## On the variability of total electron content over Europe during the 2009 and 2019 Northern Hemisphere SSWs

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November 24, 2022

### Abstract

The nature of the variability of the Total Electron Content (TEC) over Europe is investigated during the 2009 and 2019 Northern Hemisphere (NH) SSW events in this study. As the TEC variability is driven by geomagnetic and lower atmospheric forcing mechanisms, we investigate the dominant drivers and their respective contributions to TEC changes during both SSW events. We simulate the SSWs using the Whole Atmosphere Community Climate Model eXtended version (WACCM-X) and compare the semidiurnal solar and lunar tidal variabilities in the mesosphere-lower thermosphere (MLT) region. Further, in order to assess the mechanisms responsible for the TEC variability during both SSWs, we run numerical experiments using the National Center for Atmospheric Research (NCAR) Thermosphere-Ionosphere Electrodynamics General Circulation Model (TIE-GCM). We constrain the TIE-GCM lower boundary with the WACCM-X fields and carry out simulations both with and without geomagnetic forcing for each of the SSWs. The TIE-GCM simulations allow us to isolate the geomagnetic and lower atmospheric forcing effects on the TEC. We find that there was a major enhancement in daytime TEC over Europe during the 2019 SSW event, which was predominantly geomagnetically forced (~80%), while for the 2009 SSW, the major variability in TEC was accounted for by lower atmospheric forcing.

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10 Key Points:

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| 11 | - The large-scale dynamical variabilities associated with 2009 and 2019 SSWs were |
|----|---|
| 12 | simulated using WACCM-X   |
| 13 | • Numerical experiments are carried out with TIE-GCM driven by WACCM-X to         |
| 14 | isolate out the geomagnetic and lower atmospheric effects during both SSWs        |
| 15 | • Simulation results show that the TEC variability over Europe was caused predom- |
| 16 | in<br>antly by geomagnetic forcing for the $2019$ SSW                             |

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#### 17 Abstract

The nature of the variability of the Total Electron Content (TEC) over Europe is inves-18 tigated during the 2009 and 2019 Northern Hemisphere (NH) SSW events in this study. 19 As the TEC variability is driven by geomagnetic and lower atmospheric forcing mech-20 anisms, we investigate the dominant drivers and their respective contributions to TEC 21 changes during both SSW events. We simulate the SSWs using the Whole Atmosphere 22 Community Climate Model eXtended version (WACCM-X) and compare the semidiur-23 nal solar and lunar tidal variabilities in the mesosphere-lower thermosphere (MLT) re-24 gion. Further, in order to assess the mechanisms responsible for the TEC variability dur-25 ing both SSWs, we run numerical experiments using the National Center for Atmospheric 26 Research (NCAR) Thermosphere-Ionosphere Electrodynamics General Circulation Model 27 (TIE-GCM). We constrain the TIE-GCM lower boundary with the WACCM-X fields and 28 carry out simulations both with and without geomagnetic forcing for each of the SSWs. 29 The TIE-GCM simulations allow us to isolate the geomagnetic and lower atmospheric 30 forcing effects on the TEC. We find that there was a major enhancement in daytime TEC 31 over Europe during the 2019 SSW event, which was predominantly geomagnetically forced 32  $(\sim 80\%)$ , while for the 2009 SSW, the major variability in TEC was accounted for by lower 33 atmospheric forcing. 34

#### **1** Introduction

The axial tilt of the Earth creates a strong temperature gradient between the pole 36 and mid-latitudes due to lack of solar heating over the polar regions in wintertime. This 37 temperature gradient along with the Earth's coriolis force results in the formation of strato-38 spheric polar vortex (SPV) that appear over high-latitudes every winter before break-39 ing down in the summer when the polar regions start becoming warmer (e.g., Polvani 40 et al., 2013). The SPV manifests itself in the form of planetary-scale westerly (flowing 41 from west to east) winds that encircle the pole at mid-to higher latitudes. Due to inter-42 action with the planetary waves (PWs) that originate from the troposphere, the SPV 43 experiences large intra- and inter annual variability. The PWs can propagate vertically 44 into the stratosphere when the stratospheric winds are westerly (Charney & Drazin, 1961) 45 and as the PWs break in the stratosphere (McIntyre & Palmer, 1983), they deposit their 46 momentum, which leads to the deceleration of the polar vortex. Due to larger topographic 47 and land-sea contrasts, the PW activity is higher in the Northern Hemisphere (NH) as 48

compared to the Southern Hemisphere (SH) (e.g., van Loon et al., 1973), which results
in the NH stratospheric polar vortex being weaker and much more variable than the one
in SH (Waugh & Randel, 1999). Certain extreme cases of PW activity can lead to a breakdown of the polar vortex and a reversal of the westerly flow resulting in magnificent trans
sient meteorological events known as sudden stratospheric warmings (SSWs) (e.g., Scherhag, 1952; Matsuno, 1971).

SSWs are large-scale events that result in an increase in the stratospheric polar tem-55 perature usually by several tens of degrees within a few days, which reverses the merid-56 ional temperature gradient (i.e., polar temperatures exceed those at mid-latitudes), and 57 concurrently, a deceleration of the westerly zonal mean zonal wind (ZMZW) (e.g., An-58 drews et al., 1987). In the literature, SSWs are classified as major and minor warmings 59 depending on the extent of increase in polar stratospheric temperature and ZMZW re-60 versal. According to the definition of World Meteorological Organization (WMO), an 61 SSW event is said to be "major" if the westerly zonal mean flow, poleward of  $60^{\circ}$  at 10 62 hPa, reverses to easterly along with the reversal of the meridional temperature gradi-63 ent. A "minor" SSW event occurs when the polar stratospheric temperature increases 64 by at least 25 K within a week or faster. Major SSWs are common in the NH and oc-65 cur with a frequency of 0.6/year (e.g., Charlton & Polvani, 2007; Butler et al., 2015) whereas 66 SSWs in SH are extremely rare. Along with minor SSWs in August 2010 (Eswaraiah et 67 al., 2017) and September 2019 (Yamazaki et al., 2020), only one major warming in Septem-68 ber 2002 (e.g. Baldwin, 2003; Allen et al., 2003) has ever been recorded in the SH. 69

The breaking of PWs during the SSWs and its associated effects are not only lim-70 ited to polar stratosphere, but are rather witnessed across different latitudes and alti-71 tudes (Pedatella et al., 2018). A mean meridional circulation is induced in the strato-72 sphere as a result of PWs breaking (Haynes et al., 1991), which leads to an upwelling 73 at equatorial latitudes and results in adiabatic cooling over these regions (Fritz & Soules, 74 1970). Accompanying the warming in the stratosphere is the cooling in the mesosphere 75 at polar latitudes (e.g., Labitzke, 1972; Liu & Roble, 2002) and warming in the meso-76 sphere at equatorial latitudes (e.g., Garcia, 1987; Chandran & Collins, 2014). The NH 77 SSW related effects are also witnessed in the SH in the form of mesospheric warming and 78 a decrease in the occurrence of polar mesospheric clouds through inter-hemispheric cou-79 pling mechanisms (e.g., Karlsson et al., 2009; Körnich & Becker, 2010). The associated 80

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effects of NH SSW in the thermosphere have also been reported to result in warming at

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mid- and high latitudes (e.g., Goncharenko & Zhang, 2008; Funke et al., 2010).

