Quantifying Permafrost Deformation with ICESat-2

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Abstract

We use ICESat-2 laser altimetry crossovers and repeat tracks collected over the North Slope of Alaska to estimate elevation changes due to the deformation of seasonally freezing and thawing permafrost. We compare these measurements with a time series of surface deformation from Sentinel-1 interferometric synthetic aperture radar (InSAR) and demonstrate agreement between these independent observations of surface deformation. Both methods resolve pronounced surface subsidence during the 2019 thaw season within the 2007 Anaktuvuk River fire scar. A temporal relationship between measured surface subsidence/uplift and changes in normalized annual degree days is observed, consistent with the thermodynamically driven seasonal freezing and thawing of the active layer. We discuss optimal strategies of post-processing ICESat-2 data for permafrost applications, as well as the future potential of joint ICESat-2 and InSAR investigations of permafrost surface dynamics.

Quantifying permafrost deformation with ICESat-2

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7 Key Points:

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8	• We demonstrate that ICES at-2 altimetry can successfully resolve surface subsi-
9	dence due to seasonally thawing permafrost
10	• ICESat-2 measurements of surface deformation are broadly consistent with independently-
11	derived deformation estimates from Sentinel-1 InSAR
12	• The complementarity of ICES at-2 laser altimetry and InSAR methods shows promise
13	for novel investigations of permafrost surface dynamics

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14 Abstract

We use ICESat-2 laser altimetry crossovers and repeat tracks collected over the North 15 Slope of Alaska to estimate height change due to the deformation of seasonally freezing 16 and thaving permafrost. We compare these measurements with a time series of surface 17 deformation from Sentinel-1 interferometric synthetic aperture radar (InSAR) and demon-18 strate agreement between these independent observations of surface deformation. Both 19 methods resolve pronounced surface subsidence during the 2019 thaw season within the 20 2007 Anaktuvuk River fire scar. A temporal relationship between measured surface sub-21 sidence/uplift and changes in normalized annual degree days is observed, consistent with 22 the thermodynamically driven seasonal freezing and thawing of the active layer. We dis-23 cuss optimal strategies of post-processing ICESat-2 data for permafrost applications, as 24 well as the future potential of joint ICESat-2 and InSAR investigations of permafrost 25 surface dynamics. 26

27 Plain Language Summary

NASA's Ice, Cloud, and Land Elevation Satellite (ICESat-2) was designed to ac-28 curately measure surface heights so that changes on ice sheets, sea ice, and biomass might 29 be studied. In this paper, we demonstrate the ICESat-2 can be successfully employed 30 in permafrost regions, where seasonal freezing and thawing of frozen ground causes the 31 Earth's surface to deform with time. By comparing changes in estimated height from 32 the ICESat-2 satellite, we can quantify the amount of surface deformation that occurs 33 over a study site on the Alaskan North Slope. We compare these estimates of surface de-34 formation with independent estimates of surface deformation acquired by the European 35 Space Agency's Sentinel-1 spacecraft, which was specifically designed to precisely mea-36 sure surface deformation. By comparing these independent measurements from two satel-37 lites, we demonstrate that agreement of the estimated spatial patterns of surface defor-38 mation, suggesting that ICESat-2 can be used to quantify surface dynamics in permafrost 39 regions. 40

41 **1 Introduction**

42 Permafrost, defined as ground that remains frozen for two or more consecutive years,
43 underlies 24% of the Northern Hemisphere, and contains stores of bound carbon in the
44 subsurface (primarily carbon dioxide and methane) amounting to 60% of the world's soil

