# Seal Capacity, Force Chains, and Percolation in Silt-Clay Mixtures

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November 21, 2022

#### Abstract

Mudrocks serve as geological seals for carbon sequestration or hydrocarbon formation where mudrock capillary seals having high capillary entry pressure prevent leakage of underlying fluids. However, seal failure can occur if the trapped nonwetting fluid escapes by porous flow or by induced tensile fractures caused by elevated nonwetting phase pressures. Since mudrocks are mainly composed of silt and clay size grains, a silt bridging effect has been observed when there are sufficiently abundant silt size grains. This effect creates force chains across the rock to help preserve large pores and throats and can reduce the sealing capacity of a mudrock. We used network models and discrete element (DEM) models to determine the conditions under which silt abundance will cause a mudrock seal to fail and allow a non-wetting fluid like  $CO_2$  or natural gas to flow. We show that when larger grains in a grain pack become 40-60 % of total grain volume, the drainage capillary pressure curves display two percolation thresholds, and the percolation threshold transitions to a lower value allowing seal failure even below tensile fracture pressure. The DEM compaction simulations found that strong force chains are mostly formed across grain contacts between large grains and their neighbors and not between small grains, which decreases coordination numbers and shields pore space from compaction before reaching a stress limit. Thus, through better understanding of grain concentrations and sizes on fluid flow behavior, we can improve risk management efforts in anthropogenic storage and estimates of reserve capacity of reservoirs.

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# 11 Key Points:

- High silt content in mudrocks helps preserve large pore throats and lowers percolation
   threshold due to silt bridging.
- Silt bridging can create strong force chains between large grains and their neighbors on compaction upto a stress limit.
- Lower percolation threshold may allow trapped hydrocarbon or CO<sub>2</sub> to escape across the
   seal below fracture pressure.

#### 18 Abstract

19 Mudrocks serve as geological seals for carbon sequestration or hydrocarbon formation where mudrock capillary seals having high capillary entry pressure prevent leakage of underlying 20 fluids. However, seal failure can occur if the trapped nonwetting fluid escapes by porous flow or 21 22 by induced tensile fractures caused by elevated nonwetting phase pressures. Since mudrocks are mainly composed of silt and clay size grains, a silt bridging effect has been observed when there 23 are sufficiently abundant silt size grains. This effect creates force chains across the rock to help 24 preserve large pores and throats and can reduce the sealing capacity of a mudrock. We used 25 network models and discrete element (DEM) models to determine the conditions under which 26 silt abundance will cause a mudrock seal to fail and allow a non-wetting fluid like CO2 or 27 natural gas to flow. We show that when larger grains in a grain pack become 40-60 % of total 28 grain volume, the drainage capillary pressure curves display two percolation thresholds, and the 29 percolation threshold transitions to a lower value allowing seal failure even below tensile 30 fracture pressure. The DEM compaction simulations found that strong force chains are mostly 31 formed across grain contacts between large grains and their neighbors and not between small 32 grains, which decreases coordination numbers and shields pore space from compaction before 33 reaching a stress limit. Thus, through better understanding of grain concentrations and sizes on 34 fluid flow behavior, we can improve risk management efforts in anthropogenic storage and 35 estimates of reserve capacity of reservoirs. 36

*Keywords:* carbon sequestration, petroleum exploration, pore-scale modeling, mudrocks,
 capillary pressure, seal capacity

#### 39 **1. Introduction**

Mudrocks act as good seals for sequestered human refuse such as radioactive waste or carbon dioxide, and for hydrocarbons. This is due to advantageous properties like high capillary entry pressure, low permeability, high sorption capacity, high ion exchange capacity and high swelling ability (Song and Zhang, 2012). However, while mudrock seals prevent the vertical movement of fluids and trap them in reservoirs over geological timescales, they can suffer failure and allow leakage under certain conditions. This may occur through three possible ways: Darcy flow, flow through faults and fractures, or diffusion (Ingram et al., 1997).

The porosity of sediments typically decreases with depth due to consolidation and 47 48 cementation, which expels the fluids in the pore space and also reduces the size of the pores (Revil et al., 1999). The flow of a non-wetting fluid such as CO<sub>2</sub> or natural gas in a porous 49 50 medium is dependent on a well-connected system of large pores. If the larger pores are destroyed due to compaction, then the capillary percolation threshold can be higher than the tensile fracture 51 pressure, and the fluids will remain trapped below the seal until the fracture pressure is reached. 52 Such a sealing mechanism is called a hydraulic seal (Watts, 1987). However, if the fraction of 53 silt-size grains in a mudrock is sufficiently high, larger pores and throats may be preserved 54 during burial. This will lower the percolation threshold and increase the possibility of fluid 55 leakage through percolating pathways even below the fracture pressure. This occurrence has 56 been termed in literature as quartz shielding (Krushin, 1997), compaction shielding (Dawson and 57 Almon, 2002), pressure shadowing (Schieber, 2010), and silt bridging (Schneider et al., 2011). 58 Minh et al. (2014) and de Frias Lopez et al. (2016) additionally found that vertically applied 59 stress is distributed along force chains across a few grains while protecting rest of the interstitial 60 61 space from compaction. Thus, this effect (henceforth called the silt bridging effect) can significantly affect the caprock properties and sealing capacity. 62

In this paper, we build on previous work (Bihani and Daigle, 2019a), in which we studied 63 the correlated heterogeneity in mudrocks using pore scale modeling with various bidisperse grain 64 pack combinations (packings made of two different size randomly distributed grains) without 65 compaction. Our work concluded that, on increasing the fraction of silt-size grains (> 40%), the 66 percolation length (length of first path of non-wetting fluid across the geometry) and tortuosity 67 68 decreased, while permeability and pore throat sizes increased for unconsolidated bidisperse grain packs. Here, we expand our analysis to consider petrophysical properties like permeability and 69 capillary pressure of grain packs formed with and without considering the effect of gravity and 70 71 consolidation for varying grain sizes, shapes, and concentrations, and compare them with experimental results in literature. The evolution of strong and weak force chains and 72 coordination numbers for different contact types in bidisperse grain packs under uniaxial stress is 73 also analyzed using the results of discrete element method (DEM) simulations. Therefore, this 74 paper combines the approaches of 3D DEM simulations and invasion percolation simulations, in 75 76 an attempt to improve our understanding of the changes that occur in intergranular pore space during mudrock burial for various cases. 77

#### 78 2. Background

# 79 2.1 Sealing capacity of mudrocks

The sealing capacity of a caprock can be examined by considering a non-wetting phase displacing the wetting phase initially present in the pore space. The Washburn equation (1) (Washburn, 1921) states that intrusion of non-wetting fluid in a capillary of radius (r) is possible only if capillary entry pressure (P<sub>e</sub>) within the pore is exceeded:

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$$P_e = \frac{2\sigma\cos\theta}{r} = P_{nw} - P_w, \tag{1}$$

where  $\sigma$  is the interfacial tension between the wetting/non-wetting fluids,  $P_w$  and  $P_{nw}$  are pressures in the two fluids respectively, and  $\theta$  is contact angle measured through the wetting fluid.

The capillary entry pressure and corresponding pore throat size exert a first-order control on the thickness of a fluid that may be sealed beneath a mudrock. As the seal overlying a reservoir is made up of much finer grains than the reservoir rock, the pores and throats in the seal are also much smaller, which means that the capillary entry pressure of the seal is much higher than that of the reservoir. This effectively blocks the buoyant fluid migrating upwards in a waterwet reservoir from invading a capillary seal (Ingram et al., 1997).



