Constraining Fault Friction and Stability with Fluid-Injection Field Experiments

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Abstract

While the notion that injecting fluids into the subsurface can reactivate faults by reducing frictional resistance is well established, the ensuing evolution of slip is still poorly understood. What controls whether the induced slip remains stable and confined to the fluid-affected zone or accelerates into a runaway earthquake? Are there observable indicators of the propensity to earthquakes before they happen? Here, we investigate these questions by modeling a unique fluid-injection experiment on a natural fault with laboratory-derived friction laws. We show that a range of fault models with diverging stability with sustained injection reproduce the slip measured during pressurization. Upon depressurization, however, the most unstable scenario departs from the observations, suggesting that the fault is relatively stable. The models could be further distinguished with optimized depressurization tests or spatially distributed monitoring. Our findings indicate that avoiding injection near low-residual-friction faults and depressurizing upon slip acceleration could help prevent large-scale earthquakes.

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14   **Key Points:**

15   • Multiple frictional models with different stability reproduce the slip observed during the
16   pressurization stage of a field experiment

17   • The depressurization phase provides additional constraints on hydromechanical
18   parameters and hence fault stability

19   • Fault stability and the spatial extent of slip relative to the pressurized region depend on
20   residual friction vs initial stress levels
21

22 **Abstract**

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24 frictional resistance is well established, the ensuing evolution of slip is still poorly understood.

25 What controls whether the induced slip remains stable and confined to the fluid-affected zone or

26 accelerates into a runaway earthquake? Are there observable indicators of the propensity to

27 earthquakes before they happen? Here, we investigate these questions by modeling a unique

28 fluid-injection experiment on a natural fault with laboratory-derived friction laws. We show that

29 a range of fault models with diverging stability with sustained injection reproduce the slip

30 measured during pressurization. Upon depressurization, however, the most unstable scenario

31 departs from the observations, suggesting that the fault is relatively stable. The models could be

32 further distinguished with optimized depressurization tests or spatially distributed monitoring.

33 Our findings indicate that avoiding injection near low-residual-friction faults and depressurizing

34 upon slip acceleration could help prevent large-scale earthquakes.

35

36 **Plain Language Summary**

37   Fluid injections into the Earth's crust are common practice in the exploitation of

38 subsurface energy resources such as geothermal energy, shale gas and conventional

39 hydrocarbons. These injections can perturb nearby fault structures and hence induce earthquakes

40 and transient slow slip. Understanding what controls the stability (i.e., the propensity to generate

41 earthquakes) and spatial extent of the fault response as well as identifying precarious faults is

42 crucial to minimize the seismic hazard associated with these industrial practices. Here, we take a

43 step towards this goal by modeling a unique experiment in which water was injected into a

44 natural fault and the resulting slip measured directly at depth. We first show that multiple models

45   can explain the observations equally well while pressure is increased in the experiment. In these

46   models, how stable the fault response is with further injection and how large of a zone is

47   reactivated compared to the fluid-affected region depends on frictional properties. We then

48   demonstrate that the slow slip response to a decrease in injection pressure further constrains the

49   range of admissible models. Our work suggests that it may be possible to identify potentially

50   hazardous faults with optimally designed injection tests without inducing damaging earthquakes.

51

1 Introduction

53   Earthquakes induced by fluid injection into the subsurface pose a major challenge for the

54   geoenergy industry and society in general (Ellsworth, 2013; Grigoli et al., 2017). Tectonically-

55   quiescent regions where dormant faults could be reactivated are particularly challenging, as their

56   infrastructure is often not designed for large-magnitude induced earthquakes (McGarr et al.,

57   2015). At the same time, some faults have been observed to slip stably at aseismic speeds of 10^{-7}

58    $- 10^{-2}$ m/s in response to fluid injection (Cornet et al., 1997; Duboeuf et al., 2017; Guglielmi et

59   al., 2015; Scotti & Cornet, 1994; Wei et al., 2015). While induced earthquakes have been located

60   anywhere from a few meters to tens of kilometers from injection wells (Goebel & Brodsky,

61   2018), the spatial extent of fluid-induced aseismic slip is not as well characterized due to the

62   paucity of direct observations. Understanding what conditions lead to seismic versus aseismic

63   and localized versus widespread fault reactivation is central to physics-based hazard forecasting.

64   An outstanding opportunity to investigate these questions is offered by a decametric-scale

65   fluid injection experiment recently conducted in an underground tunnel intercepting a dormant

66   fault in a carbonate formation (Guglielmi et al., 2015) (Figure 1A). During the experiment, the

67   fluid pressure and fault slip were recorded at the injection site. Although the observed slip was

68   mostly aseismic, it is important to understand if the observations contained sufficient information

69   to determine whether slip would have accelerated into an earthquake rupture if injection had

70   continued. Previous efforts to model the field experiment with a slip-weakening friction law

71   concluded that aseismic slip outgrew the pressurized zone, potentially leading to a runaway

72   earthquake with continued injection (Bhattacharya & Viesca, 2019).

73   Here, we use the data from the field experiment to examine the issue of slow and

74   confined vs. fast and runaway slip in models with more realistic, laboratory-derived rate-and-

75   state friction laws (Dieterich, 1979, 2007; Ruina, 1983) consistent with laboratory results on

76   materials from this specific fault zone (Cappa et al., 2019). Furthermore, we use the modeling to

77   identify promising avenues to quantify the fault properties and control injection-induced

78   seismicity hazard. We adopt a fully-dynamic computational framework that resolves both

79   aseismic and seismic slip on faults. We keep other model ingredients relatively simple to better

80   understand frictional effects in the presence of a diffusing fluid. For example, we do not

81   explicitly model the change in fault permeability induced by slip as in previous studies

82   (Bhattacharya & Viesca, 2019; Cappa et al., 2019; Guglielmi et al., 2015). Nonetheless, we find

83   that multiple frictional scenarios of varying spatial behavior and proneness to large earthquakes

84   match the slip observations of the field experiment equally well during fault pressurization. We

85   also find that depressurization provides further constraints that could help identify potentially

86   hazardous faults.

87

88   **2 Data and Methods**

89   2.1 A unique fluid-injection experiment on a natural fault

90   The unprecedented field experiment involved injecting water directly into the fault zone and

91   measuring the resulting fault slip at a depth of 280 m with a specially designed borehole probe

92   (Guglielmi et al., 2015) (Figure 1A). Prior to the experiment, the shear and normal stress acting

93   on the fault were estimated at 1.65 +/- 0.5 and 4.25 +/- 0.5 MPa, and the permeability and bulk

94   modulus of the initially dry fault at 7 x 10^{-12} m^2 and 13.5 +/- 3.5 GPa, respectively. Figure 1B

95   summarizes the main observations of the experiment, including the deceleration of slip

96   associated with depressurization not discussed in previous works. The slip measured during the

97   pressurization phase displays three distinct slip stages. At first, the fault is inactive and no

98   significant slip is recorded. The second stage initiates between 300 and 400 s when slip rates

99   attain ~10^{-7} m/s and the accumulated slip becomes measurable within the timeframe of the

100   experiment. Stage 3 corresponds to the sharp acceleration to slip velocities of ~10^{-6} m/s without

101   any significant increase in injection pressure at ~1200 s. Hydromechanical modeling suggests

102   that 70% of the 20-fold increase in permeability during the experiment occurred prior to this

103   acceleration (Guglielmi et al., 2015). Laboratory experiments were also performed on grinded

104   materials from the fault zone to further constrain the rate-and-state frictional properties (Cappa et

105   al., 2019).

106   2.2 Diffusion of pore fluid pressure into the fault zone

107   We model the field experiment as a fluid injection into a planar fault embedded in an

108   elastic medium (Figure 1AC). We simulate the fluid injection by prescribing an evolution of pore

109   pressure at the center of the fault that approximates the pressure history of the field experiment

110   (Figure 1B, top). Simulations with a smooth pressure evolution result in similar but easier to

111   interpret simulation results than those with the exact pressure history (Figures S1-S2).

112   The imposed pressure diffuses axisymmetrically into the fault plane as follows:

$$\frac{\partial p(r,t)}{\partial t} = \alpha \left(\frac{\partial^2 p(r,t)}{\partial r^2} + \frac{1}{r}\frac{\partial p(r,t)}{\partial r} \right) \tag{1}$$

113   where p is the pore pressure, r is the radial distance from the injection interval, t is time, and α

114   is the hydraulic diffusivity. The diffusion is numerically implemented using a forward finite

115   difference scheme. Injection pressure is prescribed at a distance of $r_{inj} = 0.05$ m from the center

116   of the fault to mimic the experimental procedure. Although we prescribe zero pressure boundary

117   conditions to emulate the initially dry fault, the choice of boundary condition is not essential here

118   because the size of the simulated fault (250 m) is larger than that of the pressure diffusion.

119   Models with larger fault domains produce nearly identical results (Figure S3).

120   Although both pressure and flow rate are reported as part of the field experiment, the

121   exact value of the hydraulic diffusivity α is still uncertain because the spatial extent of the

122   pressurized zone and the fault thickness over which the diffusion occurs, b, are poorly

123   constrained. The volumetric flow rate, Q, depends on b as:

$$Q = -\frac{kb(2\pi r_{inj})}{\eta}\frac{\partial p}{\partial x} \tag{2}$$

124   where k is the permeability of the fault zone, r_{inj} is the injection radius and η the dynamic

125   viscosity of water. Hence, for a given flow rate, there is a trade-off between the fault thickness b

126   over which the fluid diffusion occurs and the permeability k (and hence hydraulic diffusivity $\alpha = $

127    $\frac{k\rho g}{\eta S_S}$, where S_S is the specific storage) of the fault zone. In Section 3, we use hydraulic

128   diffusivities of 0.04, 0.20, and 0.85 m^2/s to match field experimental measurements of slip for

129   different friction regimes. Assuming the specific storage of $S_S = 2 \times 10^{-4}$ m^{-1} as in Bhattacharya

130   and Viesca (2019), these hydraulic diffusivities correspond to the permeability values of 0.8, 4,

131   and 17 $\times 10^{-12}$ m^2 that are within the ranges presented in previous studies that considered

132   permeability enhancement: 0.8 to 1.3 ×10^{-12} m^2 (Bhattacharya and Viesca, 2019) and 7 to 100

133   ×10^{-12} m^2 (Guglielmi et al., 2015). These permeability values are also consistent with the flow

134   rates measured in the field experiment, for reasonable values of the fault thickness b of 29, 6.7,

135   and 1.8 cm, respectively (Figure 1B). While considering permeability enhancement may be

136   necessary to match the finer features of the pressure and flow rate histories (unless the fault

137   thickness b affected by fluid flow varies with time or with space), all three combinations of the

138   parameters we use reproduce the hydrologic observations to the first order. We therefore

139   consider a range of constant hydraulic diffusivity (and hence permeability) values in our search

140   for models that reproduce the main features of the experimental observations.

141

142   2.3 Numerical modeling of fluid-induced fault slip

143   As fluid pressure increases and diffuses into the fault plane, fault friction eventually

144   decreases and measurable slip ensues (Figure 1C). We model this induced fault slip using a fully-

145   dynamic 2D antiplane boundary integral method capable of simulating the complete seismic

146   cycle including both aseismic and seismic deformation (Lapusta et al., 2000; Noda & Lapusta,

147   2013). Fault slip is governed by the following elastodynamic equation:

$$\tau(x,t) = f[\sigma - p(x,t)] = \tau_{ini} + F[\delta(x,t)] - \frac{\mu}{2c_s}V(x,t) \qquad (3)$$

148   where τ is the shear stress, f the friction coefficient, σ the normal stress, τ_{ini} the initial (i.e.,

149   background) shear stress, F a linear functional which depends on the slip history, δ, μ the shear

150   modulus of the elastic medium, c_s the shear wave speed and V the slip rate. The friction

151   coefficient in (3) follows an empirical rate-and-state formulation derived from laboratory

152   experiments which describes the dependence of f on the slip rate and a state variable θ

153   (Dieterich, 1979, 2007; Ruina, 1983):

$$f(V, \theta) = f^* + a \ln \frac{V}{V^*} + b \ln \frac{V^* \theta}{D_{RS}}$$ (4)

154   where a and b are the direct and evolutionary rate-and-state parameters, D_{RS} is the critical slip

155   distance and f^* is a reference coefficient of friction at reference slip rate V^*. The state variable is

156   assumed to evolve according to the aging law (Marone, 1998; Ruina, 1983).

157   As the fault in the experiment is inactive prior to the fluid stimulation, the modeled fault

158   is not loaded tectonically. Fault slip is thus purely fluid-induced, i.e., no significant slip would

159   occur without the injection within the time scales considered in the simulations. To initialize the

160   models, we impose shear and normal stresses in agreement with the values reported at the field

161   site prior to the experiment (Guglielmi et al., 2015) and initial state variable values consistent

162   with a dormant, highly healed fault (Text S1; Figures S4-S7). The corresponding initial slip rate

163   is then computed from Eq. (4).

164

165   **3. Results**

166   3.1 Models in agreement with the slip observations during pressurization

167   By first limiting our analysis to the pressurization stage of the experiment (up to 1400 s),

168   we find that the observations are equally well reproduced by a family of models. Three

169   representative cases, which we denote lower-, intermediate- and higher-friction models, are

170   shown in Figures 2A-C and S8 to S11 and Table S1. Below we explain how we constrained these

171   models by examining how the various parameters govern the transitions between the different

172   slip stages and considering the trade-off between friction and fluid pressure.

173   At the beginning of all simulations, slip rates are low and both inertial effects and elastic

174   stress transfers are negligible. Eq. (3) then reduces to:

$$f(V,\theta)[\sigma - p(x,t)] = \tau_{ini} \qquad (5)$$

175 As p increases and τ_{ini} remains constant over time, f must increase via growing slip rates in

176 order for (5) to remain true, resulting in a balance between the direct frictional effect and

177 changes in pore pressure (Dublanchet, 2019). Slip rate and friction continue increasing until slip

178 becomes significant at $V \sim 10^{-7}$ m/s. The onset of significant slip thus approximately coincides

179 with the maximum friction reached during the simulations (Figures 2AB, S8). The peak friction,

180 f^p, can be approximated as:

$$f^p \sim f^* + a \ln \frac{V_s}{V^*} + b \ln \frac{V^* \theta_{ini}}{D_{RS}} \qquad (6)$$

181 where $V_s = 10^{-7}$ m/s. The state variable remains at its initial value, θ_{ini}, as it has not evolved

182 significantly yet due to negligible slip and short healing time compared to its large initial value.

183 Moreover, because the fluid pressure at the injection site is known at all times, we can relate f^p

184 to the timing of slip initiation, t_s:

$$f^p = \frac{\tau_{ini}}{[\sigma - p(0,t_s)]} \qquad (7)$$

185 It is thus possible to control t_s by computing the corresponding f^p with Eq. (7) and selecting f^*,

186 a, b, θ_{ini} and D_{RS} such that Eq. (6) is satisfied. The three example models have t_s between 300

187 and 400s and f^p between 0.84 and 0.99 (Figures 2B, S8).

188   Once significant slip starts accumulating, the fault begins weakening until it reaches

189 steady state and friction reaches its quasi-static residual value of $f^r = f^* + (a - b) \ln V / V^*$ at

190 the latest stage of the fault pressurization experiment (Figure 2B, S8). As in Dublanchet (2019)'s

191 rate-strengthening models, we find that this transition to steady state is accompanied with a

192 marked acceleration in slip rate (Phase II in Dublanchet, 2019) which we assume to explain the

193 acceleration observed at 1200s.

194   The critical slip distance, δ_c, over which friction weakens from f^p to f^r can be

195   approximated as:

$$\delta_c \sim \frac{f^p - f^r}{b/D_{RS}} \tag{8}$$

196   since $\frac{\partial f}{\partial \delta} \sim \frac{b}{D_{RS}}$. Furthermore, from elasticity, slip is related to stress drop by:

$$\Delta\delta \propto \frac{\Delta\tau h}{\mu} \tag{9}$$

197   where h is the length of the slipping zone. By equating Eq. (8) and (9) at the center of the fault,

198   we can estimate the slipping zone size, h_{ac}, at which steady state is reached and Stage 3 initiates:

$$h_{ac} \propto \frac{\mu\, D_{RS}}{b} \frac{f^p - f^r}{\Delta\tau} \tag{10}$$

199   Moreover, by choosing V^* to be on the same order of magnitude as the fastest slip rate measured

200   during the field experiment ($V^* = 10^{-6}$ m/s), we can approximate f^r with f^* since the

201   contribution of $(a - b)\ln V/V^*$ becomes small compared to that of f^*. Eq. (10) can then be

202   rewritten in terms of known parameters as:

$$h_{ac} \propto \frac{\mu\, D_{RS}}{b} \frac{a \ln \frac{V_s}{V^*} + b \ln \frac{V^* \theta_{ini}}{D_{RS}}}{\tau_{ini} - f^*[\sigma - p(0, t_{ac})]} \tag{11}$$

203   where t_{ac} denotes the onset of Stage 3. For all the simulations presented in this work, we find

204   that adding a pre-factor of 3 to Eq. (11) provides a good estimate of the slipping zone size at t_{ac}

205   (Text S2). Remarkably, h_{ac} only depends on quantities at the injection site. We can thus control

206   the initiation of Stage 3 in our simulations by tuning the model parameters such that the slipping

207   zone reaches length h_{ac} at ~1200s as is the case for our three representative models in Figure 3.

208   Another critical aspect in these simulations is the balance between friction and the pore

209   pressure forcing. Figures S20-S23 illustrate how the aseismic slip zone grows with decreasing

210 f^* and increasing α, respectively. In particular, during Stage 3, the spatial extent of the slipping

211 zone with respect to the pressurized zone and the slip rate at the injection site depend on the

212 difference between the residual and initial friction, $f^r - f_{ini}$, which controls the elastic energy

213 available to drive fault rupture once initiated (Bhattacharya & Viesca, 2019; Dublanchet, 2019;

214 Galis et al., 2017; Garagash & Germanovich, 2012) (Figure S19A-C). Note that this is distinct

215 from the difference between peak and initial friction, $f^p - f_{ini}$ (e.g., Gischig, 2015), which

216 controls the timing of fault reactivation as discussed above.

217   Given all these consideration, for each diffusion scenario presented in Figure 1B, we find

218 a corresponding frictional model by adjusting f^* such that the simulated slip matches the

219 observations during the first 2 slip stages and produces a sufficiently large slip transient during

220 Stage 3. To be able to use f^* values in agreement with the range $f^r = 0.55$ - 0.65 inferred from

221 laboratory experiments on the grinded fault zone material (Cappa et al., 2019), we set f_{ini} to 0.54

222 ($\tau_{ini} = 2.15$ MPa, $\sigma = 4.00$ MPa), which is within the uncertainty range of the initial stress

223 measurements. The selected values of f^* restrict the range of possible values for the term

224 $b \ln V^* \theta_{ini}/D_{RS}$ in Eq. (6) in order for slip to initiate between 300 and 400 s, which in turn

225 restricts factor $\mu D_{RS}/b$ in Eq. (11) in order for Stage 3 to initiates at 1200s. The factor μD_{RS}

226 which appears in estimates of critical nucleation lengths also needs to be large enough to avoid

227 nucleation of dynamic events within the experimental time (e.g., Rice & Ruina, 1983; Rubin &

228 Ampuero, 2005). Finally, we fine tune parameters a and θ_{ini} to adjust the slope and timing of the

229 acceleration, respectively. Note that decreasing a while keeping b constant increases the slope of

230 the slip acceleration - due to the (weak) dependence of f^r on $(a - b)$ – and eventually leads to

231 the nucleation of a dynamic event right at t_{ac} (Figure S16 and S19D-F). This procedure results in

232 a family of models with $f^* = 0.48$ to 0.60, $a - b = $ -0.001 to -0.005 ($b = 0.016$), $\theta_{ini} = 1.2 \times 10^{12}$

233 to 7.0x10^{12} s and α = 0.04 to 0.85 m^2/s that match the slip observations equally well during

234 pressurization.

235 Although the three models exhibit comparable slip histories at the injection site, they

236 differ in features that were not directly accessible to field observation. In particular, their spatial

237 behaviors differ qualitatively (Figure 3, S9-S11). Defining the pressurized zone with 0.5 MPa

238 pressure contours as in previous works, the lower-friction scenario produces an aseismic front

239 that outruns the pressurized region, within 1400 s, as in slip-weakening models (Bhattacharya &

240 Viesca, 2019) (Figure 3D). By contrast, in the higher-friction model, which reproduces the

241 observations equally well, aseismic slip remains confined well within the pressurized area

242 (Figure 3F). Our models demonstrate that slip did not necessarily extend beyond the pressure

243 perturbation during the experiment; that explaining a slip history at a single point in space is a

244 non-unique problem; and that further hydro-mechanical complexity is not required to explain the

245 observed slip to first order. Monitoring fault slip and fluid pressure along the length of the fault,

246 directly with additional probes or remotely with geophysical methods, would help distinguish

247 between these different scenarios and would allow to study additional fault processes such as

248 permeability evolution and inelastic dilatancy (Segall & Rice, 1995).

249 3.2 Distinguishing between models with depressurization

250 We find that the depressurization stage of the field experiment, which was not discussed

251 or modeled in previous studies (Bhattacharya & Viesca, 2019; Cappa et al., 2019; Derode et al.,

252 2015; Guglielmi et al., 2015), contains valuable information on fault properties. In this pressure-

253 reduction stage, the lower-friction model features a pronounced delayed slip response that is not

254 observed in the experiment or in the other two cases (Figure 2A). The intermediate- and higher-

255 friction models, which also have higher hydraulic diffusivities, thus explain the entire set of

256   observations better than the lower-friction model. Further discriminating between these two

257   models is not possible with the current dataset because, by the time depressurization is initiated,

258   the slip rates in these simulations are too low to produce a detectable difference in incremental

259   slip. However, if the injection pressure is decreased more gradually and earlier in the

260   acceleration phase – at which point the intermediate- and higher-friction scenarios have

261   approximately the same (and higher) slip rate – the three scenarios lead to diverging levels of

262   incremental slip (Figure 2D). As we only investigate a limited portion of the rate-and-state

263   parameter space in this study, we cannot conclude that timely depressurization can uniquely

264   discriminate between all possible frictional scenarios. However, it is clear that timely

265   depressurization can provide additional constraints on the frictional and hydromechanical

266   properties of fault zones.

267   In addition to fitting the entire set of slip observations better, models with f^* of 0.55 and

268   0.60 are also more consistent with the range of residual friction values of 0.55 to 0.65 derived

269   from laboratory experiments on grinded fault gouge (Cappa et al., 2019). Moreover, the initial

270   fault conditions implied by these higher-friction cases are fully consistent with those of a

271   dormant fault whereas the low-friction case is not (Text S1). Our preferred model for the site of

272   the injection experiment is thus a rate-weakening fault with $0.55 < f^* < 0.60$, $0.20 < \alpha < 0.85$

273   m^2/s, $a = 0.011$ and $b = 0.016$. This is in contrast to the original Guglielmi et al. (2015) study in

274   which the authors inferred a rate-strengthening fault from a spring-slider model with

275   permeability enhancement. Within the limited parameter space that we explored through the

276   procedure outlined in section 3.1, we could only find rate-strengthening models with relatively

277   low f^* and hence ones that only match the pressurization stage of the experiment (Figure S24). It

278   is possible that there are 2D models with rate-strengthening parameters that match the entire slip

279   history that we have not considered here, which would further strengthen our conclusions that

280   the field measurements can be matched with multiple friction scenarios and that the

281   depressurization stage provides further constraints than pressurization alone.

282   3.3 Diverging fault stability with sustained injection

283   Modeling what would have happened if the fluid injection had continued for longer

284   highlights why distinguishing between the three qualitatively different scenarios identified in this

285   study is crucial. In response to an extended constant-pressure injection (Figure 4, Figures S3,

286   S25-S27), the low-friction fault nucleates an earthquake almost immediately, while the

287   intermediate and higher-friction faults decelerate and continue slipping aseismically before

288   eventually transitioning to seismic slip rates. Once a seismic rupture initiates, whether it is self-

289   arrested or run-away depends on the *dynamic* residual friction, f^d, which is generally slightly

290   lower than f^r (Galis et al., 2017; Garagash & Germanovich, 2012). If $f^d < f_{ini}$, as in the low-

291   and intermediate-friction cases (Figure 4B), the rupture may release enough elastic energy to

292   propagate beyond the fluid-affected regions and would only be stopped by less favorably

293   stressed fault patches, geometrical barriers, or more stable materials not present in the current

294   model (Figures 4C,D). Such runaway ruptures may be preceded by smaller ruptures or aseismic

295   slip transients (Figures S15 and S19A); indeed, in fracture mechanics models (Galis et al., 2017),

296   the transition to runaway rupture requires a certain balance between fluid pressurization and

297   background stress to be reached. If $f^d < f_{ini}$, as in the high-friction case, the rupture self-arrests

298   once out of the pressurized zone (Figure 4E). For low- to intermediate-friction faults, the

299   maximum expected earthquake magnitude, M_{max}, is thus controlled by hydro-mechanical and

300   geometrical fault properties as opposed to injection attributes (e.g., cumulative volume injected)

301   (van der Elst et al., 2016; Galis et al., 2017; Gischig, 2015; McGarr, 2014). For example, varying

302   the injection rate in our simulations does not alter the event size (Figure S28). In the

303   intermediate-friction case, the fault ultimately undergoes a runaway earthquake despite having

304   stably released energy for over an hour, thus demonstrating that aseismic slip does not signify an

305   absence of earthquake hazard. Fortunately, comparing the depressurization and prolonged

306   injection scenarios reveals that reducing the injection pressure might be sufficient to suppress

307   earthquake nucleation at the injection site. The lower the friction on the fault, the faster the rate

308   of this depressurization needs to be (Figure S29). Note, however, that earthquakes could still be

309   triggered by aseismic slip itself on more unstable heterogeneities away from the injection site

310   (Eyre et al., 2019; Guglielmi et al., 2015).