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carbon (Turetsky et al., 2020). The Arctic, where the majority of permafrost is located, 45 is the fastest changing component of the global climate system, with air temperatures 46 across the Arctic currently increasing at roughly twice the average global rate (Jorgenson 47 et al., 2001). Rising air temperatures can increase the magnitude of seasonal thawing 48 and freezing of the uppermost portion of the permafrost column (the "active layer") and 49 can induce permanent melting and unrecoverable loss of permafrost. Both of these pro-50 cesses can result in decomposition of bound soil carbon and its release into the atmo-51 sphere (Natali et al., 2019). Results from the Coupled Model Intercomparison Project 52 Phase 5 (CMIP5) suggest that global permafrost extent may decrease anywhere from 53 20-37% by the end of the 21st century (Wang et al., 2019). As simultaneously one of the 54 largest carbon reservoirs in the global carbon cycle and one of the fastest-warming re-55 gions on Earth, permafrost plays a disproportionately large role in the global climate sys-56 tem. Consequently, robust and expansive monitoring of regions with changing permafrost 57 will be essential through the 21st century. 58

The Circumpolar Active Layer Monitoring Network (CALM) was established in 59 1991 to observe long-term, interannual impacts of variable climate on the active layer 60 and near-surface permafrost (Brown et al., 2000). More recently, Global Navigation Satel-61 lite System (GNSS) reflectometry has been used to resolve both annual and inter-annual 62 surface deformation associated with thawing of the active layer (Liu & Larson, 2018; Hu 63 et al., 2018). Although GNSS and dedicated in-situ monitoring efforts like CALM can 64 provide precise estimates of permafrost subsidence, these are point measurements that 65 may not adequately represent permafrost changes away from the point of observation. 66 The vastness of permafrost regions and the general inaccessibility of much of the north-67 ern high latitudes hamper many conventional methods of in situ monitoring. As a re-68 sult, remote sensing techniques such as visual (e.g., Quinton et al., 2010) or multispec-69 tral (e.g., Nitze & Grosse, 2016) imagery mapping, lidar surveying (e.g., Jones et al., 2013), 70 and synthetic aperture radar (SAR) analysis (e.g., Liu et al., 2010), have been employed 71 to monitor permafrost, with varying degrees of success. 72

Interferometric synthetic aperture radar (InSAR) is a geodetic technique that can
resolve centimetric deformation of the Earth's surface (e.g., Goldstein & Zebker, 1987;
Rosen et al., 2000). InSAR has been successfully applied to study a range of phenomena in permafrost regions that give rise to surface deformation, including seasonal thawing of the active layer (Liu et al., 2012), wildfire-induced thermokarst (Liu et al., 2014),

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initiation of retrogressive thaw slumps (Zwieback et al., 2018), and post-wildfire active 78 layer thaw and recovery (Michaelides et al., 2019). Although InSAR processing is capa-79 ble of resolving deformation over vast spatial extents, precise estimates of deformation 80 require several repeat observations and interferometric coherence from image to image. 81 Extensive vegetation cover, changes in surface water cover, extent, and saturation, and 82 variable snow cover, all of which are ubiquitous phenomena in permafrost regions, can 83 induce signal decorrelation over temporal baselines as short as several weeks and limit 84 the precision with which InSAR analysis can determine deformation in permafrost re-85 gions. 86

The launch of the Ice, Cloud, and land Elevation Satellite 2 (ICESat-2) mission (Markus 87 et al., 2017) in September 2018 provides an opportunity to complement InSAR techniques 88 with spaceborne laser altimetry that extends to $\pm 88^{\circ}$ latitude. The small footprint, fine-89 scale along-track spacing, and high precision of elevation retrievals (e.g., B. Smith et al., 90 2020) suggests that ICESat-2 data products should be of sufficient quality to estimate 91 surface deformation in complex permafrost terrain. Whereas C-band InSAR decorrelates 92 across temporal baselines longer than a few weeks, ICESat-2 can yield long-period tem-93 poral information without signal degradation. Similarly, InSAR and laser altimetry are 94 sensitive to different atmospheric characteristics, providing complementary observations 95 of permafrost evolution and hazards in a challenging atmospheric environment. In this 96 work, we demonstrate the capability of the ICESat-2 mission to quantify spatial patterns 97 of active-layer deformation of permafrost in Arctic Alaska on the order of centimeters 98 to decimeters. We compare our ICESat-2 results to InSAR-derived models of active-layer 99 subsidence to validate our ICESat-2 retrievals and finally suggest future steps for expand-100 ing ICESat-2 data analysis to pan-Arctic estimates of Arctic permafrost change. 101

