (3), $\frac{\Delta CRE^{GHG}}{\Delta T_s}$ and $\frac{\Delta CRE^{Aerosol}}{\Delta T_s}$, are zero,
 218 then Eq. (2) becomes equivalent to Eq. (7). If the second term on the right-hand side of Eq.
 219 (4), $\lambda_{cloud\ corr}$, is zero, then Eq. (7) becomes equivalent to Eq. (9).

220 In real calculations, there are large uncertainties associated with the residual term among
 221 different kernel methods (Vial et al., 2013). Therefore, we compared the residuals calculated
 222 using three different methods: the CAM5 radiative kernel method (Eq. (2) and Eq. (3), group 1),
 223 the wholly simplified method (Eq. (9), group 2), and the simplified method (Eq. (7), group 3;
 224 Fig. 1). In the three methods, except for the calculation of cloud feedback is different, the
 225 calculation of other feedback is identical, different cloud feedback methods have great influence

226 (about 0.3~0.7) on the cloud feedback and final residual in multi-model comparison. The
 227 residual amplitude in group 2 ($\lambda_{cloud_corr} = 0$) was the smallest among the three groups in both
 228 versions of FGOALS-g. It should be noted that in group 1, the GHG and aerosol forcing
 229 experiments of CAM5 were used to calculate the FGOALS-g feedback. As these experiments
 230 were not performed using FGOALS-g, this may be one of the reasons for the large residual
 231 associated with this method. We used the wholly simplified method based on Eq. (9) in the
 232 following analysis because of its simple calculation, easy operation, clear physical meaning, and
 233 small residual.

234 To further investigate the source of the residual term, we divided the residual in Eq. (9) into
 235 longwave (LW) and shortwave (SW) components as follows. Eq. (9) can be rewritten as:

$$236 \quad \lambda_T + \lambda_{WV} + \lambda_\alpha + \lambda_c + Res = \frac{R_{SW} - R_{LW}}{\Delta T_s} \quad (10)$$

237 where the net radiative flux (R) is set to be positive downward and negative upward. The
 238 feedbacks calculated by kernels were separated into LW and SW radiative fluxes (R_{LW} and
 239 R_{SW}). The LW and SW radiative feedbacks are written as:

$$240 \quad \lambda_T + \lambda_{LW_{WV}} + \lambda_{LW_c} + Res_{LW} = \frac{-R_{LW}}{\Delta T_s} = \lambda_{LW} \quad (11)$$

$$241 \quad \lambda_\alpha + \lambda_{SW_{WV}} + \lambda_{SW_c} + Res_{SW} = \frac{R_{SW}}{\Delta T_s} = \lambda_{SW} \quad (12)$$

242 where Res_{LW} and Res_{SW} are the residuals of the difference between the total feedback and the
 243 sum of the LW and SW component feedbacks, respectively.

244

245 2.3. Data Processing

246 During post-processing of the model data, the stratosphere is masked, with the height of the
 247 tropopause crudely estimated at 100 hPa in the tropics and lowered to 300 hPa at the poles. As

248 introduced in Soden and Held (2006), we use decadal means to compare FGOALS-g3 with
249 FGOALS-g2 to diminish interannual variability. Considering the dependence of ECS on data
250 length (Senior and Mitchell, 2000), the 150-year abrupt4×CO₂ and piControl simulations were
251 divided into fast-response (years 1–20) and slow-response (years 21–150) stages to facilitate a
252 more comprehensive understanding of the differences between the two model versions. In
253 addition, there is an assumption that the radiative flux of a variable X is calculated independently
254 for each layer, which is generally valid at the global scale (Colman and McAvaney, 1997).

255 **3 Results**

256

257 3.1. Equilibrium Climate Sensitivities and Feedbacks

258 The ECS was 2.8K for FGOALS-g3 and 3.3K for FGOALS-g2 when using the 150-year
259 dataset, but this increased to 3.0K and 3.7K for FGOALS-g3 and FGOALS-g2, respectively,
260 when considering only the slow-response stage (years 21–150; Fig. 2). Thus, there was a 0.2K
261 and 0.4K difference in the ECS of FGOALS-g3 and FGOALS-g2, respectively, when
262 considering only later years in the simulation, which we attribute to their different fast- and slow-
263 response stages.

264 The SAT anomaly (SATA) variation in the 150th year of the FGOALS-g3 simulation was
265 smaller than that of FGOALS-g2 (Fig. 3a, solid line), which is consistent with the change in the
266 ECS. However, the changes of the SATA were significantly different in the fast- and slow-
267 response stages between the two model versions; compared with FGOALS-g2, changes were
268 larger in the fast-response stage and smaller in the slow-response stage in FGOALS-g3. As with
269 the global mean SATA evolution, the decrease of the sea ice area (SIA) in the Northern
270 Hemisphere occurred faster (slower) in FGOALS-g3 in the fast- (slow-) response stage than in

271 FGOALS-g2 (Fig. 3b, solid line). The decrease of the SIA in the Southern Hemisphere was
272 consistently slower in FGOALS-g3 than in FGOALS-g2 throughout the whole simulation. In
273 addition, the global mean SAT of FGOALS-g3 was higher by about 0.75K than that of
274 FGOALS-g2 in the piControl simulation (Fig. 3a, dashed line), whereas the SIA in the Northern
275 Hemisphere of FGOALS-g3 was larger than that of FGOALS-g2 (Fig. 3b, dots). Overall, the
276 different evolution of the SAT in the fast- and slow-response stages between the two model
277 versions was associated with the sea ice reduction.

278 During the slow-response stage, the value of λ_{sum} was relatively close to λ_{tot} , again
279 demonstrating the small residual of our chosen feedback calculation method (Fig. 4). The
280 difference in λ_{tot} between the two model versions can be attributed to the differences in each
281 feedback. How much each feedback contributes depends on the dataset length, because the
282 climate feedback amplitudes are related to the dataset length used (Table 1).

283 Considering the full dataset length (Fig. 4, triangles), the differences in the lapse rate
284 feedback, water vapor feedback, and surface albedo feedback more or less cancel out, resulting
285 in the cloud feedback contributing the most to the ECS difference. That is, the stronger negative
286 cloud feedback in FGOALS-g3 is the main reason for the ECS decrease from FGOALS-g2 to
287 FGOALS-g3, which is consistent with the result that stronger positive cloud feedbacks
288 contribute to the higher multi-model mean ECS of CMIP6 models compared with CMIP5
289 models (Zelinka et al., 2020; Table 1).

290 During the slow-response stage (Fig. 4, hollow circles), the difference in the surface albedo
291 feedback between the two model versions was close to that of the cloud feedback, and was
292 therefore another main contributor to the lower ECS in FGOALS-g3. The causes of these
293 changes will be discussed in sections 3.2 and 3.3.

294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315

3.2. Surface Albedo Feedback

The surface albedo feedback is closely related to changes in SIA. As described in the previous section, the SIA evolution in both polar regions during the slow-response stage is consistent with the variation in SAT; i.e., the SIA decreases less in FGOALS-g3 than in FGOALS-g2, and the SAT increases less in FGOALS-g3 than in FGOALS-g2. Changes in the surface albedo feedback unfold differently in the three stages considered here, so for simplicity and brevity, in the following we focus on the Arctic region only.

The overall change in the surface albedo feedback between the two model versions arises mainly during the slow-response stage around the center of the Arctic, during the fast-response stage in the Okhotsk Sea, and during both the fast- and slow-response stages in the North Atlantic and Bering Sea (not shown). Figure 5 shows the differences in the surface albedo feedback, SAT, and SIA between the abrupt4×CO₂ and piControl simulations in the center of the Arctic, North Pacific (Bering Sea and Okhotsk Sea), and North Atlantic (Davis Strait, Labrador Sea, and Norway Sea) in the fast- and slow-response stages.

In the fast-response stage, the SIA decrease in FGOALS-g3 occurs significantly faster than in FGOALS-g2 in the North Atlantic, North Pacific, and Hudson Bay, which could be associated with the relatively large SIA at the edge of the Arctic region in FGOALS-g3. Hence the range and amplitude of the warming in FGOALS-g3 are larger than in FGOALS-g2 (Fig. 5a and 5b). In the central Arctic, although the decrease in SIA in FGOALS-g3 occurs slightly slower than in FGOALS-g2, the mean SIA decreases faster in the Northern Hemisphere (Fig. 3b). These results show that the SIA change in the central Arctic does not dominate the stronger surface albedo

316 feedback in FGOALS-g3 during the fast-response stage, but rather the SIA change at the edge of
317 the Arctic region is dominant (Table 1).

318 In the Okhotsk Sea and North Atlantic (Davis Strait, Labrador Sea, and Norway Sea), the
319 difference in the surface albedo feedback during the fast-response stage between the two model
320 versions is also related to changes in the ocean circulation. The Atlantic meridional overturning
321 circulation (AMOC) is important in regulating the pace of surface warming (Medhaug and
322 Furevik, 2011; Chen and Tung, 2018). The AMOC index, defined as the maximum of the
323 meridional overturning stream function between 15°N and 65°N below 500 m in depth,
324 decreases significantly faster in FGOALS-g3 (about -21 Sv) than in FGOALS-g2 (about -8 Sv)
325 during the fast-response stage (Fig. 6). The stronger AMOC and AMOC decrease are closely
326 associated with the faster changes in SAT, SIA, and surface albedo feedback in FGOALS-g3.

