# Just How Vulnerable are American States to Wildfires? A Livelihood Vulnerability Assessment

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November 30, 2022

#### Abstract

Wildland fires are becoming more destructive and costly in the United States, posing increased environmental, social, and economic threats to fire-prone regions. Quantifying current wildfire risk by considering a wide range of multi-scale, and multidisciplinary variables such as socio-economic and biophysical indicators for resiliency and mitigation measures, deems inherently challenging. To systematically examine wildfire threats amongst humans and their physical and social environment on multiple scales, a livelihood vulnerability index (LVI) analysis can be employed. Therefore, we produce a framework needed to compute the LVI for the top 14 American States that are most exposed to wildfires, based on the 2019 Wildfire Risk report of the acreage size burnt in 2018 and 2019: Arizona, California, Florida, Idaho, Montana, Nevada, New Mexico, Oklahoma, Oregon, Utah, Washington, and Wyoming. The LVI is computed for each State by first considering the State's exposure, sensitivity, and adaptive capacity to wildfire events (known as the three contributing factors). These contributing factors are determined by a set of indicator variables (vulnerability metrics) that are categorized into corresponding major component groups. The framework structure is then justified by performing a principal component analysis (PCA) to ensure that each selected indicator variable corresponds to the correct contributing factor. The LVI for each State is then calculated based on a set of algorithms relating to our framework. LVI values rank between 0 (low LVI) to 1 (high LVI). Our results indicate that Arizona and New Mexico experience the greatest livelihood vulnerability, with an LVI of 0.57 and 0.55, respectively. In contrast, California, Florida, and Texas experience the least livelihood vulnerability to wildfires (0.44, 0.35, 0.33 respectively). LVI is strongly weighted on its contributing factors and is exemplified by the fact that even though California has one of the highest exposures and sensitivity to wildfires, it has very high adaptive capacity measures in place to withstand its livelihood vulnerability. Thus, States with relatively high wildfire exposure can exhibit relatively lower livelihood vulnerability because of adaptive capacity measures in place. On the other hand, States can exhibit a high LVI (such as Arizona) despite having a low exposure, due to lower adaptive capacities in place. The results from this study are critical to wildfire managers, government, policymakers, and research scientists for identifying and providing better resiliency and adaptation measures to support the American States that are most vulnerable to wildfires.

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#### Abstract

Wildland fires are becoming more destructive and costly in the United States, posing increased environmental, social, and economic threats to fire-prone regions. Quantifying current wildfire risk by considering a wide range of multi-scale, and multi-disciplinary variables such as socioeconomic and biophysical indicators for resiliency and mitigation measures, deems inherently challenging. To systematically examine wildfire threats amongst humans and their physical and social environment on multiple scales, a livelihood vulnerability index (LVI) analysis can be employed. Therefore, we produce a framework needed to compute the LVI for the top 14 American States that are most exposed to wildfires, based on the 2019 Wildfire Risk report of the acreage size burnt in 2018 and 2019: Arizona, California, Florida, Idaho, Montana, Nevada, New Mexico, Oklahoma, Oregon, Utah, Washington, and Wyoming. The LVI is computed for each State by first considering the State's exposure, sensitivity, and adaptive capacity to wildfire events (known as the three contributing factors). These contributing factors are determined by a set of indicator variables (vulnerability metrics) that are categorized into corresponding major component groups. The framework structure is then justified by performing a principal component analysis (PCA) to ensure that each selected indicator variable corresponds to the correct contributing factor. The LVI for each State is then calculated based on a set of algorithms relating to our framework. LVI values rank between 0 (low LVI) to 1 (high LVI). Our results indicate that Arizona and New Mexico experience the greatest livelihood vulnerability, with an LVI of 0.57 and 0.55, respectively. In contrast, California, Florida, and Texas experience the least livelihood vulnerability to wildfires (0.44, 0.35, 0.33 respectively). LVI is strongly weighted on its contributing factors and is exemplified by the fact that even though California has one of the highest exposures and sensitivity to wildfires, it has very high adaptive capacity measures in place to withstand its livelihood vulnerability. Thus, States with relatively high wildfire exposure can exhibit relatively lower livelihood vulnerability because of adaptive capacity measures in place. On the other hand, States can exhibit a high LVI (such as Arizona) despite having a low exposure, due to lower adaptive capacities in place. The results from this study are critical to wildfire managers, government, policymakers, and research scientists for identifying and providing better resiliency and adaptation measures to support the American States that are most vulnerable to wildfires.

### 1 1. Introduction

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3 Wildfires play a crucial component in ecosystem dynamics by balancing fuel types and creating 4 appropriate vegetation for maintaining healthy forested regimes. For instance, some plant species 5 and communities have evolved thick bark or fleshy leaves that shield them from heat, while others 6 require flames to melt their waxy coating for seed propagation (Pyne, 2019). Despite the integral 7 ecological role of wildfires, uncontrolled burns can cause widespread environmental, economic, 8 social and sustainable development impacts (Roman et al., 2012; WHO, 2014; Ghorbanzadeh et 9 al., 2019). Such wildfire impacts include losses to human lives; incurring financial losses from 10 buildings and homes; widespread social, health and economic costs through evacuations, smoke 11 exposure, and loss of tourism revenue (Richardson et al., 2012; Moritz et al., 2014; Kramer et al., 12 2018). The Insurance Information Institute, gives an example of financial loss due to wildfires 13 include the 2019 wildfires in California and Alaska that created a loss of 4.5 billion dollars in 14 damages, largely resulting from the California Kincade and Saddle Ridge wildfires. In order to 15 minimize ignition and spread during this time, California's electrical utility provider issued rolling 16 blackouts to homes and businesses during high wind and extreme dry conditions, however, this 17 inevitably cost the State billions of dollars in losses (NCEI, 2020). It is therefore evident that 18 wildfires have a direct impact on the livelihood of many residents in fire-prone communities within 19 the United States, making them vulnerable to wildland fire exposure within a changing climate 20 and landcover regime (Westerling et al., 2006).

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Likewise, changes in social and climate conditions can also significantly affect fire regimes,
producing greater potential damage than those previously thought (Roman et al., 2012). Social

24 factors, such as the expansion of the wildland-urban interface (WUI) (where human settlements, 25 buildings, and wildland vegetation meet) have influenced the dramatic increase in wildfire 26 suppression costs, as well as the number of homes lost to wildfires in the United States (US) over 27 the past 30 years (Association for Fire Ecology, 2015; Abatzoglou and Williams, 2016; Kramer 28 et al., 2018). The 2019 wildfire risk report shows that the US experienced the sixth-highest acres 29 burned in 2018 since the mid-1900s. According to the National Interagency Fire Center (NIFC) 30 report, California has topped the list in the US with over 1.8 million acres burned in 2018. Climate 31 factors, such as extreme weather conditions can also influence the escape of wildfire during 32 suppression practices, leading to unplanned destructive fire behavior (Calkin et al., 2005; Kramer 33 et al., 2018), thereby, worsening environmental and socio-economic impacts.

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35 There have been many wildfire risk-assessment studies that use a wide range of fire risk indices 36 (Baijnath-Rodino et al. (in review). However, many wildland fire risk indices focus on specific 37 components of wildfires (behavior, danger, threat) and use different metrics and frameworks in 38 their derivations. For example, a fire risk index may only consider biophysical components such 39 as weather conditions, topography, fuel, fire size, rate of spread, suppression difficulty, fire 40 occurrence, or burn severity. Studies such as that by Alexandre et al. (2016), have evaluated fire 41 risk on structures, taking into account variables pertaining to topography, spatial arrangement, and 42 vegetation, but they did not account for meteorological factors (atmosphere and weather patterns), 43 building materials, and fire suppression efforts within different fire regions. However, it is 44 acknowledged that combining multi-scale socio-economic and biophysical variables into a risk 45 and vulnerability assessment framework can be challenging. While various studies have attempted 46 to bridge the gaps among the social, natural, and physical sciences and contributed to new

47 methodologies that confront this challenge (Polsky et al., 2007; Hahn et al., 2008), not much of 48 this approach has been applied to specifically assess wildfire vulnerability in wildland fire prone 49 regions of the US. Therefore, there is a need to systematically integrate multi-scale, 50 multidisciplinary variables into a framework to evaluate wildfire vulnerability in highly exposed 51 wildland fire regimes, a method often lacking in other risk assessment studies. Thus, the integration 52 across scales and disciplines to produce a wildfire vulnerability assessment can be conducted by 53 creating a framework to assess the livelihood vulnerability of highly exposed regions to wildfires. 54 A livelihood vulnerability framework incorporates not only wildfire exposure in a particular region 55 (such as biophysical factors) but also quantifies the sensitivity of a region to wildfire exposure, 56 and its ability to withstand these biophysical exposures (known as adaptive capacity). Thus, 57 producing a livelihood vulnerability framework is an appropriate method for assessing the 58 vulnerability of communities to wildfire exposure by not only taking into account biophysical 59 factors, but by also quantifying socio-economic influences.

