

# Rupture Process of the 2020 Caribbean Earthquake along the Oriente Transform Fault, Involving Supershear Rupture and Geometric Complexity of Fault

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## Abstract

A large strike-slip earthquake occurred in the Caribbean Sea on 28 January 2020. We inverted teleseismic P-waveforms from the earthquake to construct a finite-fault model by a new method of inversion that simultaneously resolves the spatiotemporal evolution of fault geometry and slip. The model showed almost unilateral rupture propagation westward from the epicenter along a 300 km section of the Oriente transform fault with two episodes of rupture at speeds exceeding the local shear-wave velocity. Our modeling indicated that the 2020 Caribbean earthquake rupture encountered a bend in the fault system associated with a bathymetric feature near the source region. The geometric complexity of the fault system triggered multiple rupture episodes and a complex rupture evolution. Our analysis of the earthquake revealed complexity of rupture process and fault geometry previously unrecognized for an oceanic transform fault that was thought to be part of a simple linear transform fault system.

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2 Involving Supershear Rupture and Geometric Complexity of Fault

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13  
14 **Key Points:**

- 15 ● We built a kinematic source model of the 2020 Caribbean earthquake to analyze the  
16 spatiotemporal evolution of fault geometry and slip
- 17 ● A fault bend disturbed supershear rupture along the linear fault section and triggered  
18 subsequent rupture
- 19 ● Oceanic transform faults can have geometric complexity that controls rupture evolution  
20

21 **Abstract**

22 A large strike-slip earthquake occurred in the Caribbean Sea on 28 January 2020. We inverted  
23 teleseismic P-waveforms from the earthquake to construct a finite-fault model by a new method  
24 of inversion that simultaneously resolves the spatiotemporal evolution of fault geometry and slip.  
25 The model showed almost unilateral rupture propagation westward from the epicenter along a  
26 300 km section of the Oriente transform fault with two episodes of rupture at speeds exceeding  
27 the local shear-wave velocity. Our modeling indicated that the 2020 Caribbean earthquake  
28 rupture encountered a bend in the fault system associated with a bathymetric feature near the  
29 source region. The geometric complexity of the fault system triggered multiple rupture episodes  
30 and a complex rupture evolution. Our analysis of the earthquake revealed complexity of rupture  
31 process and fault geometry previously unrecognized for an oceanic transform fault that was  
32 thought to be part of a simple linear transform fault system.

33

34 **Plain Language Summary**

35 On 28 January 2020, a large earthquake occurred on the Oriente fault, an oceanic transform fault  
36 in the Caribbean Sea between Jamaica and Cuba. The Oriente fault forms the boundary between  
37 the North America and Caribbean tectonic plates. The 2020 Caribbean earthquake was caused by  
38 horizontal sliding between the two plates. We used waveforms of the earthquake that were  
39 recorded around the world to build a model of the earthquake rupture process. The model  
40 showed that rupture during the earthquake was complex, featuring multiple rupture episodes with  
41 various rupture speeds and in various directions. Our model suggests that a bend in the fault was  
42 responsible for the changes of rupture speed and direction and the triggering of successive  
43 rupture episodes. Our analysis of the 2020 Caribbean earthquake has revealed complexity of both  
44 fault geometry and rupture process that were previously unknown in oceanic transform fault  
45 earthquakes.

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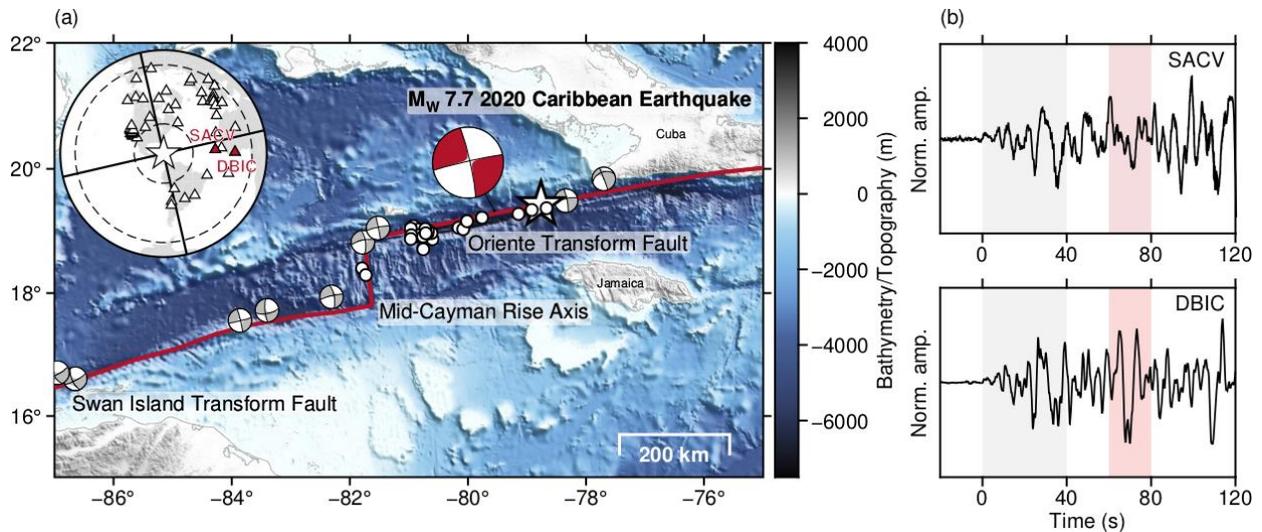
## 47 **1. Introduction**

48         The Mid-Cayman Spreading Center is a passive rifted margin, where the oceanic  
49 lithosphere is juxtaposed against the continental lithosphere across the fracture zones with  
50 anomalously heterogeneous lithologic feature (Perfit and Heezen, 1978; Rosencrantz and Sclater,  
51 1986; Rojas-Agramonte et al., 2005; Hayman et al., 2011; Grevemeyer et al., 2018; Peirce et al.,  
52 2019). The spreading develops a transform fault system (Peirce et al., 2019), and as a part of this  
53 system, Oriente transform fault sits in the northeast of Caribbean Sea (Fig. 1). The Oriente  
54 transform fault is characterized by anomalously flat and deep (> 6,000 m) bathymetric feature  
55 with a very high mantle Bouguer anomaly (Hayman et al., 2011; Peirce et al., 2019), and is also  
56 known to host moderate to large (moment magnitude  $M_w \geq 6$ ) earthquakes as a result of left-  
57 lateral fault motion (Fig. 1). Thus, the Oriente transform fault zone provides an intriguing  
58 environment to study how these anomalous bathymetric feature and lithological heterogeneity  
59 relate to and/or control earthquake-rupture behaviors.

60         At 19:10:24 UTC on 28 January 2020, a large oceanic earthquake of a  $M_w$  7.7 (USGS,  
61 2020) occurred in the region of the Oriente transform fault. A moment tensor solution  
62 determined by the Global Centroid Moment Tensor (GCMT) project (Dziewonski et al., 1981;  
63 Ekström et al., 2012) indicates that the 2020 Caribbean earthquake was the result of strike-slip  
64 faulting on a vertical fault plane (GCMT, 2020; Fig. 1). A minor tsunami of 0.11 m height was  
65 recorded at tide gauges at Port Royal in Jamaica and at Puerto Plata in the Dominican Republic  
66 (NOAA, 2020). The aftershock distribution trended roughly west-south-west from the epicenter  
67 along the Oriente transform fault and some aftershocks were on the Cayman mid-ocean ridge  
68 (Fig. 1).

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71  
 72 **Figure 1.** Overview map of the study area, station distribution, and selected waveforms. (a) Focal mechanisms  
 73 (GCMT, 2020) of the mainshock (red) and previous major earthquakes (gray,  $M_w \geq 6$ ) are presented as lower-  
 74 hemisphere stereographic projections. The dots mark locations of the first week of aftershocks (USGS, 2020). Red  
 75 lines are the transform faults and the ridge (Bird, 2003). Background topography/bathymetry is from GEBCO (2020).  
 76 The inset shows the station distribution (triangle) and the epicenter (star) in azimuthal equidistant projection. The  
 77 circles mark epicentral distances at  $30^\circ$  and  $90^\circ$ . Solid lines represent nodal directions at strikes of  $77^\circ$  and  $167^\circ$ . (b)  
 78 Self-normalized waveform traces at the selected stations (red triangles in Fig. 1a). The gray and red shaded areas  
 79 highlight the similarity and difference in waveform shape.

80

81 Oceanic transform faults have been fruitful environments for studies of earthquake-  
 82 rupture dynamics because of their relatively linear fault geometry and structural heterogeneity  
 83 (Abercrombie & Ekström, 2001; McGuire et al., 2012; Roland et al., 2012). Despite its apparent  
 84 linearity of oceanic-transform-fault geometry, some cases of complexity in rupture dynamics  
 85 have been identified. The  $M_w$  7.1 2016 Romanche earthquake is a recent example of complex  
 86 rupture on an oceanic transform fault, where a long initial rupture phase was followed by a back-  
 87 propagating supershear rupture (Hicks et al., 2020). Another example is the  $M_w$  7.7 2017  
 88 Komandorsky Islands earthquake, where the fault stepover in the transform fault system  
 89 promoted a supershear transition (Kehoe & Kiser, 2020). Thus, the relationship between the  
 90 geometric complexity of a fault system and its rupture process is worthy of investigation, even  
 91 for oceanic transform fault earthquakes.

92 In Fig. 1b, we show teleseismic waveform data located at the similar azimuths and  
 93 epicentral distances, which should be useful to simply evaluate the possible earthquake source

94 characteristic without being contaminated by too many reflection/refraction phases, and with the  
95 well separated seismic phases to clearly show similarity and difference of waveform shape as  
96 indexes of source characteristics of the 2020 Caribbean earthquake. If we focus on the waveform  
97 feature during 0 to 40 s, the two share the similar shape, which is expected if these two stations  
98 are located in the same nodal sphere of the GCMT focal mechanism: strike/dip/rake  $257^{\circ}/87^{\circ}/-5^{\circ}$ .  
99 However in later larger phases, during 60 and 80 s, the waveform shape becomes different  
100 among the two stations, which is not expected if we only assume the one sole focal mechanism  
101 for the entire source process of the earthquake. This observation indicates that the focal  
102 mechanism of the 2020 Caribbean earthquake may have changed during the rupture evolution,  
103 which is possibly associated with the geometric complexity of the fault system. Thus, the 2020  
104 Caribbean earthquake is a good candidate for investigation of possible complexity of the fault  
105 geometry of an oceanic transform fault earthquake and its role in rupture evolution.