102 2 Methods

2.1 Field Site

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We compare Sentinel-1 InSAR deformation and ICESat-2 height change in a 3220 km^3 region of the North Slope of Alaska that encompasses the foothills of the Brooks Range to the south and the Arctic coastal plain to the north (Figure 1). Although the southern reaches of the study region exhibit considerable topographic relief, the tundra to the North of the foothills is flat and characterized by heath vegetation, tussock tun-

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Figure 1. Study site within the Alaskan North Slope, with relative position within Alaska (inset). The bounding box of the comparison between Sentinel InSAR and ICESat-2 data is shown in blue and the 2007 Anaktuvuk River fire scar is highlighted in red.

109	dra, and wet sedge tundra along well-drained hilltops, hillslopes, and saturated lowland
110	valleys, respectively (J. Chen et al., 2020). The entirety of the Alaskan North Slope is
111	underlain by continuous perma frost, with reported active layers ranging from $40\ {\rm cm}$ to
112	100 cm in depth (Brown et al., 2000).

Ignited by a lightning strike on 16 July 2007, the Anaktuvuk River fire burned ~ 1039 113 km^2 of tundra in our study region, resulting in a doubling of the cumulative burned area 114 of the Alaskan North Slope over the last 50 years (Jones et al., 2009) and a release of 115 ~ 2.1 Tg of carbon into the atmosphere—equivalent to the net annual carbon sink of the 116 circumpolar Arctic tundra (Mack et al., 2011). Both field measurements and InSAR mea-117 surements have indicated post-fire increases in active layer thickness and seasonal sub-118 sidence of the tundra burned by the Anaktuvuk River fire (Rocha & Shaver, 2011; Liu 119 et al., 2014). 120

2.2 InSAR

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We use SAR data acquired between 7 Jan. 2019 and 21 Dec. 2019 by the Sentinel-122 1A satellite, which operates at C-band (~ 5.65 cm wavelength) and with a 12-day tem-123 poral repeat in the high Arctic. We processed raw data (L1.0) collected in the interfer-124 ometric wide swath (IWS) mode using the 'geocoded single-look complex' (SLC) back-125 projection method (H. A. Zebker & Zheng, 2016; Zheng & Zebker, 2017). All SLC radar 126 images were coregistered to a digital elevation model (DEM) spanning the region of in-127 terest and produced from the photogrammetric ArcticDEM dataset (Porter et al., 2018). 128 The DEM was downsampled to a resolution of ~ 5 m by ~ 15 m, to match the native 5 m 129 by 15 m spatial resolution of the Sentinel-1A satellite in range and azimuth, respectively. 130

We generated a network of interferograms from all coregistered SLCs using a tem-131 poral baseline of 48 days and a perpendicular baseline of 150 m. We took 18 looks in range 132 and 6 looks in azimuth during interferogram formation to increase the signal-to-noise ra-133 tio (SNR) of the phase estimation, resulting in interferograms with a spatial resolution 134 of ~ 100 m in both range and azimuth. We then unwrapped all interferograms using the 135 SNAPHU algorithm (C. W. Chen & Zebker, 2001). We used the correlation files of each 136 interferogram to aid in the unwrapping scheme and tiled each interferogram to speed up 137 computational time. We then applied a unimodal correction to all unwrapped interfer-138 ograms to correct for any phase unwrapping errors in the unwrapped interferograms. All 139 interferograms exhibiting severe decorrelation or turbulent atmospheric noise were re-140 moved from the set of interferograms used for analysis. The topography-correlated com-141 ponent of atmospheric noise was empirically removed from all interferograms using the 142 DEM following Doin et al. (2009). Due to the paucity of reliable GNSS stations in the 143 study region, all interferograms were phase-referenced using a selection of several pix-144 els exhibiting high coherence in regions of no assumed deformation (i.e., mountain ridges) 145 following Liu et al. (2012). 146

