Uncovering the role of thermal inertia in establishing the seasonal Arctic warming pattern

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Abstract

The observed and projected Arctic warming pattern is characterized by an early winter maximum and a summer minimum. While a robust feature of Arctic climate change, the seasonal expression of surface warming remains incompletely understood. Previous explanations attribute the seasonality to surface energy budget changes induced by climate feedbacks. However, these hypotheses cannot explain key features of the simulated seasonal structure: seasonal heating rate changes and the early winter warming maximum. We find that the increase in the thermal inertia of the Arctic system due to the transition from a lower thermal inertia surface (sea ice cover) to a higher thermal inertia surface (ice free ocean) captures these key seasonal features. Our analysis shows that the early winter Arctic warming maximum results from a slowing of the background surface cooling rate from summer to winter, not from an additional net energy input into the Arctic surface during that time.

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20	This PDF file includes:
21 22 23 24	Main Text Figures 1 to 6 Supplementary Information

25 Abstract

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27 The observed and projected Arctic warming pattern is characterized by an early winter maximum and a summer minimum. While a robust feature of Arctic climate change, the 28 seasonal expression of surface warming remains incompletely understood. Previous 29 explanations attribute the seasonality to surface energy budget changes induced by climate 30 feedbacks. However, these hypotheses cannot explain key features of the simulated 31 seasonal structure: seasonal heating rate changes and the early winter warming maximum. 32 We find that the increase in the thermal inertia of the Arctic system due to the transition 33 from a lower thermal inertia surface (sea ice cover) to a higher thermal inertia surface (ice-34 free ocean) captures these key seasonal features. Our analysis shows that the early winter 35 Arctic warming maximum results from a slowing of the background surface cooling rate 36 from summer to winter, not from an additional net energy input into the Arctic surface 37 during that time. 38

39 Significance Statement

Arctic warming and its seasonal pattern are salient features of climate projections; yet, an 40 understanding of process drivers and sources responsible for its large inter-model spread 41 remain unclear. We find that the substantial increase in the thermal inertia of the Arctic 42 43 surface during fall and winter establishes the seasonal Arctic warming pattern. The transition from a lower thermal inertia surface (sea ice) to one with significantly higher 44 thermal inertia (ocean) slows the cooling rate of the surface from summer to winter, 45 magnifying Arctic warming during fall and winter. Differences in model-projected 46 thermal inertia changes explain a substantial portion of the inter-model winter warming 47 uncertainty indicating fall thermal inertia variations are a key source of predictability for 48 the Arctic winter climate. 49 50

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- 53 Introduction
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In response to the anthropogenic increase of greenhouse gases, observations and 55 climate projections indicate that the Arctic surface warms more rapidly than any other 56 57 region on Earth (1–7), termed Arctic amplification (AA). However, AA does not manifest evenly throughout the year, instead it exhibits a pronounced seasonality-minimum in 58 summer and maximum in early winter (2, 8–11). Accurate projection of this seasonal 59 60 structure is important because it dictates a seasonally dependent impact on climate system dynamics and on human and ecological systems (12-14). Additionally, the largest 61 uncertainty in Arctic warming projections coincides with the early winter warming 62 maximum (5, 10, 11). Assessing the causes of this uncertainty and constraining the inter-63 model spread in Arctic warming requires a better understanding of the mechanisms and 64 65 physical processes responsible for the seasonal warming pattern.

Varying explanations for the seasonal Arctic warming pattern have been proposed. 66 Many studies (1, 6, 15-18) argue that sea ice decline and the associated energy flux 67 68 response drives the seasonal Arctic warming pattern. Sea ice decline, through a reduction of the surface albedo, increases the solar energy absorbed by the surface (i.e., the surface 69 70 albedo feedback) in summer, but studies argue that the additional energy first melts sea ice before weakly warming the surface, explaining the warming minimum in summer (1, 16, 71 18). Sea ice decline, on the other hand, contributes to the maximum fall/winter warming 72 by facilitating an increase of sensible and latent heat fluxes, known as the ice-thickness or 73 ice insulation feedback (1, 6, 18). While the increase in sensible and latent heat fluxes 74 contributes to the warming of the lower atmosphere, this energy perturbation represents a 75 transfer of energy from the surface to the atmosphere that suppresses surface warming (8– 76

11). Other studies suggest that an increase in wintertime downwelling longwave (LW)
radiation accounts for the enhanced Arctic warming in fall and winter (8, 15). The increase
in downwelling LW radiation is attributed to lower tropospheric warming by the surface
turbulent fluxes, an increase of polar clouds (9, 10), and an increase of poleward heat and
moisture transport (4, 19–21). A few studies also suggest that the weaker Planck feedback
(a smaller increase in LW emissions per unit of warming) at colder temperatures also
contributes to the Arctic seasonal warming pattern (5, 10).

