# Characterizing lithological, weathering, and hydrothermal alteration influences on volcanic

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#### Abstract

The geomechanical characterization of volcanic material has important implications 16 for geothermal and mineral exploration, engineering design, geophysical signals of volcano 17 unrest, and models of instability and mass flows. Chemical weathering and hydrothermal 18 systems can alter the host rock, leading to changes in mechanical behavior and failure mode. 19 Here, we compare the physical and mechanical properties of lava, autoclastic breccia, and 20 pyroclastic (scoria) samples from Mt. Ruapehu volcano in New Zealand to mineralogical 21 composition determined via infrared spectroscopy and scanning electron microscopy (SEM) with 22 energy-dispersive X-ray spectroscopy (EDS). Laboratory-based spectroscopy shows that the 23 samples contain absorption features indicative of Al-rich hydrous phyllosilicates, Fe-and Mg-24 rich varieties, and sulfates attributed to surface weathering, supergene, and steam-heated 25 alteration. We find that porosity and primary lithology (i.e. sample origin) is the predominant 26 control on physical and mechanical properties, followed by the pervasiveness of 27 weathering/alteration, and then mineralogical composition. Several properties, such as porosity, 28 uniaxial compressive strength, P-wave seismic velocity, density, and Young's modulus, show 29 strong linear and exponential correlations to other properties, indicating the potential for transfer 30 functions between these properties. Samples near the active hydrothermal system with high 31 intensity hydrothermal alteration do not follow typical physical and mechanical property trends 32 due to high clay content, low permeability, and low strength. The presence of these rocks within 33 the edifice at Mt. Ruapehu implies local barriers to fluid flow and subsequent pore pressure 34 variation, and producing anomalously shallow brittle-ductile transition zones. Additionally, 35 material in the upper conduit area of Mt. Ruapehu could be over three times weaker than typical 36 porosity-strength trends observed in surface rocks, increasing the likelihood of structural 37 collapse. Trends in the pervasiveness of weathering with physical and mechanical properties 38 suggest that it may be possible to extrapolate these properties from imaging spectroscopy, which 39 could be used to create spatially distributed geotechnical maps in volcanic environments. 40 41 Keywords: uniaxial compressive strength, permeability, porosity, triaxial compressive strength, 42 intact rock mi, andesite, failure mode, hydrothermal alteration, weathering, argillic alteration 43 44 45 46 Confidential manuscript submitted to Engineering Geology

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- 2 rock properties via spectroscopy and laboratory testing: a case study of Mt. Ruapehu
  3 volcano, New Zealand
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Abstract: The geomechanical characterization of volcanic material has important implications for 13 14 geothermal and mineral exploration, engineering design, geophysical signals of volcano unrest, and models of instability and mass flows. Chemical weathering and hydrothermal systems can 15 alter the host rock, leading to changes in mechanical behavior and failure mode. Here, we compare 16 the physical and mechanical properties of lava, autoclastic breccia, and pyroclastic (scoria) rocks 17 from Mt. Ruapehu volcano in New Zealand to mineralogical composition determined via infrared 18 spectroscopy and scanning electron microscopy (SEM) with energy-dispersive X-ray spectroscopy 19 20 (EDS). Laboratory-based spectroscopy shows that the samples contain absorption features indicative of Al-rich hydrous phyllosilicates, Fe- and Mg-rich varieties, and sulfates attributed to 21 surface weathering, supergene, and steam-heated alteration. We find that porosity and primary 22 23 lithology (i.e., rock origin) are the predominant control on physical and mechanical properties, 24 followed by the pervasiveness of weathering/alteration, and then mineralogical composition. Several properties, such as porosity, uniaxial compressive strength, P-wave seismic velocity, 25 26 density, and Young's modulus, show strong correlations with other properties, indicating the potential for transfer functions between these properties. Rocks near the active hydrothermal 27 system with high intensity hydrothermal alteration do not follow typical physical and mechanical 28 29 property trends due to high clay content, low permeability, and low strength. The presence of these rocks within the edifice at Mt. Ruapehu implies local barriers to fluid flow and subsequent pore 30 pressure variations. Additionally, material in the upper conduit area of Mt. Ruapehu may be over 31 32 two times weaker than typical porosity-strength trends observed in surface rocks, increasing the likelihood of structural failure. Trends in the pervasiveness of weathering with physical and 33 mechanical properties, along with shifts in the position of spectral absorption peaks as 34 hydrothermal/weathering alteration increases, suggest that it may be possible to extrapolate 35 properties from imaging spectroscopy. 36 37