106 In this study we inverted teleseismic waveform data from the 2020 Caribbean earthquake  
107 by applying a new method of finite-fault inversion (Shimizu et al., 2020) that represents fault  
108 deformation on an assumed fault by shear-slip vectors by superposition of five basis double-  
109 couple components. We showed that the geometric complexity of the Oriente transform fault  
110 controlled the multiple rupture episodes and supershear rupture that occurred during the  
111 earthquake. Our analysis of the 2020 Caribbean earthquake revealed previously unrecognized  
112 source complexity associated with complex fault geometry within an apparently simple oceanic  
113 transform fault system.

114

## 115 **2. Data and Method**

116 We downloaded vertical component of teleseismic waveform data from 52 stations of the  
117 Global Seismographic Network (GSN) and Federation of Digital Seismograph Network (FDSN)  
118 through the Incorporated Research Institutions of Seismology (IRIS) Data Management Center.  
119 Data were selected to ensure that azimuthal coverage (Fig. 1a) was sufficient to construct a  
120 finite-fault model.

121 To resolve possible changes of fault geometry during rupture propagation, we used the  
122 finite-fault inversion method of Shimizu et al. (2020), which can mitigate the effect of modeling

123 errors associated with Green's function uncertainty (Yagi & Fukahata, 2011). This method can  
124 flexibly resolve fault geometry by representing the fault-normal and shear-slip vectors (potency  
125 density tensors defined by Ampuero & Dahlen, 2005) with five basis double-couple components  
126 of moment tensors (Kikuchi & Kanamori, 1991), rather than making an a priori assumption of  
127 fault geometry. In the prior constraints of Shimizu et al. (2020), a Gaussian with the same  
128 covariance was introduced into the instantaneous spatiotemporal variation of the slip-rate  
129 function without distinguishing between the five basis double-couple components. These  
130 constraints may, however, have introduced bias because the covariance that determines the  
131 smoothness variation for each basis slip component depends on the relative slip-rate of each  
132 component. In other words, the spatiotemporal slip-rate distributions of the dominant basis  
133 components become smoother than those of the minor basis components, which potentially  
134 biases the solution and makes it difficult to represent a complex rupture.

135 To mitigate this bias, we introduced new smoothness constraints by adding the relative  
136 standard deviation of each slip component proportional to each basis double-couple component  
137 of the GCMT solution for the 2020 Caribbean earthquake. To avoid instability of the solution  
138 due to an extremely small relative standard deviation, we set the relative standard variance of  
139 each basis component to be at least 10% of the maximum relative standard deviation. Because  
140 the GCMT solution shows dominantly strike-slip faulting, our new formulation takes the  
141 standard deviations of the two pure strike-slip components (M1 and M2 of Kikuchi and  
142 Kanamori, 1991) to be larger than those of the other slip components (Fig. S10); this enhances  
143 the contribution of strike-slip to resolve a possible change of fault geometry, which may have  
144 been masked by the artificially dominant dip-slip components in the original method. A  
145 comparison of the solutions obtained using our new smoothness constraints with those of the  
146 conventional constraints is presented in Figure S11.

147 We picked *P*-wave first arrivals manually and deconvolved the instrument response to  
148 velocity at a sampling interval of 1.0 s. Green's functions were calculated at a sampling interval  
149 of 0.1 s by the method of Kikuchi and Kanamori (1991). We use a finer sampling interval than  
150 that used for the observed waveforms, so that we ensure the sufficient resolution when  
151 convolving with basis slip-rate functions with the necessary time shift based on the relative sub-  
152 fault location to the hypocenter. After convolving with the basis slip-rate functions, the Green's  
153 functions in the kernel matrix are then resampled at 1.0 s, which is the same as the sampling rate

154 of the observed data. We used the CRUST2.0 model (Bassin et al., 2000; USGS, 2020) as the  
155 one-dimensional layered medium near the source for calculating the Haskell propagation matrix  
156 for the Green's functions (Table S1). We do not apply any filters to both the observed  
157 waveforms and the theoretical Green's functions, following the processing in Shimizu et al.  
158 (2020), so that we retrieve the possible complexity of the source process recorded in the  
159 waveform data. A sensitivity of the one-dimensional layered medium near the source was tested  
160 by using the CRUST 1.0 model (Laske et al., 2013; Table S2). We found the model was  
161 insensitive to velocity structure (Fig. S9), which is consistent with the previous study, showing  
162 that teleseismic data is relatively robust against the assumption of structural velocity model,  
163 compared to the near-field records (Yagi et al., 2004). We assigned the model fault plane strike  
164 and dip angles of  $77^\circ$  and  $90^\circ$ , respectively. The length of the vertical model fault plane was 460  
165 km along strike and it extended to 25 km depth. Sub-faults were 20 km along strike and 5 km  
166 along dip. The initial rupture point was placed at 15 km depth at  $19.421^\circ\text{N}$  and  $78.763^\circ\text{W}$  based  
167 on the epicenter determined by USGS (2020). We used a maximum rupture velocity of 6.0 km/s  
168 to allow for possible supershear rupture propagation. The slip-rate function for each sub-fault  
169 was a linear B-spline function of 61 s duration. Total rupture duration was 100 s. We evaluated  
170 the sensitivity of our model to different configurations of our model settings (see Figs. S2 to S9  
171 and Text S1), as discussed in the following sections.

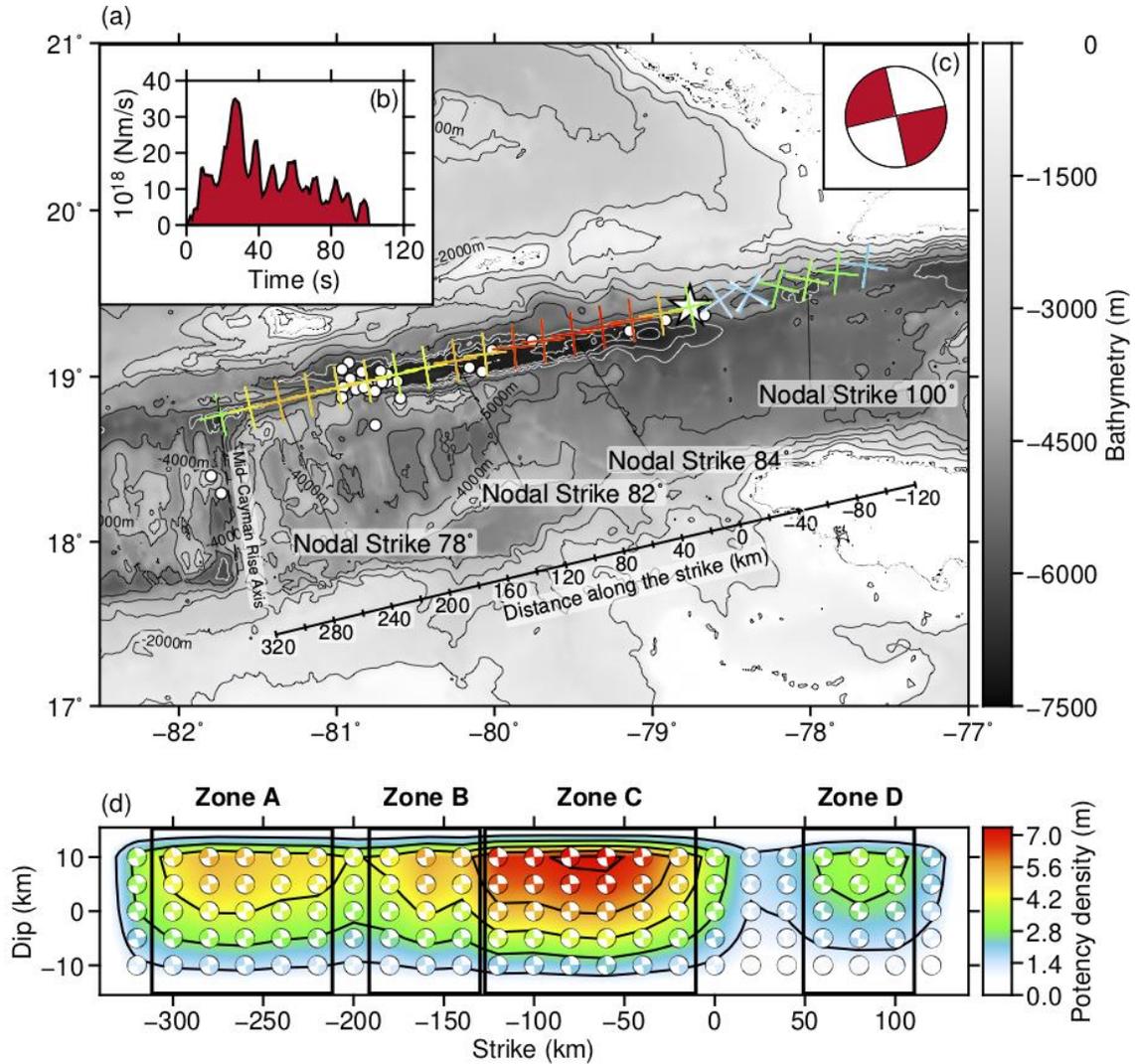
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### 173 **3. Results**

174 Our source model for the 2020 Caribbean earthquake shows strike-slip faulting with  
175 almost unilateral westward propagation of rupture from the epicenter (Figs. 2 and 3). The total  
176 focal mechanism, which we calculated by integrating all of the potency density tensors (Fig. 2d),  
177 suggests strike-slip faulting with one of the nodal planes striking  $258^\circ$ . The total seismic moment  
178 was  $0.124 \times 10^{22}$  Nm ( $M_w$  8.0), which is larger than the USGS W-phase moment tensor solution  
179 (USGS, 2020) and the GCMT solution ( $M_w$  7.7). These differences of seismic moment can be  
180 explained by our selection of a wider model in both space and time to allow us to cover all  
181 possible rupture evolutions, for example, to allow for minor slip at the western extremity of the  
182 Oriente transform fault (Fig. 2a).

183 We grouped the rupture on the model fault plane into four zones along strike (Fig. 2d) on

184 the basis of the spatial variation of nodal plane distribution extracted from the potency density  
 185 tensor distribution:  $-300$  to  $-220$  km (zone A),  $-180$  to  $-130$  km (zone B),  $-120$  to  $-20$  km (zone  
 186 C), and  $60$  to  $100$  km (zone D). The strike of maximum potency density changed successively  
 187 from  $78^\circ$ , to  $82^\circ$ , to  $84^\circ$ , and to  $100^\circ$  from zone A to zone D (Fig. 2a). The changes along strike  
 188 of the focal mechanism were well resolved, even when we changed the fault geometry and  
 189 assumed velocity and duration of rupture (Figs. S2 to S9).



