# Efficiency of the Summer Monsoon in Generating Streamflow within a Seasonally Snow-Dominated Headwater Basin of the Colorado River

Carroll Rosemary W.H.<sup>1</sup>, Gochis David J<sup>2</sup>, and Williams Kenneth Hurst<sup>3</sup>

<sup>1</sup>Desert Research Institute <sup>2</sup>National Center for Atmospheric Research (UCAR) <sup>3</sup>Lawrence Berkeley National Laboratory (DOE)

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#### Abstract

The North American Monsoon occurs July-September bringing significant rainfall to Colorado River headwater basins. This rain may buffer streamflow deficiencies caused by reductions in snow accumulation. Using a data-modeling framework, we explore the importance of monsoon rain in streamflow generation over historic conditions in an alpine basin. Annually, monsoon rain contributes 18{plus minus}7% water inputs, generates 10{plus minus}6% streamflow and increases water yield 3{plus minus}2% the following year. The bulk of rain supports evapotranspiration in lower subalpine forests. However, rains have the potential to produce appreciable streamflow at higher elevations where soil storage, forest cover and aridity are low; and rebounds late season streamflow 64{plus minus}13% from simulated reductions in snowpack as a function of monsoon strength. Interannual variability in monsoon efficiency to generate streamflow declines with low snowpack and high aridity, implying the ability of monsoons to replenish streamflow in a warmer future with less snow accumulation will diminish.

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5	Rosemary W.H. Carroll <sup>1,4</sup> , David Gochis <sup>2</sup> , and Kenneth H. Williams <sup>3,4</sup>										
6 7 8 9	<sup>1</sup> Desert Research Institute, Reno, NV, <sup>2</sup> National Center for Atmospheric Research, Boulder, CO, <sup>3</sup> Lawrence Berkeley National Laboratory, Berkeley, CA, <sup>4</sup> Rocky Mountain Biological Lab, Gothic, CO										
10 11	Corresponding author: Rosemary W.H. Carroll (rosemary.carroll@dri.edu)										
12	Key Points:										
13 14	• Monsoons generate 10±6% of annual streamflow while late spring snowfall delivers twice as much for the same water input.										
15 16	• The influence of monsoons on streamflow is lessoned by evapotranspiration in the lower subalpine forest.										
17 18	• Monsoon efficiency in generating streamflow decreases in years with low snow accumulation and high aridity.										
19 20											

#### 21 Abstract

The North American Monsoon occurs July-September bringing significant rainfall to 22 Colorado River headwater basins. This rain may buffer streamflow deficiencies caused by 23 reductions in snow accumulation. Using a data-modeling framework, we explore the importance 24 of monsoon rain in streamflow generation over historic conditions in an alpine basin. Annually, 25 26 monsoon rain contributes 18±7% water inputs, generates 10±6% streamflow and increases water yield  $3\pm 2\%$  the following year. The bulk of rain supports evapotranspiration in lower subalpine 27 forests. However, rains have the potential to produce appreciable streamflow at higher elevations 28 where soil storage, forest cover and aridity are low; and rebounds late season streamflow 29 64±13% from simulated reductions in snowpack as a function of monsoon strength. Interannual 30 variability in monsoon efficiency to generate streamflow declines with low snowpack and high 31 32 aridity, implying the ability of monsoons to replenish streamflow in a warmer future with less snow accumulation will diminish. 33

34

#### 35 Plain Language Summary

36 Monsoon rains bring much needed summer moisture to the southwestern United States, but it remains unclear whether rains have a significant effect on streamflow in the snow-37 dominated headwaters of the Colorado River. Lack of understanding is largely due to the 38 39 difficulty in measuring rain and snowfall in steep, mountainous basins, and the effect both have on seasonal plant consumption of water. Using a hydrological model populated with ground, 40 41 airborne and synthesized climate data, we compare relative efficiency of monsoon rain to generate stream water over multiple decades. Monsoon rains deliver one-fifth of the basin's 42 water and produce 10% the annual streamflow, with additions largely confined to the upper 43 elevations of the watershed where soils are thin, water is plentiful, and forests are less abundant. 44 In contrast, lower elevations contain dense aspen and conifer forests that consume monsoon rain 45 and limit streamflow response. Subsequently, even strong monsoon events cannot fully replenish 46 lost snow. Summer rains produce more streamflow during cooler years with large snow 47 accumulation. This hints that streamflow from summer rain may diminish in a warmer future 48 49 with less snow.