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39 Keywords: uniaxial compressive strength, permeability, porosity, triaxial compressive strength,

 $40 \qquad intact \ rock \ m_i, and esite, \ failure \ mode, \ hydrothermal \ alteration, \ weathering, \ argillic \ alteration$ 

#### 41 **1. Introduction**

42 Chemical weathering and hydrothermal alteration influence the physical and mechanical properties of volcanic rock, with implications for geothermal and epithermal mineral resources 43 44 (e.g., Heap et al., 2019a), the use of volcanic material in the construction industry (Yildiz et al., 2010), failure modes and the evolution of rock physical properties (Heap et al., 2015c), and 45 volcano structural stability (Watters et al., 2000). In active volcanic environments, rock properties 46 47 are critical for modeling instability or mass flows, and interpreting geophysical signals of volcano 48 unrest (e.g., volcano-seismic or geodetic; Reid et al., 2001; Mordensky et al., 2019a; Heap et al., 2020; Hickey et al., 2020). Inherent heterogeneities in volcanic primary material create physically 49 50 and mechanically varied structures (Mordensky et al., 2018; Saubin et al., 2019). These 51 heterogeneities are exacerbated by the circulation of hydrothermal fluids and/or chemical 52 weathering under widely varying temperature, chemical, temporal, and spatial conditions. These 53 processes result in mineral oxidation, dissolution, replacement, and/or precipitation that variably 54 alter the physical and mechanical properties of the volcanic host rock (e.g., Reid et al., 2001; Dobson et al., 2003; Ball et al., 2013; Siratovich et al., 2014; Wyering et al., 2014; Mordensky et 55 al., 2018, 2019a, 2019b; Farquharson et al., 2019; Heap et al., 2019a, 2019b; Kennedy et al., 2020). 56 57 For example, weathering and alteration can decrease or increase porosity and permeability of 58 volcanic rock depending on the nature of the host rock, the fluid type and composition, temperature, and the duration of rock-fluid interaction (Frolova et al., 2015; Farquharson et al., 59 60 2019; Mordensky et al., 2019b; Villeneuve et al., 2020). Changes in these properties in turn influence fluid flow, strength, and the deformation response of rock masses. A considerable 61 presence of clays due to hydrothermal alteration has been attributed to large-scale volcano 62 63 collapses (López and Williams, 1993; Crowley and Zimbelman, 1997), supported by numerical simulations of collapse scenarios (Reid et al., 2001; Ball et al., 2018). 64

Here, we explore the influence of weathering and hydrothermal alteration on the physical 65 66 and mechanical properties of lava, autoclastic breccia, and pyroclastic (scoria) rocks from Mt. Ruapehu, an active andesitic stratovolcano in New Zealand. Mt. Ruapehu is a glaciated volcano 67 with both an active and relict hydrothermal systems that are variably exposed at the surface, 68 69 resulting in a variety of fresh, weathered, and hydrothermally altered material (Townsend et al., 70 2017). Rock alteration mineralogy is determined using a combination of infrared spectroscopy and scanning electron microscopy (SEM) with energy-dispersive X-ray spectroscopy (EDS). Infrared 71 72 spectroscopy collects information from hundreds of narrow and contiguous spectral bands in the 73 visible (400-700 nm), near-infrared (NIR; 700-1000 nm) and shortwave infrared (SWIR; 1000-2500 nm) wavelengths, capable of identifying chemical characteristics of materials using indicator 74 75 minerals with characteristic absorption features (van der Meer, 2018). Many indicator minerals are common weathering, oxidation, and hydrothermal alteration products in volcanic environments. 76 For example, iron is highlighted in the NIR region, useful for detecting minerals such as goethite, 77 hematite and jarosite (Zimbelman et al., 2005). Al-, Fe-, Mg-, -OH, -SO<sub>4</sub>, -CO<sub>3</sub> and -H<sub>2</sub>O-bearing 78 79 minerals can be detected in the SWIR region, identifying minerals such as alunite, gypsum, anhydrite, topaz, muscovite, biotite, epidote, calcite, dolomite, and clay minerals (Hunt and 80 Ashley, 1979; Swayze et al., 2014; Neal et al., 2018). Infrared spectroscopy can also be sensitive 81 82 to other mechanically relevant rock microstructure properties such as grain size and crystallinity 83 (Clarke, 1999; Ruitenbeek et al., 2019; Okada et al., 2020).