190

191 **Figure 2.** The static distribution of potency density. (a) The map view of static potency density distribution. The  
 192 nodal planes (cross marker) for each location represent a potency density tensor.. The gray circle shows the 1-week  
 193 aftershocks (USGS, 2020). The contour represents the bathymetry (GEBCO, 2020). White contours highlight iso-  
 194 isodepths of 6000 to 7500 m every 500 m. (b) The moment-rate function. (c) The total moment tensor solution  
 195 estimated from our finite-fault model, using a lower-hemisphere stereographic projection. (d) The cross-section of

196 the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot,  
197 plotted using a lower-hemisphere stereographic projection, which are not rotated according to the model-plane  
198 geometry (not a view from side but from above). Panel height of Fig. 2d is magnified for the visibility of figure.

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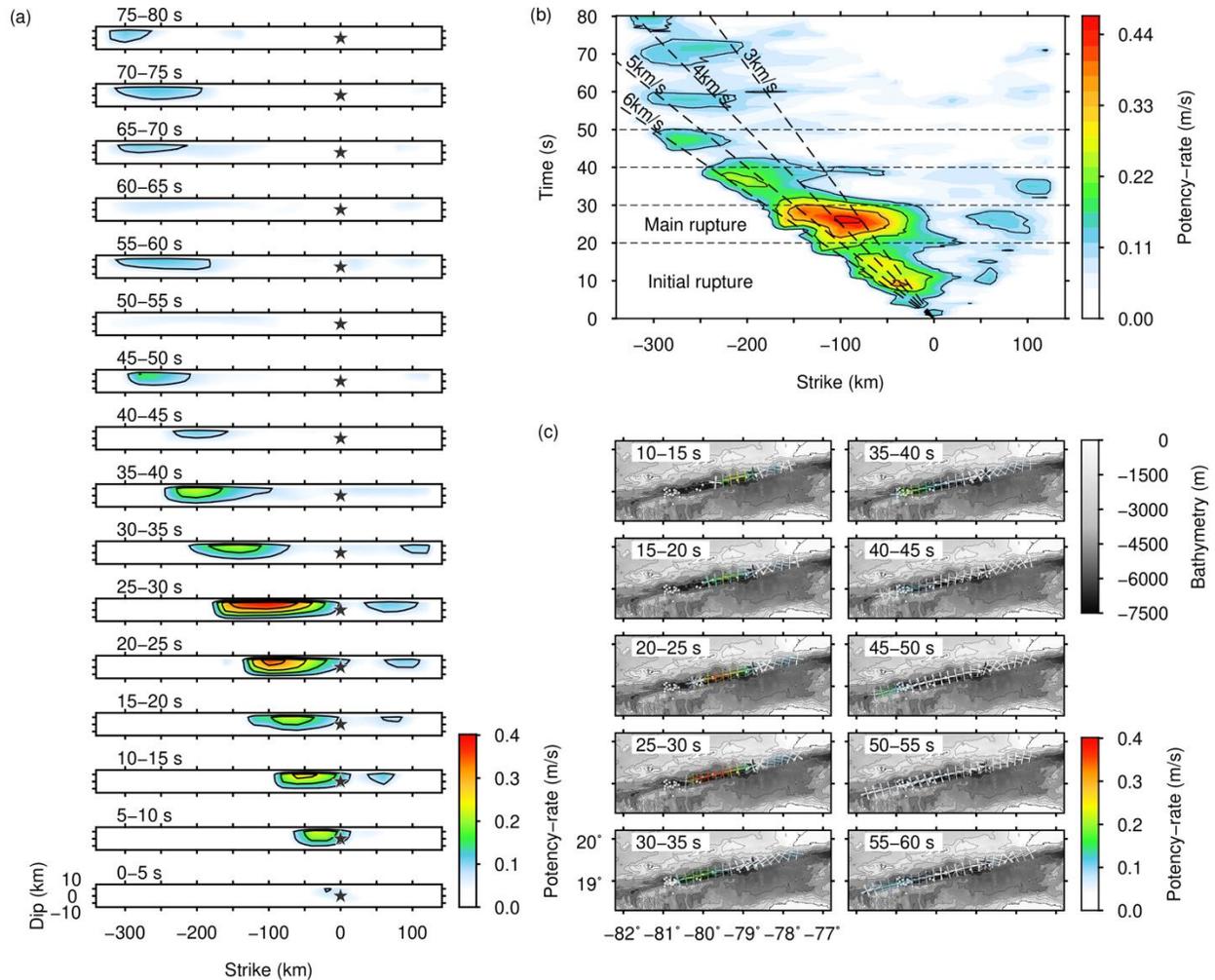
201         Snapshots of dynamic slip evolution (Fig. 3) show almost unilateral, westward rupture  
202 propagation. The initial rupture from 0 to 20 s propagated 80 km west from the epicenter with  
203 moderate potency-rate density and was followed by the main rupture episode from 20 to 30 s,  
204 about 100 km west of the epicenter, with maximum potency-rate density at 27 s, 80 km west of  
205 the epicenter. Fluctuations of potency-rate density between 40 and 80 s indicate several minor  
206 sources farther west from the epicenter, until the rupture ceased after 80 s about 300 km west of  
207 the epicenter.

208         The result showed the rupture-front speed was  $> 5$  km/s for the initial rupture episode (0  
209 to 20 s; Fig. 3b), which is faster than the local shear-wave velocity ( $\sim 3$  km/s at 15 km depth;  
210 Tables S1 and S2). Fast rupture propagation during the initial rupture episode was well  
211 reproduced for different assumed maximum rupture velocities (Fig. S2). Then, at the beginning  
212 of the main rupture episode (20 to 30 s), the westward propagating rupture front slowed down to  
213 2.5 km/s between 20 and 25 s, and then accelerated again to  $> 5$  km/s after 25 s.

214         The main rupture episode appears to have expanded both westward and eastward at about  
215 40 s (Fig. 3b), which suggests bilateral rupture involving backward propagation, or a long-  
216 retained potency-rate density release of the initial rupture source (0 to 80 km west from the  
217 epicenter), or both. The spatiotemporal distribution of nodal planes extracted from the modeled  
218 potency-rate density tensors shows that their strike varied as the rupture front propagated along  
219 the Oriente transform fault (Fig. 3c). From 0 to 20 s, the nodal plane strike was  $78^\circ$ , from 20 to  
220 30 s it rotated clockwise, reaching  $83^\circ$  about 100 km from the epicenter, where the highest  
221 potency-rate density was calculated. The strike then rotated counterclockwise to  $79^\circ$  from 45 to  
222 50 s (240 km from the epicenter), which is similar to the strike we obtained near the epicenter  
223 (Fig. 3c). The rupture then continued to propagate westward until it reached the western end of  
224 the model fault plane.

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**Figure 3.** Spatiotemporal distribution of potency-rate density. (a) The snapshots of the rupture propagation. The potency-rate density is averaged within each time window. The star is the hypocenter, and the color contour shows the potency-rate density. (b) The potency-rate density distribution projected along the model strike. The black dashed lines represent the reference rupture speeds. (c) The map-view snapshots of the averaged potency-rate density within each time window. The cross marker shows the focal mechanism extracted from the resultant potency-rate density tensor. The background contour shows the bathymetry (GEBCO, 2020). The star and white dots denote the epicenter and the 1-week aftershocks (USGS).

#### 240 **4. Discussion**

241 Our modeling of the spatiotemporal change of fault geometry during the 2020 Caribbean  
242 earthquake (Figs. 2 and 3) showed that the strike of the rupture surface deviated from the general  
243 strike of the Oriente fault system ( $\sim 77^\circ$ ) in zone C ( $84^\circ$ ), 80 km west of the epicenter, and then  
244 returned to the general strike of the system in zone A, 280 km west of the epicenter (Fig. 2).  
245 These dynamic changes of fault geometry preceded periods of change of potency-rate density.  
246 For example, the change of strike that occurred from 20 to 25 s after the hypocentral time  
247 followed a period of relatively low potency-rate density (Fig. 3c), whereas the following change  
248 from 25 to 30 s was associated with the highest potency-rate density we obtained, which was  
249 between 60 and 160 km west of the epicenter. During the period from 40 to 50 s, the strike  
250 orientation returned to  $78^\circ$ , which is consistent with the general trend of the western end of the  
251 Oriente transform fault ( $\sim 77^\circ$ , in accord with the bathymetric feature 240 to 300 km west of the  
252 epicenter; Fig. 2a). After this transition of strike, we obtained a moderately high potency-rate  
253 density 280 km west of the epicenter. The transitions of fault geometry associated with lower  
254 potency-rate density correspond with the area of aftershocks of the 2020 Caribbean earthquake  
255 between about 140 and 200 km west of the epicenter (Fig. 2). Geometrical complexity in  
256 earthquake fault, including a fault bend, can affect the fluctuation of rupture propagation (Ulrich  
257 et al., 2019, Okuwaki et al., 2020). Simulations of dynamic fault rupture on strike-slip fault  
258 systems have demonstrated that an unfavorably oriented fault bend can reduce both the amount  
259 of displacement and the rupture speed (Bruhat et al., 2016; Duan & Oglesby, 2005; Kase & Day,  
260 2006). The decrease of rupture speed between the initial and main ruptures (Fig. 3b) might be  
261 associated with geometric complexity in the Oriente fault system that prevented smooth rupture  
262 propagation and caused a stress change between the areas affected by the initial and main  
263 ruptures. The large deviation of the strike angles in zone D (Fig. 2) suggests that the causative  
264 fault geometry at the eastern edge of the source region should be discontinuous, which can work  
265 as a barrier to prohibit the further rupture evolution toward east from the epicentre, resulting in  
266 the asymmetric almost-unilateral rupture toward west.

267 The dominant potency-rate density release we modeled during the main rupture was  
268 within zone C, 25 to 30 s after the hypocentral time. The strike angle in zone C is  $84^\circ$ , which is  $7^\circ$   
269 clockwise to the general strike angle of the Oriente fault ( $\sim 77^\circ$ ), and then it returns to the general  
270 strike angle in zone A. The rupture of zone C continues with this strike angle over the length of

271 about 120 km, which indicates that the distance to the Oriente fault axis is about 15 km at the  
272 west end of zone C. Given the relative fault motions around the west end of zone C, there should  
273 be subsidence around the west end of zone C, because the extensional (pull apart) motions inside  
274 the possible step of the fault could promote subsidence due to gravity. As shown in Fig. 2, the  
275 main-rupture region is located at the northern edge of the flat, deep trough region. The fault  
276 offset in zone C from the trough region would suggest that the 2020 Caribbean earthquake may  
277 have additionally induced subsidence at around the west end of zone C, and expanded the flat,  
278 deep trough. Although we do not have a direct evidence of the seafloor change after the 2020  
279 Caribbean earthquake and it is not able to evaluate such a hypothesis at this moment, but our  
280 observation may provide an insight into the possible interaction between the earthquake rupture  
281 and the local bathymetric feature of the Oriente transform fault system. After the main rupture,  
282 the modeled potency-rate density decreased when it reached the position where the trough  
283 narrows abruptly (from 10 to 2 km wide) when it reached the eastern end of zone A. The western  
284 end of zone A is at the mid-Cayman rise axis, where crustal thickness decreases (ten Brink et al.,  
285 2002). The deviation of the fault geometry from the general trend of the Oriente transform fault  
286 is apparent in our modeling while the rupture follows the wider section of the trough, but to the  
287 west, as the rupture traverses the markedly narrower part of the trough and approaches the mid-  
288 Cayman rise, the amount of slip decreases and the fault geometry corresponds to the trend of the  
289 narrow trough. Thus, the rupture evolution of the 2020 Caribbean earthquake collectively  
290 suggests that fault geometry, even that of an oceanic transform fault, can change along strike in  
291 response to abrupt changes of the form of bathymetric features, which may be associated with  
292 fracture zones in the upper crust (Roland et al., 2012; van Avendonk et al., 2001).