In the last decade, evidence of the SSW impact in the ionosphere, mostly facilitated 83 by the extremely quiet solar and geomagnetic activity levels in the last solar cycle, have 84 been reported in numerous studies following the seminal works by Goncharenko and Zhang 85 (2008) and Chau et al. (2009). Observations have revealed a consistent enhanced semid-86 iurnal perturbation pattern at low-latitudes in ionospheric vertical plasma drifts (e.g., 87 Chau et al., 2009), electron densities (e.g., Lin et al., 2013) and equatorial electrojet (e.g., 88 Vineeth et al., 2009; Fejer et al., 2010; Yamazaki et al., 2012) in response to SSWs. These 89 perturbations have been linked to the changes in migrating semidiurnal solar (SW2) and 90 lunar (M2) atmospheric tides during SSWs. The SW2 and M2 tides are generated in the 91 lower atmospheric regions and are able to propagate upward to the dynamo-region heights 92 where they influence the generation of electric fields in the ionosphere (Baker et al., 1953). 93 The SW2 enhancement during SSWs is thought to be due to the changes in tidal prop-94 agation conditions (Jin et al., 2012), non-linear interaction with the stationary PWs (Liu 95 et al., 2010) and changes in the stratospheric ozone distribution (Goncharenko et al., 2012; 96 Siddiqui et al., 2019). The cause of M2 amplification is suggested to be a result of back-97 ground zonal mean zonal wind changes, which shifts the secondary (Pekeris) resonance 98 peak of the atmosphere towards the period of M2 tide (Forbes & Zhang, 2012). It has 99 also been found during SSWs that the relative enhancement of M2 tide in the mesosphere-100 lower thermosphere (MLT) and ionosphere is larger than that of SW2 (Pedatella, Liu, 101 Richmond, Maute, & Fang, 2012) and the comparably smaller amplitudes of M2 can even 102 exceed those of SW2 (e.g., Chau et al., 2015; Siddiqui et al., 2018). 103

A large number of studies that have reported the impact of SSWs on the ionosphere 104 have focused on the variability at low-latitudes (e.g., Chau et al., 2012; Yiğit & Medvedev, 105 2015, and references therein). In particular, most of these studies have focused on the 106 January 2009 major NH SSW (e.g., Manney et al., 2009), which occurred in the begin-107 ning of solar cycle 24 under extremely quiet solar and geomagnetic conditions, and dis-108 cussed the ionospheric impacts over different longitudinal sectors (e.g., Chau et al., 2010; 109 Goncharenko et al., 2010; Fejer et al., 2010; Xiong et al., 2013; Patra et al., 2014; Ya-110 dav et al., 2017; Liu et al., 2019) during this prolonged SSW event. Towards the end of 111 solar cycle 24, another major SSW event was recorded in the NH under similar quiet so-112 lar and geomagnetic activity conditions in the final weeks of December 2018 and in the 113

beginning of January 2019. As the occurrence of SSWs under such favorable conditions 114 is seldom, this event provides us further opportunities to investigate the ionospheric im-115 pacts of SSWs. Compared to the investigation of SSW related ionospheric variabilities 116 at equatorial and low-latitudes, the mid-latitude ionosphere variability has not yet been 117 thoroughly investigated. Although the evidence of mid-latitude ionosphere variability 118 during SSWs have been reported in some studies (e.g., Goncharenko et al., 2013; Polyakova 119 et al., 2014; Chen et al., 2016), the Total Electron Content (TEC) data over the Euro-120 pean region, which hosts a dense global navigation satellite system (GNSS) networks, 121 have not been much exploited. In this study, we compare the observed TEC over Eu-122 rope during the 2018/2019 and 2008/2009 SSWs and investigate the dominant mecha-123 nisms behind the variabilities using simulations. 124

The structure of this paper is as follows. In Section 2, the descriptions of the models and the experiments are provided followed by the information about the data sets that are used in this study. In Section 4, we present our results followed by discussion in Section 5. The summary and conclusions from this work are presented at the end.

#### <sup>129</sup> 2 Model descriptions and experiment settings

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## 2.1 WACCM-X

We use WACCM-X version 2.0 (Liu et al., 2018), a configuration of the NCAR Com-131 munity Earth System Model (CESM 2.0; Hurrell et al., 2013) to perform the model sim-132 ulations in this study. WACCM-X extends from the surface to the upper thermosphere 133 with its top boundary, depending on the solar and geomagnetic activity, lying between 134 500 and 700 km. The vertical resolution of WACCM-X above the stratosphere is one fourth 135 of a scale height, and the horizontal resolution is  $1.9^{\circ} \ge 2.5^{\circ}$  in latitude and longitude, 136 respectively. WACCM-X is built upon the Whole Atmosphere Community Climate Model 137 (WACCM) (Marsh et al., 2013) and Community Atmosphere Model version 4 (Neale et 138 al., 2013). The new version of WACCM-X has a coupled ionosphere and incorporates 139 self-consistent low-mid-latitude ionospheric electrodynamics adapted from the Thermosphere-140 Ionosphere Electrodynamics General Circulation Model (TIE-GCM). At high-latitudes, 141 WACCM-X uses an empirical electric potential pattern (Heelis et al., 1982), which is pa-142 rameterized by the 3-hour geomagnetic Kp index and an auroral precipitation oval based 143

on the formulation described by Roble and Ridley (1987). More details about the physical processes included in WACCM-X 2.0 can be found in Liu et al. (2018).

WACCM-X provides a comprehensive tool to study the entire atmosphere-ionosphere 146 system. The impact of lower atmospheric forcing on the upper atmospheric variability 147 can be studied using WACCM-X during specific time periods by constraining the tro-148 pospheric and stratospheric dynamics to meteorological reanalysis fields. In the present 149 study, we use the specified dynamics (SD) set up in WACCM-X to simulate the SSWs 150 by constraining the winds and temperatures from 0-50 km towards the National Aero-151 nautics and Space Administration Modern Era Retrospective Analysis for Research and 152 Applications (MERRA) Version 2 (Gelaro et al., 2017). Using the approach described 153 in Kunz et al. (2011), the WACCM-X model fields are constrained to the MERRA2 me-154 teorological fields at every model time step (i.e., 5 min). The default SD-WACCM-X set 155 up does not include forcing from the M2 tide but we implement it in our simulations based 156 on the method described by Pedatella et al. (2012) because the M2 forcing becomes an 157 important source of MLT and ionospheric variability during SSWs. Hourly outputs of 158 winds, temperature and geopotential height are obtained for the 2009 and 2019 SSWs 159 through the SD-WACCM-X runs in this study. 160

#### <sup>161</sup> **2.2 TIE-GCM**

TIE-GCM is a three dimensional, self-consistent numerical model of the coupled 162 thermosphere-ionosphere system that has been developed at the High Altitude Obser-163 vatory at the National Center for Atmospheric Research (NCAR). The model spans from 164  $\sim$ 97 km to about 450-600 km depending on the solar cycle activity. In this study, we use 165 TIE-GCM version 2.0 with a horizontal resolution of 2.5° by 2.5° in geographic longi-166 tude and latitude and a vertical resolution of 0.25 times the scale height. The input pa-167 rameters for TIE-GCM include the solar XUV, EUV and FUV spectral fluxes that are 168 defined by the EUVAC model (Richards et al., 1994) using the F10.7 index. The global 169 electric potential due to the wind dynamo is solved by the self-consistent TIE-GCM iono-170 spheric electrodynamo at low- and mid-latitudes. At high-latitudes, however, the elec-171 tric potential is prescribed through empirical convection electric field patterns using the 172 Heelis (Heelis et al., 1982) or Weimer (Weimer, 2005) models. TIE-GCM also uses an 173 analytical auroral model to account for high-latitude auroral particle precipitation in the 174

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default set up. The upper part of WACCM-X is based on TIE-GCM and it uses this same
auroral model.