While providing various physical interpretations of the seasonal Arctic warming 84 pattern, the aforementioned studies all assert that energetics explain the seasonality of 85 86 Arctic warming. Alternatively, changes in thermal inertia represent a viable pathway to explain the seasonal Arctic warming pattern. Different surfaces (e.g., sea ice vs. ice-free 87 ocean) have different specific heat capacities and layer depths over which the surface 88 89 interacts with the atmosphere, yielding different thermal inertias. These different thermal 90 inertias have varying effects on the climate system including the lagged response between the ocean surface temperature and seasonal variations in sunlight (22). The thermal inertia 91 92 of the global ocean is also responsible for the timescale of the global temperature response to anthropogenic forcing and the differences between the transient and equilibrium climate 93 response (23). 94

Substantial thermal inertia changes are possible and projected to occur in the Arctic as sea ice surfaces convert to ice-free ocean. Using a simple energy balance climate model, Robock (24) diagnoses the individual influences of snow area, sea ice area, snow albedo, and sea ice albedo on the Arctic surface temperature response to a 1% increase and decrease in solar insolation. The results indicate that changes in thermal inertia resulting from sea

ice area changes, termed the ice thermal inertia feedback, dominate the seasonal surfacetemperature change pattern, and not the associated energy flux changes.

The potentially significant role that thermal inertia changes play in the Arctic has been 102 somewhat overlooked by investigations into the energy flux changes resulting from climate 103 104 feedbacks, including some of our own work (9, 11). Applying principles motivated by Robock (24), the current study investigates the influence of thermal inertia changes on the 105 seasonal Arctic surface warming pattern in CMIP5 RCP8.5 climate change simulations of 106 the late 21st century. Our analysis stratifies the seasonal warming by Arctic surface type 107 (land, ice-free ocean, and sea ice regions), quantifies the seasonality of surface energy flux 108 changes in the Arctic, and decomposes the contributions to the seasonal surface skin 109 110 temperature heating rate change.

Our results illustrate that thermal inertia changes are a significant contributor to the 111 112 seasonal Arctic warming pattern and provide a new explanation for the early winter maximum warming. Specifically, the early winter Arctic surface warming maximum 113 results from a slowing of the background surface cooling rate from summer to winter, not 114 from an additional energy input into the Arctic surface during that time period. Equipped 115 with this new knowledge, we demonstrate that fall changes in thermal inertia, using both 116 surface heating rate and sea ice decline as proxies, explain the CMIP5 maximum winter 117 warming inter-model spread. Thus, an improved understanding of process contributions to 118 thermal inertia change will help reduce the uncertainty in Arctic winter warming 119 120 projections.

- 121 **Results**
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123 Surface Type Dependence of Arctic Warming Seasonality

Different surface types (e.g., sea ice vs. ice-free ocean) have different specific heat 124 125 capacities; the specific heat capacity of sea water, for example, is ~2 times larger than that of sea ice. In addition, the depth of the layer over which a surface interacts with the 126 atmosphere varies substantially between sea ice ($\sim 10 \text{ cm}(25, 26)$) and ocean ($\sim 50 \text{ m}(27)$) 127 with significant consequences for thermal inertia. Cumulatively, this means that the 128 effective surface heat capacity is on the order of 1000 times greater for ocean than sea ice. 129 As a result, the seasonal variations in surface skin temperature (T_s) and the surface skin 130 temperature heating rate $\left(\frac{dT_s}{dt}\right)$ are expected to vary from one surface type to another. 131

Stratifying the Arctic by surface type (sea ice, ocean, and land), within the historical 132 133 climate (see Methods), indicates a stronger T_S annual cycle and seasonal ΔT_S pattern for locations with sea ice relative to the other surface types. In the historical (late 20th century 134 climate) and RCP8.5 (late 21^{st} century climate) simulations (Figure 1a-c), T_S shows a 135 robust annual cycle for each surface type where the maximum T_S occurs earlier in the 136 summer for sea ice and land surfaces compared to ice-free ocean, whose maximum is 137 delayed due to its larger thermal inertia. Considering the surface temperature changes 138 between the historical climate and the projected late 21st century climate, Figs. 1d-f 139 demonstrate the differences in the magnitude and seasonality of the warming pattern 140 between surface types. Though all surface types exhibit similar warming during summer, 141 the greatest surface warming occurs for locations covered by sea ice in the historical 142 143 climate in early winter, meaning sea ice grid points experience the most pronounced seasonality (Fig. 1d). Land grid points (Fig. 1f) also show a maximum warming during early winter, but less pronounced. Ice-free ocean grid points warm the least and exhibit very little seasonal variation (Fig. 1e). Thus, the Arctic ΔT_S warming amplitude and seasonal pattern are predominantly attributed to grid points that experience sea ice loss. This hints at the importance of thermal inertia changes on the seasonal Arctic warming pattern, since grid points that experience sea ice loss are the only regions that undergo a substantial change in thermal inertia.