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61 A common thread in the literature is the attempt to quantify multidimensional parameters 62 (biophysical, social, and economic) using diverse indicator variables as proxies that can be 63 integrated and combined to produce a vulnerability assessment such as Chambers and Conway, 64 (1992), who investigated a sustainability livelihood approach (Hahn et al., 2008). The field of 65 climate vulnerability assessment, as a whole, has evolved to address the need to quantify the ability 66 of communities to adapt to changing environmental conditions (Hahn et al., 2008) (such as changes 67 in wildfire exposure). Thus, a vulnerability assessment is appropriate for describing a diverse set 68 of methods that are used to systematically integrate and examine interactions between humans and 69 their physical and social environment (Hahn et al., 2008).

71 The definition of the term vulnerability varies among disciplines (Adu et al., 2017). However, 72 there is similar consensus in the definition of vulnerability to climate change by the IPCC and 73 Food and Agriculture Organization (FAO). These studies define vulnerability as the extent or 74 degree to which a system (geophysical, biological, or societal) is at risk and incapable of thriving 75 under negative effects of an exposure (such as climate change) (FAO, 2006; IPCC, 2007; Adu et 76 al., 2017). Assessing the *livelihood vulnerability* of a system, thus, specifically addresses how a 77 system's basic necessities of living, such as shelter, work conditions, health and environment are 78 vulnerable or affected by an exposure, such as wildfires. Studies, such as that by Hahn et al. (2008) 79 combined previous climate vulnerability methods to construct a livelihood vulnerability index 80 (LVI) to estimate the differential impacts of climate change on several African communities. Their 81 method follows heavily on the working definition of vulnerability as a function of three 82 contributing factors (exposure, sensitivity and adaptive capacity) as defined by the 83 Intergovernmental Panel on Climate Change (IPCC) (IPCC, 2001). Exposure represents the 84 magnitude and duration of the climate-related exposure (in our case wildfires); sensitivity 85 describes the degree to which a system is affected by the exposure; and adaptive capacity 86 describes the system's ability to withstand or recover from the exposure (Ebi et al., 2006; 87 Hahn et al., 2008).

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The LVI uses multiple indicators that are aggregated into the IPCC's three contributing factors to produce a vulnerability framework. Studies have applied the LVI method, such as Albizua et al. (2019) to assess farmers' livelihood vulnerability to global changes in irrigation agricultural practices in Spain. They show that an increase in the adoption of irrigation practices have increased

93 the short-term adaptive capacity while displacing small-scale farming. Survanto et al. (2019) have 94 also used the LVI approach to assess the livelihood vulnerability of flood risks to farmers for 95 different regions in Indonesia. Results indicate that regions with similar physical characteristics 96 and agricultural dependencies show similar vulnerability levels. It is acknowledged that there are 97 numerous interpretations on how best to apply exposure, sensitivity, and adaptive capacity 98 concepts to quantify vulnerability (Sullivan, 2002; O'Brien et al., 2004; Vincent, 2004; Ebi et al., 99 2006; Thornton et al., 2006; Polsky et al., 2007), with key differences among studies that include 100 methods used for scaling, gathering, grouping, and aggregating indicator variables (Hahn et al., 101 2008).

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103 We adopt an LVI approach, similar to Hahn et al. (2008), to evaluate recent wildfire impacts in 104 the US. This is conducted by developing a framework that combines a set of indicator variables 105 (at multiple spatiotemporal scales) into their respective contributing factors to determine the 106 critical biophysical and anthropogenic components influencing livelihood vulnerability of selected 107 wildfire prone States. The information gained from this assessment will provide a clearer 108 understanding as to which States are most vulnerable to wildfires despite their level of wildland 109 fire exposure. This information will be critical to researchers, government organizations, and 110 policymakers in identifying, allotting, and providing better resiliency and adaptation measures, 111 such as aiding in financial, environmental, and social support to the States that are most vulnerable 112 to wildfires.

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#### 118 **2. Data and Methodology**

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Assessing the LVI to wildfires across selected American States are conducted in two folds. First, we develop a framework comprising a set of biophysical, social, and economic factors that is used to assess each region's livelihood vulnerability. A Principal Component (PCA) analysis is applied to the set of indicator variables under each contributing factor to determine the validity of our framework. Second, once confident with our framework, we calculate the LVI and its contributing factors for each State.

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127 The terminologies and definitions corresponding to our framework are summarized in Table 1, 128 which describes the overarching contributing factors comprising of exposure, sensitivity, and 129 adaptive capacity (color coded red, blue and green, respectively). These contributing factors are 130 divided into major components (first level of divisions within each contributing factor). These 131 major components are further divided into sub-components (second level of divisions within each 132 major component) and subsequent indicator variables (measurable units of data for each sub-133 component) (figure 1). In our study, the exposure factor pertains to wildfire. Thus, the major 134 components are wildfire occurrence, topography, weather, and extreme weather events. Sensitivity 135 describes the degree to which each State is affected by wildfires. Its major components include 136 demographic, ignition causes, and selected environmental indices that describe specific factors 137 pertaining to wildfires, such as drought and air quality. Finally, adaptive capacity describes the 138 ability of each State to withstand or recover from wildfires. The major components of adaptive 139 capacity include natural capital, physical capital, human capital, social network, and financial

140 capital. Our framework (Table 2) includes the justification for selecting each indicator variable as141 it pertains to wildfires.

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143 The LVI analysis is conducted for 14 fire prone American States. The States selected are Arizona, 144 California, Florida, Idaho, Montana, Nevada, New Mexico, Oklahoma, Oregon, Utah, 145 Washington, and Wyoming because they experienced the highest risk of wildfires in 2018, as 146 determined from by the maximum acres burnt in 2018 and 2019 and as documented in the NIFC 147 2019 Wildfire Risk Report (Table A1 in the appendix). In 2018, over 8.7 million acres of US land 148 burned because of wildfire, marking the sixth-highest total since historical records began in the 149 mid-1900s. The 14 States analyzed in this study had the largest acreage burnt in 2018 across the 150 United States (Figure 2). Though Alaska was included as a top State listed in the 2019 Wildfire 151 Risk Report, it was excluded from our study due to the lack of missing comprehensive data and, if 152 included, would have impeded our comparison analysis among the other States.

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Our analysis is conducted to determine the current LVI and not future LVI projections. Therefore, most of the data gathered for our assessment was acquired within the past decade (2010-2019. The exception is given to certain indicator variables that represent a long-term climatological average (1950 to 2019). In addition, the elevation data for each State was acquired from 1980, with the understanding that the elevation of each State is not time sensitive and would not have changed drastically if the measurements were acquired in 2019. The year in which the data was acquired for each indicator variable in our framework is indicated in Table 2.

162 Furthermore, most of the data acquired are entered directly into the framework as raw values, 163 meaning that they did not require additional computations before the LVI was calculated. 164 However, some indicator variables under exposure, sensitivity, and adaptive capacity required 165 further processing to be amenable and included in the analysis. Indicator variables under the 166 exposure that required initial computations included annual average wind speed, humidity, annual 167 precipitation, number of days with greater than 0.1 inches or more of precipitation, and annual 168 temperature. The National Center for Environmental Information (NCEI) provides annual 169 averages of each indicator for various weather observation stations located in each State. The 170 values for every weather observation station within each State were spatially averaged over the 171 State and temporally averaged over a 30-year period (annual 1950-2019) before being used in our 172 LVI calculations.

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174 The indicator variables requiring initial computation under sensitivity included the Palmer 175 Modified Drought Index (PMDI) and the number of smokers. The National Oceanic and 176 Atmospheric Administration (NOAA) collects monthly PMDI values from weather observing 177 stations throughout the US every year. The 2019 annual average was calculated for each station 178 and then averaged amongst all the stations within a State. We calculate the number of smokers 179 using data from the United Health Foundation, which provided the percentages of smokers for 180 every State. To accurately convey the proportions between the States, the State's population for 181 that year was multiplied by its respective percentage of smokers. Finally, for adaptive capacity, 182 only the indicator variable pertaining to the total area of lakes had to be computed. The original 183 data only provided the area for each individual lake, thus, we had to aggregate the area for all lakes 184 to produce the cumulative lake area in each State.