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95 **Figure 1-** Influence of capillary pressure and grain size: A) fracturing, B) capillary invasion.

Jain and Juanes (2009) studied the relation between grain size and entry pressure and found a significant difference in behavior depending on the grain size of rock. In fine-grained rocks (Figure 1- A), the larger entry pressure favors mechanical effects and results in tensile fracturing or flow through existing fractures, since the capillary entry pressure may be greater than the sum of the minimum principal stress and the tensile strength (fracture pressure). A similar phenomenon can also occur because of dilation of rock fabric (Amann-Hildenbrand, et al., 2015). Conversely (Figure 1- B), for coarse-grained sediments having larger pores and 103 throats, the rock behaves as a rigid medium and capillary invasion likely occurs because the entry pressure is typically less the than fracture pressure. However, capillary entry does not 104 necessarily lead to flow of the nonwetting phase across the medium. The breakthrough or 105 threshold pressure  $(P_t)$  denotes the pressure at which a flow-path of non-wetting phase forms 106 across the entire pore system and the percolation threshold is exceeded (Schowalter, 1979; 107 108 Hildenbrand et al., 2002). Schlömer and Krooss (1997), and Hildenbrand et al. (2002) observed in their experiments that gas flow in mudrocks with multiple characteristic pore sizes occurred 109 110 below the fracture pressure with mudrocks exhibiting dual porosity with bimodal pore sizes. 111 Hence, mudrock seals with larger amounts of coarse grains are likely to leak by porous flow, while those having dominantly finer grains may suffer leakage through induced tensile fractures 112 (Revil et al., 1999). 113

114 **2.2 Force chains and silt bridging** 

Many studies have shown that the transport properties of mudrocks may be modeled by 115 simplifying the porous medium as a bidisperse mixture of coarse (silt-size) and fine (clay-size) 116 117 grains (Revil and Cathles, 1998; Schneider et al., 2011; Daigle and Reece, 2015; Daigle and Screaton, 2015; Daigle et al., 2015). The porosity of mixed grain size populations has been 118 studied by those interested in packings of particles in artificially created mixtures and geological 119 120 materials (Clarke, 1979; Allen, 1985; Marion et al., 1992; Koltermann and Gorelick, 1995). They found that the porosity of the mixtures differs according to the fractional concentrations of the 121 different grain sizes and their radius ratio, and that the porosity of any binary mixture is less than 122 the porosity of the individual components in the mixture In recent years, studies have also been 123 conducted by a number of researchers in civil engineering (Sanchez-Arevalo, 2013; Minh et al., 124 2014; de Frias Lopez et al., 2016; Gong and Liu, 2017; Zhou et al., 2016; Wu et al., 2017; Deng 125

and Dave, 2017; Gong et al., 2019) using DEM, which is a particle-based numerical technique to
solve for imposed forces. This has helped improve the understanding of stress-related changes
that occur in intergranular pore space of heterogeneous grain mixtures used for construction.
Therefore, applying such an approach to examine the structural, mechanical, and petrophysical
behavior of bidisperse grain packs at different grain concentrations undergoing compaction can
provide an insight into how the pore space in a mudrock evolves under overburden stress.

When a grain pack is subjected to an external loading, column-like structures for force 132 transmission are formed due to the disordered packing (Majumdar and Behringer, 2005). These 133 134 structures, called force chains, are formed across the neighboring grains in contact with each other, and can be differentiated into strong and weak force networks based on whether they are 135 larger or smaller than the average force magnitude (Radjai and Roux, 1995). Radjai et al. (1998) 136 found that the strong force network is preferentially oriented parallel to the axis of compression 137 and is more anisotropic than the weak network, since the new contacts in the strong network are 138 formed along the axis direction of shear and are lost in the direction perpendicular to it. 139 Moreover, they found that the strong force chain network carries the majority of the external load 140 and behaves like a solid with long range spatial correlations that tend to be larger than the grain 141 142 size, while the weak network behaves like an interstitial liquid and does not carry major loads. Minh et al. (2014) and de Frias Lopez et al. (2016) studied the contribution of the different 143 contact types like large-large (LL), small-large (SL) and small-small (SS) in the bidisperse grain 144 145 packs under uniaxial compression and found that with increasing large grain concentrations, the force chain networks behave differently as amount of load carried by the larger grains also 146 147 increases.

Similar behavior has also been observed in many petrographic studies of mudrocks where 148 it is seen that the large, abundantly present silt grains redistribute the overburden stress across 149 themselves and reduce the stress acting on the finer clay grains (Heling, 1970; Curtis et al. 1980; 150 Oertel, 1983; Krushnin, 1997; Dawson and Almon, 2002; Yang & Aplin, 2007; Desbois et al., 151 2009; Day-Stirrat et al., 2010; Schieber, 2010; Day-Stirrat et al., 2011; Schneider et al., 2011 152 153 Pommer and Milliken, 2015). The bridging across neighboring large silt grains forms strong force chains which preserve the pores and throats in the interstitial space, and the sheltered pores 154 and throats also remain large since the load bearing detrital grains reduce the alignment of clay 155 grains present between them. Daigle and Reece (2015) found a trend of increasing permeability 156 with decreasing clay concentrations in lattice-Boltzmann method (LBM) simulations of single-157 phase flow in high porosity systems. They found that mixture permeabilities can vary as per clay 158 concentration and type, and the porosity of the mixture. Other experiments (Marion, 1990; 159 Shakoor and Cook, 1990; Koltermann and Gorelick, 1995; Revil and Cathles, 1999; Guiltinan et 160 al., 2018) also found that if the clay content decreases, the permeability and hydraulic 161 conductivity of the silt-clay mixture increases. If silt bridging preserves a connected network of 162 larger pores, the breakthrough pressure may be relatively small, thereby increasing the possibility 163 164 of fluid leakage from the seal.

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# **3. Materials and methods**

We have used pore-scale simulations using DEM and network models to understand seal capacity in mudrocks and to determine the conditions under which a mudrock seal fails. The hypothesis we sought to test was that a mudrock seal can fail below the fracture pressure if there exists a percolating path formed due to a continuous large pore-throat system. This hypothesis was tested by simulating capillary drainage curves, permeability, force chains, and coordination numbers from bidisperse packings of spheres. To study the percolation of a buoyant, non-wetting fluid across a caprock at varying grain concentrations, simulations were conducted with different large:small grain radius ratios, with and without considering gravity and compaction during the grain pack formation and burial. Figure 2 shows the different cases tested and the procedure followed during the modeling.



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177 Figure 2- Cases and procedure in grain scale modeling

# 178 **3.1 Bidisperse grain packing creation and compaction**

To create a periodic, random system of bidisperse grains without gravity, a cooperative 179 rearrangement algorithm was employed which varied the grain radii to get an efficient grain pack 180 by minimizing porosity (Thane, 2006). We constructed grain packs with grain radius ratios (large 181 to small) 5:1, 7:1, where the radius of smaller grains on average was ~0.1 units. Such ratios 182 provided sufficiently sized grain packs with manageable computational times, representative of a 183 184 mudrock seal consisting of a fine silt and clay mixture, e.g., silt-size grains  $\sim 10-14 \,\mu m$  and claysize grains ~2  $\mu$ m (Velde, 1996). The volume percentage of large spheres (V<sub>%L</sub>) can be found 185 using equation (2): 186

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$$V_{\%L} = \frac{100 N_L R_L^3}{N_L R_L^3 + (N_T - N_L) R_S^3},$$
 (2)

where  $N_L$  is the number of large spheres,  $N_T$  is the total number of spheres,  $R_L$  is radius of larger spheres, and  $R_S$  is radius of smaller spheres. This variable was considered as the volume fraction of silt in the mudrock.