The aims of this paper are to: (1) produce a spectroscopic and petrologic description of the fresh, weathered, and hydrothermally altered rock of Mt. Ruapehu volcano, (2) physically and mechanically characterize these rocks, and (3) examine the relationships between physical and mechanical properties and the type and extent of weathering and hydrothermal alteration.
Mineralogical-physical-mechanical relationships will help to develop geotechnical models of
volcanic and geothermal systems, and guide numerical simulations of volcanic hazards.

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## 91 2. Materials and methods

## 92 2.1 Study area and materials

93 Mt. Ruapehu is a complex andesitic composite volcano located in the Taupo Volcanic Zone 94 of the central North Island of New Zealand (Figure 1). The volcano is frequently active, with several historic small-to-moderate explosive eruptions and lahar events (Scott, 2013). The oldest 95 96 geologic rocks are 250 ky old, and were exposed to glaciation up until 10 ky ago (Hackett, 1985), and the youngest fresh magmatic rocks were erupted in 1996 (Nakagawa et al., 1999). The 97 stratigraphic framework of Mt Ruapehu comprises four formations, on the basis of geochronology, 98 99 geochemistry and stratigraphic relationships, pinpointing distinct spatial-temporal stages of 100 volcano evolution (Figure 1): Te Herenga (200 to 150 ka), Wahianoa (166-80 ka), Mangawhero (50-15 ka) and Whakapapa (<15 ka) formations (Hackett and Houghton, 1989; Price et al., 2012; 101 Conway et al., 2016; Townsend et al., 2017, Figure 1c). The volcano has an active hydrothermal 102 103 system underneath the summit vent that hosts a crater lake (Christenson and Wood, 1993). Older hydrothermal systems are also variably exposed at the surface around the summit plateau (Figure 104 1b). This varied geological, glacial, and hydrothermal history results in a wide variety of fresh, 105 106 weathered, and altered material.

107 Sampling locations were partially directed by aerial hyperspectral imagery collected using a push-broom, VNIR-SWIR AISAFenix imaging system, flown in March of 2018 (Kereszturi et 108 109 al., 2020b; Miller et al., 2020, Figure 1b). A total of 23 blocks of lava, autoclastic breccia, and pyroclastic (scoria) rocks varying from fresh to pervasively altered were selected to represent the 110 variability of the physical properties of the material forming the volcanic edifice (Figs 1-2, Table 111 1). The blocks had a volume of c. 8000 cm<sup>3</sup> (typical edge length of 20 cm), and were large enough 112 to obtain all of the test specimens of a given rock type from the same piece. Each block was 113 inspected for macroscopic defects so that it would provide test specimens free from fractures, 114 seams, partings, or joints. Block sample names follow the same nomenclature as (Kereszturi et al., 115 116 2020). All blocks are considered surface samples excluding RH50; RH50 is a block from the 1995-1996 eruption that represents lava from the upper conduit area within the current vent hosted 117 118 hydrothermal system (Kilgour et al., 2010), and thus is considered a subsurface sample. Pyroclastic 119 sample RH15 is a block of scoria located in an undifferentiated tephra fall deposit. The term 'sample' refers to the 23 rock blocks, while cores or pieces from each block are referred to as 120 'specimens' throughout. 121

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# 123 2.2 Laboratory spectroscopy and Scanning Electron Microscopy (SEM)

Each of the 23 blocks listed in Table 1 was measured using an ASD FieldSpec® 4 Hi-Res 124 125 NG spectroradiometer, which recorded 2151 spectral bands between 350 and 2500 nm. This instrument has a full-width-half-maximum (FWHM) of 3 nm at 700 nm and 8 nm at 1400-2100 126 nm regions. The spectral bandwidth is 1.4 nm between 350-1000 nm, as well as 1.1 nm between 127 128 1001 and 2500 nm. Spectral data were captured using a High Brightness contact probe with a 129 halogen light illumination source. The contact probe has a measurement spot size of 10 mm. Each measurement was calibrated using a white Spectralon® Diffuse Reflectance Standard. Both freshly 130 131 chipped surfaces (sample interior) and the exterior of the sample were measured for a total of 3-5 spectral readings per block. Phenocrysts are typically 1 mm or smaller (Figure 3), therefore the 132

contact probe size, being 10 times larger than the average phenocryst size, was sufficient to capture
the spatial heterogeneity in each block. See Danner et al. (2015) for FieldSpec schematic and
spectral sampling details.