293 Our modeling of rupture evolution showed rupture speeds faster than 5 km/s both from 0  
294 to 20 s and from 25 to 40 s after initiation of rupture (Fig. 3b), which is faster than the local  
295 shear-wave velocity ( $\sim 3$  km/s at 15 km depth; Table S1). The fast velocity of rupture propagation  
296 was well resolved in our modeling, even with different assumed maximum rupture velocities  
297 (Fig. S2). Supershear rupture propagation has been identified in other strike-slip earthquakes  
298 (e.g., Bao et al., 2019; Bouchon et al., 2010; Kehoe & Kiser, 2020) and has been shown to  
299 activate aftershock clusters on secondary ruptures (Bouchon & Karabulut, 2008). Bouchon et al.  
300 (2010) reported that smooth fault geometry can promote supershear rupture; in particular, that  
301 linear fault geometry around an earthquake epicenter (as is the case for the general trend of the

302 Oriente transform fault) can lead to supershear rupture. Kehoe and Kiser (2020) suggested that a  
303 transition to supershear rupture in a fault system can also be associated with complex structural  
304 elements such as fault stepovers. Zones of damaged crust along a fault might also be responsible  
305 for supershear rupture (e.g., Huang et al., 2016); such zones can be features of a mature oceanic  
306 transform fault such as the Oriente transform fault (this study) and the Romanche transform fault  
307 (Hicks et al., 2020). However, the speed of westward propagation of the rupture front during the  
308 2020 Caribbean earthquake decreased between 20 and 25 s after the hypocentral time (Fig. 3b) in  
309 an area where our modeling showed a change of fault geometry that may have temporarily  
310 restrained smooth rupture propagation, and then following supershear rupture proceeded toward  
311 farther west. The lithological heterogeneity of the transform fault system in the Mid-Cayman  
312 Spreading Center (e.g., Grevemeyer et al., 2018; Peirce et al., 2019) may also have largely  
313 controlled the complex rupture behavior, which is due to the contrast of material properties, as  
314 has been studied in the other transform fault earthquakes (Roland et al., 2012; McGuire et al.,  
315 2012; Hicks et al., 2020). Alternatively, it is possible that the main rupture of the 2020 Caribbean  
316 earthquake did not propagate as a continuation of the initial rupture; rather, it might have been  
317 dynamically or statically triggered by the initial rupture. Note that the main rupture is not only a  
318 pure unilateral but shows bilateral rupture toward both west and east (Fig. 3b). The eastern wing  
319 of rupture propagating back-toward the epicenter may have broken the region, in which the  
320 rupture was not able to propagate during the initial rupture episode, which may support the  
321 hypothesis that the main rupture is rather an individual rupture episode, involving a possible  
322 back-propagation of rupture (e.g., Hicks et al., 2020; Idini and Ampuero, 2020).

323

## 324 **5. Conclusion**

325 We used a newly developed method of finite-fault inversion to analyze the  
326 spatiotemporal evolution of fault geometry and slip during the 2020 Caribbean earthquake on the  
327 Oriente transform fault. We modeled successive changes of fault geometry during rupture and  
328 these changes controlled a rupture evolution that included a period of supershear rupture. Our  
329 study suggests that oceanic transform fault earthquakes, which have previously been thought to  
330 have relatively simple fault geometry and source processes, can have complex fault geometry  
331 and complex rupture processes associated with distinct bathymetric features.

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338 products used in this study. IRIS Data Services are funded through the Seismological Facilities  
339 for the Advancement of Geoscience (SAGE) Award of the National Science Foundation under  
340 Cooperative Support Agreement EAR-1851048. The figures were generated with the Generic  
341 Mapping Tools (Wessel et al., 2013).

342

## 343 **Data Availability Statement**

344 Teleseismic waveforms were obtained from the following networks : GEOSCPPE (G;  
345 <https://doi.org/10.18715/GEOSCOPE.G>); the Global Seismograph Network (GSN IRIS/IDA, II;  
346 <https://doi.org/10.7914/SN/II>); the Global Seismograph Network (GSN IRIS/USGS, IU;  
347 <https://doi.org/10.7914/SN/IU>); the Canadian National Seismograph Network (CN;  
348 <https://doi.org/10.7914/SN/CN>); the Czech Regional Seismic Network (CZ;  
349 <https://doi.org/10.7914/SN/CZ>); the Netherlands Seismic and Acoustic Network (NL;  
350 <https://doi.org/10.21944/e970fd34-23b9-3411-b366-e4f72877d2c5>); the Mediterranean Very  
351 Broadband Seismographic Network (MN; <https://doi.org/10.13127/SD/fBBBtDtd6q>); the Global  
352 Telemetered Seismograph Network (GTSN USAF/USGS, GT; <https://doi.org/10.7914/SN/GT>);  
353 the Southern California Seismic Network (CI; <https://doi.org/10.7914/SN/CI>); and the Berkeley  
354 Digital Seismograph Network (BK; <https://doi.org/10.7932/BDSN>). The moment tensor  
355 solutions are obtained from the GCMT catalog (<https://www.globalcmt.org/CMTsearch.html>).  
356 The Tsunami height is available by the NOAA (<https://www.tsunami.gov>). The CRSUT 1.0 and  
357 CRUST 2.0 structural velocity models are available through the websites  
358 (<https://igppweb.ucsd.edu/~gabi/crust1.html>) and (<https://igppweb.ucsd.edu/~gabi/crust2.html>),  
359 respectively.

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 395 [bin/CMT5/form?itype=ymd&yr=2020&mo=1&day=28&oyr=1976&omo=1&oday=1&jyr=](https://www.globalcmt.org/cgi-bin/globalcmt-cgi-bin/CMT5/form?itype=ymd&yr=2020&mo=1&day=28&oyr=1976&omo=1&oday=1&jyr=1976&jday=1&ojyr=1976&ojday=1&otype=nd&nday=1&lmw=7&umw=10&lms=0&ums=10&lmb=0&umb=10&llat=-90&ulat=90&llon=-180&ulon=180&lhd=0&uhd=1000&l)  
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 397 [=10&lmb=0&umb=10&llat=-90&ulat=90&llon=-180&ulon=180&lhd=0&uhd=1000&l](https://www.globalcmt.org/cgi-bin/globalcmt-cgi-bin/CMT5/form?itype=ymd&yr=2020&mo=1&day=28&oyr=1976&omo=1&oday=1&jyr=1976&jday=1&ojyr=1976&ojday=1&otype=nd&nday=1&lmw=7&umw=10&lms=0&ums=10&lmb=0&umb=10&llat=-90&ulat=90&llon=-180&ulon=180&lhd=0&uhd=1000&l).
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**Rupture Process of the 2020 Caribbean Earthquake along the Oriente Transform Fault, Involving Supershear Rupture and Geometric Complexity of Fault**

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**Introduction**

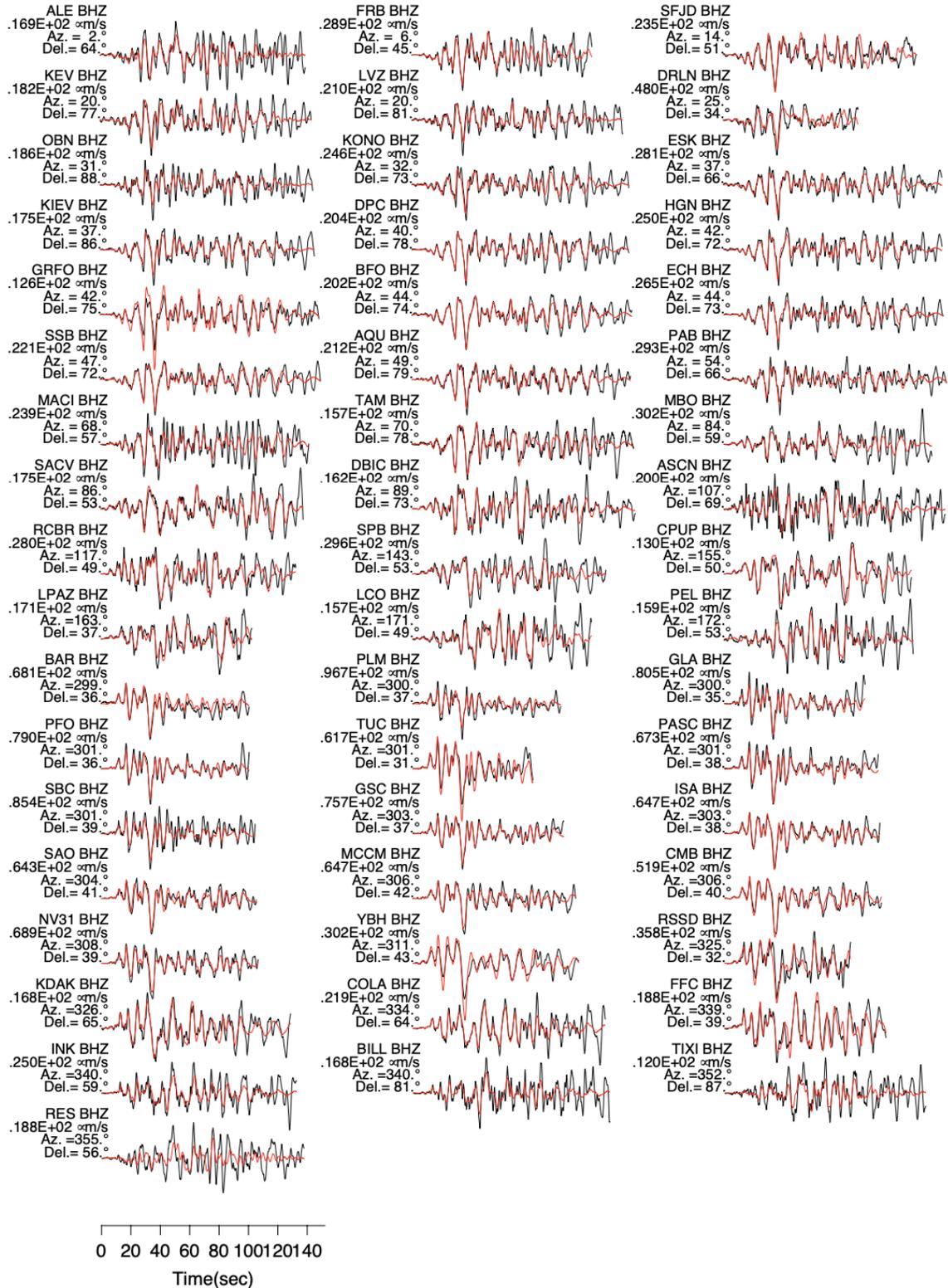
Figure S1 shows the waveform fitting for the optimal finite-fault. The uncertainty analyses of the finite-fault inversion are summarized in Text S1, Figures S2 to S9, and Tables S1 to S2. Figure S10 visualizes the relative weights for the basis double-couple components adopted our new finite-fault inversion. Figure S11 shows comparison between the conventional and new results adopting the relative weights for the basis double-couple components.

