# Linking Lithospheric Structure, Mantle Flow and Intra-Plate Volcanism

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#### Abstract

Several of Earth's intra-plate volcanic provinces are difficult to reconcile with the mantle plume hypothesis. Instead, they exhibit characteristics that are better explained by shallower processes involving the interplay between uppermost mantle flow and the base of Earth's heterogeneous lithosphere. The mechanisms most commonly invoked are edge-driven convection (EDC) and shear-driven upwelling (SDU), both of which act to focus upwelling flow, and the associated decompression melting, adjacent to steps in lithospheric thickness. In this study, we undertake a systematic numerical investigation, in both 2-D and 3-D, to quantify the sensitivity of EDC, SDU and their associated melting to several key controlling parameters. Our simulations demonstrate that the spatial and temporal characteristics of EDC are sensitive to the geometry and material properties of the lithospheric step, in addition to the depth-dependence of upper mantle viscosity. These simulations also indicate that asthenospheric shear can either enhance or reduce upwelling velocities and predicted melt volumes, depending upon the magnitude and orientation of flow relative to the lithospheric step. When combined, such sensitivities explain why step changes in lithospheric thickness, which are common along cratonic edges and passive margins, only produce volcanism at isolated points in space and time. Our predicted trends of melt production suggest that, in the absence of potential interactions with mantle plumes, EDC and SDU are viable mechanisms only for Earth's shorter-lived, lower-volume intra-plate volcanic provinces.

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#### Key Points:

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8	•	Spatial and temporal characteristics of edge-driven convection are sensitive to the
9		geometry and material properties of lithospheric steps.
10	•	Asthenospheric flow magnitude and orientation dictate whether edge-driven cells
11		are enhanced through shear-driven upwelling, or suppressed.
12	•	Melting associated with these processes can account for Earth's shorter-lived and
13		lower-volume intra-plate volcanic provinces.

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#### 14 Abstract

Several of Earth's intra-plate volcanic provinces are difficult to reconcile with the man-15 the plume hypothesis. Instead, they exhibit characteristics that are better explained by 16 shallower processes involving the interplay between uppermost mantle flow and the base 17 of Earth's heterogeneous lithosphere. The mechanisms most commonly invoked are edge-18 driven convection (EDC) and shear-driven upwelling (SDU), both of which act to focus 19 upwelling flow, and the associated decompression melting, adjacent to steps in lithospheric 20 thickness. In this study, we undertake a systematic numerical investigation, in both 2-21 D and 3-D, to quantify the sensitivity of EDC, SDU and their associated melting to sev-22 eral key controlling parameters. Our simulations demonstrate that the spatial and tem-23 poral characteristics of EDC are sensitive to the geometry and material properties of the 24 lithospheric step, in addition to the depth-dependence of upper mantle viscosity. These 25 simulations also indicate that asthenospheric shear can either enhance or reduce upwelling 26 velocities and predicted melt volumes, depending upon the magnitude and orientation 27 of flow relative to the lithospheric step. When combined, such sensitivities explain why 28 step changes in lithospheric thickness, which are common along cratonic edges and pas-29 sive margins, only produce volcanism at isolated points in space and time. Our predicted 30 trends of melt production suggest that, in the absence of potential interactions with man-31 tle plumes, EDC and SDU are viable mechanisms only for Earth's shorter-lived, lower-32 33 volume intra-plate volcanic provinces.

#### <sup>34</sup> Plain Language Summary

Intra-plate volcanoes, which occur away from plate boundaries, are common across 35 Earth's surface (e.g. Hawaii, Reunion, Cameroon and Eastern Australia), but their ori-36 gin remains debated. Diverse driving mechanisms have been proposed, most notably man-37 tle plumes – buoyant columns of hot rocks that ascend vigorously through Earth's man-38 tle. Upon reaching the base of tectonic plates, plumes generate extensive melting and 39 remain approximately fixed. As a result, they explain particularly well the origin of lin-40 ear volcanic tracks that grow older in the direction of plate motion. Several intra-plate 41 volcanic regions, however, exhibit characteristics that are inconsistent with the mantle 42 plume hypothesis. They are often short-lived, of low volume and do not display a clear 43 age-progression. As such, they are better explained by shallower processes, driven by small-44 scale convective instabilities that develop adjacent to step-changes in lithospheric thick-45 ness. In this study, we utilise both 2-D and 3-D computational models to simulate these 46 shallow processes and to analyse their sensitivity to a range of geological settings and 47 material properties. Our results help to solve the puzzle of why these processes only pro-48 duce volcanism at isolated locations, and, in the absence of interactions with mantle plumes, 49 limit their applicability to Earth's shorter-lived, lower-volume volcanic provinces. 50

#### 51 **1** Introduction

Most of Earth's volcanism is concentrated at tectonic plate boundaries, represent-52 ing the surface manifestation of either passive decompression melting at mid-ocean ridges 53 (e.g. Sengör & Burke, 1978; Phipps Morgan et al., 1987) or volatile-induced melting at 54 subduction zones (e.g. Tatsumi et al., 1986; Peacock, 1990). However, a significant and 55 widespread class of volcanism occurs within plates or across plate boundaries. These so-56 called *intra-plate* volcanic provinces (Turcotte & Oxburgh, 1978) cannot be explained 57 through plate tectonic processes and require an alternative generation mechanism. Man-58 tle plumes - hot, buoyant columns that rise from Earth's core-mantle boundary to its 59 surface (Morgan, 1971, 1972) – are commonly invoked to explain age-progressive volcanic 60 provinces that grow older in the direction of plate motion. At these locations, volcan-61 ism has persisted for tens of millions of years and is localised within a radius of a few 62 tens of kilometres, implying a self-renewing source that lies below the region where the 63

mantle moves with the surface plate (e.g. Richards & Griffiths, 1989; Richards et al., 1989; 64 Farnetani & Richards, 1995; Courtillot et al., 2003; Davies & Davies, 2009; French & Ro-65 manowicz, 2015). Classic examples include the volcanic tracks terminating at Hawaii in 66 the Pacific, Reunion in the Indian Ocean and Cosgrove in eastern Australia (e.g. Ballmer et al., 2011; Davies et al., 2015; Jones et al., 2017; Bredow et al., 2017). However, many 68 intra-plate volcanic provinces are difficult to reconcile with the mantle plume hypoth-69 esis, such as the Colorado Plateau in North America, the Moroccan Atlas Mountains in 70 northern Africa and the Newer Volcanics Province of south-eastern Australia (e.g. Thomp-71 son & Zoback, 1979; Demidjuk et al., 2007; Missenard & Cadoux, 2012; Boyce, 2013; Davies 72 & Rawlinson, 2014). At these locations, volcanism is often short-lived ( $< 20 \,\mathrm{Myr}$ ), gen-73 erally of low eruptive volume, and does not show a clear age-progression in the direction 74 of plate motion, requiring an alternative generation mechanism (e.g. Davies & Rawlin-75 son, 2014; Ballmer et al., 2015). 76

Several mechanisms have been proposed, with the majority involving the interplay 77 between shallow mantle flow and the base of Earth's heterogeneous lithosphere. The two 78 most commonly invoked are (i) edge-driven convection (EDC) – a small-scale convec-79 tive instability, associated with a step in lithospheric thickness, driven by lateral den-80 sity variations between a thick lithosphere and adjacent asthenosphere (e.g. Buck, 1986; 81 King & Anderson, 1998; Farrington et al., 2010; Till et al., 2010; Davies & Rawlinson, 82 2014; Ballmer et al., 2015; Liu & Chen, 2019) – and (ii) shear-driven upwelling (SDU) 83 - sub-lithospheric ascending flow, induced by topography at the base of the lithosphere 84 in the presence of asthenospheric shear (e.g. Conrad et al., 2010, 2011; Bianco et al., 2011; 85 Ballmer et al., 2013; Davies & Rawlinson, 2014; Ballmer et al., 2015). Low-viscosity pock-86 ets in the shallow asthenosphere have also been shown to facilitate SDU (e.g. Conrad 87 et al., 2010), but we focus on the role of lithospheric topography here. The applicabil-88 ity and relative importance of EDC and SDU remains unclear and likely varies from one 89 volcanic province to the next, as a consequence of regional differences in the primary con-90 trolling parameters (e.g. Conrad et al., 2010, 2011; Davies & Rawlinson, 2014; Ballmer 91 et al., 2015). To complicate matters further, EDC and SDU may interact with upwelling 92 mantle plumes and pockets of low-viscosity asthenosphere to produce intricate volcanic 93 patterns at the surface (e.g. Conrad et al., 2011; Davies et al., 2015; Ballmer et al., 2015; 94 Rawlinson et al., 2017; Kennett & Davies, 2020). 95

To better understand these interactions and isolate the role of each process, it is 96 necessary to analyse, in isolation, how EDC, SDU and the associated melting depend 97 on several possible controlling parameters. Accordingly, in this study, we use a system-98 atic series of 2-D and 3-D numerical models to quantify the sensitivity of EDC and SDU to a subset of these parameters: (i) the topography of the lithosphere-asthenosphere bound-100 ary (LAB), especially the geometry and orientation of lithospheric steps and their ma-101 terial properties; (ii) uppermost mantle viscosity, both in terms of its magnitude and depth-102 dependence; and (iii) the intensity, depth distribution and orientation of background man-103 tle flow. These models allow us to identify the fundamental controls on shallow edge-104 related processes and highlight, in particular, what determines the location and inten-105 sity of melt production at depth. Our results allow us to place bounds on the conditions 106 under which EDC and SDU can explain intra-plate volcanism in the absence of other 107 melt-generating processes. 108

#### 109 2 Methods

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#### 2.1 Governing Equations and Solution Strategy

We set up a numerical study of thermo-chemical convection applied to Earth's mantle in both 2-D and 3-D Cartesian domains with dimensions 5000:[4000]:1000 km (x:[y]:z). We use Fluidity – a finite-element, control-volume computational modelling framework (e.g. Davies et al., 2011; Kramer et al., 2012) – to solve the equations governing man-

tle convection for pressure, velocity and temperature fields on an anisotropic, adaptive, 115 simplex mesh. Mesh optimisation is controlled by a metric that depends on curvatures 116 of the temperature, velocity, material volume fraction (Section 2.2 or, for further infor-117 mation, Davies et al., 2011) and melt fraction fields (Section 2.4). It provides increased 118 resolution in areas of dynamical significance, with coarser resolution elsewhere, thus en-119 suring computational efficiency whilst maintaining solution accuracy. The resulting mesh 120 satisfies a minimum edge-length condition of 5 km, a maximum edge-length of 200 km 121 and a 30% edge-length gradation (i.e. the maximum allowable jump in edge-length from 122 element to element). 123