The effect of lower atmospheric tidal forcing can be specified in TIE-GCM using 177 the tidal perturbations at its lower boundary. The amplitudes and phases of upward prop-178 agating atmospheric tides specified at the model lower boundary in the default setup are 179 based on the Global Scale Wave Model (GSWM) (Hagan et al., 1999). The default TIE-180 GCM lower boundary (LB) assumes constant neutral temperature (T=181 K), geopo-181 tential height (Z=96.37 km) and zero horizontal winds (e.g., Maute, 2017). For a more 182 realistic LB conditions, TIE-GCM includes the option to specify hourly inputs at its LB 183 from any other source. In this study, we specify the hourly WACCM-X outputs for the 184 2009 and 2019 SSWs at the TIE-GCM LB and carry out two simulations for each of the 185 SSWs in order to examine the effects of lower atmospheric forcing on the thermosphere-186 ionosphere system. In the first simulation setup (hereafter referred to as S1), the TIE-187 GCM forced by WACCM-X is run in its default setting and the obtained day-to-day iono-188 spheric variability from this run includes the effects of both geomagnetic and lower at-189 mospheric forcings. In the second simulation setup (hereafter referred to as S2), we turn-190 off the geomagnetic forcing and carry out a similar run for both the SSWs. The day-to-191 day ionospheric variability resulting from the second run arises solely from lower atmo-192 spheric forcing during both SSWs. The geomagnetic forcing is turned off from the TIE-193 GCM runs by reducing the hemispheric power from 18 GW in the first setup to 0.1 GW 194 and the cross-polar cap potential from 30 kV in the first setup to 0.1 kV. Additionally, 195 the Heelis convection model and the analytical auroral model have also been turned off 196 in the second setup to remove the magnetospheric energy input. The experiment setups 197 used in this study have been summarized in Table 1. We used TIE-GCM instead of WACCM-198 X to carry out these two simulations because of the former being computationally less 199 expensive than the latter. 200

## 201 3 Data Sets

For this study we use the GPS TEC data from the MIT Haystack Observatory's Madrigal database (Rideout & Coster, 2006), which incorporates the data from over 2000 GPS receivers worldwide. The processed TEC data from the MIT Automated Processing of GPS (MAPGPS) software provides estimates of TEC over 1° by 1° (latitude by

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 $_{206}$  longitude) bins with a temporal resolution of 5 minutes. The unit of GPS TEC data is  $_{207}$  TECu, where 1 TECu is defined as  $10^{16}$  electrons/m<sup>2</sup>.

Hourly values of solar flux  $(F_{10,7})$  (Tapping, 2013) have been downloaded from the 208 Space Physics Data facility of the Goddard Space Flight Center through the OMNIWeb 209 data interface to plot the levels of solar activity during the 2009 and 2019 SSWs. The 210 3-hourly Kp indices are downloaded from the website of German Research Centre for Geo-211 sciences (GFZ), Potsdam to monitor the geomagnetic activity levels during the two SSW 212 events. We also use the version 5 temperature data from the Microwave Limb Sounder 213 (MLS) onboard the NASA's Earth Observing System (EOS) Aura satellite (Waters et 214 al., 2006) to compare and validate the temperature obtained from WACCM-X simula-215 tions. 216

#### 217 4 Results

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### 4.1 Zonal mean and PW variability during 2009 and 2019 SSWs

In Figure 1, the zonal mean temperatures averaged between  $70^{\circ}$  and  $80^{\circ}$  N dur-219 ing January - February 2009 (Figures 1a and 1c) and December 2018 - January 2019 (Fig-220 ures 1b and 1d) from Aura Microwave Limb Sounder (MLS) observations (top panels) 221 and SD-WACCM-X simulations (bottom panels) are presented. The vertical white lines 222 in the figure mark the day of polar vortex weakening (PVW). As an alternative to the 223 classical definition of SSW provided by the WMO, PVW has been used to correlate the 224 tidal enhancements in the MLT and ionosphere with the magnitude of the reversal of strato-225 spheric zonal mean zonal wind (ZMZW) (e.g., Zhang & Forbes, 2014; Chau et al., 2015; 226 Siddiqui et al., 2015). A PVW day is identified by locating the earliest and most extreme 227 reversal of ZMZW at 70°N and 48 km altitude (1 hPa) that occurs simultaneously with 228 the increase in zonal mean temperature at North Pole and 40 km altitude (3 hPa) be-229 tween December and February. 230

It can be seen that there is a reasonable agreement between the observed and simulated zonal mean temperatures during the considered time intervals. With the onset of the SSW, the warm stratopause in each of the SSW events starts to descend from its climatological position near 60 km (0.2 hPa) toward lower altitudes resulting in warming at these heights (e.g., Labitzke, 1981) before breaking down completely. The stratopause then reappears at higher altitude before slowly returning to its original location. This

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altitudinal shift and reemergence of the stratopause is called an elevated stratopause event 237 and is associated with major SSW events (e.g., Siskind et al., 2007; Manney et al., 2008). 238 In WACCM-X simulations the elevated stratopause events are being reproduced for both 239 the SSWs but their appearances tend to occur slightly earlier in comparison with the Aura 240 MLS observations. The PVW date for the 2009 SSW event occurs on day 2 (Jan 23) and 241 for the 2019 SSW event on day -3 (28 Dec). The warming of the stratosphere is accom-242 panied by cooling in the mesosphere in both these events. In case of 2009 SSW, meso-243 spheric cooling can be observed to start around day 20 above 0.1 hPa in Figure 1a and 244 in the case of 2019 SSW close to day -8 in Figure 1b. The model simulations are able 245 to reproduce these features to a large extent and the overall qualitative agreement in tem-246 perature is good but some discrepancies can also be seen especially at altitudes where 247 SD-WACCM-X stops being constrained to MERRA2, i.e. above 50 km ( $\sim 1$  hPa). The 248 quantitative differences between SD-WACCM-X and Aura MLS observations start to be-249 come more clear above this altitude and is more pronounced in the MLT region. These 250 temperature differences could be related to gravity wave forcing, which is parameterized 251 in SD-WACCM-X and may be contributing to the discrepancy between the observations 252 and model simulations (e.g., Smith, 2012). 253

The left panels of Figure 2 presents the ZMZW at 60°N from SD-WACCM-X for 254 the time intervals that include the 2009 and 2019 SSW events while the right panels show 255 the Kp index and daily solar flux (s.f.u) conditions. The dotted black lines show the PVW 256 days in all the panels. For the 2009 SSW, the ZMZW in the stratosphere between 1-10 257 hPa changes from eastward to westward direction starting around day 20 in Figure 2a. 258 At 10 hPa, the ZMZW remains in the reversed direction until day 54 while at 1 hPa, where 259 the PVW is defined, the ZMZW reaches a peak reversal on day 23 with a value of about 260 -52 m/s. For the 2019 SSW, the reversal of the ZMZW between 1-10 hPa is seen towards 261 the end of December around day -8 in Figure 2c. The westward direction of ZMZW at 262 10 hPa remains till day 21 while at 1 hPa, the ZMZW is found to reach a peak rever-263 sal on day -3 with a value of -15 m/s. From the comparison of the ZMZW in Figures 2a 264 and 2c, it can be clearly seen that the 2009 SSW event was stronger in terms of ZMZW 265 reversal and more prolonged than the 2019 SSW event. 266

From the Kp indices and solar flux values in Figures 2b and 2d, it can be inferred that both the 2009 and 2019 SSWs were recorded under periods of low solar and geomagnetic activities. The solar flux levels during the 2009 SSW and 2019 SSWs remained

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below 75 s.f.u for both the events. The geomagnetic activity during the onset and peak phase of 2009 SSW hovered mostly around  $Kp \le 2+$  with an exception on day 19 when Kp values reached 40 during the 3-hourly intervals. The 2019 SSW event showed higher geomagnetic activity levels as compared to the 2009 SSW event with brief periods of spike in Kp values that reached up to 4+ on day -3 (Dec 28) and 50 on day 5 but overall the period during the 2019 SSW remained geomagnetically quiet.