136 Inherent variation in detector sensitivity and variations in temperature conditions can cause spectral drift at two wavelength locations where detector arrays meet (e.g., VNIR and SWIR). 137 138 These drifts were corrected by applying a splice correction function using the ASD View Spec Pro 139 software (Danner et al., 2015). The splice correction function considers the average of tangents at 140 either side of a break point to determine the new points through which the line passes without drift (see Danner et al. (2015) for schematic and details). The splice-corrected spectral readings for each 141 142 block were then averaged. The spectral data were then normalized using a convex hull continuum removal method. The continuum is a convex "hull" of straight-line segments fitted over the top of 143 the spectrum that connect local spectral maxima. The continuum is removed by dividing the 144 145 original reflectance value by the corresponding values of the continuum line (convex hull) at a given wavelength. Removing this continuum standardizes isolated absorption features for 146 comparison between samples (Kokaly and Clark, 1999; Huang et al., 2004). 147

The sample mineralogy was further constrained using a ThermoFisher Scientific<sup>TM</sup> FEI Quanta 200 Environmental Scanning Electron Microscope (SEM) operated in Back-Scattered Electron (BSE) mode under accelerating voltage of 20 kV, with a working distance of 10 mm, at Massey University's Manawatu Imaging Centre. Minerals were recognized based on their textural and crystal habits as well as using Energy-dispersive X-ray Spectroscopy (EDS) (Shindo and Oikawa, 2002; Severin, 2004).

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#### 155 2.3 Laboratory physical and mechanical measurements

The 23 blocks were cored to create several specimens per block (5 to 8) with a diameter of 156 20 mm, and then ground to a length: diameter ratio of 2-2.15:1. See Supplementary Table for details 157 158 of each specimen. For each specimen, we measured porosity, permeability, and compressional (Vp) and shear wave (Vs) velocity (Section 2.3.1 and 2.3.3). Dynamic Young's modulus and 159 dynamic Poisson's ratio were calculated from Vp and Vs results (Section 2.3.3). Porosity, 160 permeability, Vp, Vs, dynamic Young's modulus, and dynamic Poisson's ratio values were then 161 162 averaged for each block. Magnetic susceptibility was measured on the natural face and a cut face of each block and averaged to produce one value of magnetic susceptibility per block (Section 163 164 2.3.2). Two to three specimens from each block were then used for uniaxial compressive strength 165 (UCS) experiments, from which static Young's modulus values were calculated (Section 2.3.4). UCS and static Young's modulus were also averaged to produce a single value for each block. 166 Another three specimens from each block were used for triaxial compressive strength testing. 167 Triaxial results were used to calculate friction angle, cohesion, and the Hoek-Brown material 168 constant for intact rock (mi) for each block (Section 2.3.5). All specimens were oven-dried at 60°C 169 for a minimum of 48 hours and then allowed to cool to room temperature prior to testing. 170

All physical and mechanical property testing was conducted at the University of Canterbury rock mechanics laboratory. The physical and mechanical properties measured and examined in this study are commonly used to develop models of fluid flow (e.g., porosity, permeability; e.g., (Day, 1996; Gonnermann and Manga, 2007; Kennedy et al., 2020), slope failure (e.g., UCS, friction angle, cohesion, material constant for intact rock m<sub>i</sub>; e.g., Apuani et al., 2005; Schaefer et al., 2013; Heap et al., 2021; Wallace et al., 2021), subsurface imaging, and monitoring deformation and geophysical phenomena such as seismicity (e.g., Young's modulus, Poisson's 178 ratio, Vp, Vs, density, magnetic susceptibility; e.g., (Lu et al., 2005; Mordensky et al., 2019a; Heap
179 et al., 2020).

180 Pearson's correlation coefficient (PCC or Pearson's r) and Spearman's rank correlation 181 coefficient (SCC or Spearman's  $\rho$ ) were used to correlate one physical or mechanical property (X) 182 to another physical or mechanical property (Y). Pearson's correlation coefficient is the measure of 183 the linear correlation between two variables as:

184 185

$$PCC (r)_{X,Y} = \frac{cov(X,Y)}{\sigma_X \sigma_Y}$$
(1)

where cov is the covariance,  $\sigma_X$  is the standard deviation of X and  $\sigma_Y$  is the standard deviation of Y. Spearman's rank correlation coefficient is the measure of the monotonic correlation between two variables. For a sample size of *n*, the *n* raw scores X<sub>i</sub> and Y<sub>i</sub> are converted to ranks R(X<sub>i</sub>) and R(Y), and SCC is computed as:

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- 191

$$SCC (\rho)_{R(X),R(Y)} = \frac{cov(R(X),R(Y))}{\sigma_{R(X)}\sigma_{R(Y)}}$$
(2)

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197

where the cov is the covariance of the rank variable, and the  $\sigma_{R(X)}$  and  $\sigma_{R(Y)}$  are the standard deviation of the two rank variables being compared. The correlation coefficient values (*r* or  $\rho$ ) range from -1 to 1, with '-1' indicating a total negative correlation between variables, '0' indicating no relationship, and '1' indicating a total positive relationship.