311   **4 Discussion and Conclusions**

312   To summarize, our modeling of a fluid-injection experiment into a fault zone reveals that

313   the difference between fault prestress and quasi-static or dynamic fault friction controls whether

314   slip is confined to the fluid-affected zone or outruns it. We find that: (i) multiple scenarios with

315   different hydrologic assumptions and friction levels are consistent with the measured slip at the

316   injection site during the pressurization phase, (ii) the low-friction scenario in which slow slip

317   outruns the pressurized region is inconsistent with slip during the depressurization phase, and

318   (iii) the high-friction scenario, in which the slipping zone is well confined within the pressurized

319   region, is most consistent with the full range of information from the experiment, including the

320   fault behavior during fault depressurization and laboratory friction measurements on the

321   materials from the fault zone. Key hydro-mechanical parameters such as the difference between

322   quasi-static friction and initial normalized prestress, $f^r - f_{ini}$, the rate dependence of friction,

323    $a - b$, and the hydraulic diffusivity, α, exercise a first-order control on the stability and spatial

324   extent of a fault response to fluid injections. Further constraining these parameters is thus critical

325   for seismic hazard management. In the geoenergy industry, test injections with timely

326   depressurization and spatiotemporal monitoring of fluid pressure and aseismic slip could be

327   performed prior to exploitation to ensure that there are no low-friction faults nearby. Our

328   findings show that augmenting fault-pressurization experiments with suitably designed

329   depressurization phases and multiple monitoring locations along the fault could provide

330   invaluable insight into the physics of both induced and natural earthquakes (Savage et al., 2017)

331   and friction properties of dormant faults. Such more advanced injection experiments and

332   corresponding modeling work will potentially be able to assess the effects and relative

333   importance of additional mechanisms (e.g., poroelastic stresses (Deng et al., 2016; Goebel et al.,

334   2017; Segall & Lu, 2015), slip-induced dilatancy (Cappa et al., 2019; Segall & Rice, 1995), bulk

335   fluid diffusion, and enhanced dynamic weakening) and complexity (e.g., material heterogeneities

336   (Eyre et al., 2019)).
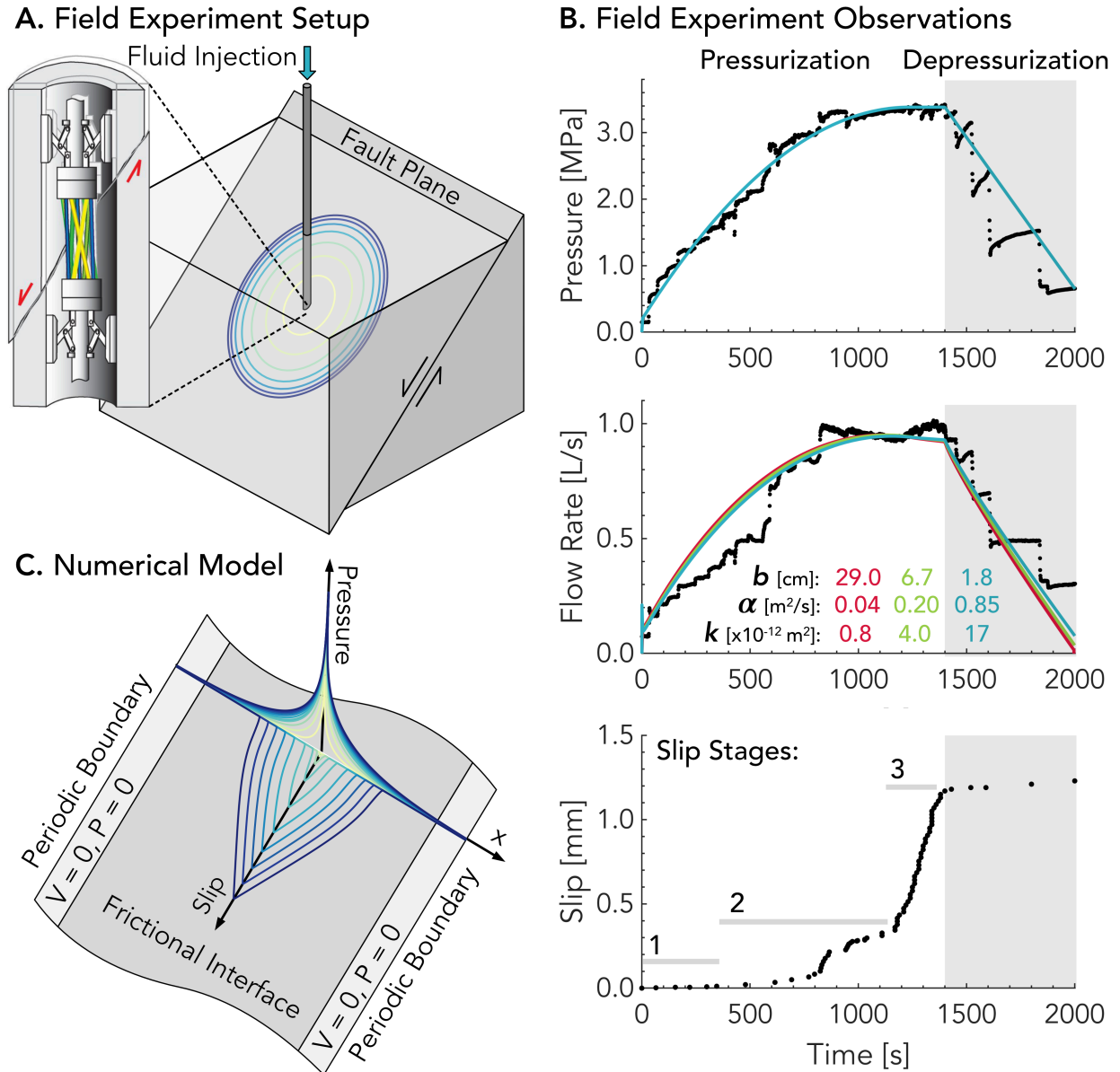
Figure 1. In situ measurement and modeling of fault slip induced by fluid injection. (A) Schematic of the field experiment presented in Guglielmi et al. (2015) in which fluid injected into a borehole crossing a natural but inactive fault caused its reactivation. A special borehole probe (SIMFIP) was used to measure the fault displacements directly at the injection site. **(B)** Pressure, flow rate, and fault slip measured during the field experiment. The colored lines and associated parameters correspond to the three different hydrological models considered in this study. The grey area indicates the depressurization stage that has not been shown nor modeled in prior studies. **(C)** Schematic of the model used to simulate slip on a fault plane embedded in an elastic bulk medium. Snapshots of a sample fluid pressure diffusion scenario and its resulting fault slip are shown for illustration (the darker colors indicate later times). Schematics **(A)** and **(C)** are not to scale.
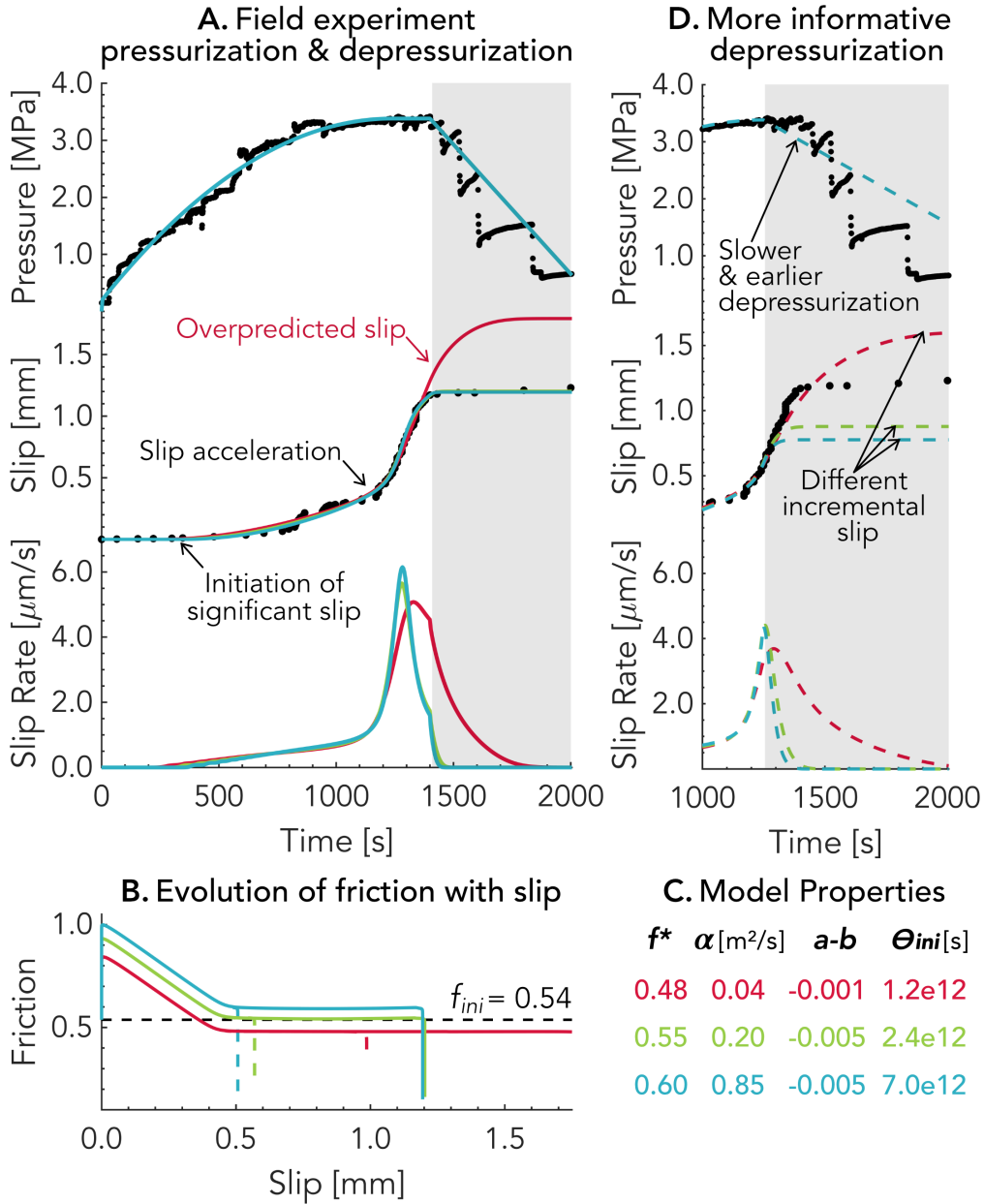
Figure 2. Multiple simulated scenarios match the pressurization stage of the experiment but respond differently to depressurization. (A) Temporal evolution of pore fluid pressure, slip and slip rate for three model scenarios (solid curves) that reproduce the observations (black dots) during the field-experiment pressurization. **(B)** Simulated evolution of friction with slip at the injection site; the three scenarios correspond to lower (red), intermediate (green), and higher (blue) residual friction in comparison to the fault prestress (black dashed line). Note that only the intermediate and higher-friction faults result in slip consistent with the depressurization part. **(C)** Key frictional and hydraulic properties of the three scenarios. **(D)** Similar to (A) but for an improved depressurization: Reducing injection pressure once slip starts to accelerate would allow to distinguish between all three cases, helping to constrain the fault friction properties.
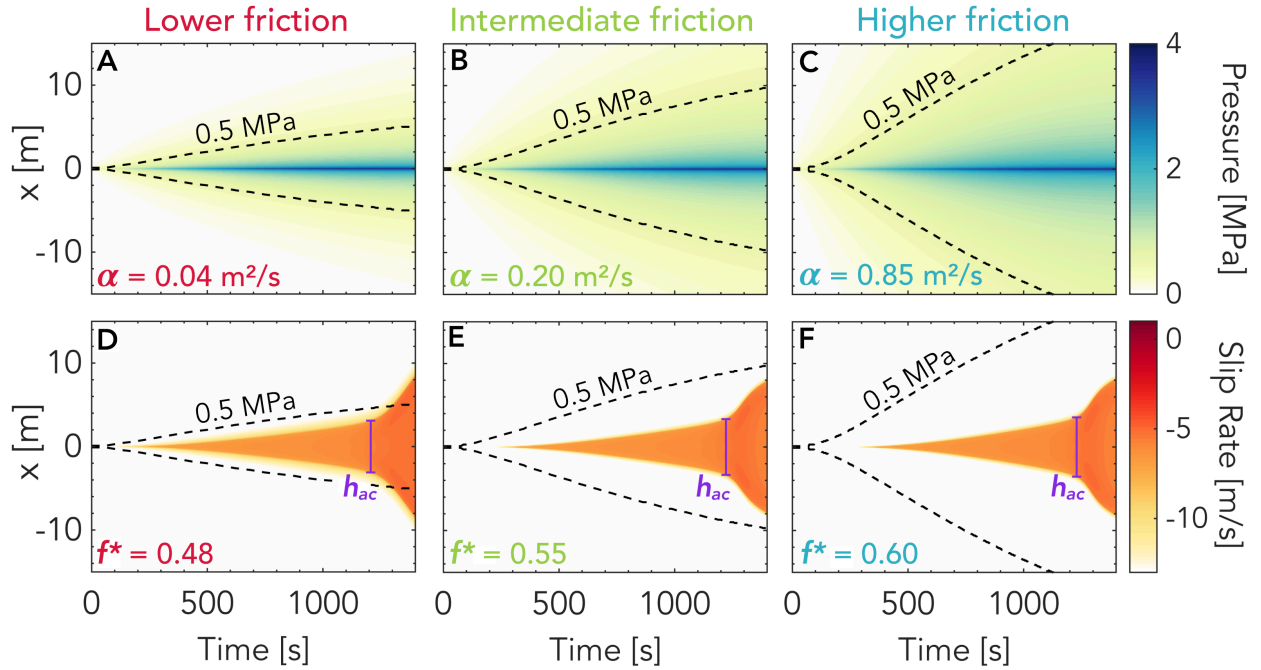
Figure 3. Whether the slipping zone is contained within or outruns the pressurized zone depends on fault friction. Spatial and temporal evolution of (**A-C**) pore fluid pressure and (**D-F**) slip rate for the three scenarios of Figure 2 during pressurization. The purple line shows the estimate h_{ac} of the slipping zone for the acceleration stage. Black dashed lines indicate the extent of the pressurized zone defined by 0.5 MPa fluid pressure contours. During the pressurization stage, the slipping zone of the lower-friction case outruns the pressurized zone while the intermediate- and higher-friction cases remain confined to the pressurized zone.

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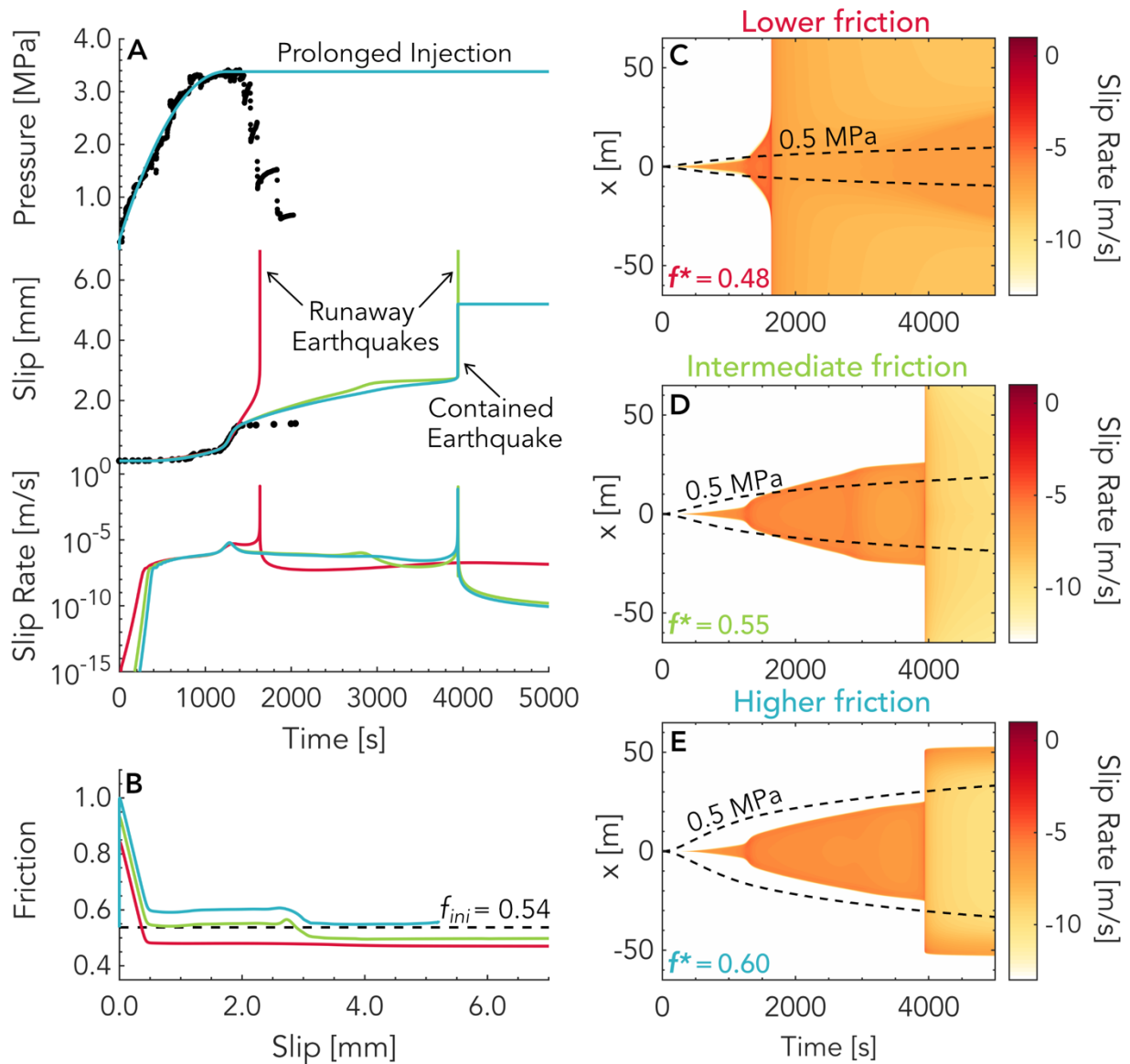
Figure 4. Prolonged injection reveals the diverging stability of the different fault models.
Same as Figure 2 **(A-B)** and Figure 3 **(C-E)** but for a longer injection scenario, keeping the
pressure at the center of the fault constant past 1400 s instead of decreasing it. The low-friction
case (red in A, C) produces a runaway earthquake rupture much sooner than the intermediate-
friction case (green in A, D), while the higher-friction case (blue in A, E) - which is consistent
with most known information about the fault - results in a self-arresting earthquake confined to
the pressurized zone (blue).

Acknowledgments

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Constraining Fault Friction and Stability with Fluid-Injection Field Experiments

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Contents of this file

Introduction

The following supporting information offers further details on the numerical model and its assumptions as well as derivations of simple formulations and additional figures that illustrate how certain combinations of parameters control the simulation results.

Text S1. Numerical modeling of fluid-induced fault slip

We model fluid injection into a fault zone and subsequent fault slip using a fully-dynamic 2D boundary integral method capable of simulating the complete seismic cycle including both aseismic and seismic deformation. The model is based on an antiplane (Mode III) formulation in which the fault slips solely along the dip direction and variables vary along strike only. Fault slip is governed by the following elastodynamic equation (Lapusta et al., 2000):

$$\tau(x,t) = \tau_{ini} + F(\delta(x,t)) - \frac{\mu}{2c_s}V(x,t) \tag{S1}$$

where τ is the shear stress, τ_{ini} is the initial shear stress, F is a linear functional which depends on the slip history, $\delta(x,t)$, μ is the shear modulus of the elastic medium, c_s is the shear wave speed, and V is the slip rate.

The fault is governed by rate-and-state friction, an empirical friction law based on laboratory experiments. It describes the dependence of the coefficient of friction f on the slip rate V and a state variable θ:

$$f(V(x,t),\theta(x,t)) = \left[f^* + a\ln\frac{V(x,t)}{V^*} + b\ln\frac{V^*\theta(x,t)}{D_{RS}} \right] \tag{S2}$$

where a and b are the direct and evolutionary rate-and-state parameters and D_{RS} is the critical slip distance. f^* is the reference coefficient of friction at the reference slip rate V^*. The reference values are usually set arbitrarily but here, by choosing V^* to be on the order of the slip rate observed during the accelerated aseismic transient in the field experiment, we attach the following additional meaning to the value of f^*: it is approximately equal to the residual friction reached at the latest stage of the field experiment.

The state variable is assumed to evolve according to the aging law:

$$\frac{\partial\theta(x,t)}{\partial t} = 1 - \frac{V(x,t)\theta(x,t)}{D_{RS}} \tag{S3}$$

We prescribe the fluid pressure at the center of the fault (blue line in Figure 2A, top) similar to the one induced in the field experiment (black dots in Figure 2A, top) to

simulate the fluid injection and let the pressure diffuse axisymmetrically in the fault plane as follows:

$$\frac{\partial p(r,t)}{\partial t} = \alpha \left(\frac{\partial^2 p(r,t)}{\partial r^2} + \frac{1}{r}\frac{\partial p(r,t)}{\partial r} \right) \tag{S4}$$

where $r = |x|$ and α hydraulic diffusivity. The diffusion is numerically implemented using a forward finite difference scheme. We approximate the experimental injection pressure with a smooth parabolic function for the increasing portion of the injection and a linear fit for the decreasing portion. A condition of zero pressure is assumed at the ends of the simulated fault since the fault is dry prior to the injection. The choice of this boundary condition is not essential here because the fault length is larger than the pressure diffusion length in our simulations and simulations with longer faults produce nearly identical results.

As the fault in the experiment is inactive prior to the fluid stimulation, the modeled fault is not loaded tectonically. Fault slip is thus purely fluid-induced, i.e., no significant slip would occur without the injection within the time scales considered in the simulations. We prescribe initial conditions that are consistent with a dormant fault by starting with a highly healed fault (i.e., high initial value of the state variable θ_{ini}). This choice of initial conditions is justified by the long-term simulations without tectonic or fluid pressure loading shown in Figures S1 to S4. The initial values affect some initial behavior/slip of the fault but, long-term, the fault heals under the near-constant values of shear stress, with a power-law decrease in slip rate as well as an increase in state variable over time; at long times, the value of the state variable is approximately equal to the healing time of the fault. This behavior can be predicted analytically: When the fault is well below steady-state ($V\theta/D_{RS} \ll 1$), $\dot{\theta} \sim 1$ and thus $\theta \sim t$. Moreover, with shear stress being almost constant, the rate-and-state friction coefficient is fixed and $\dot{f} = a\dot{V}/V + b/t = 0$, implying that $V \propto t^{-b/a}$. The initial conditions in the intermediate- and high-friction cases in this study are consistent with this behavior. In the low-friction case, although we do prescribe a high initial state variable and a low initial slip rate, the fault needs to be initially above steady state to match the measured

slip behavior at the injection size and therefore not consistent with the behavior described above.

Finally, we also test the effect of the domain size in Figure S5. Increasing the domain size slightly changes the timing of earthquakes but not the overall behavior.

Text S2. Controlling the timing of slip initiation and acceleration

The slip measured at the injection site of the field experiment displays two distinct slip stages that we aim to reproduce in our simulations. Stage I starts when slip rates attain ~10^{-7} m/s and significant slip initiates, at about 400 s. Stage II is characterized by even higher slip velocities of about ~10^{-6} m/s, at about ~1200 s. Understanding how the different model parameters govern the onsets of Stages I and II is key to arriving at simulations that replicate the observations.

At the beginning of all simulations, slip rates are low and inertial effects are negligible. Eq. S1 and S2 then reduce to:

$$\tau_{ini} = f(x,t)[\sigma - p(x,t)] \tag{S5}$$

As p is increased, the friction coefficient, f, must increase proportionally for Eq. S5 to remain true since τ_{ini} stays constant over time and the contribution of F is negligible because no significant slip has occurred yet. The coefficient of friction continues increasing until slip becomes significant. The onset of significant slip thus approximately coincides with the maximum friction reached during the simulation. This peak friction, f^p, can be approximated as:

$$f^p = f^* + a \ln \frac{V_s}{V^*} + b \ln \frac{V^* \theta_{ini}}{D_{RS}} \tag{S6}$$

where V_s is the threshold for significant slip, here set at 1e-7 m/s. The state variable is set to its initial value, θ_{ini}, because it cannot evolve significantly due to lack of slip, and its (large) initial value is not affected by additional healing over hundreds of seconds. Moreover, because the shear stress τ remains approximately constant and equal to the initial value until the peak friction is reached and the fluid pressure at the injection site is known at all times, it is possible to relate f^p to the timing of slip initiation. For

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example, for slip initiation at t_s = 300 s - at which point the injection fluid pressure is 1.42 MPa - the peak friction at the injection site is:

$$f^p = \frac{\tau_{ini}}{[\sigma - p(0, t_s)]} = \frac{2.15}{[4.00 - 1.42]} = 0.83 \tag{S7}$$

It is thus possible to control t_s by computing f^p with Eq. S7 and selecting f^*, a, b, θ_{ini} and D_{RS} such that Eq. S6 is satisfied.

We find that the onset time, t_{ac}, of the slip acceleration starting at ~1200 s (i.e., Stage II) coincides with the time at which steady state is reached at the injection site (Dublanchet, 2019). This also corresponds to the time at which the coefficient of friction at the injection site reaches its residual value, $f^r \sim f^*$, down from its peak value f^p. The critical slip distance, δ_c, over which this frictional weakening occurs can be approximated as:

$$\delta_c \sim \frac{f^p - f^*}{b / D_{RS}} \tag{S8}$$

since $\frac{\partial f}{\partial \delta} \sim \frac{b}{D_{RS}}$. Furthermore, from elasticity, slip is related to stress drop by:

$$\Delta\delta \propto \frac{\Delta\tau h}{\mu} \tag{S9}$$

where h is the length of the slipping zone. When $\Delta\delta = \delta_c$ at the center of the fault:

$$\frac{\Delta\tau h}{\mu} \propto \frac{f^p - f^*}{b / D_{RS}} \tag{S10}$$

Rearranging Eq. S10, we can find the estimate of the slipping zone size, h_{ac}, at which steady state is reached and Stage II is initiated:

$$h_{ac} \propto \frac{\mu \, D_{RS}}{b} \frac{f^p - f^*}{\Delta\tau} \tag{S11}$$

Eq. S11 can be rewritten in terms of known parameters as:

$$h_{ac} \propto \frac{\mu \, D_{RS}}{b} \frac{a \ln \frac{V_s}{V^*} + b \ln \frac{V^* \theta_{ini}}{D_{RS}}}{\tau_{ini} - f^*[\sigma - p(0, t_{ac})]} \tag{S12}$$

For all the simulations presented in this work, we find that

$$h_{ac} = 3 \frac{\mu \, D_{RS}}{b} \frac{a \ln \frac{V_s}{V^*} + b \ln \frac{V^* \theta_{ini}}{D_{RS}}}{\tau_{ini} - f^*[\sigma - p(0, \, t_{ac})]} \tag{S13}$$

provides a good estimate of the slipping zone length at which the slip transitions to Stage II. Note that if $(\sigma - p)$ remained constant throughout the simulation, Eq. S11 would reduce to $h_{ac} \propto \mu D_{RS}/b$ which is similar to the condition for acceleration $k <$ k_b (where k is stiffness) in the spring-block slider model (Dieterich, 1992; Helmstetter & Shaw, 2009) and to the condition $h > L_b$ for acceleration on continuum fault segments that are far above steady-state (Rubin & Ampuero, 2005). Eq. S11 is also similar to the findings for seismic slip nucleation in slip-weakening friction models (Uenishi & Rice, 2003; Viesca & Rice, 2012) except that h_{ac} depends on pressure; specifically on the maximum value of pressure (at the injection site). The fact that this lengthscale does not depend - at least to first order - on the extent or shape of the pore pressure distribution is also consistent with prior findings (Uenishi & Rice, 2003; Viesca & Rice, 2012). At the same time, h_{ac} is different from some of the discussed critical lengthscales, since it does not signify the transition to dynamic, inertially-controlled earthquake slip, but rather corresponds to the beginning of the different quasi-static slip stage in this particular experiment. The existence of h_{ac} is linked to the two-stage quasi-static slip process in the field experiment which the simulations are trying to emulate. The associated evolution of the friction coefficient - with sharp increase to a peak value, then near-linear decrease vs. slip with the slope of b, and then near-constant value - is likely related to the relatively rapid increase of the pore pressure at the injection site compared to the timescale of state variable evolution considered in this work.

To demonstrate that Eq. S13 holds, in Figures S12(A-C) and S13 we show 3 simulations in which h_{ac} is increased compared to the intermediate-friction case by increasing μ (pink), increasing D_{RS} (yellow) or decreasing b (turquoise) while keeping t_s constant. Figures S12(D-E) and S14 show simulations in which both t_s and h_{ac} are increased by increasing f^*(pink) or θ_{ini} (yellow). Figures S12(F) and S14 also show a case (turquoise) in which both t_s and h_{ac} are kept the same as in the intermediate-friction

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reference case but t_{ac} is delayed due to the decreased hydraulic diffusivity α which controls how fast the slipping zone expands during Stage I. In all cases, the onset of Stage II is delayed compared to the intermediate-friction reference case. Thus, parameters μ, D_{RS}, b, t_s and α have a primary control on the onset of Stage II observed in all simulations shown in this work.

As for the amplitude and slope of the slip acceleration, four parameters - f^*, a, μ and α - have been identified to play a key role in controlling them as shown in Figures S15 to S19.

Table S1. Model parameters for the three cases presented in Figures 2-4 in the main text.

Properties	Symbol	Low Friction	Intermediate Friction	High Friction
Total fault length [m]	x_{tot}	250	250	250
Frictional interface length [m]	x_{fr}	200	200	200
Initial shear stress [MPa]	τ_{ini}	2.15	2.15	2.15
Initial normal stress [MPa]	σ_{ini}	4.00	4.00	4.00
Initial coefficient of friction	f_{ini}	0.5375	0.5375	0.5375
Reference coefficient of friction	f^*	0.4815	0.5500	0.6000
Reference slip rate [m/s]	V^*	10^{-6}	10^{-6}	10^{-6}
Direct effect frictional parameter	a	0.01500	0.01125	0.01125
Evolutionary effect frictional parameter	b	0.01600	0.01600	0.01600
Critical slip distance [μm]	D_{RS}	16.75	16.75	16.75
Hydraulic diffusivity [m²/s]	α	0.04	0.20	0.85
Initial state variable [s]	θ_{ini}	1.21e12	2.38e12	7.00e12
Shear modulus [GPa]	μ	10	10	10

Figure S1. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $f_{ini} = 0.525$, $a = 0.011$, and $b = 0.016$, varying the initial closeness to steady state ($\Omega_{ini} = V_{ini}\theta_{ini}/D_{RS}$). No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in the Text S1.

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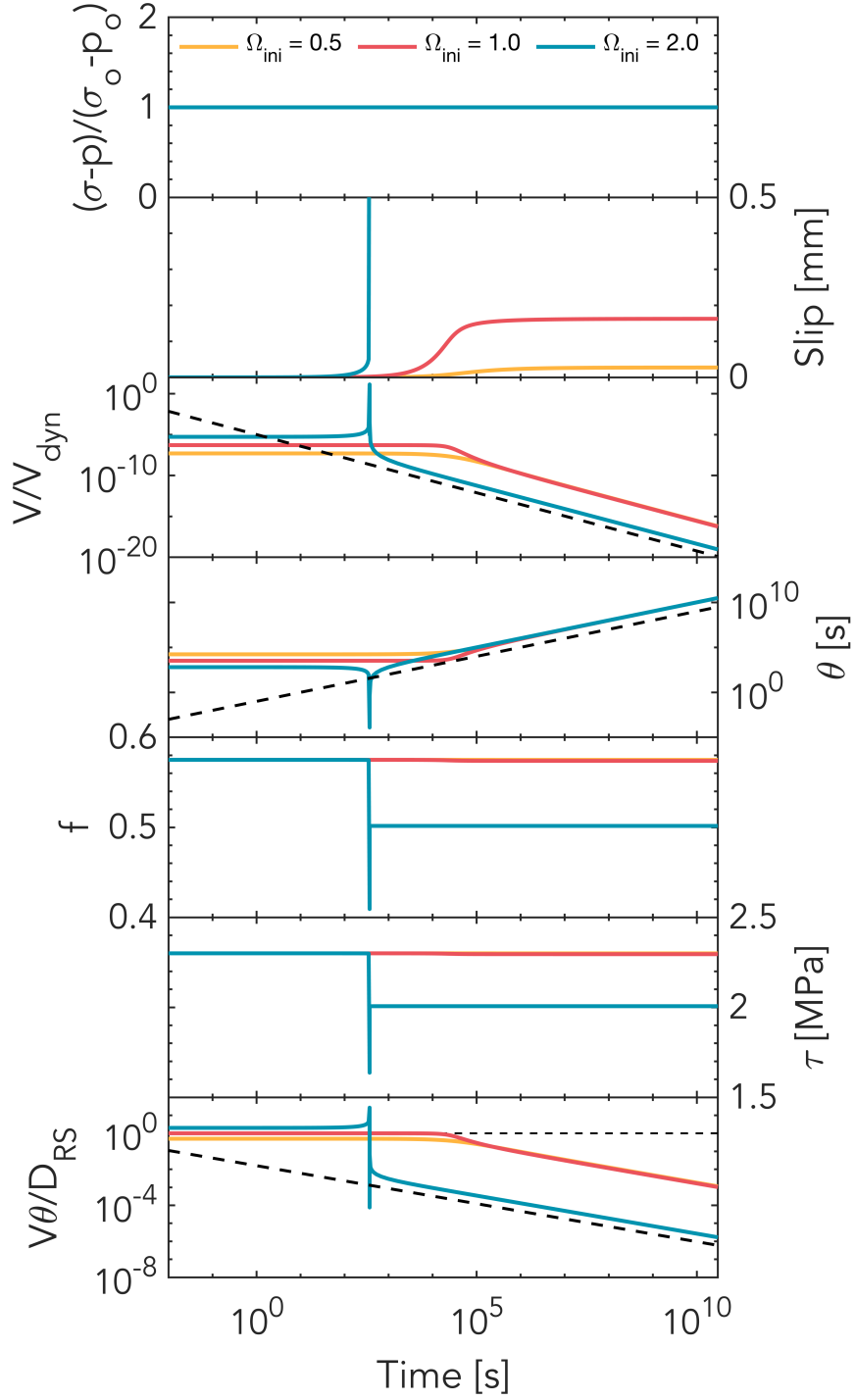
Figure S2. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $f_{ini} = 0.575$, $a = 0.011$, and $b = 0.016$, varying the initial closeness to steady state ($\Omega_{ini} = V_{ini}\theta_{ini}/D_{RS}$). No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time, even the initially above steady-state case which experiences a run-away earthquake a few minutes into the simulation. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in Text S1.