186 The motivation for including the selected indicator variables in our framework was based on 187 current risk assessment information suggested by the open literature, such as potential health risks 188 due to wildfires (Gannon et al., 2020). Other examples include indicator variables pertaining to 189 fuel, weather, and topography (included in our framework) that are important drivers of wildfire 190 danger and behaviour, as referenced heavily in the literature (Keeley and Syphard, 2019; Banerjee, 191 2020). Environmental indices such as the PMDI and air quality were also included. While we 192 acknowledge that there are many fire indices that could be integrated (Baijnath-Rodino et al. (in 193 review), we selected PMDI because of its available spatial and temporal data for our study and 194 because PMDI is a useful indicator in describing an essential environmental factor (drought) 195 required for the potential onset, ignition, and behaviour of a wildfire (Wotton, 2006). Adding more 196 fire indices and sub-indices would add redundancy to our framework. We further acknowledge the 197 nuances that arise from subjectively allocating each indicator variable to a specific contributing 198 factor in our framework and for that reason we subsequently applied a PCA to our indicator 199 variables in order to gain confidence of our indicator categorizations within our framework.

200

PCA is a variable-reduction technique that takes a large set of variables and organizes them into a smaller set of principal components. For the purposes of this study, PCA was used to verify our framework by ensuring the indicator variables were loading into the respective major components that they were assigned. When conducting a PCA, four assumptions are made about the dataset: (1) the variables are measured at the continuous level; (2) there is a linear relationship between the variables; (3) there is adequate sample size; and (4) the dataset contains no outliers (Lund and Lund, 2018). In addition, two tests are conducted to determine whether the results of the PCA will 208 be beneficial when validating our framework: the Kaiser-Meyer-Olkin (KMO) Sampling 209 Adequacy Test (Williams et al., 2010) and Bartlett's Test of Sphericity (Tobias and Carlson, 1969). 210 The KMO test measures the proportion of variance among the indicator variables that may be 211 caused by underlying factors. KMO is an average of the measure of sample adequacy (MSA) for 212 each indicator variable within their respective major component. MSA values range from 0 to 1 213 and represent the extent of a given indicator belonging to a group (Kaiser, 1970). Smaller KMO 214 values indicate fewer correlations between a given variable and the other indicators. Therefore, if 215 the KMO value is less than 0.5, the results from a PCA will not be useful because the indicators 216 do not share high correlations with each other. Bartlett's test of sphericity is conducted to determine 217 whether the correlation matrix of the indicators is an identity matrix. The null hypothesis is that 218 the indicators are orthogonal or not correlated. The values for this test range from 0 to 1, with 0 219 representing a rejection of the null hypothesis. If the indicator variables are not correlated, they are 220 thereby unsuitable for factor analysis. In addition, a significance value that is less than 0.05 221 indicates that PCA will provide helpful information. Table A2 in the appendix provides the KMO 222 test scores for each major component by using the indicator data gathered from the 14 States.

223

Once the indicator variables we selected had passed these tests, a PCA was conducted. The normalized data input for PCA were the standardized index values for each indicator (standardized index calculation methods to follow). The normalized data encompasses all the indicator values for each State and for a given year (Table 2). The PCA gives insightful data such as a correlation matrix, communalities, and total variance explained. However, the output that helped reorganize and strengthen our framework was the component matrix. The component matrix displays the Pearson correlations between the indicator variables and principal components. The component matrix was used to verify whether the indicator variables loaded into their respective major components. This indicates that they are measuring the same underlying construct and are, therefore, correctly grouped accordingly in our framework.

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235 Subsequently, we calculate the LVI and the corresponding contributing factor values for each of 236 the analyzed States. Our methods for computing the LVI follows a similar approach to Hahn et al. 237 (2018) and Survanto et al. (2019). Before the computation, we need to interpret whether the 238 magnitude of each indicator value, under each contributing factor, is influencing the contributing 239 factor positively or negatively. If affecting the contributing value negatively, then the inverse value 240 is taken. For example, most indicator variables under exposure suggest that a higher value 241 corresponds to a higher wildland fire exposure. However, States with higher values of humidity 242 and precipitation suggests that these indicator variables will yield a lower wildland fire exposure. 243 Table 2 shows the reason for including each indicator variable in our framework, with the inverse 244 values highlighted.

245

To compute LVI, we first compute the Standardized Index (*SI*) for each indicator variable, where *I*, is the original indicator variable for each individual State, *Imax* and *Imin* represent the State with the maximum and minimum value, respectively, corresponding to that particular indicator, equation 1.

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251

$$SI = \frac{I - Imax}{Imax - Imin} \tag{1}$$

253 Second, the Major Component (MC) value for each State is computed by averaging the standard 254 indices, over the number (n) of all indicators used in each major component, equation 2.

255

$$MC = \frac{\sum_{i=1}^{n} SI}{n}$$
(2)

257

Third, each Contributing Factor(CF) is computed by taking a weighted average of each computed major component. This is done by multiplying each major component by its number of indicators (Wi), equation 3.

261

262 
$$CF = \frac{\sum[MC \cdot Wi]}{\sum Wi}$$
(3)

263 Finally, the LVI for each State is computed by combining the contributing factors of

264 exposure(E), adaptive capacity(AC), and sensitivity(S), equation 4.

265

 $266 LVI = (E - AC) \cdot S (4)$ 

267

The LVI and the values for each contributing factor are computed, based on our framework (Table 269 2). Once the LVI is computed for each State, a constant value of 0.5 is added to each LVI to simply 270 aid in visualizing and interpreting the rank of LVI (Albizua et al.2019). The results are presented 271 and discussed in the results section.

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#### 276 **3. Results**

#### 277 Principal Component Analysis (PCA)

278 A PCA was conducted for each major component to test the indicators categorized within them. 279 Table A2 in the appendix shows the results after running the KMO and Bartlett test. All of the 280 values from the KMO test are at least 0.5, which is the minimum required value to conduct a PCA 281 as described in Williams et al. (2012). The only major component that is not at least 0.5 is that of 282 weather, which has a value of 0.488. Previous research such as Wuensch (2012) suggests a KMO 283 value of at least 0.6 in order to proceed with PCA. However, due to the small sample size and 284 indicators tested per PCA (adaptive capacity, 13; exposure, 11; sensitivity, 9) it is difficult to 285 achieve a KMO value of at least 0.6. Also, in this study, PCA was not utilized for its typical 286 purpose of reducing variables, but rather, performed to verify whether the indicators within each 287 major component loaded onto one principal component.

288

289 Table A2 in the appendix also contains the results for the Bartlett test. Some of the major 290 components achieved a desirable value of less than 0.05. However, some had values greater than 291 0.05. This is not an issue for two reasons. First, the major components that had a value greater than 292 0.05 had only two indicators to test. Only having two variables to create a correlation matrix would 293 make it very difficult to achieve a value below 0.05. Second, the purpose of conducting a Bartlett 294 test is to assess whether the correlation matrix diverges significantly from an identity matrix for 295 data reduction (Zach, 2019). Since the goal of the PCA is not variable reduction, the correlation 296 matrix only needed to be proven as not an identity matrix, that is, a value closer to 0 than 1.

298 After computing the PCA, we analyzed the generated component matrices. To validate the 299 framework, the indicators had to have a strong loading into their respective major components. A 300 strong loading is considered to be any value above 0.5 and suggests that the indicators are 301 measuring the same underlying construct. Despite the fact that a PCA was conducted for each 302 major component, the results are compiled into three tables (Tables A3-A5 in the appendix), one 303 for each contributing factor. Overall, most of the indicators demonstrated a strong loading into 304 their respective major components. However, there were some indicators that had weak loadings, 305 under a value of 0.5, for example, annual average wind speed and annual average temperature in 306 exposure. These indicators had a factor loading of 0.166 and 0.39, respectively for the major 307 component of weather. These low values indicate an inverse relationship between the other 308 indicators under weather (Yong and Pearce, 2013). When a State is characterized by higher wind 309 speed and temperature, they are more likely to be exposed to wildfires. The other indicators under 310 weather involve humidity and precipitation. If a State is characterized by higher humidity and 311 precipitation, then they are less likely to be exposed to wildfires. The same logic can be applied to 312 the following indicators: acres of forests, number of timber/woodworkers, and annual PMDI. 313 These indicators all have negative loadings for their respective major components. These inverse 314 relationships were reflected in the calculation of the LVI. With PCA verifying the construction of 315 the framework, the validity of the LVI results is strengthened.

316

317 *LVI* 

We compute the LVI for each of the 14 American States analyzed (figure 3). Most of the States we analyzed exhibit similar LVI values. However, Arizona and New Mexico experience the greatest livelihood vulnerability, with an LVI of 0.57 and 0.55, respectively. In contrast,

321 California, Florida, and Texas experience the least livelihood vulnerability to wildfires (0.44, 0.35,
322 0.33, respectively) (figure 4). To understand these LVI results, we delve into analyzing each
323 contributing factor.

