The Southern Ocean Radiative Bias, Cloud Compensating Errors and Equilibrium Climate Sensitivity in CMIP6 Models

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Abstract

Analysis of Coupled Model Intercomparison Project Phase 6 (CMIP6) models has focused on their higher equilibrium climate sensitivity (ECS) relative to CMIP5 simulations, with higher ECS values attributed to changing cloud feedbacks. We examine the simulation of the shortwave cloud radiative effect (SW CRE) in CMIP6 and explore a potential link between SW CRE errors and ECS. We derive mean and compensating errors in model data relative to satellite observations using a cloud clustering methodology. A statistically significant negative relationship between the mean and compensating errors in SW CRE over the Southern Ocean is identified. This relationship is observed elsewhere, but is only significant over the Southern Ocean. This implies model tuning efforts potentially hide biases in the representation of clouds in this region. High ECS models tend to have lower mean and compensating errors over the Southern Ocean, suggesting model biases are unlikely to explain the high ECS values.

1 2 3	The Southern Ocean Radiative Bias, Cloud Compensating Errors and Equilibrium Climate Sensitivity in CMIP6 Models
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7	Key Points:
8	• Mean and compensating shortwave cloud radiative effect errors in CMIP6 AMIP
9	models are quantified
10	• A significant negative relationship between mean and compensating shortwave cloud
11	radiative effect errors is found over the Southern Ocean
12	• Shortwave cloud radiative effect errors are shown to be unlikely to explain the high
13	effective climate sensitivity in these CMIP6 models

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14 Abstract

Analysis of Coupled Model Intercomparison Project Phase 6 (CMIP6) models has fo-15 cused on their higher equilibrium climate sensitivity (ECS) relative to CMIP5 simula-16 tions, with higher ECS values attributed to changing cloud feedbacks. We examine the 17 simulation of the shortwave cloud radiative effect (SW CRE) in CMIP6 and explore a 18 potential link between SW CRE errors and ECS. We derive mean and compensating er-19 rors in model data relative to satellite observations using a cloud clustering methodol-20 ogy. A statistically significant negative relationship between the mean and compensat-21 ing errors in SW CRE over the Southern Ocean is identified. This relationship is observed 22 elsewhere, but is only significant over the Southern Ocean. This implies model tuning 23 efforts potentially hide biases in the representation of clouds in this region. High ECS 24 models tend to have lower mean and compensating errors over the Southern Ocean, sug-25 gesting model biases are unlikely to explain the high ECS values. 26

27 Plain Language Summary

Climate models go through a continual process of evaluation and improvement. This 28 process is based on using observational data and higher resolution models to detect er-29 rors within climate models and identifying the model elements that need to be improved. 30 A major issue with the current generation of climate models is that the equilibrium cli-31 mate sensitivity is higher than previous generations of models. This means that the cur-32 rent generation of models show a greater temperature change in response to increased 33 carbon dioxide than previous generations. It is unclear if this high equilibrium climate 34 sensitivity is physically sensible or whether hidden errors in models are the cause. The 35 traditional evaluation of climate models focuses on the average error. However, this can 36 obscure the true magnitude of errors since models often have compensating errors where 37 errors of opposing signs cancel out when averaged. This paper uses a technique based 38 on cloud typing that evaluates both mean and compensating errors in CMIP6 models. 39 The relationship between these errors and the high equilibrium climate sensitivity in these 40 models is investigated, with a specific focus on the Southern Ocean region. We find no 41 relationship between these hidden errors and ECS. 42