We simulate incompressible (Boussinesq) Stokes flow in an Eulerian reference frame, incorporating spatial variations of viscosity. In this context, we solve the following governing equations:

$$0 = \nabla \cdot \boldsymbol{u},\tag{1}$$

$$\mathbf{0} = \nabla p - \nabla \cdot \left[ \mu \left( \nabla \boldsymbol{u} + (\nabla \boldsymbol{u})^{\mathsf{T}} \right) \right] + \rho_0 \alpha \left( T - T_S \right) \boldsymbol{g}, \tag{2}$$

$$\mu = \left(A_1 \times \exp\left(-\frac{E^* + \rho_0 g z \, V_1^*}{RT^*}\right) + A_2 \times \exp\left(-\frac{E^* + \rho_0 g z \, V_2^*}{RT^*}\right)\right)^{-1},\tag{3}$$

$$\rho = \rho_0 \Big( 1 - \alpha \big( T - T_S \big) \Big), \tag{4}$$

$$T^* = T + \psi z. \tag{5}$$

We model energy conservation through a simple advection-diffusion equation including a heat source term,

$$\frac{\partial T}{\partial t} + \boldsymbol{u} \cdot \nabla T = \kappa \Delta T + \phi. \tag{6}$$

- In the above equations,  $\boldsymbol{u}$  denotes the velocity, p the dynamic pressure,  $\mu$  the dynamic
- viscosity, T the (potential) temperature, z the depth and  $\rho$  the density. Other symbol names and values are presented in Tables 1 and 2.

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#### 2.2 2-D Reference Case

We begin by simulating idealised 2-D flow around a thermally and compositionally-133 defined step in lithospheric thickness which separates thick continental lithosphere from 134 thin oceanic lithosphere, analogous to a passive margin setting. We make use of Fluid-135 ity's multi-material functionality (Wilson, 2009) which allows, for example, independent 136 equations of state and rheological laws to be applied to individual materials in separate 137 parts of the domain (e.g. Garel et al., 2014). In our models, we include three different 138 materials, namely: continental crust, continental lithosphere (excluding the crust) and 139 mantle (incorporating oceanic lithosphere). Each has a distinct density (Table 1; Ka-140 ban et al., 2003; Artemieva, 2009), but they all obey the same viscosity law, albeit with 141 continental lithosphere that is intrinsically 100 times more viscous than adjacent man-142 tle (e.g. Lenardic & Moresi, 1999; Lenardic et al., 2003; Wang et al., 2014; Currie & van 143 Wijk, 2016). 144

We consider viscosity,  $\mu$ , to be isotropic and model it through a diffusion creep rhe-145 ology. To describe this mechanical behaviour, we combine two empirical Arrhenius laws 146 (Hirth & Kohlstedt, 2004; Korenaga & Karato, 2008) inside which we account for both 147 the temperature increase through the adiabatic gradient,  $\psi$ , and the effect of lithostatic 148 pressure (Equations 3 and 5). We fix a common activation energy,  $E^*$ , for both laws but 149 vary the activation volumes,  $V_i^*$ , and viscosity pre-factors,  $A_i$ . By setting distinct  $V_1^*$ 150 and  $V_2^*$  in Equation 3, we can incorporate a low-viscosity channel in the sub-lithospheric 151 mantle (e.g. Richards et al., 2001). Conversely, specifying identical parameters across 152 both equations leads to a single law with a pre-factor twice as large. To determine  $V_i^*$ 153 and  $A_i$  and, thereby, establish our upper mantle viscosity profile, we consider a thermal 154

Name	Symbol	Value	Units
Reference Density	$\rho_0 \mid \rho_0^{Cont} \mid \rho_0^{Crust}$	$3370 \mid 3300 \mid 2900^{\mathrm{a}}$	${ m kgm^{-3}}$
Gravity	g	9.8	${ m ms^{-2}}$
Gas Constant	R	8.3145	$\mathrm{JK^{-1}mol^{-1}}$
Thermal Expansion	$\alpha$	$3 \times 10^{-5 \mathrm{b}}$	${ m K}^{-1}$
Surface Temperature	$T_S$	290	Κ
Mantle Potential Temperature	$T_P$	$1650^{\rm c,d}$	Κ
Adiabatic Gradient	$\psi$	$4 \times 10^{-4}$ e	${ m Km^{-1}}$
Thermal Diffusion	$\kappa$	$6 \times 10^{-7 \mathrm{f,g}}$	${ m m}^2{ m s}^{-1}$
Internal Heating (Cont. Crust)	$\phi$	$2.6  imes 10^{-13 \mathrm{h}}$	${ m Ks^{-1}}$
Internal Heating (Elsewhere)	$\phi$	$4 \times 10^{-15i}$	${ m Ks^{-1}}$
Activation Energy	$E^*$	350	${ m kJmol^{-1}}$
Upper Mantle Viscosity at ULMB	$\mu_{660}$	$10^{21}$	Pas
Lower Mantle Viscosity	$\mu_{LM}$	$2 \times 10^{22}$	Pas
Viscosity Bounds	$\mu_{min}     \mu_{max}$	$10^{18}   10^{24}$	Pas

 Table 1. Model Parameters Common to All Simulations

*Note.* Parameters for the rheological law are guided by Korenaga and Karato (2008); values chosen for the upper mantle can be found in Table 2.

<sup>a</sup> Artemieva (2009). <sup>b</sup> Ye et al. (2009). <sup>c</sup> Putirka (2016). <sup>d</sup> Sarafian et al. (2017). <sup>e</sup> Katsura et al. (2010). <sup>f</sup> Gibert et al. (2003). <sup>g</sup> Whittington et al. (2009). <sup>h</sup>  $\equiv 1.3 \times 10^{-6} \text{ W m}^{-3}$  (Jaupart & Mareschal, 2005). <sup>i</sup>  $\equiv 2 \times 10^{-8} \text{ W m}^{-3}$  (Pollack & Chapman, 1977).

structure generated by a half-space cooling model (Parsons & Sclater, 1977) of age 40 Myr 155 and define target values that the profile should satisfy. These are (i)  $\mu_{660}$ , the value at 156 the upper-lower mantle boundary (ULMB; Mitrovica & Forte, 2004; Lau et al., 2016; Métivier 157 et al., 2016) which we set to  $10^{21}$  Pa s; and (ii)  $\mu_{min}^{0}$ , the profile's minimum attained value 158 in the immediate sub-lithospheric mantle (Fjeldskaar & Cathles, 1991; Naif et al., 2013; 159 Iaffaldano & Lambeck, 2014). Additionally, we specify if an asthenospheric low-viscosity 160 channel is to be included (e.g. Rolf et al., 2018), in which case parameters differ across 161 both laws. Using these constraints, we iteratively determine the values of pre-factors  $A_i$ 162 and activation volumes  $V_i^*$  (Table 2). To complete our profile, we fix the lower-mantle 163 viscosity,  $\mu_{LM}$ , to  $2 \times 10^{22}$  Pas, resulting in a factor of 20 increase through the ULMB. 164 Finally, viscosity is bounded by  $\mu_{min} = 10^{18} \text{ Pas}$  and  $\mu_{max} = 10^{24} \text{ Pas}$ . The result-165 ing profiles, which are used in this study, are illustrated in Figure 1a; they are compat-166 ible with estimates derived from models of global isostatic adjustment (e.g. Mitrovica 167 & Forte, 2004; Paulson & Richards, 2009; Lau et al., 2016). 168

For our reference model, we impose no-slip velocity boundary conditions at the bot-169 tom of the domain and free-slip boundary conditions elsewhere. The temperature is set 170 to  $T_S = 290 \,\mathrm{K}$  at the surface and  $T_P = 1650 \,\mathrm{K}$  at the base, with zero heat transfer 171 on side boundaries:  $\frac{\partial T}{\partial n} = 0$ . Initial temperature conditions incorporate a sub-lithospheric mantle of temperature  $T_P$  and differentiate between oceanic and continental realms (Fig-172 173 ure 1c). Oceanic lithosphere is treated as a surface thermal boundary layer, where the 174 temperature distribution follows a half-space cooling model of age  $40 \,\mathrm{Myr}$ ; the  $1620 \,\mathrm{K}$ 175 isotherm, which we use to identify the LAB, is located at a depth of 90 km. Thicker con-176 tinental lithosphere, including a 41 km-thick crust, extends down to 200 km depth and 177 is described by a conductive geotherm (e.g. Pollack & Chapman, 1977; McKenzie et al., 178 2005) which we determine by solving a steady-state one-dimensional heat equation. We 179 use a value of  $3 \,\mathrm{W}\,\mathrm{m}^{-1}\,\mathrm{K}^{-1}$  for the thermal conductivity (Schatz & Simmons, 1972) and 180 account for internal heat generation through an exponential decrease of characteristic 181 length-scale 9 km (e.g. Lachenbruch, 1970) and a surface crustal heat production of  $6 \times 10^{-6} \,\mathrm{W \, m^{-3}}$ 182 (Neumann et al., 2000; McLaren et al., 2003). The latter heat production is compati-183

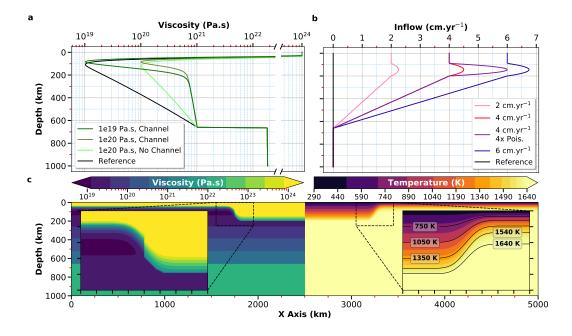


Figure 1. Model setup for 2-D simulations. (a) Viscosity profiles considered in the 2-D parameter space study, calculated according to the temperature distribution of the reference 40 Myr old oceanic lithosphere. (b) Velocity profiles used at the inflow boundary. The Poiseuille component is  $\frac{1}{8}$  of the lithospheric speed, except for the case with additional shear where it is  $\frac{1}{2}$ . (c) Initial distribution of viscosity (left) and temperature (right) inside the 2-D domain. The viscosity inset illustrates the separation between continent and ocean through the ×100 continental viscosity increase, while the temperature inset highlights the smooth paths taken by isotherms at the step.

ble with the internal heating,  $\phi$ , defined in Equation 6, as it yields a comparable heat flux upon integration (Nicolaysen et al., 1981; Jaupart et al., 1998; Jaupart & Mareschal, 2005). Oceanic and continental segments are connected via two 200 km-wide thermal steps located between 1650 km and 1850 km to the left, and 3150 km and 3350 km to the right of the continent. Within these steps, the depth of a given isotherm follows an error function of the horizontal coordinate, x. Such a definition ensures a smooth, diffusive transition between the continental area and adjacent lithosphere (Figure 1c).