Figure 3 presents the variability of PWs with wave number 1 (PW1) and 2 (PW2) 276 at 10 hPa in temperature from SD-WACCM-X for the 2009 and 2019 SSWs. In this fig-277 ure, the colorbar scales of PW1 are chosen to be twice as large in magnitude as compared 278 to those for PW2. In Figures 3a and 3c, the amplitudes of PW1 and PW2, respectively, 279 are presented for the 2009 SSW event. The enhancement of PW1 is seen in NH high-280 latitudes particularly around 60°N with peaks on days 6 and 22 while in case of PW2, 281 the enhancement begins in the second week of January and peaks on day 19. Based on 282 the enhanced amplitudes of PW2 in Figure 3c, we find that our results are consistent 283 with earlier studies (e.g., Manney et al., 2009) that have classified the 2009 SSW as PW2 284 forced split SSW event. The amplitudes of PW1 and PW2 for the 2009 SSW are also 285 similar to the results shown by Pedatella et al. (2014) using four different whole atmo-286 sphere models. 287

The amplitude of PW1 and PW2 in temperature are presented in Figures 3b and 288 3d, respectively, for the 2019 SSW event. The PW1 amplitudes show enhancement pole-289 ward of  $60^{\circ}$ N during December with maxima on day -7. The peak PW1 amplitudes for 290 this event are almost twice as large as compared to that of peak PW1 for the 2009 SSW. 291 The PW2 amplitudes are smaller in magnitude as compared to that of PW1 for this event 292 but enhancement in PW2 is also seen poleward of 60°N towards the end of December 293 with maxima centered on day -9. The 2019 SSW has been classified in literature as nei-294 ther a typical displaced nor a typical split SSW event (Rao et al., 2019) but rather a mixed 295 type event, which was initially a displaced SSW and later became a split SSW. From Fig-296 ure 3b, it can be inferred by the dominance of PW1 amplitudes that the 2019 SSW must 297 have started as a displaced SSW event. 298

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## 4.2 Migrating semidiurnal tides during 2009 and 2019 SSWs

It is well established now that the primary reason for the variability in the MLT 300 and ionosphere during SSWs is due to the modulation of atmospheric tides. In partic-301 ular, the variability of SW2 and M2 have been found to be the most significant based 302 on modeling and observational studies (e.g., Vineeth et al., 2009; Chau et al., 2009; Fe-303 jer et al., 2010; Pedatella & Forbes, 2010; Goncharenko et al., 2012; Yamazaki et al., 2012; 304 Forbes & Zhang, 2012; Lin et al., 2013; Pedatella et al., 2014; He et al., 2017; Siddiqui 305 et al., 2018; Hibbins et al., 2019). Atmospheric tides refer to planetary-scale oscillations 306 of the atmosphere that are mainly excited by gravitational forces of the moon and by 307 thermal forcing from the sun (e.g., Lindzen & Chapman, 1969; Forbes & Garrett, 1978; 308 Forbes, 1982). These oscillations have periods and sub-periods of a solar or a lunar day. 309 The solar tides form the dominating component of the tidal oscillations and are predom-310 inantly thermally forced. The excitation mechanisms include daily periodic absorption 311 of solar energy by tropospheric water vapour and stratospheric ozone (e.g., Forbes & Wu, 312 2006; Zhang et al., 2010) while the relatively smaller lunar tides are mainly forced due 313 the lunar gravitational effects on the Earth's atmosphere. In this section, we investigate 314 the variability of the migrating semidiurnal solar (SW2) and semidiurnal lunar (M2) tides 315 during the two SSW events. 316

The hourly outputs of neutral temperature from SD-WACCM-X simulations are used to extract the components of the solar and lunar tides by performing a least squares fit with a moving window of 15 days at each latitude. The following equation based on Pedatella et al. (2012) has been used to make the fit:

$$\sum_{n=0}^{3} \sum_{s=n-5}^{n+5} A_{n,s} \cos(n\Omega t + s\lambda - \phi_{n,s}) + \sum_{s=-3}^{3} L_s \cos(2\tau + (s-2)\lambda - \Phi_{n,s})$$
(1)

where  $\Omega = \frac{2\pi}{24}$  hour<sup>-1</sup>, t is universal time in hours, n denotes the harmonics of a solar day,  $\lambda$  is the longitude, s is the zonal wave number,  $A_{n,s}$  and  $\phi_{n,s}$  are the amplitude and phase of the respective solar tidal components. For a wave propagating westward s > 0, while for a wave propagating eastward s < 0.  $L_s$  and  $\Phi_{n,s}$  represent the amplitude and the phase of the semidiurnal lunar tide, respectively.

Figure 4 presents the amplitudes and phases of the SW2 (top panels) and M2 (bottom panels) tides in neutral temperature at  $1 \times 10^{-4}$  hPa (~110 km altitude) from SD-

WACCM-X simulations between January and February 2009. The vertical white lines 328 mark the day of PVW. In Figure 4a, the SW2 tidal variability shows enhanced ampli-329 tudes of up to 21 K at Southern Hemisphere (SH) mid-latitudes on day 15 and then again 330 amplitudes of over 25 K around day 35. In the NH, the SW2 enhancements are only seen 331 after day 25 but enhanced amplitudes of up to 24 K are found from simulations. Follow-332 ing the PVW day, there is a sudden decrease in the amplitude of SW2 at SH mid-latitudes. 333 This feature of SW2 was also reported in a study by Pedatella et al. (2014) where they 334 compared the temporal variability of SW2 during the 2009 SSW event using four dif-335 ferent whole atmosphere models. Figure 4c presents the amplitude of M2 tide where we 336 notice its enhancement in both hemispheres a few days after the PVW day. Another en-337 hancement of M2 is seen in the NH after day 40. The M2 variability from SD-WACCM-338 X during this SSW event is consistent with the results of Zhang and Forbes (2014), in 339 which similar observations of M2 have been reported from neutral temperature measure-340 ments at 110 km from the Sounding of the Atmosphere using Broadband Emission Ra-341 diometry (SABER) instrument onboard the Thermosphere Ionosphere Mesosphere En-342 ergetics Dynamics (TIMED) satellite. The SW2 and M2 phases in UT are presented in 343 Figures 4b and 4d, respectively. At NH low- and mid-latitudes, the SW2 phase shows 344 a noticeable change in phase before and after the peak PVW day. At SH mid-latitudes, 345 there is a change in SW2 phase of up to 1 h coinciding with the weakening of SW2. The 346 decrease of SW2 phase by a few hours in the ionosphere was also reported by Pedatella 347 et al. (2014) and Lin et al. (2013) during the period where the SW2 amplitude weakened. 348 The phase of the M2 tide shows more discernible phase shifts at low- and mid-latitudes 349 around the PVW, which becomes relatively more stable after day 40. 350

Figure 5 shows the amplitudes and phases of SW2 and M2 tides in neutral tem-351 perature at  $1 \times 10^{-4}$  hPa (~110 km altitude) between December 2018 and January 2019. 352 Similar to the SW2 variability during the 2009 SSW event, the SW2 amplitude in Fig-353 ure 5a shows enhancement at SH mid-latitudes on either side of the PVW day with a 354 reduction of SW2 amplitude in between. The SW2 amplitude reaches a peak of around 355 17 K on day -12 and around 20 K on day 8 in the SH. In the NH, the SW2 amplifica-356 tion starts close to the PVW day and it reaches a value of around 18 K on day 9. In Fig-357 ure 5c, the first M2 enhancement in SH with peak amplitudes of around 6.5 K happens 358 a few days after the PVW day. The second M2 enhancement in the SH with peak am-359 plitude of around 7.5 K is seen on day 11. Following Chau et al. (2015) and Conte et al. 360