The surface skin temperature seasonal heating rate, $\frac{\partial T_S}{\partial t}$, is dependent on two factors, 151 namely the net surface energy flux and the effective surface heat capacity (i.e., surface 152 thermal inertia). Surface type dependent changes in $\frac{\partial T_S}{\partial t}$ further point to the significant role 153 of thermal inertia. Stratifying $\frac{\partial T_s}{\partial t}$ by surface type, as before, shows a similar seasonal 154 structure between sea ice (Fig. 2a) and land (Fig. 2c) but a different seasonal structure for 155 ocean (Fig. 2b), consistent with the surface type dependence on thermal inertia. Changes 156 to the seasonal heating rate $(\Delta \frac{\partial T_S}{\partial t})$ are caused by changes to the net surface energy flux or 157 thermal inertia of the surface. We find sea ice grid points exhibit the largest $\Delta \frac{\partial T_S}{\partial t}$ values 158 relative to other Arctic grid points (Fig. 2d-f). Despite exhibiting a similar $\frac{\partial T_S}{\partial t}$ seasonal 159 160 structure to land in the historical simulation, sea ice grid points have a more pronounced seasonal $\Delta \frac{\partial T_S}{\partial t}$ pattern than land because of the substantial changes in thermal inertia due 161 to the transition from sea ice to sea water. During fall and winter the seasonal heating rate 162 for sea ice increases at twice the rate as that of land for all models (~4 K month⁻¹ vs. 163 ~2 K month⁻¹ in the ensemble average). Ice-free ocean grid points exhibit small $\Delta \frac{\partial T_S}{\partial t}$ 164

values year-round, largely due to their large thermal inertia. The primary difference
between the three surface types is the large thermal inertia increase that occurs only for sea
ice grid points as sea ice melts and converts to ice-free ocean.

The surface type stratification of $\Delta \frac{\partial T_S}{\partial t}$ (Fig. 2d-f) provides evidence that the large 168 thermal inertia increase contributes to the asymmetric seasonal pattern of Arctic surface 169 warming. For a given net energy flux input, a surface with a larger (smaller) thermal inertia 170 171 experiences a smaller (larger) surface heating rate. For sea ice grid points, the thermal inertia increase reduces the surface cooling rate $(\Delta \frac{\partial T_s}{\partial t} > 0)$ during fall and winter (Fig. 2d), 172 which slows the seasonal T_S cooling from summer to winter. As a result, the surface in 173 174 these regions warms in fall and early winter relative to the historical simulation (Fig. 1d). During spring and summer, the thermal inertia increase reduces the surface warming rate 175 $(\Delta \frac{\partial T_S}{\partial t} < 0)$ slowing the seasonal warming from winter to summer, which suppresses ΔT_S in 176 spring and summer (Fig. 1d). 177

The influence of thermal inertia on the asymmetric seasonal warming pattern is evident 178 at the grid box scale as well. The strong area-weighted spatial correlations in Fig. 3 indicate 179 that grid boxes with a larger decrease in sea ice concentration (SIC) experience a greater 180 slowdown of the seasonal cooling rate in fall and winter and also warm more; this is the 181 case for each model across the ensemble. In spring, the positive correlation indicates that 182 grid boxes with a greater thermal inertia increase exhibit a greater slowdown of the seasonal 183 184 surface warming. We see a weaker positive correlation in spring (Fig. 3a) between ΔSIC and $\Delta \frac{\partial T_S}{\partial t}$ than the negative correlation in fall, in part due to the smaller SIC decline in 185 spring (Fig. S1). The fact that sea ice grid boxes with a greater SIC decline (i.e. larger 186

thermal inertia increase) also exhibit a larger reduction in the cooling rate and a larger
warming supports the explanation that the amplification of the fall and winter surface
warming occurs in response to increased thermal inertia.

190 *Contributions to the Seasonal Arctic Surface Heating Rate*

191 We hypothesize that the thermal inertia increase in response to decreased SIC plays a 192 key role in determining the seasonal pattern of $\Delta \frac{\partial T_S}{\partial t}$ and as a result the seasonal pattern of 193 Arctic warming. To test our hypothesis, we quantify the individual contributions to $\Delta \frac{\partial T_S}{\partial t}$. 194 Using the mosaic approach, the T_s of a sea ice grid box is given by the weighted average 195 of the two surface types,

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$$T_s = SST * (1 - SIC) + T_{s,ice} * SIC$$
 (1).

197 Hence the heating rate is given by,

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$$\frac{\partial T_s}{\partial t} = \frac{\partial SST}{\partial t} * (1 - SIC) + \frac{\partial T_{s,ice}}{\partial t} * SIC + (T_{s,ice} - SST) * \frac{\partial SIC}{\partial t}$$
(2),

where *SST* is sea surface temperature and $T_{s,ice}$ is sea ice surface temperature. Applying a first-order Taylor series expansion to the change of Eq. 2 between the current and future climates yields

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$$\Delta\left(\frac{\partial T_{s,ice}}{\partial t}\right) \approx \begin{bmatrix} \underbrace{\left(\frac{\partial T_{s,ice}}{\partial t} - \frac{\partial sst}{\partial t}\right) * \Delta SIC}_{I} + \underbrace{SIC * \Delta\left(\frac{\partial T_{s,ice}}{\partial t}\right)}_{II} + \underbrace{\left(1 - SIC\right) * \Delta\left(\frac{\partial sst}{\partial t}\right)}_{III} \\ + \underbrace{\left(T_{s,ice} - sst\right) * \Delta\left(\frac{\partial sIC}{\partial t}\right)}_{IV} + \underbrace{\frac{\partial SIC}{\partial t} * \Delta\left(T_{s,ice} - sst\right)}_{V} \end{bmatrix}$$
(3).