- 324
- 325 Exposure

326 First, we examine each State's susceptibility to wildfire by examining the exposure contributing 327 factor. The exposure results indicate that California, Nevada, and Arizona exhibit the highest 328 exposure to wildfires (0.63, 0.52, and 0.49, respectively) while Oklahoma, Florida, and Montana 329 have the least exposure (0.25, 0.21, and 0.19, respectively) (left panel in figure 5). To understand 330 the exposure results, we assess the four major components of exposure (wildfire, topography, 331 weather, and weather extreme events) for each State (right panel in figure 5). Wildfire (blue) is 332 predominant for the State of California, Texas, and Arizona. This is because these States 333 experience the greatest number of wildfires and the greatest acres burnt due to wildfires in 2019. 334 Nevada and Arizona also experience relatively greater values of weather (yellow), which indicates 335 favorable weather conditions for the development of wildfires, such as relatively higher winds 336 speeds and lower humidity. In addition, weather extreme events (green) represent extreme wildfire 337 and extreme heat events and are most prevalent in California and Nevada.

338

The major component, *topography*, represents mean height and highest elevation for each State. This variable is important because higher elevations in complex terrain can be conducive to the propagation of wildfire behavior, add uncertainties to the prediction of the wildfire rate of spread (Storey et al., 2020), and make fire suppression efforts more challenging. Thus, States with higher topographic values could potentially be more at risk, or dangerously affected by wildfires. Nevada

also ranks high in *topography*. While *topography* is also relatively high for other States, such as
Wyoming and Utah, other major components, such as *wildfires, weather*, and *weather extremes*are negligible, thereby, reducing the overall exposure of wildfires in these States. Furthermore,
Florida, Oklahoma, and Montana have the lowest exposures because all of their major components
under exposure are ranked very low in comparison to the other States.

349

#### 350 Sensitivity

351 Second, we assess the degree to which each State is affected by wildfires by investigating the 352 sensitivity contributing factor. The results for sensitivity (left panel in figure 6) show California as 353 the most sensitive State to wildfires (0.84). This is followed by Texas, with a sensitivity of 0.66. 354 Montana and Wyoming are the least sensitive. California, Texas, and Florida are the most sensitive 355 to wildfires because they yield the highest values of each major component under sensitivity 356 (demographic, ignition causes, and environmental index) (right panel in figure 6). Demographic 357 comprises sub-components, such as the wildland-urban interface (WUI) and population. States 358 with greater areas of WUI or populations within WUI would be more sensitive to wildfires because 359 they are within a region more exposed to wildfire events. Ignition causes attributed to outdoor 360 activities such as campfires and smoking would also increase the potential inception of human-361 caused fires. In addition, States that experience poorer air quality and more drought will be more 362 sensitive during and after wildfire events and seasons. The *environmental index* remains relatively 363 constant among all States (yellow). However, California and Texas are the most sensitive States 364 because they are driven primarily by the major components of *ignition causes* (red) and 365 demographic (blue). The least sensitive State is Montana (0.08) because, in comparison to the 366 other States, all its major components are ranked relatively low.

#### 368 Adaptive Capacity

Third, we assess the ability of each State to withstand or recover from wildfires by analyzing the contributing factor of adaptive capacity. Our results indicate that California, Texas, and Florida exhibit the greatest adaptive capacity to wildfires (0.69, 0.67, and 0.48, respectively) while Oregon, Idaho and Montana are the least adaptive (0.15, 0.12, 0.12, respectively) (left panel in figure 7). The reasons for the adaptive capacity disparities among the States have to do with the major components (or capitals) each State has (*natural, physical, human, social network*, and *financial*) Table 1.

376

377 What drives the adaptive capacity to be relatively high for California, and to a slightly lesser extent 378 Texas, are their social network (green) physical capital (red) and financial capital (orange) (right 379 panel in figure 7). These two States have social structures in place to facilitate safety measures in 380 times of wildfires such as allocating firefighters and first responders to wildland fire emergencies. 381 These States are also more equipped with transportation accessibilities, such as closer airports and 382 access to public roads, in case of major wildfires. California and Texas also have greater access to 383 communication within their households, including internet signals for receiving warning alerts, 384 both of which can be beneficial to one's livelihood during the State of an emergency wildfire 385 evacuation. These States also rank highly in financial capital, such as having relatively higher 386 household incomes and fire management assisted grants, which can lend financial support during 387 wildland fire emergency hazards. Additionally, Florida also has a high adaptive capacity that is 388 primarily driven by its *natural capital*. It has the greatest water area of all the States analyzed, 389 thereby providing the State with water resources for fire suppression.

| 390 | In contrast to the States with the highest adaptive capacity, Montana, Idaho, and Oregon rank very   |
|-----|--|
| 391 | low in all capitals. Also, while some States rank high in one major component, it suffers in others, |
| 392 | thereby driving down the rank of its overall adaptive capacity value. For example, New Mexico        |
| 393 | has a relatively high human capital in comparison to other States, which corresponds to residential  |
| 394 | density and occupation; however, all its other capitals are negligible, resulting in an overall low  |
| 395 | adaptive capacity to wildfires. This emphasizes the need to evaluate all the contributing factors in |
| 396 | adaptive capacity to get a holistic view of the allotted resources available to aid in wildfire's    |
| 397 | resiliency measures. Adaptive capacity is one of the most important determining factors in risk      |
| 398 | assessment, as highlighted by Davies et al. (2018) who show that wildfire hazard potential can be    |
| 399 | reduced once the adaptive capacity of the State is taken into consideration.                         |
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#### 413 **4. Discussion**

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415 Assessing each contributing factor and its respective major components and subcomponents have 416 provided an in-depth analysis of why the livelihood vulnerability of some States to wildfires are 417 higher than others. Many media and scientific reports constantly show California as the State with 418 the most dangerous and destructive wildfires, especially in recent years. The NIFC report showed 419 that California had the highest acres burned and maximum damages in 2018 among all the 420 American States. According to the 2019-2020 California Budget Summary, approximately ten of 421 the most destructive wildfires in California have occurred since the year 2015. Thus, one might 422 think that California, with the highest exposure, would have the highest LVI. Our study indicates 423 that while California is the most exposed, and sensitive to wildfires (figure 8), it has a very high 424 adaptive capacity to help offset its livelihood vulnerability. The California Administration has 425 implemented solutions and recommendations to reduce wildfire risk to improve the State's 426 emergency preparedness, response, and recovery capacity; and to further protect vulnerable 427 communities. The 2019-2020 State budget includes 918 million dollars in additional funding to 428 comply with these efforts. For these reasons, it is evident why California is one of the States that 429 exhibits a lower livelihood vulnerability to wildfires.

430

Similarly, Texas has the lowest LVI of all the States analyzed. Despite its high sensitivity, its exposure to wildfire is relatively lower than more than 25% of the other States and has the second-highest adaptive capacity. Texas is highly sensitive to wildfires. According to Texas A&M Forest Service (2020), there have been over 150,000 wildfires consuming more than 9 million acres since 2005 with 71,499 wildfires in 2017 alone. Ninety percent of wildfires in Texas are human caused

436 as a result of debris burning, sparks from welding and grinding equipment, poorly discarded 437 smoking materials, vehicles' exhaust systems, and arson. Moreover, according to Headwater 438 Economics (2018) parts of Texas that are experiencing the fastest population growth are spatially 439 correlated with regions of highest wildfire threat and greater proportions of vulnerable people. 440 These factors explain why Texas is highly sensitive to wildfires. However, we suggest that similar 441 to California, Texas has a very high adaptive capacity, which drastically influences its livelihood 442 vulnerability to wildfires. This high adaptive capacity is driven primarily by social network, 443 physical capital, and financial capital. According to the Texas A&M Forest Service (2020), Texas 444 has resources to deploy wildfire risk information and create awareness about wildfire concerns 445 across the State through using a Texas Wildfire Risk Assessment Portal (TxWRAP). Furthermore, 446 data produced from this portal is part of the Texas Wildfire Risk Assessment Project (WRA) that 447 has further positioned the Texas Forest Service as a national leader in wildfire protection planning. 448 These resources have positioned Texas to help withstand natural hazards pertaining to wildfires.

449

450 Additional considerations should also be taken into account for States like Arizona that exhibit a 451 high LVI, as well as for States like California that exhibits a high exposure, but low LVI. Arizona 452 has high biophysical exposures of wildfires and high sensitivity to environmental indices such as 453 drought and poor air quality. According to the U.S. Census Bureau, Arizona is among the top three 454 States with highest rates of population growth in the nation. There have been more than 120,000 455 new residents (doubled California's 50,635 new residents) in the 2018-2019 time period alone, 456 with a projected population of over 10 million people by 2050, according to the Arizona Commerce 457 Authority. It can be assumed that with such growth, urbanization, transportation, and 458 communication services will increase, thereby, making Arizona more sensitive to wildfire risk, as 9 out of 10 wildland fires are started by humans according to the Arizona Department of Forestryand Fire Management.