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(2017), we also performed tidal analyses using a 21-day running window to further re-361 duce any artifacts or ambiguity between the determination of SW2 and M2 tides but we 362 still found the amplification of M2 to be similar to as shown in Figure 5c using a 15-day 363 window. We find that the M2 amplitude during the 2019 SSW is far smaller as compared 364 to that during the 2009 SSW event. One reason for the difference in amplification could 365 be related to the timing of the SSW event relative to the phase of the moon. Pedatella 366 and Liu (2013) have shown from simulation results that the lunar tidal response in the 367 ionosphere is dependent upon the phase of the moon relative to the timing of the SSW 368 event. Further, Fejer et al. (2010) have found that the lunar effects in the ionosphere dur-369 ing SSWs amplify close to the new or the full moon days. The new moon day for the 2009 370 SSW occurred 3 days after the PVW day while for the 2019 it was recorded 6 days be-371 fore the PVW. This difference in the timing of the new moon days relative to the PVW 372 days may also be contributing to the reduced amplification of M2 during the 2019 SSW. 373 The SW2 and M2 phases are presented in Figures 5b and 5d, respectively. At low-latitudes 374 in NH, the SW2 phase shows a decrease of 1-2 hours around the PVW day. The decrease 375 in the phase is also seen in the SH around the PVW day similar to the reduction seen 376 during the 2009 SSW event. From the M2 phase plot it is found that the M2 phase shift 377 is more noticeable in the SH as compared to the NH around the PVW day. 378

Figure 6 presents the M2 tidal amplitudes for the 2009 and 2019 SSW events ob-379 tained using the V2.0 temperature measurements from the SABER instrument onboard 380 the TIMED satellite. We employ the least-squares fitting method mentioned in Zhang 381 and Forbes (2014) to determine the M2 amplitudes from zonally averaged SABER tem-382 perature residuals. As the period of the M2 tide from the frame of the TIMED satellite 383 is 11.85 days (Forbes et al., 2013), we use a 12-day moving window and fit only the M2 384 tide to the daily zonally averaged temperature residuals. Figures 6a and 6b show the am-385 plitude of the M2 tide for the 2009 and 2019 SSWs, respectively. The vertical white lines 386 show the days of PVW. The enhancement of M2 following the PVW can be seen at low-387 and mid-latitudes for the 2009 SSW event in Figure 6b. This plot of M2 amplitude is 388 similar to the one shown in Zhang and Forbes (2014) (see Figure 1). The M2 tides in 389 neutral temperature from SD-WACCM-X simulations for the 2009 SSW, shown in Fig-390 ure 4c, match very well with the M2 from SABER temperature observations in terms 391 of the timing of the M2 enhancement. In the NH, the variability of the M2 amplitudes 392 from SD-WACCM-X simulations is slightly more consistent with those obtained from 393

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SABER temperature observations as the M2 peaks are located at similar latitudes. In 394 the SH, the M2 peaks from SABER observations on day 27 are slightly more equator-395 ward as compared to those from SD-WACCM-X simulations. The M2 amplitudes for the 396 2019 SSW presented in Figure 6b shows that the level of M2 enhancement for this SSW 397 was clearly lower than for the 2009 SSW. The M2 enhancements can be seen to occur 398 towards the end of December 2018 between days -7 and 0 poleward of  $40^{\circ}$ S and around 300 day 22 above 20°N. The enhancement in the SH towards the end of December is also cap-400 tured in M2 from SD-WACCM-X simulations in Figure 5c. But the M2 amplitudes from 401 SD-WACCM-X do not exactly reproduce the observations for the 2019 SSW event as the 402 M2 peak around day 22 in the NH is not seen in the simulations. There is similarity be-403 tween the observed and simulated M2 amplitudes in the sense that the enhancements 404 were particularly weaker for the 2019 SSW as compared to the 2009 SSW event, which 405 is confirmed from both the simulated and observed M2 amplitudes. 406

407

#### 4.3 GPS TEC variability over Europe during 2009 and 2019 SSWs

In this section of the paper, we first analyze the variability of TEC over Europe 408 from GPS observations during the 2019 SSW. The readers may note that there has been 409 a change in the order of presentation of the SSWs in this section. The GPS TEC obser-410 vations over Europe during the 2009 SSW is presented later for the purpose of compar-411 ison. Following Goncharenko et al. (2010), we first define the mean state of the quiet-412 time TEC during the 2019 SSW to investigate the TEC variability over Europe associ-413 ated with this event. We select the quiet period prior to the SSW onset between 11 and 414 20 December, 2018 with  $Kp \leq 3$  on most days and solar flux levels below 70 s.f.u. to es-415 timate the mean TEC values. The perturbations in TEC during the 2019 SSW,  $\Delta TEC$ , 416 is then calculated by using, 417

$$\Delta TEC = TEC - TEC_{mean} \tag{2}$$

In Figure 7, we present the  $\Delta \text{TEC}$  (in TECu) observed between December 26, 2018 and January 6, 2019 at 12 UT over Europe. The most notable feature in this figure is the large positive  $\Delta \text{TEC}$  that is recorded on December 28 over the whole region in general and over the South-West part of Europe in particular. Apart from this day,  $\Delta \text{TEC}$ values also show notable enhancements over Europe the following day on December 29

and again on January 5 but with lower magnitudes. A depletion in  $\Delta TEC$  across South-423 ern Europe is also observed on January 3. We take the averaged value of TEC over the 424 region shown in Figure 7 for each UT and present the temporal evolution of averaged 425 TEC (Figure 8a) and  $\Delta$ TEC (Figure 8b) across Europe as a function of local time. We 426 use latitudinal and longitudinal bands between  $35^{\circ}-60^{\circ}N$  and  $15^{\circ}W-30^{\circ}E$ , respectively, 427 to calculate these values. The dotted black lines mark the PVW day. The diurnal vari-428 ation of TEC is evident in Figure 8a with the TEC values increasing gradually from morn-429 ing to afternoon hours and then after attaining a maximum during afternoon decreas-430 ing gradually post sunset. The day-to-day variability of TEC, which is subject to solar, 431 geomagnetic and lower atmospheric forcing, can also be seen in Figure 8a. The spike in 432 both averaged TEC and  $\Delta$ TEC values on days -3 (Dec 28) and -2 (Dec 29) between 10-433 12 UT is also clear in this figure. From the Kp values shown for this time interval in Fig-434 ure 2d, we notice that there was an increase in Kp index on December 28 with the max-435 imum values on this day reaching up to 4+ and not decreasing below 3o. It is also to 436 be noted that the timing of TEC enhancement is coinciding with the PVW day for the 437 2019 SSW event. As the influence of SSW events on the variability of TEC is now rel-438 atively well known (e.g., Goncharenko et al., 2010; Yue et al., 2010; Sumod et al., 2012; 439 Goncharenko et al., 2013; Polyakova et al., 2014; Vieira et al., 2017), there is a motiva-440 tion to investigate whether the TEC and  $\Delta$ TEC spikes were linked to increased atmo-441 spheric forcing or to geomagnetic forcing. In the next section, we investigate the dom-442 inant forcing mechanism that is causing this TEC variability over Europe in more de-443 tail with the help of TIE-GCM simulations but first we present the TEC and  $\Delta$ TEC vari-444 ability over Europe for the 2009 SSW event in Figure 9 for comparison with those from 445 the 2019 SSW. 446