After applying the above calibrations to the InSAR data, we generated a pixel-wise time-series across the comparison region using the small baseline subset (SBAS) method (Berardino et al., 2002). The SBAS method is an inversion that solves for the pixel-bypixel instantaneous velocity at the time of each SAR image acquisition. The estimated velocities were then integrated through time to form a time-series of surface displacements for each pixel over the temporal range of the network of input interferograms.

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2.3 ICESat-2

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ICESat-2 is in a polar orbit with a 92° inclination, collecting observations from 88°N to 88°S with a 91-day exact repeat (Markus et al., 2017). ICESat-2's laser instrument emits a single pulse, which is split into 3 pairs of beams, where each pair has one strong beam that is four times stronger than the corresponding weak beam. The three pairs are spaced at \sim 3.3 km across-track and beams within a pair are spaced at \sim 90 m, with the exact geometry controlled by spacecraft attitude (Neumann et al., 2019).

Each beam illuminates a surface spot of 12–15 m diameter (Klotz et al., 2020) ev-160 ery 0.7 m along track. During the period covered by this study, ICESat-2 operated in 161 "mapping mode" away from polar regions, , resulting in a higher density of tracks but 162 no repeat measurements (Neumann et al., 2019). However, since the North Slope of Alaska 163 is "target of opportunity" for the ICESat-2 mission, every fifth descending track was re-164 peated. This resulted in a small number of repeated tracks during the 2019 thaw sea-165 son that is the focus of our study. We note that on 9 Sep. 2019, the satellite performed 166 a yaw flip in which the orientation of the altimeter instrument, and thus the relative or-167 dering of weak and strong beams, was reversed. 168

We used surface height estimates from the Land Ice Height Product, ATL06 (B. E. Smith et al., 2019). ATL06 processing filters and provides a linear fit to the geolocated surface photons along 50%-overlapping 40 m segments to estimate the centroid height and surface slope in the along-track and across-track directions (B. Smith et al., 2019). We only used ATL06 data points flagged as high quality and that had a height within 2 m of adjacent segments. In addition, we removed segments with surface height uncertainty >1 m, along-track slope >5 degrees, and a signal-to-noise ratio significance level <0.02.

We estimated surface height changes from both repeated tracks and profile crossover 176 points. To identify crossing locations, we divided the study area into 10 km latitudinal 177 bands. Within each band, we fit lines to the longitude and latitude coordinates of ATL06 178 segments on individual tracks and calculated all intersections. Using this method, we com-179 pared 291 profiles and identified 9839 potential crossovers. For each crossover, we then 180 constrained the data from the crossing tracks to segments lying within a specified radius 181 of the crossing location. We considered the crossover valid if the track had a density of 182 at least 1 point every 40 m, then recalculated the precise crossover location using these 183 local segments .We estimated the profile heights at the crossover location using a line 184

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fit to ATL06 segment heights as a function of along-track distance. Finally, we estimated the crossover height difference (dH) as the difference of the profile elevations at the crossover location, subtracting the later observation in time from the earlier observation. We propagated uncertainties on individual ATL06 elevations through to the final dH estimates.

To examine the sensitivity of our crossover estimation to our choice of input data, we tested interpolation distances ranging from 20 m to 100 m for fitting lines to ATL06 segments on either side of the crossover location. For this analysis, we used crossovers with a time interval of 14 days or less, a period over which we assume surface height change is negligible.