### **Text S1. Uncertainty and sensitivity analyses of the finite-fault inversion**

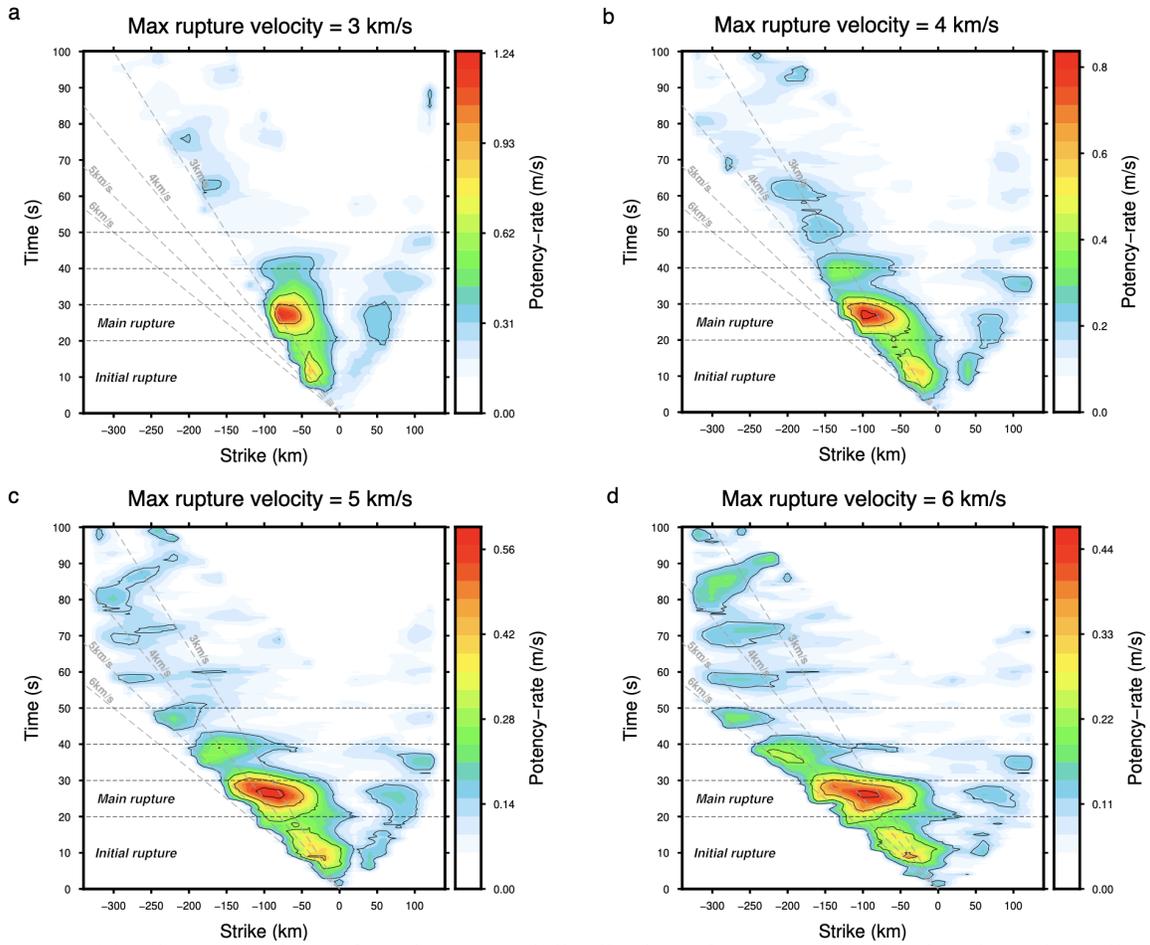
We tested assumption of maximum rupture velocity at 3, 4, 5, and 6 km/s (Fig. S2). The initial and main rupture episodes were robustly resolved for all the assumptions of maximum rupture velocity. The assumption of maximum rupture velocity did not affect the temporal location of the main rupture episode at ~20–30 s. For the slower rupture velocity ( $\leq 3$  km/s), the spatial location of the main rupture was arbitrary confined by the assumption of maximum rupture velocity, but it stayed stable at -100 km to -50 km for the faster rupture velocity  $\geq 4$  km/s. Later stages of rupture (e.g.,  $> 50$  s) shows less stable than the initial and main rupture stages against the assumption of maximum rupture velocity. The slight difference of the model-fault geometry did not affect the solutions. We applied the alternative model-faults: strike/dip at  $77^\circ/87^\circ$  and  $257^\circ/87^\circ$  to test the sensitivity of the different dip angle assumption of the model fault planes (Fig. S3). The resultant of total focal mechanism, moment-rate function, large potency density zone and the strike orientation change were consistent to our assigned model-plane in this study (strike/dip =  $77^\circ/90^\circ$ , Fig 2). We further tested the assumption of model-fault geometry by adopting the horizontal model fault dipping at  $0^\circ$  placed at 15-km depth, in order to evaluate the spatial extents of rupture. As shown in Figs. S4 and S5, the spatiotemporal location of the initial and main rupture episodes share the similar feature between the two; the one with  $0^\circ$ -dipping and the other with the vertical dipping. The strike orientation at -320 to -220 km westward of the epicenter is also consistent between the two. The consistency against the model faults adopting the different dip angles can be explained by the very narrow, confined width of the rupture area for the 2020 Caribbean earthquake, which shows the less variable rupture manner along the dip extent of the fault. Moreover, we have extended the length along the strike of the model fault plane covering the mid-Cayman rise axis to evaluate the rupture termination (Figs. S6 and S7). Even if we extended the western side of model-fault length, we did not resolve the significant potency density across the mid-Cayman rise axis after 50 s.

We tested the assumption of maximum duration of potency-rate density function at each subfault, by reducing from 61 s to 41 s (Fig. S8). We found the initial and main rupture episodes were robustly resolved in both space and time and not contaminated by the assumption of rupture duration.

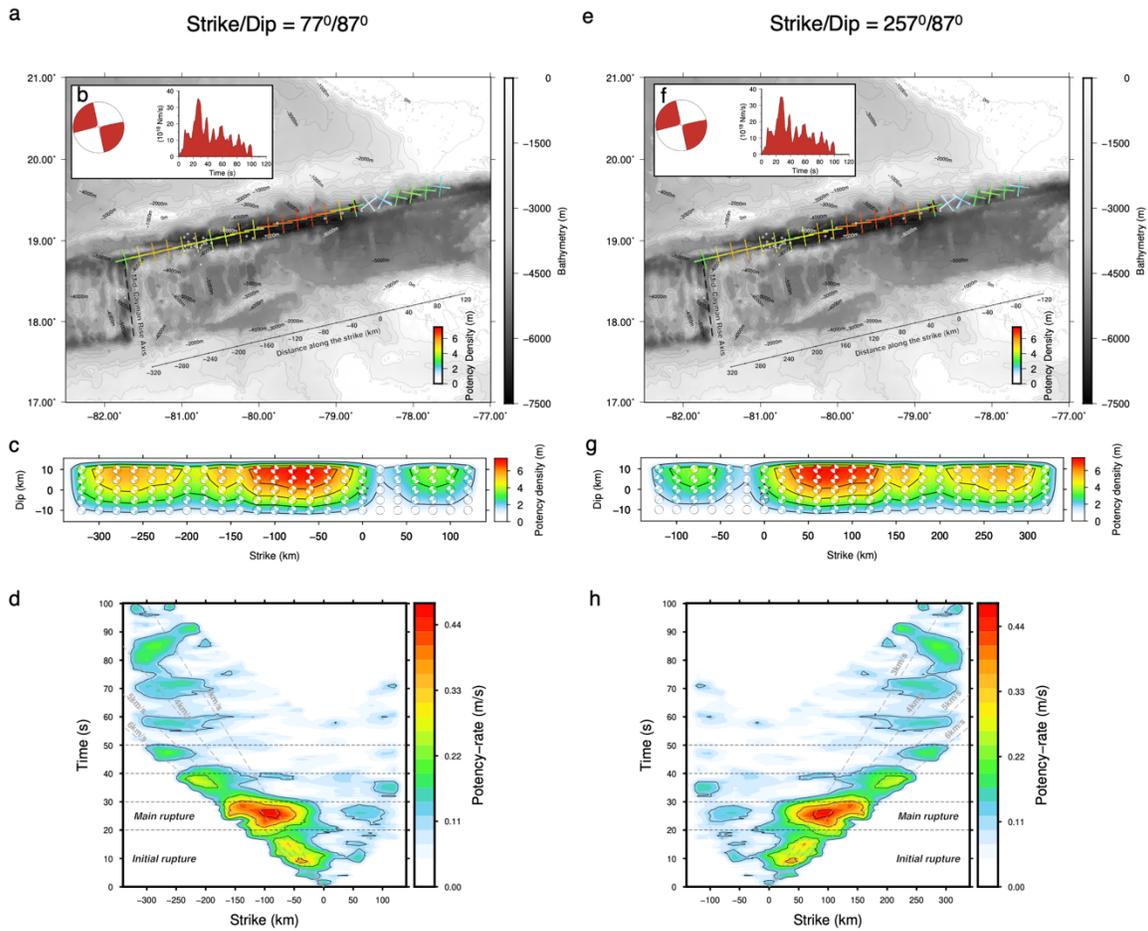
The different near-field structural velocity models of CRUST1.0 and CRUST2.0 (Tables S1 and S2) were also tested to evaluate the sensitivity (Fig. S9). Although we recognize the slight difference between them, but the assumption of near-field velocity structure did not significantly affect the solution.