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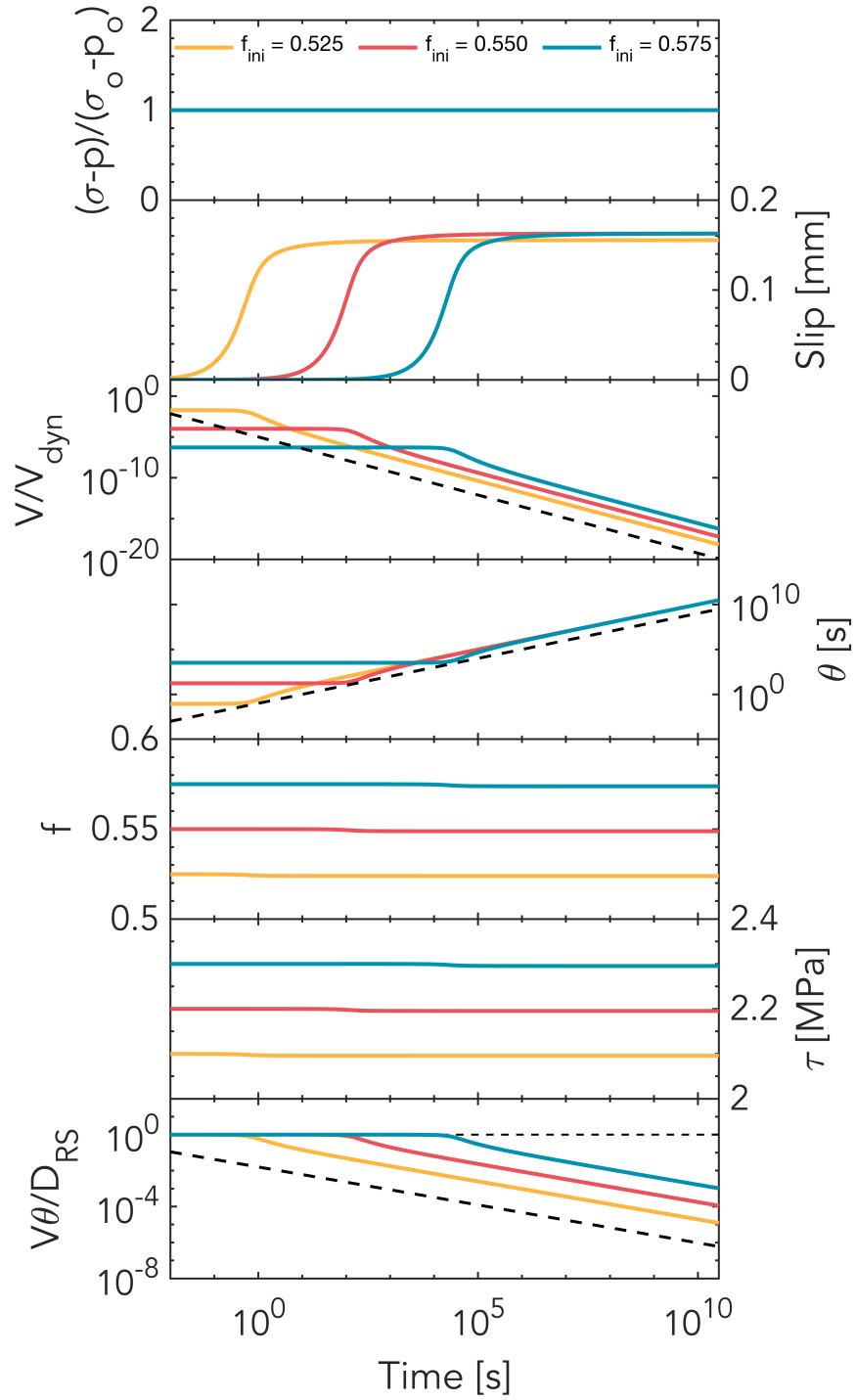
Figure S3. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $\Omega_{ini} = 1$, $a = 0.011$, and $b = 0.016$, varying the initial friction coefficient, f_{ini}. No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in Text S1.

Figure S4. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $a = 0.015$, and $b = 0.016$, varying the initial closeness to steady state ($\Omega_{ini} = V_{ini}\theta_{ini}/D_{RS}$) and initial friction coefficient f_{ini}. No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time, even the initially above steady-state case which experiences a run-away earthquake a few minutes into the simulation. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in Text S1.

Figure S5. Prolonged injection simulations with domain sizes of 250 m (solid lines) and 300 m (dashed lines). Changing the domain size slightly changes the timing but not the overall behavior.

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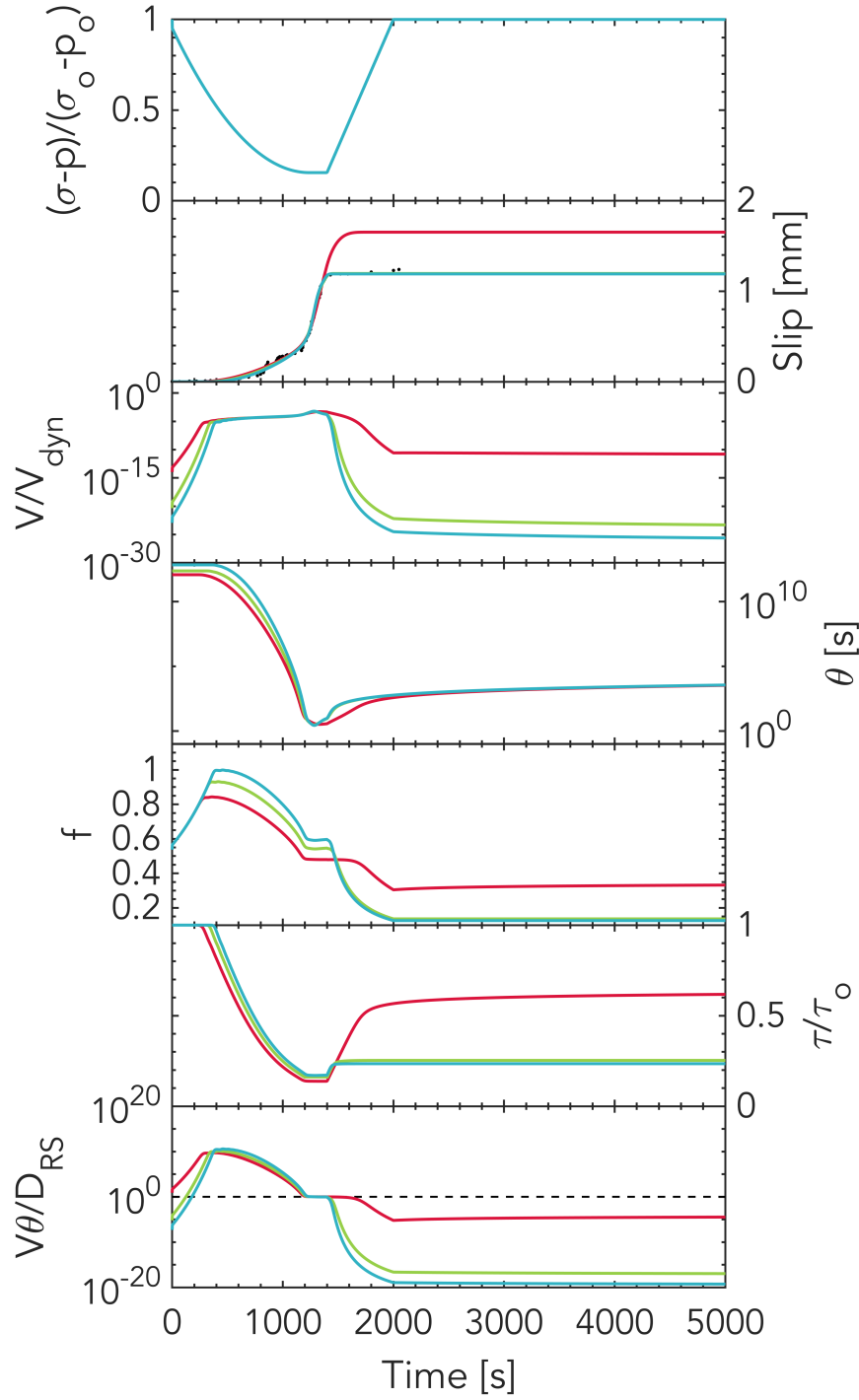
Figure S6. Simulated temporal evolution of several quantities at the injection site for the cases of Figure 2A in the main text. From top to bottom: the normalized effective normal stress, slip, normalized slip rate (V_{dyn} = 10^{-2} m/s), state variable, friction coefficient, normalized shear stress and closeness to steady state at the injection site. Note that no earthquakes occur in these simulations as opposed to cases in which the pressure is kept constant at the injection site (Figure 4 in the main text).
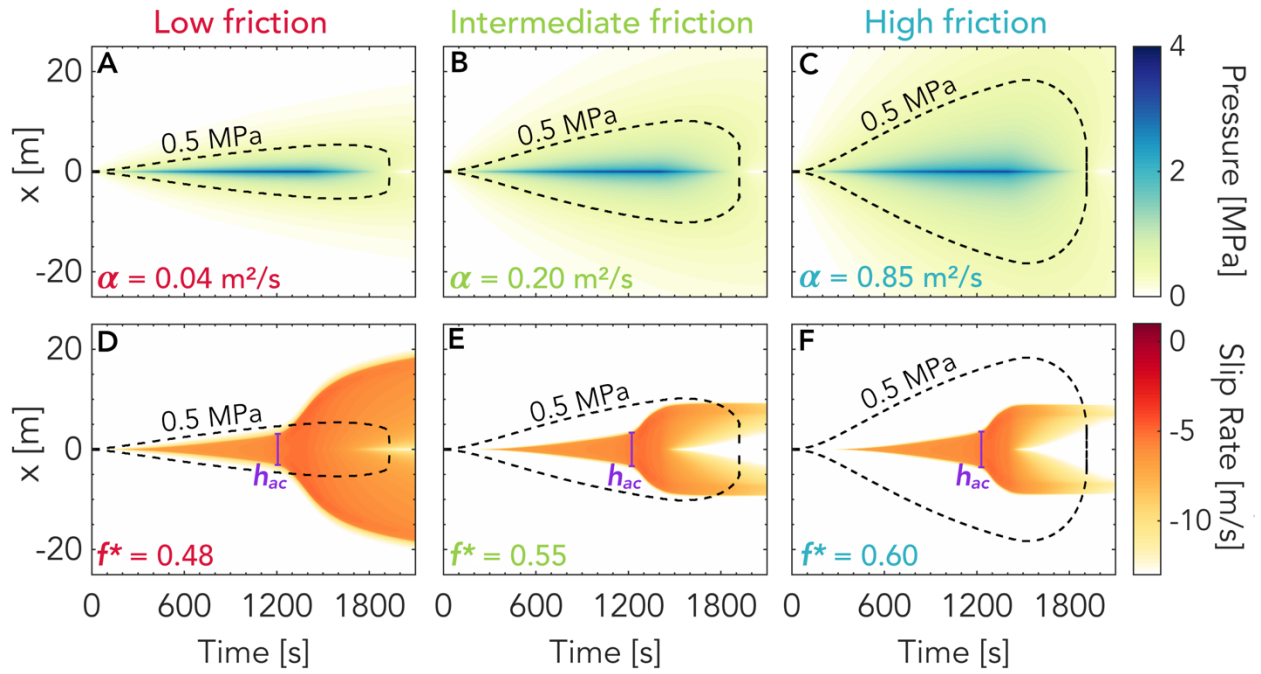
Figure S7. Same as Figure 3 in the main text but including the depressurization stage.
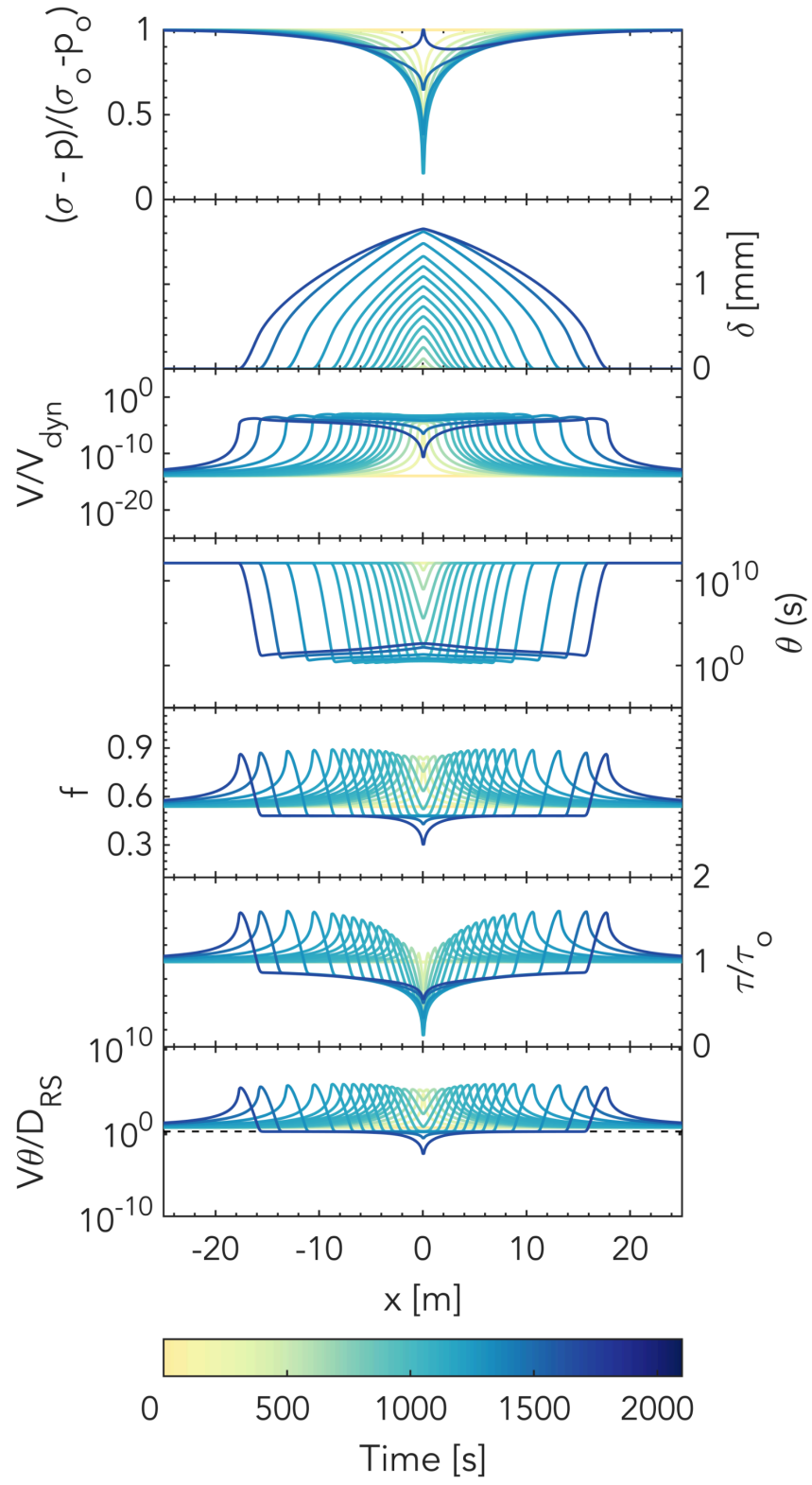
Figure S8. Spatial and temporal evolution of the same quantities as in Fig. S6 for the low-friction case (plotted every 2000 time steps).

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Figure S9. Spatial and temporal evolution of the same quantities as in Fig. S6 for the intermediate-friction case (plotted every 6000 time steps).

Figure S10. Spatial and temporal evolution of the same quantities as in Fig. S6 for the high-friction case (plotted every 20000 time steps).

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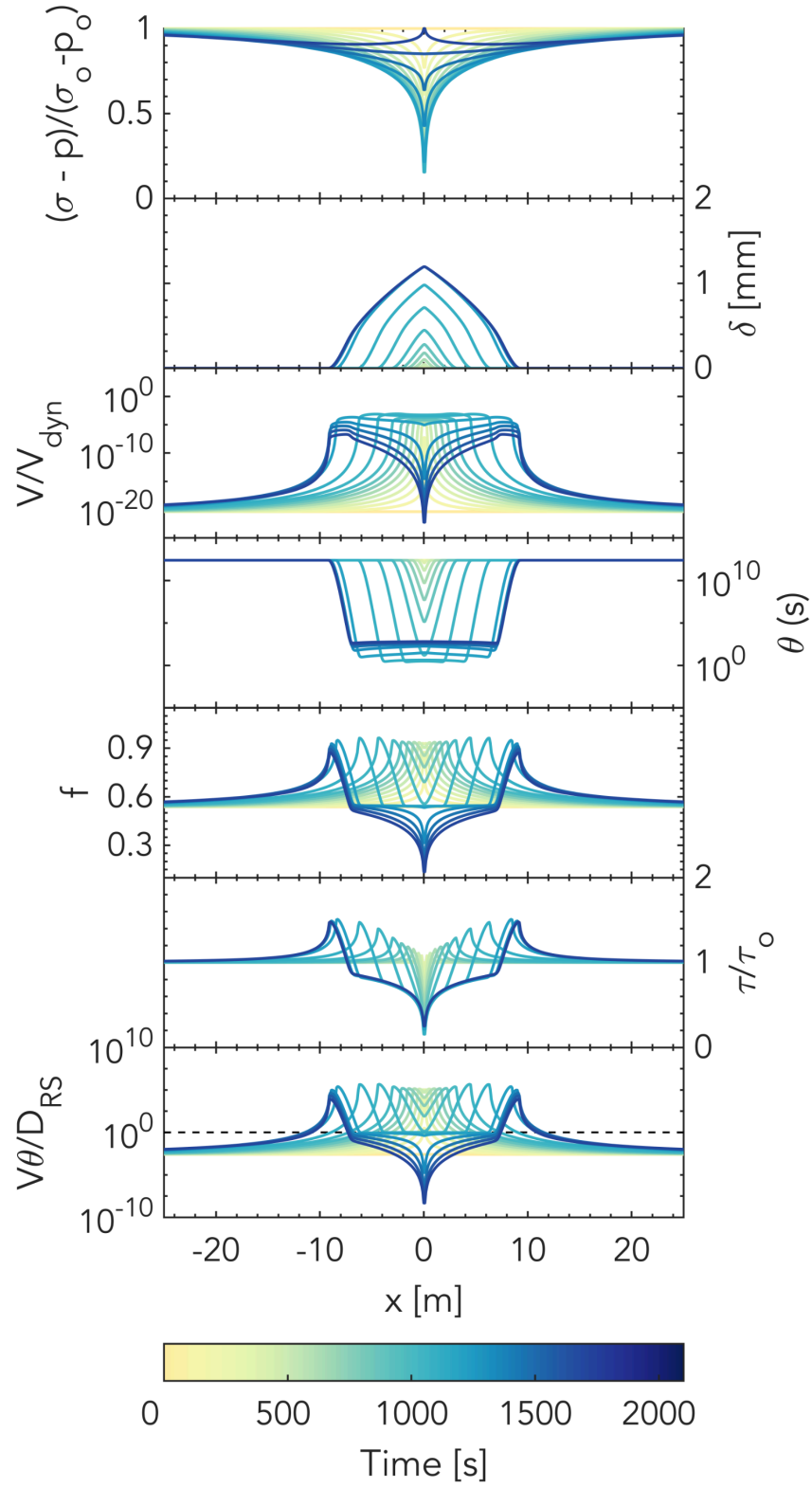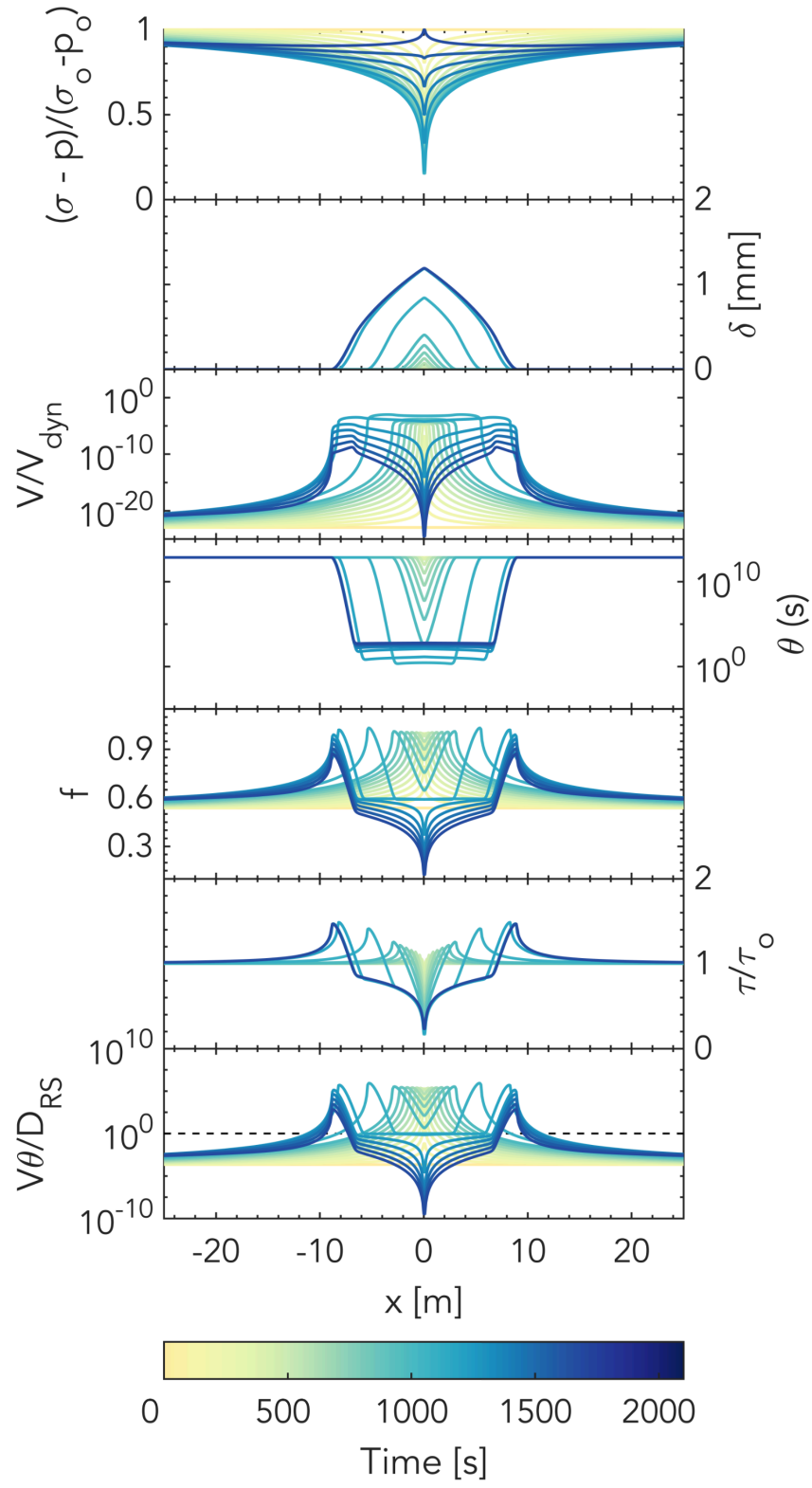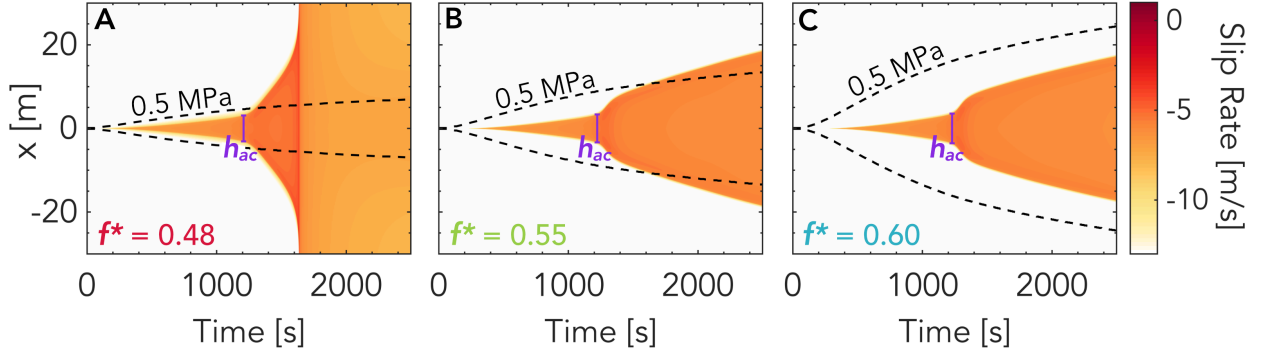
Figure S11. Same as Figure 4C-E in the main text but up to 2500s to enable direct comparison with Figures S12 and S19.
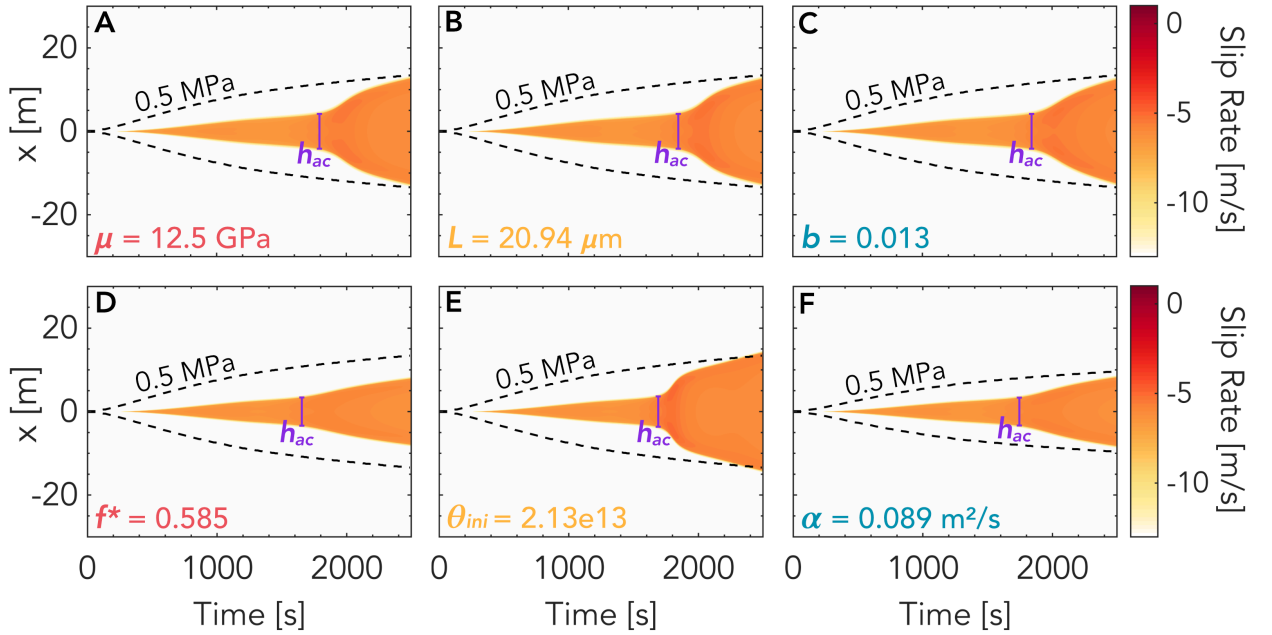
Figure S12. Spatial and temporal evolution of slip rate for prolonged injection (as in Figure S11) but for cases modified from the intermediate-friction case (Figure S11B) in which the onset of Stage II is delayed by (A) increasing μ, (B) increasing D_{RS}, (C) decreasing b, (D) increasing f^*, (E) increasing θ_{ini}, (F) decreasing hydraulic diffusivity α. Note that h_{ac} provides a good estimate of the extent of the sliding region before the onset of Stage II in all these cases.
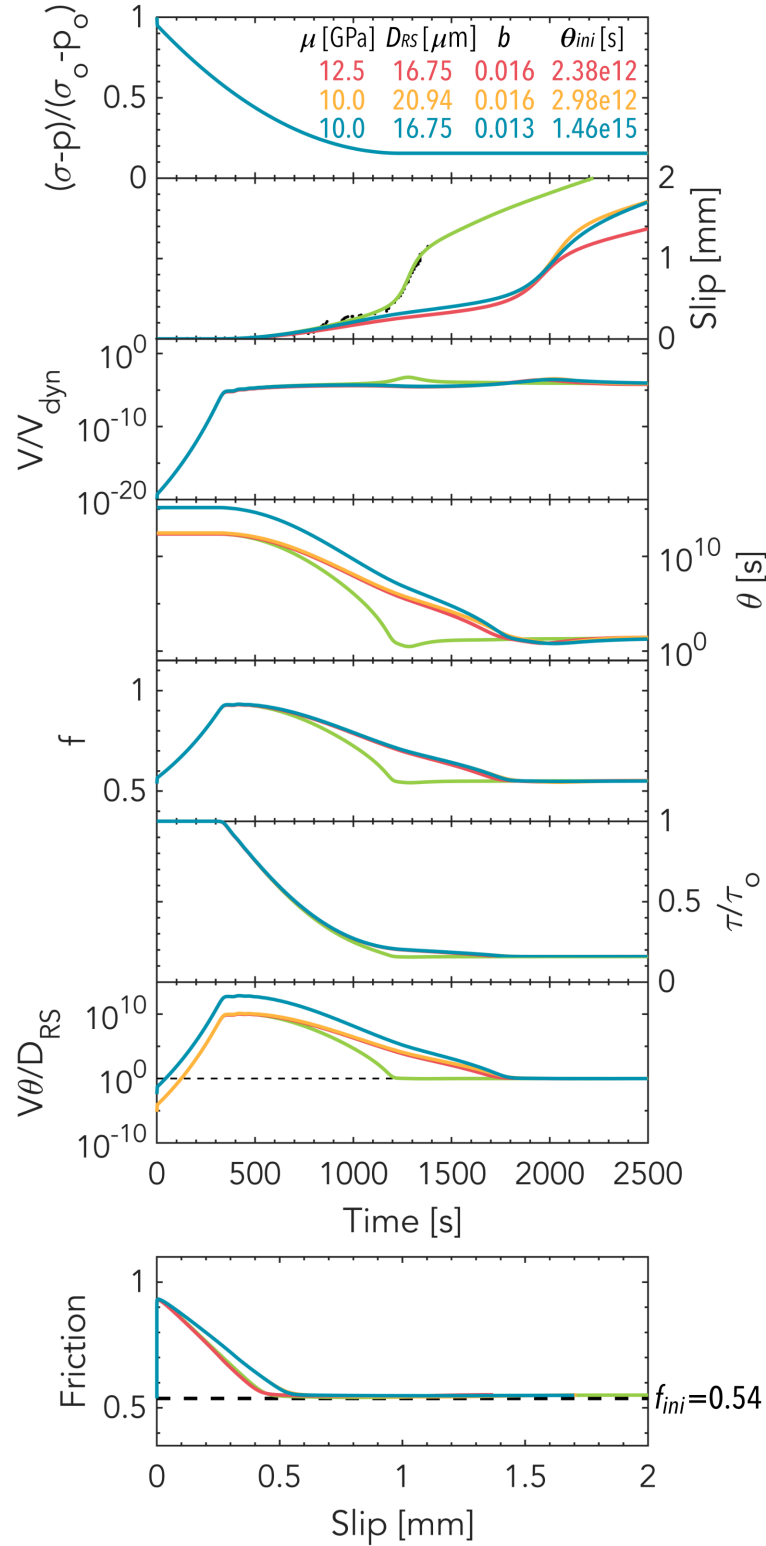
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The table embedded in the figure:

	μ [GPa]	D_{RS} [μm]	b	θ_{ini} [s]
	12.5	16.75	0.016	2.38e12
	10.0	20.94	0.016	2.98e12
	10.0	16.75	0.013	1.46e15

Figure S13. Temporal evolution of quantities at the injection site and friction vs. slip for the 3 cases shown in Figure S12(A-C) in which μ is increased (pink) or D_{RS} is increased (yellow) or b is decreased (turquoise) compared to the intermediate-friction reference case (green). Note the delay in the transient acceleration compared to the reference case.
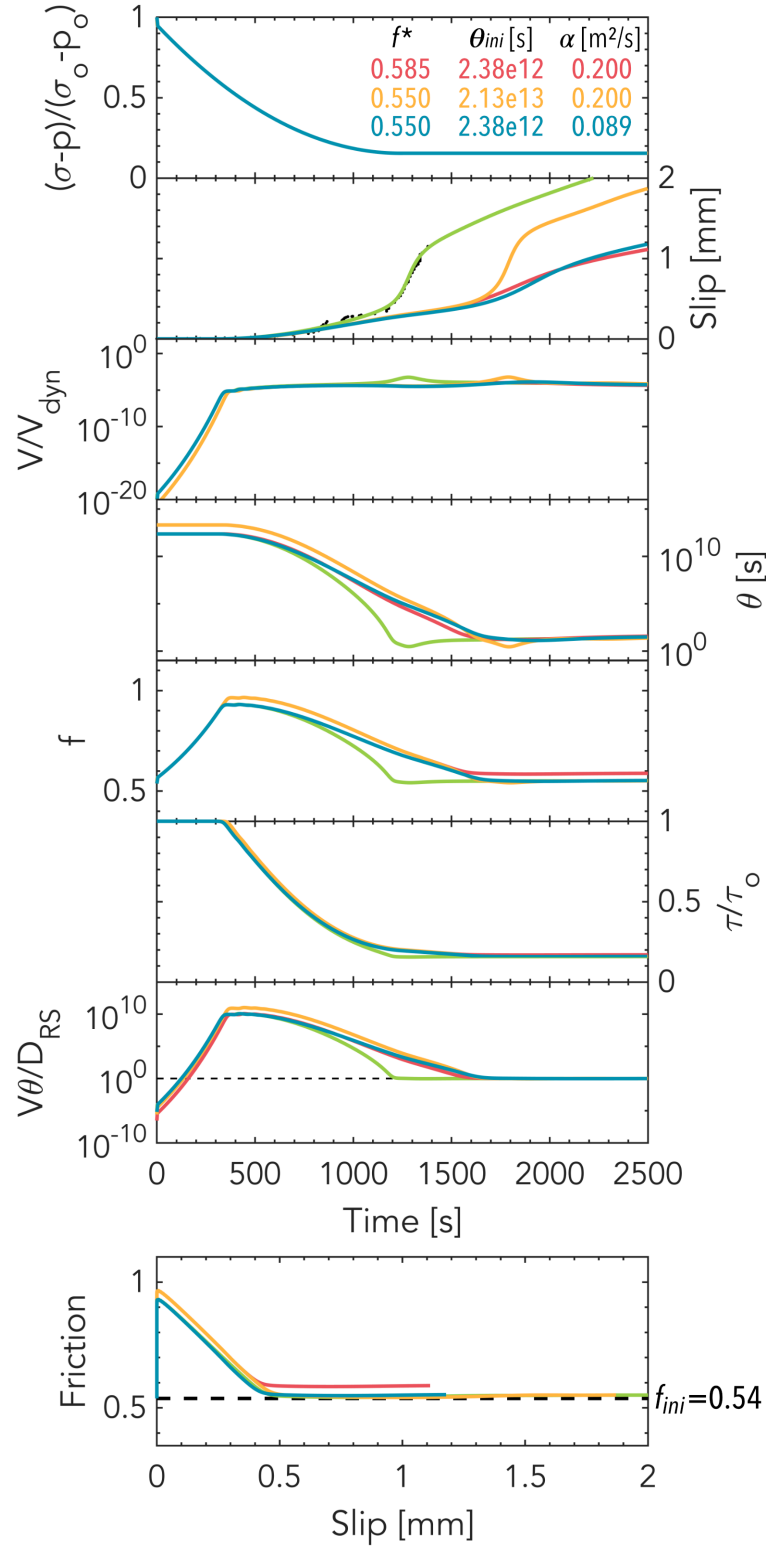
Figure S14. Temporal evolution of quantities at the injection site and friction vs slip for the 3 cases shown in Figure S12(D-F) in which f^* is increased (pink) or θ_{ini} is increased (yellow) or α is decreased (turquoise) compared to the intermediate-friction reference case (green). Note the delay in the transient acceleration compared to the reference case.
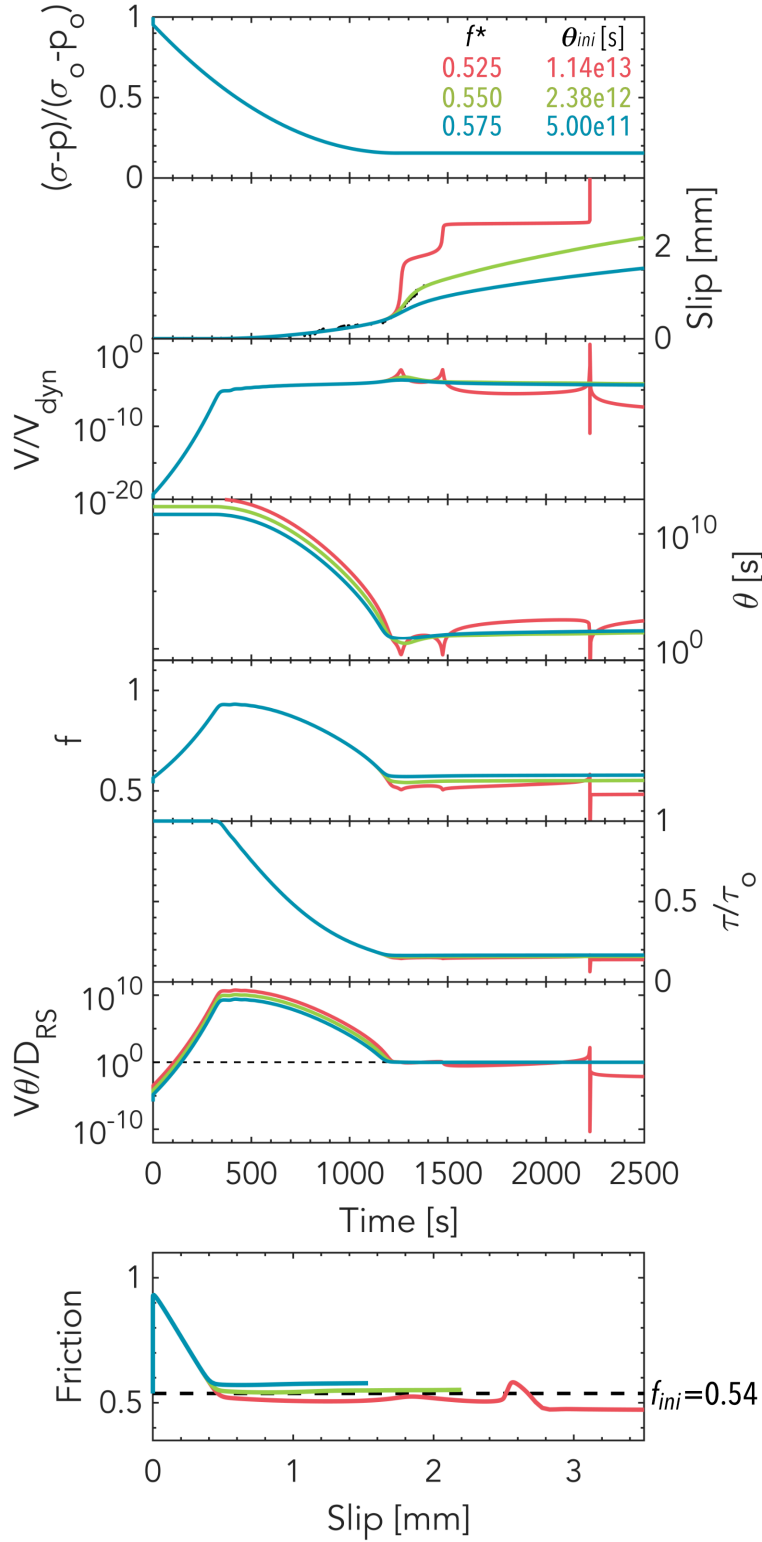
Figure S15. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying f^* while keeping f^p constant. Increasing f^* reduces the amplitude and slope of the transient acceleration.
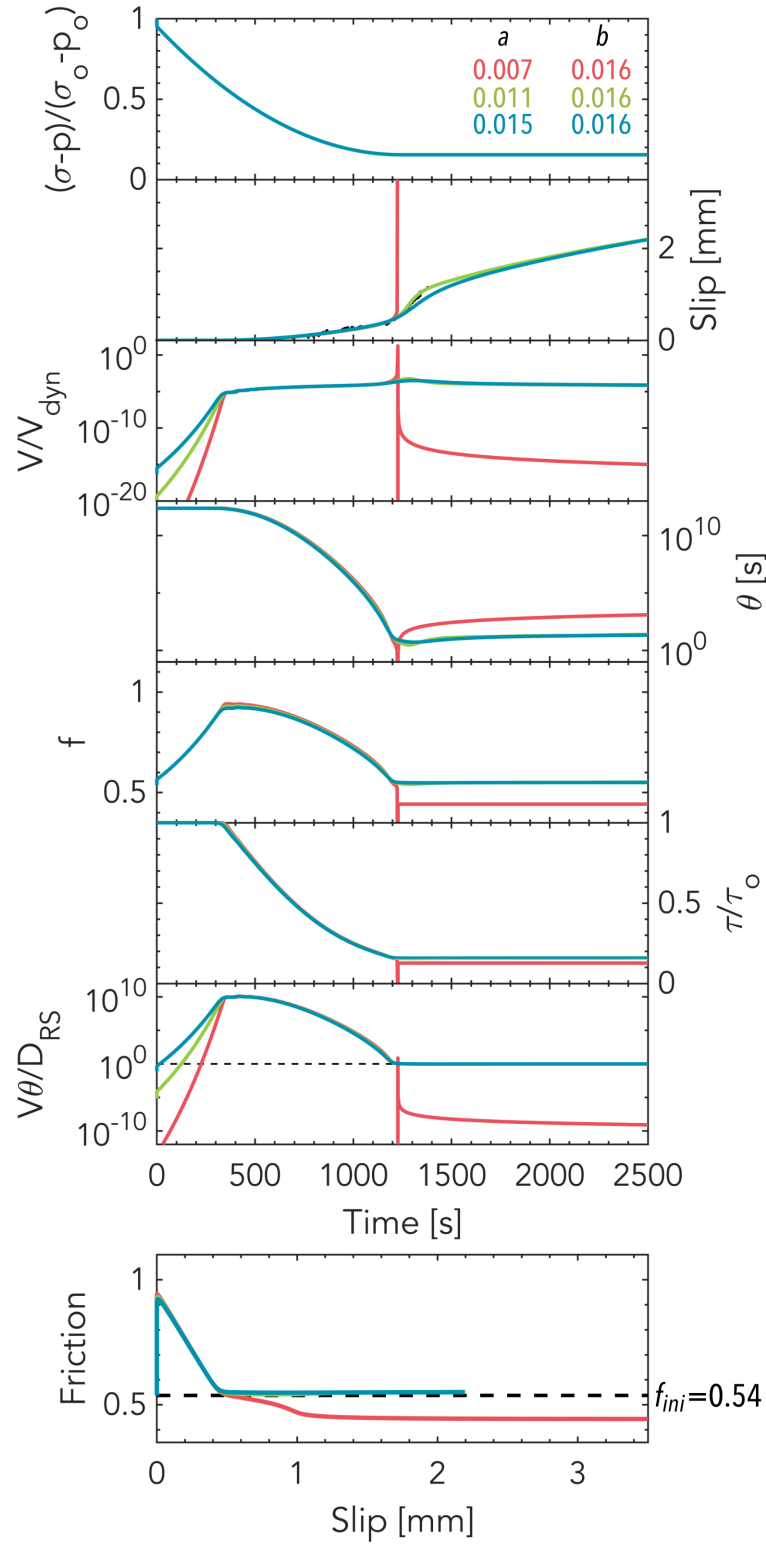
Figure S16. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying a. Increasing a reduces the amplitude and slope of the transient acceleration.
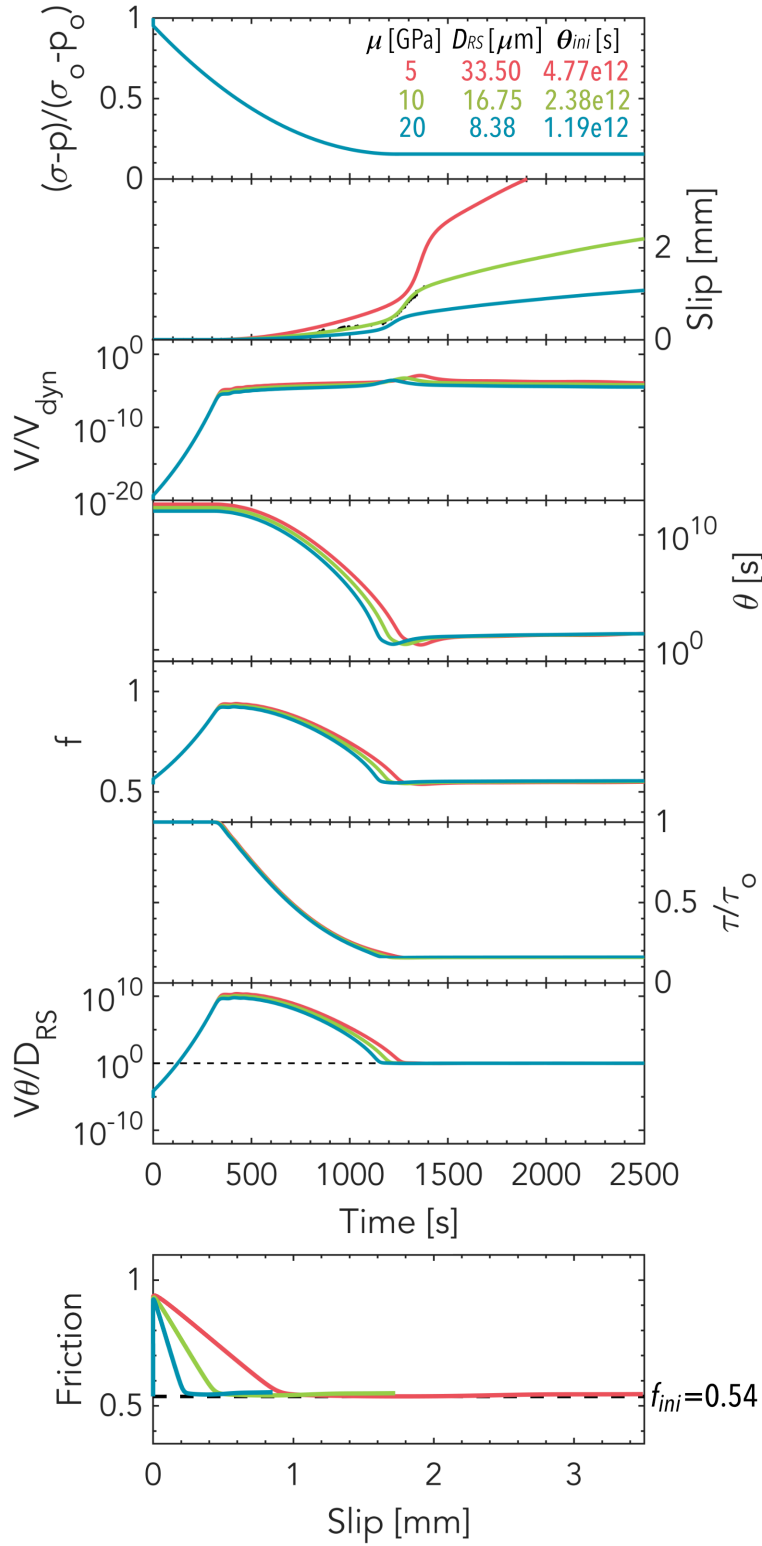
Figure S17. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying μ while keeping h_{ac} and f^p constant. Increasing μ reduces the amplitude and slope of the transient acceleration.
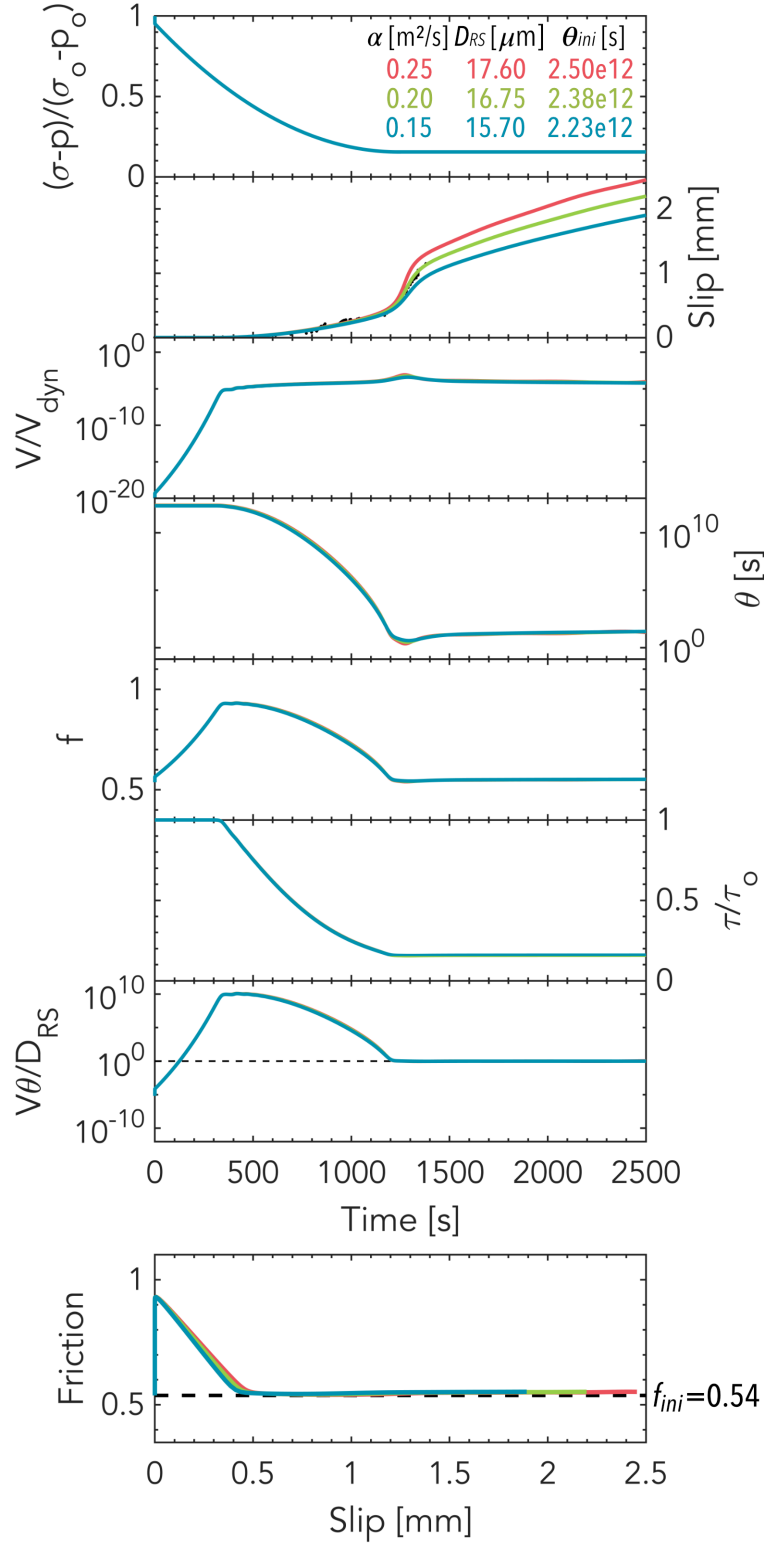
Figure S18. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying α while keeping t_{ac} and f^p constant. Increasing α increases the amplitude and slope of the transient acceleration.
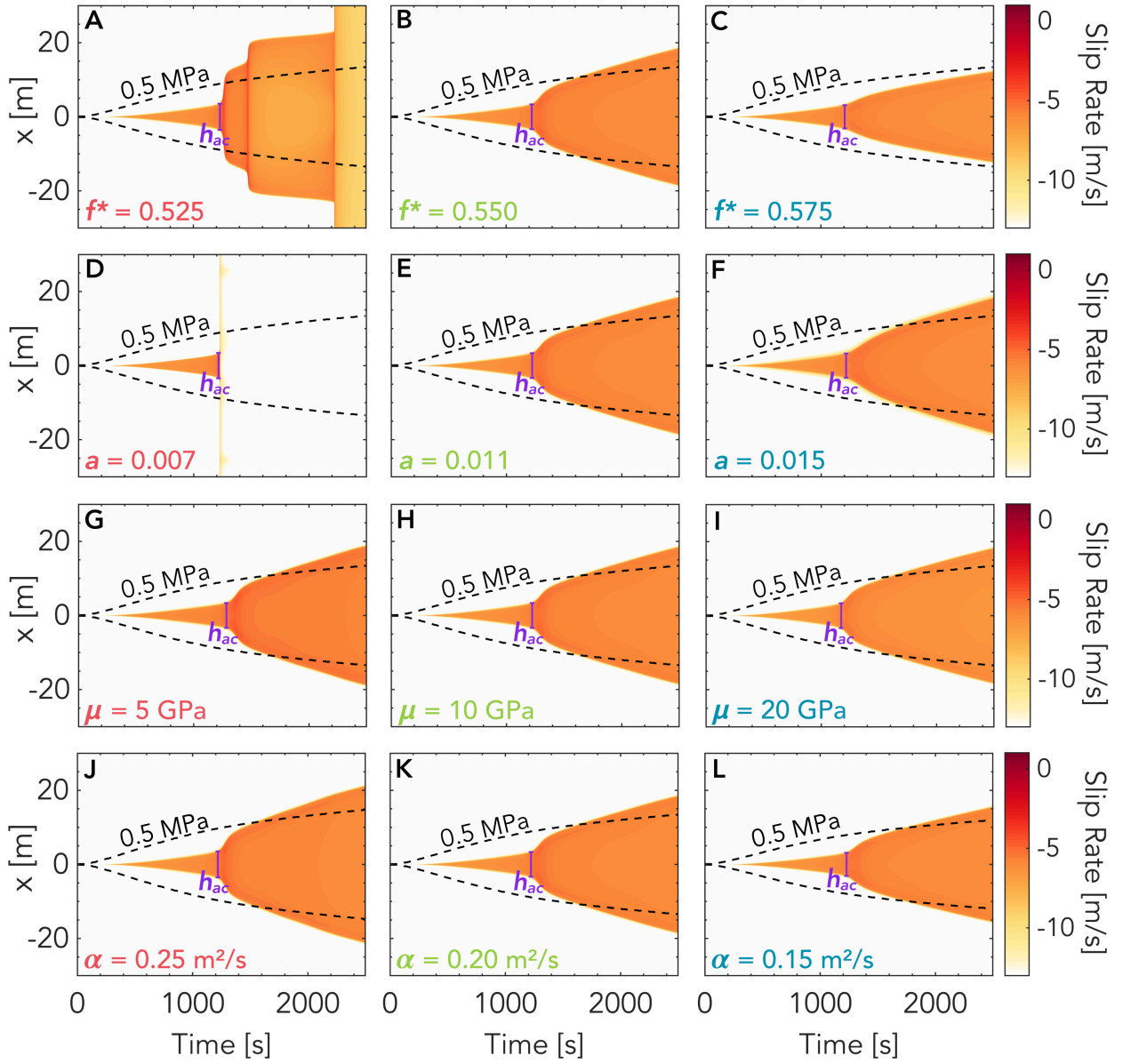
Figure S19. Spatial and temporal evolution of rate for the cases shown in Figures S15 – S18 in which the slope and/or amplitude of the transient acceleration is altered by varying (A,C) f^*, (D,F) a, (G,I) μ and (J,L) α. Panels B, E, H and K all show the reference intermediate-friction case for comparison purposes.
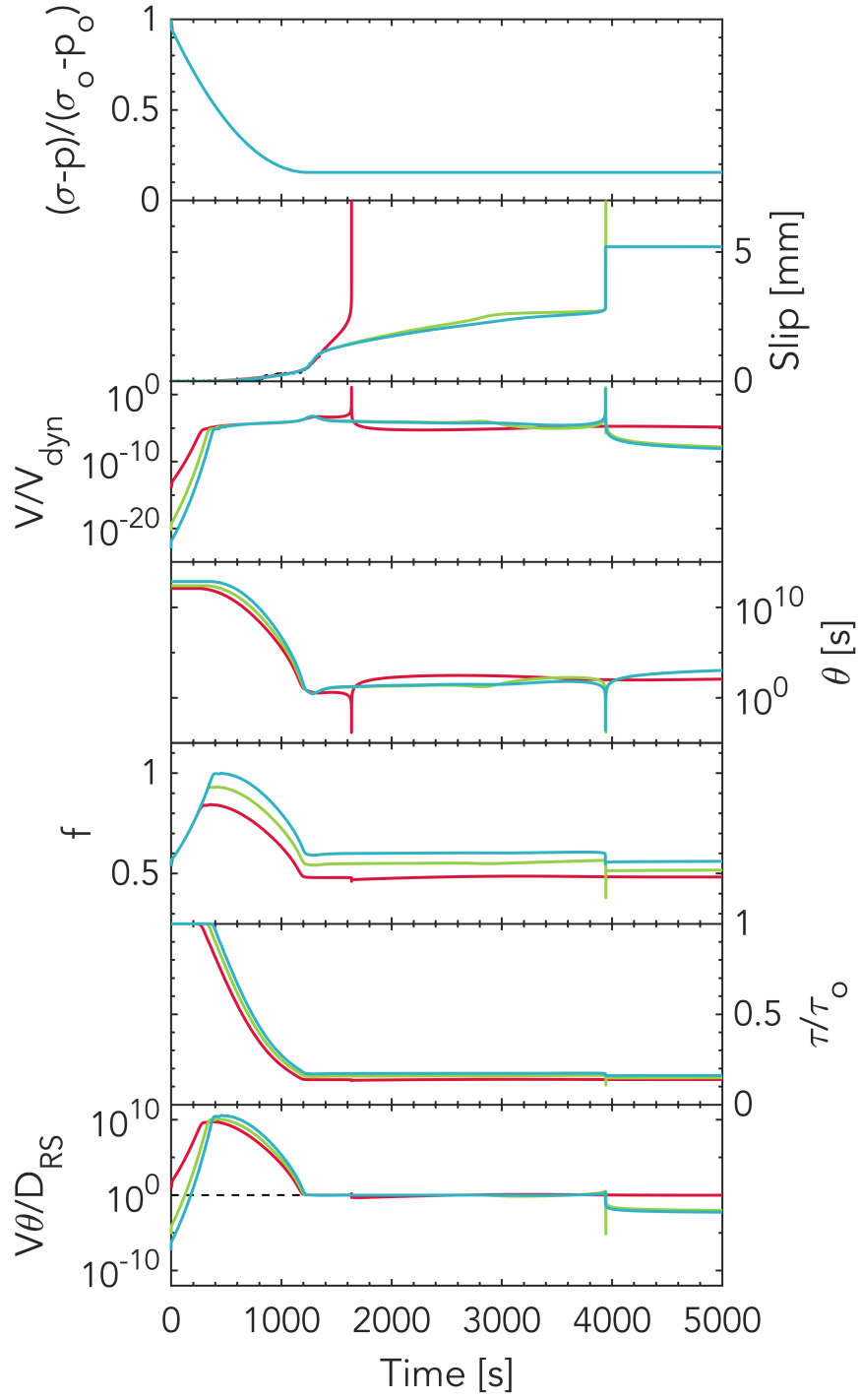
Figure S20. Simulated temporal evolution of several quantities at the injection site for the cases of Figure 4A in the main text. From top to bottom: the normalized effective normal stress, slip, normalized slip rate ($V_{dyn} = 10^{-2}$ m/s), state variable, friction coefficient, normalized shear stress and closeness to steady state at the injection site.