We identified potential repeat tracks by flagging tracks from the same RGT with 194 different collection dates and corresponding beams had an across-track distance differ-195 ence of <45 m. For each point from the earlier profile, we identified the closest point on 196 the later profile and calculated the distance between observations. In order to ensure suf-197 ficiently overlapping segments, we only kept pairs that were within 5 m of each other across-198 track. We then calculated the height difference between each pair. In order to reduce 199 the noise in our final results, we applied a boxcar filter over 2 km. Through this process 200 we identified four RGTs with repeat profiles and consistent collection across the region 201 of interest, including three 182-repeats and one 91-day repeat. Seven additional tracks 202 had sparse coverage, likely due to cloud cover. We selected the 91-day repeat track (RGT 203 1280) and one of the 182-day repeats tracks (RGT 335) for direct comparison with the 204 InSAR results. 205

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3.1 InSAR Deformation

3 Results and Discussion

We applied the SBAS algorithm to 14 interferograms spanning the 2019 thaw season. The SBAS algorithm solves for a time series of instantaneous velocity estimates for each epoch at which a SAR image was acquired. Integrating this velocity time series yields a time series of surface deformation, which can be directly compared to all deformation estimates derived from ICESat-2. The SBAS method resolves increased subsidence over the 2007 Anaktuvuk River fire scar (red outline, Figure 1). We observe a ~ 1.5 cm difference in subsidence between the burned tundra and unburned tundra, which is con-

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sistent with estimates of 12 year post-fire active layer recovery from the Yukon-Kuskokwim
delta (Michaelides et al., 2019).

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3.2 ICESat-2 Height Change

We compared 291 individual ICESat-2 beams on ascending and descending tracks 218 spanning the 2019 thaw season, yielding between 785 and 975 crossovers with the required 219 point density, depending on the interpolation radius. The crossovers spanned time pe-220 riods ranging from 3 to 218 days, with 120 129 "short-period" crossovers spanning 14 221 days or less. Figure 2 shows the standard deviation of short-period crossovers and me-222 dian propagated uncertainty (1σ) as a function of radius. The median standard devi-223 ation increases sharply with interpolation distance. Although we would expect interpo-224 lations over longer length scales to reduce the uncertainty for flat areas, the topography 225 in this region is complex, leading to high residuals when interpolating over several ATL06 226 segments. Both the uncertainty and short-period standard deviation are minimized for 227 the 20 m interpolation, with a median uncertainty of 1.9 cm across all estimates and a 228 standard deviation of 14 cm for the 120 short-period crossovers. Therefore, we conclude 229 that interpolation using only the nearest two points is the optimal solution given the ter-230 rain. 231

Crossovers height changes (dH) from the entire thaw season indicate net subsidence across the region, with elevation changes ranging from -156 to 83 cm, a median of -19 cm, and uncertainties ranging up to 94 cm. The subset of short-period crossovers indicate large crossover variability. While the median bias of short-period crossovers is a small -0.15 cm , individual estimates vary from -47 cm to 36 cm.

The 11 total repeat tracks in this study yielded height changes ranging from -550 237 to 350 cm, with uncertainties between 0.8 and 97 cm. Overall, repeat-track comparisons 238 show net subsidence over the study region, with a mean of -29 cm. As expected, the mag-239 nitude of height change from 181-day repeat tracks are higher (-550 cm < dH < 350240 cm; 1.5 cm $< \sigma < 97$ cm) than that for the 91-day repeats (-270 cm < dH < 230 cm; 241 $0.81 \text{ cm} < \sigma_i < 95 \text{ cm}$). We selected one 91-day repeat (RGT 1280) and one 182-day 242 repeat (RGT 0335) for comparison to the InSAR results. Applying the 2 km boxcar fil-243 ter reduces both the spread and the uncertainty in the data. For RGT 0335 spot 2l, this 244 reduces the standard deviation and median uncertainty from 43 cm and 6.4 cm to 30 cm 245



Figure 2. Top: standard deviation of short-period (<14 days) crossovers (left axis) and median propagated uncertainty (right) as a function of interpolation radius. Bottom: an example of linear fitting of an ATL06 profile in this region. As the interpolation radius increases, the interpolation does a poorer job of fitting the surface, and the crossover height estimate deviates further from the true surface.

and 0.8 cm respectively. For track 1280 spot 2l, this reduces the standard deviation and
median uncertainty from 21 cm and 6.4 cm to 12 cm and 1.3 cm. The spatial distribution of the averaged dH values for each of the two RGTs is shown in figure 3.