327 On the other hand, during the slow-response stage, the AMOC remains essentially
328 unchanged in both model versions, which is similar to the small changes seen during the slow-
329 adjustment stage in the Geophysical Fluid Dynamics Laboratory (GFDL) model (He et al.,
330 2017). During the slow-response stage, the change in SIA in the central Arctic is consistent with
331 the finding that differences in the surface albedo feedback between models stem mainly from the
332 sensitivity of the surface albedo to surface temperature (Winton, 2006). That is, the more
333 regional snow and sea ice there is, the higher the surface albedo, which leads to lower local
334 temperatures, thus promoting the increase of regional snow and sea ice, and vice versa. The
335 positive surface albedo feedback loop in FGOALS-g3 is slower than in FGOALS-g2 during the
336 slow-response stage around the center of the Arctic (Fig. 5c and 5d) and eventually dominates
337 the change in surface albedo feedback in the simulation as a whole, which is closely related to
338 the lower background temperature in FGOALS-g3 (about 2 K lower). Moreover, the weak

339 AMOC of FGOALS-g3 in the slow stage will weaken the heat northward transport in the upper
340 ocean level, which can contribute to slow down the warming (Fig. 6). Levermann et al. (2007)
341 also pointed out that the positive relationship between mean AMOC and AMOC decline under
342 CO₂ forcing is mediated by sea ice. However, the relationship among AMOC, SAT and SIA is
343 complex in CMIP5 or CMIP6 models which is not simply to promote or inhibit the change of
344 ECS among different models (Weijer et al., 2020).

345 In general, the difference in the surface albedo feedback between FGOALS-g2 and
346 FGOALS-g3 can be attributed mainly to their different climate base states; i.e., the lower
347 temperature, larger sea ice cover, stronger AMOC in FGOALS-g3 in the piControl simulation,
348 and weaker AMOC in FGOALS-g3 in the slow-response stage. These mean-state differences are
349 further related to the different external forcings used in CMIP5 and CMIP6, and the different
350 ocean grids used in the two model versions; in FGOALS-g3, the ocean grid was updated from a
351 latitude-longitude grid to a tripolar grid (Li et al., 2017; Lin et al., 2020; Li et al., 2020a).

352

353 3.3. Cloud Feedback

354 Different types of clouds have different radiative effects (Zelinka et al., 2012). Low clouds
355 reflect solar radiation and therefore have a cooling effect, whereas high clouds absorb the LW
356 radiation emitted by the Earth and so have a warming effect. Consequently, the net effect
357 (cooling or warming) depends on the type of clouds present. In the FGOALS-g model, the CRF
358 calculations are closely associated with the cloud area fraction (CAF) and liquid water path
359 (LWP; Li et al., 2014, 2015). The CAF anomaly increases significantly faster in FGOALS-g3
360 than in FGOALS-g2 in the simulation as a whole and the difference in the CAF between the two
361 model versions at the 150th year reaches about 1.2% (Fig. 7a). Moreover, the piControl CAF of

362 FGOALS-g3 is higher by about 0.8% than that of FGOALS-g2 (Fig. 7a). The LWP anomaly at
363 the 150th year of FGOALS-g3 is also higher by about 1.5 g m^{-2} than in FGOALS-g2, and the
364 LWP in the FGOALS-g3 piControl run is higher by about 12 g m^{-2} than in FGOALS-g2 (Fig.
365 7b). However, the ice water path (IWP) anomaly at the 150th year of FGOALS-g3 decreases by
366 about 0.4 g m^{-2} more than in FGOALS-g2, and the background IWP of FGOALS-g3 is lower by
367 about 2 g m^{-2} than in FGOALS-g2 (Fig. 7b). The change in the condensed water path includes
368 changes to the LWP and IWP, and comes mainly from the LWP, as the IWP changes less in the
369 FGOALS-g models. As pointed out in many studies, the changes in LWP affect cloud scattering,
370 which leads to a big difference in the cloud feedback between the two model versions and further
371 affects the ECS (Turner et al., 2007; Zelinka et al., 2012; Bodas-Salcedo et al., 2016).

372 Compared with FGOALS-g2, FGOALS-g3 has a higher CAF and LWP, and a stronger
373 negative cloud feedback (Table 1). This is consistent with the amplification of the water vapor
374 feedback (Silvers et al., 2018). Figure 8 shows that the difference in the spatial distribution of
375 cloud feedbacks between the two model versions, especially the SW cloud feedback, is more
376 prominent around the equatorial South Pacific and Indian Ocean (the sea area near the
377 Indonesian islands), and the Southern Ocean, whereas the water vapor feedback is clearly
378 enhanced in the equatorial South Pacific in FGOALS-g3. Many observational and model
379 simulation studies have shown that supercooled liquid clouds are ubiquitous over the Southern
380 Ocean and contribute about one-third of the reflected solar radiation during the austral summer
381 (Hu et al., 2010; Huang et al., 2015; Zelinka et al., 2012; Bodas-Salcedo et al., 2016; Bacmeister
382 et al., 2020).

383 Zelinka et al. (2020) showed that the stronger positive net cloud feedback in CMIP6 arises
384 primarily from the SW low-cloud component, whereas the non-low-cloud feedback has slightly

385 decreased in the CMIP6 models compared with the CMIP5 models. On average, the SW low-
386 cloud feedback is more positive in CMIP6 due to larger reductions in low-cloud cover and
387 smaller increases in LWP with warming. The change in the SW low-cloud feedback from
388 FGOALS-g2 to FGOALS-g3 is just the opposite of the change in the multi-model mean from
389 CMIP5 to CMIP6. The SW low-cloud feedback in FGOALS-g3 is more negative than that in
390 FGOALS-g2 (Fig. 8c). This stronger negative SW low-cloud feedback can be attributed to the
391 larger CAF and LWP in the piControl run, which enhances cloud scattering and suppresses the
392 temperature increase near the ground.

393 Low cloud (i.e., below 700 hPa) and high cloud (i.e., above 400 hPa) increase more in
394 response to a quadrupling of CO₂ in FGOALS-g3 than in FGOALS-g2, and vice versa for mid-
395 level cloud (Fig. 9). As in most models, the change in the low-cloud SW feedback dominates the
396 net cloud feedback in FGOALS-g3 (Zelinka et al., 2020). In some of the climate models
397 participating in CMIP3, the low-cloud SW feedback in the equatorial region has an opposite
398 trend to the mid-level-cloud SW feedback (Zelinka et al., 2012), which is consistent with the
399 increase in low clouds and decrease in mid-level clouds in FGOALS-g3. Moreover, the
400 enhancement of the low-cloud SW feedback is related to the thickening of low clouds in
401 FGOALS-g3. The vertical profile of the CAF in Fig. 9 also shows that the cloud cover in both
402 FGOALS-g2 and FGOALS-g3 is basically constant within each layer throughout the simulation.
403 This may be because the low-level CAF and LWP in the piControl simulations differ between
404 the two model versions. The low-level CAF and LWP of the climate base state in FGOALS-g3
405 are higher than in FGOALS-g2, which is primarily caused by the reduction in the high-cloud
406 relative humidity threshold, the changed stratocumulus cloud scheme, and the parameter tuning

407 (especially the stability trigger for stratus clouds and relative humidity threshold for layer clouds)
408 in FGOALS-g3 (Li et al., 2020b).

409

410 **4 Discussion and Conclusions**

411

412 In this study, we compared three methods of differing complexity that can be used to
413 calculate the cloud feedback in two versions of the FGOALS-g coupled climate model and found
414 that, the methods of cloud feedback have great influence (about 0.3~0.7) on cloud feedback in
415 two versions of the FGOALS-g. Moreover, in both FGOALS-g2 and FGOALS-g3, the residual
416 term is smallest when the cloud feedback parameter is simply equal to the change in CRE
417 normalized by the change in surface temperature. Based on this simplified method, we analyzed
418 the differences in the ECS and its related physical feedbacks between the two versions of the
419 model. Applying an abrupt4×CO₂ scenario relative to the piControl run, we obtained ECS
420 values, calculated using a 150-year linear regression (whole-response stage) and a two-stage
421 (fast-response and slow-response stage) linear regression of 2.8K and 3.0K, respectively, from
422 FGOALS-g3, and 3.3K and 3.7K, respectively, from FGOALS-g2.

423 The main feedbacks contributing to the ECS reduction from FGOALS-g2 to FGOALS-g3
424 were the surface albedo feedback and cloud feedback, although other feedbacks also have
425 impacts. The negative cloud feedback is strengthened in FGOALS-g3 during the fast, slow, and
426 whole-response stages. The positive surface albedo feedback was weakened in FGOALS-g3
427 during the slow and whole-response stages, but was still the biggest term during the slow-
428 response stage, whereas it is strengthened during the fast-response stage, which is related to the
429 change in ocean–atmosphere interaction between the fast- and slow-response stages.

430 Compared with FGOALS-g2, during the fast-response stage of FGOALS-g3, the SIA in the
431 Northern Hemisphere decreased faster, the SAT increased faster, and the surface albedo
432 feedback became stronger in the abrupt4×CO₂ scenario relative to the piControl run. This
433 change can be attributed to the SIA at the edge of the Arctic being larger in FGOALS-g3 than in
434 FGOALS-g2, which causes it to melt more rapidly, and this is the result of the large
435 change/mean state in the AMOC intensity during the fast-response/piControl stage. During the
436 slow-response stage, the changes in SIA occur mainly in the center of the Arctic. This can also
437 be attributed to the larger SIA and lower SAT in the center of the Arctic in FGOALS-g3 than in
438 FGOALS-g2, which makes it harder for the ice to melt in FGOALS-g3. These features are
439 related to the different climate background states in the two model versions, which are caused by
440 the different external forcings recommended by CMIP5 and CMIP6, and the different ocean
441 grids used (i.e., a latitude-longitude grid is used in FGOALS-g2 and a tripolar grid in FGOALS-
442 g3; Li et al., 2017; Lin et al., 2020; Li et al., 2020a).