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43 **1** Introduction

The refinement of climate models is a continual process driven by constant eval-44 uation against observational measurements and more detailed models. With the recent 45 release of Coupled Model Intercomparison Project Phase 6 (CMIP6) model data (Eyring 46 et al., 2016), a new wave of model assessment is currently underway. A major focus in 47 the research released so far has been the equilibrium climate sensitivity (ECS) of the CMIP6 48 models (Zelinka et al., 2020; Meehl et al., 2020). Simply put, the ECS describes the mag-49 nitude of temperature change associated with doubling the carbon dioxide and running 50 a model to equilibrium (National Research Council, 1979; Gregory et al., 2004). Com-51 pared to the models in CMIP5, those in CMIP6 have higher average ECS values and many 52 CMIP6 models have a greater ECS value than the largest values from CMIP5 (Meehl 53 et al., 2020). Work by Zelinka et al. (2020) has attributed this change to variations in 54 cloud feedbacks, with the largest changes related to the shortwave (SW) cloud feedback 55 linked to decreasing extratropical low cloud coverage and albedo. It is important to note 56 that this feedback has the largest impact over the Southern Ocean, a region that has long 57 been associated with large model biases relative to observations (Wild et al., 1995; Bodas-58 Salcedo et al., 2012; Kay et al., 2012). Work detailed in Gettelman et al. (2019) has also 59 identified that the atmosphere model in CESM2 has higher cloud feedbacks than in pre-60 vious model versions and that changes to the stratiform cloud microphysics and ice nu-61 cleation processes are important, both of which have a large impact over the Southern 62 Ocean. 63

While in general it appears that the models that increased their ECS values be-64 tween CMIP5 and CMIP6 better match the observational data than their CMIP5 coun-65 terparts, it remains unclear if the high ECS models are more accurate than the low ECS 66 models (Gettelman et al., 2019). One area of uncertainty is associated with the role played 67 by compensating errors which can hide the true magnitude of model errors (Jakob, 2003; 68 Hyder et al., 2018; Schuddeboom et al., 2019). Compensating errors potentially mean 69 that an improvement to one aspect of a model can lead to poorer performance as the pre-70 vious bias may have counteracted an oppositely signed error which is unaccounted for 71 in model tuning. Additionally, Zelinka et al. (2020) suggests that the high ECS values 72 in some CMIP6 models could be a result of compensating errors whereby improvements 73 in the representation of the SW cloud feedbacks could mean that some unresolved model 74 errors are no longer being cancelled out, leading to an artificially high ECS. It may also 75

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⁷⁶ be possible that the improvements made over the Southern Ocean lead to larger errors⁷⁷ in other regions.

To explore the cloud compensating errors in the CMIP6 models, the methodology 78 developed in Schuddeboom et al. (2018) and Schuddeboom et al. (2019) is used in this 79 study. Schuddeboom et al. (2018) used the self organizing map (SOM, Kohonen (1998, 80 2013)) clustering technique on cloud top pressure-cloud optical thickness (CTP-COT) 81 histograms to generate a set of cloud regimes associated with different cloud types. This 82 approach for identifying cloud regimes is well established (Jakob, 2003; Oreopoulos et 83 al., 2014; Mason et al., 2015; McDonald et al., 2016). By examining errors in the rep-84 resentation of each cloud regime in terms of their frequency of occurrence and their ra-85 diative properties, we can derive both the mean and cumulative error in the shortwave 86 cloud radiative effect (SW CRE). This then allows magnitude of compensating errors 87 to be estimated (Schuddeboom et al., 2019). In Schuddeboom et al. (2019) this technique 88 was used to compare the effect of applying different model parameterizations to the same 89 model, here it is used to examine different CMIP6 model runs. Given the importance 90 of the SW CRE errors over the Southern Ocean, this region was specifically analyzed in 91 Schuddeboom et al. (2019) and will also be analyzed in this paper. Zelinka et al. (2012) 92 used a radiative transfer model to derive the sensitivity of top of atmosphere fluxes to 93 changes in each bin of ISCCP CTP-COT histograms, these sensitivities when multiplied 94 by changes in cloud fraction forced by a doubling of carbon dioxide concentrations can 95 be used to directly quantify cloud feedbacks. We therefore test whether potential issues 96 in the representation of the current occurrence of different cloud regimes within CTP-97 COT histograms might impact ECS. 98