#### 50 1 Introduction

51 Snowpack in mountain systems is declining worldwide with trends in snow loss expected into the future (Hock et al., 2019). Across the western United States (US), rising temperatures 52 and changing precipitation patterns have decreased peak snow accumulation 15-30% since the 53 mid-20<sup>th</sup> century (Mote et al., 2018), with the intensity and duration of these seasonal snow 54 deficits increasing over the last 40 years (Huning & AghaKouchak, 2020). Reductions in snow 55 cover produce a positive albedo feedback that results in higher air temperatures that promotes 56 additional snowmelt (Hall, 2004; Ma et al., 2019). Rising temperatures can also drive larger soil 57 evaporation and plant transpiration (evapotranspiration, ET) to reduce streamflow (Milly & 58 Dunne, 2020). The Colorado River in the southwest US is dependent on 90% of its flow from the 59 snow covered headwaters of Utah, Colorado and Wyoming (Jacobs, 2011) and is emblematic of 60 these cascading feedbacks with 20% streamflow reductions projected by mid-21<sup>st</sup> century (Vano 61 et al., 2012). The North American Monsoon (NAM) can bring significant rain to the region July 62 to September (Sheppard et al., 2002) that has the potential to buffer streamflow deficiencies 63 64 related to reductions in snowpack. Or the corollary, a lack of monsoon rain could potentially

65 promote late summer streamflow depletions and the potential to influence soil moisture memory

- on streamflow generation in the subsequent water year. To date, the influence of monsoon
- rainfall on streamflow generation in high elevation, snow-dominated basins remains uncertain
- largely due to difficulty in predicting and quantifying precipitation and snowmelt across
- 69 mountainous watersheds (Deems et al., 2006; Harpold et al., 2012) and the tight coupling of
- climate, vegetation and topography (Bales et al., 2006; Tennant, 2016; Tennant et al., 2017) that
- controls hydrologic partitioning between ET and runoff (Carroll et al., 2019).
- 72 To capture these complex processes to better understand streamflow generation
- ranging (LiDAR) derived snow
- depths, precipitation and vegetation raster maps, an observation network of weather and stream
- 75 discharge stations and a hydrologic numerical model of an alpine headwater basin of the
- 76 Colorado River. Using this data-model framework, we pose the following questions over a multi-
- decadal, historical period: (i) How efficient are monsoon rains in generating streamflow and
- what are the principal controls on this efficiency? (ii) Can monsoon rains mitigate stream
- depletions from reduced snowfall and how important are they in promoting streamflow the following year?
- 80 following year?

#### 81 **2 Site Description and Methods**

The study site is the East River, Colorado (ER, 85km<sup>2</sup>, Figure 1). Climate is continental 82 subarctic. Snowmelt drives peak streamflow, typically occurring in early June and receding 83 through the summer and fall. Observational networks related to snow and streamflow are 84 85 described by others (Carroll et al., 2018; Hubbard et al., 2018; Carroll et al., 2019) with station locations provided in Figure 1. ER elevations range from 2760 to 4065 m with pristine 86 alpine/barren (26%), conifer (45%, spruce/fir), aspen (12%) and smaller coverages by shrubs, 87 meadows and riparian conditions. Two Snow Telemetry (SNOTEL) stations reside in proximity 88 of the ER (Figure 1, Schofield and Butte) with their period of record (1987-2019) capturing a 89 90 wide range in snow accumulation and monsoon scenarios. Daily observations of solar radiation and snow depth are taken from four weather stations (Figure 1: SG, KP, JF and BB). Observed 91 streamflow (years 2015-2019) are based on data from Carroll and Williams (2019) with subbasin 92 93 characteristics provided in Table S1. In addition, observed daily streamflow at PH are regressed with the US Geological Survey (USGS) stream gauge (ID: 09112500) located 25 km 94 downstream to approximate observed discharge over the entire simulation. 95

96 Hydrologic modeling builds upon previous work (Fang et al., 2019; Carroll et al., 2019) Daily water budgets are estimated with the USGS Precipitation-Modeling Runoff System 97 (PRMS, Markstrom et al., 2015). Water and energy are tracked within and between the 98 99 atmosphere, plant, soil and groundwater and fluvial subcomponents of the watershed. The finite difference grid resolution is 100 m with elevations resampled from the USGS National Elevation 100 Dataset. LANDFIRE (2015) is used to derive parameters of dominant cover type, summer and 101 winter cover density, canopy interception characteristics for snow and rain and transmission 102 coefficient for shortwave radiation. Climate forcing uses minimum and maximum daily 103 temperature lapse rates defined by the two SNOTEL stations adjusted for aspect. Schofield 104 snowfall is spatially distributed using LiDAR derived snow depth observations from the 105 Airborne Snow Observatory (ASO, Painter et al., 2016) flown 4 April 2016. Snow depths are 106 converted to snow water equivalent (SWE) based on ground surveys and density modeling. 107 Rainfall is spatially distributed using the monthly Parameter-elevation Relationships on 108



- **Figure 1**. The East River and elevation with discharge, weather stations and sub-basins
- identified. Streams from the National Hydrographic Dataset (NHD). Sub-basin ID: 1 = East
- above Quigley (EAQ), 2 = Quigley, 3 = Rustlers, 4 = Bradley, 5 = Rock, 6 = Gothic, 7 =
- 114 Marmot, 8 = Avery, 9 = Copper, PH = Pumphouse. Inset shows East River location in the
- 115 continental United States.
- 116

117 Independent Slopes Model (PRISM, 800 m) 30-year (1981-2010) monthly averages (OSU, 2012)

118 (Figure S1). Simulated solar radiation is calibrated to match weather station observations. Model

verification of SWE accumulation and ablation relies on the 2018 and 2019 ASO maps andweather station snow depth.