Figure S21. Spatial and temporal evolution of the same quantities as in Fig. S6 for the low-friction prolonged injection case (plotted every 7000 time steps for $V < V_{dyn}$ and every 2000 time steps for $V > V_{dyn}$).
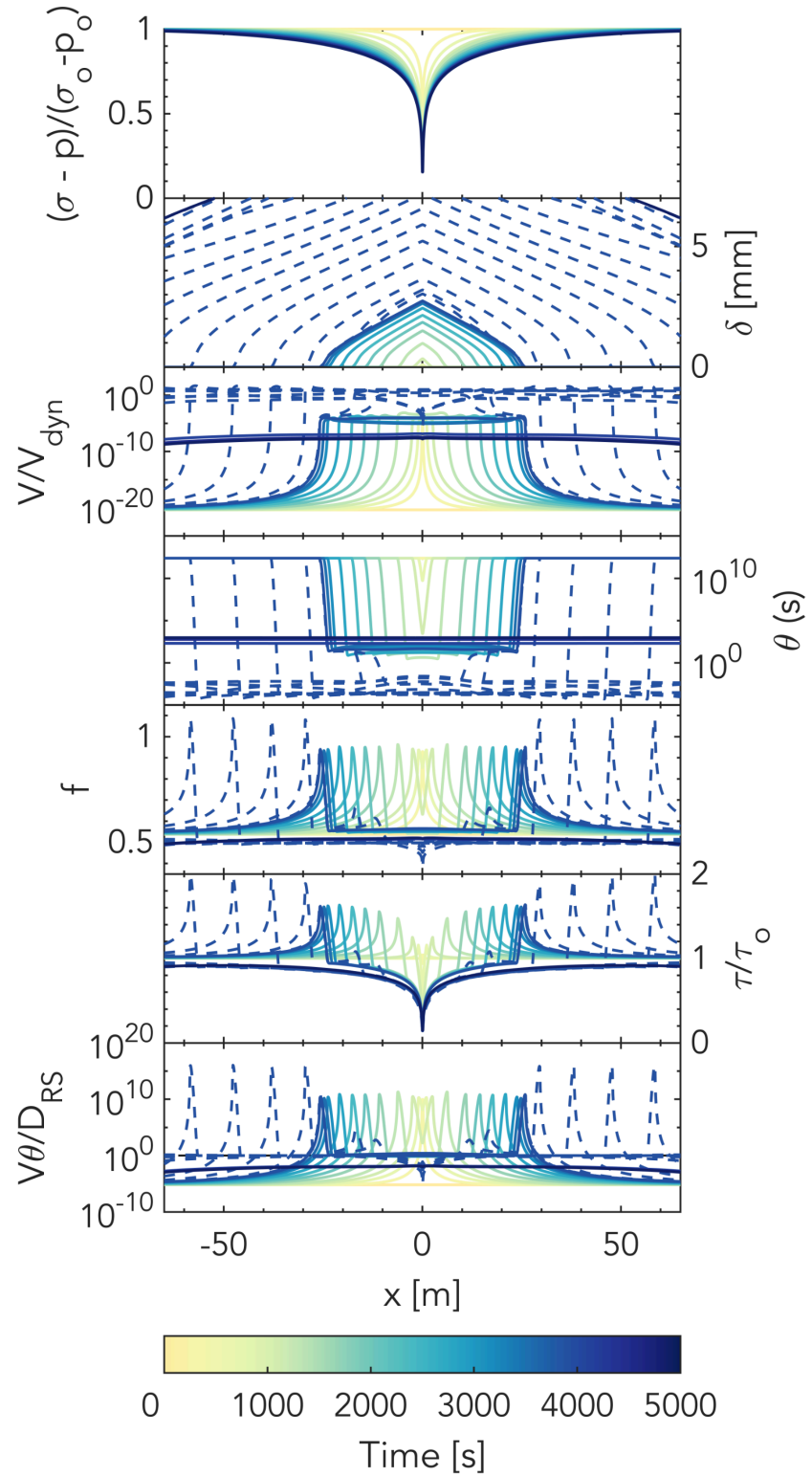
Figure S22. Spatial and temporal evolution of the same quantities as in Fig. S6 for the intermediate-friction prolonged injection case (plotted every 15000 time steps for $V < V_{dyn}$ and every 1000 time steps for $V > V_{dyn}$).

Figure S23. Spatial and temporal evolution of the same quantities as in Fig. S6 for the high-friction prolonged injection case (plotted every 35000 time steps for $V < V_{dyn}$ and every 750 time steps for $V > V_{dyn}$).
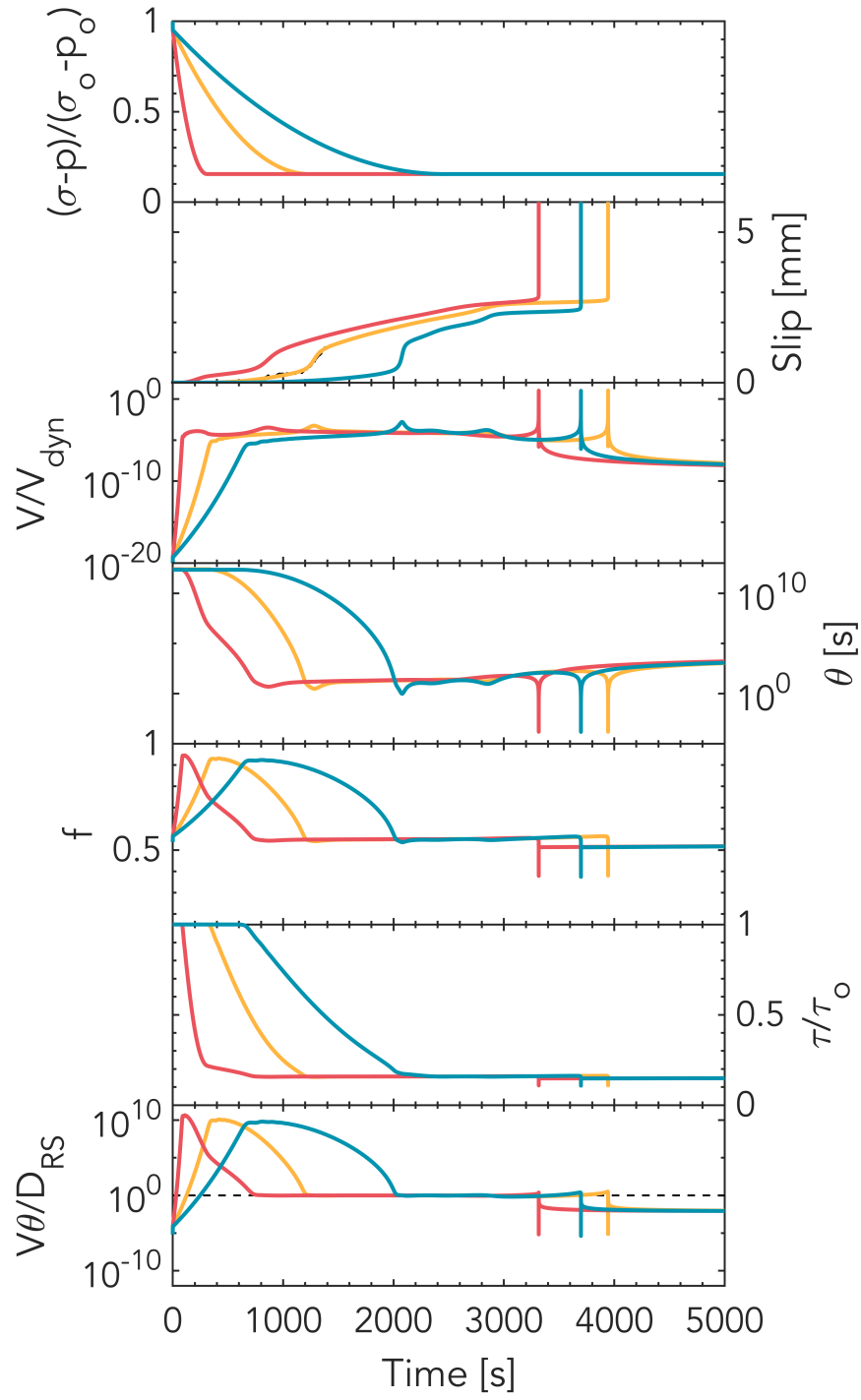
Figure S24. Effect of varying pressurization rate on the intermediate-friction case. The timing of events is altered but not the overall behavior, i.e., all simulations still show a transient acceleration followed by a run-away dynamic event.
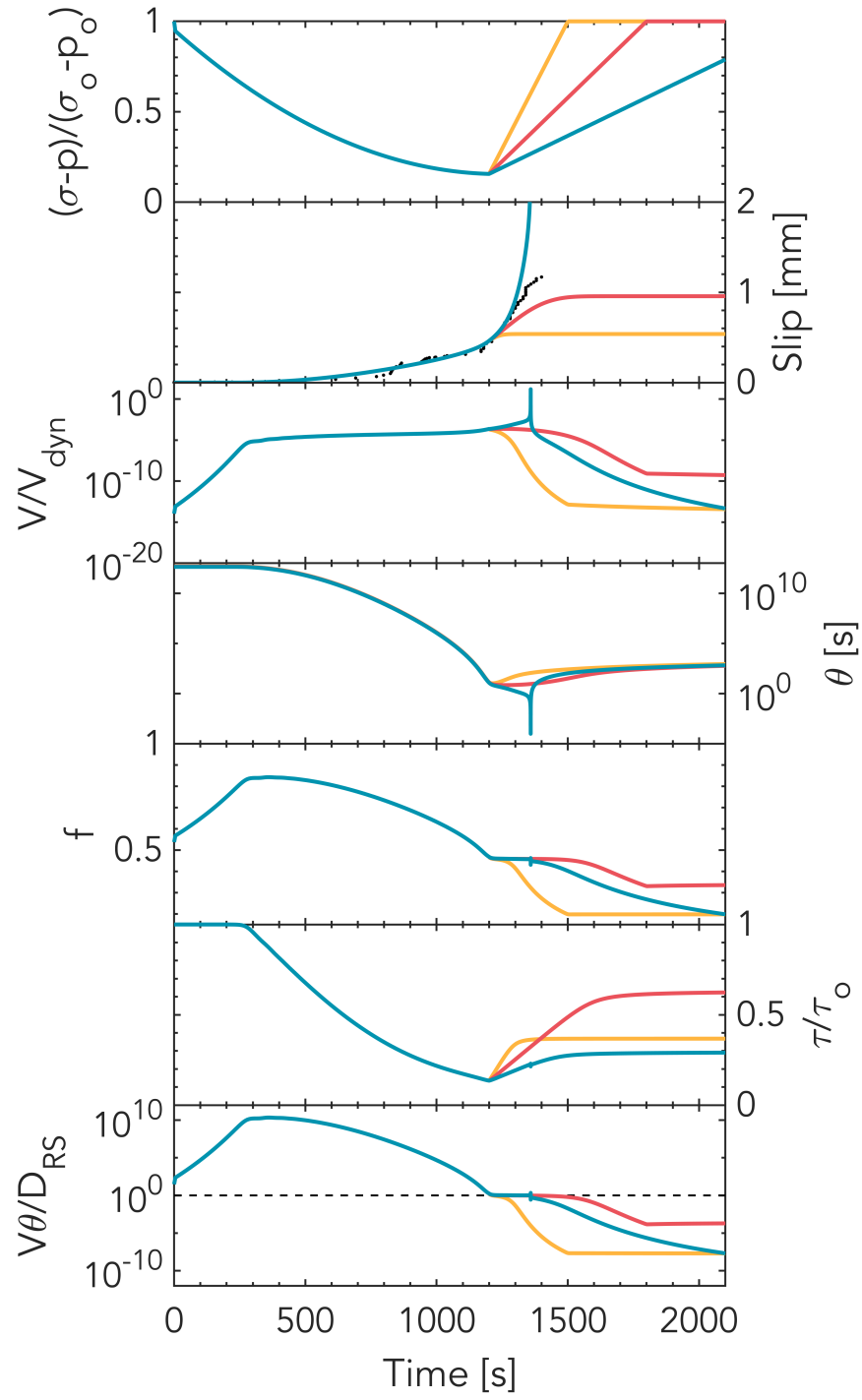
Figure S25. Effect of varying depressurization rate on a case similar to the low-friction case but with an even lower f^* of 0.46. In this case, the depressurization applied as in Figure 2 in the main text is not sufficient to prevent earthquake nucleation (blue curve). The other two faster depressurization rates successfully suppress the earthquake (yellow and pink curves).

Constraining Fault Friction and Stability with Fluid-Injection Field Experiments

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Introduction

The following supporting information describes long-term simulations justifying our choice of initial conditions as well as further discussion on the lengthscale h_{ac} derived in the main text. We also provide additional figures that illustrate the evolution of all variables in the simulation and how certain combinations of parameters control the simulation results.

Text S1. Long-term simulations without tectonic or fluid pressure loading

In the models presented in this study, we prescribe initial conditions that are consistent with a dormant fault by starting with a highly healed fault (i.e., high initial value of the state variable θ_{ini}). This choice of initial conditions is justified by the long-term simulations without tectonic or fluid pressure loading shown in Figures S4-S7. The initial values affect some initial behavior/slip of the fault but, long-term, the fault heals under the near-constant values of shear stress, with a power-law decrease in slip rate as well as an increase in state variable over time; at long times, the value of the state variable is approximately equal to the healing time of the fault. This behavior can be predicted analytically: When the fault is well below steady-state ($V\theta/D_{RS} \ll 1$), $\dot{\theta} \sim 1$ and thus $\theta \sim t$. Moreover, with shear stress being almost constant, the rate-and-state friction coefficient is fixed and $\dot{f} = a\dot{V}/V + b/t = 0$, implying that $V \propto t^{-b/a}$. The initial conditions in the intermediate- and high-friction cases in this study are consistent with this behavior. In the low-friction case, although we do prescribe a high initial state variable and a low initial slip rate, the fault needs to be initially above steady state to match the measured slip behavior at the injection size and therefore not consistent with the behavior described above.

Text S2. h_{ac}: Estimate of slipping zone length at slip acceleration

In the main text, we derived an estimate of the slipping zone length at the time of slip acceleration (beginning of Stage 3). If $(\sigma - p)$ remained constant throughout the simulation, Eq. (11) would reduce to $h_{ac} \propto \mu D_{RS}/b$ which is similar to the condition for acceleration $k < k_b$ (where k is stiffness) in the spring-block slider model (Dieterich, 1992; Helmstetter & Shaw, 2009) and to the condition $h > L_b$ for acceleration on continuum fault segments that are far above steady-state (Rubin & Ampuero, 2005). Eq. (11) is also similar to the findings for seismic slip nucleation in slip-weakening friction models (Uenishi & Rice, 2003; Viesca & Rice, 2012) except that h_{ac} depends on pressure; specifically on the maximum value of pressure (at the injection site). The fact that this lengthscale does not depend - at least to first order - on the extent or shape of

the pore pressure distribution is also consistent with prior findings (Uenishi & Rice, 2003; Viesca & Rice, 2012). At the same time, h_{ac} is different from some of the discussed critical lengthscales, since it does not signify the transition to dynamic, inertially-controlled earthquake slip, but rather corresponds to the beginning of the different quasi-static slip stage in this particular experiment. The existence of h_{ac} is linked to the two-stage quasi-static slip process in the field experiment which the simulations are trying to emulate. The associated evolution of the friction coefficient - with sharp increase to a peak value, then near-linear decrease vs. slip with the slope of b, and then near-constant value - is likely related to the relatively rapid increase of the pore pressure at the injection site compared to the timescale of state variable evolution considered in this work.

To demonstrate that Eq. (11) holds, in Figures S12 and S14(A-C) we show 3 simulations in which h_{ac} is increased compared to the intermediate-friction case by increasing μ (pink), increasing D_{RS} (yellow) or decreasing b (turquoise) while keeping t_s constant. Figures S13 and S14(D-E) show simulations in which both t_s and h_{ac} are increased by increasing f^* (pink) or θ_{ini} (yellow). Figures S13 and S14(F) also show a case (turquoise) in which both t_s and h_{ac} are kept the same as in the intermediate-friction reference case but t_{ac} is delayed due to the decreased hydraulic diffusivity α which controls how fast the slipping zone expands during Stage 2. In all cases, the onset of Stage 3 is delayed compared to the intermediate-friction reference case. Thus, parameters μ, D_{RS}, b, t_s and α have a primary control on the onset of Stage 3 observed in all simulations shown in this work.

As for the amplitude and slope of the slip acceleration, four parameters - f^*, $a - b$, μ and α - have been identified to play a key role in controlling them as shown in Figures S15 to S19.

Table S1. Model parameters for the three cases presented in Figures 2-4 in the main text.

Properties	Symbol	Low Friction	Intermediate Friction	High Friction
Total fault length [m]	x_tot	250	250	250
Frictional interface length [m]	x_fr	200	200	200
Initial shear stress [MPa]	τ_ini	2.15	2.15	2.15
Initial normal stress [MPa]	σ_ini	4.00	4.00	4.00
Initial coefficient of friction	f_ini	0.5375	0.5375	0.5375
Reference coefficient of friction	f^*	0.4815	0.5500	0.6000
Reference slip rate [m/s]	V^*	10^{-6}	10^{-6}	10^{-6}
Direct effect frictional parameter	a	0.01500	0.01125	0.01125
Evolutionary effect frictional parameter	b	0.01600	0.01600	0.01600
Critical slip distance [μm]	D_{RS}	16.75	16.75	16.75
Hydraulic diffusivity [m²/s]	α	0.04	0.20	0.85
Initial state variable [s]	θ_ini	1.21e12	2.38e12	7.00e12
Shear modulus [GPa]	μ	10	10	10