99 **2** Data

100

2.1 Observational Data

The analysis in this paper relies on data generated using the CFMIP Observation Simulator Package (COSP, Bodas-Salcedo et al. (2011) and Swales et al. (2018)). COSP is a satellite simulator which allows models to simulate data that is directly comparable to observations. This is particularly useful for producing variables like CTP-COT histograms as simulation of the observation process can reproduce many observational biases. The clusters developed in Schuddeboom et al. (2018) were generated using CTP-

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COT histograms from the Moderate Resolution Imaging Spectroradiometer (MODIS, Platnick et al. (2003, 2017)) dataset. However, the regular outputs from the CMIP6 model runs only include International Satellite Cloud Climatology Project (ISCCP, Rossow and Schiffer (1991, 1999)) CTP-COT histograms. As such, this paper uses cloud regimes derived from ISCCP observations developed in McDonald and Parsons (2018) and based

¹¹² on the earlier work of McDonald et al. (2016).

The first of the major differences between the ISCCP and MODIS clusters are that 113 the two datasets have different resolutions which means that the generated clusters rep-114 resent different spatial scales. The second difference is that the ISCCP regimes from McDonald 115 and Parsons (2018) have a different number of members than used in Schuddeboom et 116 al. (2018). We should also note that the ISCCP clusters also include a filter that removes 117 all data over regions with an average ground elevation of 1 km above sea level and that 118 McDonald and Parsons (2018) used data from both 2007 and 2008 rather than a single 119 year. While these factors impact the interpretation of the individual clusters, the inte-120 grated nature of the error calculation process means that the methodology is unaffected. 121 These differences do however mean that the ΔCRE and |CRE| should not be directly 122 compared to the values produced in Schuddeboom et al. (2019). 123

In addition to the CTP-COT histograms from ISCCP, shortwave radiative fluxes 124 from the Clouds and the Earth's Radiant Energy System (CERES, Wielicki et al. (1996)) 125 dataset are used. In particular, this analysis uses top of atmosphere radiative fluxes from 126 the synoptic 1° (SYN1deg) Edition 4.1 CERES dataset (Minnis et al., 2020). As in Schuddeboom 127 et al. (2018) the CERES all sky and clear sky SW radiative fluxes are used to calculate 128 the SW CRE. When used to analyze the cloud clusters, the CERES data is interpolated 129 from a 1 degree by 1 degree equal angle grid to a 2.5 degree by 2.5 degree equal angle 130 grid to match the resolution of the ISCCP data. 131

132

2.2 Model Data

There are a wide range of different model experiments included within the CMIP6 framework. In this research only model runs that correspond to the Atmospheric Model Intercomparison Project (AMIP, Gates et al. (1999) and Eyring et al. (2016)) are utilized. In the AMIP simulations sea surface temperature, sea ice and CO_2 concentrations are all prescribed. This specific experiment was chosen as it covers the same historical

period as the observations, it is also forced in a way which makes the models more com-138 parable to observations and includes model runs from several different models which sim-139 ulated all of the variables required for the analysis. The variables used in this study in-140 clude the COSP generated daily ISCCP COT-CTP histograms (*clisccp*) and the clear 141 sky and all sky SW radiative fluxes (*rsut* and *rsutcs*). While the historical experiment 142 runs also met this criteria, fewer model runs simulated all of these variable and by fo-143 cusing specifically on one experiment we can ensure that the models are compared in a 144 consistent manner. To match the observational data, the cluster based analysis of the 145 model data covers a period between 2007 and 2008 and removes all points over topog-146 raphy greater than 1 km above sea level. 147