443 The difference in the cloud feedback between the versions of the two model is more
444 prominent in the equatorial Pacific, Indian Ocean, and Southern Ocean, and this is associated
445 with the increased low CAF and LWP in the piControl run of FGOALS-g3. Compared with the
446 multi-model average results of Zelinka et al. (2020), the change in the cloud feedback was also
447 the main cause of the change in ECS between FGOALS-g2 and FGOALS-g3, but the reasons for
448 the change in this cloud feedback differ. In particular, the differences in the cloud fraction
449 scheme and parameter (threshold for cloud formation) tuning between FGOALS-g2 and
450 FGOALS-g3 are important. In addition, the change in the surface albedo feedback is an
451 important contributing factor to the change in ECS. Compared with the EC-Earth model results
452 of Wyser et al. (2020) in which the aerosol-cloud interactions contribute to the change of ECS,

453 the aerosol-cloud interaction scheme keep the same in two FGOALS-g versions in two
454 simulations (piControl and abrupt4xCO2).

455 In brief, we attribute the changes in the cloud feedback in FGOALS-g3 primarily to the
456 different LWP and CAF in the climate base state, especially regarding low clouds. These
457 changes are associated with the reduction of the high-cloud relative humidity threshold, the
458 different stratocumulus cloud scheme, and different tuning parameters used in FGOALS-g3 (Li
459 et al., 2020a).

460 Using multi-model statistics, Tian (2015) found that weak (strong) double Intertropical
461 Convergence Zone (ITCZ) biases correspond to high (low) ECS values in CMIP5. However,
462 FGOALS-g2 shows a stronger double ITCZ than FGOALS-g3 (Li et al., 2020a), and yet the ECS
463 in FGOALS-g2 is higher than that in FGOALS-g3. Indeed, the emergent relationship between
464 ECS and a double-ITCZ bias was found to be barely significant in CMIP6 (Schlund et al., 2020).
465 Moreover, the increase in low cloud coverage has a stronger cooling effect in the high latitudes
466 of the Northern Hemisphere (e.g., the North Atlantic) in FGOALS-g3, which slows down the
467 temperature increase in that area and affects the feedback between temperature and surface
468 albedo. In addition, the change in the cloud feedback in the North Atlantic is related to the
469 AMOC; the stronger interaction between the AMOC and cloud feedback in FGOALS-g3 also
470 leads to a stronger negative cloud SW feedback in that area.

471 The cloud feedback can be divided into LW and SW feedbacks. We calculated and
472 compared the LW and SW feedbacks in the sum of the component results (left side of Eq. (11)
473 and Eq. (12)) and the model net long wave results (right side of Eq. (11) and Eq. (12)). The LW
474 (Fig. 10a and 10b) and SW (Fig. 10c and 10d) residuals in FGOALS-g3 in the equatorial Pacific
475 are significantly greater than in FGOALS-g2, indicating a strong correlation between the cloud

476 and other feedbacks, especially the water vapor feedback. The LW and SW residuals in
477 FGOALS-g3 at the two poles are slightly less than in FGOALS-g2, indicating a weak
478 relationship between the cloud and other feedbacks. In addition, the uncertainty of cloud
479 feedback comes from the selection of different cloud feedback methods. Some methods calculate
480 negative feedback, while others can calculate positive feedback. No matter how complex they
481 are, their residual terms are difficult to explain clearly. Moreover, the influence of internal
482 variability during the slow-response stage cannot be ignored.

483

484 **Acknowledgments**

485 This research was jointly funded by the National Natural Science Foundation of China
486 (Grants 41775101 and 41622503), the Strategic Priority Research Program of Chinese Academy
487 of Sciences (Grant XDB42010404), and the National Key Research Project (Grant
488 2016YFB0200805). The simulated datasets are available by searching for FGOALS-g2 and/or
489 FGOALS-g3 on the ESGF-node
490 (<https://esgf-node.llnl.gov/projects/cmip5/> and <https://esgf-node.llnl.gov/projects/cmip6/>).
491

492 **References**

- 493 Bacmeister, J. T., C. Hannay, B. Medeiros, A. Gettelman, R. Neale, H. B. Fredriksen, et al.
494 (2020). CO2 increase experiments using the Community Earth System Model (CESM):
495 Relationship to climate sensitivity and comparison of CESM1 to CESM2. *Journal of Advances*
496 *in Modeling Earth Systems*, e2020MS002120. <https://doi.org/10.1029/2020MS002120>
497
- 498 Block, K., and T. Mauritsen (2013). Forcing and feedback in the MPI-ESM-LR coupled model
499 under abruptly quadrupled CO2. *Journal of Advances in Modeling Earth Systems*, 5(4), 676-691.
500 <https://doi.org/10.1002/jame.20041>
501
- 502 Bodas-Salcedo, A., T. Andrews, A. V. Karmalkar, and M. A. Ringer (2016). Cloud liquid water
503 path and radiative feedbacks over the Southern Ocean. *Geophys. Res. Lett.*, 43, 10,938–10,946.
504 <https://doi.org/10.1002/2016GL070770>
505
- 506 Cess, R. D., and G. L. Potter (1988). A methodology for understanding and intercomparing
507 atmospheric climate feedback processes in general-circulation models. *Journal of Geophysical*
508 *Research: Atmospheres*, 93(D7), 8305-8314. <https://doi.org/10.1029/JD093iD07p08305>
509
- 510 Chen, X. L., T. J. Zhou, and Z. Guo (2014). Climate sensitivities of two versions of FGOALS
511 model to idealized radiative forcing. *Science China Earth Sciences*, 57(6), 1363-1373.
512 <https://doi.org/10.1007/s11430-013-4692-4>
513
- 514 Chen, X. Y., Tung, K. (2018). Global surface warming enhanced by weak Atlantic overturning
515 circulation. *Nature*, 559, 387–391.
516 <https://doi.org/10.1038/s41586-018-0320-y>
517
- 518 Colman, R. A., and B. J. McAvaney (1997). A study of general circulation model climate
519 feedbacks determined from perturbed sea surface temperature experiments. *Journal of*
520 *Geophysical Research: Atmospheres*, 102(D16), 19383-19402.
521 <https://doi.org/10.1029/97jd00206>.
522
- 523 Craig, A. P., Jacob, R., Kauffman, B., Bettge, T., Larson, J., E. Ong, et al. (2005). CPL6: The
524 new extensible, high performance parallel coupler for the community climate system model.
525 *International Journal for High Performance Computing Applications*, 19(3), 309–327.
526 <https://doi.org/10.1177/1094342005056117>
527
- 528 Craig, A. P., Vertenstein, M., & Jacob, R. (2012). A new flexible coupler for earth system
529 modeling developed for CCSM4 and CESM1. *International Journal for High Performance*
530 *Computing Applications*, 26(1), 31–42.
531 <https://doi.org/10.1177/1094342011428141>
532
- 533 Cubasch, U., and R. D.Cess (1990). Processes and modeling. *Climate Change: The IPCC*
534 *Scientific Assessment*, edited by J. T. Houghton et al., chap. 3, (pp. 69–72). Cambridge Univ.
535 Press, Cambridge, U. K., and New York.
536

537 Doescher, E., H. de Campos Velho & F. M. Ramos (2002). Different grid strategies in fluid
538 dynamics. In *Advances in Fluid Mechanics IV*, edited by M. Rahman et al., (pp. 587-596). Wit
539 Press, Dalhousie University, Canada, Ghent University, Belgium Wessex Institute of
540 Technology, Southampton, U. K.
541 Retrieved from <https://www.witpress.com/Secure/elibrary/papers/AFM02/AFM02054FU.pdf>
542

543 Eyring, V., S. Bony, G. A. Meehl, C. A. Senior, B. Stevens, R. J. Stouffer, et al. (2016).
544 Overview of the Coupled Model Intercomparison Project phase 6 (CMIP6) experimental design
545 and organization. *Geoscientific Model Development*, 9(5), 1937-1958.
546 <https://doi.org/10.5194/gmd-9-1937-2016>
547

548 Flato, G., J. Marotzke, B. Abiodun, P. Braconnot, S.C. Chou, W. Collins, et al. (2013).
549 Evaluation of climate models. In *Climate Change 2013: The Physical Science Basis.*
550 *Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel*
551 *on Climate Change*, edited by T. Stocker et al., chap. 9, (pp. 741–866). Cambridge Univ. Press,
552 Cambridge, U. K., and New York.
553

554 Friedrich, T., A. Timmermann, M. Tigchelaar, O. E. Timm, and A. Ganopolski (2016).
555 Nonlinear climate sensitivity and its implications for future greenhouse warming. *Science*
556 *Advances*, 2(11), e1501923. <https://doi.org/10.1126/sciadv.1501923>
557

558 Fu, Q., and K. N. Liou (1992). On the correlated K-distribution method for radiative-transfer in
559 nonhomogeneous atmospheres. *Journal of the Atmospheric Sciences*, 49(22), 2139-2156.
560 [https://doi.org/10.1175/1520-0469\(1992\)049<2139:Otcdfm>2.0.Co;2](https://doi.org/10.1175/1520-0469(1992)049<2139:Otcdfm>2.0.Co;2)
561

562 Gao, J. Q., Z. H. Xie, A. W. Wang, S. Liu, Y. J. Zeng, B. Liu, et al. (2019). A new frozen soil
563 parameterization including frost and thaw fronts in the community land model. *Journal of*
564 *Advances in Modeling Earth Systems*, 11(3), 659-679.
565 <https://doi.org/10.1029/2018ms001399>
566

567 Gao, J. Q., Z. H. Xie, A. W. Wang, and Z. D. Luo (2016). Numerical simulation based on two-
568 directional freeze and thaw algorithm for thermal diffusion model. *Appl Math Mech-Engl*,
569 37(11), 1467-1478. <https://doi.org/10.1007/s10483-016-2106-8>
570

571 Gettelman, A., L. Lin, B. Medeiros, and J. Olson (2016). Climate feedback variance and the
572 interaction of aerosol forcing and feedbacks. *Journal of Climate*, 29(18), 6659-6675.
573 <https://doi.org/10.1175/Jcli-D-16-0151.1>
574