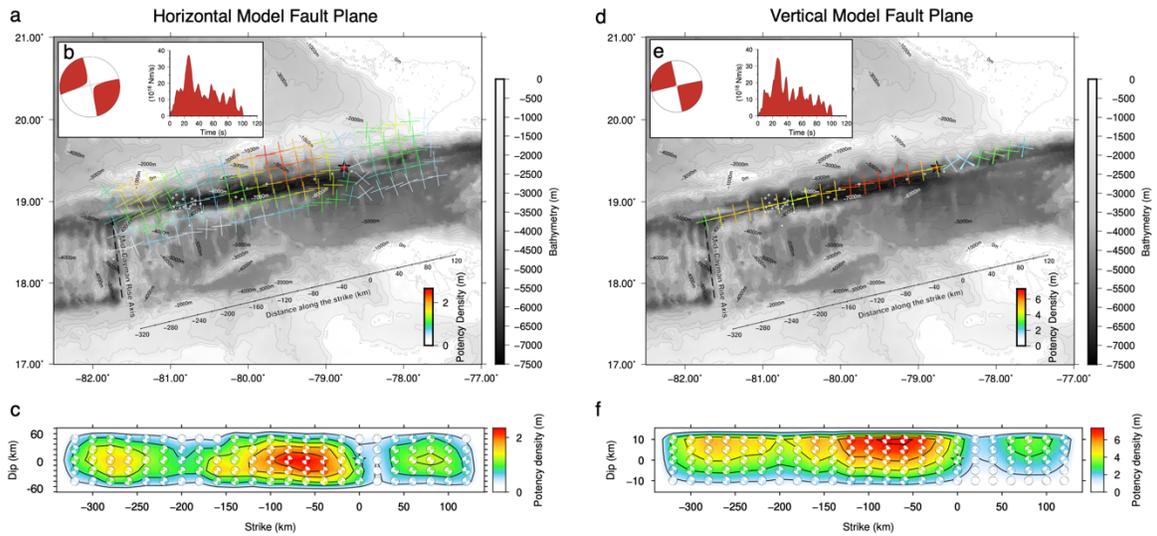
**Figure S1.** Waveform fitting at all stations between observed (black) and synthetic waveforms (red). Station code, azimuth, and epicentral distance are shown on top-left of the waveform.



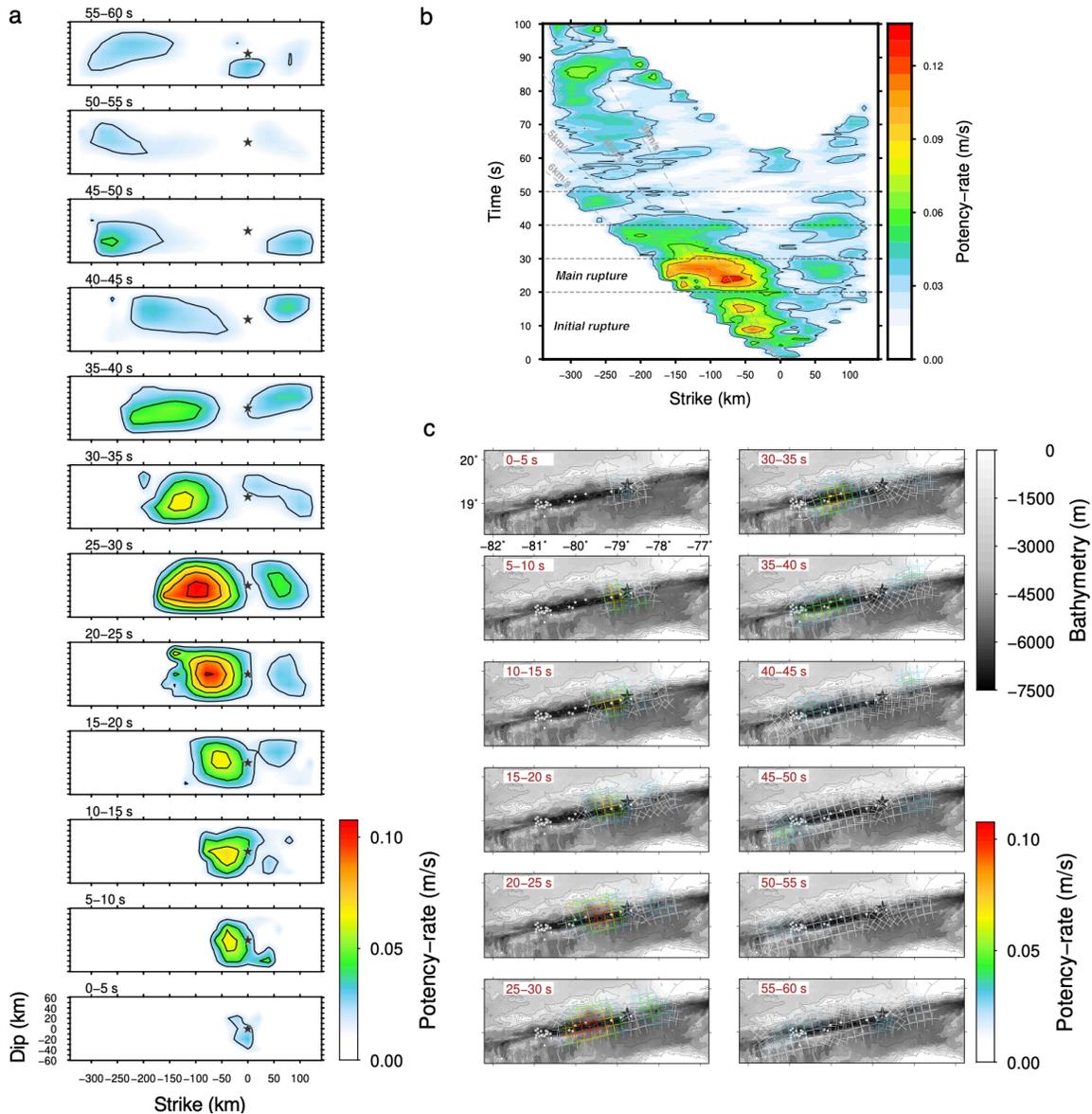
**Figure S2.** The comparison of spatiotemporal distribution of potency-rate density along the strike. Each panel shows the result with the assumption of maximum rupture velocity at (a) 3 km/s, (b) 4 km/s, (c) 5 km/s, and (d) 6 km/s. The gray dashed lines show the reference rupture-front speeds.



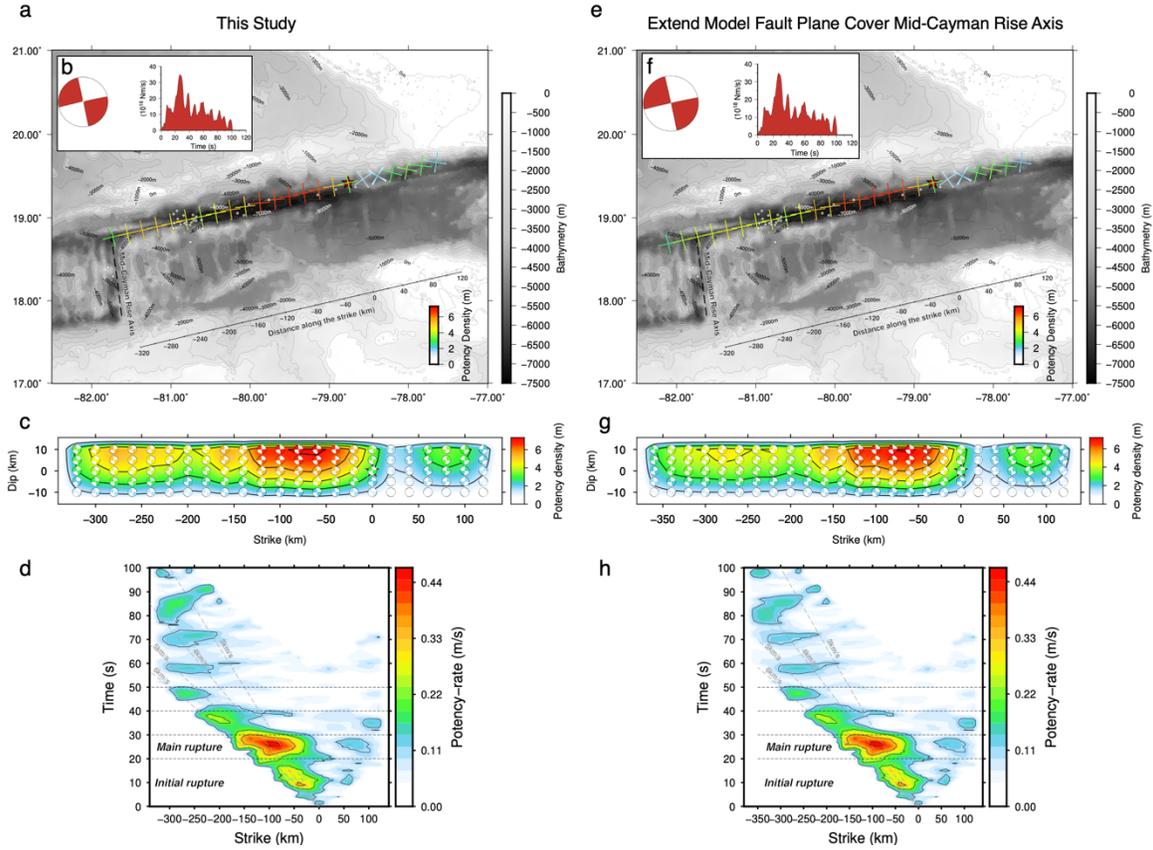
**Figure S3.** The results for the different model faults. (a) Map-view of the static potency density distribution of the model fault plane strike/dip : 77°/87°. The nodal plane (cross-mark) is extracted from the potency density tensor of each source knot by summing all the potency-density tensors along the dip direction for each strike direction. The contour line with 500 m intervals show the bathymetric feature (same as Fig. 2a). The gray circles are 1-week aftershocks, and the red star is the epicenter. (b) The information of moment-rate function and the total moment tensor solution of assumed model fault plane strike/dip : 77°/87°. (c) The cross-section (on model fault plane) of potency density and its focal mechanism of each source knot. The black star denotes the hypocenter. (d) The potency-rate density of rupture propagation along the strike. The gray dashed lines represent rupture speed. (e) to (h) The static distribution of potency density and its potency-rate density of rupture propagation of assumed model fault plane strike/dip : 257°/87° by the same details as (a) to (d).