461

462 There is also future concerns for the State of California, despite having a low LVI. Its resultant 463 exposure to wildfire is the highest amongst all States, thereby requiring continuous observations 464 and monitoring. According to Miller et. al. (2020), the increased number of fires in California is 465 due to a combination of climate change that has heightened hot and dry conditions and fire 466 suppression policies that have allowed the accumulation of fuels in the landscape. As stated by 467 numerous dependencies in the California Forest Carbon Plan in 2018, wildfire emissions are 468 projected to increase by 19%-101% using the 1961-1990 years as the baseline period. If current 469 forest management techniques and global greenhouse gas emissions continue, wildfire smoke will 470 increase, only exacerbating these emissions and worsening the current health impacts. Therefore, 471 looking to the future, mitigation and resilience strategies need to be developed and adopted for the 472 high LVI States, such as Arizona; and continued efforts are required for, relatively, low LVI but 473 high exposure States such as California in order to facilitate and provide resources to help adapt 474 to biophysical wildfire hazards in the future.

475

Actions are being taken to address wildfire impact across California and the United States by the Environmental Protection Agency (EPA), the US Forest Service, and other agencies. EPA recently published a Wildland Fire Research Framework coordinating its wildland-fire-related research across multiple national research programs that will be implemented in the 2019-2022 Strategic Research Action Plans (EPA, 2019). This framework has different roles for multiple federal agencies to collaborate with the EPA Office of Research and development. The US Forest Service

482 has a network of fire labs and research stations that focus on understanding and modeling fire 483 processes. Other agencies, such as The National Weather Service focuses their efforts on smoke 484 plume modeling and hazard mapping. The National Aeronautics and Space Administration 485 (NASA), promotes the use of Earth observations and models focused on addressing issues 486 pertaining to wildland fire in support of management strategies, business practices, and policy 487 analysis and decision support. According to EPA (2019), other agencies across the United States 488 that are involved in wildfire assessment include, but not limited to: the Fire Research Division by 489 the National Institute of Standards and Technology (NIST); Centers for Disease Control and 490 Prevention (CDC); National Institute of Environmental Health Sciences (NIEHS), the U.S. Fire 491 Administration by the Federal Emergency Management Agency (FEMA); the Division of 492 Atmospheric and Geospace Sciences by the National Science Foundation (NSF); the Atmospheric 493 System Research (ASR) Program by the U.S. Department of Energy (DOE); the Office of 494 Wildland Fire (OWF) by the U.S. Department of Interior; The Fire Ecology and Research and 495 Wildland Fire Program by the National Park Service (NPS); the Fire and Aviation Program by the 496 Bureau of Land Management (BLM), and the Wildland Fire Science and Wildfire Hazards 497 program by the U.S. Geological Service (USGS). However, despite these efforts, fire management 498 practices and policies need to continue to evolve. This is because policies used in the past are not 499 necessarily the ones required moving forward.

500

The need to adopt contemporary practices are beneficial for resiliency and mitigation methods. For example, following a massive fire that burned 3 million acres in Montana, Idaho, and Washington, Silcox, (1910), policies focusing on fire suppression and prevention became dominant in the early 1900s and was the foundation of California's economic theory of wildfire

505 management (Headley et al., 1916; Rideout et al. 2008). However, according to the recent 506 California Policy Center (2017), fire suppression techniques only worked as short term solutions, 507 resulting in over one-hundred million dead or dying trees, overgrown forests, and fuel 508 accumulation, increasing the risk for dangerous wildland fires. Thus, the continued need for 509 evolving and enhancing fire management techniques and practices is essential for accurately 510 monitoring and improving wildfire risk assessments.

511

512 One fire management practice is the implementation of prescribed burns. Prescribed fires are a 513 technique used to manage fuels in forests in a coordinated and planned manner (McCaw, 2012), 514 and policymakers recognize the critical importance prescribed burns have on reducing the impact 515 of large and damaging wildfires (York et al., 2020). However, more implementation of prescribed 516 burns is currently needed. While 1 billion dollars in California state-wide funding is aimed at 517 reducing the century-long buildup of forest fuels in the next five years, only a small fraction of 518 prescribed burns are being conducted. For instance, although the California Carbon plan has a goal 519 of treating 500 000 acres of private land each year, in 2017-2018 only 33 000 acres of private land 520 were managed (Newsom, 2019; York et al., 2020). Private landowners own approximately half of 521 the mixed-conifer forests in California, and prescribed burns can help protect their property and 522 contribute to reducing the impact of large wildfires to the broad public. Another caveat, however, 523 is the need for burn permits, which are significantly challenging to obtain by landowners (York et 524 al., 2020). Thus, while progress is being made to adopt mitigation and resilience strategies to 525 addressing wildfire risk, issuing and obtaining burn permits are still problematic. Therefore, we 526 emphasize the need for constant re-evaluations to policies and management practices in wildfire

| 527        | assessment risk, especially during the rapidly changing climate and land-use/land-cover conditions |
|------------|--|
| 528        | that will inevitably impact communities' livelihood vulnerability to wildfire events.              |
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571 **5.** Conclusions

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Across the United States, wildfires can produce great environmental and socio-economic risks. To quantify these risks across multi-scale, socio-economic, and biophysical variables, we produce a framework to compute a livelihood vulnerability index for the top 14 American States that are most at risk for wildfires. Our framework comprises contributing factors (exposure, sensitivity, and adaptive capacity), major components, sub-components, and indicator variables. Our framework was further justified by performing a principal component analysis to provide additional confidence in our approach.

580

581 Our results indicate that the States of Arizona and New Mexico experience the greatest livelihood 582 vulnerability, with an LVI of 0.57 and 0.55, respectively and California, Florida, and Texas 583 experience the least livelihood vulnerability to wildfires (0.44, 0.35, 0.33, respectively). LVI is 584 weighted strongly on the contributing factors. For example, while California has a high exposure 585 and sensitivity to wildfires, it has high adaptive capacity capitals that offset these concerns. 586 Additionally, livelihood vulnerability depends largely on sensitivity indicator variables, such as 587 population density. We acknowledge that with Arizona's high LVI, and steady population growth, 588 that continued wildfire risk management and urban planning strategies are essential for reducing 589 the biophysical and socio-economic impact of wildfires in the future and to further avoid an 590 increase in its LVI.

591

The results from this study are critical to researchers, government and policymakers, in identifying,allotting, and providing better resiliency and adaptation measures to support the American States

that are most vulnerable to wildfires. Further research can be conducted, following the same framework for each of the State's geo-political subdivisions in order to better understand the risk and vulnerability of growing wildland-urban interface zones and to determine what urbanboundary limitations should be considered for risk assessment studies. Moreover, additional research can be conducted to assess future LVI scenarios by employing high-resolution forecast models to help guide future wildland fire exposure projections in vulnerable communities within the United States.

## 626 Acknowledgements

627 Baijnath-Rodino, Banerjee, Kumar, and Rivera acknowledge the funding support from the 628 University of California Laboratory Fees Research Program funded by the UC Office of the

629 President (UCOP), grant ID LFR-20-653572. Banerjee also acknowledges the new-faculty start-

630 up grant provided by the Department of Civil and Environmental Engineering, and the Henry

631 Samueli School of Engineering, University of California, Irvine.

## 632 **Table 1.** LVI terminology definitions, colour coordinated by major components in each

633 contributing factor: adaptive capacity (green), exposure (red) and sensitivity (blue). Gray

634 highlights denote terms that are frequently used in livelihood vulnerability literature

| Terminology         | Definition   |
|---------------------|--|
| Contributing factor | Overarching biophysical and socio-economic factors used to calculate LVI (exposure, adaptive capacity, and sensitivity)                          |
| Adaptive capacity   | The system's (State's) ability to withstand or recover from the exposure (wildfire)  |
| Exposure            | The magnitude and duration of the climate-related exposure such as a drought or change in precipitation  |
| Sensitivity         | The degree to which the system/community is affected by the exposure (wildfire)  |
| Major component     | The first level of divisions within each contributing factor   |
| Financial capital   | Considers financial resources a system (State) has to help adapt to an exposure (wildfire) e.g. grants, income                                   |
| Human capital       | Considers human resources a system (State) has to help adapt to an exposure (wildfire) e.g. Occupation type                                      |
| Natural capital     | Considers natural resources in a system (State) that helps a system adapt to an exposure (wildfire) e.g. Lakes, forests                          |
| Physical capital    | Considers materials and resources that a system (State) has to help adapt to an exposure (wildfire) e.g. Transportations and communication types |
| Social network      | Considers social constructs that are in place by a system (State) to help adapt<br>to an exposure (wildfire) e.g. Safety practices               |

| Wildfire Occurrence                  | Considers metrics used to quantify the number of wildfires in a State, e.g. wildfire occurrence, loss of wildland                                       |
|--------------------------------------|---|
| Topography                           | Considers metrics used to quantify topography of landscape, e.g. elevation height   |
| Weather                              | Considers the meteorological metrics that influences wildfire behavior, e.g. air temperature  |
| Weather Extreme Events               | Considers metrics that quantifies extreme environmental conditions conducive for wildfires e.g. extreme heat  |
| Demographic                          | Considers metrics that describe population structure of a State, e.g. population density  |
| Ignition causes                      | Considers metrics pertaining to potential ignition sources for the onset of a wildfire, e.g. smoking  |
| Environment Indices                  | Indices that compute a potential risk related to wildfires, e.g. an air quality index   |
| Subcomponent                         | The second level of divisions within each major component   |
| Indicator variables                  | Measurable units of data for each sub-component   |
| Livelihood vulnerability index (LVI) | A vulnerability assessment tool to address issues of sensitivity, exposure and adaptive capacity to climate change (wildfire) in fire-prone communities |