575 Gregory, J. M., W. J. Ingram, M. A. Palmer, G. S. Jones, P. A. Stott, R. B. Thorpe, et al. (2004).
576 A new method for diagnosing radiative forcing and climate sensitivity. *Geophysical Research*
577 *Letters*, 31, L03205. <https://doi.org/10.1029/2003GL018747>
578

579 Hansen, J., M. Sato, R. Ruedy, L. Nazarenko, A. Lacis, G. A. Schmidt, et al. (2005). Efficacy of
580 climate forcings. *Journal of Geophysical Research: Atmospheres*, 110, D18104.
581 <https://doi.org/10.1029/2005JD005776>
582

583 He, J., M. Winton, G.A. Vecchi, L. Jia and M. Rugenstein (2017). Transient climate sensitivity
584 depends on base climate ocean circulation. *Journal of Climate*, 30(4), 1493-1504.
585 <https://doi.org/10.1175/JCLI-D-16-0581.1>
586

587 Huang, Y., A. Protat, S. T. Siems, and M. J. Manton (2015). A-Train observations of maritime
588 mid-latitude storm-track cloud systems: Comparing the Southern Ocean against the North
589 Atlantic, *J. Clim.*, 28, 1920–1939. <https://doi.org/10.1175/JCLI-D-14-00169.1>
590

591 Hu, Y., S. Rodier, K. man Xu, W. Sun, J. Huang, B. Lin, P. Zhai, and D. Josset (2010).
592 Occurrence, liquid water content, and fraction of supercooled water clouds from combined
593 CALIOP/IIR/MODIS measurements. *J. Geophys. Res.*, 115, D00H34.
594 <https://doi.org/10.1029/2009JD012384>
595

596 Jonko, A. K., K. M. Shell, B. M. Sanderson, and G. Danabasoglu (2012). Climate feedbacks in
597 CCSM3 under changing CO2 forcing. Part I: Adapting the linear radiative kernel technique to
598 feedback calculations for a broad range of forcings. *Journal of Climate*, 25(15), 5260-5272.
599 <https://doi.org/10.1175/Jcli-D-11-00524.1>
600

601 Knutti, R., and G. Hegerl (2008). The equilibrium sensitivity of the earth's temperature to
602 radiation changes. *Nature Geosci*, 1, 735-743. <https://doi.org/10.1038/ngeo337>
603

604 Levermann, A., J. Mignot, S. Nawrath, and S. Rahmstorf (2007). The Role of Northern Sea Ice
605 Cover for the Weakening of the Thermohaline Circulation under Global Warming. *J. Climate*,
606 20, 4160–4171. <https://doi.org/10.1175/JCLI4232.1>
607

608 Li, L. J., B. Wang, L. Dong, L. Liu, S. Shen, N. Hu, et al. (2013a). Evaluation of Grid-point
609 Atmospheric Model of IAP LASG Version 2 (GAMIL2). *Advances in Atmospheric Sciences*,
610 30(3), 855–867.
611 <https://doi.org/10.1007/s00376-013-2157-5>
612

613 Li, L., B. Wang, and G. J. Zhang. (2014). The Role of Nonconvective Condensation Processes in
614 Response of Surface Shortwave Cloud Radiative Forcing to El Niño Warming. *J. Climate*, 27,
615 6721–6736. <https://doi.org/10.1175/JCLI-D-13-00632.1>
616

617 Li, L., B. Wang, and G. J. Zhang. (2015). The Role of Moist Processes in Shortwave Radiative
618 Feedback during ENSO in the CMIP5 Models. *J. Climate*, 28, 9892–9908.
619 <https://doi.org/10.1175/JCLI-D-15-0276.1>
620

621 Li, L. J., L. Dong, J. B. Xie, Y. L. Tang, F. Xie, Z. Guo, et al. (2020b). The Grid-point
622 Atmospheric Model of the IAP LASG version 3 (GAMIL3): Model Description and Evaluation,
623 *Journal of Geophysical Research-Atmosphere*.
624 <https://doi.org/10.1029/2020JD032574>
625

626 Li, L. J., P. F. Lin, Y. Q. Yu, B. Wang, T. J. Zhou, L. Liu, et al. (2013b). The Flexible Global
627 Ocean-Atmosphere-Land System Model, Grid-point Version 2: FGOALS-g2. *Advances in*
628 *Atmospheric Sciences*, 30(3), 543-560.

629 <https://doi.org/10.1007/s00376-012-2140-6>
630
631 Li, L. J., Y. Q. Yu, Y. L. Tang, P. F. Lin, J. B. Xie, M. R. Song, et al. (2020a). The Flexible
632 Global Ocean-Atmosphere-Land System Model Grid-Point Version 3 (FGOALS-g3):
633 Description and Evaluation. *Journal of Advances in Modeling Earth Systems*, 12,
634 e2019MS002012. <https://doi.org/10.1029/2019MS002012>
635
636 Li, X. L., Y. Q. Yu, and H. L. Liu (2017). Sensitivity of Atlantic meridional overturning
637 circulation to the dynamical framework in an ocean general circulation model. *J Meteorol Res*,
638 31, 490–501. <https://doi.org/10.1007/s13351-017-6109-3>
639
640 Lin, P. F., Z. P. Yu, H. L. Liu, Y. Q. Yu, Y. W. Li, J. R. Jiang, et al. (2020). LICOM Model
641 Datasets for the CMIP6 Ocean Model Intercomparison Project. *Adv. Atmos. Sci.* 37, 239–249.
642 <https://doi.org/10.1007/s00376-019-9208-5>
643
644 Liu, H. L., P. F. Lin, and Y. Q. Yu, (2012). The baseline evaluation of LASG/IAP Climate
645 System Ocean Model (LICOM) Version 2.0. *Acta Meteorologica Sinica*, 26(3), 318-329.
646 <https://doi.org/10.1007/s13351-012-0305-y>
647
648 Liu, J. P. (2010). Sensitivity of sea ice and ocean simulations to sea ice salinity in a coupled
649 global climate model. *Sci China Earth Sci*, 53(6), 911-918.
650 <https://doi.org/10.1007/s11430-010-0051-x>
651
652 Liu, S., Z. H. Xie, Y. J. Zeng, B. Liu, R. C. Li, Y. Wang, et al. (2019). Effects of anthropogenic
653 nitrogen discharge on dissolved inorganic nitrogen transport in global rivers. *Global Change*
654 *Biol*, 25(4), 1493-1513. <https://doi.org/10.1111/gcb.14570>
655
656 Mach, K.J., S. Planton and C. von Stechow (2014). Glossary. In *Climate Change 2014: Synthesis*
657 *Report. Contribution of Working Groups I, II and III to the Fifth Assessment Report of the*
658 *Intergovernmental Panel on Climate Change*, edited by R.K. Pachauri et al., Annex II, (pp. 117-
659 130). Geneva, Switzerland.
660
661 McGregor, J.L. (2015). Recent developments in variable-resolution global climate modelling.
662 *Climatic Change*, 129, 369–380. <https://doi.org/10.1007/s10584-013-0866-5>
663
664 Medhaug, I., and T. Furevik, (2011). North Atlantic 20th century multidecadal variability in
665 coupled climate models: Sea surface temperature and ocean overturning circulation. *Ocean*
666 *Sci.*, 7, 389–404. <https://doi.org/10.5194/os-7-389-2011>
667
668 Oleson, K., Dai, Y., Bonan, G. B., Bosilovich, M., Dickinson, R., Dirmeyer, P., et al. (2004).
669 Technical Description of the Community Land Model (CLM) (No. NCAR/TN-461+STR).
670 University Corporation for Atmospheric Research.
671 <https://doi.org/10.5065/D6N877R0>
672

673 Oleson, K., Lawrence, D. M., Bonan, G. B., Drewniak, B., Huang, M., Koven, C. D., et al.
674 (2013). Technical description of version 4.5 of the Community Land Model (CLM) (No.
675 NCAR/TN-503+STR).
676 <https://doi.org/10.5065/D6RR1W7M>
677

678 Pendergrass, Angeline & National Center for Atmospheric Research Staff (Eds). (2018). *The*
679 *Climate Data Guide: Radiative kernels from climate models*. The CAM5 kernels retrieved from
680 <https://climatedataguide.ucar.edu/climate-data/radiative-kernels-climate-models>.
681 <https://doi.org/10.5065/D6F47MT6>
682

683 Randall, D.A., R.A. Wood, S. Bony, R. Colman, T. Fichefet, J. Fyfe, et al. (2007). Climate
684 models and their evaluation. In *Climate Change 2007: The Physical Science Basis. Contribution*
685 *of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate*
686 *Change*, edited by S. Solomon et al., chap. 8, (pp. 589–662). Cambridge Univ. Press, Cambridge,
687 U. K., and New York.
688

689 Schlund, M., A. Lauer, P. Gentine, S. C. Sherwood, V. Eyring (2020). Emergent constraints on
690 Equilibrium Climate Sensitivity in CMIP5: do they hold for CMIP6?. *Earth System Dynamics*.
691 <https://doi.org/10.5194/esd-2020-49>
692

693 Senior, C. A., and J. F. B. Mitchell (2000). The time-dependence of climate sensitivity.
694 *Geophysical Research Letters*, 27(17), 2685-2688. <https://doi.org/10.1029/2000gl011373>
695

696 Shell, K. M., J. T. Kiehl, and C. A. Shields (2008). Using the radiative kernel technique to
697 calculate climate feedbacks in NCAR's community atmospheric model. *Journal of Climate*,
698 21(10), 2269-2282. <https://doi.org/10.1175/2007jcli2044.1>
699

700 Shine, K. P., J. Cook, E. J. Highwood, and M. M. Joshi (2003). An alternative to radiative
701 forcing for estimating the relative importance of climate change mechanisms. *Geophysical*
702 *Research Letters*, 30(20), 2047. <https://doi.org/10.1029/2003GL018141>
703