Once these requirements have been applied there are eight models available through 148 the Earth System Grid Federation (ESGF) online system which have daily output for 149 the set of variables required. The eight suitable models are CESM2 (Danabasoglu, 2019; 150 Gettelman et al., 2019), CNRM-CM6-1 (Voldoire, 2018), CNRM-ESM2-1 (Seferian, 2018), 151 GFDL-CM4 (Guo et al., 2018), HadGEM3-GC31-LL (Ridley et al., 2019), IPSL-CM6A-152 LR (Boucher et al., 2018), MRI-ESM2-0 (Yukimoto et al., 2019) and UKESM1-0-LL (Tang 153 et al., 2019). There are two models which produce the *cliscop* variable but are not in-154 cluded in this analysis, BCC-CSM2-MR and GISS-E2-1-G, this is associated with an out-155 put error and a lack of other data, respectively. Both the HadGEM3 (5 runs) and IPSL 156 (2 runs) models include multiple runs, for these models the clustering is applied to all 157 runs and the ensemble mean displayed unless otherwise indicated. In later analysis, we 158 use the effective climate sensitivity values for each of these models taken from Meehl et 159 al. (2020) to represent model ECS. While there are differences between effective climate 160 sensitivity and equilibrium climate sensitivity, these are generally small. These values 161 are calculated from coupled atmosphere-ocean model simulations which is different then 162 our analysis based on AMIP simulations. A lack of data means that this inconsistency 163 can not be avoided without significant extra work. However, given that the errors we con-164 sider are associated with cloud feedbacks and these have been identified in previous work 165 as critical to changes in ECS, we believe that the analysis of atmosphere-only models 166 in AMIP is still valid. Brief testing (not included in this study) of a small subset of his-167 torical runs shows that they generate similar results to their equivalent AMIP runs, dif-168 fering by less than one third of a Wm^{-2} in mean error and less than one Wm^{-2} in com-169 pensating error. 170

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¹⁷¹ 3 Methodology

The cluster based approach used to analyze the model runs in this paper was de-172 veloped in Schuddeboom et al. (2019) and was heavily influenced by the work in Williams 173 and Webb (2009), Williams and Bodas-Salcedo (2017) and Hyder et al. (2018). This ap-174 proach is based on using the rate at which a given cluster occurs, known as the relative 175 frequency of occurrence (RFO), and the cluster average SW CRE to calculate the dif-176 ference in SW CRE between models and observations. Two values are calculated, the 177 ΔCRE which is the mean difference between the model and observations and the |CRE| 178 which is the difference if the errors associated with each cluster are summed rather than 179 allowed to cancel. Therefore, |CRE| allows us to calculate the magnitude of the cloud 180 cluster compensating errors. While there will be compensating errors not captured by 181 this approach, by using the cloud clusters we are at least able to estimate the magnitude 182 of compensating errors. ΔCRE and |CRE| can be calculated with equations 1 and 2 where 183 C represents the average CRE of cluster N and R represents the RFO of cluster N. 184

$$\Delta \text{CRE} = |\sum_{N} C_{N}^{\text{Model}} R_{N}^{\text{Model}} - C_{N}^{\text{CERES}} R_{N}^{\text{ISCCP}}|$$
(1)

$$|\text{CRE}| = \sum_{N} |C_{N}^{\text{Model}} R_{N}^{\text{Model}} - C_{N}^{\text{CERES}} R_{N}^{\text{ISCCP}}|$$
(2)

185

4 Results and Discussion

Before examining the models using the ΔCRE and |CRE|, the zonal mean SW CRE 186 is studied. Figure 1 (a) shows the zonal mean SW CRE for the CERES data and for each 187 model and also includes the anomaly for each of these models from the CERES obser-188 vations in figure 1 (b). The SW CRE zonal means are generally consistent across the dif-189 ferent models with a clear peak over the Southern Ocean. There are however relatively 190 large differences between the models over the tropics. These results can be compared to 191 figure 9.5d from Flato et al. (2013), which shows a corresponding plot for the CMIP5 192 participants. In general, the shape of the distributions in CMIP5 and CMIP6 are sim-193 ilar, although the CMIP6 models have a smaller range of SW CRE over the Southern 194 Ocean and also match better with the CERES mean SW CRE. 195

The differences between the models are further explored in figure 1 (b) which shows the zonal mean anomalies from the CERES measurements. The zonal average anoma-



Figure 1. Zonal mean SW CRE for the CERES observations and each of the included CMIP6 model runs (a) and SW CRE anomalies between each of the models and CERES observations(b). The observational data used to generate the anomalies is from the CERES dataset and the sign convention used for the anomalies is model minus observations.