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3.3 Comparison Between Techniques

Due to the large amount of noise in the crossover estimates (as indicated by the short-period crossovers), a direct comparison between crossovers and InSAR estimates is challenging. However, by comparing crossover-derived height changes to their associated changes in normalized accumulated degree days (NADD)–which were calculated from NASA's Daily Surface Weather and Climatological Summaries (DAYMET) reanalysis temperature dataset–a clear temporal correlation emerges. The magnitude (sign) of ICESat-2-derived vertical surface deformation is positively (negatively) correlated with



Figure 3. Left: comparison of the elevation changes from 91-day (RGT 1280) and 182-day (RGT 0335) repeat tracks, as well as the SBAS deformation estimate over a similar time period. Right: along-track profiles of the ICESat-2 raw (yellow) and boxcar-filtered (purple) elevation changes for spot 2l of each track, and the SBAS-derived estimates (red) of the deformation over that time interval. The vertical dashed line indicates the latitudinal bounds of the burn area.



Figure 4. Left: Two-dimensional histogram of ICESat-2 crossover height-change estimates and the associated change in normalized accumulated degree days (NADD). Right: The linear best fit to the histogram to the data in the left panel.

the magnitude (sign) of NADD change (Figure 4). This relationship is physically consistent with active layer thawing (subsidence) during time spans where degree days accumulatewarming summer months- and freeze-up (uplift) during active layer freezing, when changes in degree days are negative.

To validate ICESat-2 permafrost deformation estimates from repeat tracks, we com-261 pare our ICESat-2 height-change estimates to SBAS-derived deformation over approx-262 imately the same temporal baseline. Figure 3) displays the SBAS-derived deformation 263 observed between dates 23 Jun. 2019 and 16 Sep. 2019, with ICESat-2-derived 91-day 264 height change from RGT 1280, which spanned 06 Jun 2019 and 19 Oct 2019 overlain. 265 We also show the comparison between SBAS deformation between 20 Apr 2019 and 23 266 Oct 2019 and 182-day height change on RGT 0335, which spanned 04 Apr 2019 and 18 267 Oct 2019. The difference in acquisition date between the first ICESat-2 and Sentinel im-268 ages and second ICESat-2 and Sentinel images is 3 days for RGT 1280 and 5-6 days for 269 RGT 0335, such that the expected deformation of the surface between the inter-instrument 270 image acquisition can be assumed small. As such, the surface deformation observed by 271 InSAR and ICESat-2 are expected to be roughly equivalent. 272

Whereas ICESat-2 observes systematically larger elevation changes than InSAR, 273 both the spatial pattern and sign of observed elevation change (i.e., uplift or subsidence) 274 is consistent with InSAR observations. Notably, both Sentinel-1 and ICESat-2 observed 275 increased subsidence over the 2007 Anaktuvuk River fire scar compared to nearby un-276 burned tundra. Additionally, both methods observe an inverse correlation between to-277 pography and subsidence, with well-saturated lowlands exhibiting larger subsidence than 278 well-drained hill slopes and ridge crests, consistent with past studies (J. Chen et al., 2020). 279 Anomalously large uplift values are inferred from ICESat-2 crossovers over topograph-280 ically rough surfaces (such as exposed rock ridge tops) and several rivers and river flood-281 plains. These large-magnitude values are likely related to errors in our interpolation over 282 rough terrain or failure of the ATL06 assumption that over 40 m length scales, the sur-283 face topography can be estimated as a plane, suggesting a higher level surface-height data 284 product that considers the unique topographic and roughness characteristics of permafrost 285 regions would improve ICESat-2's utility for long-term thaw monitoring. Deformation 286 estimates from the 182-day repeat are systematically higher than those from the 91-day 287 repeat. This discrepancy is likely predominantly due to the fact that the 182-day repeat 288 spans the entire thaw season, while the 91-day repeat does not. However, height changes 289 due to late spring snow melt may also be contained within the 182-day deformation mea-290 surement. If ICESat-2 is indeed sensitive to changes in snow height, it may prove com-291 plementary to InSAR-based studies of late spring thaw, which can be severely impacted 292 by signal decorrelation. 293