The grain packs were constructed considering gravity using two methods. Grain packs that were not compacted after creation were made with GeoDict software. The packs with bidisperse spherical grains were generated with radius ratios of 2:1, 5:1, 7:1, and 10:1 from 0 -100  $V_{\%L}$  in additions of 10  $V_{\%L}$ . Multiple grain packs were created for a particular  $V_{\%L}$  and grain packs were also generated containing ellipsoidal clay grains to examine the difference in flow behavior. The ratio of the three axes of ellipsoidal grains was 3:2:1 with the major axis half the silt grain diameter.

The bidisperse grain packs considering the effect of gravity and compaction on the grains 198 were created using LIGGGHTS, which is an open source discrete element method particle 199 simulation software package, and stands for large-scale atomic/molecular massively parallel 200 simulator (LAMMPS) improved for general granular and granular heat transfer simulations 201 (Kloss et al., 2012). Similar to the workflow in GeoDict, grain packs were created in increments 202 of 10 V<sub>%L</sub> from 0 to 100 for a radius ratio of 7:1. This ratio was chosen for simulating 203 204 compaction since it high enough to balance computational time while being representative of a mudrock. The grains were first inserted from the top in a cubic domain and allowed to settle 205 under gravity. After the grains settled, an undrained constant rate-of-strain uniaxial consolidation 206 207 test was simulated. First, the grain pack was confined between rigid walls laterally and from the bottom, while the top wall was kept as a movable servo wall. Then, the sample was compressed 208 209 by moving the top wall vertically downwards and exerting a steadily increasing axial stress on 210 the grain pack. The loading process consolidated the grain pack at a constant strain rate ( $\dot{\epsilon}$ ) of about 0.2 s<sup>-1</sup> until reaching a maximum axial stress of 2 MPa. The rigid lateral walls allowed the cross-sectional area of the grain pack to remain constant (zero lateral strain) throughout the simulation, while increasing only the axial strain. It was ensured that the process was quasi-static by keeping the inertial number ( $I_n = 2 \times 10^{-9}$ ) less than  $10^{-3}$  (Wu et al., 2017).  $I_n$  is defined as

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$$I_n = \dot{\varepsilon} \sqrt{\frac{m}{Pd}}, \qquad (3)$$

where m and d are the mass  $(4.2 \times 10^{-15} \text{ kg})$  and diameter  $(2 \times 10^{-5} \text{ m})$  of a small grain, and P is the applied stress (2 MPa). The behavior for three cases are highlighted during the test, A) Before compaction, B) Limited compaction (axial strain ~0.05), C) Final compaction (maximum axial strain > 0.2).

Figure 3A shows the variation of porosity (y-axis) with large grain concentration (x-axis) 220 as the grain packs undergo compaction. The porosity values reduce as grain packs are 221 compressed, but the reduction follows a consistent trend and the lowest porosity after 222 compaction is for the same grain size concentration ( $V_{\%L} = 60$ ) as observed before compaction. 223 Figure 3B shows the porosity trends for bidisperse grain packs with different radius ratios with 224 and without gravity, without compaction. It is seen that as large grain concentration increases, 225 the porosity decreases to a minimum around 65-70 V<sub>%L</sub> and then increases again as observed in 226 experimental bidisperse packings. However, the porosity values are higher in the absence of 227 228 compaction.



Figure 3- A) Porosity variation for 7:1G grain packs with compaction, B) Porosity variation for grain packs without compaction (G- Grain packs created with gravity, NG- Grain packs created without gravity), Modified after Bihani and Daigle (2019a).

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In the literature, the minimum porosity of silt-clay mixtures was observed to occur at different values of clay content by weight: ~0.21 (Kolterman and Gorelick, 1995); ~0.4 (Shakoor and Cook, 1990); ~0.3 (Marion, 1990) and ~0.4 shale (clay) content by volume (Revil and Cathles, 1999). Grain packs whose radius ratios are smaller deviate more from the ideal porosity model. This happens as the voids between the coarser grains become smaller than the finer grains and increase the porosity. Even if the grain packs (with and without considering gravity) are not simulated under overburden pressures (Figure 3B), values of porosities generated (0.31 - 0.45) are still comparable to sediments observed in consolidated marine clays (0.3 - 0.4) (Velde, 1996). Since the electrostatic repulsion effects and high specific surface areas of clays are not captured by the simulations, the initial porosity seen at lesser V<sub>%L</sub> fractions in Figure 3A and B (~0.42), is lower than observed in experiments (~0.6) (Koltermann and Gorelick, 1995; Revil and Cathles, 1999).

#### 245 **3.2 Delaunay tessellation**

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Using the coordinates of the sphere centers and the radii of the spherical grains, the grain pack can be converted to a pore-throat model by Delaunay tessellation (Bryant et al., 1993). As seen in Figure 4, four spherical grains that are the nearest to others form a tetrahedral pore, and a cylinder called the throat connects any two neighboring pores across the face of the tetrahedral (Behseresht et al., 2009).



Figure 4- Example of Delaunay pore (grey): A) Between equal grains (green); B) Between unequal grains (green).

The conventional Delaunay tessellation method is known to give unrealistic pores when the grains forming the tetrahedra are unequal in size (Al-Roush et al., 2003). This was resolved by modifying the tessellation method to calculate the coordinates and radii of the pores when inscribed between differently sized grains. This constituted solving a matrix containing the radii of the four neighboring grains and distances between them as independent variables, and radius of the inscribed pore as the unknown variable (Mackay, 1973). Additionally, the method was further modified to resolve complex cases where multiple tetrahedra formed overlapping pores which could lead to flattened tetrahedra with abnormally high radii (greater than the radius of the larger grain). Delaunay tessellation was employed to find the pore throat networks for all grain packs with spheres.

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# **3.3 Capillary pressure and permeability calculations**

The capillary pressure curve in the grain packs composed only of spherical grains (with and without compaction) was found by applying an invasion percolation algorithm to the obtained pore throat network. The Young-Laplace equation (4) describes the relationship between pressure to force a fluid in (or out) of a porous medium and the size of the pores (Mason and Mellor, 1995):

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$$P_{c} = \sigma C = \sigma \left(\frac{1}{R_{1}} + \frac{1}{R_{2}}\right),$$
(4)

where  $P_c$  is capillary pressure,  $\sigma$  is interfacial tension, C is fluid meniscus curvature, and  $R_1$ ,  $R_2$ 271 are principal radii of curvature. The fluid menisci at equilibrium are surfaces of constant 272 273 curvature which form the interface between the fluids. The grains were assumed to be perfectly wetting with unit interfacial tension between the fluids. During drainage, the increasing system 274 275 pressure was used to estimate the capillary pressure. At a certain system pressure, a non-wetting 276 fluid entering from one side successively passes through the connected pore throats until the pressure cannot overcome a throat's entry pressure. Thereafter, the system pressure was raised, 277 278 and the procedure was repeated until the invading fluid percolated to the opposing side. At every pressure step, the fluid saturation was calculated, which allowed construction of a capillary 279 280 drainage curve. The absolute permeability of the grain packs composed of spherical grains was found by modeling the flow of one phase and allotting conductivities to pore throats (Bryant et 281

al., 1993). Since the Delaunay tessellation cannot create pore throat networks for grain packs
containing ellipsoidal grains, after generating grain packs with ellipsoidal grains in GeoDict,
absolute permeability and capillary pressure was calculated withing GeoDict (GeoDict User
Guide, 2017). More details on the workflow are available in Bihani and Daigle (2019a).