lies show a wide spread of values, ranging from $+15 Wm^{-2}$ to $-15 Wm^{-2}$. At latitudes 198 between $30^{\circ}N$ and $60^{\circ}N$ the models appear relatively consistent with small biases, with 199 a wider range of biases north of $60^{\circ}N$. Between $30^{\circ}S$ and $30^{\circ}N$ there are a wide range 200 of behaviours. The GFDL, IPSL, MRI and CESM models all show relatively small bi-201 ases, while the CNRM models show large negative biases and HADGEM3 and UKESM 202 models show large positive biases. Finally, clear differences between the models are ob-203 served south of $30^{\circ}S$, specifically between $45^{\circ}S$ and $70^{\circ}S$. Over this region the models 204 display the same shape with positive anomalies between $50^{\circ}S$ and $70^{\circ}S$ with a peak around 205 $60^{\circ}S$ and then steadily decreasing anomaly values from $60^{\circ}S$ to $45^{\circ}S$ in every case. While 206 the form of the curve is similar in each case the magnitude of the bias differs significantly 207

between the models. This difference can reach up to $15 Wm^{-2}$ meaning that some models have a mostly positive bias over this region while others are mostly negative. The nature of this bias is similar to a result identified in Schuddeboom et al. (2019) where the Southern Ocean featured two different regional biases, although the result in this paper is much weaker than shown in the previous work.

Next the ΔCRE and |CRE| are calculated with equations 1 and 2 and plotted in 213 figure 2. Figure 2 (a) shows results averaged globally for the cluster analysis, while fig-214 ure 2 (b) only examines the Southern Ocean region. In figure 2 the Southern Ocean is 215 defined as the region between $40^{\circ}S$ and $70^{\circ}S$ to ensure it includes both of the large spikes 216 visible in figure 1 (b). For the models with multiple runs, each model member is shown 217 along with the ensemble mean. Examination of these runs highlights that they show lit-218 tle difference from the ensemble mean. Additionally, to determine if these results are rep-219 resentative, the same analysis was completed using data from 2009 and 2010 (see figure 220 S1 in supplementary information) and differences are very minor. Also included in both 221 sub-figures is the Pearson correlation coefficient, however this should be interpreted care-222 fully as the values are not statistically significant. 223

The global values in figure 2 (a) show little coherent structure between the differ-224 ent models. In the mean error there appear to be two groups of models, MRI-ESM, HadGEM 225 and UKESM which show higher mean errors of around 5 Wm^{-2} and all of the other mod-226 els which show mean errors around 1 Wm^{-2} . The compensating errors show less struc-227 ture with values over a large range. Notably, the IPSL model shows compensating er-228 rors that are around 10 Wm^{-2} larger than the next largest model. Overall, it seems that 229 CESM2, CNRM-ESM2 and CNRM-CM6 are the standout models when both metrics 230 are considered with MRI-ESM2 also having notably small compensating errors. This fig-231 ure also demonstrates the importance of considering the compensating errors as both IPSL 232 and GFDL appear to be amongst the most accurate models in the mean error, but are 233 the least accurate in the compensating error. In all models the compensating errors are 234 significantly larger than the mean errors suggesting significant work is still required to 235 improve the representation of clouds in climate models. For example, consider the best 236 performing model in |CRE|, CESM2. CESM2 has a mean error of around 1 Wm^{-2} and 237 a total compensating error of around 34 Wm^{-2} . This suggests that CESM2 actually has 238 compensating errors of 16.5 and 17.5 Wm^{-2} that are cancelling out to give the mean 239 error of 1 Wm^{-2} . 240

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Figure 2. The Δ CRE and |CRE| for each of the models. Subplot (a) shows the global average values while subplot (b) covers the entire Southern Ocean region, defined here as between 40°S and 70°S. For models with multiple runs, IPSL and HadGEM3, the individual runs are indicated with a + symbol for IPSL and × symbol for HadGEM3. To aide interpretation of this figure recall that Δ CRE can be considered the mean SW CRE error and |CRE| the magnitude of the SW CRE compensating errors. This means that an ideal model would minimize both Δ CRE and |CRE| and appear near the origin. The variables on each of the axis are described by equations 1 and 2. The Pearson correlation coefficients are included in the top right of each subplot with any results that are statistically significant in bold.