Sentinel-1 InSAR, ICESat-2 crossovers, and ICESat-2 repeat-track methods cap-294 ture spatially consistent patterns of surface deformation associated with subsidence of 295 the thawing active layer. However, ICESat-2 measurements are systematically larger and 296 noisier than InSAR measurements, and require along-track filtering with a boxcar im-297 pulse response on the order of 100 segments (2 km) to derive comparable deformation 298 estimates to the InSAR results. This discrepancy may be partially due to both the op-299 erational nature of the two imaging techniques, as well as their respective post-processing 300 methods. Although synthetic aperture radar interferometry and laser altimetry are both 301 coherent source imaging techniques, the physical nature of each instrument's backscat-302 tered signal is different. SAR backscatter represents a convolution of the output radar 303 signal with the distribution of scattering elements contained within each ground reso-304 lution element (resel). The distribution of scattering elements within any one individ-305

ual resel may result in a noisy phase estimate, but considerable spatial averaging ("mul-306 tilooking") in both the along-track and across-track of the radar image results in a larger 307 signal-to-noise ratio (SNR) and more precise phase estimate (Goldstein et al., 1988; Li 308 & Goldstein, 1990; H. Zebker & Villasenor, 1992). Starting from SAR images with a na-309 tive resolution of ~ 5 m by ~ 15 m across-track and along-track, respectively, a total of 310 18 looks across-track and 6 looks along-track were taken during interferogram image for-311 mation to generate images with a 100 m spatial resolution in both along-track and cross-312 track. As such, each individual phase estimate represents a statistical average of 108 in-313 dependent measurements. In contrast, the ICESat-2 ATL06 dataset has a native along-314 track resolution of 40 m. The altimetric return from the ICESat-2 laser is dictated by 315 the count density of backscattered photons, which is typically <10 signal photons out 316 of 200 trillion transmitted photons (Neumann et al., 2019). Although the deformation 317 uncertainty of a native resolution InSAR pixel is on the order of 1 cm, ATL06 height es-318 timates have a precision of 9 cm for best-case targets (high reflectivity; low roughness) 319 (Brunt et al., 2019), and any inferred deformation (i.e., change in height) will be even 320 larger. Therefore, an even greater number of statistical averages is likely necessary to 321 achieve height-change estimates from ICESat-2 with the precision of InSAR methods. 322

Moreover, because ICESat-2 provides a one-dimensional, along-track measurement 323 rather than a two-dimensional image like SAR, achieving a comparable number of sta-324 tistical samples as a 100 m InSAR pixel necessitates boxcar-filtering ICESat-2 data in 325 the along-track direction with a spatial resolution of ~ 2 km. As such, InSAR and ICESat-326 2 estimates of deformation will agree better in flatter regions such as the northern Arc-327 tic coastal plain, where topographically rough areas like the Brooks Range foothills ex-328 hibit larger differences in inferred deformation. Therefore, a large amount of along-track 329 filtering over complicated topography will break assumptions of signal ergodicity, and 330 may result in biased estimates of deformation with large uncertainties. The ATL06 data 331 product was designed primarily for surface slopes of 1° or less (B. Smith et al., 2019), 332 whereas slopes in this region are often a few degrees or more. We only included height 333 changes for areas with surface slopes less than 5°, however stricter surface slope restric-334 tions may be needed. Alternatively, uncertainties in ICESat-2 derived deformations might 335 336 be lowered by adaptively varying the crossover interpolation and along-track smoothing based upon local topography. 337