2.3 Parameter Space

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#### 2.3.1 2-D Cases

To assess possible expressions of flow adjacent to lithospheric steps, we first con-193 duct a systematic study around our reference case, exploring a range of values for po-194 tential key, controlling parameters (Table 2). We vary four geometric parameters: the 195 initial age of oceanic lithosphere (i.e. the thickness of the lithospheric lid), the depth of 196 the continent, the width of the lithospheric step, and the location of the material inter-197 face between continent and ocean within the step. We also examine four distinct viscos-198 ity profiles (Figure 1a), which share their value of  $\mu_{660}$  but differ by their  $\mu_{min}^0$  and the 199 presence, or absence, of a sub-lithospheric viscosity channel. Furthermore, we investi-200 gate the effect of background flow through kinematic boundary conditions: whilst keep-201 ing the surface free-slip, we apply four horizontal inflow profiles at the left boundary, where 202 x = 0 km (Figure 1b), leaving the outflow boundary free, albeit with a prescribed litho-203

	(	Geometry		
Name	Values		Units	
Oceanic Lithosphere Age	20, <b>40</b> and 60		Myr	
Continent Depth		140 and <b>200</b>	km	
Step Width	100	0, <b>200</b> and 400	$\mathrm{km}$	
Step Material Proportion	$\frac{2}{3}$ Cont., <b>Equal</b> and $\frac{2}{3}$ Oce.		_	
	Viscosity			
$\begin{array}{c} \hline & \text{Profile}^{\text{a}} & \text{Minimum viscosity} \\ & \mu_{min}^{0} & (\text{Pas}) \end{array}$	Channel	Activation volume $V_1^*, V_2^* \text{ (m}^3 \text{ mol}^{-1})^{\text{b}}$	$\frac{\text{Pre-factor } A_1, A_2}{(\text{Pas})^{\text{b}}}$	
<b>—</b> ———————————————————————————————————	No	$6.8 imes10^{-6}$	$1.9 imes10^{-8}$	
$10^{19}$	Yes	$25 \times 10^{-6},  3 \times 10^{-6}$	$2.1 \times 10^{-6},  2.1 \times 10^{-10}$	
$10^{20}$	No	$4.7  imes 10^{-6}$	$1.1  imes 10^{-9}$	
$10^{20}$	Yes	$25 \times 10^{-6},  3 \times 10^{-6}$	$2 \times 10^{-7},  2.1 \times 10^{-10}$	
Others				
Name	Values		Units	
Velocity Inflow	Figure 1b and Equation 7		_	
Water Content	200, <b>300</b> , 500 and 1000		ppm	

Table 2.         Model Parameters V	Varied Across 2	2-D Simulations
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Note. Reference case values are in bold.

<sup>a</sup> Refer to Figure 1a for visualization of the profiles.

<sup>b</sup> In the absence of a channel, activation volumes and pre-factors are identical in both laws (Equation 3).

static pressure condition. Each profile includes, and differs from the others by, a constant velocity,  $u_{plate}$ , in the lithosphere and a Poiseuille component in the sub-lithospheric mantle, down to  $z_{pois} \equiv 200$  km depth (Höink & Lenardic, 2010; Höink et al., 2011; Stotz

et al., 2018; Rolf et al., 2018). We evaluate the latter according to

$$u_{pois} = u_{plate} \times \left( 1 + \frac{1}{2} \frac{z - z_{oce}}{z_{pois} - z_{oce}} \left( 1 - \frac{z - z_{oce}}{z_{pois} - z_{oce}} \right) \right),\tag{7}$$

for which  $z_{oce}$  is the initial thickness of the oceanic lithosphere. A linear decrease across the remainder of the upper mantle, with no inflow permitted in the lower mantle, completes the imposed profile. We couple the change in kinematic boundary condition at x =0 km to a Dirichlet condition on the temperature, using the initial thermal structure of the oceanic lithosphere, and we shift the continent to the left of the domain, between 1000 km and 2500 km, to provide enough space for advection.

214 2.3.2 3-D Cases

We extend our analyses to 3-D to quantify the sensitivity of EDC and SDU to more complex continental geometries and a broader spectrum of orientations for background mantle flow, relative to the continent. We keep the remaining model parameters identical to our reference 2-D case.

We examine four continental geometries for which the shape of the continent is based on a 200 km thick cuboid located between x = 1750 km, y = 1250 km and x = 3250 km, y = 2750 km (Figure 2). Similarly to 2-D, lithospheric steps connect continent to ocean along continental boundaries, including the four 'corners'. Each case differs in the following way: (i) Case U400 incorporates a 400 km wide indent inside the continent, be-

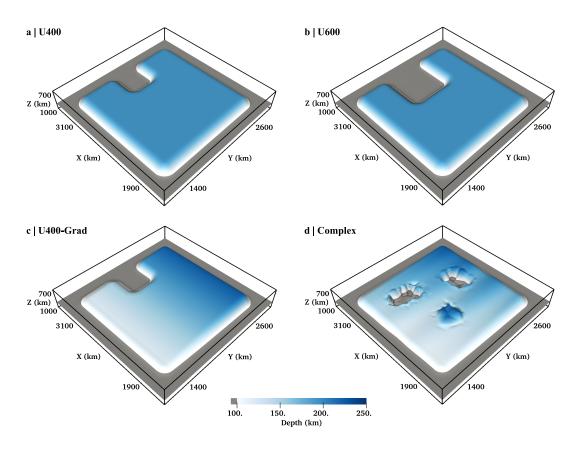


Figure 2. Initial topography at the LAB, as given by the 1620 K isotherm proxy, for continental geometries used in the 3-D simulations. (a) Case U400. (b) Case U600. (c) Case U400-Grad. (d) Case Complex.

tween x = 2850 km, y = 1800 km and x = 3250 km, y = 2200 km, which effectively re-224 places continental lithosphere by oceanic lithosphere, with additional steps at inner edges 225 and corners accounting for the new boundary; (ii) Case U600 is similar to Case U400, 226 albeit with a wider indent of  $600 \,\mathrm{km}$ , located between  $x = 2650 \,\mathrm{km}$ ,  $y = 1700 \,\mathrm{km}$  and 227 x = 3250 km, y = 2300 km; (iii) Case U400-Grad builds on Case U400 but differs by the 228 presence of a linear gradient in the y-direction, from  $z = 130 \,\mathrm{km}$  to  $z = 230 \,\mathrm{km}$  depth, 229 to represent the continental LAB; (iv) Case Complex does not incorporate an indent but, 230 instead, combines a similar gradient as Case U400-Grad (same direction, different am-231 plitude) with sinusoidal variations and local anomalies to define the continental LAB. 232 These smaller-scale variations in LAB topography are more consistent with the LAB in-233 ferred through seismic techniques and probabilistic inversion of multiple datasets (e.g. 234 Afonso et al., 2016; Rawlinson et al., 2017), and allow us to investigate the flow regime 235 and melt patterns in a more complex scenario. 236

To explore the effects of background mantle flow, we select Case U400 as our basis and apply our 4 cm yr<sup>-1</sup> inflow profile (Section 2.3.1 and Figure 1b) in four different directions: positive x at x = 0 km, negative x at x = 5000 km, positive y at y = 0 km and both positive x and y (which we will refer to as oblique) at x = 0 km and y = 0 km. For the latter, we also apply the inflow profile at the outflow boundaries to ensure the flow remains oblique inside the domain. As for 2-D cases, we shift the continent toward the inflow boundary, at a distance of 1000 km.

#### 244 2.4 Model Diagnostics

For our 2-D cases, we identify the edge-driven cell generated adjacent to the step 245 in lithospheric thickness and quantify its strength. In the presence of imposed background 246 flow, we uncover the cell by subtracting from the velocity field a vertical profile of  $u_x$ , 247 representative of continental motion. Following Coltice et al. (2018), we calculate at each 248 mesh node the angle of the velocity vector relative to the x-axis and the horizontal deriva-249 tive of the vertical component of velocity,  $\frac{\partial u_z}{\partial x}$ . Then, we tessellate the domain using large squares, inside which we analyse angle and derivative values. For a cell to exist, veloc-250 251 ity vectors must be oriented such that they form the shape of an ellipse. Accordingly, 252 we require the equivalent condition that vector directions distribute in all four quadrants 253 of the unit circle, which we interpret in terms of the distribution of angles. Moreover, 254 we apply a threshold to the absolute value of the derivative (e.g.  $3 \times 10^{-15} \,\mathrm{s}^{-1}$  for the 255 reference viscosity profile), filtering out squares with only low-intensity features. We test 256 each square for both conditions and either discard those that do not meet our criteria 257 or decompose others into four sub-squares. We iterate through the process until a min-258 imum threshold for the size of the squares is reached (e.g. 10 km sides, depending mainly 259 on the achievable velocity field resolution). At this stage, we consider the remaining squares 260 to contain the centre of a cell, approximately defined by the square's centroid. With the 261 centre of each cell known, relevant velocity profiles can be drawn and compared across 262 multiple cases. 263

We monitor melting using recently implemented Lagrangian tracer particles in Flu-264 idity. We calculate weight fractions of melt, F, using the batch melting parameteriza-265 tion for wet, shallow upper-mantle peridotite from Katz et al. (2003), which addresses 266 both the exhaustion of clinopyroxene and water saturation in the rock. Our implemen-267 tation considers the pressure to be lithostatic, incorporates the adiabatic temperature 268 increase with depth (consistent with our viscosity formulation) and makes use of an al-269 gorithm for root-finding (Brent, 2013). Each Lagrangian particle records a value of F 270 at each time-step and stores the maximum value encountered throughout the simulation, 271  $F_{max}$ . We consider melting to occur when the newly obtained F is higher than  $F_{max}$  and 272 a melting rate, M, is subsequently calculated based upon the value of the time-step,  $\delta t$ , 273 at this stage: 274

$$M = max \left(0, \frac{F - F_{max}}{\delta t}\right). \tag{8}$$

Neither melt extraction nor melt 're-freezing' are considered. Particles are randomly initialised within a cuboid that extends 500 km beyond the continent in horizontal direction(s), and down to 450 km depth; we use  $10^5$  and  $1.5 \times 10^7$  particles in 2-D and 3-D simulations, respectively. At the beginning of the simulation (t = 0), F is calculated according to the pressure and temperature conditions of the initial state, with  $F_{max}$  updated accordingly; we set M to 0.