Figure S1. Temporal evolution of pore pressure, slip and slip rate and evolution of friction as a function of slip as in Figure 2AB in the main text but for the exact pressure history. The simulated slip rate is similar but noisier and harder to interpret.
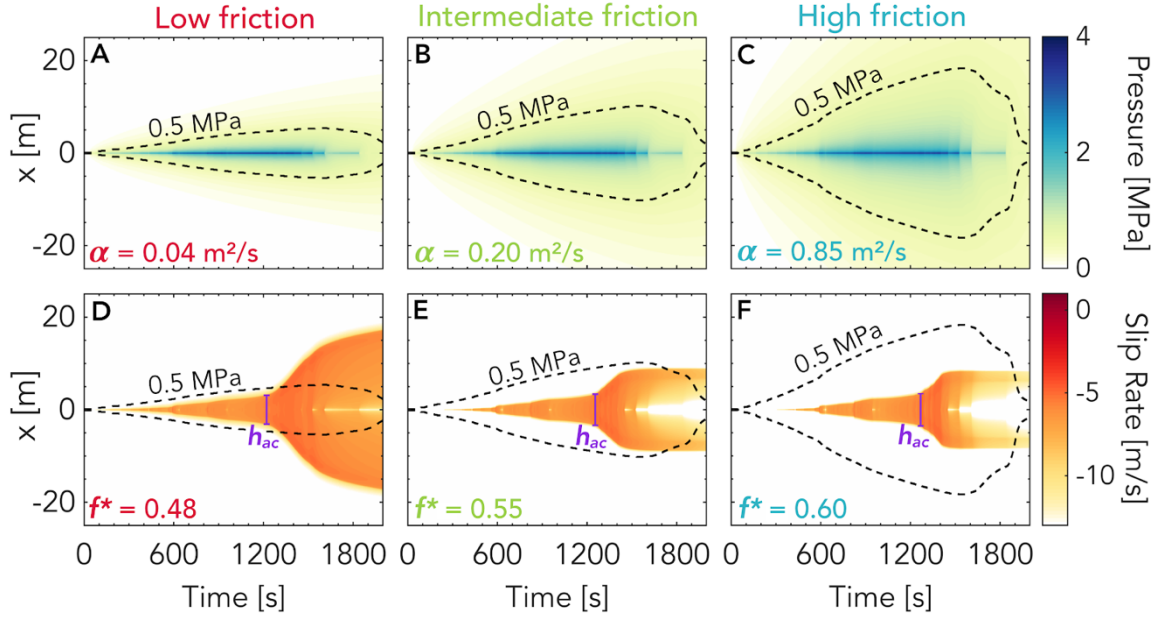
Figure S2. Spatial and temporal evolution of pore pressure and slip as Figure 3 in the main text but for the exact pressure history as in Figure S1 and including the depressurization stage.
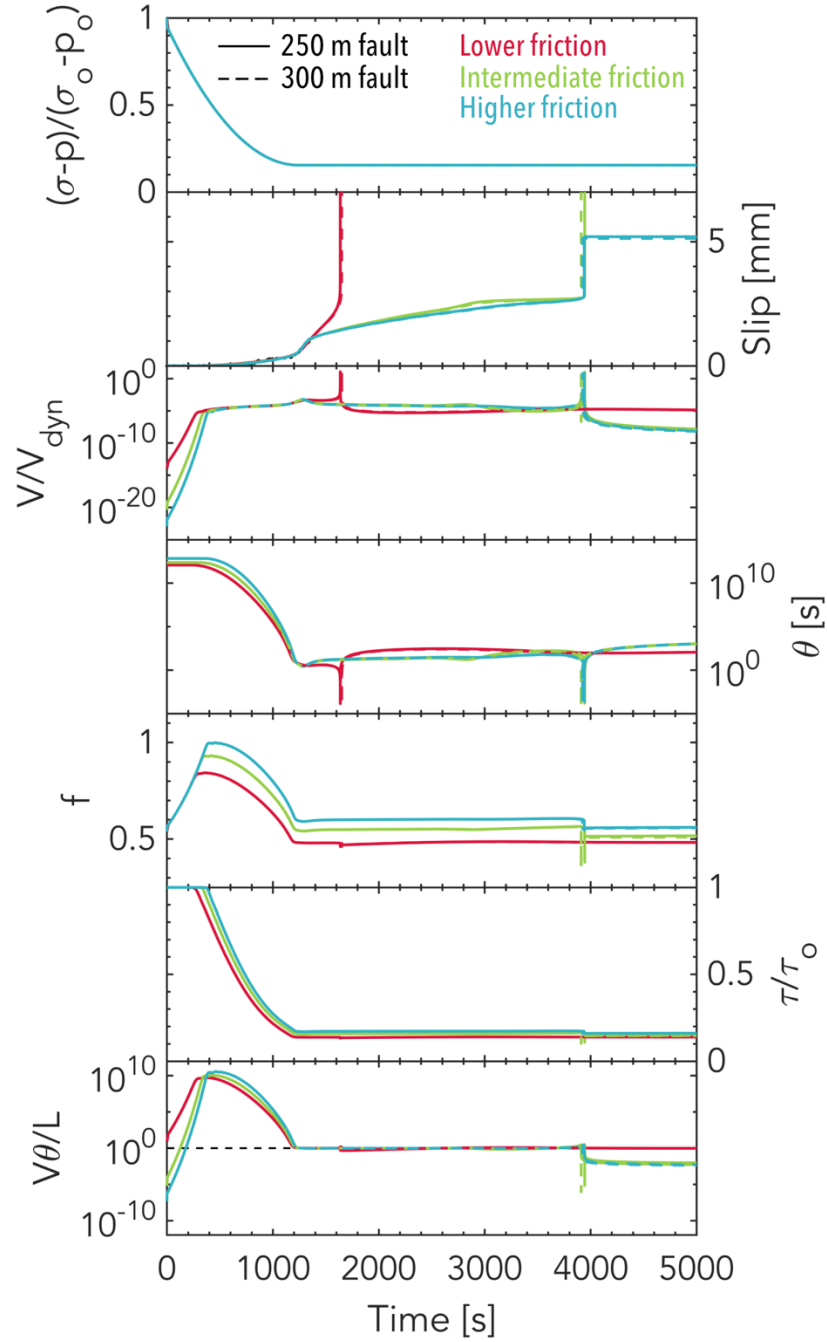
Figure S3. Temporal evolution of several quantities at the injection site for the prolonged injection simulations (Figure 4) with domain sizes of 250 m (solid lines) and 300 m (dashed lines). From top to bottom: the normalized effective normal stress, slip, normalized slip rate (V_{dyn} = 10^{-2} m/s), state variable, friction coefficient, normalized shear stress and closeness to steady state at the injection site. Changing the domain size slightly changes the timing of the dynamic events but not the overall behavior.
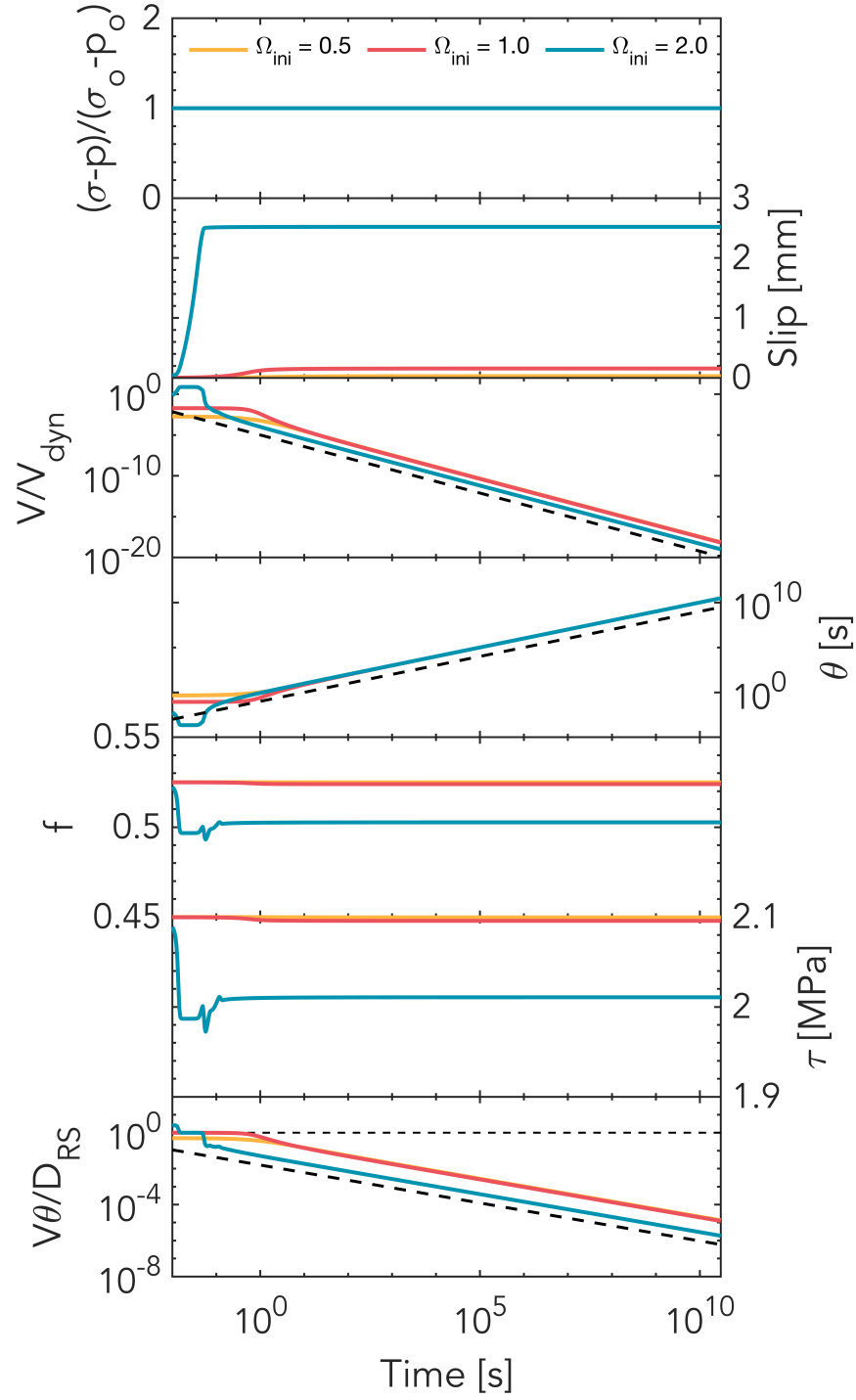
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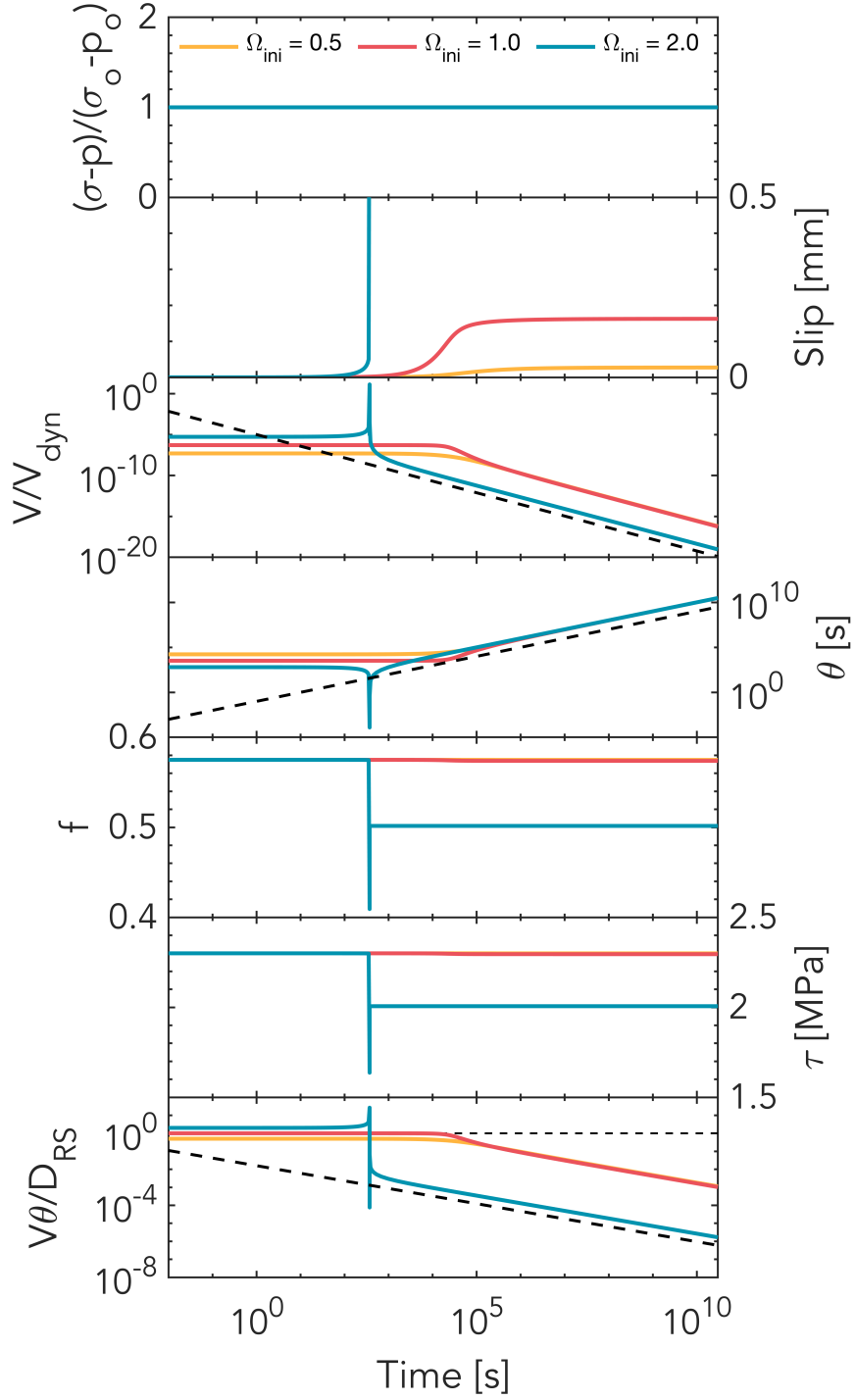
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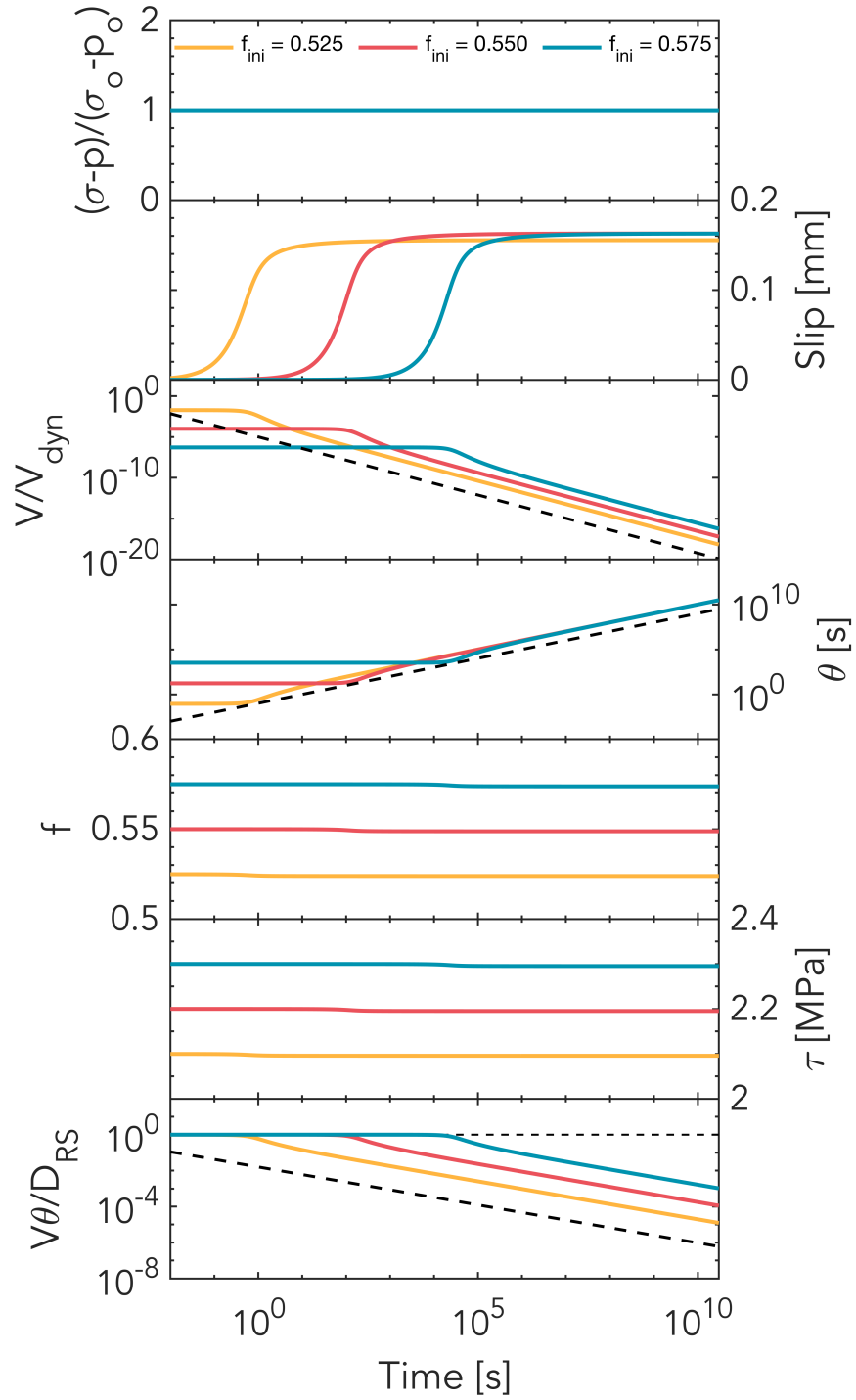
Figure S6. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $\Omega_{ini} = 1$, $a = 0.011$, and $b = 0.016$, varying the initial friction coefficient, f_{ini}. No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in Text S1.
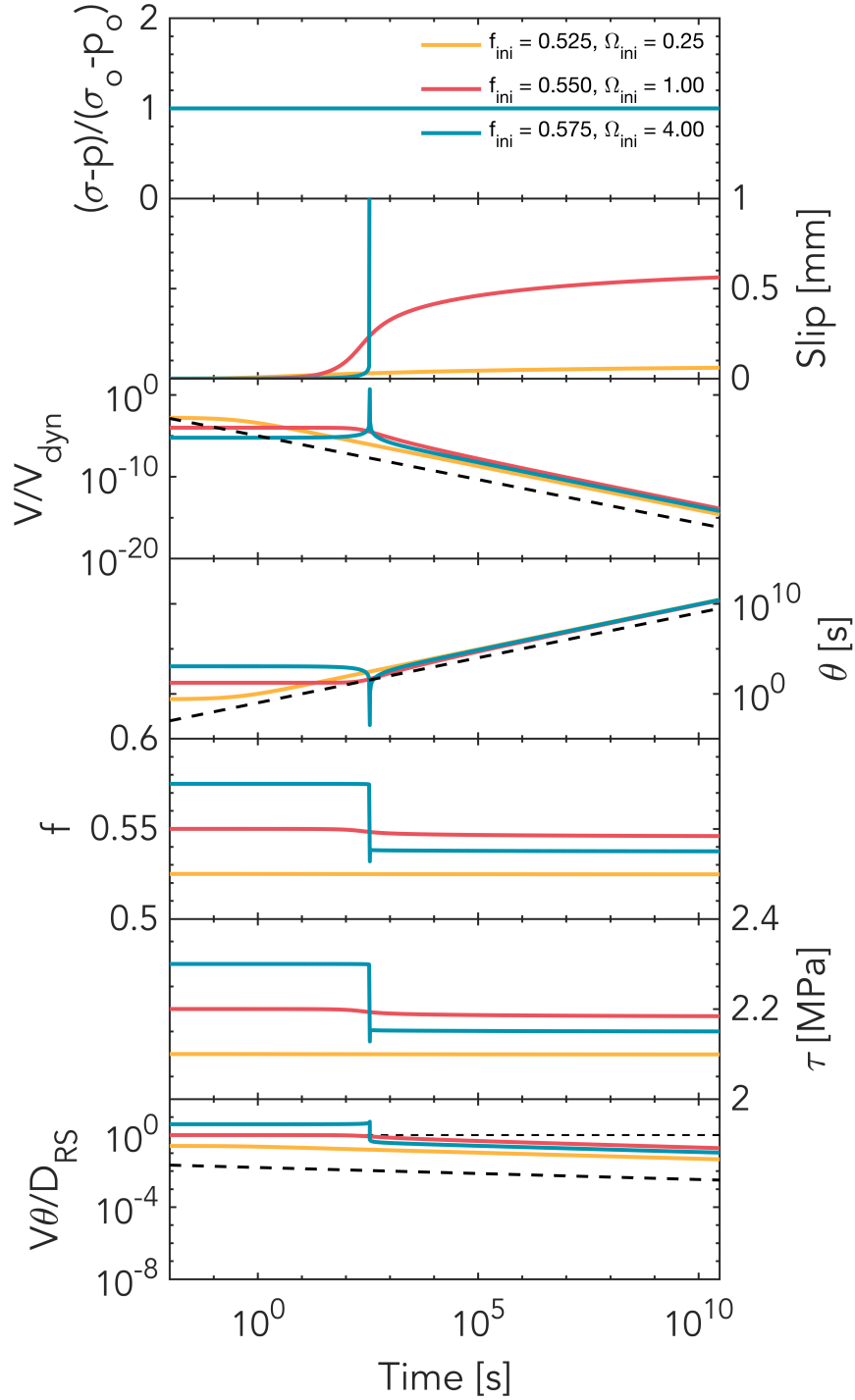
Figure S7. Simulations that illustrate long-term fault healing in the absence of slip, with $f^* = 0.550$, $a = 0.015$, and $b = 0.016$, varying the initial closeness to steady state ($\Omega_{ini} = V_{ini}\theta_{ini}/D_{RS}$) and initial friction coefficient f_{ini}. No matter what the initial values are, all cases eventually undergo a logarithmic decrease in slip rate and an increase in state variable with time, even the initially above steady-state case which experiences a run-away earthquake a few minutes into the simulation. Note that the time axis is logarithmic. The thick dashed lines indicate the slopes discussed in Text S1.
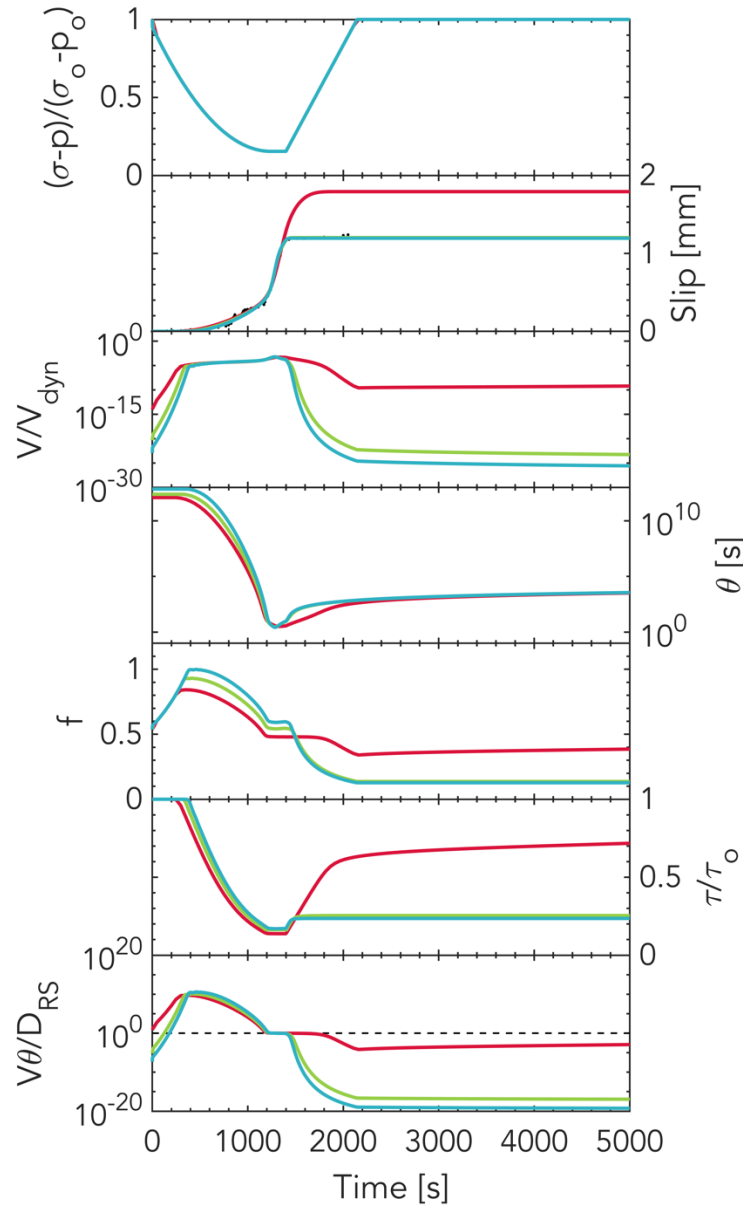
Figure S8. Simulated temporal evolution of several quantities at the injection site for the cases of Figure 2A in the main text. From top to bottom: the normalized effective normal stress, slip, normalized slip rate ($V_{dyn} = 10^{-2}$ m/s), state variable, friction coefficient, normalized shear stress and closeness to steady state at the injection site. Note that no earthquakes occur in these simulations as opposed to cases in which the pressure is kept constant at the injection site (Figure 4 in the main text).
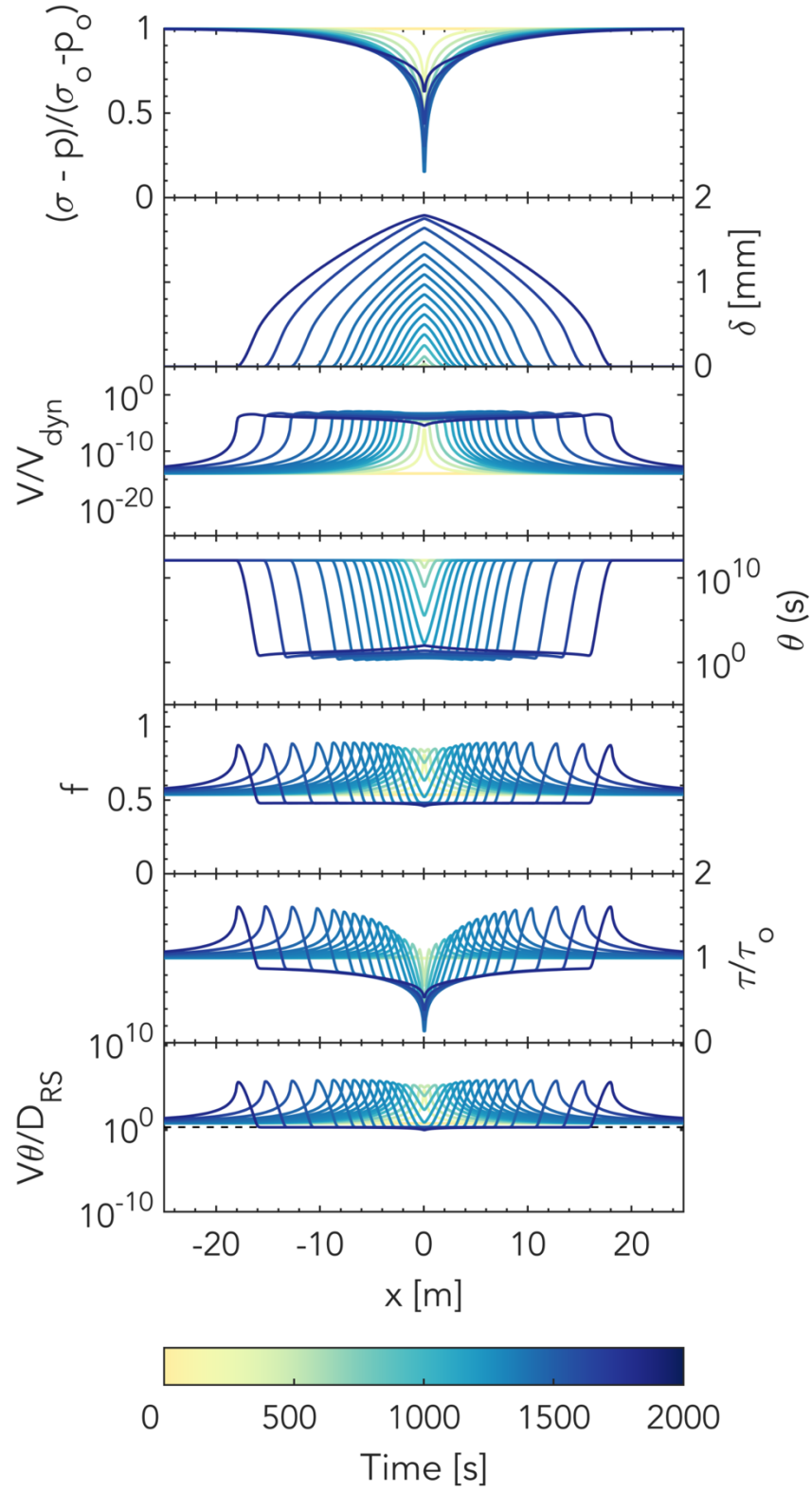
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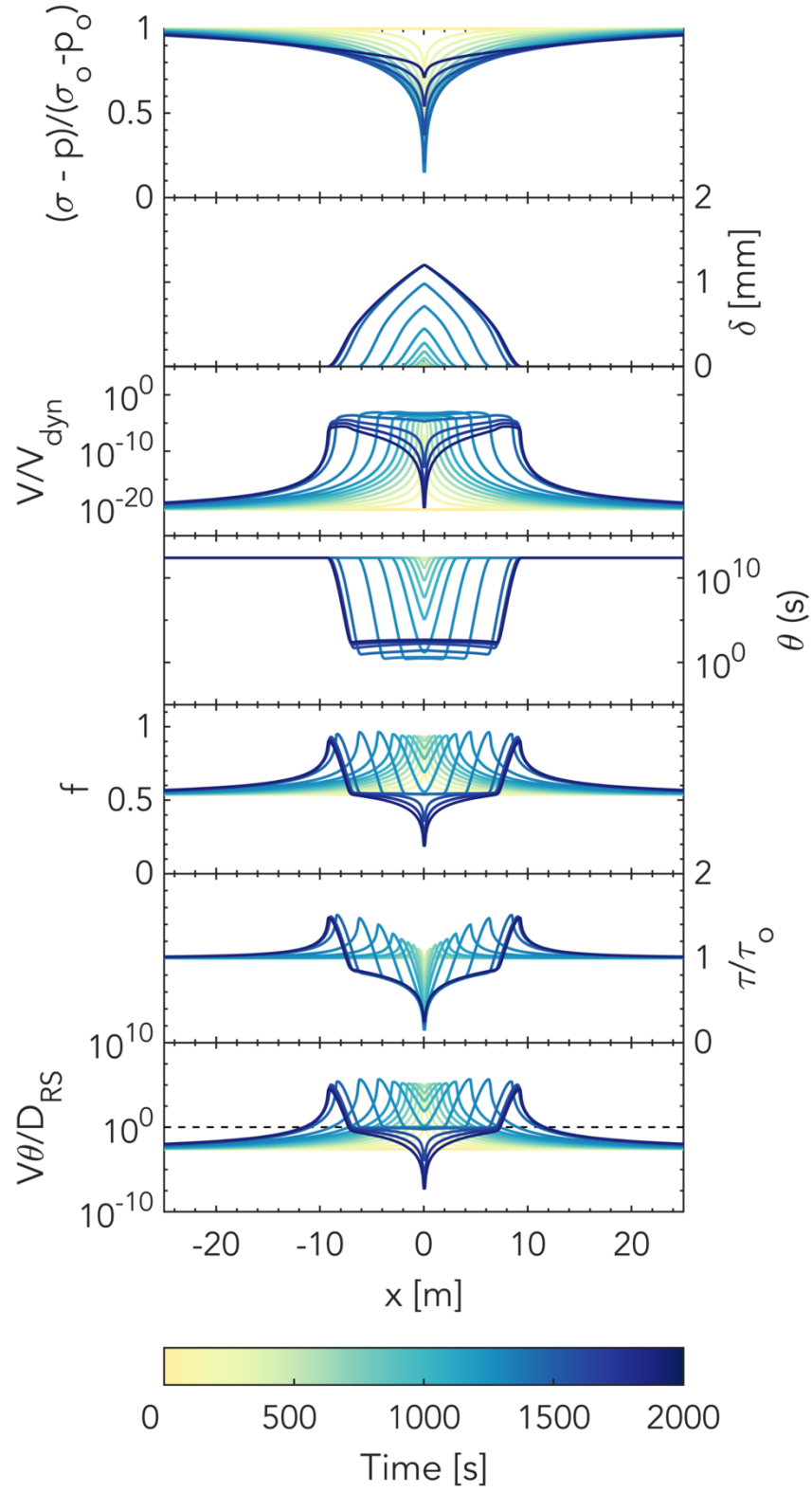
Figure S10. Spatial and temporal evolution of the same quantities as in Fig. S8 for the intermediate-friction case (plotted every 6000 time steps).
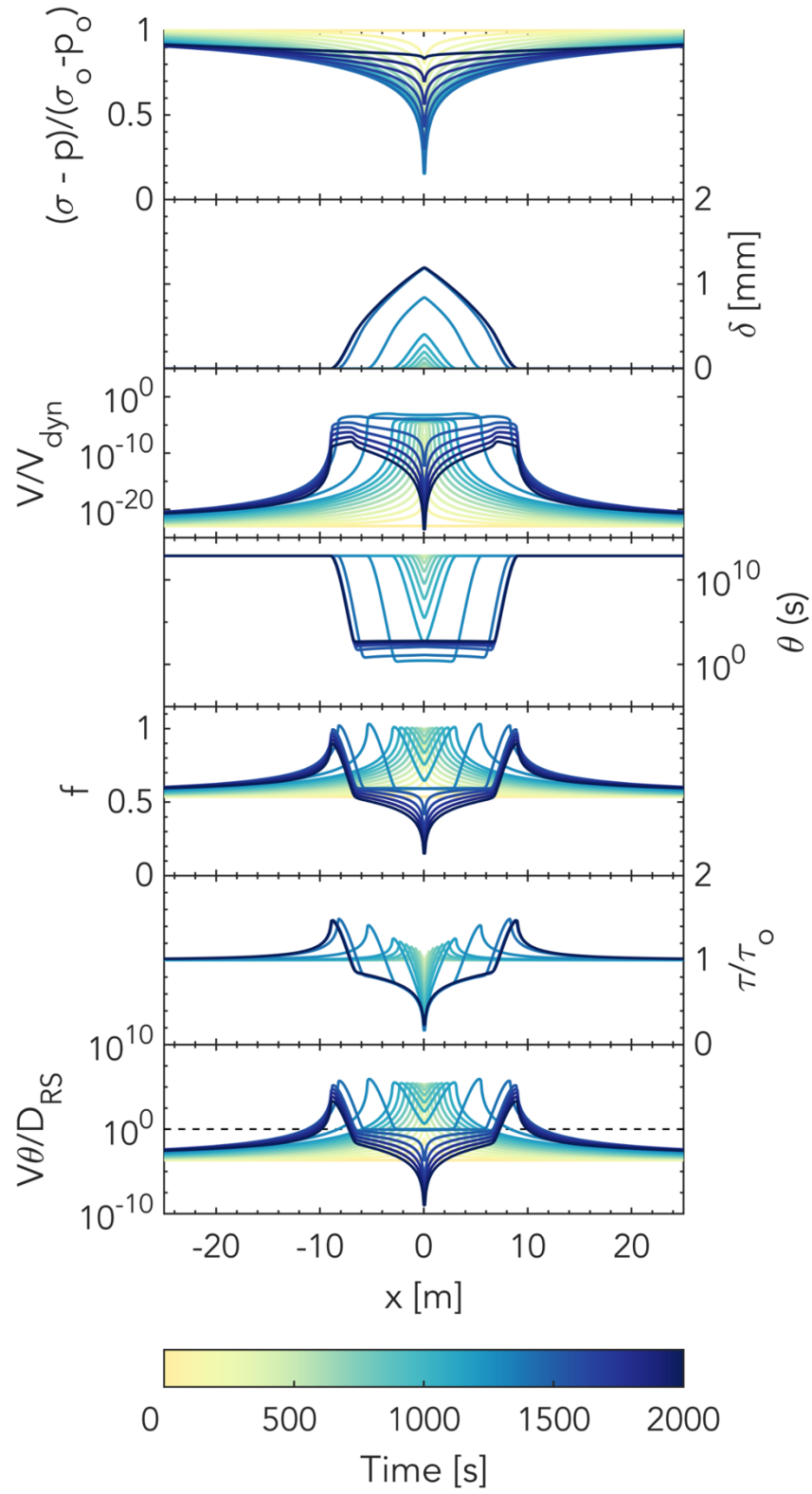
Figure S11. Spatial and temporal evolution of the same quantities as in Fig. S8 for the high-friction case (plotted every 20000 time steps).
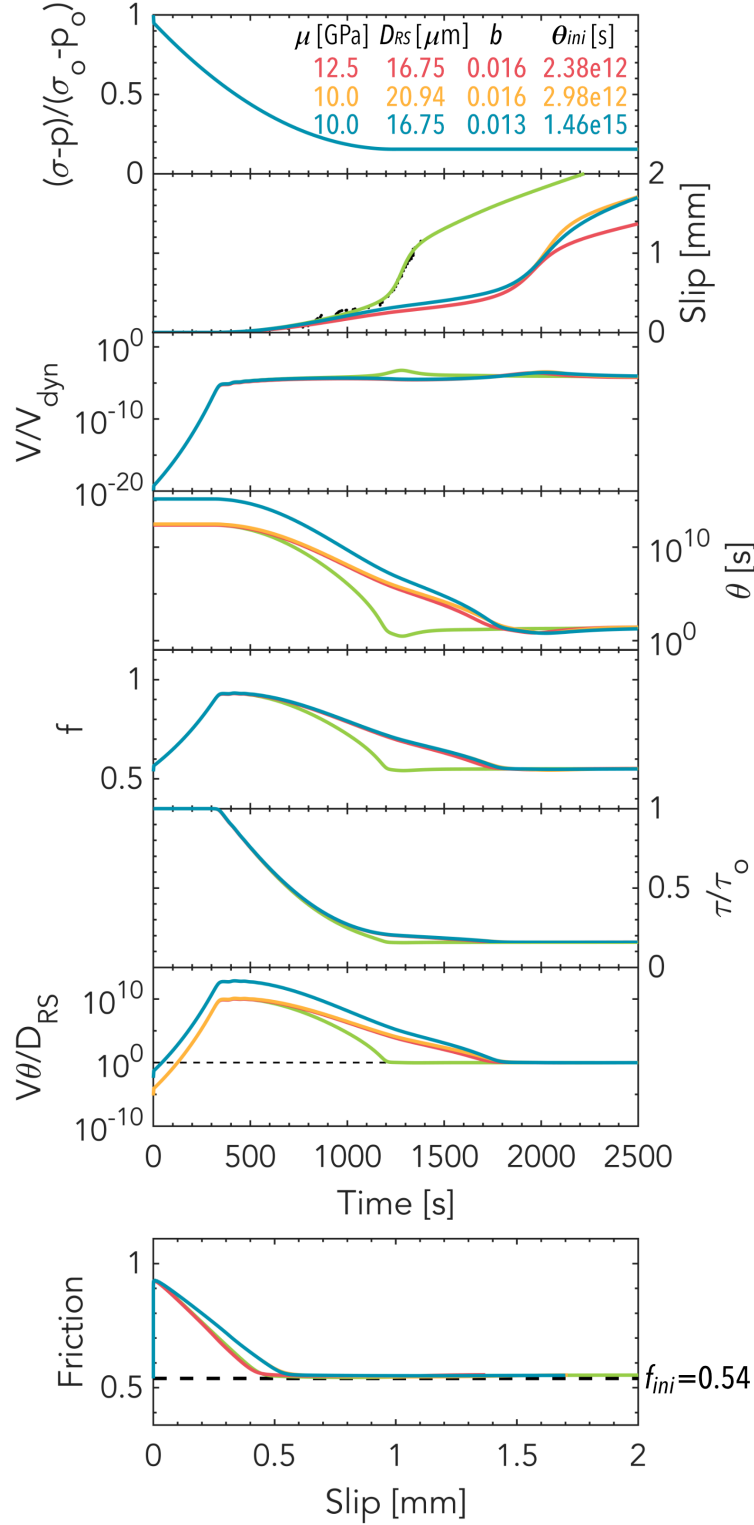
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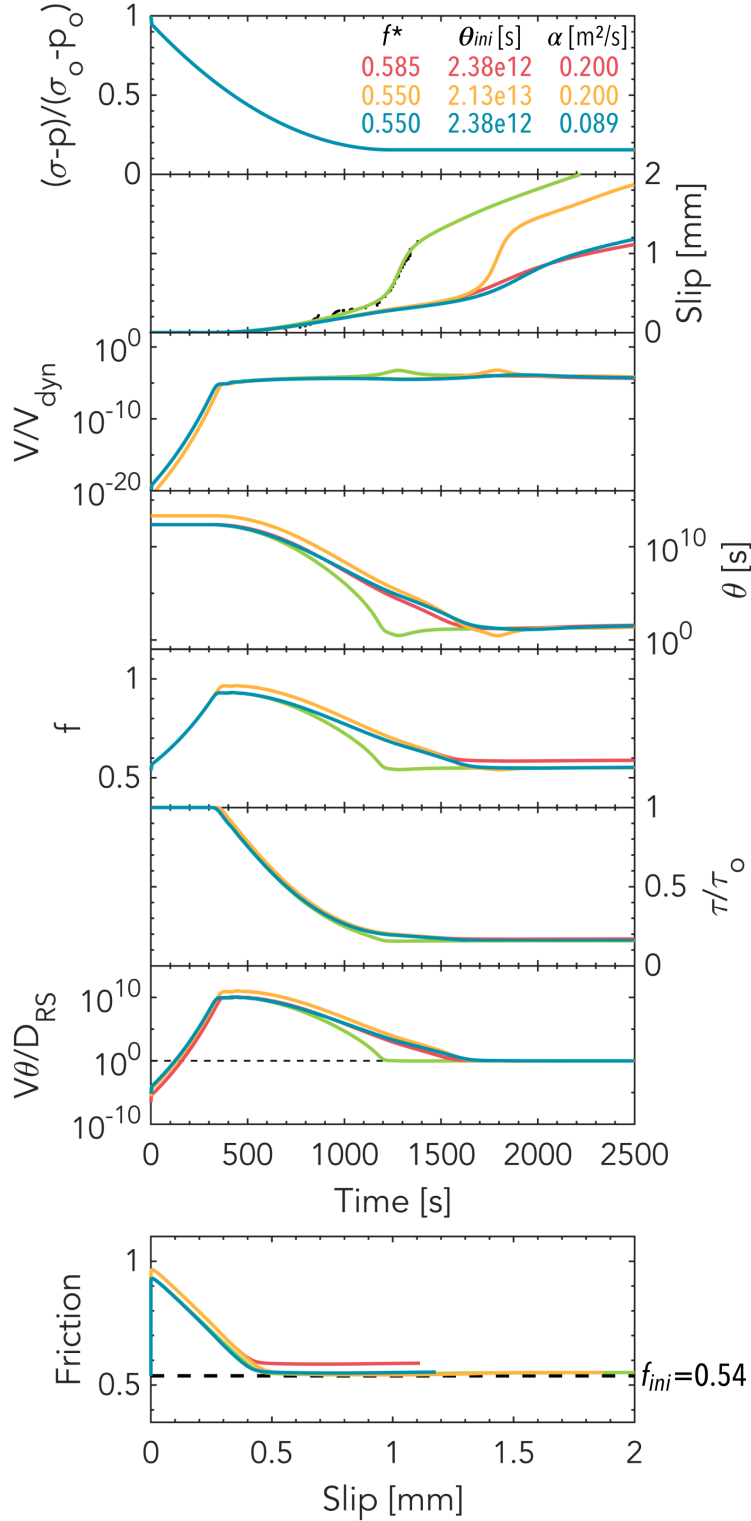
**Figure S13.** Temporal evolution of quantities at the injection site and friction vs. slip for prolonged injection but for cases in which the onset of Stage 3 is delayed by increasing f^* (pink), increasing θ_{ini} (yellow) or decreasing α (turquoise) compared to the intermediate-friction reference case (green). Note the delay in the transient acceleration compared to the reference case. Parameter values modified from the intermediate-friction scenario are listed at the top right corner.
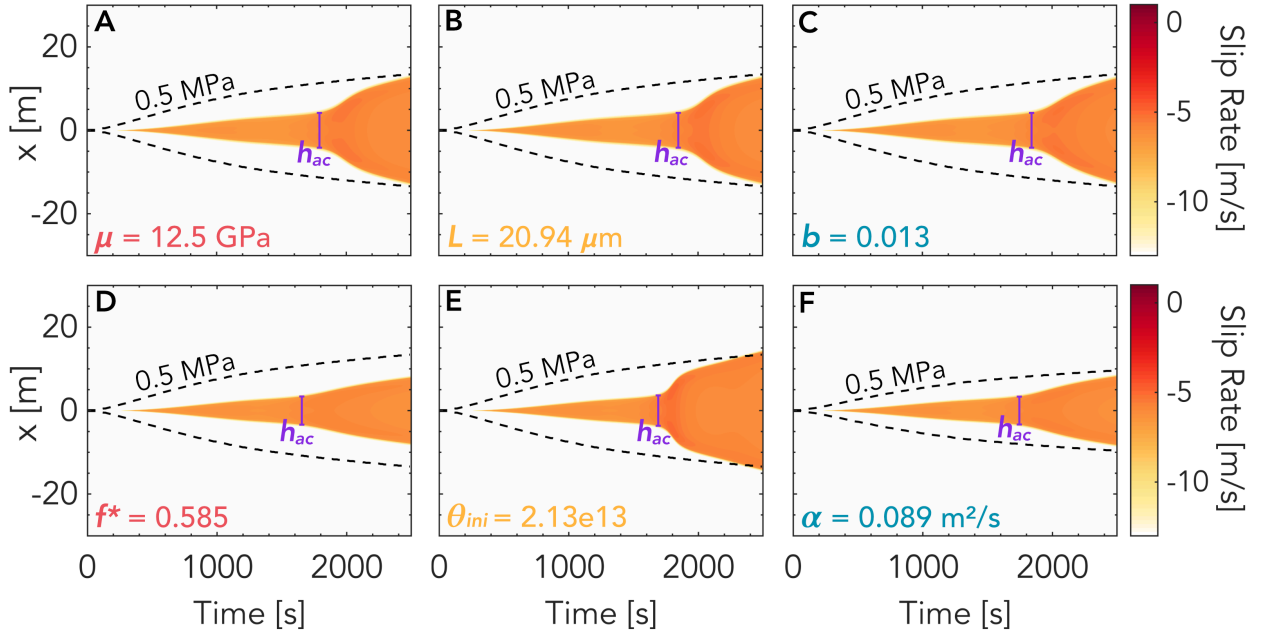
Figure S14. Spatial and temporal evolution of slip rate for the modified prolonged injection cases shown in Figures S12 and S13 in which the onset of Stage 3 is delayed by (A) increasing μ, (B) increasing D_{RS}, (C) decreasing b, (D) increasing f^*, (E) increasing θ_{ini}, (F) decreasing hydraulic diffusivity α. Note that h_{ac} provides a good estimate of the extent of the sliding region before the onset of Stage 3 in all these cases.
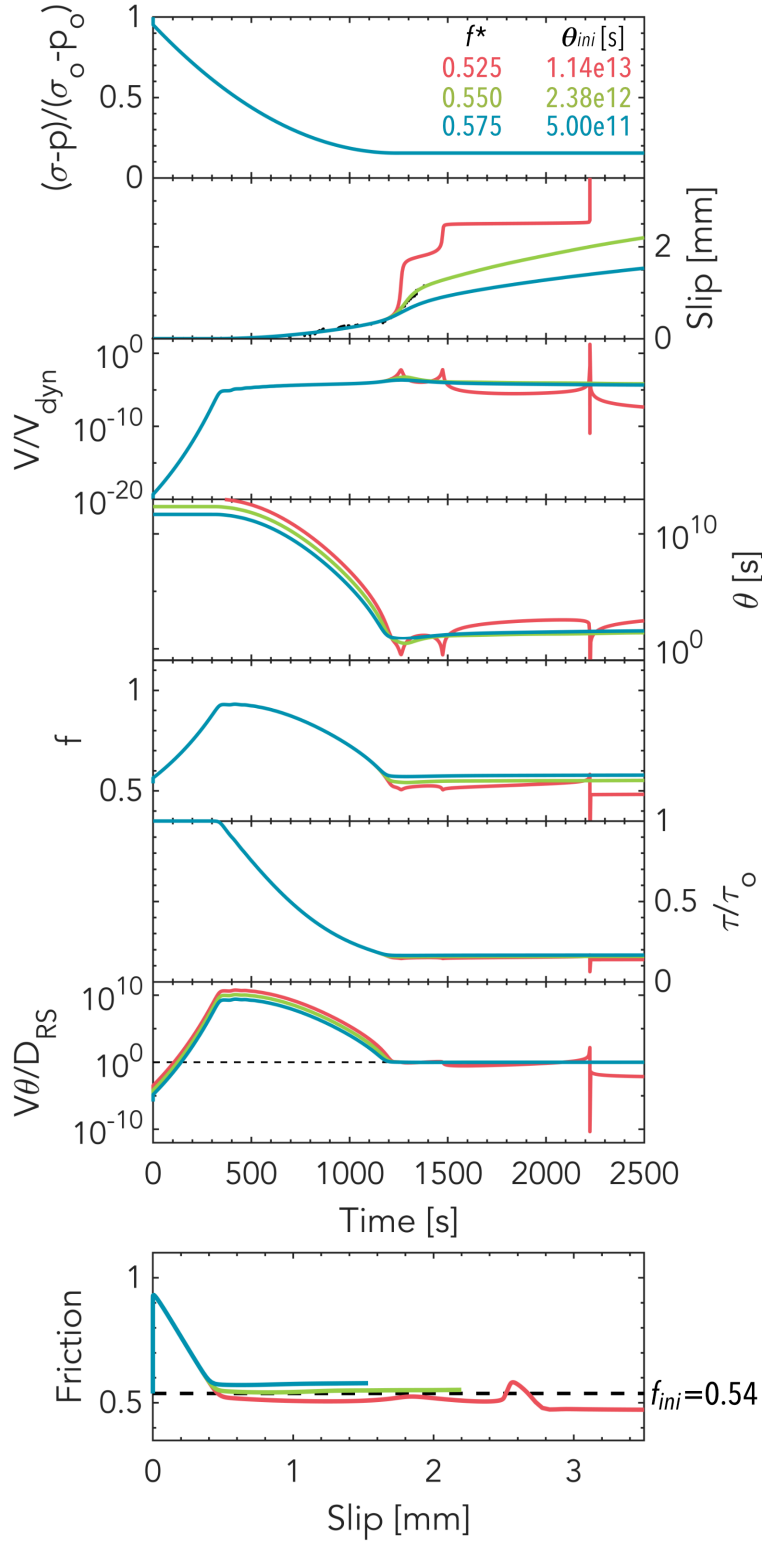
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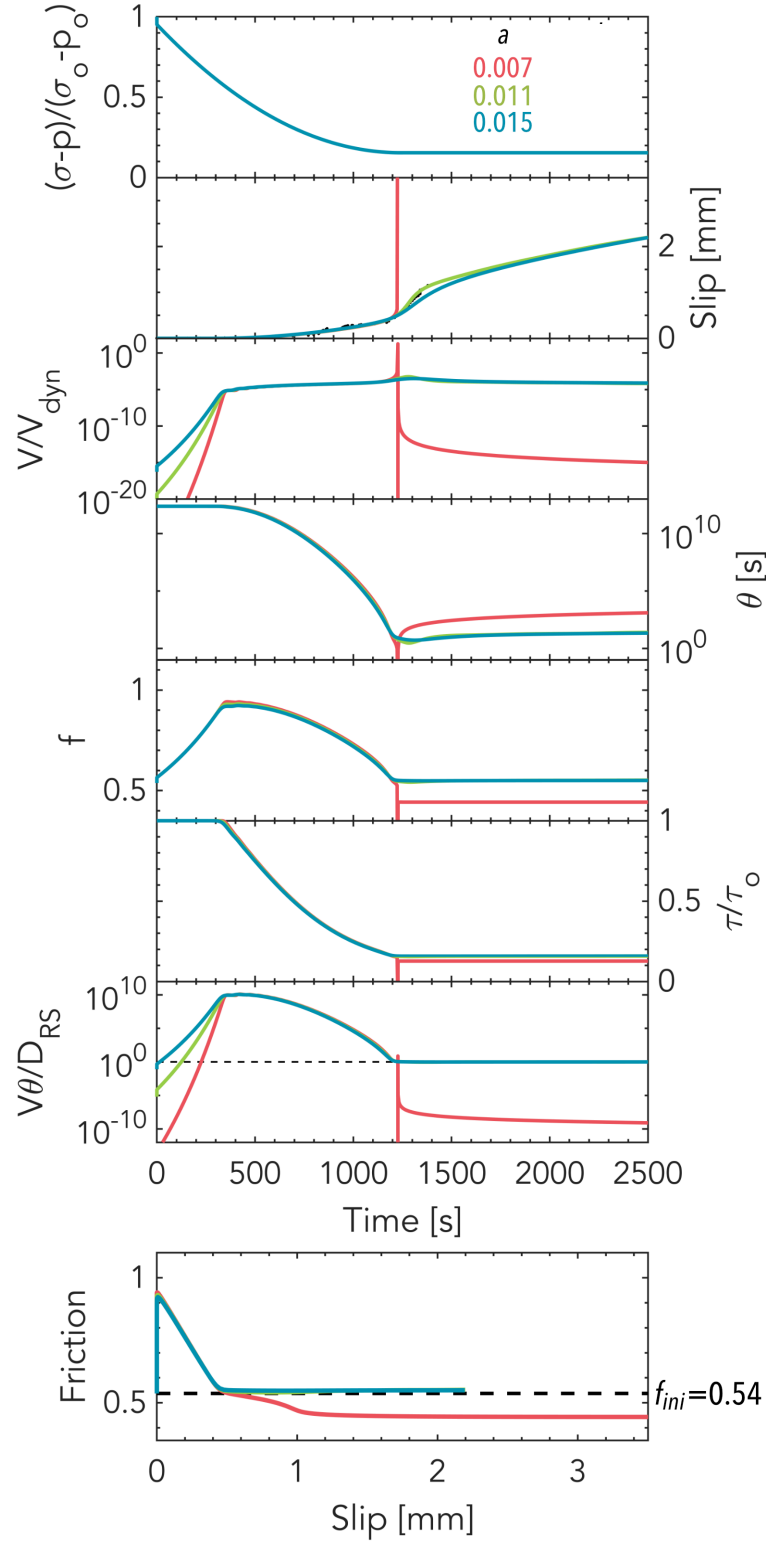
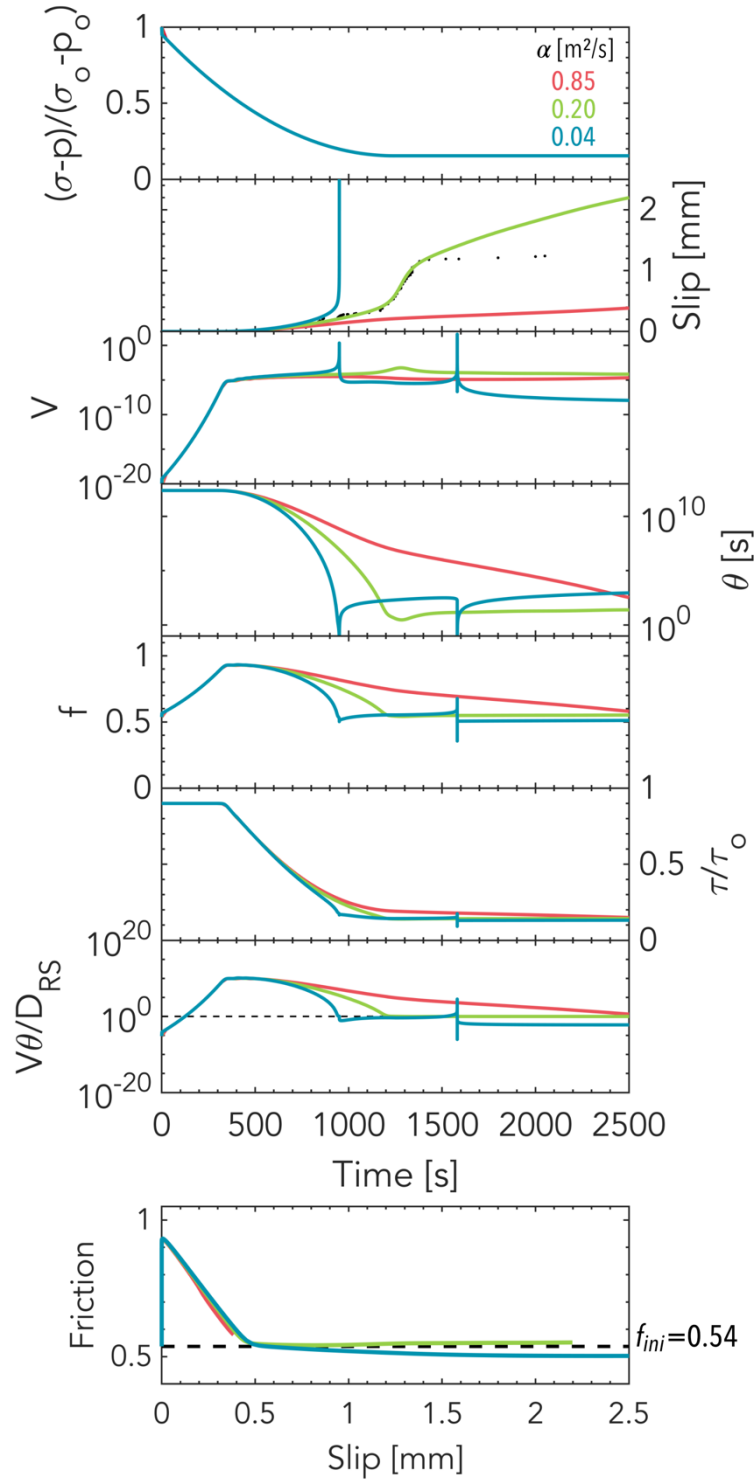**Figure S16.** Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying a. Increasing a reduces the amplitude and slope of the transient acceleration. Parameter values modified from the intermediate-friction scenario (green) are listed at the top right corner.
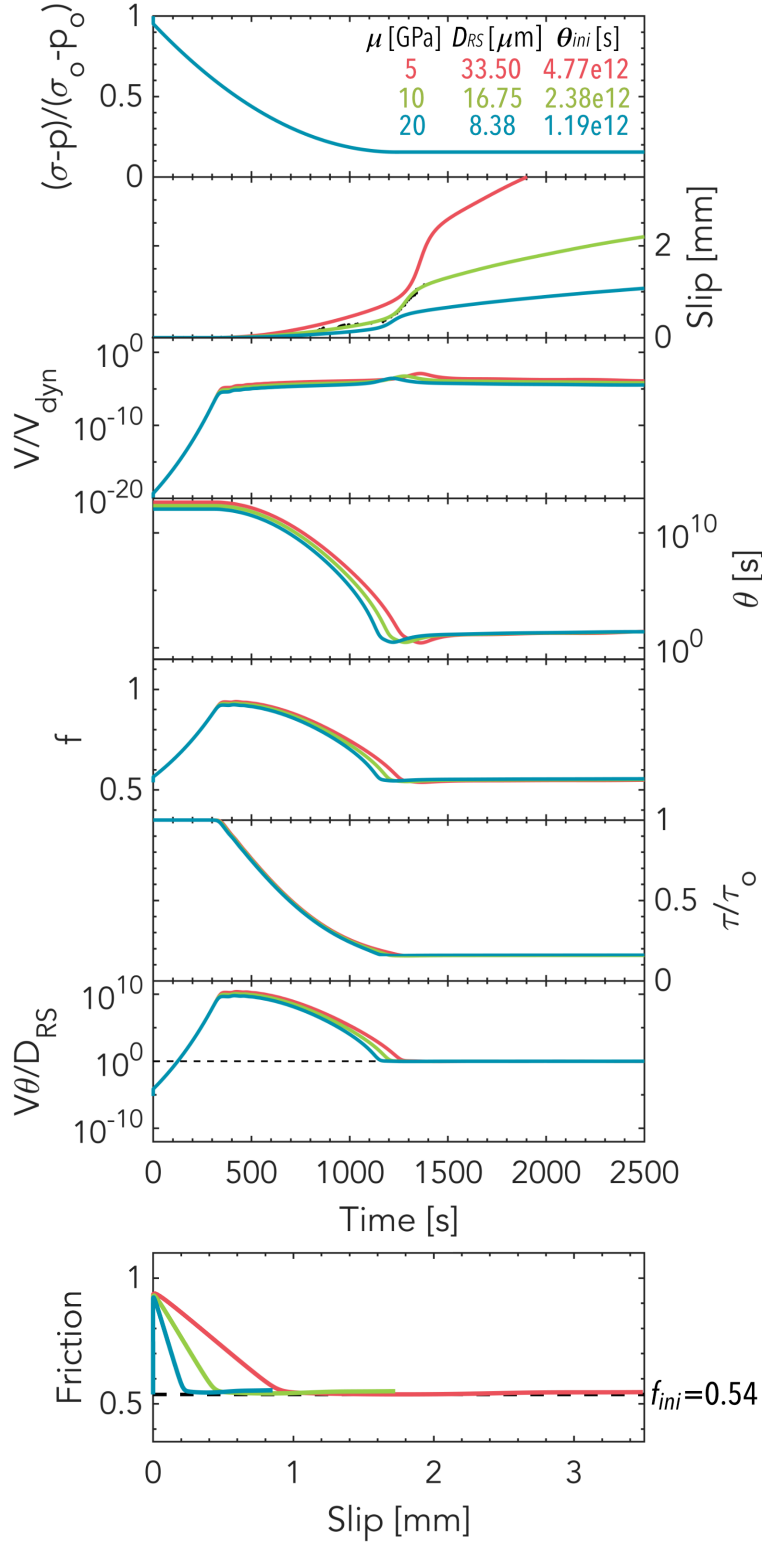
Figure S17. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying μ while keeping h_{ac} and f^p constant. Increasing μ reduces the amplitude and slope of the transient acceleration. Parameter values modified from the intermediate-friction scenario (green) are listed at the top right corner.
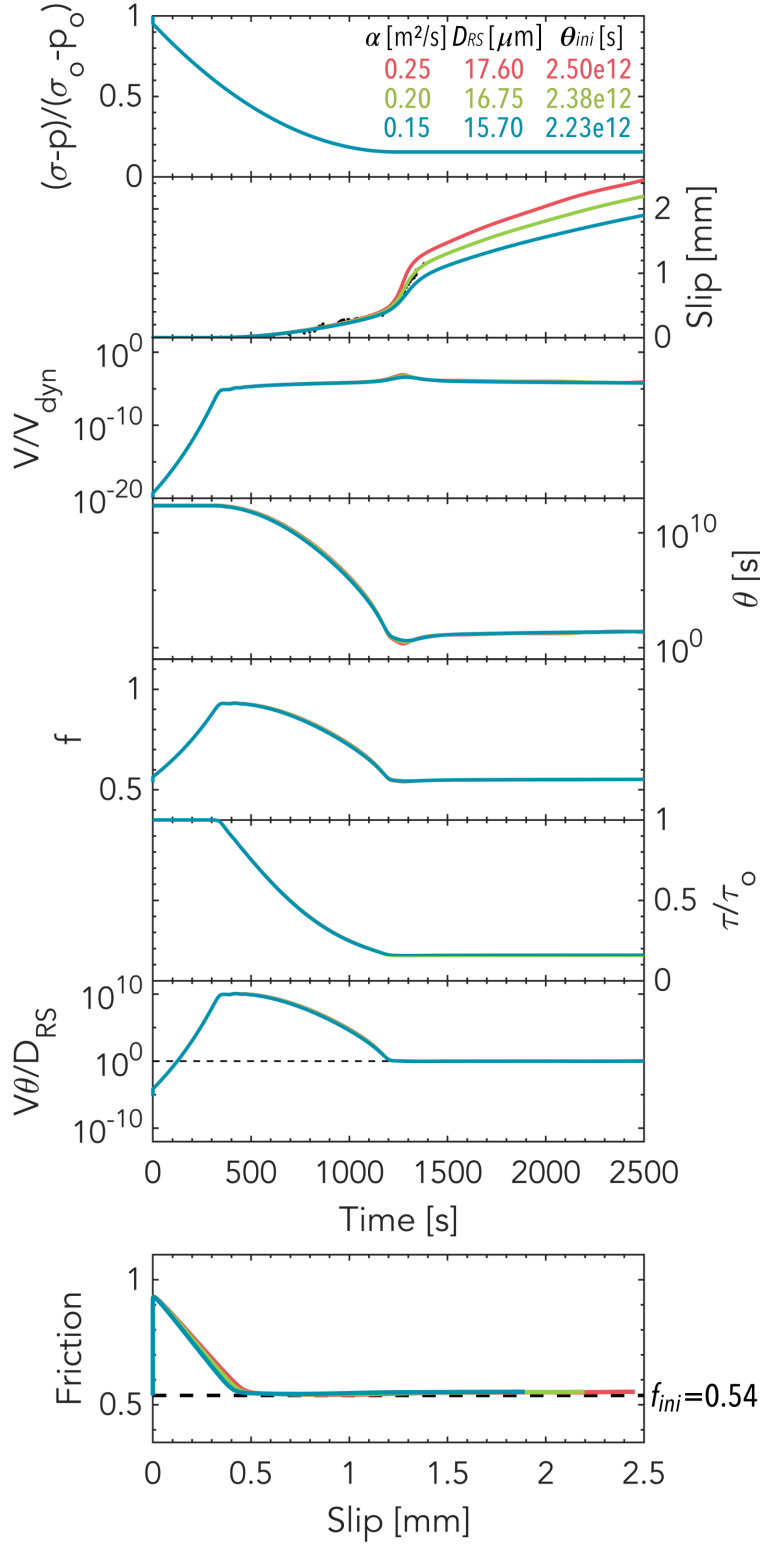
Figure S18. Temporal evolution of quantities at the injection site and friction vs slip of 2 cases showing the effect of varying α while keeping t_{ac} and f^p constant. Increasing α increases the amplitude and slope of the transient acceleration. Parameter values modified from the intermediate-friction scenario (green) are listed at the top right corner.
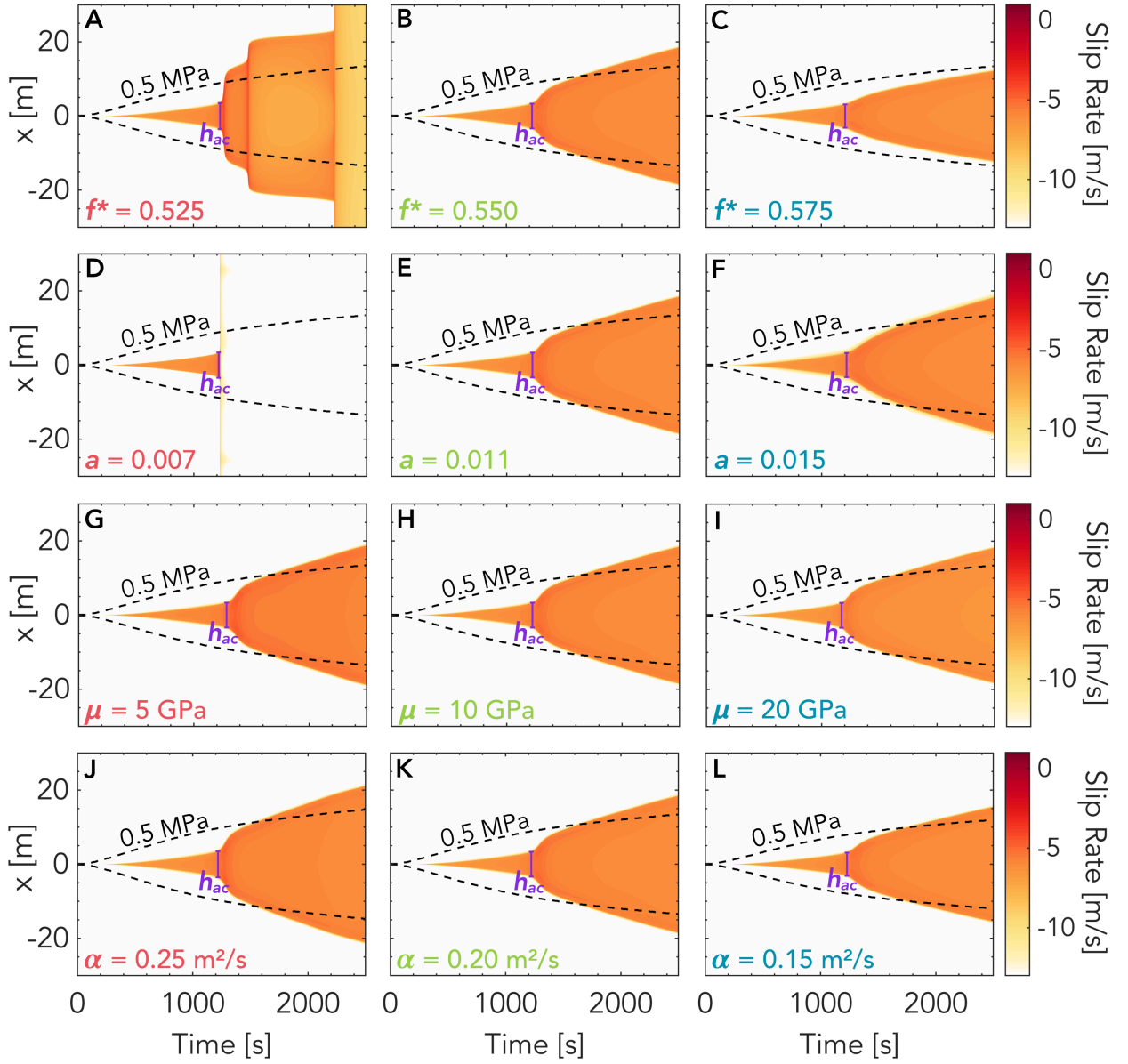
Figure S19. Spatial and temporal evolution of rate for the cases shown in Figures S15 – S18 in which the slope and/or amplitude of the transient acceleration is altered by varying (A,C) f^*, (D,F) a, (G,I) μ and (J,L) α. Panels B, E, H and K all show the reference intermediate-friction case for comparison purposes.
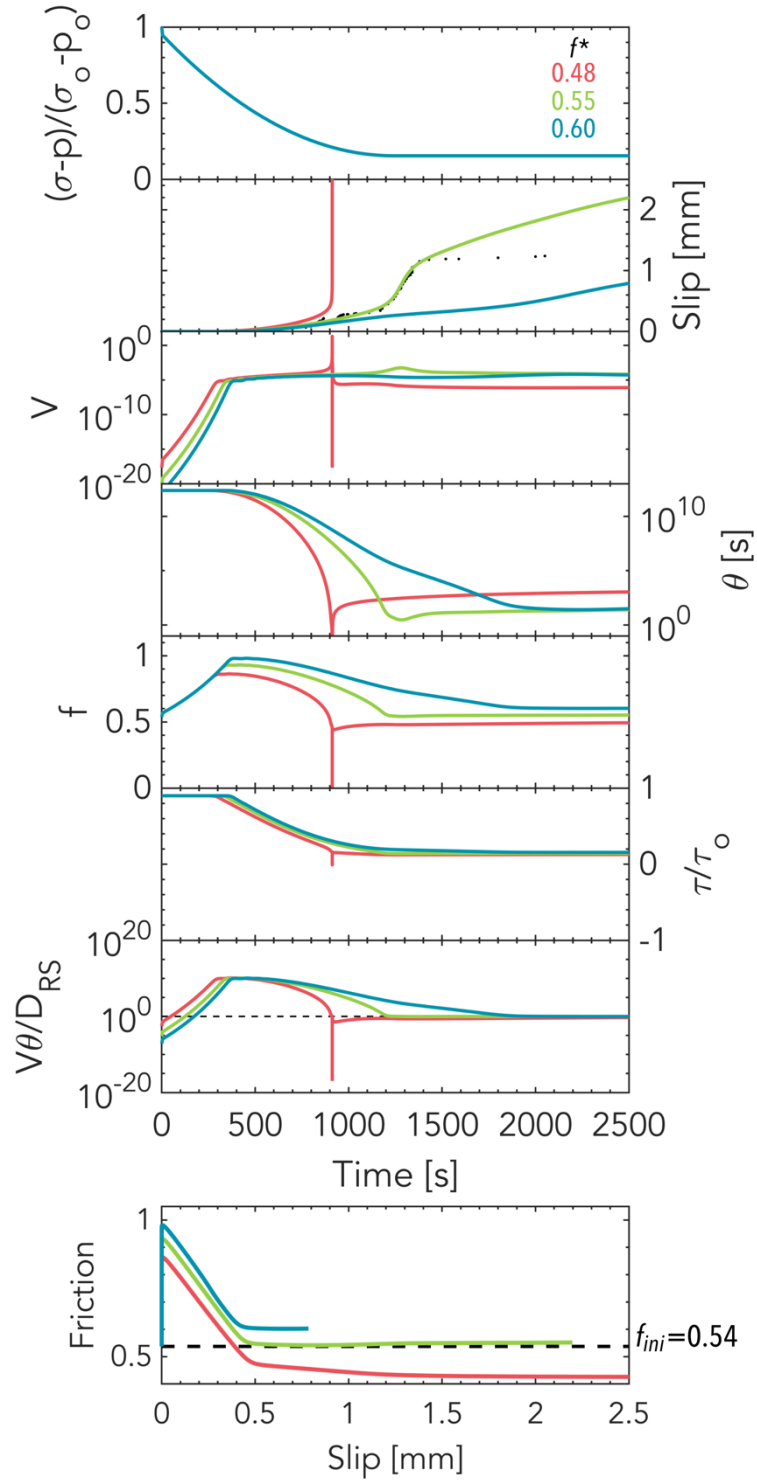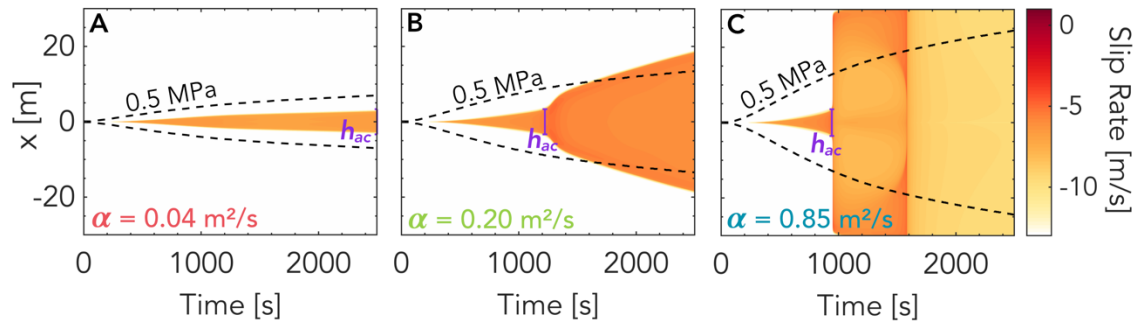
Figures S20. Simulated temporal evolution of several quantities at the injection site varying f^*, keeping all other parameter values as in the intermediate-friction scenario (green).