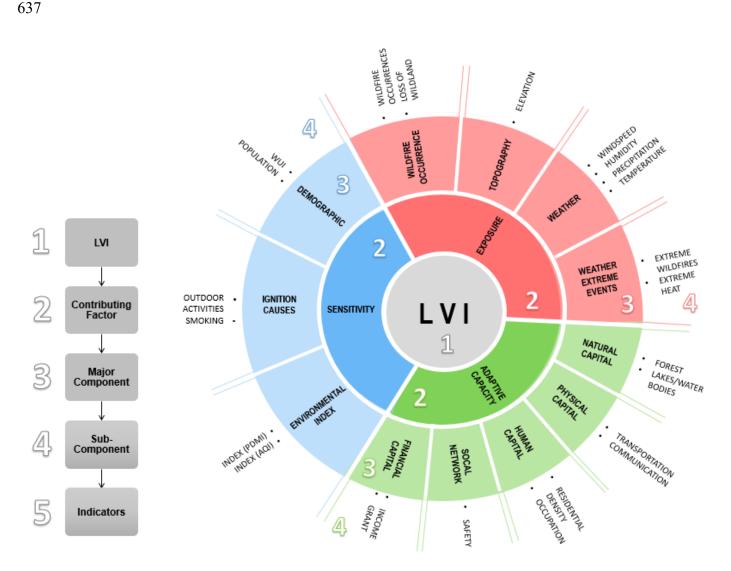


Figure. 1 Description of the framework developed for the LVI (box 1 and the central gray circle). 638 639 LVI is represented by contributing factor (box 2). The contributing factors are sensitivity (blue), 640 exposure (red), and adaptive capacity (green). The contributing factors are further divided into 641 major components (box 3). The major components are color-coordinated with the contributing factors. The major components for sensitivity (blue) are demographic, ignition causes, and 642 environmental index (light blue); for exposure (red) are wildfire occurrence, topography, weather, 643 644 weather extreme events (light red); for adaptive capacity (green) are social network, natural, 645 physical, human, and financial capital (light green). Major components are divided into subcomponents (box 4) and represented by the sub-components in the outermost part of the circle. 646 647 The sub-components are further divided into indicators (box 5) and not shown in this figure. Refer 648 to Table 2 for each indicator variable.

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636

**Table 2.** LVI framework with a description of the contributing factors, major components, subcomponents, indicator variables and their corresponding justifications for being included in the framework. The headings of red, green, and blue represent the contributing factors of exposure, adaptive capacity, and sensitivity, respectively. Highlighted indicators represent values that contribute negatively to the contributing factor, and the inverse value is computed for input into the LVI calculation

|                     | EXPOSURE               |  |  |                   |      |  |  |  |
|---------------------|------------------------|--|--|-------------------|------|--|--|--|
| Major<br>Components | Sub-<br>Components     | Indicator<br>Variables                           | Justification  | Units             | Year | Data Source  |  |  |
| Wildfires           | Wildfire<br>occurrence | Number of<br>wildfires (2019)                    | States that have experienced more<br>wildfires will have vulnerable<br>residents   | number in<br>2019 | 2019 | https://www.iii.org/f<br>act-statistic/facts-<br>statistics-<br>wildfires#Wildfires<br>%20By%20State,%2<br>02019 |  |  |
|                     | Loss of<br>wildland    | Number of acres<br>burnt to wildfires<br>in 2019 | Changes in land cover can have<br>negative environmental knock-on<br>effects such as flash flooding; loss<br>of wildland means more investments<br>required to restore forests and<br>structures lost in these regions | Acres             | 2019 | https://www.predicti<br>veservices.nifc.gov/i<br>ntelligence/2019_sta<br>tssumm/fires_acres1<br>9.pdf            |  |  |

| Topography | Elevation | Mean height<br>above sea level | Higher elevations may lead to<br>additional complexity in wildfire<br>prediction behaviour uncertainties | meters | 1980 | https://pubs.usgs.go                             |
|------------|-----------|--------------------------------|--|--------|------|--|
|            |           | Highest elevation              | Higher elevations may lead to<br>additional complexity in wildfire<br>prediction behaviour uncertainties | meters | 1980 | v/gip/Elevations-<br>Distances/elvadist.ht<br>ml |

|         | Wind speed    | Annual average<br>wind speed  | Higher wind speeds can cause<br>wildfires to spread faster; cause spot<br>fires, and reduce suppression efforts | d faster; cause spot mph 1950-2018 |           |  |
|---------|---------------|---|---|------------------------------------|-----------|--|
|         | Humidity      | Annual average<br>humidity  | Higher the humidity the less<br>likelihood of wildfires developing  | %                                  | 1950-2018 |  |
| Weather | Precipitation | Average annual precipitation  | Higher the precipitation the less<br>likelihood of wildfires developing   | inches                             | 1950-2018 | https://www.ncdc.no<br>aa.gov/ghcn/compar<br>ative-climatic-data |
|         |               | Average number<br>of days with 0.1<br>inch or more<br>precipitation a<br>year | Higher the number days with 0.1<br>inches or more of rain, the less<br>likelihood of wildfires developing       | days                               | 1950-2018 |  |
|         | Temperature   | Annual average<br>temperature   | Higher the temperature the greater<br>the likelihood of wildfires   | °F                                 | 1950-2018 |  |

| Weather<br>Extreme<br>Events | Extreme<br>wildfires | Percent of<br>wildfires<br>occurring<br>between 1980 to<br>2010 | Regions that are susceptible to more<br>extreme wildfires will have more<br>vulnerable communities | % | 1980-2010 | http://             |
|------------------------------|----------------------|---|--|---|-----------|---------------------|
|                              | Extreme heat         | Percent of<br>extreme heat<br>events between<br>1980 to 2010    | Regions with more extreme heat<br>event will be more vulnerable to<br>wildfires                    | % | 1980-2010 | http://www.usa.com/ |

|                     | ADAPTIVE CAPACIY      |                        |  |                 |      |  |  |  |
|---------------------|-----------------------|------------------------|--|-----------------|------|--|--|--|
| Major<br>Components | Sub-<br>Components    | Indicator<br>Variables | Justification  | Units           | Year | Data Source  |  |  |
| Natural<br>Capital  | Forest                | Acres of forests       | Greater the number of forests the greater the potential fuel source  | acres           | 2016 | https://www.fs.usda.<br>gov/sites/default/file<br>s/fs_media/fs_docu<br>ment/publication-<br>15817-usda-forest-<br>service-fia-annual-<br>report-508.pdf   |  |  |
|                     | Lakes/water<br>bodies | Water area             | Greater the number of water bodies<br>the more water resources are<br>available to help with fire<br>suppression | square<br>miles | 2016 | https://www.usgs.g<br>ov/special-<br>topic/water-science-<br>school/science/how-<br>wet-your-state-<br>water-area-each-<br>state?qt-<br>science_center_obje<br>cts=0#qt-<br>science_center_obje<br>cts |  |  |
|                     |                       | Area of lakes          | Greater the number of water bodies<br>the more water resources are<br>available to help with fire<br>suppression | acres           | 2010 | https://www.uslakes<br>.info/  |  |  |

|          |                   |  | are to assist with evacuation routes  | miles  | 2020      | v/content/state-<br>transportation-<br>numbers                         |
|----------|-------------------|--|---|--------|-----------|--|
| Physical | Transportation    | Major airports   | Greater the number of airports, the<br>better suited states are to assist with<br>evacuation routes       | number | 2020      | https://www.bts.go<br>v/content/state-<br>transportation-<br>numbers   |
| Capital  | Communicati<br>on | Households with a computer                             | Greater the number of computers<br>will there by help with accessing<br>warning information               | number | 2014 2018 | https://www.census.<br>gov/quickfacts/fact/<br>map/CA,US/HSG44<br>5218 |
|          |                   | Households with<br>broadband<br>internet<br>connection | Greater the number of households<br>with internet will thereby help with<br>accessing warning information | number | 2014 2018 | https://www.census.<br>gov/quickfacts/fact/<br>map/CA,US/HSG44<br>5218 |
|          |                   |  |   |        |           | https://www.aapaua   |

| Human<br>Capital | Residential density | Persons per<br>households | Damages due to wildfire, how many people in household are affected | Number | 2019 | https://www.census.<br>gov/quickfacts/fact/t<br>able/US# |
|------------------|---------------------|---------------------------|--|--------|------|--|
|------------------|---------------------|---------------------------|--|--------|------|--|