For all simulations, we calculate the cumulative melt production beneath a region 281 of interest, surrounding the continent. To do so, at each time-step, we select particles 282 within a given depth range where melting is occurring (e.g. between 30 km and 140 km) 283 and construct a piecewise linear interpolant from the obtained melting rate. We then 284 apply the interpolant onto a 5 km-resolution structured grid and use Simpson's rule to 285 integrate along any space dimension, as well as multiply by the current model time-step 286 to integrate in time. We obtain cumulative melt thicknesses/areas/volumes by summing 287 results from each time-step. To account for continental motion in cases with a prescribed 288 inflow, we advect the grid according to the motion of a particle that is located within 289 the rigid continent. Throughout the algorithm, we discard the initial state and the first 290 time-step  $(< 0.1 \,\mathrm{Myr})$  as we consider them to represent an equilibrium process between 291 the initially unmolten rocks and the pressure-temperature-velocity conditions of the model. 292

#### 293 3 Results

294

#### 3.1 Two-Dimensional Simulations

We first examine results from our 2-D simulations. Our reference case incorporates 295 40 Myr old oceanic lithosphere, a 200 km thick continent and 200 km wide steps, with 296 the material interface between continent and ocean halfway along the step. The initial 297 viscosity distribution reaches a minimum of  $10^{19}$  Pas in the sub-lithospheric oceanic man-298 tle and does not include a low-viscosity channel; domain boundaries are closed. We fo-299 cus on the dynamics around the right step, for which Figure 3 illustrates the first 30 Myr 300 of model evolution. A cell-like flow develops, adjacent to the lithospheric step. Flow rapidly 301 expands and intensifies, with peak velocities rising from a few  $mm yr^{-1}$  after 7 Myr to 302 greater than  $1 \,\mathrm{cm}\,\mathrm{yr}^{-1}$  after 15 Myr. Motion is driven by the negative buoyancy of oceanic 303 material at the step. Continental lithosphere, owing to its lower density and increased 304 viscosity, acts as a steady, rigid block, and guides downward motion; corresponding up-305 welling flow occurs beneath adjacent oceanic lithosphere. A secondary instability initi-306 ates away from the step after  $\sim 15 \,\mathrm{Myr}$ . Melting occurs where upwelling material im-307 pinges beneath oceanic lithosphere, leading to melting rates of, on average, a few  $100 \text{ ppm Myr}^{-1}$ , 308 with some particles recording  $\sim 1 \% Myr^{-1}$ ; melt fractions reach a maximum value of 309

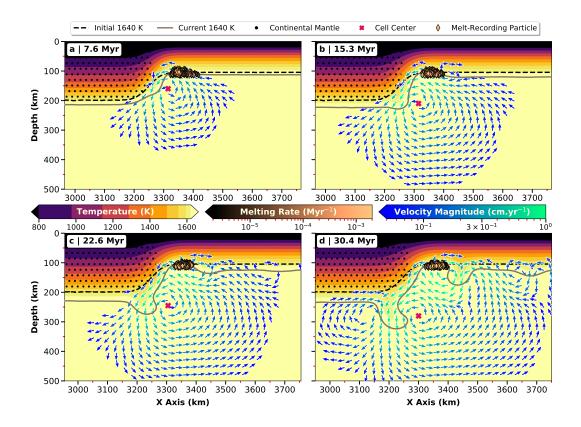
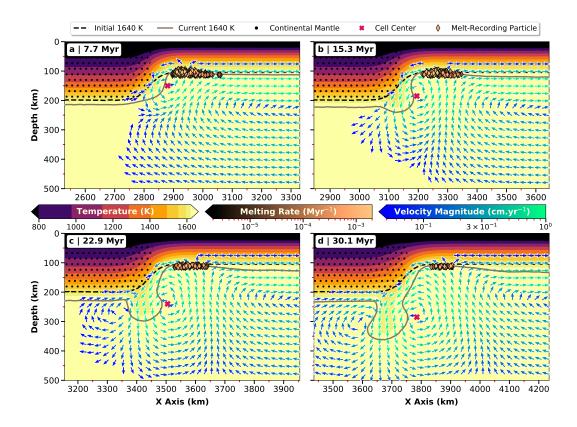


Figure 3. Development of an instability adjacent to the right step of the reference 2-D case. Background colours represent temperature, with the current and initial location of the 1640 K isotherm – a convenient proxy for downwellings – highlighted by the solid grey and dashed black line. Black dots depict the location of continental mantle. Arrow glyphs illustrate the intense part of the velocity field, where the magnitude is higher than  $0.5 \text{ mm yr}^{-1}$ , and their colour indicates the strength of the flow. The pink cross denotes the centre of the cell while small diamonds, coloured by melting rate, represent particles that record active melting.

0.6%. Melting is initially induced by the main edge-driven flow and subsequently enhanced by the secondary instability, which delays lithospheric thickening and can locally enhance upwelling flow.

We next examine the role of background mantle flow, using our  $4 \,\mathrm{cm}\,\mathrm{yr}^{-1}$  veloc-313 ity inflow profile (Figure 1b, red curve). As illustrated in Figure S1, this background flow 314 dominates the velocity field across the entire upper mantle: although a component of up-315 welling flow is present adjacent to the step, no clear cell is visible as velocity glyphs strongly 316 align with the prescribed inflow profile. To better illustrate buoyancy-driven flow adja-317 318 cent to the step, we subtract a vertical profile of horizontal velocity, which is representative of continental motion, from the whole field, revealing a cell adjacent to the step 319 (Figure 4). Both the primary instability at the continental edge and associated upwelling 320 flow within this cell are enhanced compared to our reference case, with vertical veloc-321 ities and stronger upward motion extending deeper into the domain. The asthenospheric 322 shear associated with the prescribed inflow, however, delays the onset of secondary in-323 stabilities. Consequently, melting initially occurs over a larger horizontal length scale, 324 in comparison to the reference case, and is of slightly higher intensity, as depicted by in-325 creased melting rates, particularly for the first  $\sim 20 \,\text{Myr}$  of the simulation (Figure S4). 326 However, the absence of secondary instabilities, over the simulation time examined, re-327 stricts melting at later stages in comparison to the reference case. 328

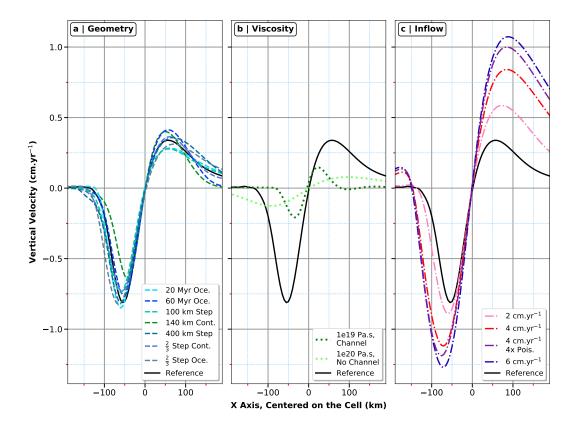
We now compare the vigour of edge-driven instabilities across the parameter space investigated. For each case, we plot a horizontal profile of vertical velocity, centred on the cell, at the right lithospheric step (Figure 5). As instabilities develop on different time-



**Figure 4.** Evolution of our  $4 \text{ cm yr}^{-1}$  inflow profile case over 30 Myr. A vertical profile of horizontal velocity, representative of continental motion, is subtracted to the whole field to uncover the cell flow. Graphic illustration is similar to Figure 3.

scales for different cases, to provide a meaningful comparison across our parameter space,
we identify the centre of the cell in each simulation (Figure S3) at the time of maximum
downwelling velocity (Figure S2), prior to the onset of secondary instabilities.

As illustrated in Figure 5a, geometric parameters generally have only a moderate 335 influence on the downwelling velocity, upwelling velocity and cell width (i.e. the distance 336 between maximum downwelling and upwelling velocities along the profile) over the pa-337 rameter space examined. The case with a thinner continent, however, stands out: in com-338 parison to the reference case (compare '140 km Cont.' to 'Reference'), the cell is smaller 339 in both its depth and lateral extent, with peak downwelling velocities reduced but peak 340 upwelling velocities enhanced. Reduced downwelling velocities are a consequence of the 341 smaller extent of the continental guide at depth and a more stable step relative to the 342 reference case, both of which lead to a less voluminous downwelling. The conservation 343 equations imply that the corresponding upwelling should also be less voluminous, which 344 is the case, although peak upwelling velocities are larger (but drop off more rapidly with 345 distance from the cell centre). This is a long-term consequence of weaker horizontal re-346 turn flow beneath oceanic lithosphere: weaker shearing occurs beneath the LAB because 347 less material is being displaced at the step, thus promoting the earlier development of 348 secondary instabilities, which can locally boost upwelling velocities (particularly at later 349



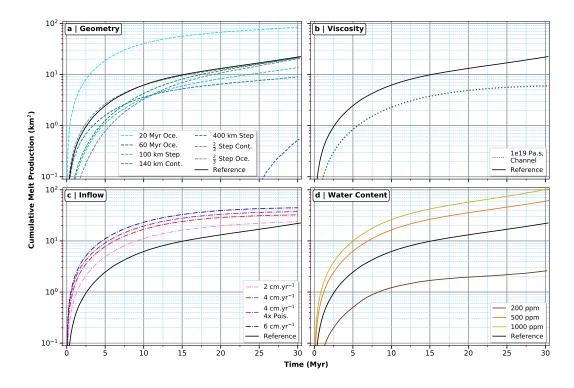
**Figure 5.** Comparison of vertical velocity in the vicinity of the cell centre (Figure S3) for the 2-D simulations examined herein, at the time of maximum downwelling velocity (Figure S2). Each profile represents a 300 km horizontal transect passing through the centre of the cell. (a) Effect of step geometry – 'Reference' corresponds to 40 Myr Oce., 200 km Step, 200 km Cont., and a material boundary halfway along the step. (b) Role of viscosity – 'Reference' corresponds to a channel-free profile with a minimum viscosity of 10<sup>19</sup> Pa s. (c) Influence of background mantle flow – 'Reference' corresponds to an enclosed simulation.