121 Maximum soil water storage is conceptualized as a field capacity threshold above which 122 water is partitioned to either shallow, lateral subsurface flow through the soil zone (interflow) or

allowed to percolate downward via gravity drainage into the deeper groundwater system. The

spatial distribution of soil storage is the product of rooting depth and available water content as a

125 function of soil type (NRCS, 1991). Parameters related to solar radiation, potential

126 evapotranspiration (PET), soil storage and groundwater transmissivity are adjusted at the

subbasin level to best match observed solar radiation and stream discharge. Model sensitivity to

seasonal precipitation is done by independently removing spring (April-May) or monsoon (July-

129 September) water inputs. Precipitation is removed for a single year and changes in water budget

130 components are compared to the historical (baseline) condition.

#### 131 **3 Results**

Simulated daily solar radiation captures observed seasonal variability with a mean 132 monthly relative root mean squared error (rrmse) of 6.4% (Figure S2). Modeled SWE replicates 133 the spatial distribution of peak snow accumulation and late spring persistence during a dry year 134 2018, and peak accumulation in an extremely wet year 2019 (Figure S3); and mimics interannual 135 variability of snow depth at the four weather stations (Figure S4). Annual average streamflow at 136 137 all observed sites is modeled with rrmse of 2.4%. Daily flows (Figure S5) are well emulated for most of the subbasins with Nash Sutcliffe Efficiency (NSE) for EAQ = 0.57, Quigley = 0.38, 138 Rustlers = 0.64, Rock = 0.66, and Copper = 0.67. PH has a NSE = 0.72 (log-flow NSE = 0.87) 139 for directly observed data and 0.71 (log-flow 0.73) using the USGS regression. Average annual 140 streamflow exiting the basin is  $2.16\pm0.48 \text{ m}^3/\text{s}$  ( $812\pm204 \text{ mm/y}$ ) with flow highest in June 141  $(7.8\pm3.4 \text{ m}^3\text{/s})$  and lowest in February  $(0.42\pm0.19 \text{ m}^3\text{/s})$ . Simulated precipitation is  $1413\pm233$ 142 mm/y with  $77\pm16\%$  falling as snow. Total annual ET for the baseline simulation is  $605\pm57$ 143 mm/y, or 43% total precipitation (P). ET components of sublimation, canopy evaporation and 144 145 soil ET are estimated at 39±6 mm/y, 138±19 mm/y and 428±41 mm/y, respectively. Snow dominates water inputs October-May. Rain dominates June-August when conditions are 146 typically water limited (PET>P). Otherwise the basin is predominantly energy limited (PET<P) 147 (Figure S6). Monsoon precipitation is estimated 251±94 mm, or 18±7% annual water inputs with 148 interannual rain anomalies oscillating over a 7-10year cycle with amplitude in anomalies 149 increasing 50% since 2013 (Figure S7). On average, spring precipitation in April and May 150 provide nearly equal inputs as the monsoon events (248±94 mm) though the interannual ratio is 151 highly variable. 152 Several water budget components are collapsed to a single dimension (elevation) in 153 Figure 2 for year 1998. Alpine conditions are defined above tree line ( $\geq$ 3750 m), while the 154 subalpine is defined as conifer coverage  $\geq$  50% by area (3525-3000 m). Montane occurs at the 155 lowest elevations where shrubs and aspen are dominant. Simulated SWE is largest in the alpine 156 and upper subalpine. For 1998, late season snow (post-April 1) increases across most of the 157 watershed by May 1, except at the lowest elevations where snowmelt exceeds any additional 158 snowfall. By June 1, declines in SWE occur across all elevations with all snow melted in the 159 montane. Interflow transports snowmelt downgradient with largest contributions into the upper 160 subalpine. Snowmelt driven interflow increases water availability for ET (ET/PET increases) 161

across all elevations with ET largest in the lower portions of the subalpine where conifer forests

are most abundant, canopy density is highest, and aridity is slightly water limited. At lower

elevations, water limiting conditions increase, lower snowpack and lower available interflow

reduce water availability for ET such that ET begins to decline as a consequence. The removal of spring snow shifts water limited conditions to higher elevations compared to baseline. Interflow

decreases below the alpine zone. Total ET increases in the alpine and upper subalpine and

decreases in the lower portions of the subalpine and montane (refer to Figure S8 for changes in

- 169 the spatial distribution of ET). Removal of monsoon rain reduces interflow above the upper
- subalpine but does increase aridity more in the lower subalpine and montane than removal of
- spring snow. ET and ET/PET decreases across all elevations, with ET decreasing the most in the

172 lower subalpine.