The expression in Eq. 3 decomposes $\Delta \frac{\partial T_S}{\partial t}$ into contributions from changes in SIC, $T_{s,ice}$, sst, and their respective rates of change. The decomposition depicts the model-simulated $\Delta \frac{\partial T_S}{\partial t}$ accurately with a small residual as indicated by the close match between the true ensemble mean total (dashed red line in Fig. 4a) and the diagnosed total (solid red line in Fig. 4a). More importantly, it allows us to isolate and quantify the impact of the thermal inertia increase due to the surface conversion from sea ice to sea water (i.e., Δ SIC; term I) on the seasonal pattern of $\Delta \frac{\partial T_S}{\partial t}$.

210 Δ SIC (Fig. 4b; term I) significantly contributes to $\Delta \frac{\partial T_S}{\partial t}$ and reaches rates of +2 211 K month⁻¹ in October and November and -1.5 K month⁻¹ in May and June. The Δ SIC term 212 directly reflects the slowing of both the background seasonal cooling during fall/winter and 213 seasonal warming during spring/summer associated with the thermal inertia increase.

The decomposition analysis also reveals that $\Delta \frac{\partial T_{s,ice}}{\partial t}$ (term II) is an important 214 contributor to $\Delta \frac{\partial T_s}{\partial t}$. Figure 4c shows the contributions from $\Delta \frac{\partial T_{s,ice}}{\partial t}$ are approximately +2 215 K month⁻¹ in October and November and -2.0 K month⁻¹ in January through June. This 216 term physically indicates that the background $\frac{\partial T_{s,ice}}{\partial t}$ cooling rate slows in fall and warming 217 rate slows from winter to early summer. Figure 4d, alternatively, illustrates that $\Delta \frac{\partial T_S}{\partial t}$ 218 attributed to $\Delta \frac{\partial sst}{\partial t}$ (term III) contribute minimally to the $\Delta \frac{\partial T_s}{\partial t}$. The larger changes in 219 $\Delta \frac{\partial T_{s,ice}}{\partial t}$ versus $\Delta \frac{\partial sst}{\partial t}$ are rooted in the smaller thermal inertia of sea ice versus ocean. Figure 220 5e and 5f show the contributions from $\Delta \frac{\partial SIC}{\partial t}$ (term IV) and $\Delta (T_{s,ice} - sst)$ (term V), 221 222 respectively. While these terms make non-negligible individual contributions in winter months, they largely cancel and neither term aligns well with the overall $\Delta \frac{\partial T_S}{\partial t}$ seasonal 223

pattern. Overall, the decomposition analysis indicates that the ΔSIC and $\Delta \frac{\partial T_{s,ice}}{\partial t}$ terms account for most of the $\Delta \frac{\partial T_s}{\partial t}$ term.

The $\Delta \frac{\partial T_{s,ice}}{\partial t}$ (term III) seasonal pattern must be driven by changes in the net surface 226 energy flux over sea ice portions of a grid box as the thermal inertia of sea ice experiences 227 relatively little change. Figure 5 summarizes changes in the surface energy budget terms 228 for sea ice grid boxes. The surface energy budget changes show increases in upward 229 sensible and latent heat fluxes and upward LW fluxes (Fig. 5a-c) during fall and winter, 230 which cool the surface, as well as an increase in downward LW fluxes (Fig. 5d) that warm 231 232 the surface. Overall, the net changes in the surface energy budget (Fig. 5f) indicate a stronger cooling of the surface in fall and winter that is inconsistent with the increase of 233 $\Delta \frac{\partial T_{s,ice}}{\partial t}$ (Fig. 4c). The available CMIP5 output does not allow us to differentiate the surface 234 energy flux changes over the sea ice and ocean portions of an individual grid box. 235 Explaining the diagnosed values of $\Delta \frac{\partial T_{s,ice}}{\partial t}$ requires that models produce markedly 236 different surface energy flux changes over the sea ice and ocean portions of these individual 237 grid boxes (see Discussion). 238

239 Relationship between Maximum Surface Temperature Change and Heating Rate Change 240 In the previous section, the decomposition analysis illustrated the influence of changes 241 in thermal inertia on $\Delta \frac{\partial T_S}{\partial t}$. These changes in $\Delta \frac{\partial T_S}{\partial t}$ affect the seasonal Arctic surface 242 warming pattern by slowing the surface cooling and warming rates during the transitions

cooling during fall to early winter means the amplitude of Arctic warming grows in time

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from summer to winter and winter to summer, respectively. The slowing of the surface

from summer to early winter reaching a maximum in early winter. Similarly, the slowing of the surface warming during spring and summer means the amplitude of Arctic warming decreases seasonally from winter to summer, reaching a minimum in summer. Considering fall and winter, the result implies that a larger thermal inertia increase should correspond to a larger early winter warming maximum. Thus, if the role of thermal inertia is significant then it should serve as a reliable predictor of the simulated change in winter warming.