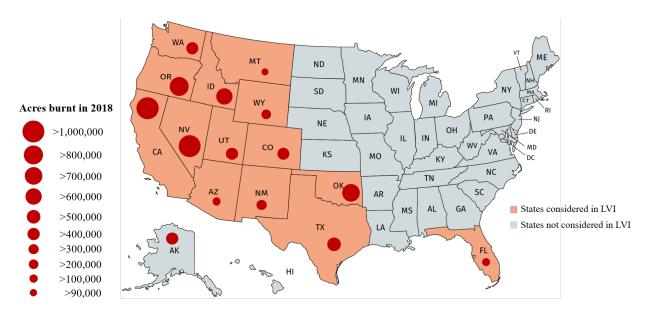
|                      | Occupation | Timber/wood<br>labour                   | The number of people actively<br>involved in the forestry industry,<br>with lower numbers suggesting less<br>people impacted by potential<br>wildfires | number  | 2019 | https://data.bls.gov/<br>oes/#/geoOcc/Multi<br>ple%20occupations<br>%20for%20one%20<br>geographical%20are<br>a  |
|----------------------|------------|---|--|---------|------|---|
| Social               | Sefer      | Firefighters                            | Greater the number of firefighters,<br>greater the resources to help with<br>fire suppression  | number  | 2019 | https://data.bls.gov/<br>oes/#/geoOcc/Multi<br>ple%20occupations<br>%20for%20one%20<br>geographical%20are<br>a  |
| Network              | Safety     | First responders<br>(EMTs)              | Greater the number of firefighters,<br>greater the resources to help with<br>fire suppression  | number  | 2019 | https://data.bls.gov/<br>oes/#/geoOcc/Multi<br>ple%20occupations<br>%20for%20one%20<br>geographical%20are<br>a  |
|                      |            |   |  |         |      |   |
| Financial<br>Capital | Income     | Median<br>household<br>income           | Greater the income, the more<br>resources, and capacity they have to<br>adapt and respond to exposure  | dollars | 2018 | https://www.census.<br>gov/library/visualiza<br>tions/interactive/201<br>8-median-<br>household-<br>income.html |
|                      | Grant      | Fire<br>management<br>assistance grants | Greater the number, the better assistance for fire suppression efforts   | number  | 2017 | https://fas.org/sgp/cr<br>s/misc/R44966.pdf   |

| SENSITIVITY         |                        |   |  |        |      |  |
|---------------------|------------------------|---|--|--------|------|--|
| Major<br>Components | Sub-<br>Component<br>s | Indicator<br>Variables                  | Justification  | Units  | Year | Data Source  |
| Demographic         | WUI                    | WUI area                                | Area most at risk for wildfires  | km2    | 2010 | https://www.fs.fed.<br>-us/nrs/pubs/rmap/rm<br>ap_nrs8.pdf             |
|                     |                        | Number of<br>houses within<br>WUI zones | Houses at high and extreme risk<br>from wildfire in the most wildfire-<br>prone states                     | Number | 2010 |  |
|                     |                        | Population at<br>risk in WUI<br>Zones   | Densely populated areas are more<br>exposed and require more resources<br>during wildfire natural disaster | Number | 2010 |  |
|                     | Population             | Population<br>density (2019)            | May require more assistance and at-<br>risk during wildfire event  | Number | 2019 | https://www.census.<br>gov/quickfacts/fact/<br>map/CA,US/HSG44<br>5218 |

|                 |                       | Housing units           | The greater urbanization sprawl, the<br>more it can infringe on forested<br>regions | Number            | 2019 | https://www.census<br>.gov/quickfacts/fact/<br>table/US#                                       |
|-----------------|-----------------------|-------------------------|---|-------------------|------|--|
| Ignition Causes | Outdoor<br>Activities | Number of camping sites | Campsites may have campfires and<br>might be ignition sources                       | Number            | 2019 | https://camping-<br>usa.com/campgroun<br>ds/   |
|                 | Smoking               | Number of smokers       | Smokers are considered individuals<br>likely to start a fire by accident            | Million<br>People | 2019 | https://www.americ<br>ashealthrankings.or<br>g/explore/annual/me<br>asure/Smoking/state<br>/CA |

| Environmental<br>Index | Index<br>(PDMI) | 2019 Annual<br>PDMI | Uses temperature and precipitation<br>to estimate relative dryness. (Palmer<br>Modified Drought Index) | Number | 2019      | https://www.ncdc.n<br>oaa.gov/temp-and-<br>precip/drought/nad<br>m/indices/palmer/di<br>v#select-form |
|------------------------|-----------------|---------------------|--|--------|-----------|---|
|                        | Index (AQI)     | Annual AQI          | Population that is likely to<br>experience increasingly severe<br>adverse health effects.              | Number | 1999-2009 | http://www.usa.com<br>/rank/usair-<br>quality-indexstate-<br>rank.htm?hl=CA&h<br>lst=CA               |

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**Figure. 2** Map of the United States with the States analyzed shaded in orange and states not considered shaded in gray. The states considered were selected based on the 2019 Wildfire Risk report on the acreage size burnt in 2018 and 2019, indicated by the red circles, ranging from the smallest circle (burn area less than 90 000 acres) to the largest circle (burn area exceeding 1 million acres). Note, while Alaska was a top State for burnt area, it was removed from the LVI analysis due to lack of available data.

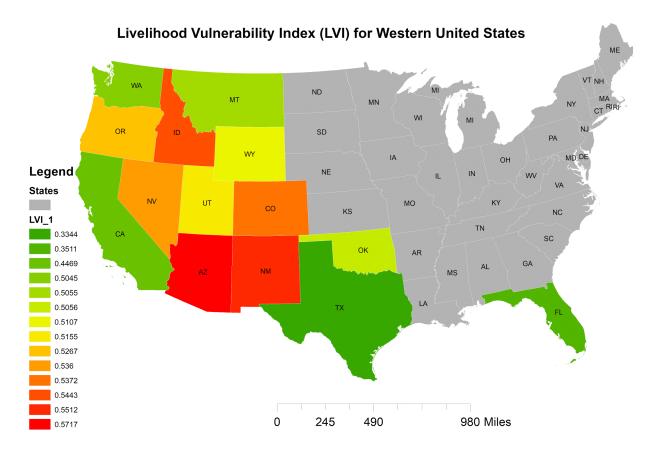
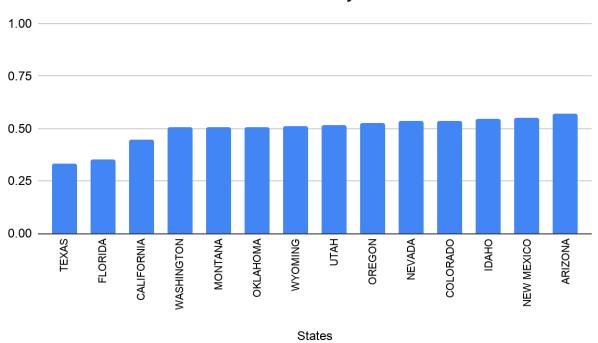
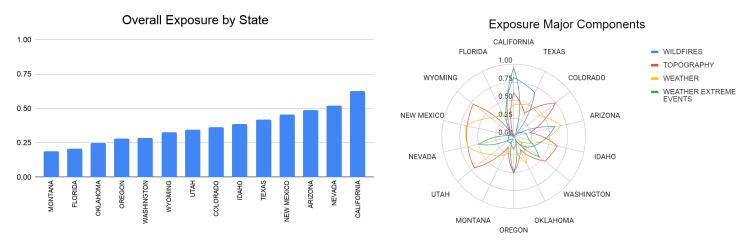


Figure. 3 Spatial plot of each States' LVI value, with its magnitude corresponding to the color bar where darker red and darker green indicate the highest and lowest LVI, respectively. States shaded gray have not been analyzed in this study.