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ICESat-2 can retrieve estimates of surface deformation that are qualitatively in agreement-338 particularly in terms of spatial structure-with independent InSAR estimates, but achiev-339 ing this result requires appropriate statistical averaging and is expected to work better 340 in regions exhibiting more uniform surface topography and permafrost distribution at 341 the km spatial scale. In regions that exhibit a large degree of spatial heterogeneity, the 342 assumptions of signal ergodicity inherent to any statistical averaging techniques break 343 down, and biases in estimated deformation can manifest. Additionally, the complex rough-344 ness characteristics of tundra terrains-particularly tussock tundra-can introduce uncer-345 tainties in the ICESat-2 height retrieval itself, as photons may backscatter from vege-346 tation scattering elements distributed over several decimeters. The smaller native pre-347 cision of interferometric measurements, as well as the two-dimensional nature of InSAR 348 images, makes InSAR measurements more robust to spatial variability than ICESat-2. 349 Nonetheless, we have demonstrated a noticeable sensitivity of ICESat-2 to local-scale de-350 formation associated with seasonal permafrost thawing, which necessitates future inves-351 tigation into the full potential of ICESat-2 observations for characterization of permafrost 352 surface dynamics. Coherent ICESat-2 repeat and crossover estimates at 91 and 182 day 353 intervals complement the ubiquitous problem of interferometric temporal decorrelation 354 that plagues InSAR-based studies in permafrost regions. Furthermore, these two meth-355 ods can also look at complementary targets: whereas the sidelooking viewing geometry 356 of conventional SAR imaging systems makes them insensitive to surface water bodies, 357 the nadir geometry of ICESat-2 might allow for precise estimates of surface water height 358 levels and changes. Such measurements, combined with InSAR-based measurements of 359 surface subsidence and active layer thickness, could allow for novel investigations of the 360 spatiotemporal relationships between permafrost thaw, water table and lake/river level 361 heights, as well as potentially the horizontal flow of groundwater through the permeable 362 active layer. 363

364 4 Summary

This study provides a preliminary investigation into the effectiveness of using ICESat-2 height changes to study permafrost thaw subsidence. We compared ICESat-2-derived surface deformation over a 91-day and a 182-day interval across a region of the North Slope, Alaska, to InSAR-derived subsidence. We found that, although the magnitudes of deformation differ between ICESat-2 and InSAR retrievals, the longer-wavelength spa-

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tial structures of deformation are similar, indicating that the two instruments are sen-370 sitive to large-scale subsidence patterns. Furthermore, both crossovers and repeat tracks 371 are capable of detecting large-scale subsidence patterns over the thaw season, although 372 additional repeat-track data collection is necessary to better assess the short-scale noise 373 characteristics of ICESat-2 altimetry over permafrost. The uncertainty in ICESat-2-based 374 deformation estimates seems to be primarily due to the complicated topography and scat-375 tering physics of vegetated tundra. Thus, it may be possible to refine ICESat-2 estimates 376 of surface deformation by limiting analysis to topographically smooth areas, or by de-377 veloping adaptive algorithms that account for more local topography variations during 378 statistical averaging. Further investigation into the fundamental nature of the scatter-379 ing physics which gives rise to radar backscatter and photon backscatter over tundra ter-380 rain is also warranted. Given the importance of permafrost dynamics to the global car-381 bon cycle, we advocate for investigation into the full potential of using ICESat-2 data 382 products to quantify surface dynamics in permafrost and periglacial environments. 383

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