704 Silvers, L.G., D.J. Paynter, and M. Zhao (2018). The diversity of cloud responses to twentieth
705 century sea surface temperatures. *Geophysical Research Letters*, 45(1), 391-400.
706 <https://doi.org/10.1002/2017GL075583>
707

708 Soden, B. J., A. J. Broccoli, and R. S. Hemler (2004). On the use of cloud forcing to estimate
709 cloud feedback. *Journal of Climate*, 17(19), 3661-3665.
710 [https://doi.org/10.1175/1520-0442\(2004\)017<3661:Otuocf>2.0.Co;2](https://doi.org/10.1175/1520-0442(2004)017<3661:Otuocf>2.0.Co;2)
711

712 Soden, B. J., and I. M. Held (2006). An assessment of climate feedbacks in coupled ocean-
713 atmosphere models. *Journal of Climate*, 19(23), 6263-6263.
714 <https://doi.org/10.1175/Jcli9028.1>
715

716 Soden, B. J., I. M. Held, R. Colman, K. M. Shell, J. T. Kiehl, and C. A. Shields (2008).
717 Quantifying climate feedbacks using radiative kernels. *Journal of Climate*, 21(14), 3504-3520.
718 <https://doi.org/10.1175/2007jcli2110.1>

719
720 Taylor, K. E., R. J. Stouffer, and G. A. Meehl, (2012). An overview of CMIP5 and the
721 experiment design. *Bulletin of the American Meteorological Society*, 93, 485–498.
722 <https://doi.org/10.1175/BAMS-D-11-00094.1>
723
724 Tian, B. J. (2015). Spread of model climate sensitivity linked to double-intertropical convergence
725 zone bias. *Geophysical Research Letters*, 42(10), 4133-4141.
726 <https://doi.org/10.1002/2015gl064119>
727
728 Turner, D. D., A. M. Vogelmann, R. T. Austin, J. C. Barnard, K. Cady-Pereira, J. C. Chiu, et al.
729 (2007). Thin liquid water clouds: Their importance and our challenge. *Bull. Am. Meteorol. Soc.*,
730 88(2), 177–190. <https://doi.org/10.1175/BAMS-88-2-177>
731
732 Vial, J., S. Bony, B. Stevens, and R. Vogel (2017). Mechanisms and model diversity of trade-
733 wind shallow cumulus cloud feedbacks: A review. *Surveys in Geophysics*, 38(6), 1331-1353.
734 <https://doi.org/10.1007/s10712-017-9418-2>.
735
736 Vial, J., J. L. Dufresne, and S. Bony (2013). On the interpretation of inter-model spread in
737 CMIP5 climate sensitivity estimates. *Climate Dynamics*, 41(11-12), 3339-3362.
738 <https://doi.org/10.1007/s00382-013-1725-9>
739
740 Weijer, W, W. Cheng, O. A. Garuba, A. Hu, and B. T. Nadiga (2020). CMIP6 Models Predict
741 Significant 21st Century Decline of the Atlantic Meridional Overturning Circulation.
742 *Geophysical Research Letters*, 47, e2019GL086075.
743 <https://doi.org/10.1029/2019GL086075>
744
745 Wetherald, R., and S. Manabe (1988). Cloud feedback processes in a general circulation model.
746 *Journal of The Atmospheric Sciences*, 45, 1397-1416.
747 [https://doi.org/10.1175/1520-0469\(1988\)045<1397:CFPIAG>2.0.CO;2](https://doi.org/10.1175/1520-0469(1988)045<1397:CFPIAG>2.0.CO;2)
748
749 Winton, M. (2006). Surface Albedo Feedback Estimates for the AR4 Climate Models. *Journal of*
750 *Climate*, 19, 359–365. <https://doi.org/10.1175/JCLI3624.1>
751
752 Wyser, K., van Noije, T., Yang, S., von Hardenberg, J., O'Donnell, D., and Döscher, R. (2020).
753 On the increased climate sensitivity in the EC-Earth model from CMIP5 to CMIP6, *Geosci.*
754 *Model Dev.*, 13, 3465–3474, <https://doi.org/10.5194/gmd-13-3465-2020>
755
756 Xie, Z. H., Liu, S., Zeng, Y. J., Gao, J. Q., Qin, P. H., Jia, B. H., et al. (2018). A high-resolution
757 land model with groundwater lateral flow, water use, and soil freeze-thaw front dynamics and its
758 Application in an endorheic basin. *Journal of Geophysical Research: Atmospheres*, 123(14),
759 7204-7222. <https://doi.org/10.1029/2018jd028369>
760
761 Xie, Z. H., Z. H. Di, Z. D. Luo, & Q. Ma (2012). A quasi-three-dimensional variably saturated
762 groundwater flow model for climate modeling. *Journal of Hydrometeorology*, 13(1), 27–46.
763 <http://doi.org/10.1175/JHM-D-10-05019.1>
764

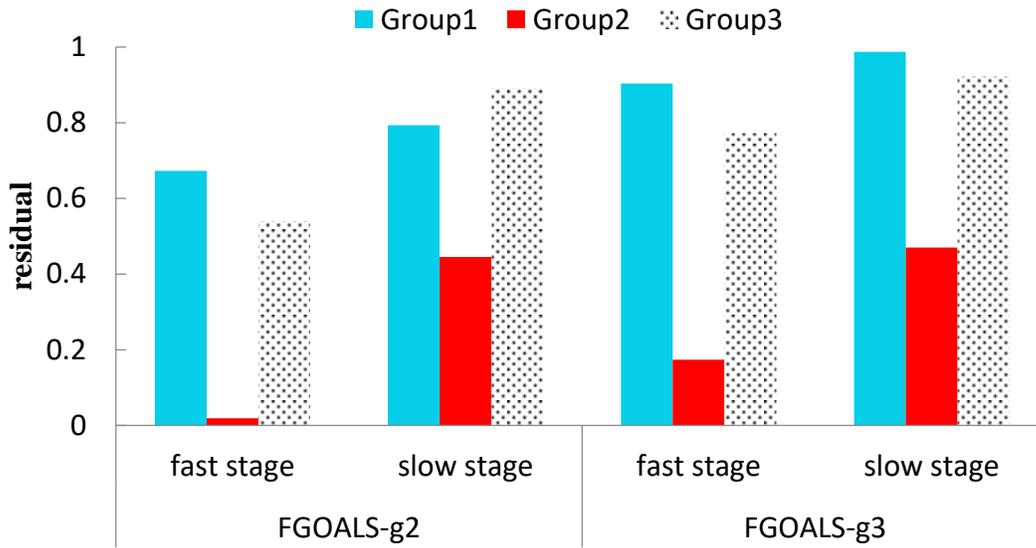
765 Yu, Y. Q., S. L. Tang, H. L. Liu, P. F. Lin, X. L. Li (2018). Development and evaluation of the
766 dynamic framework of an ocean general circulation model with arbitrary orthogonal curvilinear
767 coordinate. *Chinese Journal of Atmospheric Sciences*, 42(4), 877-889.
768 <https://doi.org/10.3878/j.issn.1006-9895.1805.17284>
769
770 Zeebe, R. E. (2011), Where are you heading earth?. *Nature Geoscience*, 4(7), 416-417.
771 <https://doi.org/10.1038/ngeo1196>
772
773 Zelinka, M. D., S. A. Klein, and D. L. Hartmann (2012). Computing and partitioning cloud
774 feedbacks using cloud property histograms. Part II: Attribution to changes in cloud amount,
775 altitude, and optical depth. *Journal of Climate*, 25(11), 3736-3754.
776 <https://doi.org/10.1175/Jcli-D-11-00249.1>
777
778 Zelinka, M. D., T. A. Myers, D. T. McCoy, S. Po-Chedley, P. M. Caldwell, P. Ceppi, et al.
779 (2020). Causes of higher climate sensitivity in CMIP6 models. *Geophysical Research Letters*,
780 47, e2019GL085782. <https://doi.org/10.1029/2019gl085782>
781
782 Zeng, Y. J., Z. H. Xie, S. Liu, J. B. Xie, B. H. Jia, P. H. Qin, et al. (2018). Global land surface
783 modeling including lateral groundwater flow. *Journal of Advances in Modeling Earth*
784 *Systems*, 10. <https://doi.org/10.1029/2018MS001304>
785
786 Zeng, Y. J., Z. H. Xie, Y. Yu, S. Liu, L. Y. Wang, J. Zou, et al. (2016). Effects of anthropogenic
787 water regulation and groundwater lateral flow on land processes. *Journal of Advances in*
788 *Modeling Earth Systems*, 8, 1106-1131
789
790 Zhang, M. H., J. J. Hack, J. T. Kiehl, and R. D. Cess (1994). Diagnostic study of climate
791 feedback processes in atmospheric general circulation models. *Journal of Geophysical Research:*
792 *Atmospheres*, 99(D3), 5525-5537. <https://doi.org/10.1029/93jd03523>
793
794 Zhao, M., J-C Golaz, I.M. Held, V. Ramaswamy, S.-J. Lin, Y. Ming, et al. (2016). Uncertainty in
795 model climate sensitivity traced to representations of cumulus precipitation microphysics.
796 *Journal of Climate*, 29(2), 543-560.
797 <https://doi.org/10.1175/JCLI-D-15-0191.1>
798
799 Zhou, T. J., L. W. Zou, X. L. Chen (2019) Commentary on the Coupled Model Intercomparison
800 Project phase 6 (CMIP6) [J]. *Climate Change Research*, 15 (5): 445-456.
801 <https://doi.org/10.12006/j.issn.1673-1719.2019.193>
802
803 Zou J, Z. H. Xie, Y. Yu, C. S. Zhan, Q. Sun (2014). Climatic responses to anthropogenic
804 groundwater exploitation: a case study of the Haihe River basin, northern China. *Climate*
805 *Dynamics*, 2014, 42, 2125-2145.
806 <https://doi.org/10.1007/s00382-013-1995-2>
807