241	The Southern Ocean values shown in figure 2 (b) display a completely different struc-
242	ture compared to the global values in figure 2 (a). Over the Southern Ocean, the mod-
243	els show a clear structure in which an increase in the mean error corresponds to a re-
244	duction in the compensating errors. There is one major outlier, the IPSL model, which
245	as in the global data has significantly larger compensating errors than other models. Look-
246	ing at the other models, they appear to fall on a line between CESM2 and CNRM-ESM2.
247	CESM2 has the lowest mean error of around 3 Wm^{-2} , but the largest (excluding IPSL)
248	$ \mathrm{CRE} $ of around 70 $Wm^{-2}.$ While CNRM-ESM2 has the largest mean error of 16 Wm^{-2}
249	and the smallest $ CRE $ of 40 Wm^{-2} . This suggests compensating errors of 33.5 and 36.5
250	Wm^{-2} for CESM2 and 12 and 28 Wm^{-2} for CNRM-ESM2. Special mention should also
251	be made of the UKESM and HadGEM models as they have relatively small $\Delta \mathrm{CRE}$ and
252	CRE . The relationship between the mean and compensating biases identified in figure
253	$2~(\mathrm{b})$ appears to be related to ordering of the models over the Southern Ocean in figure
254	1 (b). This suggests a relationship between the geographic nature of the SW CRE bi-

ases and the magnitude of compensating errors. We also note that the errors derived over
the Southern Ocean are larger than those observed globally which is associated with the
fact that the occurrence of specific cloud clusters is more flawed when averaged over the
Southern Ocean than when averaged over the globe.

Without examining other regions it is hard to contextualize the results over the South-259 ern Ocean. As such, figure 3 shows the ΔCRE and |CRE| over six different regions of 260 the globe. An alternative representation which shows the mean and compensating er-261 rors as a function of latitude is also included in the supplementary information as fig-262 ure S2. The region that covers the majority of the Southern Ocean, the midlatitude South-263 ern Hemisphere, shows a strongly negative statistically significant relationship between 264 ΔCRE and |CRE|. The correlation coefficients also suggest negative relationships in the 265 Northern Hemisphere regions. The two bands that cover the Southern Ocean also show 266 considerably larger mean and compensating errors than the other geographic regions ex-267 amined. Overall, these results show that the negative relationship identified in figure 2 268 (b) is strongest over the Southern Ocean and is only statistically significant over that 269 region. 270

We now examine whether the mean and compensating cloud errors, which quan-271 tify the misrepresentation in the CTP-COT histograms in the models relative to satel-272 lite observations, have any relationship to ECS (figure 4). Figure 4 displays the relation-273 ship between mean and compensating errors with ECS for the globe and the Southern 274 Ocean. This enables an exploration of whether compensating errors over the Southern 275 Ocean are a driving factor in the higher low-level cloud feedbacks which drive high ECS 276 values (Zelinka et al., 2020). As with figure 2, this figure is recreated using data from 277 2009 and 2010 and is included in the supplementary information as figure S3. Once again 278 the differences between these figures are minimal. To more quantitatively evaluate the 279 trends presented figures 2 and 4 alternate versions of these figures with a line of best fit 280 determined by linear regression are included in the supplementary information as figure 281 S4 and S5. 282

The global mean errors shown in figure 4 (a) suggest only a weak relationship between global mean SW CRE error and ECS. As described earlier, MRI-ESM, HadGEM and UKESM show much larger global mean errors than the other models however the MRI-ESM is the smallest ECS model used in this paper and the HadGEM and UKESM