#### **3.4 Force chain and coordination number analysis with compaction**

The results of the uniaxial test simulations (compacted grain packs) were post-processed 287 to calculate the coordination numbers and study the force chain behavior for the different grain 288 contact types across the bidisperse grain packs. For any particular grain in a pack, the 289 coordination number (number of other grains in contact) is equal to the total number of force 290 chains connected to the particular grain. These force chains can be divided into strong and weak 291 networks, depending on whether they are larger (strong) or smaller (weak) when compared with 292 the mean force magnitude. A stricter definition of differentiating strong and weak force chain 293 networks has been used in some studies (Peters et al., 2005; Zhou et al., 2016; Deng and Dave, 294 295 2017) by also considering parameters like the number of consecutive chains and the angles between them, but it has not been implemented in our simulations as it does not account for cases 296 where a force chain splits into two chains, and as the present definition gave satisfactory results. 297

Figure 5 shows the coordination numbers and strong and weak force chains in a grain pack with a high  $V_{\%L}$ . The total coordination number for a grain is the sum of the grain-to-grain contacts with all the neighboring large (orange) and small (green) grains, and is also the sum of the total strong (black) and weak (blue) force chains connected to that grain. For every step in the compaction process, the mean coordination number of the large grains in the pack was calculated for all the force chains as well as the strong chains. Similar calculations were conducted for the small grains in all the grain packs undergoing compaction. Among all the strong chains in a pack for a particular compaction step, the fraction of large-large, small-large and small-small chains were calculated, and the evolution of their trend with respect to the axial strain was measured for the grain packs with varying large grain concentrations.



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Figure 5- Coordination numbers (L- large orange grains, S- small green grains), weak (blue) and
 strong (black) force chain networks in a grain pack.

# 311 **3.5 Comparison with experimental results**

To compare the permeability and capillary pressure results from our simulations and the 312 experiments in literature, the simulation results need to be converted to the appropriate units. The 313 permeability (in Darcies) was calculated by assuming the silt grains from the simulations with a 314 radius of 0.7 normalized units to be equivalent to a silt grain with radius of 4  $\mu$ m. The capillary 315 316 pressure was scaled to CO<sub>2</sub>-brine system (MPa) from the unit interfacial tension and zero contact angle using the Washburn equation (1), assuming interfacial tension of CO<sub>2</sub>-brine system equal 317 to 0.03 N/m and keeping the wetting angle equal to zero degrees (Guiltinan et al., 2018). The 318 319 entry pressure and the threshold pressure values were interpreted from the capillary pressure curve based on the first inflection point and the first percolation threshold observed and in some 320 cases the entry pressure and threshold pressure were found to be the same. Thereafter, the 321

- 322 absolute permeability and capillary pressure results of our simulations were compared with those
- from Crawford et al. (2008) and Guiltinan et al. (2018).
- 324 **4.0 Results**
- 325 **4.1 Representative elementary volume check**

While representative elementary volume (REV) can be checked using variables like 326 number of grains or pores, we designed a porosity-based method to check if the simulated grain 327 pack sample was larger than the minimum volume required for accurate computation (Blunt, 328 2017). The porosities of subsamples of the control volume were calculated at various length 329 scales in all three dimensions and then plotted. In Figure 6 A, we can see the REV plot of a cubic 330 331 grain pack having 60  $V_{\%L}$  and 10:1 G ratio with length 4.7 units. When the subsample lengths approach the sample length, the porosities narrow towards a mean value (0.306), and the 332 standard deviation decreases to zero (Figure 6 B). This shows that grain pack is greater than the 333 334 required REV size.





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4.2 Drainage capillary pressure

Figure 7 shows the drainage curves of grain packs with radius ratio 7:1 (with gravity and compaction) in increments of 10  $V_{\%L}$  having the wetting saturation on x-axis, and the capillary pressure on the y-axis. The capillary pressure values are dimensionless due to the assumption of

 $0^{\circ}$  wetting angle on the grains and unit interfacial tension. The capillary pressure behavior before 341 compaction (Figure 7A) shows two distinct thresholds (lower thresholds around 15-20, and 342 higher thresholds around 60-70) and increasing the concentration of larger grains cause the 343 transition from lower to higher threshold to be at successively smaller values of wetting fluid 344 saturation. Similar behavior was seen in the curves for different radius ratios without compaction 345 (Figure S1-S5 available in supporting information). The percolation thresholds increase on 346 compaction, which is small for limited compaction thresholds (lower thresholds around 15-20, 347 and higher thresholds around 65-75) in Figure 7B, but is more significant at end of compaction 348 (lower thresholds around 18-25, and higher thresholds around 75-155) in Figure 7C. This is 349 possibly since the size of pores and throats will decrease under increasing compaction, which 350 increases the capillary pressure required for a non-wetting fluid to percolate across the medium. 351



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Figure 7- A, B, C - Drainage capillary pressure curves for different V%L (grain packs of ratio 7:1 G with compaction).

concentrations without compaction. The porosity and permeability in Figure 8A show similar 356 behavior as those with spherical clay grains. Permeability (normalized) successively increases 357 with increasing fraction of silt grains, and porosity values first reduce and then increase. Figure 358 8B shows normalized capillary pressure curves for different values of V<sub>%L</sub>, where percolation 359 thresholds occur at progressively smaller values with increasing  $V_{\%L}$  as in the spherical clay 360 grain packs. The lower percolation threshold pressure (values 50 to 60) corresponds to larger 361 radii (smaller curvatures) while the higher threshold (values 90 to 100) corresponds to smaller 362 radii (larger curvatures). 363





As during the capillary pressure curve generation for all grain packs, the pressure was controlled and not the fluid saturation, and as the capillary pressure curves generated were relatively flat, each curve had a small number of different saturation values. Hence, as the generated relative permeability curves too had fewer data points and did not offer any significant addition to the results, they are not included.

### 372 **4.3 Absolute permeability**

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As the absolute permeability of a rock depends on the geometry of its connected pore network and the direction, the vertical absolute permeability for the sphere-packs was simulated

and is shown in Figure 9A, and the values are normalized by square of the domain length. As the 375 large grain concentration is increased, the permeability increase is not significant for lower 376 values (< 35  $V_{\%L}$ ). However, the permeability values for grain packs of all radius ratios increase 377 steadily from 35  $V_{\%L}$  until 70  $V_{\%L}$ , after which the values remain constant. The permeability 378 values for grain packs without gravity (NG) are higher than the corresponding grain ratio grain 379 380 packs with gravity (G) with more scatter, possibly due to the less dense and more random packing of grains. Figure 9B shows the absolute permeability for 7:1 G grain packs with 381 compaction. The absolute permeability values decrease with compaction, since the values at end 382 of compaction are lower than those at limited compaction, which are lower than those before 383 beginning compaction. 384

Figure 9C shows the cross-plot of absolute porosity and permeability for 10:1 G grain 385 packs from 10 to 70 V<sub>%L</sub>. Despite some scatter seen due to grain pack heterogeneity, in general, 386 the absolute permeability increases with decreasing porosity. This occurs because with 387 increasing large grain concentration, the porosity initially decreases (Figure 3), and the absolute 388 permeability increases (Figure 9A). Also, there are two trends seen in the plot. For a single 389 porosity, the permeability increases as the proportion of silt grains increases, likely because of 390 391 the preserved larger pore throats. Secondly, as porosity decreases, the permeability only remains constant if the concentration of large grain increases. This indicates there is a competing effect 392 between the permeability reducing following a decrease in porosity, and the effect of more large 393 394 grain concentrations causing an increase in the permeability.