stages of the simulation). Similarly, the case with older oceanic lithosphere ('60 Myr Oce.') 350 also exhibits a weaker downwelling and a more focussed upwelling. Here, weaker down-351 welling can once again be attributed to a smaller continental guide, albeit on this oc-352 casion resulting from thicker oceanic lithosphere, which reduces the build up of negative 353 buoyancy at the step. The more focussed corresponding upwelling can be attributed to 354 secondary instabilities, which develop more rapidly beneath older oceanic lithosphere. 355 Differences for the remaining cases, when compared to the reference model, can be un-356 derstood based on the initial buoyancy of oceanic lithosphere and the position and ori-357 entation of the material interface along the step, which determine the relative propor-358 tion of step material that can become unstable. Nevertheless, trends are generally closely 359 matched, with the main difference induced by these geometrical parameters being the 360 time required for instabilities to fully develop (Figure S2). 361

In Figure 5b, we illustrate the role of the viscosity profile, particularly the effect 362 of the minimum value in the sub-lithospheric mantle and the presence of a low-viscosity 363 channel. At a minimum viscosity of  $10^{19}$  Pas, the presence of a low-viscosity channel sta-364 bilizes the lithosphere, as increased momentum diffusion occurs at shallower depths, leav-365 ing less vertical space for the instability to develop. The cell width and flow intensity 366 are greatly reduced, as the cell center is pushed upward (Figure S3). Increasing the min-367 imum viscosity to  $10^{20}$  Pas changes the dynamics of the model: no comparable cell forms, 368 as the higher viscosity restricts the development of any clear downwelling at the litho-369 spheric step over the simulation times examined. Adding a low-viscosity channel further 370 inhibits the development of an edge-driven cell. 371

Figure 5c combines results from cases where a velocity inflow is prescribed and highlights that the presence of favourable background mantle flow (i.e. where the underlying mantle flows away from the continent) strongly enhances vertical velocities adjacent to the step. Moreover, additional asthenospheric shear, provided via an enhanced Poiseuille component (Figure 1b, compare violet and red profiles), leads to a slight increase in both downwelling and upwelling velocities, and a marginal increase in cell width.

We finally discuss the melt-related diagnostics for our 2-D simulations. To allow 378 for a quantitative comparison between different cases, we define a 5 km resolution grid 379 surrounding the continent onto which we interpolate melting rates recorded by the par-380 ticles. The grid extends 350 km away from the centre of the steps, between depths of 30 km 381 and 140 km; for cases with prescribed inflow, we advect the grid using the evolution of 382 position from a particle trapped inside the continent. We subsequently integrate the in-383 terpolated melting rates in both space and time to determine the cumulative amount of 384 melt produced at a given time, with results presented in Figure 6. In comparison to Fig-385 ure 5, we include an additional panel that illustrates the effect of variable water content 386 (we emphasise that, in our models, water only influences the melt production, without 387 any feedback on the flow dynamics). To complement the cumulative melting results pre-388 sented, instantaneous melting rates, integrated over the same area, are illustrated in Fig-389 ure S4. Figure 6a demonstrates that most alternative geometries generate slightly less 390 melt than the reference case after 30 Myr. However, younger (thinner) oceanic lithosphere 391 promotes an increase in decompression melting, whilst older (thicker) oceanic lithosphere 392 inhibits melting through a thick lid. Focussing next on Figure 6b, cases with a minimum 393 viscosity of  $10^{20}$  Pas exhibit only extremely limited melting, which is not visible on the 394 scale plotted. At  $10^{19}$  Pas, the incorporation of a channel reduces by a factor of four the 395 cumulative amount of melt, as expected from the decreased upwelling velocities high-396 lighted in Figure 5b. Figure 6c demonstrates that background flow enhances melt pro-397 duction, with the melting rate proportional to the prescribed velocity. After 20 Myr, how-398 ever, cumulative melt production trends for cases with background flow start to flatten, 399 due to the fact that instantaneous melting rates drop, eventually falling below those recorded 400 in the reference case (Figure S4c). Such a decrease is a direct consequence of the delayed 401 onset of secondary instabilities in oceanic lithosphere, for cases with substantial astheno-402



**Figure 6.** Cumulative melt production as a function of time, sampled at the right step. Values correspond to the integral in both space and time of interpolated melting rates as recorded by particles, summed at each time-step. (a) Effect of step geometry – 'Reference' corresponds to 40 Myr Oce., 200 km Step, 200 km Cont., and a material boundary halfway along the step. (b) Role of viscosity – 'Reference' corresponds to a channel-free profile with a minimum viscosity of 10<sup>19</sup> Pas. (c) Influence of background mantle flow – 'Reference' corresponds to an enclosed simulation. (d) Impact of water content – 'Reference' corresponds to 300 ppm.

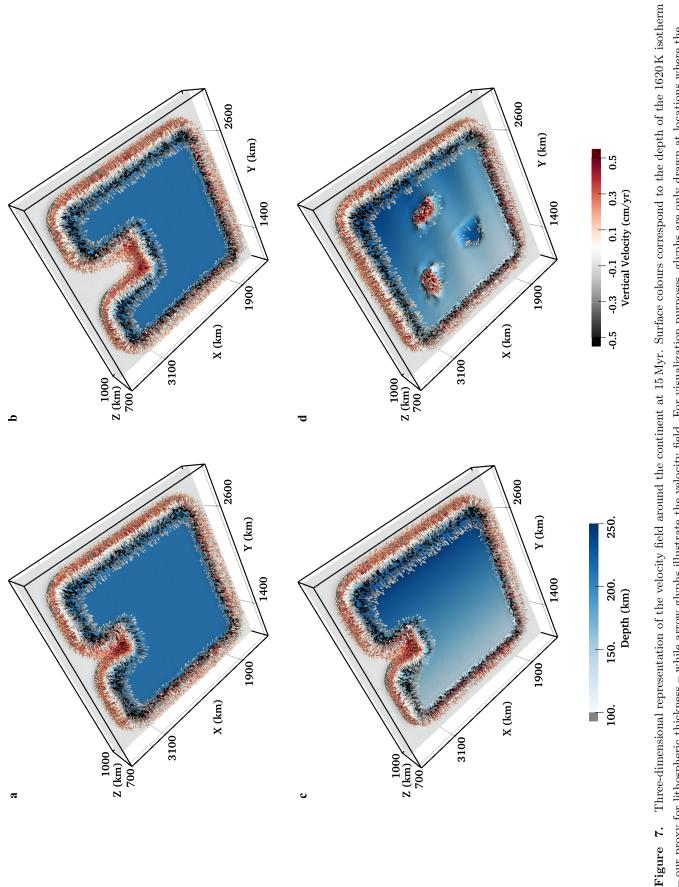
spheric shear (e.g. van Hunen et al., 2003; Le Voci et al., 2014; Davies et al., 2016). It
is worth noting that the 2 cm yr<sup>-1</sup> inflow case eventually yields instantaneous melting
rates that exceed those in cases with faster velocities. Finally, as expected, Figure 6d confirms that higher water concentrations enhance melt production.

The melting behaviour described at the right step is comparable to that at the left step, except for cases with prescribed inflow, where imposed velocities reduce both instantaneous and cumulative melting rates (not shown). This indicates the importance of the velocity field orientation with respect to the step in controlling the flow regime and associated melt production, which we further examine in our 3-D simulations.

#### 412

#### 3.2 Three-Dimensional Simulations

We extend our analyses to 3-D, allowing for the incorporation of more complex geometries and greater flexibility in the orientation of background mantle flow. We separate our results into cases that neglect (Section 3.2.1) or incorporate (Section 3.2.2) background mantle flow, respectively.



#### 417 3.2.1 No Prescribed Background Flow

We first examine the velocity field generated after 15 Myr. This is sufficient to al-418 low for the development of primary instabilities at lithospheric steps, whilst also avoid-419 ing complications linked to the onset of secondary instabilities, enabling us to focus upon 420 3-D effects that arise from more complex continental geometries. Nonetheless, we illus-421 trate, through Figure S8 (which is directly comparable to Figure 2), the effect of secondary 422 instability development after 30 Myr on all 3-D geometries examined. Figure 7a illus-423 trates the flow regime for Case U400, which exhibits edge-driven instabilities adjacent 424 to the entire continental boundary. Consistently with our 2-D cases, these instabilities 425 are driven by unstable oceanic lithosphere, sinking at the continental edge, with a cor-426 responding passive upwelling forming beneath adjacent oceanic lithosphere. Around the 427 continent, velocities are of comparable intensity, except within the indent, where darker 428 red glyphs denote more vigorous upwelling. At this location, the geometry of the inter-429 face between ocean and continent brings upwelling flows associated with three adjacent 430 steps into close proximity. These coalesce (Figure S6a) to enhance upwelling velocities 431 by up to 70% compared to those in other parts of the domain (Table 3). 432

Figure 7b displays comparable flow patterns for Case U600. Although similar high-433 intensity upwelling velocities are present inside the indent, they are restricted to the in-434 ternal corners. In this case, the distance between edge-driven cells exceeds the width of 435 the cells and, accordingly, cells on opposing steps are unable to coalesce and influence 436 each other to the same extent as in Case U400 (Figure S6b). Figure 7c illustrates results 437 from Case U400-Grad, with a complementary cross-section presented in Figure S7. Sim-438 ilar to the observations made from Figure 5a, downwelling velocities are enhanced ad-439 jacent to thicker parts of the continent. This gives rise to more intense horizontal mo-440 tion at depth, resulting in broader edge-driven cells and more shearing immediately be-441 neath the oceanic LAB, deflecting upwelling flow. Accordingly, despite enhanced down-442 welling velocities on steps adjacent to thicker continents, peak upwelling velocities are 443 generally comparable to those on shorter steps, which are modulated through the action 444 445 of secondary instabilities (in agreement with Figure 5a). Figure 7d illustrates flow pat-