Figure 2. East River spatial trends in (a) ecosystems; and annual 1998 simulated (b) baseline
evapotranspiration (mm/y) as well as elevation dependence of (c) area-weighted vegetation,
snow water equivalent (SWE), interflow, aridity (PET/P), ET/PET and total ET. 'No Monsoon
Rain' removes precipitation July–September, No Spring Snow removes precipitation April- May.

Daily water budgets for four different years illustrate the range in basin response to 179 reduced seasonal water inputs (Figure S9). The largest snow accumulation occurred in 1995, 180 with low PET and average monsoon conditions. Year 1998 had median snow accumulation, with 181 below average but equal inputs of spring snow and monsoon rain. Year 2012 had the lowest 182 snowfall and warmest conditions in the historical simulation. There was little spring snow but 183 near normal monsoon conditions. Year 2018 was also a low snow year with warm conditions but 184 had a monsoon nearly two standard deviations below normal. Loss of spring snow, with 185 emphasis on average and above average snow accumulation years, promotes higher soil moisture 186 early in the spring and earlier onset of ET and runoff. These effects are largely due to increases 187 in PET through simulated feedbacks with increased solar radiation and the influence of reduced 188 189 snow-covered area on albedo and faster snowmelt (Figure S10). Shortly thereafter, lost snowpack reduces soil moisture in comparison to baseline, but soil moisture is largely 190 replenished by monsoon rains, and ET only declines in dry years with declines amplified in years 191 with weak monsoons. Streamflow, however, drops below baseline in all scenarios and becomes 192 reliant on groundwater (versus interflow) earlier in the year. Removal of monsoon rain also 193 increases solar radiation and PET and experiences soil moisture and streamflow deficits in 194 195 comparison to baseline.

A schematic of seasonal water partitioning for spring snow and monsoon rain is given in 196 Figure S11, while controls on the ability of seasonal water inputs to generate streamflow is 197 198 explored with simple regression analysis using annual totals in Figure 3. On average, monsoon rain contributes 10±6% to annual stream water. It is directly related to the amount of summer 199 rain and is half as efficient at streamflow generation compared to spring snow for a given amount 200 of water input. Monsoon rains support increases in basin scale ET (133±56 mm/y) and this is 201 tightly controlled by the amount of rain. In contrast, increases in ET as a function of spring snow 202 are much lower (31±27 mm/y) and this relationship declines with increases in contributing 203 precipitation, albeit with a weak and insignificant trend (p=0.24). Sixty-five percent of the 204 simulated variance in monsoon rain efficiency (streamflow generation per unit precipitation 205 input) is described by peak SWE (p<<0.01) and PET (p<0.01), while sub-basin efficiency is best 206 described by the indirect relationship of the forest areal coverage (p=0.08) and, to a lessor 207 statistical degree, the canopy density (p=0.18). The ability for monsoon rain to generate 208 streamflow in the following year (lag 1) is modest  $(3\pm 2\%)$  increase), especially in comparison to 209 spring snowfall's influence  $(22\pm17\%)$ . Increase in future flow due to monsoons rain is 210 predominantly driven by the size of the monsoon ( $r^2 = 0.44$ , p<<0.01), but outlier years suggest 211 the influence of monsoon rain increases when annual conditions are cool and PET is low 212  $(r^2=0.33, p << 0.01)$ . A combined nonlinear function of total rain and PET describes 70% of 213 simulated variability (p << 0.01) and is able to explain sharp increases in subsequent year 214 streamflow generation approaching 8%. Lastly, the ability of monsoon rain to replace late season 215 streamflow deficiencies (July-Dec.) as a consequence of reduced spring snowfall is 64±13%. 216 217 Rebound in baseflow is directly related to the relative strength of monsoon inputs  $(R_s)$  defined as the ratio of monsoon rain to spring snowfall reduction (p << 0.01). Low R<sub>s</sub> can only reduce 218 deficiencies 33% while very large ratios (~2.5) allow an 83% recovery. By the end of December, 219 220 monsoons replace streamflow deficiencies  $87\pm7\%$  with no monsoon scenario obtaining 100%

221 streamflow recovery.



**Figure 3**. Annual water fluxes for 1987-2019. (a) Change in evapotranspiration ( $\Delta ET$ ) as a 224 function of added precipitation ( $\Delta P$ ) from spring snow (April-May) or monsoon rain (July-Sept). 225 (b) Change in streamflow ( $\Delta O$ ) for added precipitation. (c) Predictive ability of peak snow water 226 equivalent (SWE) and aridity (PET/P) to describe numerical model simulated monsoon 227 streamflow generation efficiency ( $\Delta Q/\Delta P$ ). (d) Annual average efficiency for sub-basins in the 228 East River as functions of forested area and forest canopy density. (e) Fractional increase in 229 streamflow (lag 1,  $t_1$ ) as a power function of potential ET (PET) and amount of monsoon rain 230 (lag 0, t<sub>0</sub>). (f) Fraction of streamflow rebound to baseline due to lost spring snow as a function of 231 the ratio of monsoon precipitation to reduced spring snowfall  $(R_s)$ . 232