808 **Table 1.** Vertically-integrated (up to the tropopause) global and decadal mean values of
809 feedback parameters (λ_T , λ_{Planck} , λ_{LR} , λ_{WV} , λ_α , and λ_c) given in $\text{W m}^2 \text{K}^{-1}$, and their sum (λ_{sum})
810 estimated using the CAM5 radiative kernels and CRE under all-sky conditions. The total
811 feedbacks (λ_{tot}) were calculated using the method of Gregory et al. (2004). ‘Res’ indicates the
812 difference between λ_{tot} and λ_{sum} .

version	stage	λ_T	λ_{Planck}	λ_{LR}	λ_{WV}	$\lambda_{\text{WV+LR}}$	λ_{Albedo}	λ_c	λ_{sum}	λ_{tot}	Res
FGOALS -g3	All	-3.5986	-3.1855	-0.4167	2.3278	1.9112	0.5364	-0.3978	-1.1321	-1.3088	-0.1767
	Fast	-3.7650	-3.1750	-0.5940	2.4429	1.8489	0.5380	-0.5423	-1.3263	-1.5000	-0.1737
	Slow	-3.2332	-3.1442	-0.0927	2.3241	2.2314	0.5204	-0.1811	-0.5698	-1.0400	-0.4702
FGOALS -g2	All	-3.4004	-3.1440	-0.2629	2.0494	1.7865	0.6218	-0.0218	-0.7511	-0.8692	-0.1181
	Fast	-3.7775	-3.2110	-0.5729	2.3402	1.7673	0.3764	-0.2902	-1.3511	-1.3700	-0.0189
	Slow	-3.1705	-3.0966	-0.0802	2.1944	2.1142	0.7478	0.0236	-0.2048	-0.6500	-0.4452

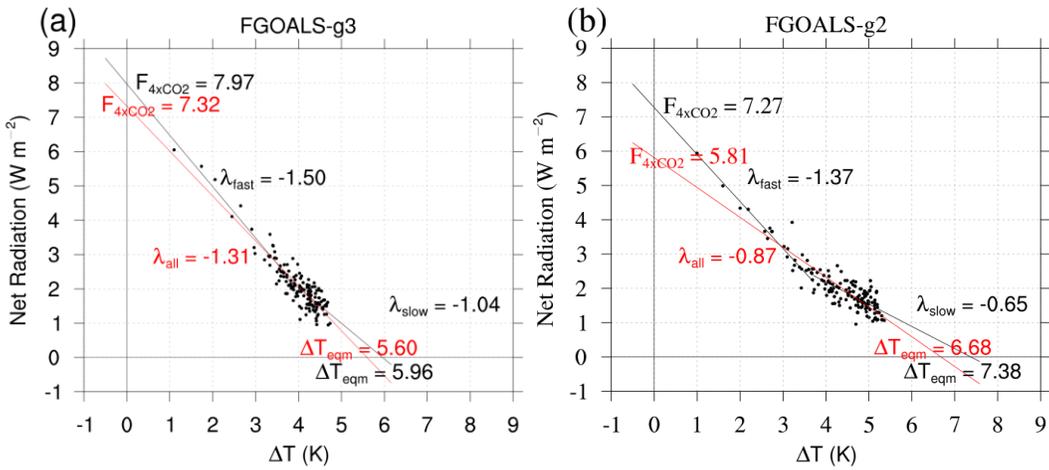
813
814
815
816
817
818
819
820
821
822
823
824
825
826

827 **Figure 1.** The residual term of the three cloud feedback calculation methods: the CAM5
 828 radiative kernel method (group 1), the wholly simplified method ($\lambda_{cloud_corr} = 0$) (group 2), and
 829 the simplified method ($\lambda_{cloud_corr} \neq 0$) (group 3).



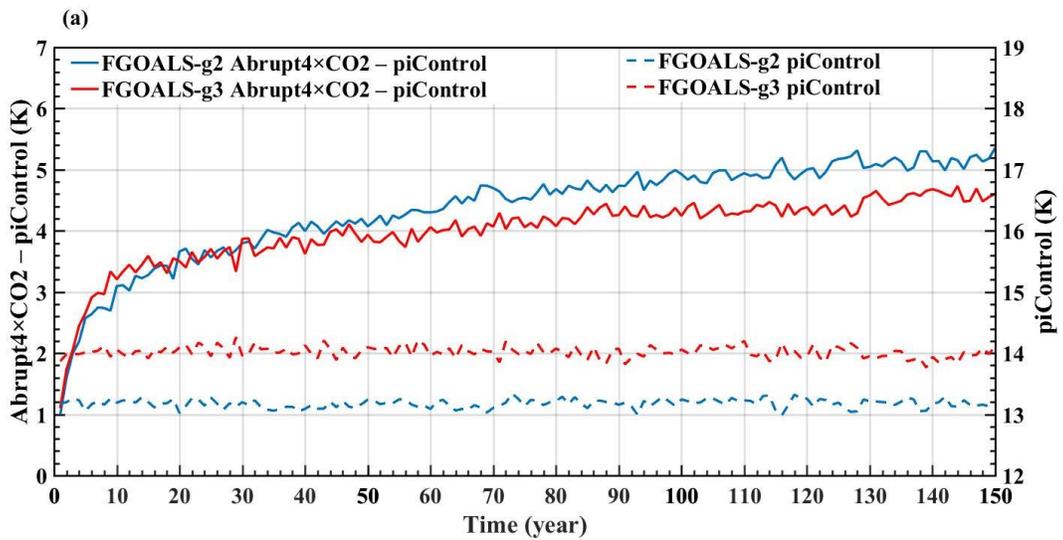
830
 831
 832
 833
 834
 835
 836
 837
 838
 839
 840
 841

842 **Figure 2.** TOA net radiation against global mean SAT change in the abrupt4×CO₂ scenario
 843 relative to the piControl run for (a) FGOALS-g3 and (b) FGOALS-g2. The black lines show the
 844 fast-response stage (the first 20 years) and the slow-response stage (the last 130 years). The red
 845 lines show all 150 years.

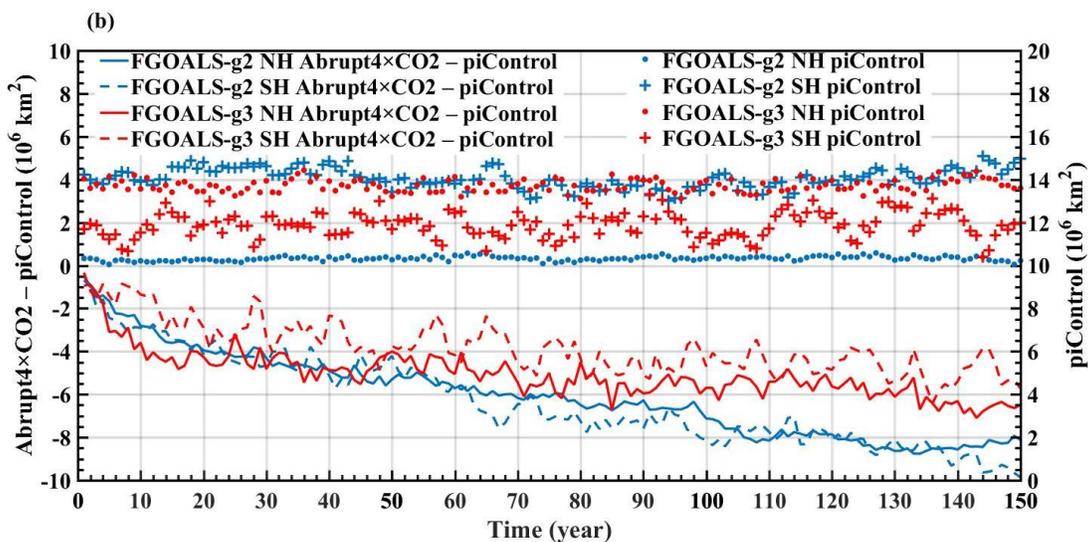


846
 847
 848
 849
 850
 851
 852
 853
 854
 855
 856
 857
 858

859 **Figure 3.** (a) The SAT anomaly change in the abrupt4×CO₂ experiment relative to the
 860 piControl run (solid line), and the SAT base state in the piControl simulation (dashed line) for
 861 FGOALS-g2 (blue) and FGOALS-g3 (red). (b) The SIA anomaly change in the Northern
 862 Hemisphere (solid line) and Southern Hemisphere (dashed line) in the abrupt4×CO₂ experiment
 863 relative to the piControl run, and the SIA base state in the Northern Hemisphere (dots) and
 864 Southern Hemisphere (plus signs) in the piControl simulation for FGOALS-g2 (blue) and
 865 FGOALS-g3 (red).