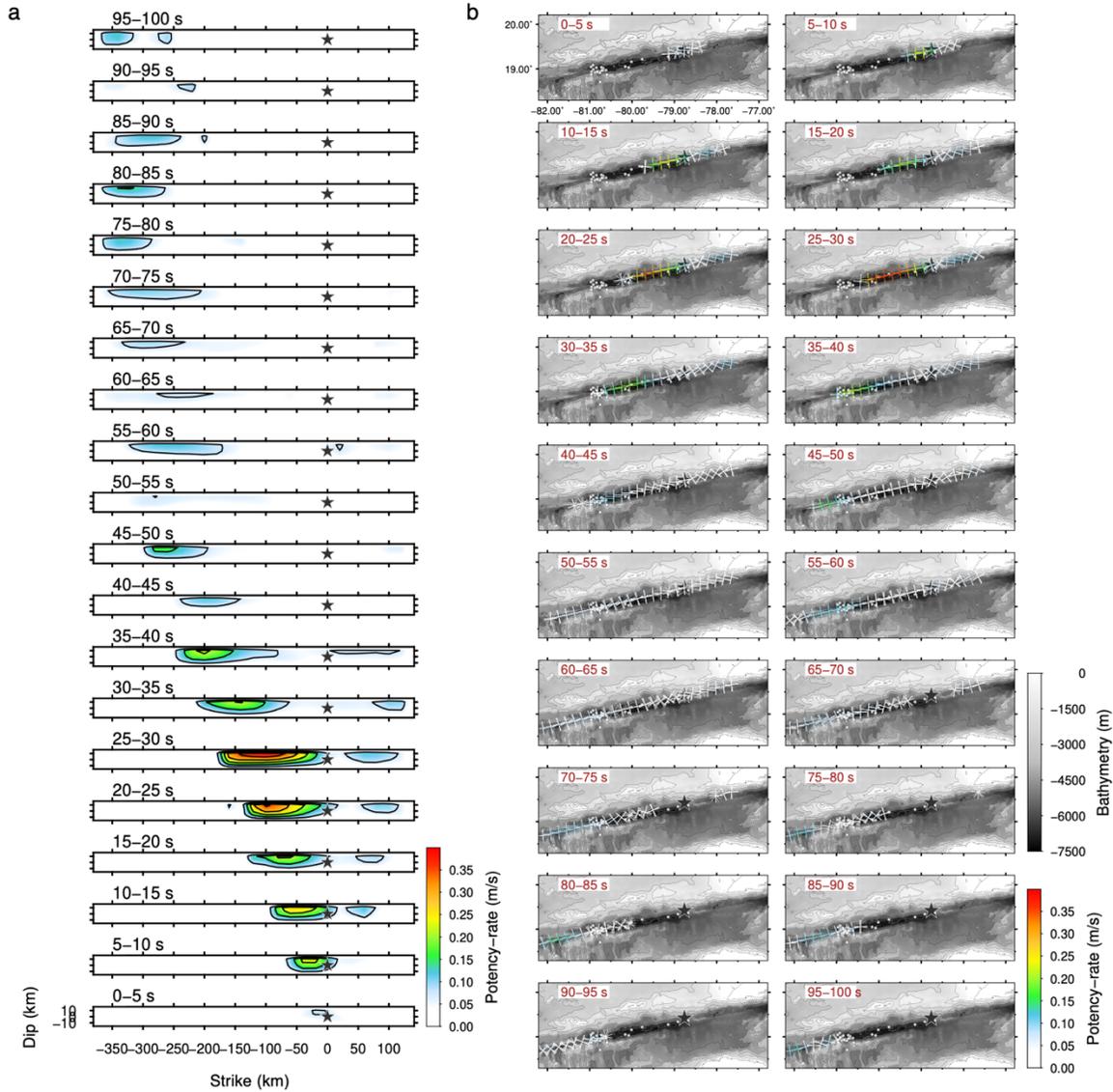
**Figure S4.** Comparison of the models with the horizontal and the vertical model fault planes. (a) The map view of static potency density distribution using the horizontal model fault (dip = 0°). The nodal planes (cross marker) for each location represent a potency density tensor, calculated by summing all the potency density tensors along the dip direction for each strike direction. All the potency density tensors are shown in Fig. 2d. The gray circle shows the 1-week aftershocks (USGS). The contour represents the bathymetry (GEBCO, 2020). (b) The total moment tensor solution estimated from our finite-fault model, using a lower-hemisphere stereographic projection, and the moment-rate function. (c) The cross-section of the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot, plotted using a lower-hemisphere stereographic projection (not a view from side but from above). (d–f) Same as Fig. S4a–c, but for the result with using the vertical model fault (dip = 90°).



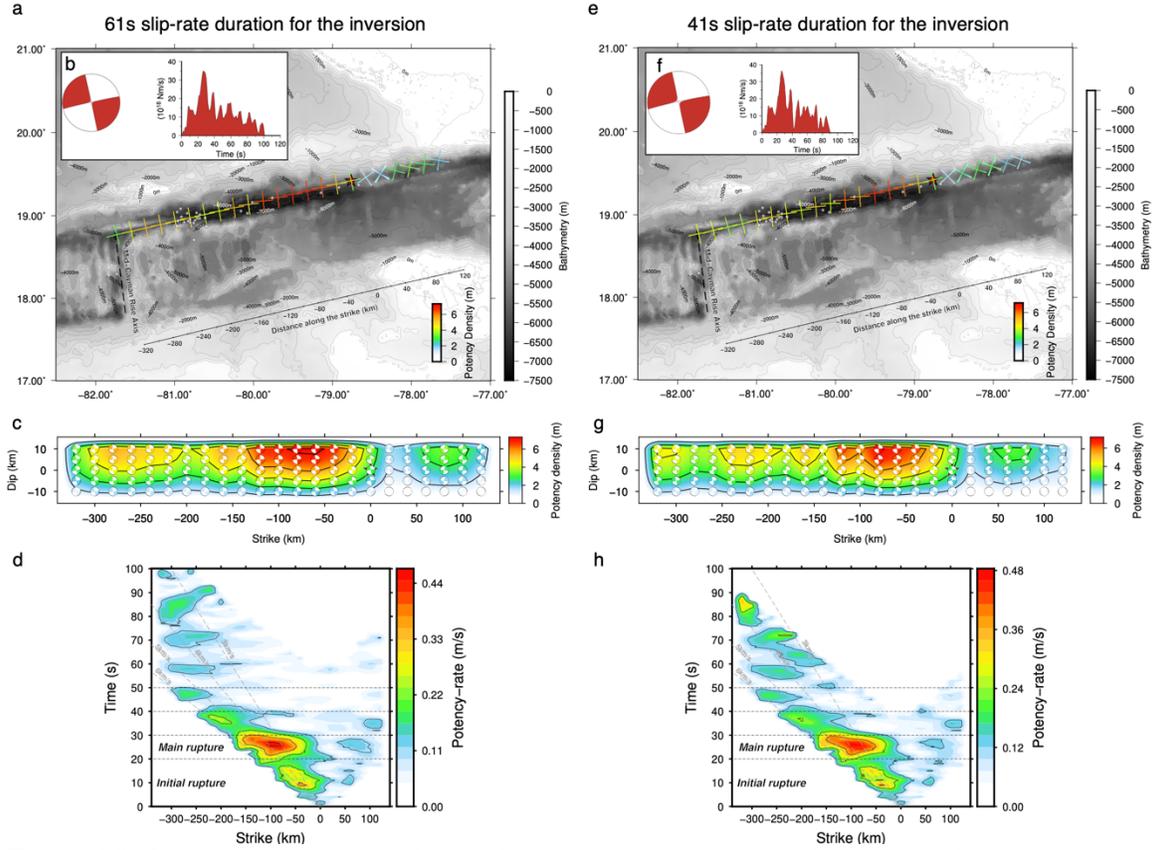
**Figure S5.** Spatiotemporal distribution of potency-rate density using the horizontal model plane. (a) The snapshots of the rupture propagation. The potency-rate density is averaged within each time window. The black star is the hypocenter, and the color contour shows the potency-rate density. (b) The potency-rate density distribution projected along the model strike. The gray dashed lines represent the reference rupture speeds. (c) The map-view snapshots of the averaged potency-rate density within each time window. The cross marker shows the focal mechanism extracted from the resultant potency-rate density tensor. The background contour shows the bathymetry (GEBCO, 2020). The black star and gray circle denote the epicenter and the 1-week aftershocks (USGS).



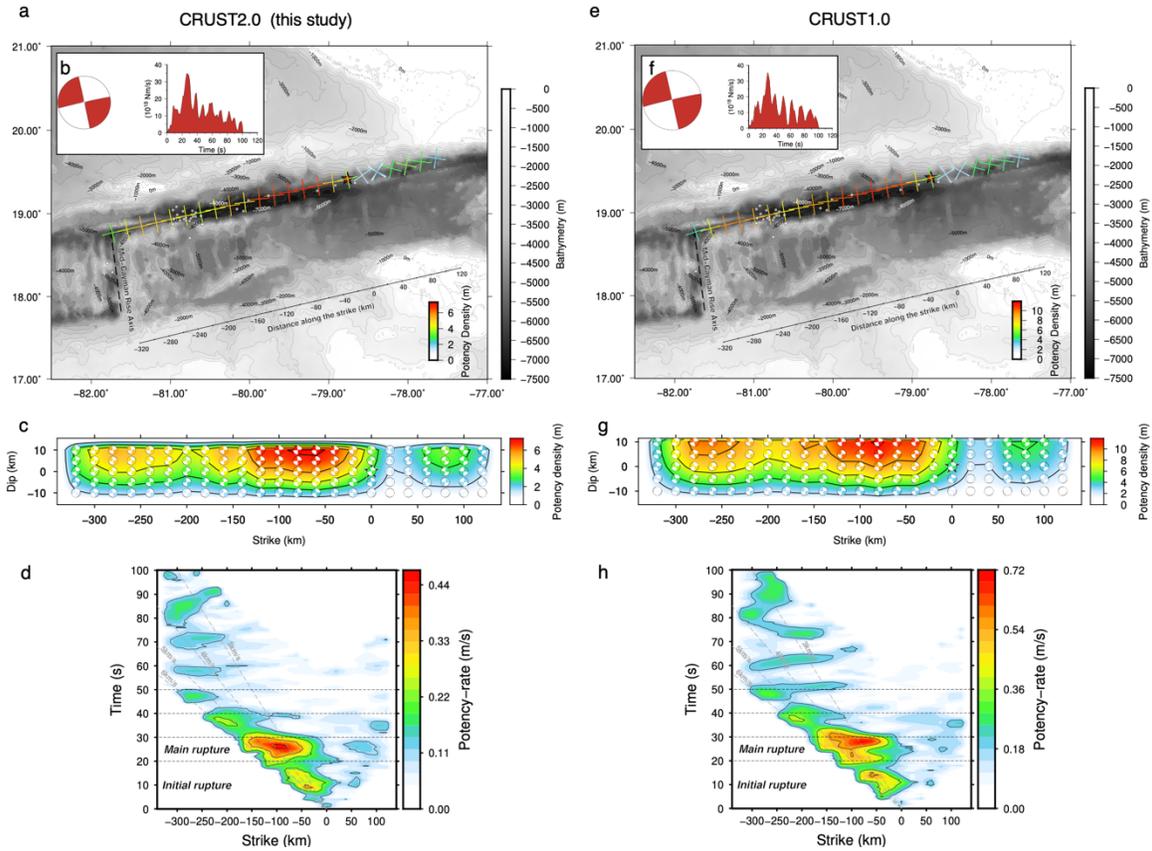
**Figure S6.** Comparison of the models with different model-fault lengths. (a) The map view of static potency density distribution. The nodal planes (cross marker) for each location represent a potency density tensor, calculated by summing all the potency density tensors along the dip direction for each strike direction. All the potency density tensors are shown in Fig. 2d. The gray circle shows the 1-week aftershocks (USGS). The contour represents the bathymetry (GEBCO, 2020). (b) The total moment tensor solution estimated from our finite-fault model, using a lower-hemisphere stereographic projection, and the moment-rate function. (c) The cross-section of the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot, plotted using a lower-hemisphere stereographic projection (not a view from side but from above). (d) The potency-rate density distribution projected along the model strike. The gray dashed lines represent the reference rupture speeds. (e–h) Same as Fig. S6a–d, but for the result using the extended model-fault length.