#### 233 **4. Discussion**

The timing and intensity of the NAM is dominated by large-scale atmospheric processes (Zhu et al., 2005) but influences of localized, land surface conditions (e.g. soil moisture) could be important. Several studies have suggested there is an inverse relationship between winter snow accumulation and summer rainfall with decreased snow accumulation driving reduced soil

moisture such that less energy is needed to heat the land surface and this enhances the onset of 238 rains (Gutzler, 2000; Lo & Clark, 2002; Zhu et al., 2005). In contrast, a positive soil moisture 239 and rainfall feedback has been found by others (e.g. Vivoni, Tai and Gochis, 2009), while 470 240 years of precipitation records reconstructed with tree ring data found the historical inverse 241 relationship between summer and winter precipitation weak and unstable despite appearing 242 stronger during the latter half of the 20<sup>th</sup> century (Griffin et al., 2013). It is acknowledged the 243 hydrologic model used in this analysis does not account for large scale ocean-atmospheric 244 coupling nor soil moisture-atmospheric feedbacks. However, the use of local climate data from 245 SNOTEL sites distributed with LiDAR derived SWE in combination with data reanalysis 246 products, indicates that ER summer rain anomalies do not track sea temperature indices such as 247 the Southern Oscillation Index (Trenberth, 2020) or Pacific Decadal Oscillation (Mantua, 2020), 248 nor show a clear correlation to soil moisture. However, summer rains in the ER do show a 249 statistically significant and indirect correlation with cumulative snow water inputs and PET. This 250 suggests years with low snow accumulation and warm conditions might produce more summer 251 rain, though the multiple regression's predictive power is low. Future work funded by the US 252 Department of Energy's Atmospheric Radiation Measurement research program will, in part, 253 254 focus on capturing precipitation phase, amount and intensity in the ER as well as investigate regional flow of water into the continental interior during the summer monsoon 255 (https://www.arm.gov/news/facility/post/60749). This work will help better constrain where, 256 257 when and how summer rains enter the ER.

A clearer coupling occurs between soil moisture and stream water generation. Initial 258 conditions of soil moisture can improve streamflow forecasts (Crow et al., 2018; Mahanama et 259 al., 2012; Shahrban et al., 2018). However, the sensitivity of ET and streamflow to soil moisture 260 is a function of where the system resides on the spectrum between energy and water availability 261 (Budyko, 1974; Orth & Seneviratne, 2013). PET, or the maximum amount of water transferred 262 back to the atmosphere from the land surface if water is not limiting, is a commonly used metric 263 to define energy availability. It varies seasonally as a function of temperature, solar radiation, 264 vapor pressure and wind speed (ASCE, 2005). Likewise, water availability varies in space and 265 time. Water availability prior to monsoon onset is largely dictated by the previous season's snow 266 accumulation, redistribution and persistence (Hammond et al., 2018; Knowles et al., 2015) with 267 these snow dynamics highly dependent on topography (Tennant et al., 2017) as well as 268 vegetation type and structure (Bales et al., 2006; Broxton et al., 2015; Welch et al., 2016). Use 269 of LiDAR snow observations accounts for where snow ends up, not necessarily where it fell. As 270 such, the model implicitly accounts for snow redistribution by wind and avalanche as well as 271 feedbacks between vegetation structure that may modify snow dynamics. Water availability also 272 depends on lithologic and topographic characteristics that dictate water storage and holding 273 capacity (Xiao et al., 2019) and the lateral redistribution of snowmelt via interflow (Carroll et al., 274 2019). 275

276 Research presented is largely inspired by exceptionally low NAM rain experienced in the ER 2018 and 2019 (z-score ~-2), and cited by regional water managers in Upper Colorado River 277 for reducing late season flow to unprecedented levels (Sackett, 2018) and lowering streamflow 278 forecasts the following year (Sackett, 2020). Because ET and streamflow are sensitive to energy 279 and water availability and are highly co-dependent, it is important there is confidence that the 280 hydrologic model captures these fluxes adequately for the baseline condition. The resultant, 281 282 quasi-steady state water balance over multiple decades estimates annual ET equal to  $605\pm57$ mm/y to balance incoming precipitation and outgoing streamflow. Streamflow is well 283

constrained by observations; and snowfall, or the bulk of water inputs, is also constrained by 284 observations. Estimated basin average ET is larger than eddy covariance flux tower data located 285 near PH (417±29 mm/y) (Ryken et al., 2020), but is well aligned with Niwot Ridge eddy flux 286 tower observations in a conifer (lodgepole) and aspen forest in Colorado (603 mm/y) 287 (ameriflux.lbl.gov). Simulated ER seasonal variance encapsulates Niwot observations, but the 288 model estimates lower median rates in the winter and higher rates in June (Figure S12). 289 Likewise, modeled summer rates exceed those presented by (Ryken et al., 2020). Simulated 290 summer rates are largely biased by the areally extensive subalpine where both energy and water 291 availability are high. In contrast, reduced summer rates are simulated in portions of the basin 292 where either energy (alpine) or water limited (montane) conditions occur that more closely 293 resemble the flux tower data. With respect to winter ET, modeled snow losses, or the sum of 294 sublimation and snow-only canopy evaporation, is 0.37-0.66 mm/d, or 17±9% of snowfall. 295 Sexstone et al., (2016) reports lower total snow loss rates from open canopy at 0.36 mm/d in a 296 Colorado basin, but losses are 15-17% total snowfall to suggest our winter ET estimates are 297 reasonable. 298