Figure 9- A) Absolute permeability of the simulated grain packs without compaction (G- Grain packs created with gravity, NG- Grain packs created without gravity), B) Absolute permeability of 7:1 G grain packs with compaction, C) Cross-plot of porosity and absolute permeability for 10:1 G grain packs from 10-70 V%L with data labels of V%L.

# 400 **4.4 Capillary pressure and permeability comparison with experiments**

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The absolute permeability (in Darcy) of the grain packs without compaction for the 401 radius ratios 10:1, 7:1, 5:1 G and grain packs with ellipsoidal clay grains are shown in Figure 402 10A, and for radius ratios 7:1 G for different compactions are shown in Figure 10D. These 403 simulation results are compared with the experimental results of Crawford et al. (2008) and 404 Guiltinan et al. (2018) as a function of the silt concentration. The trends from both, simulations 405 and experiments in Figure 10A and D seem to be similar with absolute permeability increasing 406 with silt concentration. In both figures at lower silt concentrations, the simulated permeability 407 values are observed to be higher than the experiments but become similar at larger silt fractions 408

- 409 (~75  $V_{\%L}$ ). In Figure 10D, the permeability values before compaction and for limited compaction
- 410 are high ( $>10^{-4}$  D), while they are observed to decrease by the end of compaction, especially for



411  $V_{\%L} < 40.$ 

412



416 (compaction). (BC- Before compaction; LC- Limited compaction; AC- After compaction).

The entry pressure and the threshold pressure of the grain packs without compaction 417 (Figure 10B and C) and with compaction (Figure 10E and F) are compared with the experimental 418 results of Guiltinan et al. (2018) as a function of the silt concentration. Both the simulations and 419 experiments follow the general trend of higher values at low silt concentrations which decrease 420 with increasing silt fraction. However, the simulated values are not as high as those observed in 421 422 experiments, especially at low silt concentrations (< 20  $V_{\text{ML}}$ ). In Figure 10B, the simulation entry pressure values are about 0.6 - 0.8 MPa at  $V_{\%L} < 15$  but decrease to 0.2 - 0.3 MPa for  $V_{\%L} >$ 423 15%, and further decrease to < 0.1 MPa for V<sub>%L</sub> > 50. While the entry pressure values in Figure 424 10E follow a similar trend, the entry pressure for  $V_{\%L} < 20$  after compaction is comparable to the 425 experimental values (> 1 MPa). In Figure 10C, the simulation threshold pressure values are 0.6 -426 0.8 MPa for  $V_{\%L} < 50\%$  and decrease to ~0.2 MPa for  $V_{\%L} > 50$ . In Figure 10F, the threshold 427 pressure trend for cases before compaction or at limited compaction is similar to Figure 10C, 428 where values become < 0.5 MPa for V<sub>%L</sub> > 50. However, the threshold pressures are larger than 429 the experimental values at for grain packs at end of compaction for  $10 < V_{\%L} < 70$ . 430

### 431 **4.5 Force chain and coordination number analysis during compaction**

Figure 11A, B, C, D shows the mean coordination numbers of 7:1 G grain packs as a function of axial strain during compaction. Figure 11A shows the mean coordination number of large grains for all force chains (LG, AFC), Figure 11B shows the mean coordination number of small grains for all force chains (SG, AFC), Figure 11C shows the mean coordination number of large grains for strong force chains (LG, SFC), and Figure 11D shows the mean coordination number of small grains for strong force chains (SG, SFC). For each plot, observations about coordination number can be made for increasing axial strain and for increasing  $V_{\%L}$ .



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Figure 11- Mean coordination numbers of grain packs as a function of axial strain. A) large
grains for all force chains, B) Small grains for all force chains, C) Large grains for strong force
chains, D) Small grains for strong force chains.

In Figure 11A, with increasing axial strain, the mean coordination number- large grains, 443 all force chains, for grain packs with V<sub>%L</sub> from 10 to 70 first decreases from a range of 75 - 122 444 to a range of 60 - 115 when the axial strain reaches a value of ~0.05, and then begins increases 445 again for the later stages of compaction to a final range of 138 - 190. At one fixed strain, with 446 increasing concentration of large grains, the mean coordination number decreases, possibly due 447 to fewer number of small grains being available for close contact with the larger grains. For grain 448 packs greater than 70 V<sub>%L</sub>, the behavior is noticeably different with much smaller values (4 - 15), 449 as the grain pack matrix is made up of large grains with a very small population of small grains 450

existing in the interstitial space, whereas before 70  $V_{\%L}$ , the mean coordination number is high as a large number of small grains are in contact with every large grain in the pack and the matrix is made up of small grains.

In Figure 11B, C, and D the behavior for mean coordination numbers as a function of 454 axial strain is somewhat similar to Figure 11A, whereby with increasing strain, the values 455 456 initially decrease before increasing again, and for a single strain, the values decrease with increasing large grain concentration. However, in Figure 11B, the values of coordination 457 numbers are smaller as the small grains are only in contact with few other grains compared to the 458 large number of small grains one large grain can contact. In Figure 11C, the values of 459 coordination numbers are smaller than those in Figure 11A, as only the strong chains are 460 considered for the calculations. Similarly, in Figure 11D, the values of coordination numbers are 461 smaller than those in Figure 11B, as only the strong chains are considered for the calculations. 462

Figure 12A, B, C shows the fraction of strong force chains and D shows the contact type 463 contributions in 7:1 G grain packs as a function of axial strain during compaction. For each plot 464 in Figure 12, observations can be made for increasing axial strain and for increasing V<sub>%L</sub>. Figure 465 12A shows the fraction of strong chains connected across large-large grains as a function of axial 466 467 strain. For V<sub>%L</sub> values greater than 70%, almost all the strong chains are across the large-large grains as the large grains form the matrix. For  $V_{\%L} < 70$ , the large-large fractions of strong chains 468 are a small portion of the total strong chains, as very few large grains are in contact with each 469 470 other and with decreasing large grain concentrations, the values successively decrease further. It is also seen that for grain concentrations of  $40 < V_{\%L} < 70$ , as strain increases, there is a slight 471 472 increase in the values until reaching an axial strain of ~0.05, before decreasing again. Figure 12B 473 shows the fraction of strong chains connected across small-large grains as a function of axial

strain. While for  $V_{\&L}$  concentrations < 20 and > 80, the fraction of strong chains is lower than 474 0.05, the small-large fraction of strong chains increases as the  $V_{\%L}$  concentrations increases 475 steadily from 20 to 70. Moreover, the fraction values for grain concentrations of  $40 < V_{\%L} < 70$ , 476 increase with increasing axial strain before becoming constant. Figure 12C shows the fraction of 477 strong chains connected across small-small grains as a function of axial strain. At lower V<sub>%L</sub>, the 478 fraction is close to 1 and decreases steadily with increasing large grain concentrations until 479 reaching 70 V<sub>%L</sub>. The small-small fraction of strong chains at V<sub>%L</sub> > 70 is on the order of  $10^{-3}$  and 480 is not seen in the plot. The fraction values for grain packs with grain concentrations of  $40 < V_{\%L}$ 481 < 70, decrease as the axial strain increases until ~0.05 and thereafter, become constant. 482



483

Figure 12- A) Fraction of strong chains connected across large-large grains, B) Fraction of
 strong chains connected across small-large grains, C) Fraction of strong chains connected across
 small-small grains, D) Contact type contribution as function of large grain concentration.