198 2.3.1 Porosity, density, and permeability

199 Oven dried specimens were used for measuring dry mass, dry density, unit weight, 200 porosity, and permeability. Porosity was measured using a Micromeritics AccuPyc<sup>TM</sup> II 1340 201 helium pycnometer, which measures the specimen's solid volume (V<sub>s</sub>). This is subtracted from the 202 total volume (V<sub>t</sub>) to determine pore volume (V<sub>p</sub>) and connected porosity ( $\phi$ ), as follows: V<sub>t</sub>-V<sub>s</sub>=V<sub>p</sub>, 203 and  $\phi$ =V<sub>p</sub>/V<sub>t</sub>. Specimen density was calculated by dividing the mass of each specimen by its total 204 volume (V<sub>t</sub>).

For relatively high permeability specimens ( $>10^{17}$  m<sup>2</sup>), permeability was measured at room 205 temperature using a steady-state Vinci Technologies benchtop permeameter interfaced with a 206 207 Bronkhorst El-Flow® volumetric flowmeter. The steady state permeameter records the volumetric 208 flow rate through the specimen core, driven by the pressure differential of nitrogen as the pore 209 fluid upstream, and ambient atmospheric pressure downstream. Specimens were placed in a rubber 210 sleeve in a Hoek cell and radially confined at 1 MPa prior to applying nitrogen gas at a steady pressure to one end of the sample. The radial confinement assured that the nitrogen gas flowed 211 212 through the specimen and not between the sample edge and the membrane. See Hill (2020) for 213 steady state permeameter setup details. Flow rate measurements were collected at several pressure 214 gradients, allowing permeability to be calculated using Darcy's Law:

215

$$-\frac{dp}{L} = \frac{(\mu Q)}{Ak_D} \tag{3}$$

216 217

where *p* is the pressure, *L* is the length of the specimen, A is the cross-sectional area,  $k_d$  is the gas permeability,  $\mu$  is the dynamic viscosity coefficient of the pore fluid, and *Q* is the flow rate.

For lower permeability specimens ( $<10^{-17}$  m<sup>2</sup>), permeability was measured using a Core Laboratories PDP-200 pulse decay permeameter at 30°C. Specimens were placed in a core holder and a uniform confining pressure of ~2 MPa was manually applied with a hydraulic pump to assure
that the test fluid moved through the specimen and not between the specimen and the core holder.
The system uses nitrogen gas to saturate the test specimen and then the downstream gas valve is
opened, allowing for a pressure differential to develop across the specimen. The system then
measures the pressure differential decay across a specimen at regularly timed intervals.
Permeability was calculated through a function provided in Brace et al. (1968) as follows:

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where  $k_{gas}$  is gas permeability,  $\eta$  is the viscosity of the pore fluid (nitrogen),  $\Delta t$  is change in time, L is the length of the specimen, A is the cross-sectional area of the specimen,  $V_{up}$  is the volume of gas in the upstream reservoir and piping,  $p_{up}$  is the pressure of the gas in the upstream reservoir and piping,  $p_{down}$  is the pressure of gas in the downstream reservoir and piping, and  $\Delta p_{up}$  is the change in  $p_{up}$  during the elapsed time. See Cant et al. (2018) for pulse-decay permeameter setup details.

 $k_{gas} = \left(\frac{2\eta L}{A}\right) \left(\frac{V_{up}}{p_{up}^2 - p_{down}^2}\right) \left(\frac{\Delta p_{up}}{\Delta t}\right)$ 

(4)

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#### 238 2.3.2 Magnetic susceptibility

Magnetic susceptibility was measured using a Terraplus KT-10 v2 magnetic susceptibility meter on the natural face and a cut face of each block. The KT-10 meter was held in direct contact with either the natural or cut surface of the block in accordance with the manufacturer (see Terraplus User's Guide, v. 2.1), measured three times, and averaged to produce one value per block. Measurements were not made on individual specimens due to the 65 mm coil diameter in the KT-10 meter.