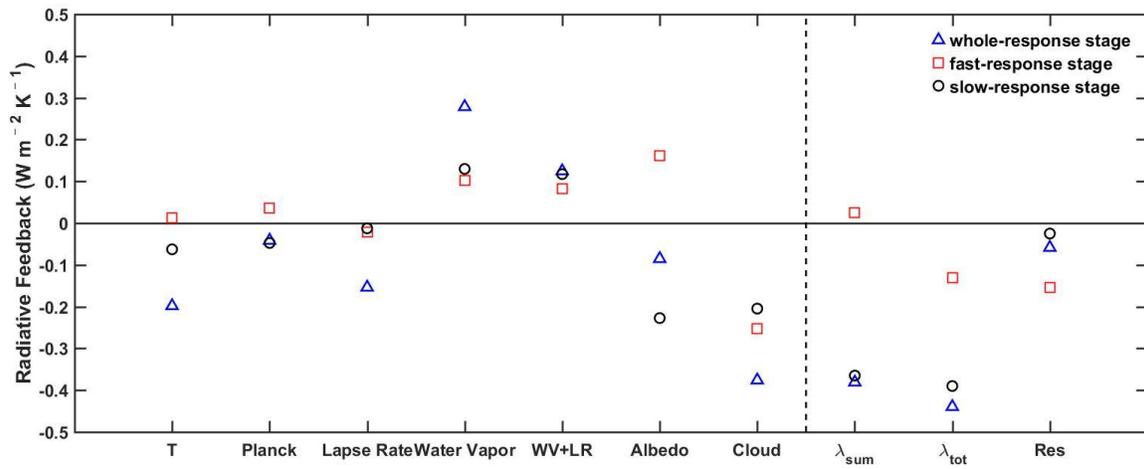
866



867

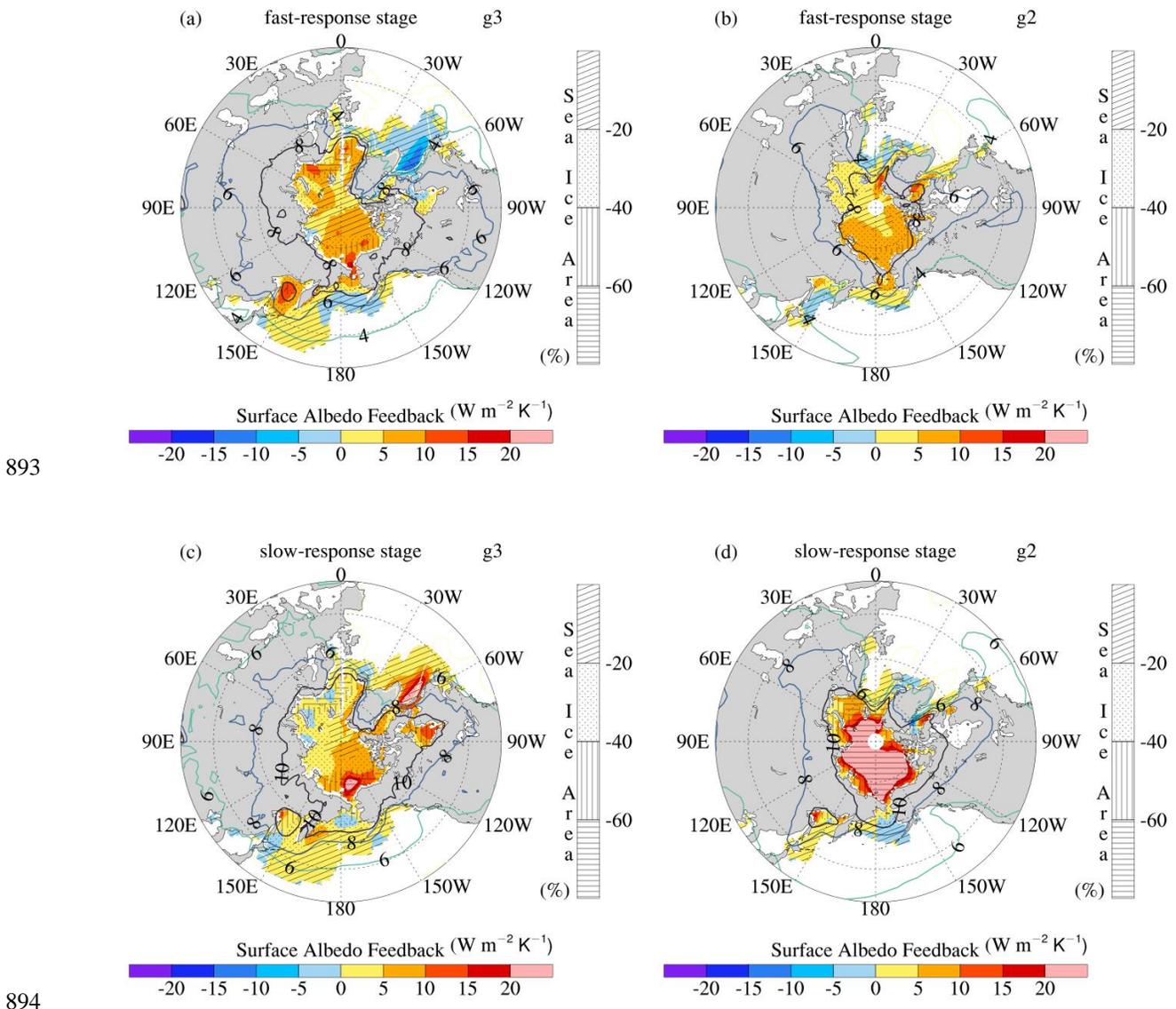
868

869 **Figure 4.** Difference of climate feedback parameters between FGOALS-g3 and FGOALS-
 870 g2, including the total feedback parameter λ_{tot} (calculated using the all-sky net radiation against
 871 the global mean SAT change in the abrupt4 \times CO2 scenario relative to the piControl run), its
 872 components (λ_{T} , λ_{Planck} , λ_{LR} , λ_{WV} , λ_{α} , and λ_{C}), and the sum of all components (λ_{sum}). The
 873 residual, Res, is equal to $\lambda_{\text{tot}} - \lambda_{\text{sum}}$.

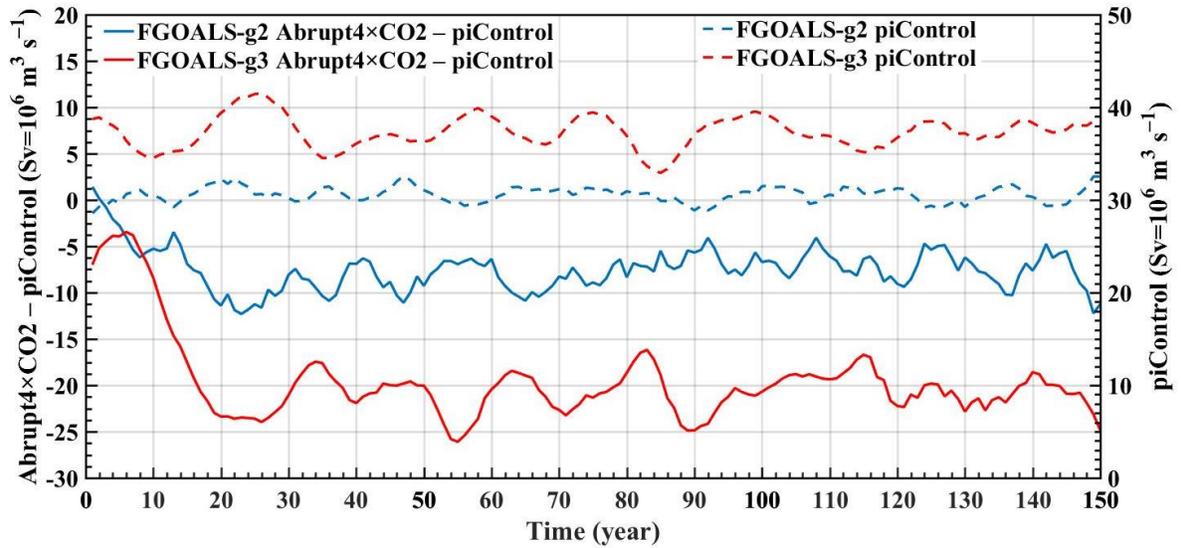


874
 875
 876
 877
 878
 879
 880
 881
 882
 883
 884
 885

886 **Figure 5.** Surface albedo feedback (color fill), SAT (contour line), and SIA (shadow fill) in
 887 the versions of the two model around the Arctic. (a), (b) The surface albedo feedback in the fast-
 888 response stage, and the change of SAT and SIA from the 10th to the 20th year in the
 889 abrupt4×CO₂ experiment relative to the piControl run. (c), (d) The surface albedo feedback in
 890 the slow-response stage, and the change of SAT and SIA from the 140th to the 150th year in the
 891 abrupt4×CO₂ experiment relative to the piControl run. (a), (c) FGOALS-g3, (b), (d) FGOALS-
 892 g2.