Building on the observations from Figures 12A, B, C, the Figure 12D shows the 487 comparison of the large-large, small-large, and small-small fraction of strong chains before 488 compaction and for limited compaction (axial strain = 0.05). For both cases, as fraction of large 489 grains increases, contribution of small-small chains to the strong chains steadily decreases, the 490 contribution of large-large chains to the strong chains steadily increases, and the contribution of 491 492 small-large chains to the strong chains increases to a maximum value at 70 V%L and then decreases. Moreover, there is a slight increase in the small-large and large-large fractions at a 493 particular  $V_{\%L}$ , when a grain pack is subjected to limited compaction. 494

### 495 5.0 Discussion

Figure 13 shows a 2D schematic of a grain pack, where the gravity on the grains acts 496 vertically downwards. Because of silt bridging, the large pore throats may be sheltered between a 497 system of large grains as fraction of larger grains increases (Schneider et al., 2011). This can 498 happen even when the matrix of the grain pack is made up of smaller grains since the grains who 499 are part of the strong force chains transfer the vertical stress on grains below them and shield the 500 laterally present grains, thus creating a bimodal pore and throat distribution. This is further 501 helped by the larger grains distorting the small grain network near their surfaces and creating 502 503 larger voids.





506 **5.1 Capillary pressure** 

504

Observing the threshold capillary pressure values in Figures 7 and 10 suggests that the 507 percolation threshold is higher for low V<sub>%L</sub>, since the smaller pore-throat network does not allow 508 the non-wetting fluid to flow through the grain pack. However, once large grains are abundant 509 510 enough to preserve larger pore-throat networks (40 - 60  $V_{\%L}$ ), the non-wetting fluid can percolate, and the percolation threshold is lowered. This behavior is dependent on the silt 511 bridging effect until the minimum porosity where the grain pack matrix transitions to the larger 512 grains, resulting in the smaller percolation threshold completely controlling non-wetting phase 513 transport. In Figure 7, as the grain packs get compacted the pores and throats start becoming 514 smaller. For lower axial strains ( $\leq 0.05$ ), the strong force chains formed across the larger grains 515 shelters the pores and throats from compaction, which allows the percolation thresholds to stay 516 close to the values before compaction. However, beyond a stress limit, the force chains cannot 517 bear the stress, and the preserved pore space gets destroyed which increases the percolation 518 threshold. Capillary pressure curves for ellipsoidal clay grain packs in Figure 8B show a trend 519 similar to the grain packs with bidisperse spheres, with progressively lower percolation 520 thresholds seen at higher silt grain concentrations (>40 V<sub>%L</sub>). As the elliptical grains are flatter 521

and smaller than the spherical grains, they fit better between the spherical grains and lead to higher capillary pressures even at high wetting saturations. However, the transition between the threshold values was observed to be more gradual rather than sharp, as the ellipsoidal grains may have caused a wider distribution of pore-throat radii values.

Torskaya (2013) investigated capillary pressure behavior using alternating layers of large 526 527 and small spherical grains of radius ratio 3:1 and multiple cases of varying grain fractions. For vertical flow, only a single, high percolation threshold was observed due to the small pore-528 throats encountered for all flow-paths in the fine-grained layer. However, the capillary pressure 529 behavior for horizontal flow was similar to our results with two percolation thresholds. As the 530 grains and the corresponding pore-throat sizes offered competing pathways for the fluid, initially 531 the layer of larger grains was invaded followed by invasion in the fine-grained one, resulting in 532 two percolation thresholds. This behavior is analogous to our results where the dispersed grains 533 of two sizes create a dual porosity system, and the fraction of larger pore-throats depends on the 534 fraction of larger grains observed in the system. In their resedimentation experiments, Guiltinan 535 et al. (2018) found that increasing the pore space between smaller grains affects the breakthrough 536 pressure and permeability, even though the larger grains affect the mudrock structure. This is 537 538 consistent with why higher pressures (> 0.6 MPa) are observed at lower  $V_{\%L}$  in Figure 10B and C. Moreover, in Figure 10E and F, the entry pressure and threshold pressure values are even 539 higher (> 1 MPa) for grain packs with low silt concentrations (<  $30 V_{\%L}$ ) at end of compaction, 540 due to decrease in the void space between the finer grains. 541

542 5.2 Absolute permeability

543 Our simulations investigated the dependence of permeability on factors like volume 544 fraction of clay and the associated porosity, the total porosity, grain size and shape, the packing

and compaction, and compared them with previously published results in literature. In Figure 9A 545 and 10A, when the large grain fraction is below 35 V<sub>%L</sub>, the absolute permeability is low as the 546 clay grains create impediments for flow through the pore throat network (Revil and Cathles, 547 1999). The gradually increasing absolute permeability after 35  $V_{\%L}$ , is similar to the results seen 548 by Daigle and Reece (2015) in their simulations for dilute systems, and in mixture experiments 549 550 by Marion (1990), Shakoor and Cook (1990), Schneider et al. (2011), Crawford et al. (2008), and Guiltinan et al. (2018) which could be possibly attributed to silt bridging. At 70 V<sub>%L</sub>, when 551 minimum porosity is reached, the matrix transitions to coarser grains as finer grains are no longer 552 sufficient enough to form a continuous network. However, the finer grains fit in the voids 553 between the larger grains and reduce the volume available for flow. This may be a reason why 554 permeability remains constant by opposing the effect of silt bridging. For the cases of grain 555 packs without gravity, the absolute permeability values are higher than the corresponding grain 556 ratio grain packs with gravity. This could be due to the less dense grain packs formed by the 557 cooperative rearrangement method, resulting in an early onset of the silt bridging effect. 558

The competing effect of reducing porosity and increasing large grain concentration on 559 permeability is better seen in Figure 9C. As the large grain concentration in a grain pack 560 561 increases, the porosity reduces as expected in a bidisperse packing, which has a negative effect on the permeability. However, with increasing large grain concentrations, the obstructions to 562 fluid flow are reduced due to the large pores and throats and leads to an increase in the absolute 563 564 permeability. This behavior was also observed for the grain packs with ellipsoidal grains where clay grains were less aligned at higher large grain concentrations (Figure 8A). Moreover, in 565 Figure 9C, there are some instances where at a single porosity, there is a significant difference in 566 567 permeability for different concentrations of larger grains. This behavior is similar to what was

seen by Schneider et al. (2011), where for Boston Blue clay and silt mixtures, the vertical 568 permeability differed by an order of magnitude for the same porosity at different clay 569 concentrations. They observed that for their 57% clay sample, the permeability was lowest, as 570 the clay particles were well-aligned and the pores were small, while in their 36% clay sample, 571 the pores were larger and concentrated around silt-size grains with less alignment of clay grains. 572 573 Yang and Aplin (2010) used data from 303 mudrock samples with varying range of clay content (12-97%), to examine the porosity-permeability relationship. They also observed that the 574 variability in the relationship is mainly controlled by the clay content, with a higher permeability 575 576 at the same porosity for a lower clay content.