Model results indicate monsoon rains generate 10±6% the annual streamflow total with 299 300 these contributions helping to sustain late season baseflow. Results fall in the reported range of streamflow generated from rain across the western US at 30% (Li et al., 2017), and 1-2% 301 (Julander & Clayton, 2018), with the lower limit occurring in more arid climates than the ER. 302 303 Years with large snow accumulation and low atmospheric water demand directly describe the efficiency of monsoon rain to generate streamflow. Under these conditions, soil moisture holding 304 capacity is exceeded for lower amounts of water input to allow more interflow, with a portion of 305 interflow reaching stream channels. While snowmelt generated interflow occurs across all 306 elevations, interflow from monsoon rain is largely constrained above treeline where soils are 307 thin, and PET is low. Lower in the landscape, and along southern aspects, aridity (PET/P) is 308 higher and is simulated more sensitive in solar radiation as a function of altering seasonal 309 precipitation and inferred cloud cover. Sensitivity of PET to solar radiation in warmer conditions 310 has been shown with similar, empirically-based methods (e.g. Priestley-Taylor) (Guo et al., 311 2017) to that used in PRMS (Jensen et al., 1969). These lower elevations, with emphasis in the 312 subalpine forests, effectively eliminates streamflow response to monsoon rain through resulting 313 increases in ET. In short, monsoon water inputs have a relatively small effect on streamflow 314 through moderating effects of ET. Additionally, the ability of rain to generate streamflow is 315 expected to decline in a future with less snow and warmer temperatures. In contrast, snowfall has 316 a more complex relationship to ET in space and time driven by albedo-snowmelt feedbacks that 317 substantively shift timing of melt and subsequent runoff (Barnett et al., 2005), but show 318 relatively small net changes in ET compared to monsoon rains. The lower efficiency of ET to 319 snowmelt is due to the timing of inputs prior to peak consumptive demand. This is supported by 320 Berkelhammer et al. (2017) who found gross primary production (via satellite retrievals of solar-321 322 induced variability) in the intermountain west twice as sensitive to variations in rain compared to 323 snow.

Despite differences in streamflow generation efficiencies, monsoon rain does help rebound baseflow deficiencies caused by a reduction snow accumulation. This ability is highly dependent on the relative strength of the monsoon, and no historical monsoon season can fully replenish streamflow deficits caused by hypothetical lost spring snowpack. This indicates that soil moisture dictated by snowmelt has long lasting effects on streamflow that cannot be fully reversed with summer rain. Likewise, soil moisture memory from summer rains is hypothesized to also impose on future streamflow. McNamara et al. (2005) finds remnant dry soils in the fall

remain dry once snowfall commences, and these dry soils require more meltwater than wet soils

to produce lateral movement of water in the spring. Thereby decreasing streamflow. Our model

predicts monsoon memory on future streamflow, but this the effect is modest with average annual change to future flow only to  $3\pm 2\%$ . Memory is controlled by the size of the monsoon

annual change to future flow only to  $3\pm 2\%$ . Memory is controlled by the size of the monsoon and atmospheric water demand and shows no correlation to late season soil moisture. This lack

of simulated response may be due to the simplistic soil conceptualization in the hydrologic

model; or it may be thin soils in headwater basins are rewetted quickly by low quantities of

snowmelt in comparison to the large quantities of snow available. These relationships may

change as one moves down gradient in the Colorado River to warmer and drier climates, or if

340 snow droughts continue to increase throughout the region to reduce snow accumulation in the