#### 246 2.3.3 Elastic wave velocities and dynamic elastic moduli

Dry compressional (Vp) and shear wave velocities (Vs) were collected using a GCTS CATS interfaced with transducer-mounted piezoelectric quartz crystals operating at a 900 kHz resonance frequency and a 20 MHz pulse sampling rate, with a minimum of 100 waveforms per compression wave type per specimen. Ultrasonic gel and a constant 312 Pa stress were applied to ensure sufficient contact between the specimens and platens to produce consistent waveforms. Dynamic Young's modulus and dynamic Poisson's ratio were calculated as follows (Guéguen and Palciauskas, 1994)

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$$\nu_d = \frac{V_P^2 - V_S^2}{2(V_p^2 - V_s^2)} \tag{5}$$

255

$$E_d = \frac{\rho V_s^2 \left(3V_p^2 - 4V_s^2\right)}{\left(V_p^2 - V_s^2\right)} \tag{6}$$

256

259

where 
$$\nu_d$$
 is the Poisson's Ratio,  $V_p$  is compressional wave velocity,  $V_s$  is shear wave velocity,  $E_d$   
is the Young's Modulus, and  $\rho$  is dry bulk density.

#### 260 *2.3.4 Uniaxial compressive strength and static Young's modulus*

Dry uniaxial compressive strength (UCS) measurements were conducted on a Technotest
 3000 kN servo-controlled loading frame at room temperature. Specimens were tested at a constant

strain rate of  $1.0 \times 10^{-5} \text{ s}^{-1}$ , similar to other studies of volcanic material (Siratovich et al., 2014; Heap et al., 2015b; Schaefer et al., 2015; Mordensky et al., 2018). Axial strain was measured using a linear-variable displacement transducer extensometer, and static Young's modulus was determined using the average modulus of the linear portion of the stress-strain curve following ASTM standards (ASTM D7012-07).

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#### 269 2.3.5 Triaxial compressive strength, friction angle, cohesion, and intact rock constant m<sub>i</sub>

270 Three specimens from each block were tested in conventional triaxial conditions ( $\sigma'_1$  >  $\sigma'_2 = \sigma'_3$ , in which  $\sigma'_1$  is the major effective principal stress,  $\sigma'_2$  is the intermediate effective stress, and  $\sigma'_3$  is the minor effective principal stress) over a limited range of confining stresses ( $\sigma'_3$ ), with 271 272 273 no confining stress larger than 50% of the average UCS of the block (as determined using by 274 calculating the average UCS of specimens tested from the same block) to ensure that failure remains in the brittle regime (Labuz and Zang, 2012; Hoek and Brown, 1980; Hoek and Brown, 275 276 1997). Dry triaxial compressive strength measurements were conducted using a Hoek cell and 277 deformed with a Technotest 3000 kN servo-controlled loading frame at a constant strain rate of 1.0 x 10<sup>-5</sup> s<sup>-1</sup> at room temperature. Common confining stresses of 2, 3, 5, 10, 20, 22, or 25 MPa 278 279 were used when possible, to allow for comparison between samples. Results from the triaxial 280 laboratory experiments were analyzed with the Rocscience RSData software to calculate material constant m<sub>i</sub>, friction angle and cohesion for each block. The material constant m<sub>i</sub> was calculated 281 282 using the Hoek-Brown failure criterion for intact rock with the modified cuckoo curve fitting 283 method and basic error summation method (see Supplementary Material for RocData processing 284 results). Friction angle and cohesion were calculated using the linear curve fitting method and 285 vertical error summation method (see Supplementary Material for RocData processing results).

# 286287 3. Results

#### 288 3.1 Alteration mineralogy and classification

289 The laboratory-based spectroscopy results show that the blocks contain distinct absorption 290 features around 1410-1450 nm, 1920 nm, and within the broader spectrum between 2180-2400 291 nm (Figure 3A), indicating the presence of phyllosilicates including kaolinite, halloysite, smectites 292 (mostly montmorillonite and nontronite), and minor illite (Laukamp et al., 2021). The samples also 293 occasionally contain minor absorption features around 2180 and 2265 nm, which are due to the 294 presence of alunite and jarosite, respectively (Figure 3A). More commonly, the samples have 295 absorption features in the visible region, notably around 390, 425, 485, and 540 nm, which are consistent with minerals such as sulfur, goethite, and hematite (Figure 3A). Based on SEM-EDS 296 297 analysis, some samples have disseminated pyrite, occurring together with silica polymorphs (e.g., 298 RH28, RH38, Figure 3D, E). These minerals do not have any strong characteristic absorption 299 features in the visible and shortwave-infrared regions. Alteration minerals are often limited to 300 vugg- and fracture infills and occur as partial to full replacement of the original mineralogy (Figure 301 3D, E). A detailed characterization of sample alteration mineralogy, including a conceptual model 302 of alteration history at Mt. Ruapehu, is described in detail in (Kereszturi et al., 2020).