We investigate the importance of the thermal inertia change on winter warming by 251 quantifying the correspondence between Δ SIC and the projected $\Delta \frac{\partial T_S}{\partial t}$ during fall and early 252 winter (SOND) across the CMIP5 model ensemble. The results indicate that Δ SIC is an 253 important factor controlling $\Delta \frac{\partial T_s}{\partial t}$ in fall/early winter. Figure 6a shows a strong correlation 254 (r=-0.70) between Δ SIC and $\Delta \frac{\partial T_S}{\partial t}$ in SOND illustrating that models simulating a larger 255 SIC decline also simulate a larger slowdown of the fall/early winter cooling rate. 256 Furthermore, both Δ SIC and $\Delta \frac{\partial T_S}{\partial t}$ in SOND exhibit a strong negative (r=-0.82; Fig. 6b) 257 and positive (r=0.92; Fig. 6c) correlation, respectively, with the maximum wintertime 258 surface warming. Therefore, models with a larger SIC decline and slowdown of the cooling 259 rate in SOND simulate a larger maximum wintertime surface warming. Alternatively, 260 SOND changes in the net surface energy flux show a much weaker correspondence with 261 262 the projected maximum wintertime temperature increase and are anti-correlated (r=-0.47; Fig. 6d), which is contrary to expectations if net energy flux changes are responsible for 263 the warming. We note that our net surface energy flux change calculation excludes the 264 contribution of ocean heat transport. However, it is unlikely changes in ocean heat transport 265 would be large enough to change the sign of the correlation. While we were unable to 266

directly assess the contribution of ocean heat transport to changes in net surface energy flux, a recent study (10) indicates that ocean heat transport changes make a small contribution to the net surface energy flux changes. As a result, we do not expect the contribution of ocean heat transport to substantially modify the picture provided in Fig. 6. The differing thermal inertia increases between models therefore accounts for most of the inter-model winter warming spread.

273 **Discussion**

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Our explanation differs from previous work that asserts that surface energy flux 275 276 changes due to climate feedbacks solely explain the seasonality of Arctic surface warming. 277 Supported by our analysis, we argue that the thermal inertia change due to the transition 278 from sea ice to sea water is the principal factor driving the asymmetric seasonal pattern of 279 Arctic surface warming and its dependence on surface type. This mechanism drives the 280 early winter maximum Arctic surface warming by slowing the background surface cooling rate from summer to winter, establishing maximum warming in early winter. The thermal 281 282 inertia feedback represents a change in the surface temperature sensitivity to energy fluxes that impacts the seasonal magnitude of Arctic warming. Conversely, we argue that it is the 283 annual mean increase in surface energy fluxes that enhances the absorption of energy by 284 285 the surface and is responsible for the long-term warming of the Arctic.

Despite this separation of roles between climate feedbacks that cause surface energy budget perturbations and the thermal inertia feedback, they are inextricably linked. For example, the slowing of the fall and early winter cooling rate in areas of sea ice loss, by the thermal inertia feedback, warms the surface and enhances the surface-to-air temperature gradient, which increases the upward LW flux and the upward turbulent heat

fluxes. The thermal inertia feedback mainly operates via changes in SIC. Therefore, any 291 292 climate feedback (SAF, clouds, etc.) that affects the evolution of SIC can influence the 293 character of the thermal inertia feedback. Based upon the importance of fall/early winter thermal inertia changes on the projected winter warming maximum (Fig. 6), surface energy 294 295 budget perturbations due to climate feedbacks in fall are important for the thermal inertia 296 feedback by delaying the sea ice freeze onset. For instance, recent studies indicate that an increase in Arctic low clouds, in response to sea ice reductions, has the potential to enhance 297 downward LW radiation at the surface and delay fall freeze onset. In addition, an increase 298 in downward LW radiation due to enhanced fall/early winter heat and moisture transport 299 300 by the atmosphere could also delay fall sea ice freeze onset (11, 20, 21). Thus, the representation of clouds and atmospheric energy transports in fall/early winter could play 301 a significant role in modulating the seasonal warming pattern through the thermal inertia 302 303 feedback by delaying fall sea ice freeze onset. Similarly, oceanic heat transports have also 304 been found to influence the timing of fall sea ice freeze onset (28). However, the strongest coupling is likely between the SAF and thermal inertia feedback. 305