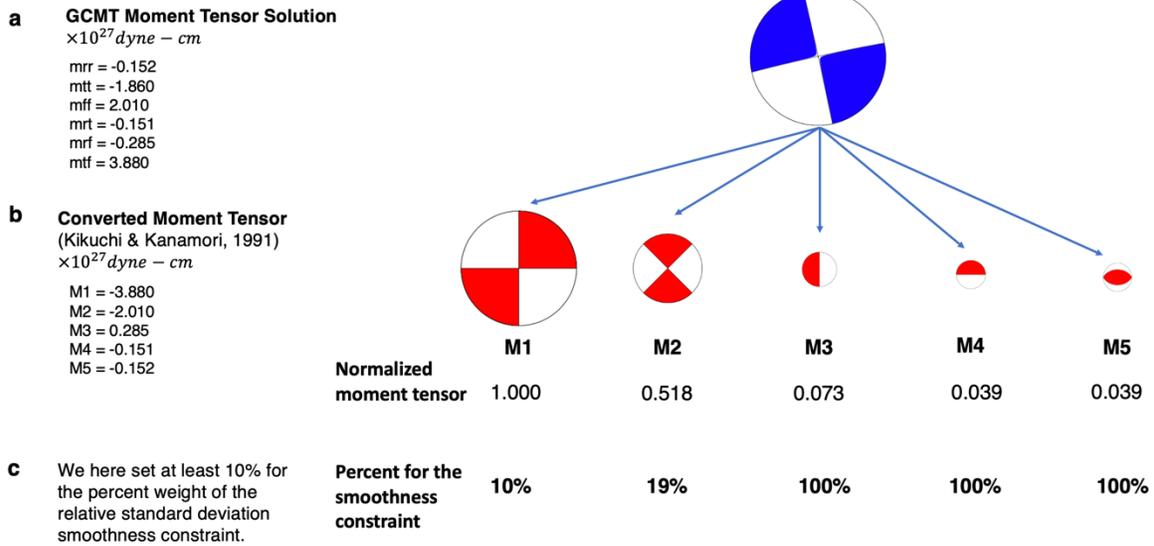
**Figure S7.** Spatiotemporal distribution of potency-rate density for the extended model-plane length (Fig. S7). (a) The snapshots of the rupture propagation. The potency-rate density is averaged within each time window. The black star is the hypocenter, and the color contour shows the potency-rate density. (b) The map-view snapshots of the averaged potency-rate density within each time window. The cross marker shows the focal mechanism extracted from the resultant potency-rate density tensor. The background contour shows the bathymetry (GEBCO, 2020). The black star and gray circle denote the epicenter and the 1-week aftershocks (USGS).



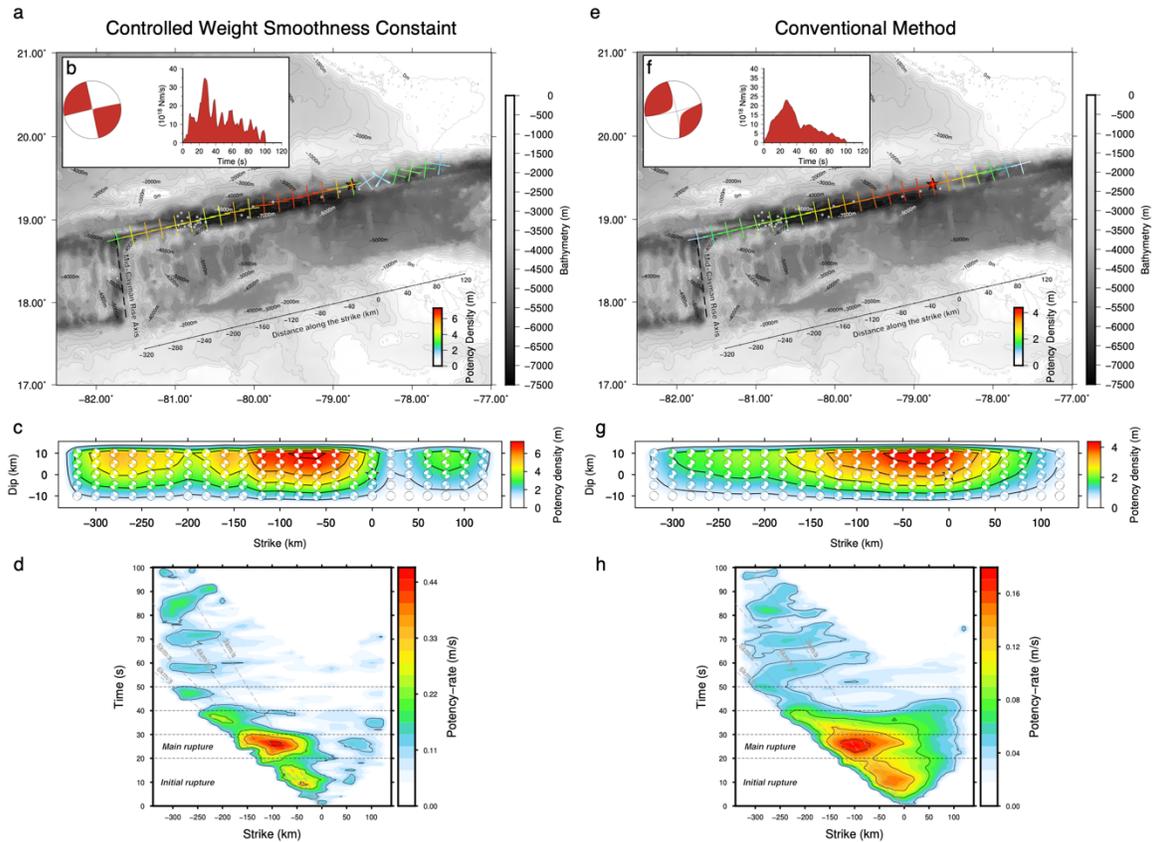
**Figure S8.** Comparison of the models with different assumption of duration of slip-rate function. (a) The map view of static potency density distribution by adopting the duration of slip-rate function at 61 s. The nodal planes (cross marker) for each location represent a potency density tensor, calculated by summing all the potency density tensors along the dip direction for each strike direction. All the potency density tensors are shown in Fig. 2d. The gray circle shows the 1-week aftershocks (USGS). The contour represents the bathymetry (GEBCO, 2020). (b) The total moment tensor solution estimated from our finite-fault model, using a lower-hemisphere stereographic projection, and the moment-rate function. (c) The cross-section of the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot, plotted using a lower-hemisphere stereographic projection (not a view form side but from above). (d) The potency-rate density distribution projected along the model strike. The gray dashed lines represent the reference rupture speeds. (e–h) Same as Fig. S8a–d, but for the result adopting the duration of slip-rate function at 41 s.



**Figure S9.** Comparison of the models with different near-field velocity structures. (a) The map view of static potency density distribution using the CRUST2.0 (Bassin et. al., 2000; USGS, 2020). The nodal planes (cross marker) for each location represent a potency density tensor, calculated by summing all the potency density tensors along the dip direction for each strike direction. All the potency density tensors are shown in Fig. 2d. The gray circle shows the 1-week aftershocks (USGS). The contour represents the bathymetry (GEBCO, 2020). (b) The total moment tensor solution estimated from our finite-fault model, using a lower-hemisphere stereographic projection, and the moment-rate function. (c) The cross-section of the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot, plotted using a lower-hemisphere stereographic projection (not a view from side but from above). (d) The potency-rate density distribution projected along the model strike. The gray dashed lines represent the reference rupture speeds. (e–h) Same as Fig. S9a–d, but for the result using the CRUST1.0 (Laske et. al., 2013).



**Figure S10.** Summary of our new framework of inversion adopting relative weight for the smoothness constraint. The GCMT moment tensor solution of the 2020 Caribbean earthquake (GCMT, 2020) is divided into the 5 basis-moment tensors (M1 to M5, Kikuchi and Kanamori, 1991). Then, we determine the relative weight for each moment tensor component for the smoothness constraint.



**Figure S11.** Comparison of the models adopting and not-adopting the relative weights for basis-moment tensors. (a) The map view of static potency density distribution by adopting the relative weights for basis-moment tensors. The nodal planes (cross marker) for each location represent a potency density tensor, calculated by summing all the potency density tensors along the dip direction for each strike direction. All the potency density tensors are shown in Fig. 2d. The gray circle shows the 1-week aftershocks (USGS). The contour represents the bathymetry (GEBCO, 2020). (b) The total moment tensor solution estimated from our finite-fault model, using a lower-hemisphere stereographic projection, and the moment-rate function. (c) The cross-section of the static potency density distribution. The focal mechanism is presented by the beach ball at each source knot, plotted using a lower-hemisphere stereographic projection (not a view from side but from above). (d) The potency-rate density distribution projected along the model strike. The gray dashed lines represent the reference rupture speeds. (e–h) Same as Fig. S11a–d, but for the result without adopting the relative weights for basis-moment tensors.

**Table S1.** CRUST2.0 structural velocity model being used in this study (Bassin et. al., 2000; USGS, 2020).

$V_P$ (km/s)	$V_S$ (km/s)	Density ( $10^3$ kg/m <sup>3</sup> )	Thickness (km)
1.50	0.01	1.02	4.0
2.20	1.10	2.20	1.0
5.00	2.50	2.60	2.5
6.60	3.65	2.90	4.0
7.10	3.90	3.05	5.0
8.08	4.47	3.38	0.0

**Table S2.** CRUST1.0 structural velocity model (Laske et. al., 2013).

$V_P$ (km/s)	$V_S$ (km/s)	Density ( $10^3$ kg/m <sup>3</sup> )	Thickness (km)
1.50	0.01	1.02	3.95
2.00	0.55	1.93	4.75
5.00	2.70	2.55	5.38
6.50	3.70	2.85	6.66
7.10	4.05	3.05	11.12
8.09	4.49	3.33	0.00

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