For the cases of grain packs undergoing compaction, in Figure 9B and 10B, the 577 permeability values for limited compaction are slightly lower than those before compaction but 578 reduce more significantly by the end of compaction, especially for  $40 < V_{\%L}$  which have fewer 579 silt grains to form force chains and shelter pores and throats. For the experimental results showed 580 for comparison in Figure 10, the resedimentation slurry used by Crawford et al. (2008) and 581 Guiltinan et al. (2018) consisted of quartz and kaolinite at different volume fractions which has 582 undergone consolidation and cementation. However, the simulated grain packs shown in Figure 583 584 10 do not undergo any cementation, even if some grain packs are compacted. The grain packs are also bimodal in nature with grains of fixed sizes and shapes (spheres or ellipsoids). As a result, 585 the void space in the grain packs may be greater than the slurry used in experiments, especially at 586 587 smaller silt concentrations. This can cause a deviation between simulation and experimental results where the simulated permeability is greater (Figure 10A, D), and the simulated capillary 588 589 entry pressures (Figure 10B, E) and threshold pressures (Figure 10C, F) are smaller than the 590 experimental values. At larger silt concentrations, the experimental and simulation values may be

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591 closer to each other possibly due to more load-bearing silt grains in the slurry leading to 592 increased pore-throat sizes, similar to the grain packs in the simulations.

### 593 **5.3 Force chain and coordination number analysis**

# 594 **5.3.1 Effect of grain pack compaction**

Figure 14-1, 2, 3 shows an example of three cases for a 60  $V_{\%L}$  grain pack subjected to uniaxial testing, (14-1) Before compaction, (14-2) Limited compaction (axial strain ~0.05), (14-3) Final compaction with a) 3D structure, b) 2D cross-section, and c) force chains shown for each case. Figure 14-4 shows a 2D schematic of the evolution of the pore space in the grain pack for the three compaction cases to better understand the behavior.

Before compaction (Figure 14-4a), the grains are in a less stable configuration with the 600 coordination numbers between the small and larger grains (SL) being less than the maximum 601 possible value (similar to Figure 14-1a, 1b). As seen in the example force chain diagram in 602 Figure 14-1c, the force chains before compaction all have mostly similar values with some lower 603 604 force chains having a relatively higher value (red) because of the weight of the grains over them. Due to the high number of smaller grains in the pack, the majority of the strong chains are 605 formed between small-small grains, with an intermediate fraction of small-large chains, and a 606 very low fraction of large-large chains in the strong chain fraction. 607

In the limited compaction case (axial strain = 0.05), the larger grains in the 3D structure (Figure 14-2a), no longer protrude from the top due to the force imposed by the top wall moving downwards. As seen in Figure 14-4a, the grain packs undergo compaction and the grains try to find a more stable configuration with grains (especially larger ones) moving closer to each other (similar to Figure 14-2a, 2b). As the stress gets transferred downwards across the force chains, the grains which are a part of the strong chains form linear chain-like structures in the vertical

direction (Deng and Dave, 2017). As seen in the strong chains (red) in Figure 14-2c, the transfer 614 of vertical stress from the large grains to the grains below them (large or small), can shelter the 615 smaller grains laterally present beside them from the vertical stress, and increase the large-large 616 and small-large fraction of strong chains (Figure 12A, B), at the cost of the small-small chain 617 fraction (Figure 12C). The compression can also push out the smaller grains lodged between the 618 619 larger grains, leading to more large-large force chains formed between two larger grains in contact. Since there is an increase in the fraction of large grains which bear the vertical stress, it 620 allows the grains in the weak network to settle stress-free, thereby preserving large interstitial 621 622 pores (silt bridging). The grain realignment which creates linear structures across the grains is called jamming (Majumdar and Behringer, 2005), and can reduce the total number of contacts 623 (coordination number) between the grains. It may occur as the jammed smaller grains in force 624 chains lose lateral contact with the surrounding grains. This is observed during the transition 625 from Figure 14-4a to b, and in Figure 11, where the mean coordination numbers in all the plots 626 initially decrease before reaching an axial strain of ~0.05. Moreover, on comparing Figure 11A 627 and C, or Figure 11B and D, it seen that the mean coordination number in strong chains is always 628 lower than the mean coordination number in all force chains and may be an indication of the 629 630 larger pore space formed in the grain surroundings. Tordesillas (2007) and Zhou et al. (2016) inferred that decreasing coordination numbers after compression of grain packs could be due to 631 self-organization of the granular mixtures, where intergranular contact loss occurs in some grains 632 633 due to axial loading. This behavior continues until a peak stress (limited compaction), where a minimum coordination number is reached, and the self-regulating contact network can no longer 634 635 take any more external stress. Wu et al. (2017) conducted DEM compaction simulations of 636 binary mixtures of radius ratio 2.5 and observed a decrease in the coordination numbers during

compaction up to a certain limit. They also observed that the coordination numbers for large and
 small grains decreased as the proportion of large grains increased, due to underfilling of small
 grains in the interstitial space between large grains.

On further axial compaction (Figure 14-4c), the force chains that have been formed 640 across grain contacts are no longer sufficient to bear the load and force chain failure may occur 641 642 through buckling or frictional slip, which unjams the grains (Tordesillas and Muthuswamy, 2009). Such force chain failures can be due to mobilization of the grain contacts by rolling or 643 sliding (Tordesillas, 2007), which will shift the grains out of the strong force chains and allow 644 the axial compression to cause loss of the pore space. Hence, after sustained compaction, the 645 small grains are highly compressed and occupy all the available space (similar to Figure 14-3a, 646 3b). This leads to an increase in the number of grain contacts for any small or large grain, leading 647 to increased mean coordination numbers. This behavior can be observed in transition from 648 Figure 14-4b to c, and in Figure 11 for all the plots. While the unjamming due to compaction 649 may reduce number of contacts between two large grains, and a decrease in large-large fraction 650 of strong chains (Figure 12A), the vertical stress is still transferred from the larger grains to the 651 grains below them. The large number of small grains surrounding one large grain (cf. Figure 14-652 653 3c) will increase the number of small-large type strong force chains (Figure 12B), while decreasing the small-small chain fractions (Figure 12C). 654



Figure 14- 60 V%L grain pack subjected to uniaxial testing- 1a) 3D structure before compaction, 1b) 2D cross-section before compaction, 1c) force chains before compaction, 2a) 3D structure on limited compaction, 2b) 2D cross-section on limited compaction, 2c) force chains on limited compaction.



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Figure 14 (continued)- 60 V%L grain pack subjected to uniaxial testing- 3a) 3D structure at
final compaction, 3b) 2D cross-section at final compaction, 3c) force chains at final compaction,
4a) 2D schematic of grain pack before compaction, 4b) 2D schematic of grain pack on limited
compaction, 4c) 2D schematic of grain pack at final compaction. (SL- small-large grain contacts,
LL- large-large grain contacts).

666 5.3.2 Effect of increasing V%L in grain packs

667 Zhou et al. (2016) studied the effect of varying the radius ratio of binary mixtures during 668 the DEM compression experiments and found that for larger radius ratios, there are many small 669 grains with low coordination numbers due to the larger pore space between the larger grains 670 preventing the smaller grains from contacting all the grains around them, thereby protecting them 671 from the external stress. They also found that as radius ratio increases, the importance of large-672 large contacts in strong force chains also increases. This is because for size ratios > 4.4, the small grain is too large to fit in the void space between four large spheres, so the large grains lose
contact with each other. Conversely, for cases like typical mudrocks where radius ratios are <</li>
4.4, a small grain between larger grains becomes too small to contact all neighboring large grains
(Zhou et al., 2016; de Frias Lopez et al., 2016).