We first select the quiet period prior to the SSW onset between 3 and 12 January, 447 2009 with Kp  $\leq$  3 on most days and solar flux levels below 70 s.f.u. to estimate the quiet-448 time mean TEC values. These exact dates have been used by Goncharenko et al. (2010) 449 in an earlier study to characterize the mean behavior of the quiet-time ionosphere dur-450 ing the 2009 SSW.  $\Delta TEC$  is then calculated using equation 2 as before. Along with the 451 diurnal and day-to-day variability of TEC, we see major TEC enhancements in Figure 452 9a between 10 and 12 UT on days 41 and 46. In Figure 9b,  $\Delta TEC$  shows the perturba-453 tions of TEC from the quiet-time mean values and the large TEC perturbations partic-454 ularly after day 40 is more clearly noticeable. The spikes in  $\Delta TEC$  on days 41 and 46 455

between 10-12 UT are 3-5 TECu greater than the mean TEC values at these hours. We 456 have limited the presentation of TEC data to day 50 to minimize the effects of seasonal 457 transition as daytime TEC values start to depart from the calculated mean values. We 458 notice from Figure 2c that the Kp values on day 41 remained below 1 and on day 46 be-459 low 3. Further, the solar flux values ranged between 65-68 s.f.u. on these days. Based 460 on the levels of geomagnetic activities on these days, it is fair to assume that this TEC 461 driver solely cannot explain the large TEC perturbations that are witnessed in Figure 462 9b. From the results of Goncharenko et al. (2012), it is known that the TEC variances 463 (computed as a departure from the mean daytime values) at low-latitudes in the Amer-464 ican sector can be up to 5 times larger than those obtained from the International Ref-465 erence Ionosphere (IRI) model for almost a month after the 2009 SSW event. The large 466 TEC variances in their study was attributed to the modified tidal forcing associated with 467 the 2009 SSW. In the next section, we explore using TIE-GCM simulations whether the 468 enhancement in the averaged TEC plot in Figure 9a is also linked to the increased lower 469 atmospheric forcing during the 2009 SSW event. 470

#### 471 5 Discussions

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## 5.1 Variability of simulated TEC over Europe during 2009 and 2019 SSWs

Based on the GPS observations, it seems that the TEC variability over Europe dur-473 ing the 2019 SSW may also be affected by moderately enhanced geomagnetic activity 474 levels as seen by the increase in Kp values. As the TEC variability is influenced by both 475 geomagnetic and lower atmospheric forcing, it is imperative to separate the effects of these 476 two processes and assess their individual contributions towards the variability of TEC. 477 To separate the influence of geomagnetic and lower atmospheric forcing on the TEC, we 478 carry out two simulations using TIE-GCM. For the 2019 SSW, the TIE-GCM model is 479 run with and without geomagnetic forcing to isolate the mechanisms behind the TEC 480 variability during these events. In Figure 10, the TEC over Europe, which is derived from 481 TIE-GCM simulations, is presented for the 2019 SSW. Figure 10a shows the average TEC 482 over Europe for the TIE-GCM run where both the geomagnetic and lower atmospheric 483 forcings have been switched on (S1). We note that the TEC derived from TIE-GCM sim-484 ulations are able to reproduce the TEC spikes on days -3 (Dec 28) and 5 (Jan 5) and 485 are qualitatively similar in comparison to the averaged GPS TEC in Figure 8a. The mod-486 eled and observed TEC may only be compared in a qualitative sense owing to the up-487

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per boundary limit of TIE-GCM, which extends to only about 750 km in altitude, hin-488 ders a quantitative comparison with GPS TEC observations. The modeled TEC results 489 demonstrate that the TIE-GCM simulation includes the various forcing mechanisms that 490 are responsible for the observed TEC variability and can be used to filter the TEC vari-491 ability associated with SSW. The averaged TEC enhancements over Europe reach val-492 ues of about 8.5 TECu at 12 UT on day -3 and 6.5 TECu at 13 UT on day 5. In com-493 parison to the quiet-time seasonal TEC levels, the TEC spikes represent an increase of 101 more than 100% at 12 UT on day -3 and more than 50% at 13 UT on day 5, which is 495 due to the combined effects of geomagnetic and lower atmospheric forcing.

In Figure 10b, the averaged TEC over Europe is presented for the TIE-GCM run 497 where the geomagnetic forcing have been switched off and only lower atmospheric forcing remains active (S2). It can be noticed that there is an apparent reduction of the av-499 eraged TEC values after turning off geomagnetic forcing and the peak TEC values have 500 been reduced to less than 5 TECu. The major TEC enhancements in this plot can be 501 seen on days -3 and 4 with peak TEC values reaching 5 and 4.5 TECu, respectively, be-502 tween 10-14 UT. In Figure 10c, the difference of TEC values from the two simulations 503  $(\text{TEC}_{diff} = \text{TEC}_{S1} - \text{TEC}_{S2})$  is presented. The filled contour lines are plotted when ab-504 solute value of  $\text{TEC}_{diff}$  exceeds 1 TECu. It can be clearly noted that the major differ-505 ence in TEC is seen on days -3 and 5 when  $\text{TEC}_{diff}$  values between 12-14 UT reach 3.6 506 and 2.3 TECu, respectively. Through this plot, the contribution to TEC variability solely 507 due to geomagnetic forcing can be assessed. It can also be easily inferred that the ma-508 jor processes behind the averaged TEC spikes on days -3 and 5 between 10-14 UT are 509 related to geomagnetic forcing. For a quantitative breakdown of the contribution of ge-510 omagnetic and lower atmospheric forcing to TEC variability, we first calculate the sea-511 sonal TEC levels (TEC<sub>seasonal</sub>) from the quiet-days between 11 and 20 December in TEC<sub>52</sub>. 512 The contribution of geomagnetic forcing, in percentage, to the TEC variability can then 513 be calculated by using the relation, 514

$$\frac{TEC_{diff} \times 100\%}{(TEC_{S1} - TEC_{seasonal})} \tag{3}$$

The contribution of geomagnetic forcing to the TEC variability in percentage is plotted through the dashed black and blue open contour lines at 40 and 80% levels, respectively. For the TEC enhancements on day -3 (Dec 28) at 12 UT, the geomagnetic contribution comes out to be 78% and the remaining 22% is the contribution due to lower atmospheric forcing. In case of the TEC enhancements on day 5 (Jan 5) at 12 UT, the geomagnetic and lower atmospheric contributions comes out to be about 82% and 18%, respectively.

The individual contributions of the geomagnetic and lower atmospheric forcing on 523 the TEC variability over Europe is also assessed for the 2009 SSW event. We present 524 the averaged TEC over Europe derived from TIE-GCM simulations for the 2009 SSW 525 in Figure 11. The averaged TEC derived from the TIE-GCM run with S1 and S2 setups 526 are presented in Figure 11a and 11b, respectively. A qualitative comparison with the GPS 527 TEC observations in Figure 9a suggests that the primary features of the averaged TEC 528 variability have been consistent in the simulations. The comparatively lower levels of av-529 eraged TEC before day 30 between 10-15 UT and the moderately enhanced averaged TEC 530 levels after this day has been correctly reproduced in the simulation. The spike in the 531 average TEC on day 45 (February 14), seen more pronounced in Figure 9a, has also been 532 reproduced in the simulations but it is delayed by an hour in comparison with the ob-533 servations. The spike in TIE-GCM derived TEC reaches 5.3 TECu on day 45 at 13 UT. 534 Compared to the quiet-time seasonal variations at this UT, which is calculated using the 535 TEC values between day 3 and 12, the increase in TEC comes out to be 1.2 TECu on 536 day 45. This represents an increase in TEC values by about 30% from seasonal varia-537 tions. In comparison with the 2019 SSW, we notice that the major source of averaged 538 TEC variability for the 2009 SSW comes due to the lower atmospheric forcing. This point 539 becomes even more clear through Figure 11c, which shows the difference of the averaged 540 TEC values from the two simulations. The filled contour lines in this figure are again 541 shown for values greater than 1 TECu and the dashed open contour lines mark the con-542 tribution of the geomagnetic forcing to the TEC variability in percentage. We notice that 543 unlike the 2019 SSW, the TEC difference plot for the 2009 SSW points to reduced con-544 tribution from geomagnetic forcing to the average TEC variability over Europe during 545 this SSW. 546