Figure 3. The Δ CRE and |CRE| for each of the models. The subplots show regions defined as following: (a) 90°N to 60°N, (b) 60°N to 30°N, (c) 30°S to 0°N, (d) 90°S to 60°S, (e) 60°S to 30°S and (f) 30°S to 0°S. The variables on each of the axis are described by equations 1 and 2. The Pearson correlation coefficients are included in the top right of each subplot with any results that are statistically significant in bold.

are the two largest. The global compensating errors in figure 4 (b) show more structure, 287 but again do not show a clear relationship between compensating errors and ECS and 288 the correlation coefficient suggests no relationship. At first glance it appears that the 289 highest ECS models have the lowest compensating errors, but this is mostly due to the 290 poor performance of the IPSL and GFDL models. Ultimately there might be more co-291 herent patterns for both these figures, but the limited number of models makes it un-292 clear and further work should add more models into this analysis as the data becomes 293 available. 294

The Southern Ocean errors in figure 4 (c) and (d) display some level of coherence between the different models. The mean errors in figure 4 (c) display a complicated structure that can be be interpreted in two different ways. While the general tendency is that lower mean error models have higher ECS, this interpretation is complicated by the average performance of HadGEM and UKESM. An alternative interpretation is to group the models into three groups. First, CESM by itself, then GFDL, IPSL, HadGEM and

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Figure 4. The ECS and the mean and compensating SW CRE errors of the included CMIP6 models. This includes both global values and values over the Southern Ocean, defined here as between $40^{\circ}S$ and $70^{\circ}S$. Subplot (a) shows the global Δ CRE, subplot (b) shows global |CRE|, subplot (c) shows the Southern Ocean Δ CRE and subplot (d) shows Southern Ocean |CRE|. Δ CRE and |CRE| are described in equations 1 and 2 and the ECS values are taken from Meehl et al. (2020). The Pearson correlation coefficients are included in the top left of each subplot with any results that are statistically significant in bold.

³⁰¹ UKESM and finally, MRI-ESM and the two CNRM models. With this interpretation

³⁰² a greater mean error would correspond to a larger ECS. The line of best fit in supple-

mentary figure S5 and the correlation coefficient suggest the negative relationship is more

³⁰⁴ likely, but it is not statistically significant. Figure 4 (d) shows the clearest negative re-

³⁰⁵ lationship with the highest ECS models showing the smallest compensating errors, al-

though the relationship is weak. These results suggest a further examination of both the

³⁰⁷ IPSL and CESM2 models as they have a clear position as outliers.

308 5 Conclusion

This paper focuses on eight models from CMIP6 which have released AMIP runs 309 with the appropriate data for the SW CRE to be evaluated with the techniques intro-310 duced in Schuddeboom et al. (2019). This methodology examines cloud cluster in terms 311 of their frequency of occurrence and their radiative properties to derive both the mean 312 and cumulative error in the shortwave cloud radiative effect (SW CRE). Initially the zonal 313 distribution of SW CRE was compared across all the models. This identified that there 314 was generally good agreement between the models and CERES observations, although 315 there were two regions, the Tropics and the Southern Ocean, where clear model disagree-316 ment with the observations occurred. The issues over the Tropics are not explored fur-317 ther in the current work, but is an area worthy of further investigation. 318

Next the mean and compensating errors in SW CRE were analyzed for each of the 319 eight models using ΔCRE and |CRE|. Both globally and over the Southern Ocean the 320 compensating errors are shown to be large relative to the mean errors. While there was 321 no clear relationship between mean and compensating errors in the global results, there 322 is a strong negative relationship over the Southern Ocean. Models with small mean er-323 rors over the Southern Ocean consistently have large compensating errors and vice versa. 324 This suggests that the models with the high mean errors may better simulate the South-325 ern Ocean region but errors that are being cancelled out in other models increase the 326 mean value. This behaviour possibly stems from a geographical bias identified in the zonal 327 mean SW CRE. 328