Following the weathering/alteration assemblages, defined by indicator minerals (minerals specific to certain weathering, oxidation, and hydrothermal alteration processes), their occurrence, and their origin (Rye, 2005; Simmons et al., 2005) samples were categorized into four mineral assemblages (Table 2): (1) Fresh, consisting of primary mineralogy such as pyroxene, plagioclase, and titanomagnetite; (2) Surface weathering, consisting of primary and chemically weathered and oxidized minerals including Fe-oxides (hematite) and minor smectites typically occurring in a

weathered rim; (3) Supergene, argillic alteration that develops at  $<40^{\circ}$ C through long-term 309 310 weathering and oxidation of ferrous and minor sulfide-bearing rocks in atmospheric conditions, which includes phyllosilicates, jarosite, and Fe-oxides; (4) Steam-heated, intermediate and 311 312 advanced argillic alteration that develops at <120°C near the water table and in the shallowest epithermal environment, including, quartz, pyrite, Fe oxides (goethite), phyllosilicates, sulfur, and 313 occasional jarosite and alunite (Table 2). The latter two categories often show mineral imprinting, 314 315 when rocks subjected to hypogene conditions are later subjected to weathering and erosion, 316 resulting in the replacement of some meta-stable hydrothermal minerals (e.g., pyrite) in 317 atmospheric pressure and temperature conditions.

318 In addition to various mineral assemblages, samples also showed variations in alteration pervasiveness. Thus, samples were also categorized into four groups based on the extent of 319 weathering/alteration, including "none" (fresh material), "minor" (typically only the exterior/crust 320 321 of the sample is weathered or altered), "moderate" (weathering or alteration is present throughout 322 the groundmass), and "pervasive" (weathering or alteration is strongly present in groundmass and crystals) (Table 3). Surface weathering samples have varying percentages of total weathered 323 324 material, with autoclastic breccias (e.g., samples RH4, RH6) and the tephra (RH15) being more 325 pervasively weathered than lavas (e.g., samples RH2b, RH2, RH5, RH8, RH10, RH16) due to 326 preexisting fractures, smaller grain size, and more void space.

327

## 328 *3.2 Physical and mechanical characterization*

Block averaged properties are shown in Table 3, apart from triaxial compressive strength 329 330 results, which are shown in Figure 4 and summarized in Table 4. Properties for each specimen 331 (individual cores) are available in the Supplementary Table. Correlation coefficients for both Pearson's and Spearman's rank between material properties for all blocks are shown in Figure 5. 332 Additional results are presented as graphs of individual parameters plotted against either porosity 333 334 (Figure 6 and Figure 7) or Vp (Figure 8), which systematically have the highest correlation factors to other physical and mechanical properties (Figure 5). Volcanic "lithology" is used to categorize 335 rocks by their physical characteristics (e.g., texture) as a result of the origin of the rock/deposit, 336 337 resulting in the categories of 'lava', 'autoclastic breccia' and 'pyroclastic' rock.

- 338 Porosity, permeability, density, and magnetic susceptibility results are as follows:
- -The average connected porosity of specimens ranges from 1.6-48.3%, highlighting theheterogeneity of the sample suite (Figure 2).
- -Porosity is generally higher in autoclastic breccias and pyroclastic rocks (c. 22-48 %) than lavas
  (c. 2-30 %) (Figure 6A).
- -Porosity is highest in rocks with surface weathering (average = 18%) (Figure 6B) and pervasive
  alteration (average = 28%) (Figure 6D).
- -The standard deviation of porosity between specimens is below 2%, except for RH6 (SD = 3%)
  and RH19 (SD = 3.2%).
- -Specimen dry mass density generally increases with decreasing values of porosity, ranging from
  1.37 to 2.67 g/cm<sup>3</sup>.
- -Permeability exhibits 6 orders of magnitude difference between the lowest  $(4x10^{-18} \text{ m}^2)$  and highest  $(3x10^{-12} \text{ m}^2)$  permeable specimens.
- -Lavas typically have lower permeability ( $<1x10^{-14} m^2$ ) than autoclastic breccias and pyroclastic
- 352 rocks (> $1x10^{-14}$  m<sup>2</sup>) (Figure 7A). Despite this trend, the permeability of rocks with similar
- 353 porosities can vary by up to two orders of magnitude.