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#### 5.2 Possible reasons for the observed TEC variability

Most of the studies that have documented the ionospheric effects of SSWs, especially during the 2009 SSW, have focused on the variability at equatorial and low-latitudes

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(e.g., Chau et al., 2012; Yiğit & Medvedev, 2015, and references therein). While it is now 550 accepted that the mechanisms causing the variability at these latitudes are driven by the 551 changes in the vertically propagating semidiurnal solar and lunar tides, the mechanisms 552 responsible for the mid-latitude ionospheric variability during SSWs are not as well un-553 derstood. Simulation results by Pedatella and Maute (2015) have shown that the vari-554 ability of the mid-latitude ionospheric F-region peak height (hmF2) during SSWs is pre-555 dominantly driven by the field-aligned neutral winds, which is modulated by the M2 tidal 556 enhancements. Yue et al. (2010) observed the global ionospheric response using Constel-557 lation Observing System for Meteorology, Ionosphere, and Climate (COSMIC) satellites 558 during the 2009 SSW and suggested the changes in the neutral wind and composition 559 due to direct propagation of tides as another mechanism for the ionospheric mid-latitude 560 variability during SSWs. It is also known from observations and modeling studies that 561 the influence of SSWs at mid- and high-latitudes ionosphere is generally smaller as com-562 pared to that at low-latitudes. Oyama et al. (2014) used the FORMOSAT-3/ COSMIC 563 peak ionospheric electron density (NmF2) data and found that changes in mid-latitude 564 NmF2 to be only between 20-30% during the 2009 SSW, which was comparably much 565 lower than the changes at low-latitudes. Their observation results were found to be con-566 sistent with the simulations shown by Pedatella and Maute (2015). For the 2009 and 2019 567 SSW event, our results also show similar numbers as the TEC variability over Europe 568 increases by  $\sim 20-30\%$  with respect to seasonal variations due to SSW associated effects. 569

During the 2019 SSW event, along with the increase in Kp values to 4+ on Decem-570 ber 28, the meridional component of the interplanetary magnetic field (IMF) in Geocen-571 tric Solar Magnetospheric (GSM) coordinate system,  $B_z$  turned southward and reached 572 up to -7.5 nT, the Auroral Electrojet (AE) index reached to levels >500 nT. The sym-573 metric disturbance field in H (SYM-H) index declined from 26 nT on December 27 to 574 -30 nT on December 28. Based on the statistical measures, the geomagnetic activity pa-575 rameters resemble the conditions of a weak geomagnetic storm (e.g., Yokoyama & Kamide, 576 1997). The sudden surge in TEC observations over Europe on December 28 between 10-577 12 UT as seen in Figure 7 could be resulting due to a result of the positive storm effect 578 mechanism. During geomagnetic storms, the relative increase in the ionospheric plasma 579 with respect to quiet-time conditions is referred to as positive storm effect or positive 580 ionospheric storms. (e.g., Matsushita, 1959; Goncharenko et al., 2007; Astafyeva et al., 581 2016). The positive storm effect can arise due to the change in the direction of the merid-582

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ional thermospheric winds from poleward to equatorward, which results in the transport 583 of plasma along the magnetic field lines to altitudes where the recombination rates are 584 lower (e.g., Jones & Rishbeth, 1971). During daytime, this results in an increase in the 585 F-region plasma densities. Another mechanism that plays an important role in the in-586 crease of plasma densities during geomagnetic storms is the penetration of high-latitude 587 convection electric fields into the low-latitude ionosphere. This phenomenon more com-588 monly known as prompt penetration electric fields (PPEF) (e.g., Sastri, 1988; Abdu et 589 al., 1995) can increase the plasma densities in the equatorial ionization anomaly (EIA) 590 and shift the EIA crests poleward, in some cases, by upto 15 degrees in latitude (e.g., 591 Astafyeva et al., 2020). The poleward shift of the EIA may also result in the observed 592 TEC surge over Europe. During geomagnetically active times, ionospheric plasma den-593 sities is driven by a combination of these drivers and the interplay of these processes could 594 be responsible for the observed spike in TEC seen across Europe in Figure 7. 595

It is well known that the dynamics of the thermosphere-ionosphere system is greatly 596 modified due to increased geomagnetic forcing processes at high-latitudes (e.g., Prólss, 597 1995). Pedatella (2016) performed a numerical simulation using TIE-GCM that show-598 cased the ionospheric variability in response to a SSW event that was contrived to oc-599 cur simultaneously along with a major geomagnetic storm. The results from their study 600 showed that the TEC changes due to a geomagnetic storm are significantly ( $\sim$ 50-100%) 601 different when the effects of SSW were included in the simulation. Pedatella (2016) con-602 cluded that the changes in the thermospheric composition due to SSWs (Korenkov et 603 al., 2012) may influence the geomagnetic storm related composition changes in the ther-604 mosphere. The TEC variability over Europe seen in Figure 7 could also be influenced 605 by the changes in thermospheric composition due to both SSW and geomagnetic related 606 effects. However, more research is needed to understand the role and contribution of ther-607 mospheric composition changes due to different drivers that lead to ionospheric variabil-608 ities. 609

Similar to the 2019 SSW event, another SSW event in January 2012 was accompanied by a moderate geomagnetic storm and the TEC disturbances during this period were studied at low- and mid-latitudes in the Brazilian sector by Vieira et al. (2017). Similar to our observations in Figure 7, a spike in daytime TEC values, was seen in their results following the occurrence of a moderate geomagnetic storm during this SSW event. To separate the potential contribution of geomagnetic and lower atmospheric drivers that

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are responsible for the TEC variability, Vieira et al. (2017) used a regional empirical TEC 616 model. Another study by Liu et al. (2019) analyzed the TEC response to the 2018 SSW 617 at low- and mid-latitude stations in China and attempted to separate the effects of iono-618 spheric drivers on TEC by correlating the TEC perturbations with F10.7 solar flux, Ap 619 index and the solar wind speed. Spikes in TEC on certain days during this event was 620 attributed to the increased geomagnetic activity in their study. The use of simulations 621 to study the ionospheric effects solely due to lower atmospheric forcing have been im-622 plemented in some earlier studies. Pedatella and Maute (2015) simulated the ionospheric 623 effects during the 2013 SSW event only due to lower atmospheric forcing by running the 624 TIME-GCM simulations under constant solar and geomagnetic activity levels. Yamazaki 625 et al. (2014) used a similar method by running the TIE-GCM under constant solar and 626 magnetospheric energy inputs to study the day-to-day variability of the equatorial elec-627 trojet due to lower atmospheric forcing. Our method builds upon the techniques that 628 are presented in Pedatella and Maute (2015) and Yamazaki et al. (2014) and provides 629 a tool to separate the geomagnetic and lower atmospheric forcing effects on the ionospheric 630 variability. The occurrence of an SSW event during quiet-time ionospheric conditions 631 is a rarity and there have been many SSWs that occur under active geomagnetic con-632 ditions, which complicates the separation of SSW driven ionospheric variability. 633

#### 634 6 Conclusions

The variability in the mid-latitude TEC over Europe was investigated during the 635 2019 SSW in the present study using GPS TEC observations and TIE-GCM simulations. 636 The main feature of the TEC response during this SSW was a dramatic spike in the day-637 time TEC that lasted for a couple of days. The geomagnetic activity indices suggest that 638 the 2019 SSW period was also accompanied by weak geomagnetic storm like conditions, 639 which coincided with the spike in TEC values. As the TEC variability is influenced by 640 both geomagnetic and lower atmospheric forcings, we used TIE-GCM simulations to in-641 vestigate the contributions of each of the individual mechanisms towards the TEC en-642 hancement. To quantify the isolated influence of either geomagnetic or lower atmospheric 643 forcing on TEC, we first force the TIE-GCM lower boundary with the output from WACCM-644 X simulations performed over the 2019 SSW period, and then conduct two numerical sim-645 ulations. The first TIE-GCM simulation includes both geomagnetic and lower atmospheric 646 forcing while in the second simulation the geomagnetic forcing has been turned off. We 647