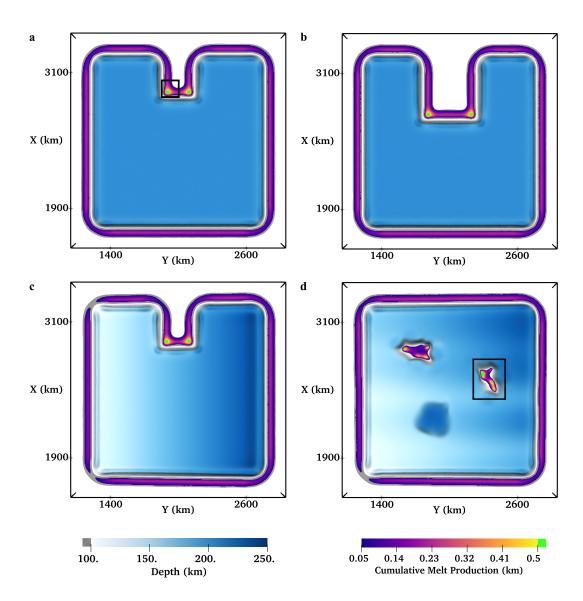
Case	$\begin{array}{c} Maximum \\ downwelling \\ inside     outside \\ indent   (cm  yr^{-1}) \end{array}$	$\begin{array}{c} {\rm Maximum} \\ {\rm upwelling} \\ {\rm inside}     {\rm outside} \\ {\rm indent}   ({\rm cm}  {\rm yr}^{-1}) \end{array}$	$\begin{array}{c} \text{Maximum} \\ \text{melt}^a \\ \text{inside}     \text{outside} \\ \text{indent}   (\text{km}) \end{array}$	Indent's corner $melt^b$ volume <sup>c</sup> $(km^3) \& area^d$ $(km^2)$
U400	$-1.08 \mid -1.08$	$0.70 \mid 0.41$	0.59 + 0.23	3410 & 14,611
U600	-1.10   $-1.10$	$0.66 \mid 0.41$	$0.59 \mid 0.24$	3426 & 14,678
U400-Grad	-1.07   $-1.13$	$0.64 \mid 0.49$	$0.57 \mid 0.23$	3394 & 14,911
$\operatorname{Complex}^{e}$	-0.60   $-1.14$	0.89 0.49	$0.88 \mid 0.24$	3936 & 15,256
Pos. x	-1.06   $-1.27$	1.09 0.70	$0.67 \mid 0.32$	4820 & 17,033
Neg. x	-1.10   $-1.30$	$0.44 \mid 0.74$	$0.29 \mid 0.33$	1357 & 9544
Pos. y	-1.25   $-1.31$	$0.95 \mid 0.71$	$0.62 \mid 0.32$	4511 & 16,778
Oblique	$-1.09 \mid -1.40$	$1.12 \mid 0.65$	$0.70 \mid 0.28$	5403 & 17,722

 Table 3.
 Diagnostics Across 3-D Models

<sup>a</sup> Cumulative thickness over the first 15 Myr of model evolution obtained by integration of the melting rate carried by particles both in time and along the vertical axis. <sup>b</sup> Nodes (Section 2.4) with a cumulative melt production greater than 0.05 km (Figures 8 and 10) that are closest to the bottom-left inner corner of the indent (black square in Figure 8a). <sup>c</sup> Integration of the cumulative thickness (Figures 8 and 10) in both x and y directions. <sup>d</sup> Corresponding area experiencing melting. <sup>e</sup> For the first three columns, 'inside | outside the indent' is swapped for 'inside | outside the continent', while the last column 'indent's corner' is replaced by 'right trough' (black rectangle in Figure 8d).

terns for Case Complex and clearly demonstrates that regions of anomalously thin continental thickness are associated with localised and vigorous upwelling flows. However,
no downwellings are visible adjacent to the anomalously thick continental region, which
is a consequence of the higher viscosity of continental material. Instabilities along continental boundaries are consistent with those in Case U400-Grad, as expected.

We now analyse the cumulative melt production for these four cases. Results, presented in Figure 8, are expressed as a thickness, which results from the integration of the melting rate both in time (over 15 Myr) and along the vertical axis (Section 2.4). Most



**Figure 8.** Distribution and intensity of the cumulative melt production around the continent for cases without prescribed inflow, after 15 Myr. Surface colours correspond to the depth of the 1620 K isotherm, our proxy for lithospheric depth; lithospheric erosion is identified by the grey tone, which depicts portions thinner than 100 km. Coloured points represent the cumulative melt production as obtained after integration along the vertical axis (Section 2.4); major locations of melting are indicated by the green tone. Black rectangles illustrate zones of interest used for calculations in Table 3. (a) Case U400. (b) Case U600. (c) Case U400-Grad. (d) Case Complex.

melting occurs between 90 km and 120 km depth, consistent with the 2-D cases, except 454 where the lithosphere is sufficiently thin to host shallower melting. For Case U400, il-455 lustrated in Figure 8a, we observe three distinct melting trends: (i) weak melting, less 456 than  $\sim 0.15$  km, adjacent to the external corners of the continent; (ii) moderate melt-457 ing, up to  $\sim 0.25$  km, at external steps, away from the corners, that is homogeneous through-458 out; and (iii) enhanced melting, up to  $\sim 0.6$  km, within the indent, with the largest melt-459 ing concentrated at the indent's internal corners. Within the indent's lower-left corner, 460 additional horizontal integration yields a cumulative volume of  $\sim 3400 \,\mathrm{km^3}$ , distributed 461 over an area of  $\sim 14,500 \,\mathrm{km^2}$  (Table 3); individual melt fractions reach a value of 1%. 462 These trends are fully consistent with the intensity of upwelling flow at these locations. 463

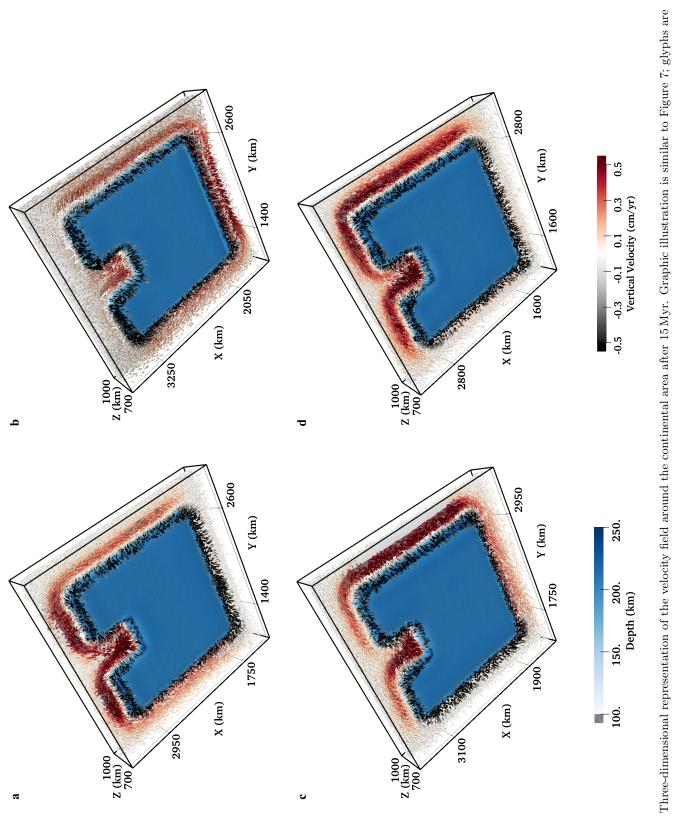
In Figure 8b, we illustrate results for Case U600. Similar trends to Case U400 are 464 displayed; despite weaker coalescence of flow within the indent (Figure S6), the geom-465 etry of the internal corners triggers melting that is comparable to that yielded by a nar-466 rower indent. For Case U400-Grad (Figure 8c), steps adjacent to thicker parts of the con-467 tinent generate around 15% more melt than those adjacent to thinner parts, with a gra-468 dient in between. Even though such disparity seems to contradict the comparable up-469 welling velocities observed in Figure 7c, it is in agreement with the 2-D results shown 470 in Figure S4a (compare the evolution of '140 km Cont.' to 'Reference') and can be rec-471 onciled based on the faster development of secondary instabilities adjacent to smaller steps. 472 As demonstrated in our 2-D cases, during the first 15 Myr of model evolution, peak up-473 welling velocities are generally smaller for cells adjacent to shorter steps, leading to the 474 lower melt production observed. However, the faster onset of secondary instabilities ad-475 jacent to these steps can enhance upwelling velocities and, thereby, melting rates at later 476 times, relative to those adjacent to thicker steps. Finally, for Case Complex (Figure 8d), trends at external steps are consistent with case U400-Grad. Within the continent, sig-478 nificant melting is restricted to the two anomalous troughs, coincident with the vigor-479 ous upwellings highlighted in Figure 7d. As a result of the initially thin continental litho-480 sphere at these locations (reaching a maximum thickness of 60 km in places, as opposed 481 to 90 km for surrounding oceanic lithosphere), we record up to 0.9 km of cumulative melt 482 production, which is 50% higher than observed adjacent to the indent's internal corners 483 in Case U400. 484

#### 485

#### 3.2.2 Prescribed Background Flow

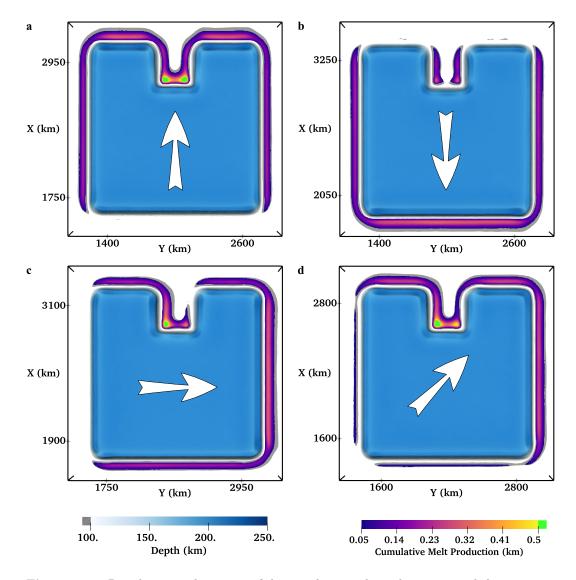
We next examine cases that incorporate prescribed background mantle flow and focus on how its orientation, relative to the continent, affects dynamical instabilities throughout the domain. We use Case U400 as our reference and systematically prescribe flow in the positive x, negative x, positive y and both positive x and y (oblique) directions. Similarly to the enclosed models, we illustrate our results initially through the flow field (Figure 9) and, subsequently, through its influence on melting (Figures 10 and 11).