The coupling between the SAF and thermal inertia feedback can be explained as a 306 feedback loop involving seasonal variations of sea ice. Considering an arbitrary starting 307 point, the SAF and thermal inertia feedback coupling begins with the slowing of the surface 308 cooling rate in fall to early winter by the thermal inertia feedback, leading to thinner 309 winter/spring sea ice and the early winter warming maximum. Thinner sea ice is more 310 311 vulnerable to melting-out and uncovering the darker ocean underneath leading to a larger SAF in spring and summer. A larger SAF warms the sea surface and produces a warmer 312 summer SST maximum. A warmer SST maximum means it will take longer to reach the 313

freezing point, delaying the fall sea ice freeze onset. The delay in the fall sea ice freeze 314 315 onset indicates a decline in SIC, which strengthens the thermal inertia feedback. This interaction continues as long as sea ice remains and represents an amplifying (i.e., positive) 316 feedback loop that not only establishes the seasonal Arctic warming pattern but is a 317 dominant contributor to Arctic warming amplification. The strong positive coupling 318 between the two (Fig. S2a) explains why SAF, which is only effective in spring and 319 summer, correlates well with the winter warming maximum (Fig. S2b). It also explains 320 why fixing surface albedo to a constant, while allowing the sea ice concentration to decline, 321 greatly reduces the magnitude of Arctic warming (16); however, the seasonal Arctic 322 323 warming pattern remains the same (Fig. 2 in (16)) since the thermal inertia feedback is still triggered by the sea ice decline caused by the greenhouse forcing and other climate 324 feedbacks. Sea ice decline therefore amplifies Arctic warming through the SAF but 325 establishes the seasonal Arctic warming pattern through its impact on the thermal inertia 326 of the Arctic surface. In addition, sea ice extent is more important for the thermal inertia 327 feedback than sea ice thickness because the presence of sea ice reduces the thermal inertia 328 of the surface. Overall, the decline in SIC fundamentally controls both the SAF and the 329 thermal inertia feedback and therefore represents the most important metric for Arctic 330 climate change. 331

Our decomposition analysis reveals that sea ice temperature is an equally important contributor to $\Delta \frac{\partial T_S}{\partial t}$. However, as shown in Fig. 5, the surface energy flux changes over sea ice grid boxes do not support the model simulated $\Delta \frac{\partial T_{s,ice}}{\partial t}$. Reconciling this result demands different surface energy flux responses over the remaining sea ice and uncovered (i.e.,

ocean) portions of the sea ice grid boxes. While an analysis of these differences is not 336 possible using the CMIP5 archived output, we speculate on the implied changes. During 337 fall and winter, we expect weaker changes to the surface turbulent fluxes over sea ice than 338 over the uncovered portions of the grid boxes, which would result in a less negative net 339 surface energy flux perturbation. An additional positive energy flux input may also come 340 from the oceanic heat flux from below (29) as thinner sea ice means the sea ice surface is 341 less insulated from the warmer ocean below. These possible explanations warrant future 342 investigation as they could strongly influence the seasonally asymmetric Arctic sea ice 343 surface skin temperature response. 344

Consistent with previous studies, the surface air temperature displays the same seasonal 345 Arctic warming pattern (Fig. S3a) as the surface skin temperature (ΔT_s), and also exhibits 346 a very similar change to its seasonal heating rate (Fig. S3b). Unlike ΔT_S , the surface air 347 temperature change cannot be explained by a change in the surface air heat capacity and 348 thermal inertia. The similarities, however, are not surprising since the surface skin and air 349 temperatures are tightly coupled through latent and sensible heat fluxes and through 350 thermal-radiative coupling (30). The ΔT_s and $\Delta \frac{\partial T_s}{\partial t}$ are generally greater (in magnitude) 351 than their respective counterparts for surface air, particularly in fall and winter, indicating 352 the coupling between the two is driven by the surface skin warming. Since the seasonal 353 cooling from summer to winter slows more for the surface skin than surface air 354 temperature, the surface-to-air temperature difference increases, which leads to stronger 355 upward sensible and latent heat fluxes in fall and winter (Fig. 5). This upward latent and 356 sensible heat flux increase contributes to the large fall/winter Arctic surface air temperature 357

warming. Additionally, the warmer surface skin temperatures enhance the upward LW
radiation emitted by the Arctic surface (Fig. 5), warming the surface air through enhanced
absorption in the lower atmosphere. It is through these increases in upward energy flux, in
regions of sea ice loss, that the warming signal of the surface is imprinted to the lower
atmosphere.

In closing, the correspondence between fall/early winter Δ SIC and maximum winter 363 warming via the thermal inertia feedback points to the importance of accurately 364 representing the evolution of fall sea ice extent. The changes in fall SIC and the timing of 365 fall sea ice freeze onset are important metrics for Arctic Amplification. Thus, an improved 366 understanding and modeling of the factors that influence fall/early winter sea ice extent 367 (e.g., sea ice physics, ocean mixed layer depth, surface energy budget, atmospheric 368 variability etc.) are needed to produce more reliable simulations of maximum Arctic 369 370 surface warming. For example, a recent study (31) shows that CMIP5 intermodel differences in sea ice loss can be traced to differences in the simulation of seasonal growth 371 and melt in the present climate, which relate to the background sea ice thickness. Another 372 study (11) found that climate models simulate a wide range of present-day values and 373 374 projected changes in mixed layer depth in the Arctic that impact the effective heat capacity of the surface. Our results indicate that proxies of thermal inertia change (such as Δ SIC and 375 $\Delta \frac{\partial T_s}{\partial t}$) explain the intermodel spread in maximum winter warming and can potentially be 376 used to constrain projections of Arctic Amplification. We see significant potential for using 377 observations of fall thermal inertia, sea ice extent, and fall freeze onset to constrain 378 projected winter warming and reduce uncertainty in projected Arctic Amplification. 379