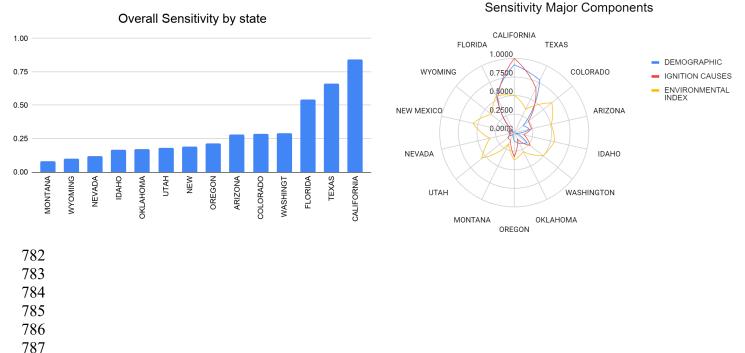


LVI to Wildfires by State

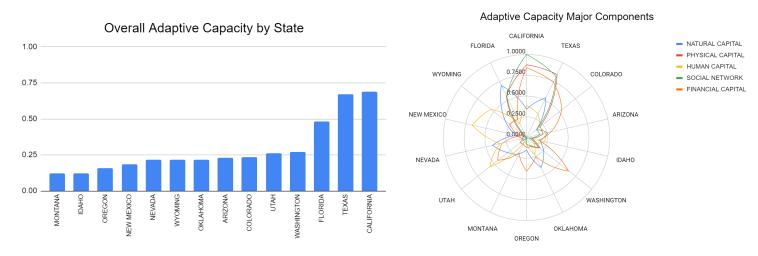
Figure. 4 Histogram showing the LVI of the 14 selected states in the US with Arizona having the highest LVI and Texas having the lowest LVI.



**Figure. 5** Figure on the left panel shows histogram with the overall exposure of the 14 selected states in the US with California having the highest exposure (with respect to wildland fire) and Texas having the lowest overall exposure. The figure on the right panel shows a radar plot showing the different major components of the exposure contributing factor, namely, wildfires (blue), topography (red), weather (yellow), and weather extreme events (green) for the selected 14 states of the US.

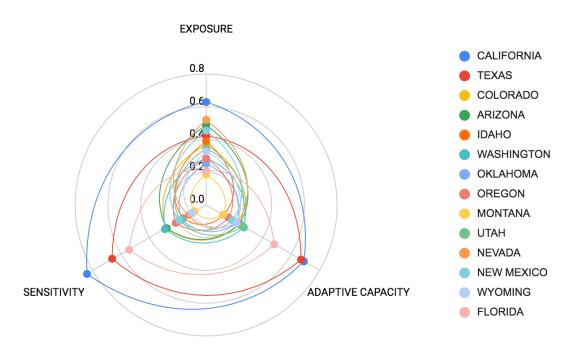


**Figure. 6** Figure on the left panel shows histogram with the overall sensitivity of the 14 selected states in the US with California having the highest sensitivity (with respect to wildland fire) and Texas having the lowest overall sensitivity. The figure on the right panel shows a radar plot showing the different major components of the sensitivity contributing factor, namely, demographic (blue), ignition causes (red), and the environmental index (yellow) for the selected 14 states of the US used in this study.



**Figure. 7** Figure on the left panel shows histogram with the overall adaptive capacity of the 14 selected states in the US with California having the highest adaptive capacity (with respect to wildland fire) and Texas having the lowest overall adaptive capacity. The figure on the right panel shows a radar plot showing the different major components of the adaptive capacity contributing factor, namely, natural capital (blue), physical capital (red), human capital (yellow), social network (green), and the financial capital (orange) for the selected 14 states of the US.

## Contributing factors for each State



**Figure. 8** Radar plot showing the overall contributing factors (exposure, sensitivity, and adaptive capacity) for the selected 14 states of the US analyzed.

## Appendix

Table A1: Total area (acres) burnt for each State during the 2018 and 2019 year, obtained from the Wildfire Risk Report, (2019)

| State      | Total area burnt in 2018 and 2019 (acres) |
|------------|---|
| California | 1 823 153                                 |
| Nevada     | 1 001 966                                 |
| Oregon     | 897 262                                   |
| Oklahoma   | 745 097                                   |
| Idaho      | 604 481                                   |
| Texas      | 569 811                                   |
| Colorado   | 475 803                                   |
| Utah       | 438 983                                   |
| Washington | 438 833                                   |
| New Mexico | 382 344                                   |
| Wyoming    | 279 242                                   |

Table A2. The Kaiser- Meyer-Olkin (KMO) measure of sampling adequacy and Bartlett's Test

of Sphericity results for each contributing factor of exposure, adaptive capacity, and sensitivity

| Contributing<br>Factor | Major Components       | Kaiser-Meyer-Olkin Measure of<br>Sampling Adequacy | Barllett's Test of Sphericity |  |
|------------------------|------------------------|--|-------------------------------|--|
|                        | Wildfires              | 0.5  | 0.11                          |  |
| Exposuro               | Topography             | 0.5  | 0.351                         |  |
| Exposure               | Weather                | 0.488  | 0                             |  |
|                        | Weather Extreme Events | 0.5  | 0.264                         |  |
|                        | Natural Capital        | 0.612  | 0.101                         |  |
| Advetive               | Physical Capital       | 0.613  | 0                             |  |
| Adpative               | Human Capital          | 0.5  | 0.37                          |  |
| Capacity               | Social Network         | 0.5  | 0                             |  |
|                        | Financial Capital      | 0.5  | 0.434                         |  |
|                        | Demographic            | 0.788  | 0                             |  |
| Sensitivity            | Ignition Causes        | 0.5  | 0.004                         |  |
|                        | Environmental Index    | 0.5  | 0.04                          |  |

874 

**Table A3.** A matrix loading table, showing each indicator variable for the exposure contributing

factor and its respective loading into each major component (wildfires, topography, weather, and
 weather extreme events)

| Exposure Component Matrix  |           |            |         |                           |
|----------------------------|-----------|------------|---------|---------------------------|
| Indicators                 | Wildfires | Topography | Weather | Weather Extreme<br>Events |
| Number of wildfires        | 0.85      |            |         |                           |
| Number of acres            |           |            |         |                           |
| burnt                      | 0.85      |            |         |                           |
| Mean height above          |           |            |         |                           |
| sealevel                   |           | 0.797      |         |                           |
| Highest elevation          |           | 0.797      |         |                           |
| Annual average wind        |           |            |         |                           |
| speed                      |           |            | 0.166   |                           |
| Annual average             |           |            |         |                           |
| humidity                   |           |            | 0.968   |                           |
| Annual Average             |           |            |         |                           |
| precipitation              |           |            | 0.974   |                           |
| Average number of          |           |            |         |                           |
| days with 0.1 inch or more |           |            |         |                           |
| precipitation a year       |           |            | 0.748   |                           |
| Annual average             |           |            |         |                           |
| temperature                |           |            | 0.39    |                           |
| Number of extreme          |           |            |         |                           |
| wildfires                  |           |            |         | 0.813                     |
| Number of extreme heat     |           |            |         |                           |
| occurences                 |           |            |         | 0.813                     |

Table A4. A matrix loading table, showing each indicator variable for the adaptive capacity
 contributing factor and its respective loading into each major component (social network,
 natural, physical, human, and financial capital)

| Adpative Capacity Component Matrix                            |                    |                     |                  |                   |                      |
|---|--------------------|---------------------|------------------|-------------------|----------------------|
| Indicators  | Natural<br>Capital | Physical<br>Capital | Human<br>Capital | Social<br>Network | Financial<br>Capital |
| Acres of forest   | -0.654             |                     |                  |                   |                      |
| Water area  | 0.831              |                     |                  |                   |                      |
| Area of lakes   | 0.847              |                     |                  |                   |                      |
| Miles of public road  |                    | 0.874               |                  |                   |                      |
| Number of major airports                                      |                    | 0.964               |                  |                   |                      |
| Number of households<br>with a computer                       |                    | 0.981               |                  |                   |                      |
| Number of households<br>with broadband internet<br>connection |                    | 0.977               |                  |                   |                      |
| Number of People per<br>household                             |                    |                     | 0.794            |                   |                      |
| Number of timber/wood<br>laborers                             |                    |                     | -0.794           |                   |                      |
| Number of Firefighters  |                    |                     |                  | 0.998             |                      |
| Number of<br>first responders (EMTs)                          |                    |                     |                  | 0.998             |                      |
| Median Household<br>Income                                    |                    |                     |                  |                   | 0.783                |
| Number of fire<br>management assistance<br>grants             |                    |                     |                  |                   | 0.783                |

**Table A5** A matrix loading table, showing each indicator variable for the sensitivity contributing

- 919 factor and its respective loading into each major component (demographic, ignition causes, and 920 environmental index)

| Sensitivity Component Matrix |             |                    |                        |  |
|------------------------------|-------------|--------------------|------------------------|--|
| Indicators                   | Demographic | lgnition<br>Causes | Environmental<br>Index |  |
| WUI area                     | 0.985       |                    |                        |  |
| Number of house within       |             |                    |                        |  |
| WUI zone                     | 0.993       |                    |                        |  |
| Population at risk in        |             |                    |                        |  |
| WUI zones                    | 0.994       |                    |                        |  |
| Population Density           | 0.906       |                    |                        |  |
| Housing units                | 0.991       |                    |                        |  |
| Number of camping sites      |             | 0.926              |                        |  |
| Number of smokers            |             | 0.926              |                        |  |
| Annual PDMI                  |             |                    | -0.882                 |  |
| Annual AQI                   |             |                    | 0.882                  |  |

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