341 ER. However, this requires a more detailed process-based investigation.

#### 342 5 Conclusions

Summer rains are a critical water input to the ER with the amplitude of monsoon 343 anomalies growing in the basin since 2013 and inspiring questions related to the efficiency of 344 monsoon rains to generate streamflow. This is particularly important in the Colorado River Basin 345 where snowpack is decreasing, and it is unknown if summer rains can buffer some of these 346 losses. We find through a data-modeling framework that the efficiency of seasonal precipitation 347 to produce streamflow is dictated by the timing of water input with respect to energy and water 348 availability. Summer rains occur when PET is high and soil moisture is waning during the pre-349 350 monsoon drought. Subsequently, the bulk of rain serves to moisten very dry soils and does not generate interflow. Instead, water is quickly consumed by vegetation, with largest increases in 351 ET occurring in the lower subalpine dominated by aspen and conifer forests. As a result, 352 streamflow contributions from rain are half those generated by spring snowfall which occur 353 when PET is low and soils moisture is higher. Most of the rain-generated streamflow occurs at 354 higher elevations in the watershed where soil storage, forest cover and aridity are low. Summer 355 rain does rebound late summer streamflow from simulated reductions in snowpack as a function 356 of monsoon strength but is unable to fully replace streamflow from lost snow accumulation even 357 358 for the largest historical monsoon event. Results do show memory of monsoon rains propagate into the following year through altered baseflow, but do not indicate memory as a function of fall 359 soil moisture condition. Interannual variability in monsoon efficiency to generate streamflow 360 declines when snowpack is low and aridity is high. This underscores the likelihood that the 361 ability of monsoon rain to generate streamflow will decline in a warmer future with increased 362 snow drought. 363

### 364 Acknowledgments and Data

Model characterization, validation and additional results are provided in the Supporting

Information. Model input and output files are available to the public on the US Department of

367 Energy (DOE) Environmental Systems Science Data Infrastructure for Virtual Ecosystem (ESS-

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#### **@AGU** PUBLICATIONS 1 2 Geophysical Research Letters 3 Supporting Information for 4 Efficiency of the Summer Monsoon in Generating Streamflow within a Seasonally Snow-5 Dominated Headwater Basin of the Colorado River 6 Rosemary W.H. Carroll<sup>1,4</sup>, David Gochis<sup>2</sup>, and Kenneth H. Williams<sup>3,4</sup> 7 8 <sup>1</sup>Desert Research Institute, Reno, NV. <sup>2</sup>National Center for Atmospheric Research, Boulder, CO <sup>3</sup>Lawerence Berkeley National Laboratory, Berkeley, CA, <sup>4</sup>Rocky Mountain Biological Lab, Gothic, CO. 9 10 **Contents of this file** 11 12 13 Table S1 14 Figures S1-S12 15

#### 16 Introduction

17 Additional East River (ER) model parameterization, calibration and output given in tabular and

18 graphical form. Tables and figures are described in the main manuscript.

### 20 S.1. Hydrologic Model Parameterization

21

22 **Table S1.** East River sub-basin characteristics (modified from Carroll *et al.*, 2018)

23

Pacin ID	name	Area <sup>ª</sup>	Elev <sup>b</sup>	Relief <sup>b</sup>	Slope <sup>b</sup>	Aspect <sup>b</sup>	Annual	Peak	Vegetation Type <sup>d</sup>			Total	Tree
DasiniD							Precip. <sup>c</sup>	SWE <sup>c</sup>	Barren	Conifer	Aspen	<b>Forest</b> <sub>d</sub>	Density <sup>e</sup>
1	EAQ	5.27	3349	900	24	127	1,513	893	0.25	0.58	0.04	0.62	23
2	Quigley	2.55	3380	915	22	85	1,513	1,010	0.23	0.61	0.01	0.61	26
3	Rustlers	14.78	3490	1,118	22	196	1,573	907	0.24	0.54	0.00	0.54	18
4	Bradley	3.82	3504	1,107	22	242	1,502	917	0.41	0.50	0.00	0.51	20
5	Rock	3.57	3375	931	16	119	1,537	926	0.08	0.72	0.00	0.72	32
6	Gothic	0.90	3379	875	20	164	1,516	923	0.23	0.70	0.00	0.70	33
7	Marmot	0.38	3152	544	18	232	1,436	668	0.02	0.87	0.06	0.93	42
8	Avery	0.60	3390	916	28	243	1,321	687	0.34	0.52	0.02	0.54	21
9	Copper	23.67	3528	1,242	25	199	1,355	867	0.50	0.36	0.02	0.38	14
10	Pumphouse	84.73	3346	1,364	21	175	1,413	984	0.27	0.48	0.09	0.57	22

 $a \text{ km}^2$ 

<sup>b</sup>mean values for elevation (m), slope (%) and aspect (degrees); relief (m)

<sup>c</sup>simulated annual mean (mm) 1987-2019, SWE = snow water equivalent

<sup>d</sup>fraction of basin area

<sup>e</sup>area weighted fraction



**Figure S1.** Ratios used to spatially distribute Schofield (a) snow water with ratios determined

30 using snow water equivalent derived from LiDAR snow depths obtained during peak snow water

31 equivalent on an average snow year April 4, 2016 (Painter et al., 2016) and adjusted for snow

32 losses and melt prior to flight; and rain based on monthly average 30-year (1981-2010) PRISM

33 raster maps (800 m) (OSU, 2012) (b) July, (c) August and (d) September.