380 Methods

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In this study, we analyze data from state-of-the-art atmosphere-ocean general 382 circulation model (Table S1) participants in the 5th phase of the Coupled Model 383 Intercomparision Project (CMIP5). Specifically, two types of CMIP5 experiments(32) are 384 385 used: 1) Historical simulations (1850-2005) with time-varying forcing consistent with 386 observations, and 2) representative concentration pathway 8.5 (RCP8.5) climate 387 simulations (2006-2100) that simulate a future climate with radiative forcing reaching ~ 8.5 W m⁻² by year 2100. The CMIP5 data are derived from the monthly mean outputs of the 388 389 Historical and RCP8.5 model simulations produced by the Coupled Model 390 Intercomparison Project Version 5 (CMIP5), which are archived and freely accessible at http://data.ceda.ac.uk/badc/cmip5/data/cmip5/ and https://esgf-391 392 node.llnl.gov/search/cmip5/. For each model monthly mean surface skin and air 393 temperatures, surface downwelling and upwelling shortwave fluxes, surface downwelling and upwelling longwave fluxes, and surface upward sensible and latent heat fluxes on its 394 395 native atmospheric grid are obtained, while sea ice concentration, sea-ice surface temperature, and sea surface temperature on its native ocean grid are also obtained. 396 Monthly mean climatological values of these variables are calculated by averaging 30 years 397 398 in the historical simulations (1976-2005) and RCP8.5 simulations (2071-2100). Climatological changes of these variables are determined by subtracting the historical 399 values from the RCP8.5 values. For the climatological seasonal heating rate calculation, 400 the yearly month-to-month temperature changes (i.e., Jan-Dec, Feb-Jan, Mar-Feb, etc.) 401 were calculated prior to taking the climatological average. 402

403 Arctic (60N-90N) grid points are divided into land, ocean, and sea ice surface types 404 using the historical climatology. All atmospheric grid variables are interpolated to their corresponding ocean grid for each model analyzed to be able to separate oceanic grid points 405 from land grid points, and to further decompose oceanic grid points into those that have 406 407 sea ice concentration values greater than zero in the historical climate (i.e., sea ice grid points) and those that are ice-free. Both a forward and backward first order Taylor 408 expansion of Eq. 3 for sea ice grid points was carried out, whose mean was used to produce 409 the results in Fig. 4. 410 411 Acknowledgments 412 413 S. A. Sejas' research was supported by an appointment to the NASA Postdoctoral 414 Program at the NASA Langley Research Center, administered by Universities Space 415 Research Association under contract with NASA. P. C. Taylor is supported by the NASA 416 CERES Project and by the NASA Interdisciplinary Studies Program grant 417 NNH12ZDA001N-IDS. We acknowledge the World Climate Research Programme's 418 Working Group on Coupled Modelling, which is responsible for CMIP, and we thank the 419

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- 422 Climate Model Diagnosis and Intercomparison provides coordinating support and led
- 423 development of software infrastructure in partnership with the Global Organization for
- 424 Earth System Science Portals.
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Figure 1. Seasonal Arctic warming by surface type. Climatological seasonal cycle of
Arctic (60N-90N) surface skin temperature (K) for the end of the 20th (solid lines) and
21st (dashed lines) centuries projected by CMIP5 historical and RCP8.5 simulations,
respectively, for individual CMIP5 models (black lines) and their ensemble mean (red
lines) for (a) sea ice, (b) oceanic, and (c) land grid points. The difference between
corresponding dashed and solid lines in (a)-(c) is given by (d)-(f), respectively.



518 **Figure 2. Seasonal heating rate by surface type.** Climatological Arctic (60N-90N)

seasonal heating rate (month-to-month; K/month) for the end of the 20th (solid lines) and

- 520 21st (dashed lines) centuries projected by CMIP5 historical and RCP8.5 simulations,
- 521 respectively, for individual CMIP5 models (black lines) and their ensemble mean (red
- 522 lines) for (a) sea ice, (b) oceanic, and (c) land grid points. The difference between
- 523 corresponding dashed and solid lines in (a)-(c) is given by (d)-(f), respectively.





Figure 3. Spatial correlation. (a) Monthly area-weighted spatial correlation between
changes in sea ice concentration and surface skin temperature heating rate, and (b)
between changes in sea ice concentration and surface skin temperature. Computed for sea
ice grid points in the Arctic (between 60N-90N) for individual CMIP5 models (black
lines) and their ensemble mean (red line).