For a grain pack with only small grains ( $V_{\%L} = 0$ ), the mean coordination number is 677 678 always less than 10 (Figure 11B), as there are no large grains with a high surface area for contact and all the strong chains are made of small-small connections for all axial strains. As the large 679 grain concentration increases ( $0 < V_{\%L} < 20$ ), the interactions between the large and the small 680 grains begin increasing. The number of small grains is very high so the mean coordination 681 number for large grains considering all force chains (Figure 11A) ranges very high (~120 before 682 compaction; ~90 at final compaction). While majority of the strong force chains remain between 683 small grains (> 0.95) (Figure 12C), the small-large fraction of strong chains increases due to 684 grain interactions (Figure 12B). At even greater large grain concentrations ( $20 < V_{\%L} < 70$ ), the 685 mean coordination numbers (before and after compaction) decrease as the number of small 686 grains in the pack decrease (Figure 11). However, with increasing number of large grains, higher 687 interactions between large and small grains causes an increase in the small-large fraction of 688 689 strong chains (Figure 12B), since the vertical stress is transferred across the larger grains from the grains above or below them. Similarly, as V<sub>%L</sub> increases, the contacts between the large 690 grains also increase which causes an increase in the large-large fraction of strong chains (Figure 691 692 12C). However, as the grain matrix is made up of smaller grains, the large-large grain contacts are much fewer in number than the small-large grain contacts, as seen in Figure 12D. At large 693 694 grain concentrations greater than 70%, the behavior changes drastically as the larger grains form 695 the matrix of the grain packs, and almost all the strong chains are formed across the large-large

contacts (Figure 12A), with a smaller fraction of small-large and small-small strong force chains
(Figure 12B, C, D). The reduced number of small grains also decreases the mean coordination
number (Figure 11).

Minh et al. (2014), de Frias Lopez et al. (2016), and Gong and Liu (2017) studied the 699 force transmission and grain fabric of binary mixtures using three-dimensional DEM 700 701 compression simulations for civil engineering studies. Based on the different contact type contributions to strong force chains as a function of the large grain concentrations, they observed 702 cases for the grain packs similar to Figure 12D. Gong et al. (2019) also observed that after 703 704 sustained compaction, the contribution of small-large fraction of force chains increased, while the small-small and large-large fraction decreased. Li and Wong (2016) conducted experiments 705 and simulations of mudrock compaction. Their 2D finite element compaction simulations 706 showed that for samples with low clay concentrations, there is strong stress concentration on the 707 non-clay minerals and for samples with high clay volume fractions, the vertical stress and fabric 708 orientation was more uniformly distributed. Thus, the concentration and distribution of different 709 grain sizes plays a key role in the pore and throat size changes during compaction. It may prove 710 useful to conduct reconsolidation experiments with different amounts of compression and grain 711 712 concentrations to compare them with the simulation results.

# 713 **6.0 Conclusion**

Understanding the properties at the grain and pore scale that influence capillary entry pressure is an important component of risk assessment and prospect evaluation. Prediction of permeability is especially difficult in mudrocks as the sediments are typically a heterogeneous mixture made of different grains shapes and sizes (Daigle and Screaton, 2015). In this study, capillary pressure curves and permeability were simulated for multiple cases each of numerous

719 bidisperse spherical grain packs with varying radius ratios (10:1, 7:1, 5:1, 2:1) and concentrations both, with and without considering the effect of gravitational force and 720 compaction on the grain packs. Moreover, simulations were also conducted for grain packs with 721 ellipsoidal clay grains to mimic mudrocks better. The wide variety of cases ensured that effects 722 of different variables on the petrophysics were captured in a comprehensive manner. Our 723 724 simulations assume regular grain shapes such as spheres and ellipsoids for reducing simulation time and complexity. Since our simulations do not account for osmotic, electrical, or 725 geochemical forces, it can create some deviations from experimental results. However, our work 726 727 is directed towards studying the influence of grain size, shape, and distribution in mudrocks on fluid flow, without considering cementation or low porosity rocks. Hence, our grain packs serve 728 729 as adequate representations for natural mudrocks despite these limitations.

Regardless of the changes in variables, all simulation results showed that on successive 730 increase of larger spheres (silt grains) in a grain pack, the capillary pressure curves displayed two 731 percolation thresholds, probably due to larger pore throats being sheltered adjacent to larger 732 grains because of silt bridging. This was confirmed from the DEM simulations that showed that 733 on increasing large grain concentration in the grain packs even before the matrix transition to 734 735 large grains, there is formation of strong force chains across large-large and small-large grain contacts during compaction. This decreases the coordination numbers of the grains and shields 736 the larger pores. However, this is only observed up to a certain stress limit (limited compaction), 737 738 beyond which the increasing axial stress overwhelms the silt-bridging effect and the larger pores begin to collapse. It was also observed that at low values of larger grain concentrations, the 739 740 smaller pore network did not let the invading fluid percolate across the grain pack, which 741 preserves integrity of the mudrock seal. However, on sufficiently increasing the larger grain

concentration  $(40 - 60 V_{\%L})$ , the larger pore-throat system lowers the capillary percolation 742 threshold, which allows the non-wetting fluid to flow through the grain pack. This can therefore 743 cause seal failure even below the fracture pressure during CO<sub>2</sub> sequestration or hydrocarbon 744 exploration. For example, the CO<sub>2</sub> plume in the Sleipner storage project ascended through eight 745 thinner shales before being trapped under a thicker seal (Cavanagh and Haszeldine, 2014). The 746 fluid migration was interpreted as a gravity driven percolation aided by low breakthrough 747 pressures, microfractures and rock heterogeneity. The caprock samples collected at ~900 meters 748 below seafloor had a concentration of 40 - 45 % silt-size grains with a porosity of 0.34 - 0.36 749 750 (Springer and Lindgren, 2006), which is similar to the disadvantageous seal properties caused by silt bridging. 751

Therefore, knowledge of the compaction, silt concentration, and grain size in mudrock seals, can make it possible to estimate the extent of silt bridging in the mudrock and thus, better quantify the sealing capacity. Understanding this phenomenon better will help advance our knowledge of pore systems and fluid flow in shales and mudrocks. Ultimately, this may affect risk management efforts during petroleum exploration or carbon sequestration by providing a more accurate understanding of seal quality.

#### 758 7.0 Acknowledgements

We are grateful to Dr. Maša Prodanović for the invasion percolation code, and the Delaunay tessellation code and, to Trikon Technologies Inc. for providing an evaluation version of GeoDict. Funding was provided by the University of Texas at Austin and Equinor through the Statoil (Equinor) Fellowship program.

763	8.0 Data Availability
764	The datasets (bidisperse grain packs formed under gravity) used in this paper are stored
765	on the Digital Rocks Portal (Bihani & Daigle, 2019b). The code created for the constant rate-of-
766	strain uniaxial consolidation test (LIGGGHTS) and post-processing (MATLAB) is preserved on
767	Zenodo (Bihani and Daigle, 2020), and a copy is also available in a GitHub repository at
768	https://github.com/abhishekdbihani/compaction_LIGGGHTS.
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