Figures S21. Simulated temporal evolution of several quantities at the injection site varying α, keeping all other parameter values as in the intermediate-friction scenario (green).
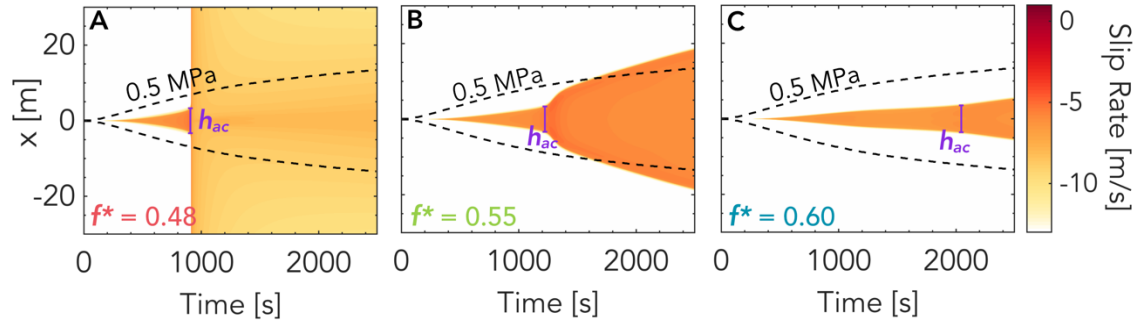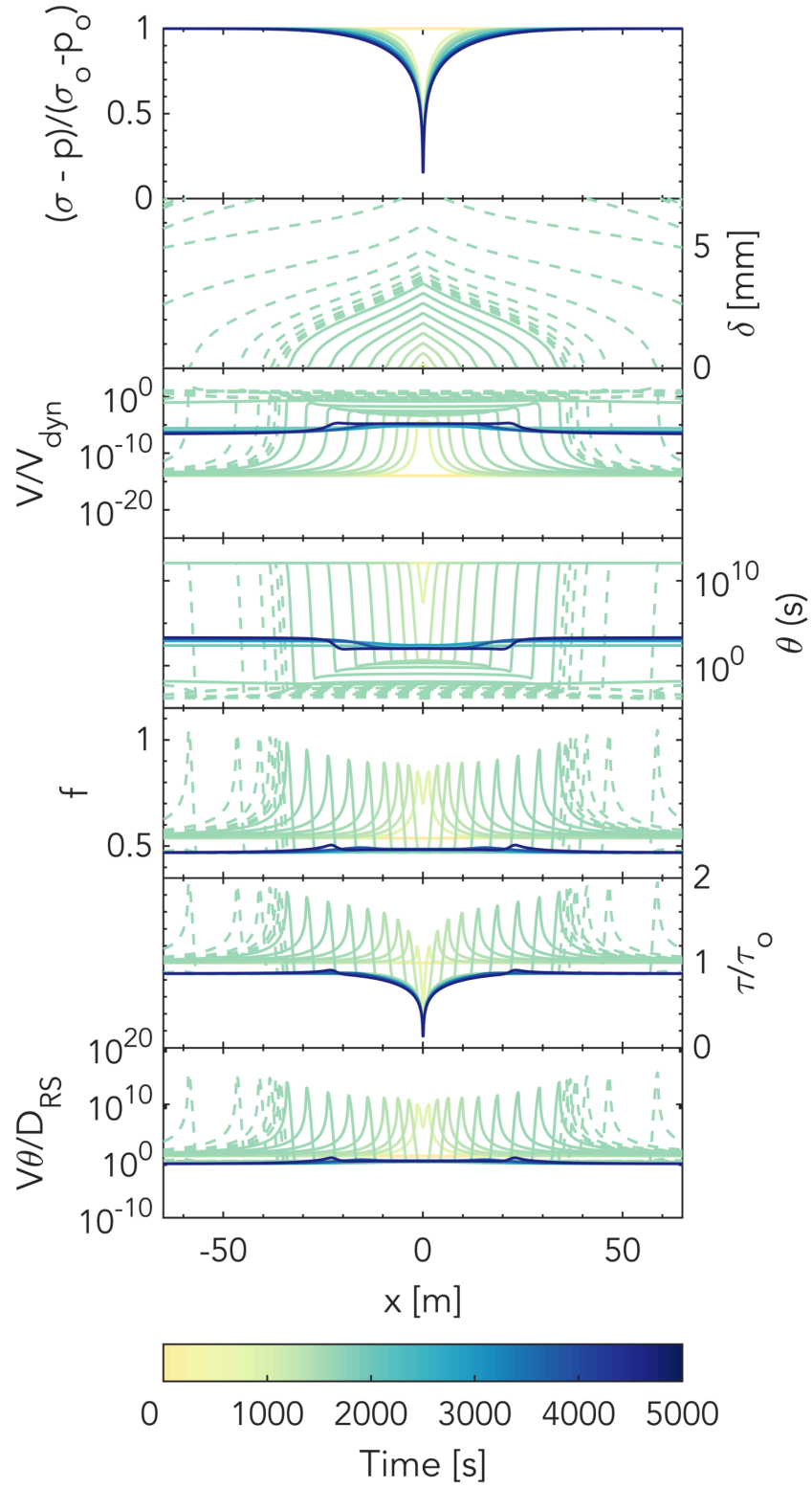
Figure S22. Spatial and temporal evolution of rate for the cases shown in Figures S20. Keeping everything else constant, varying f^* affects the spatial extent of the slipping zone compared to the pressurized zone.
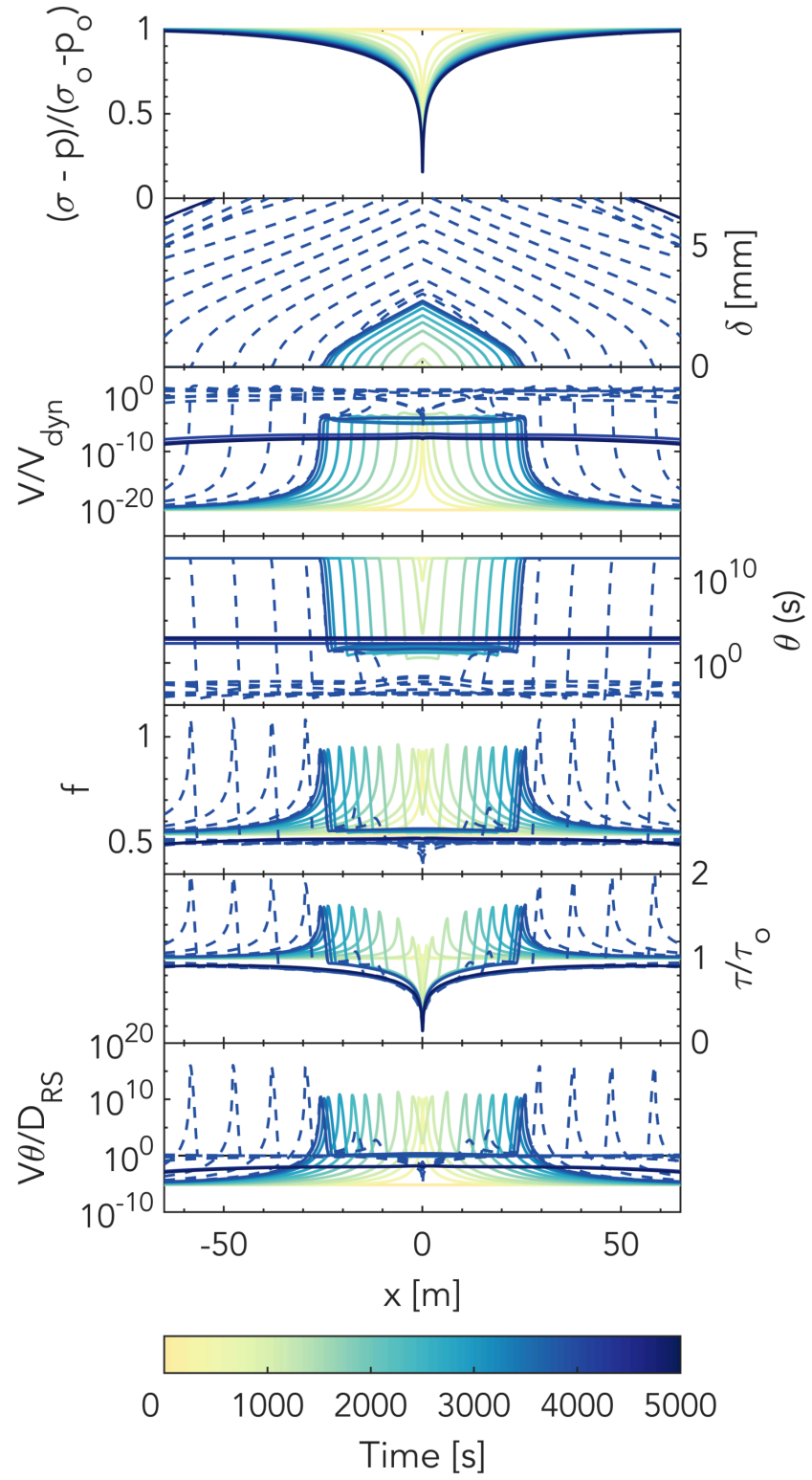