When visualising the flow field, we find that glyphs principally align with the pre-492 scribed inflow direction, consistent with the behaviour observed in 2-D (Figure S1). There-493 fore, to better highlight instabilities driven by buoyancy, and to allow for consistent com-494 parison with our 2-D cases, we remove a vertical profile of horizontal velocity, characteristic of continental motion, from the velocity field. Figure 9a illustrates the resulting 496 flow in the case of inflow in the positive x-direction. In comparison to Case U400 (Fig-497 ure 7a), for which there is no background flow, we observe enhanced upwelling veloci-498 ties where the asthenosphere flows away from the continent (i.e. the trailing edge), in-499 creasing by up to 55% inside the indent and 70% elsewhere. Conversely, where the as-500 thenosphere flows toward the continent (i.e. the leading edge), upwelling velocities are 501 reduced substantially, by 75%. The leading edge exhibits a clear downwelling, with no 502 associated upwelling, as well as a divergent flow adjacent to the corners, while the trail-503 ing edge displays intense upwelling motion, with convergent flow at the corners. The flow 504 field adjacent to the continent's external corners is a consequence of the higher pressure 505



<sup>506</sup> beneath the continent, which drives material around continental margins and, accord-<sup>507</sup> ingly, contributes toward upwelling at the continent's lateral edges.

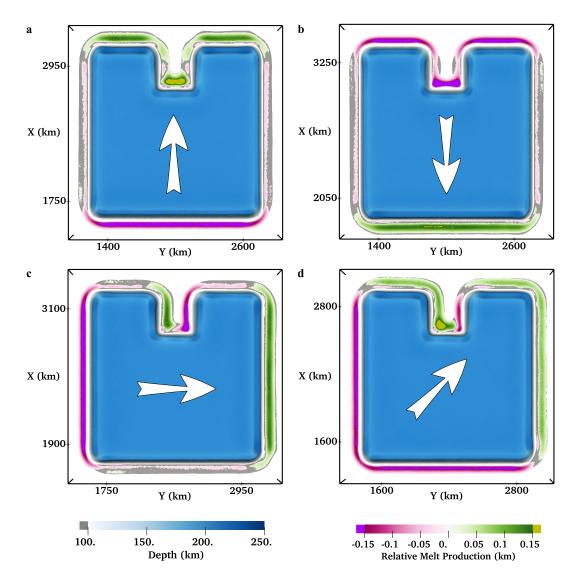
Figure 9b illustrates the flow field resulting from a case with inflow in the nega-508 tive x-direction (i.e. flow in the opposite direction to that illustrated in Figure 9a). While 509 trends are generally identical to the previous case on leading, trailing and outer lateral 510 steps, we note that upwelling velocities within the indent are no greater than those along 511 the continent's lateral margins, with comparable downwelling velocities (Table 3). Such 512 an orientation of the velocity field, therefore, dampens the dynamics driven by the in-513 dent's geometric configuration. In Figure 9c, we illustrate the effect of inflow in the pos-514 itive y-direction. Both strong upwelling and downwelling velocities are observed within 515 the indent (in comparison to Figure 7a), as the flow first upwells at the inner left step 516 before diving beneath the continent at the inner right step. Figure 9d illustrates results 517



**Figure 10.** Distribution and intensity of the cumulative melt production around the continent for cases with prescribed inflow, after 15 Myr. Graphic illustration is similar to Figure 8. Additional arrows indicate the direction of the prescribed background flow. (a) Positive x. (b) Negative x. (c) Positive y. (d) Oblique.

from our oblique case, for which the notion of leading and trailing edges evolves into the 518 idea of pairs of edges adjacent to leading and trailing corners. Accordingly, downwellings 519 concentrate alongside the pair of outer edges connected to the leading corner whereas 520 upwellings concentrate adjacent to the opposite edges. The latter are slightly weaker than 521 in the previous three models, although they occupy a longer portion of the continental 522 edge. Within the indent, the lower-left corner and its surroundings experience intense 523 upwelling flow. In this case, the orientation of asthenospheric flow excites two upwellings, 524 on adjacent steps: these enhanced upwellings subsequently interact, yielding the fastest 525 upwelling velocities observed across all cases examined. 526

As for cases without prescribed background flow, we now link the flow regime to melt production. In Figure 10a, we observe the three trends of melting previously de-



**Figure 11.** Relative production of melt between cases with prescribed inflow and Case U400. Each panel is generated as a difference between the corresponding panel in Figure 10 and Figure 8a. Pink tones denote areas where melting is weakened by asthenospheric flow, while green tones highlight zones of enhanced melting. Arrows indicate the direction of the prescribed background flow. (a) Positive x. (b) Negative x. (c) Positive y. (d) Oblique.

scribed for our reference 3-D case (Case U400), but, relative to the reference case, melt-529 ing is absent at the continent's leading edge and enhanced at the trailing edge (by 40%). 530 in agreement with the velocity field. Nonetheless, despite the substantially enhanced up-531 welling velocities, the maximum melt production inside the indent only increases by 15%. 532 relative to Case U400. However, this melting takes place over a larger area than for Case 533 U400, leading to a 40% increase in local melt volume (Table 3). On Figure 10b, where 534 inflow is prescribed in the opposite direction, similar trends are observed in terms of lead-535 ing, trailing and lateral edges. In this case, melt production inside the indent is compa-536 rable to that observed at the continent's lateral edges and is less than that observed at 537 the trailing edge, which is consistent with expectations from the velocity field (Figure 538 9b). The calculated melt volume at the indent's lower-left corner falls to  $\sim 1350 \,\mathrm{km^3}$ , 539 which represents a 60% decrease from Case U400. For inflow in the positive y-direction 540 (Figure 10c), melt production corresponds closely to locations of upwelling flow, espe-541 cially within the indent. For our oblique case (Figure 10d), melting is absent at the lead-542 ing external corner and of very low intensity at the pair of adjacent edges. At the op-543 posite edges, melt production is intermediate between a comparable edge that does not 544 experience background flow (e.g. Case U400) and a trailing edge that experiences purely 545 normal flow (e.g. in the case of positive x-inflow). As expected from the velocity field, 546 a large area around the lower-left inner corner of the indent displays intense melt pro-547 duction that is up to 20% higher than in Case U400. The calculated cumulative melt 548 volume in this region is 60 % higher than Case U400, and is the highest recorded across 549 the parameter space examined. 550

Finally, we compare the total melt produced in our 3-D cases that incorporate back-551 ground flow (Figure 10) with Case U400 (Figure 8a). For each panel in Figure 10, we 552 subtract the melt production from Figure 8a, and illustrate the result in the correspond-553 ing panel of Figure 11. We make several important observations: (i) the leading edge of 554 a continent is easily identified by a significant decrease in melt production (i.e. > 0.15 km, 555 dark pink colors) as material descends beneath the continent; (ii) trailing edges display 556 a clear increase in melt production (green tones), as material rises from beneath the con-557 tinent; (iii) the effect of flow direction is reflected in melting locations within the indent, 558 with melting increasing significantly (i.e. by greater than  $0.15 \,\mathrm{km}$ ) where interactions be-559 tween upwelling currents are facilitated by the geometric configuration; and (iv) melt-560 ing is displaced outward from lithospheric steps, on both lateral and trailing edges, as 561 a result of background flow. 562

#### 563 4 Discussion

In this study, we have quantitatively examined mantle flow in the vicinity of lithospheric steps, under a range of scenarios, using a suite of 2-D and 3-D numerical models. Our motivation was to better understand the dominant controls on edge-driven convection (EDC) and shear-driven upwelling (SDU), as well as potential links to intra-plate volcanism.

In terms of the dynamical flow regime, our results demonstrate that EDC, which 569 is driven by the negative buoyancy of oceanic lithosphere adjacent to rigid continental 570 lithosphere, is strongly sensitive to uppermost mantle viscosity and its depth dependence. 571 At minimum viscosities  $\geq 10^{20}$  Pas, only weak edge-driven cells develop over the timescales 572 of our simulations. If low viscosities are restricted to a narrow asthenospheric channel, 573 the length-scale and vigour of edge-driven cells are reduced. These findings are consis-574 tent with a number of previous studies on small-scale convection (e.g. van Hunen et al., 575 2003; Davies et al., 2016). Our results also highlight the sensitivity of EDC to the ge-576 ometry and material properties of the step, particularly continental thickness. When mod-577 elled as a viscous, rigid block, the continent provides a natural guide to downwelling flow 578 and shapes the associated edge-driven cell. The remaining geometrical parameters in-579 vestigated (i.e. step width, age of oceanic plate and location of material boundary within 580

the step) influence the volume of lithospheric instabilities and the rate at which they develop, but their impact is secondary to that of continental thickness.