In addition to the Southern Ocean, other geographical regions were examined. While 329 several relationships are suggested between mean and compensating errors, the strongest 330 and only statistically significant relationship was observed over the Southern Ocean. The 331 mean and compensating errors were also directly evaluated against the ECS taken from 332 Meehl et al. (2020). Globally, there was no clear relationship between model ECS and 333 its mean or compensating errors. Over the Southern Ocean there appears to be a weak 334 relationship that suggested that a model with a strong ECS was more likely to have lower 335 mean and compensating errors, though we emphasise that this relationship is not sta-336 tistically significant. The exact strength of these relationships are uncertain due to the 337 limited number of available models. 338

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The main result of this study is that the mean and compensating errors related to 339 clouds in these models display a negative relationship over polar and midlatitude regions, 340 most strongly observed over the Southern Ocean. This suggests that recent model im-341 provements targeted at removing shortwave radiative biases over the Southern Ocean 342 relative to satellite observations have only partially corrected the issues and that other 343 errors still exist when the distribution of cloud types and their radiative properties are 344 considered. Unfortunately, the small number of models with suitable outputs is a ma-345 jor limitation on this study. We hope that as a wider array of models release appropri-346 ate data it will become possible to reach more definitive conclusions about the relation-347 ship between SW CRE errors over the Southern Ocean and ECS. In addition to adding 348 extra models, the authors also plan on using this approach to evaluate the impact of changes 349 made to models between CMIP5 and CMIP6. This could potentially lead to the iden-350 tification of the parts of the model that need further improvements. 351

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Figure 1.



(a) Zonal Average Shortwave Cloud Radiative Effect

Figure 2.



CESM2
CNRM-CM6-1
CNRM-ESM2-1
GFDL-CM4
HadGEM3-GC31-LL
IPSL-CM6A-LR
MRI-ESM2-0
UKESM1-0-LL

Figure 3.



Figure 4.









Supporting Information for "The Southern Ocean Radiative Bias, Cloud Compensating Errors and Effective Climate Sensitivity in CMIP6 Models"

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Figure S1. The Δ SW CRE and |SW CRE| for each of the models. Subplot (a) shows the global average values while subplot (b) covers the entire Southern Ocean region, defined here as between 40°S and 70°S. This figure is the same as figure 2 from the main body of the paper but generated with the data from 2009 and 2010 instead of 2007 and 2008.



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Figure S2. The Δ SW CRE and |SW CRE| for each of the models across a wide range of latitudes. Subplot (a) shows the Δ SW CRE in six different latitudinal regions while subplot (b) shows |SW CRE| over the same region. The six latitudinal bands are 90°S to $60^{\circ}S$, $60^{\circ}S$ to $30^{\circ}S$, $30^{\circ}S$ to $0^{\circ}S$, $0^{\circ}S$ to $30^{\circ}N$, $30^{\circ}N$ to $60^{\circ}N$ and $60^{\circ}N$ to $90^{\circ}N$



The ECS and the mean and compensating SW CRE errors of the included Figure S3. CMIP6 models. This includes both global and Southern Ocean data, defined here as between 40°S and 70°S. Subplot (a) shows the global Δ SW CRE, subplot (b) shows global |SW CRE|, subplot (c) shows the Southern Ocean Δ SW CRE and subplot (d) shows Southern Ocean |SW CRE|. This figure is the same as figure 3 from the main body of the paper but generated with the data from 2009 and 2010 instead of 2007 and 2008.



:

Figure S4. The Δ SW CRE and |SW CRE| for each of the models. Subplot (a) shows the global average values while subplot (b) covers the entire Southern Ocean region, defined here as between 40°S and 70°S. Included is a line of best fit determined with a simple linear regression.





Figure S5. The ECS and the mean and compensating SW CRE errors of the included CMIP6 models. This includes both global and Southern Ocean, defined here as between $40^{\circ}S$ and $70^{\circ}S$, confined data. Subplot (a) shows the global Δ SW CRE, subplot (b) shows global |SW CRE|, subplot (c) shows the Southern Ocean Δ SW CRE and subplot (d) shows Southern Ocean |SW CRE|. Included is a line of best fit determined with a simple linear regression.