532 Figure 4. Contributions to the seasonal heating rate change. a) Total change of the 533 seasonal heating rate given by the sum of b-f, where the dashed red line is the actual 534 ensemble mean change of the seasonal heating rate. Contributions to the seasonal heating 535 536 rate change by the b) sea ice concentration change (term I), c) change of the sea ice surface seasonal heating rate (term II), d) change of the sea surface seasonal heating rate 537 (term III), e) change in the seasonal variation of sea ice concentration (term IV), and f) 538 change in the temperature difference between the sea surface and sea ice surface (term 539 540 V). Results for individual CMIP5 models are given by the black lines, while the ensemble mean is given by the red lines. Done for Arctic (60N-90N) sea ice grid points. 541



shortwave flux, and f) the net energy flux (sum of a-e) at the surface for individual

546 CMIP5 models (black lines) and the ensemble mean (red lines) for Arctic (60N-90N) sea





Figure 6. Inter-model Correlations. Correlations across models between (a) SOND sea
ice concentration changes and SOND seasonal heating rate changes, (b) SOND sea ice
concentration changes and maximum winter warming, (c) SOND seasonal heating rate
changes and maximum winter warming, and (d) SOND net surface energy flux changes
and maximum winter warming. Computed for Arctic (60N-90N) sea ice grid points.





Figure S1. Seasonal sea ice concentration. (a) Arctic (60N-90N) climatological
monthly mean sea ice concentration (%) for the end of the 20th (solid lines) and 21st
(dashed lines) centuries projected by CMIP5 historical and RCP8.5 simulations,
respectively, for individual CMIP5 models (black lines) and their ensemble mean (red
lines). (b) Sea ice concentration change (%) given by the difference between

563 corresponding dashed and solid lines in (a). Computed for sea ice grid points.



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565 Figure S2. SAF Correlations. Correlations across the CMIP5 model ensemble between

566 (a) spring/summer (MAMJJA) surface albedo feedback (SAF) and SOND sea ice

567 concentration changes and, (b) spring/summer SAF and maximum winter warming.

568 Computed for Arctic (60N-90N) sea ice grid points.



Figure S3. Imprint on Surface Air Temperature. a) Surface air temperature change (K) and b) changes to the surface air seasonal heating rate (K/month) for individual CMIP5 models (black lines) and the ensemble mean (red lines). Done for Arctic (60N-

- 90N) sea ice grid points.

Table S1 CMIP5 models. Models used in this study.

	Model
1	Australian Community Climate and Earth-System Simulator version 1.0 (ACCESS1.0)
2	Australian Community Climate and Earth-System Simulator, version 1.3 (ACCESS1.3)
2.	Australian Community Chinace and Earth-System Simulator, Version 1.5 (ACCESS1.5)
3. 4	Community Climate System Model, version 4 (CCSM4)
4.	CESM)
5.	Centro Euro-Mediterraneo per I Cambiamenti Climatici Climate Model (CMCC-CM)
6.	Centro Euro-Mediterraneo per I Cambiamenti Climatici Climate Model with a resolved Stratosphere (CMCC-CMS)
7.	Centre National de Recherches Meteorologiques Coupled Global Climate Model, version 5 (CNRM-CM5)
8.	Second Generation Canadian Earth System Model (CanESM2)
9.	Goddard Institute for Space Studies Model E2, coupled with the Hybrid Coordinate Ocean Model (GISS-E2-H)
10.	Same as above except with interactive terrestrial carbon cycle and oceanic bio-geochemistry (GISS-E2-H-CC)
11.	Goddard Institute for Space Studies Model E2, coupled with the Russell ocean model (GISS-E2-R)
12.	Same as above except with interactive terrestrial carbon cycle and oceanic bio-geochemistry (GISS-E2-R-CC)
13.	Hadley Centre Global Environment Model, version 2 – Atmosphere-Ocean (HadGEM2-AO)
14.	Hadley Centre Global Environment Model, version 2 - Carbon Cycle (HadGEM2-CC)
15.	Hadley Centre Global Environment Model, version 2 - Earth System (HadGEM2-ES)
16.	L'Institut Pierre-Simon Laplace Coupled Model, version 5A, low resolution (IPSL-CM5A-LR)
17.	L'Institut Pierre-Simon Laplace Coupled Model, version 5A, mid resolution (IPSL-CM5A-MR)
18.	L'Institut Pierre-Simon Laplace Coupled Model, version 5A with a different atmospheric model, low resolution (IPSL-CM5B-LR)
19.	Model for Interdisciplinary Research on Climate, Earth System Model (MIROC-ESM)
20.	Model for Interdisciplinary Research on Climate, Earth System Model with atmospheric chemistry (MIROC-ESM-CHEM)
21.	Model for Interdisciplinary Research on Climate, version 5 (MIROC5)
22.	Max Planck Institute Earth System Model, low resolution (MPI-ESM-LR)
23.	Max Planck Institute Earth System Model, medium resolution (MPI-ESM-MR)
24.	Meteorological Research Institute Coupled Atmosphere-Ocean General Circulation Model, version 3 (MRI-CGCM3)
25.	Meteorological Research Institute Earth System Model, version 1 (MRI-ESM1)

- 26. Norwegian Earth System Model, version 1, medium resolution (NorESM1-M)
- 27. Same as above except with capability to be fully emission driven (NorESM1-ME)