The 3-D distribution of lithospheric steps and their relative orientation exert a key 583 control on the system's dynamics: edge-driven cells at steps that are in close proximity 584 can coalesce and, thereby, enhance and localise upwelling flow, with our models yield-585 ing upwelling velocities up to 70% higher than would otherwise be the case. In addition, 586 cells are strongly sensitive to the magnitude and orientation of background mantle flow. 587 Upwelling currents are strengthened through SDU where asthenospheric mantle flows 588 away from the continent, but are suppressed where the asthenosphere flows toward the continent. Such results demonstrate that whilst lithospheric steps are an essential pre-590 requisite for the development of edge-driven cells, the orientation and strength of back-591 ground mantle flow determines whether or not these cells can form. This behaviour has 592 a direct consequence on where melting can occur and how much melt can be produced. 593 As an example, even though the structure of the indent is the same in all 3-D cases for 594 which we prescribe background flow, the orientation of the velocity field, relative to the 595 continent, leads to a factor of four variation in the cumulative melt production at the 596 indent's lower-left corner (Table 3), thereby promoting or impeding surface volcanism. 597

The strong sensitivity of edge-driven convection and the associated melting to as-598 thenospheric flow has important implications for our understanding of spatial and tem-599 poral patterns of intra-plate volcanism at lithospheric steps. In Earth's vigorously con-600 vecting mantle, asthenospheric flow directions and magnitudes are likely to be time-dependent 601 (e.g. Coltice et al., 2018; Iaffaldano et al., 2018; Coltice et al., 2019), with a strong sen-602 sitivity to changes in plate motion (e.g. Müller et al., 2016) and the shallow Poiseuille 603 component of mantle flow (e.g. Phipps Morgan et al., 1995; Höink et al., 2011; Stotz et 604 al., 2017, 2018). Our simulations suggest that these changes in asthenospheric flow di-605 rections and magnitudes will strongly modulate edge-driven cells and the associated mag-606 matism. To illustrate this, we present results from an additional 3-D simulation in Fig-607 ure S9, where the plate motion direction has been rotated by  $90^{\circ}$  after 15 Myr. In such 608 a scenario, edge-driven flow and the associated magmatism could be enhanced, reduced 609 or even suppressed, within only a few million years of the plate motion change, at any 610 given location along a lithospheric step. In particular, within 12 Myr, an edge that was 611 originally orientated parallel to background mantle flow records a clear and substantial 612 increase (decrease) in melt production as it has transitioned to a trailing (leading) edge. 613 We note that these trends are visible in our melting diagnostics within only a few mil-614 lion years of the plate motion change. It is noteworthy that the original leading edge does 615 not display a substantial increase in melt production following the plate motion change, 616 suggesting a longer lag for steps dominated by downwelling currents prior to a change 617 in the background flow direction. This is supported by the fact that the original trail-618 ing edge displays slightly enhanced melting than would be expected for a lateral edge, 619 pointing towards a history dependence in the system. It is apparent, therefore, that un-620 der such a scenario, the history of mantle flow and the associated melting becomes im-621 portant to understand why specific locations generate melt production trends that de-622 viate from their expected behaviour. This additional observation reinforces the view on 623 why volcanic provinces likely controlled by edge-driven convection are often short lived 624 and time-dependent. We note that these mechanisms are in addition to those identified 625 in previous studies that lead to a periodicity in edge-driven melting (e.g. Kaislaniemi 626 & van Hunen, 2014). 627

In general, our results support EDC and SDU as a viable mechanism for intra-plate volcanism, particularly where the geometry, material properties and orientation of lithospheric steps, relative to each other and asthenospheric mantle flow, are favourable. Over a period of 15 Myr, our models neglecting the role of background mantle flow predict melt thicknesses of up to 0.24 km adjacent to continental margins, up to 0.59 km at an indent's internal corner, and 0.88 km inside the anomalous continental trough of our more com-

plex LAB case (Table 3). When background flow is incorporated, trailing edges, where 634 underlying mantle flows away from the continent, record up to 0.33 km, while produc-635 tion at the indent's internal corners increases up to 0.7 km. Further horizontal integra-636 tion of the cumulative melt thicknesses adjacent to such a corner yields reasonably con-637 sistent melt volumes for all cases that neglect background flow. These predicted volumes, 638 however, are strongly modulated by the orientation of background flow: the largest vol-639 ume predicted over  $15 \,\mathrm{Myr}$  of model evolution is  $5403 \,\mathrm{km^3}$  within an area of  $17,722 \,\mathrm{km^2}$ 640 (Table 3), for the 3-D oblique case. Such a volume corresponds to a mean magmatic pro-641 duction rate of  $\sim 0.36 \,\mathrm{km^3 \, kyr^{-1}}$ . However, as noted for the 2-D cases in Figures 6 and 642 S4, the presence of background flow retards the development of secondary instabilities, 643 and, hence, increased melt generation rates are only sustained for the first  $\sim 20 \,\mathrm{Myr}$  of 644 model evolution, after which they drop as the lithospheric lid thickens. Taken together, 645 these results demonstrate the central role played by both continental geometry and as-646 thenospheric flow in dictating the characteristics of edge-driven flow and associated mag-647 matism. 648

Our predicted melting volumes and rates suggest that EDC and SDU are suitable 649 mechanisms only for Earth's lower-volume intra-plate volcanic provinces: they are un-650 able to explain, for example, eruptive rates at the Hawaiian Ridge, Iceland or Cape Verde, 651 which exceed 10 km<sup>3</sup> kyr<sup>-1</sup> (e.g. Thordarson & Larsen, 2007; Holm et al., 2008; Wessel, 652 2016). However, a magmatic rate of  $\sim 0.36 \,\mathrm{km^3 \, kyr^{-1}}$  is comparable to rates determined 653 for a number of smaller intra-plate volcanic provinces, including the Newer Volcanics Province 654 of Victoria and South Australia, the old Springerville volcanic field within the southern 655 Colorado Plateau, and the Siroua volcanic field of the Morrocan Atlas Mountains, all of 656 which exhibit a long-term eruptive flux of  $< 0.2 \,\mathrm{km^3 \, kyr^{-1}}$  (van den Hove et al., 2017; 657 Cas et al., 2017; Condit et al., 1989; Missenard & Cadoux, 2012). 658

It is important to emphasise, however, that such comparisons should be nuanced: 659 all numerical models have limitations and many of our chosen parameters may not be 660 appropriate at these locations. For example: (i) In our melting calculations, for simplic-661 ity, we assume a peridotitic composition – magmatism may be locally enhanced (or re-662 duced) through the presence of more enriched (or depleted) compositions. Furthermore, 663 we assume a wet peridotite batch melting parameterization and make no attempt to sim-664 ulate the dynamics of melt transport and extraction; (ii) In our 3-D simulations, we set 665 the initial depth of oceanic lithosphere to  $\sim 90 \,\mathrm{km}$ , which increases over time through 666 thermal diffusion, while many of the aforementioned provinces are located above thin-667 ner lithosphere, which would increase predicted melting rates and volumes (e.g. Davies 668 & Rawlinson, 2014; Priestley et al., 2018); (iii) We model the continent as a rigid and viscous block, that is not dramatically impacted by edge-driven processes – it is possi-670 ble that parts of the continental edge behave weakly, modifying the edge-driven process 671 and associated melting (e.g. Liu & Chen, 2019); (iv) Our study has focused on simula-672 tions with short evolution times, to isolate the sensitivity of EDC and SDU to the con-673 trolling parameters examined. In reality, lithospheric steps, particularly those at cratonic 674 margins, are likely long-lived (e.g. Hoggard et al., 2020). Simulations with longer evo-675 lution times develop secondary instabilities that make it more difficult to isolate the sig-676 nals highlighted herein. Nonetheless, as illustrated in Figure S5, which compares both 677 flow dynamics and melting patterns for Case U400 after 15 Myr and 30 Myr, the first or-678 der trends highlighted herein would likely remain consistent; (v) The strength and scale 679 of edge-driven cells in our simulations is strongly dependent on the magnitude and depth-680 dependence of viscosity, which remain uncertain (Korenaga & Karato, 2008; Paulson & 681 Richards, 2009; Iaffaldano & Lambeck, 2014; Rudolph et al., 2015). Nonetheless, we ex-682 amined the sensitivity of our results under a range of different scenarios, all of which are 683 within the estimated range (Lau et al., 2016); and (vi) We neglect other important as-684 pects of mantle convection, including compressibility (Gassmöller et al., 2020), phase tran-685 sitions (Tackley et al., 1993), global mantle flow and mantle plumes. 686

Each of the previous points requires further investigation to quantify their effect 687 on the flow field and associated melting rates and melt volumes. Nonetheless, our results 688 suggest that EDC and SDU are capable of generating volcanic rates on the order of  $\sim$ 689  $1 \,\mathrm{km^3 \, kyr^{-1}}$  under favourable conditions. As highlighted above, this is consistent with the rates determined for a number of intra-plate volcanic provinces on Earth that lie ad-691 jacent to step-changes in lithospheric thickness, supporting EDC and SDU as a viable 692 mechanism. At other provinces, which exhibit substantially enhanced melting rates, al-693 ternative mechanisms, such as mantle plumes, are likely more applicable. Indeed, there 694 is increasing evidence that the shallow mechanisms examined herein interact with up-695 welling mantle plumes in some locations, to produce complex volcanic patterns at the 696 surface (e.g. Davies et al., 2015; Rawlinson et al., 2017; Kennett & Davies, 2020). Un-697 derstanding these interactions is an important avenue for future research. 698

#### 5 Conclusion

This study systematically documents the behaviour of EDC and SDU in 2-D and 3-D geodynamical models. Our 2-D simulations demonstrate that EDC results from the negative buoyancy of lithospheric mantle adjacent to a rigid continental block at a lithospheric step. EDC is sensitive to the geometry and material properties of that step, in addition to the upper mantle viscosity profile: given sufficient space, EDC cells can develop at viscosities below 10<sup>20</sup> Pas. However, if viscosities are higher, or the low-viscosity asthenosphere is restricted to a narrow channel, an EDC cell does not develop.

By examining a set of different continental geometries in our 3-D models, we have 707 demonstrated that edge-driven cells, adjacent to lithospheric steps that are in close prox-708 imity, can influence each other and, thereby, lead to enhanced, localised upwelling. Ad-709 ditionally, by prescribing a range of background mantle flow orientations, we have shown 710 that these upwellings can either be enhanced by SDU, where the asthenosphere flows away 711 from the continent, or suppressed by sub-lithospheric currents heading toward the con-712 tinent. In our models, these flow patterns are mirrored in melting trends, as melting oc-713 curs purely through decompression. The predicted melt volumes suggest that, in the ab-714 sence of potential interactions with mantle plumes, EDC and SDU are viable mechanisms 715 only for Earth's shorter-lived and lower-volume intra-plate volcanic provinces. Taken to-716 gether, our results illustrate the importance of local variations in lithospheric thickness 717 and the orientation and magnitude of asthenospheric flow in controlling the location and 718 timing of EDC and SDU-generated intra-plate volcanism. A key outcome of this study 719 is that, although changes in lithospheric thickness provide a favourable setting for EDC, 720 these cells can be displaced and overwhelmed by background mantle flow. As such, our 721 study helps to explain why step changes in lithospheric thickness, which are common along 722 cratonic edges and passive margins, only produce volcanism at isolated points in space 723 and time. 724

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