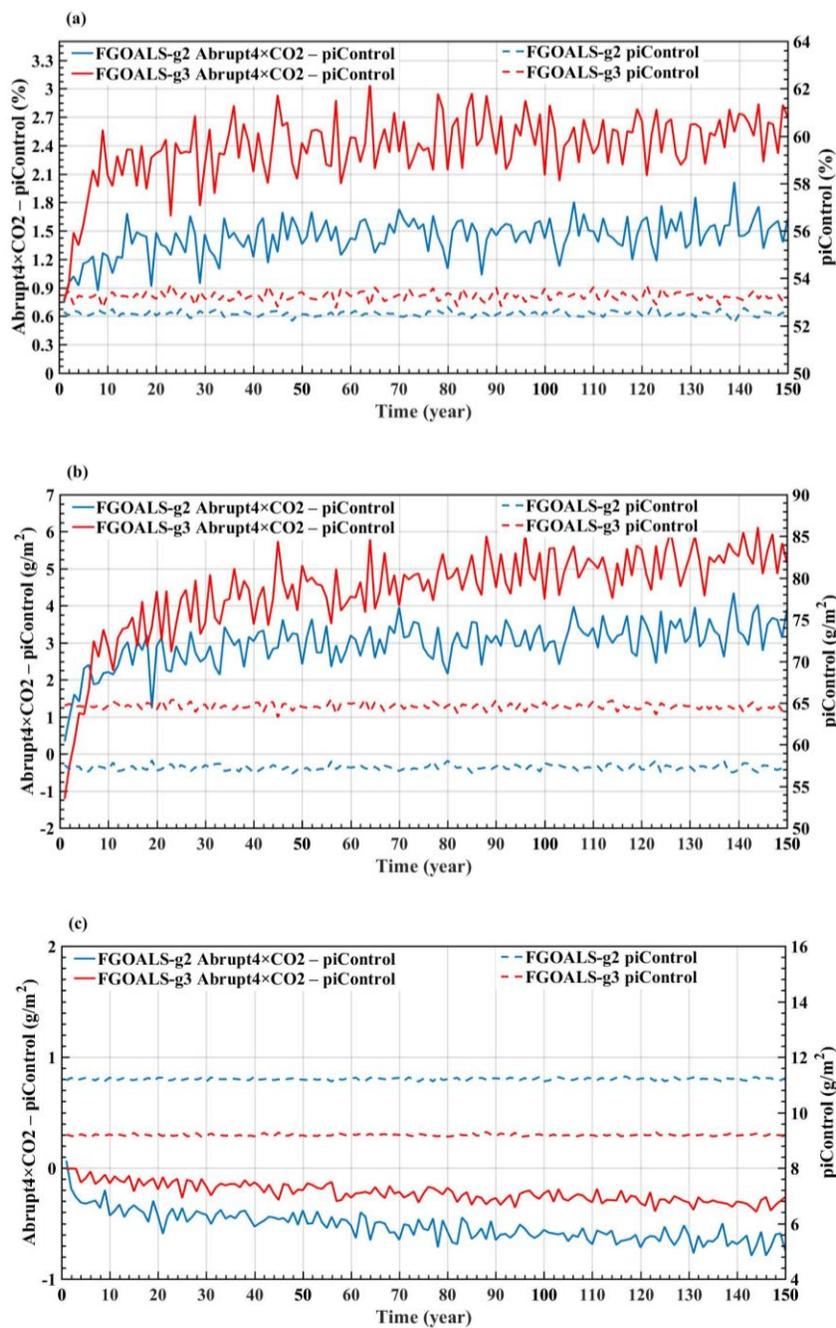


895 **Figure 6.** The AMOC index anomaly change in the abrupt4×CO2 experiment relative to the
896 piControl run (solid line) and the AMOC index base state in the piControl run (dashed line) for
897 FGOALS-g2 (blue) and FGOALS-g3 (red). The AMOC index is defined as the maximum of the
898 meridional overturning stream function between 15°N and 65°N below 500 m in depth.



899
900
901
902
903
904
905
906
907
908
909
910

911 **Figure 7.** Anomaly change in the abrupt4×CO₂ experiment relative to the piControl run
 912 (solid line), and the base state in the piControl run (dashed line) for FGOALS-g2 (blue) and
 913 FGOALS-g3 (red). (a) Total cloud area fraction (CAF), (b) liquid water path (LWP), and (c) ice
 914 water path (IWP).



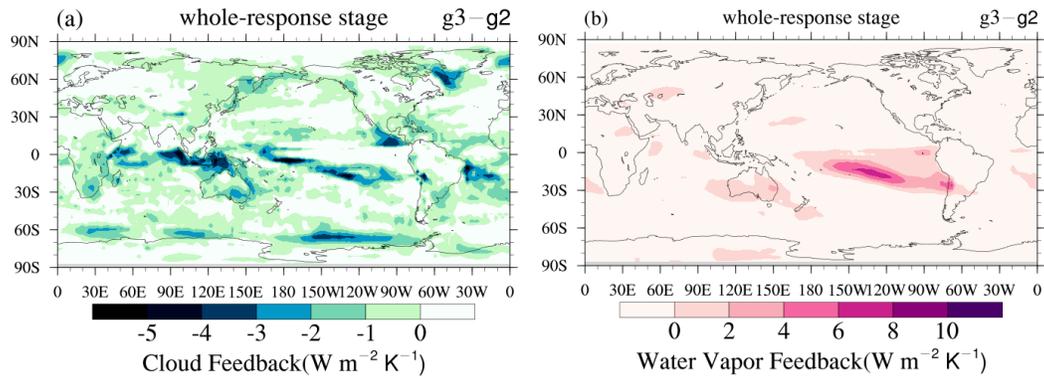
915

916

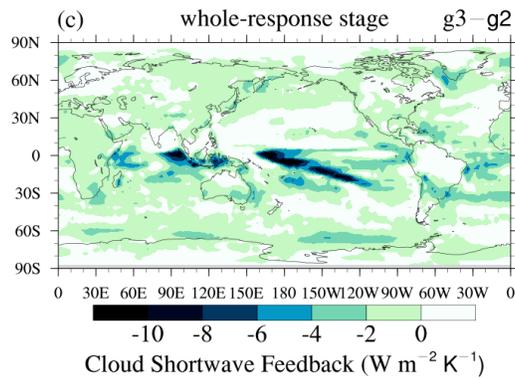
917

918 **Figure 8.** The difference in the distribution of (a) cloud feedback, (b) water vapor feedback,
919 and (c) cloud SW feedback between FGOALS-g3 and FGOALS-g2.

920



921



922

923

924

925

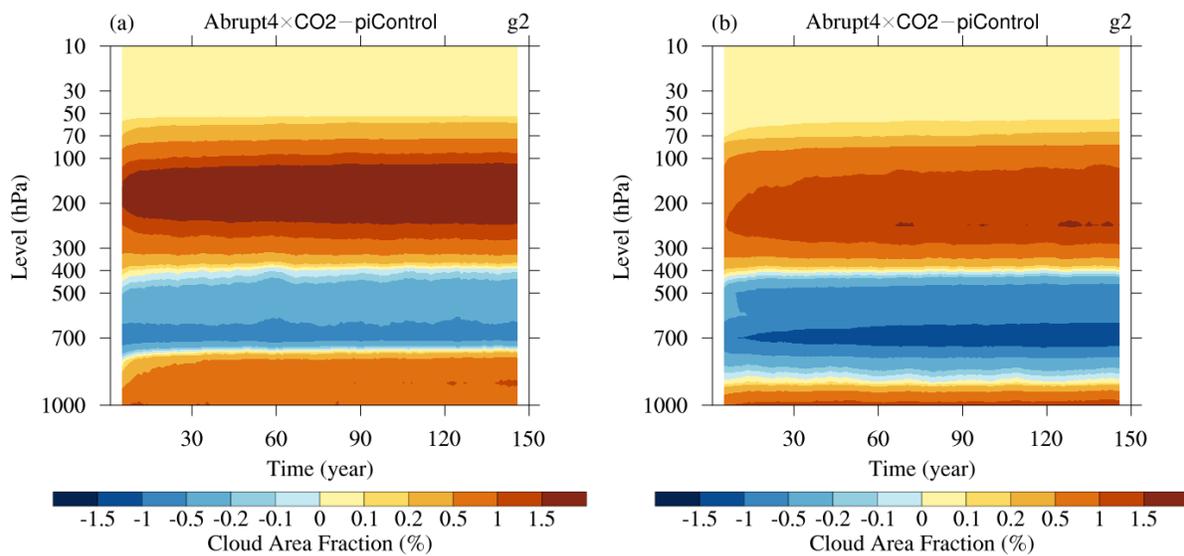
926

927

928

929 **Figure 9.** The CAF profile anomaly in the abrupt4×CO₂ experiment relative to the
930 piControl run for (a) FGOALS-g3 and (b) FGOALS-g2.

931



932

933

934

935

936

937

938

939

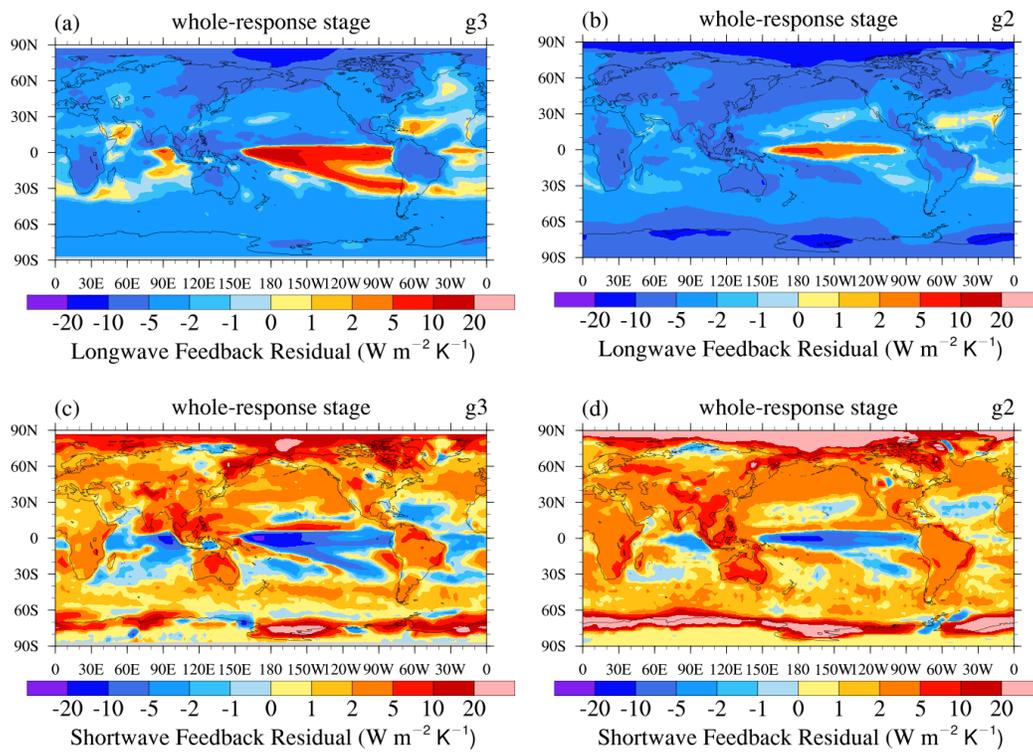
940

941

942

943

944 **Figure 10.** The LW and SW residual term in the abrupt4×CO₂ scenario relative to the
945 piControl run. (a) The LW residual term of FGOALS-g3. (b) The LW residual term of
946 FGOALS-g2. (c) The SW residual term of FGOALS-g3.(d) The SW residual term of FGOALS-
947 g2.



948

949

950