Figure S23. Spatial and temporal evolution of rate for the cases shown in Figures S21. Keeping everything else constant, varying α affects the spatial extent of the slipping zone.
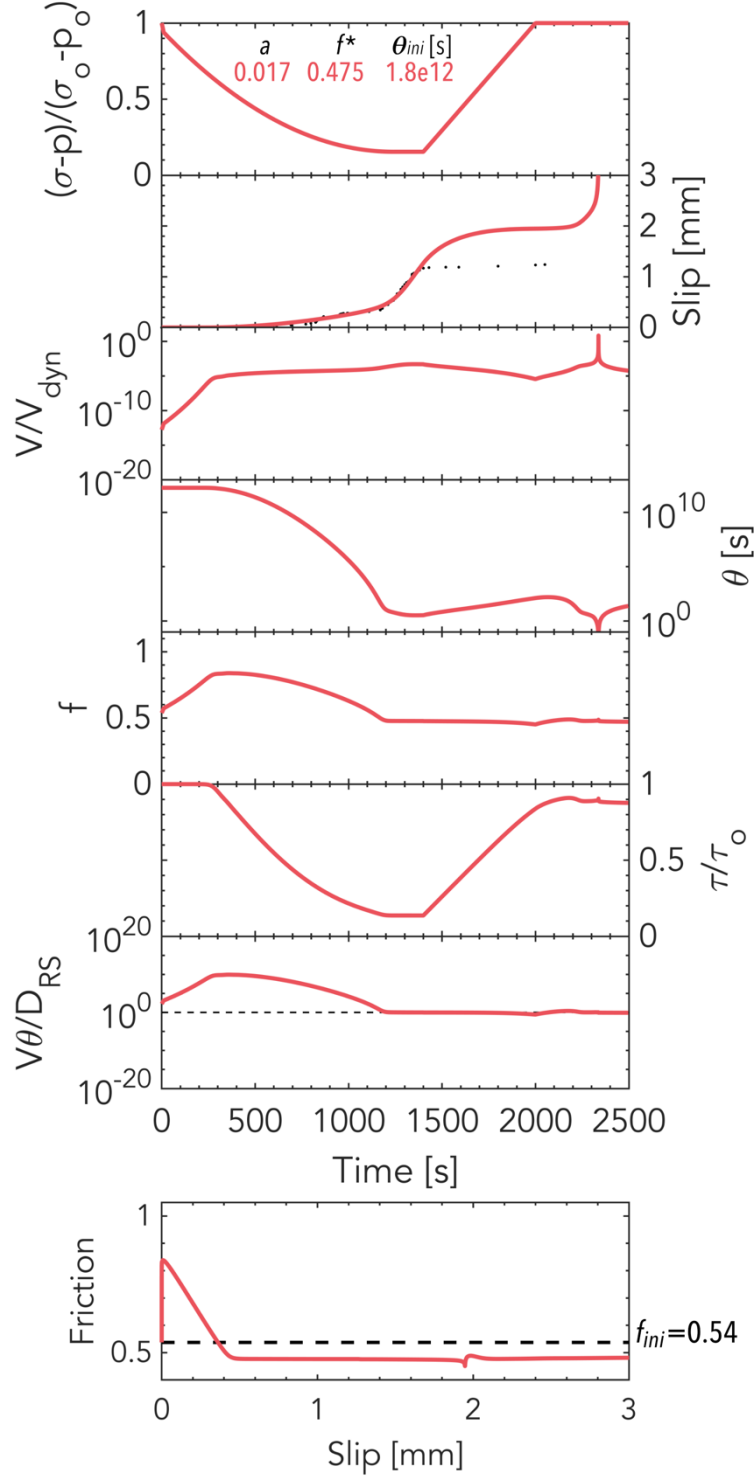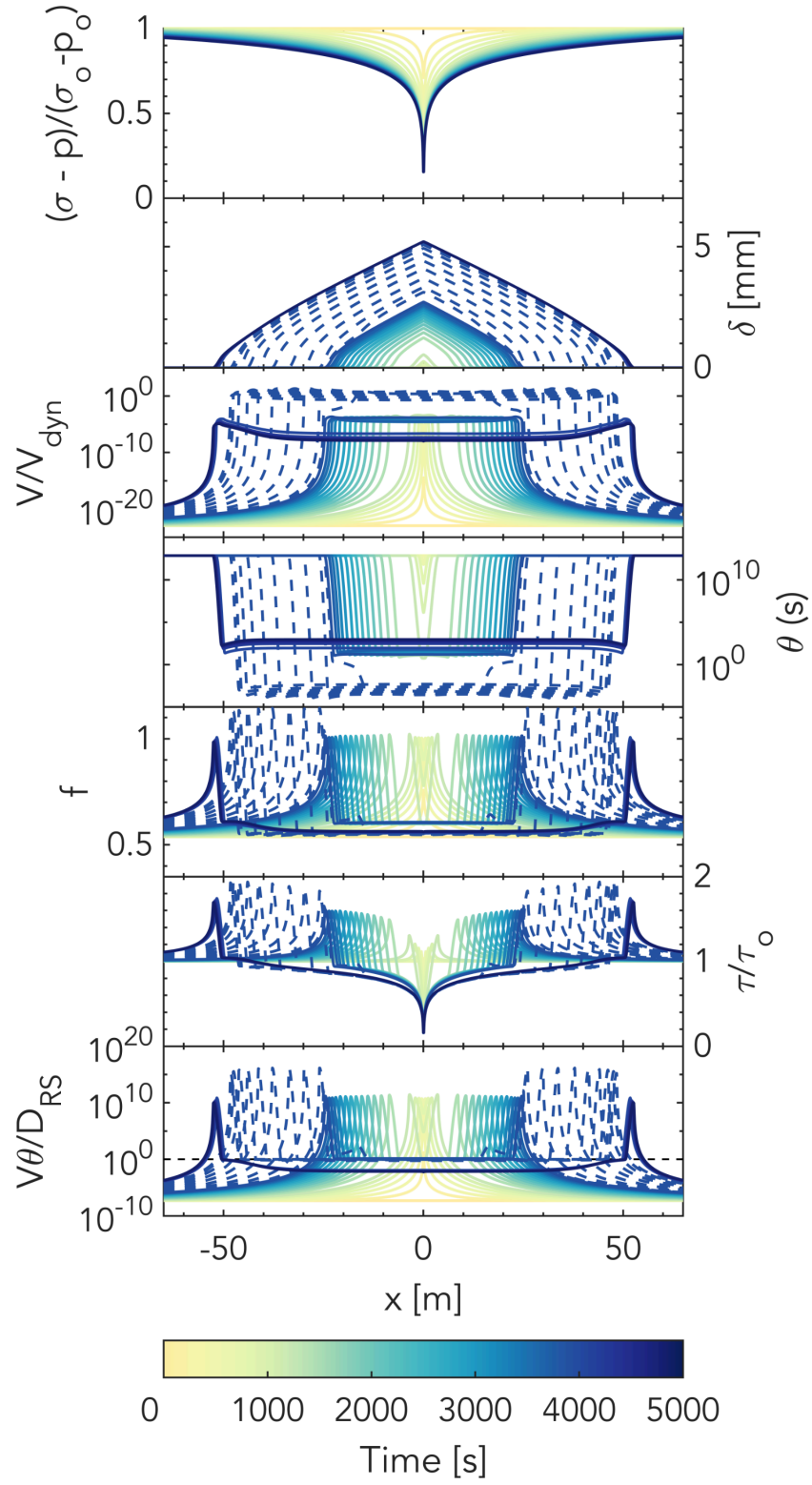
Figure S24: Simulated temporal evolution of several quantities at the injection site for a scenario similar to the low-friction case in the main text but for a slightly rate-strengthening fault with a = 0.017, b = 0.016, f^* = 0.475 and θ_{ini} = 1.8e12s. Note that in this case an earthquake still nucleates after the injection stopped due to the relatively low residual friction f^r compared to f_{ini}.

Figure S25. Spatial and temporal evolution for the low-friction prolonged injection case (plotted every 7000 time steps for $V < V_{dyn}$ and every 2000 time steps for $V > V_{dyn}$).

Figure S26. Spatial and temporal evolution for the intermediate-friction prolonged injection case (plotted every 15000 time steps for $V < V_{dyn}$ and every 1000 time steps for $V > V_{dyn}$).

Figure S27. Spatial and temporal evolution for the high-friction prolonged injection case (plotted every 35000 time steps for $V < V_{dyn}$ and every 750 time steps for $V > V_{dyn}$).
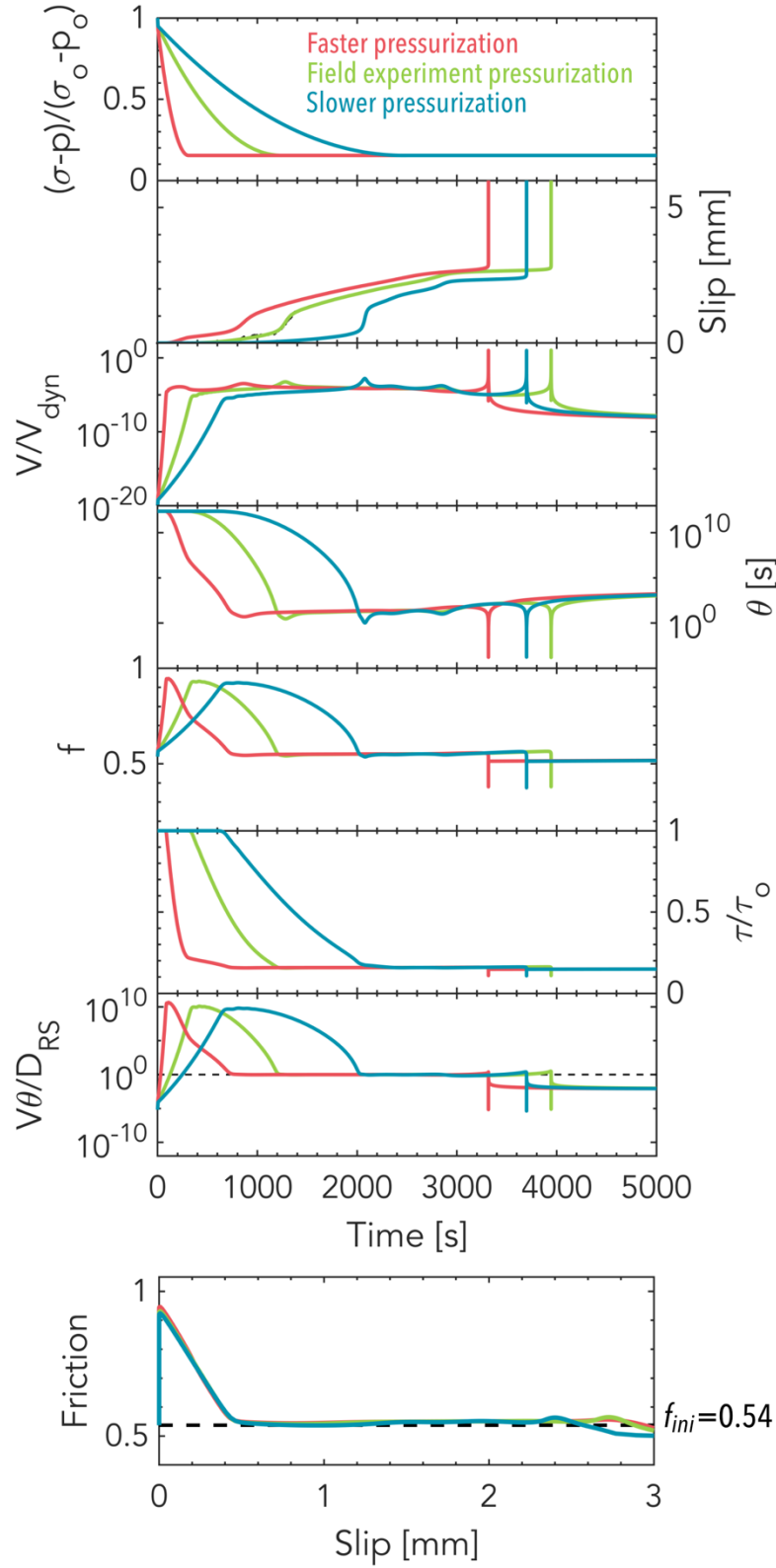
Figure S28. Effect of varying pressurization rate on the intermediate-friction case. The timing of events is altered but not the overall behavior, i.e., all simulations still show a transient acceleration followed by a run-away dynamic event.
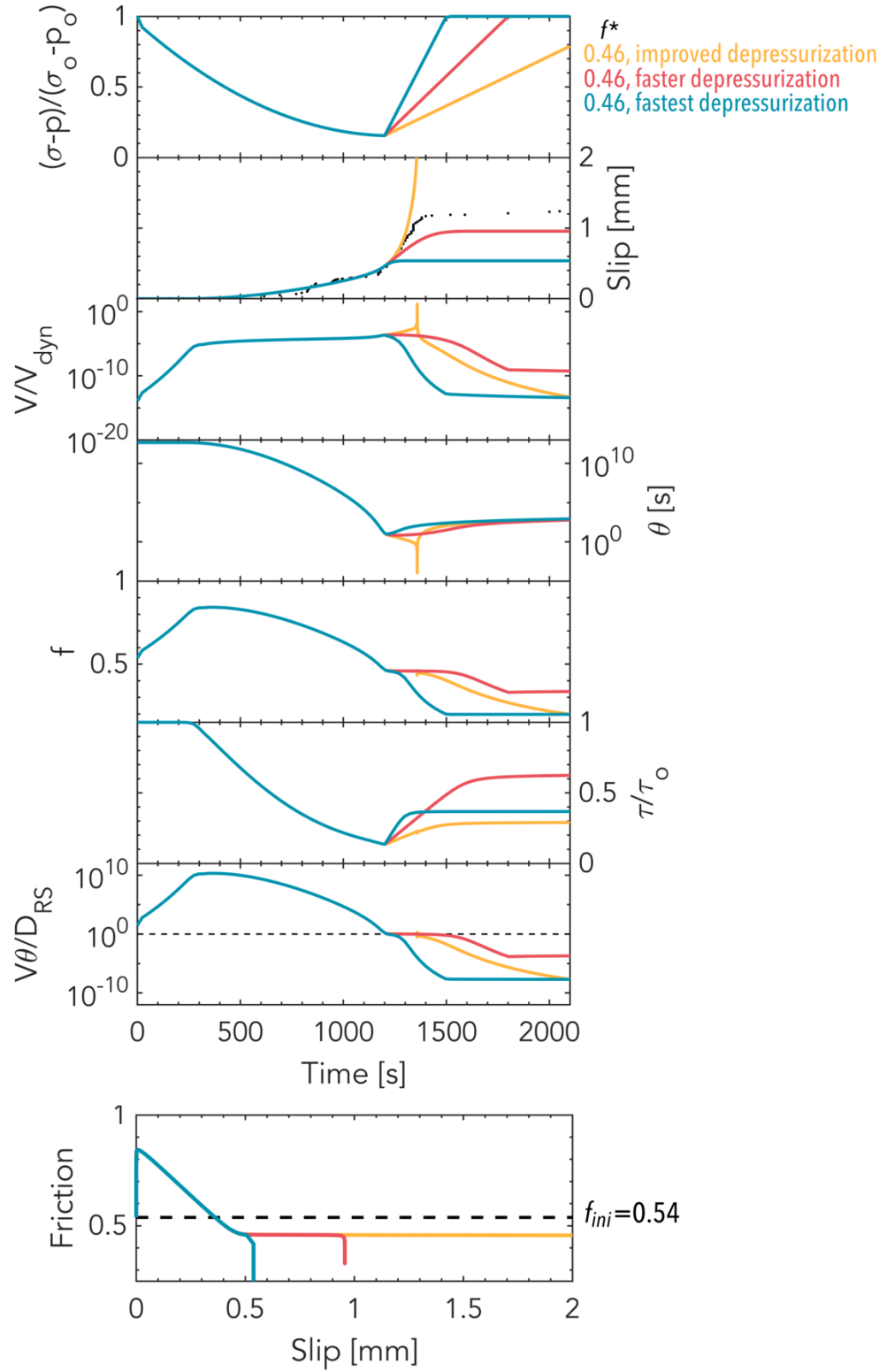
Figure S29. Effect of varying depressurization rate on a case similar to the low-friction case but with an even lower f^* of 0.46. In this case, the depressurization applied as in Figure 2 in the main text is not sufficient to prevent earthquake nucleation (yellow curve). The other two faster depressurization rates successfully suppress the earthquake (pink and turquoise curves).