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ascertain the individual contributions of geomagnetic and lower atmospheric forcing towards the sudden TEC enhancement that is witnessed during the 2019 SSW through these
two simulations. We further compare the TEC variability during 2019 SSW over Europe
with that of the TEC variability during the 2009 SSW. Based on the results we summarize our findings as follows:

- I. It is found that the spike in TEC over Europe during the 2019 SSW is forced dom inantly due to increase in geomagnetic activity which accounts for about ~80%
   of the TEC variability while the remaining variability is accounted for by the lower
   atmospheric forcing.
- In contrast, the variability of TEC over Europe during the 2009 SSW event was
   up to 30% in comparison to seasonal variations and was predominantly due to lower
   atmospheric forcing.
- 3. The mid-latitude TEC variability associated with lower atmospheric forcing dur ing the 2019 SSW lies between 20-30% relative to seasonal TEC values, which is
   similar to the levels reported by previous observation and modeling based stud ies that documented the mid-latitude ionospheric variability during the 2009 SSW.

#### 664 Acknowledgments

- $_{665}$   $\,$  We thank the Aura/MLS team and NASA/JPL for the microwave limb sounding mea-
- surements and the availability of the level2 data set at the Aura Validation Data Cen-
- ter (http://avdc.gsfc.nasa.gov/). The geomagnetic activity index Kp was provided by
- the GFZ German Research Centre for Geosciences (https://www.gfz-potsdam.de/en/kp-
- index/). The solar activity index F10.7 was downloaded from the SPDF OMNIWeb database
- (https://omniweb.gsfc.nasa.gov). The ground-based GPS TEC data are available through
- the MIT Haystack Observatory Madrigal database (http://madrigal.haystack.mit.edu/madrigal/).
- <sup>672</sup> The neutral temperature obtained from WACCM-X simulation for the 2009 SSW event
- have been publicly made available and uploaded through the Mendeley data set with the
- following link (http://dx.doi.org/10.17632/47pnw8pgmk.1). For the 2019 SSW, it can
- <sup>675</sup> be found with the following link (http://dx.doi.org/10.17632/48257xrmx3.1). T.A.S ac-
- <sup>676</sup> knowledges support from Humboldt research Fellowship for Postdoctoral Researchers.
- <sup>677</sup> This material is based upon work supported by the National Center for Atmospheric Re-

- search, which is a major facility sponsored by the National Science Foundation under
- <sup>679</sup> Cooperative Agreement No. 1852977. This work was supported in part by the European
- Space Agency through Contract 4000126709/19/NL/IS "VERA".

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Table 1. TIE-GCM simulations: (left to right) experiment setup and the representation of
 geomagnetic and lower atmospheric forcings in the model runs.

| Experiment setup | Geomagnetic Forcing | Lower atmospheric forcing |
|------------------|---------------------|---------------------------|
| S1               | On                  | On                        |
| S2               | Off                 | On                        |

- 1055 Figure 1. Daily zonal mean temperature (K) averaged between  $70^{\circ}$  and  $80^{\circ}N$  as a function
- of pressure is presented from (a) Aura MLS observations (c) SD-WACCM-X simulations for the
- <sup>1057</sup> 2009 SSW. The same is presented in Figures 1b and 1d, except for the 2019 SSW. The vertical
- <sup>1058</sup> dashed black lines mark the day of PVW for the corresponding SSWs.

- <sup>1059</sup> Figure 2. Daily zonal mean zonal wind (ZMZW) (m/s) at 60°N as a function of pressure is
- <sup>1060</sup> presented from SD-WACCM-X simulations for (a) 2009 SSW (c) 2019 SSW. The Kp index and
- <sup>1061</sup> solar flux levels are presented for the 2009 SSW in Figure 2b and for the 2019 SSW in Figure 2d.
- <sup>1062</sup> The vertical dashed black lines mark the day of PVW for the corresponding SSWs.

- <sup>1063</sup> Figure 3. Planetary wave 1 amplitude of temperature at 10 hPa (~30 km) from SD-
- <sup>1064</sup> WACCM-X simulations for (a) 2009 SSW (b) 2019 SSW. The same is presented for planetary
- $_{1065}$   $\qquad$  wave 2 for (c) 2009 SSW and (d) 2019 SSW. The vertical white dashed lines mark the day of
- <sup>1066</sup> PVW for the corresponding SSWs.

- <sup>1067</sup> Figure 4. SW2 tidal amplitude (a) and phase (b) in neutral temperature at  $1 \times 10^{-4}$  hPa
- $(\sim 110 \text{ km})$  from SD-WACCM-X for the 2009 SSW event. The same is presented for M2 tidal
- amplitude (c) and phase (d). The vertical white dashed lines mark the day of PVW for the
- 1070 corresponding SSWs.

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Figure 5. Same as Figure 4 except for the 2019 SSW event.

- <sup>1072</sup> Figure 6. Amplitude of M2 tide at 110 km from SABER V2.0 temperature measurements
- 1073 for (a) 2009 SSW (b) 2019 SSW. The vertical white dashed lines mark the day of PVW for the
- 1074 corresponding SSWs.

- 1075 Figure 7. Daily TEC perturbations ( $\Delta$ TEC) over Europe from GPS TEC observations be-
- 1076 tween December 26, 2018 and January 6, 2019 at 12 UT.

- 1077 Figure 8. Daily averaged (a) TEC and (b) TEC perturbations ( $\Delta$ TEC) over Europe from
- <sup>1078</sup> GPS TEC observations as a function of universal time for the 2019 SSW. The contour lines in (b)
- are only plotted when absolute  $\Delta TEC$  exceeds 1 TECu. The vertical black dashed lines mark the
- 1080 day of PVW.

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Figure 9. Same as Figure 8 except for the 2009 SSW.

1081

- <sup>1082</sup> Figure 10. TIE-GCM derived daily averaged TEC over Europe for simulation setup (a) S1
- and (b) S2, as a function of universal time for the 2019 SSW. The difference of (a) and (b) is
- 1084 plotted in (c). The filled contour lines in (c) are only plotted when absolute TEC difference
- exceeds 1 TECu. The dashed black and blue open contour lines mark the contribution of geo-
- <sup>1086</sup> magnetic forcing to the TEC variability at 40 and 80% levels, respectively. The vertical black
- 1087 dashed lines mark the day of PVW.

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Figure 11. Same as Figure 10 except for the 2009 SSW.

1088

Figure 1.

# Zonal mean temperature (K), 70-80 N





Figure 2.





(d) Geomagnetic conditions, 2019 SSW



Figure 3.



Figure 4.



Figure 5.



Figure 6.

# (a) M2 amplitude (K), SABER neutral temperature, 2009 SSW



# (b) M2 amplitude (K), SABER neutral temperature, 2019 SSW



Figure 7.

# $\triangle$ TEC over Europe at 12 UT



























Figure 8.

(a) Averaged TEC over Europe (TECu), 2019 SSW



Figure 9.

(a) Averaged TEC over Europe (TECu), 2009 SSW



(b)  $\Delta \text{TEC}$  over Europe (TECu), 2009 SSW



Figure 10.

# (a) TEC from TIE-GCM, 2019 SSW, S1 setup



(b) TEC from TIE-GCM, 2019 SSW, S2 setup



(c) TEC from TIE-GCM, 2019 SSW, S1-S2



Figure 11.

TEC from TIE-GCM, 2009 SSW, S1 setup



TEC from TIE-GCM, 2009 SSW, S2 setup



(c) TEC from TIE-GCM, 2009 SSW, S1-S2