450 450 (a) (b) model obs model obs 0 400 400 Solar Radiation (W/m<sup>2</sup>) Solar Radiation (W/m<sup>2</sup>) 350 350 300 300 250 250 200 200 150 150 100 100 50 50 0 0 0-18 0-09 0-11 0-12 0-13 0-17 0-10 0-15 0-18 0-10 0-14 0-15 0-16 60-09 0-11 0-12 0-13 0-14 0-16 0-17 450 (d) 400 (c) model 0 obs 400 rrmse = 6.4% 350 Pred. Solar Radiation (W/m<sup>2</sup>) Solar Radiation (W/m<sup>2</sup>) 350 300 300 250 250 200 200 150 150 100 100 50 50 0 0 0-11 0-13 0-15 0-17 0-18 0-09 0-12 0-14 0-16 0-10 0 50 100 150 200 250 300 350 400 Obs. Solar Radiation (W/m<sup>2</sup>)

## 35 S.2. Hydrologic Model Calibration and Verification 36

38 Figure S2. Updated simulated solar radiation results first presented by (Carroll et al., 2019). 39 Observed and modeled solar radiation at Rocky Mountain Biological Laboratory (RMBL) 40 weather stations (a) Kettle Ponds (KP), (b) billy barr (BB) and (c) Judd Falls (JF). Calibration 41 accomplished adjusting the PRMS monthly degree-day slope parameter (dday slope) to minimize 42 the relative root mean squared error (rrmse) of mean monthly solar radiation at each station. 43 Snodgrass (SG) not included in calibration. Model consistently over predicts this location by 44  $125\pm33 \text{ W/m}^2$  with over prediction likely due to tall conifer forest (>10 m) encroaching on tower 45 affecting observations. Locations provided in the manuscript Figure 1. Observed data available 46 to the public at https://www.digitalrmbl.org/collections/weather-stations/.



resolution) for March 30, 2018 (a) ASO, (b) PRMS; May 24, 2018 (c) ASO and (d) PRMS, and April 7, 2019 (e) ASO, (f) PRMS





53 **Figure S4.** Snow depth comparison between observed weather stations and modeled, (a) billy

54 barr (BB), (b) Judd Falls (JF), (c) Kettle Ponds (KP) and (d) Snodgrass (SG). Locations provided

55 in the manuscript Figure 1. Simulated results updated from (Carroll et al., 2019). Observed data

56 available to the public at https://www.digitalrmbl.org/collections/weather-stations/.



59 Figure S5. A comparison of daily observed and modeled streamflow for the period of record with data (a) EAQ, (b) Quigley, (c) Rustlers, (d)

- 60 Bradley, (e) Rock, (f) Gothic, (g) Marmot, (h) Avery, (i) Copper, (j) Pumphouse, (k) Pumphouse, log streamflow and (l) Pumphouse log
- 61 streamflow for entire simulation with estimated flow from USGS stream gauge (ID 09112500) located 25 km downstream.

- 62 S.3. Hydrologic Model Results



**Figure S6.** East River basin scale average simulated monthly precipitation (P) and potential

68 evapotranspiration (PET). Precipitation is divided into rain and snow contributions



Figure S7. East River simulated (a) monsoon rain (July-September) anomalies (z-score), (b)

71 72 73 74 Southern Oscillation Index (Trenberth, 2020), (c) soil moisture fraction July 1, (d) the ratio of

monsoon rain to spring snowfall, R<sub>s</sub> (c) water year (Oct-Sept) cumulative snow water input with 75 the spring snow contributions in April and May identified, (d) calendar year potential

76 evapotranspiration (PET).





79 Figure S8. Spatial differences in 1998 annual total evapotranspiration (mm/y) defined as baseline - scenario for (a) no spring snow in April and May, (b) no monsoon rain July-September. Note

80 81 that color code scale varies, and a negative value indicates an increase as a result of removing

82 seasonal water input. Black model cells are simulated river cells.



84

**Figure S9**. Simulated basin-scale daily water budget items for baseline and scenarios removing

spring snow (April-May) and monsoon rain (July-September) for years: (a-g) 1995 (h-n) 1998,
(o-u) 2012, and (v-bb) 2018. SWE = snow water equivalent, fGW = fraction of groundwater

- 88 contributed to stream.
- 89



90

91 Figure S10. Temporal changes ( $\Delta$ ) for year 1998 between baseline and removal of either spring

92 snow or monsoon rain: (a) solar radiation, (b) potential ET, PET, (c) snow covered area, SCA,

and (d) snowmelt. For clarity, a negative value indicates increases as a result of removing

94 seasonal water input.



97

98 Figure S11. Average annual changes in water fluxes (and snow, soil storage) between the

baseline (1987-2019) and scenarios with no monsoon (July-September) and no spring (April-

100 May) precipitation. Blue (red) font = increase (decrease) due to added water input. SCA = snow 101 covered area. RO = runoff.



103

**Figure S12.** A comparison of average monthly evapotranspiration (ET) rates from daily

- aggregated totals for (a)Ameriflux stations Niwot Ridge LTER (US-NR1), years 1998-2019, (b)
- 106 simulated with PRMS, years 1987-2019. Ameriflux 30-min latent heat flux data available at 107 ameriflux.lbl.gov.
- 108

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