-Magnetic susceptibility ranges from 0 to 0.04 SI, with a weak relationship with porosity (Figure 7D-F).

-Pervasively altered and steam-heated blocks have lower magnetic susceptibility than less altered
 or unaltered blocks, and lavas have higher magnetic susceptibility than autoclastic breccias and
 pyroclastic rocks.

359 Dry Vp and Vs are inversely related to porosity, with notably higher Vp and Vs velocities in lavas than autoclastic breccias and pyroclastic rocks (Figure 7M-O, 8A); all lava Vp are > 3600 360 361 m/s and Vs are > 1300 m/s, while autoclastic breccias and pyroclastic rocks have Vp <3400 m/s and Vs <1400 m/s, with the exception of RH42b with a Vs of 1661 m/s. Vp and Vs are lowest in 362 363 pervasively altered samples, but do not systematical vary with alteration pervasiveness or alteration type (Figure 8B-C). Similar patterns exist for dynamic Poisson's ratio and dynamic 364 Young's modulus, which range between 0.23-0.46 and 7.1-32.1 GPa, respectively. Static Young's 365 modulus shows a wider range than dynamic Young's modulus, varying between 3.7-42.3 GPa. 366 367 Again, static Young's modulus is significantly higher in lavas (average = 23 GPa) than autoclastic breccias and pyroclastic rocks (average = 7.5 GPa), is closely dependent on porosity (Figure 7G-368 I), shows weak systematic decreasing trends with increasing alteration type and pervasiveness, and 369 370 has a strong relationship to Vp (Figure 8D-F). Dynamic Young's modulus values tend to be higher than static Young's modulus, although this is reversed for stiffer material (values > 25 GPa) 371 (Figure 9). 372

373 Uniaxial compressive strength (UCS) correlates with porosity (Figure 5), with UCS 374 decreasing exponentially with increasing porosity (Figure 6). In lower porosity samples (<10%), 375 UCS can vary by over 200 MPa. Variations in UCS for samples with >10% porosity are much 376 smaller (~50 MPa max). UCS at low porosity (< 20%) decreases with an increase in the pervasiveness of weathering or hydrothermal alteration, and fresh material is stronger than surface 377 378 weathering and supergene alteration, all of which are stronger than steam-heated material. Again, 379 there can be large ranges of UCS values within these alteration categories, and the trends described 380 do not apply to material  $\geq 20\%$  porosity.

Triaxial experiments show that sample strength increases with increasing confining 381 382 pressure (Table 4, Figure 4E, F). Stress-strain curves show that fresh and altered lava samples 383 exhibit brittle mechanical behavior (Figure 4A) at low confinement, where a peak stress (differential stress) is reached followed by strain softening (as described in Wong and Baud, 2012). 384 385 While fresh breccias also display brittle mechanical behavior at low confinement, altered breccias 386 display ductile behavior (Figure 4B), characterized by an absence of strain softening and dilation, and where failure is a function of compactant pore collapse (as described in Heap et al., 2015a). 387 388 Unaltered lavas tend to be strong, stiff and with low porosities, while altered lavas tend to be weak, ductile or have high porosities (Figure 4A-B, E-F), and samples with similar porosities tend to 389 have similar strength values at a given confining pressure (Figure 4C, 4D). Altered samples tend 390 391 to reach lower peak stress, have a less defined failure peak, or experience higher strains than fresh 392 samples (Figure 4A-B, E-F), although deviations from these patterns do occur.

Cohesion covers a wide range of values from 1.8 to 52.4 MPa (Table 3), which has a positive correlation with UCS (Figure 5a) and a positive logarithmic relationship with Vp (Figure 8G-I). Like UCS, cohesion is higher in lavas than autoclastic breccias and pyroclastic rocks, and decreases with increasing weathering and alteration pervasiveness. Friction angle ranges from 37 to 57° (Table 3), and has a positive and monotonic (in this case logarithmic) relationship with intact rock parameter m<sub>i</sub> (Figure 5b). Intact rock parameter m<sub>i</sub> ranges from 6 to 48 (Table 3) and has a weakly negative monotonic correlation with porosity (Figure 5b), but no clear relation torock texture, alteration type or pervasiveness when plotted against porosity (Figure 7).

401

#### 402 4. Discussion

#### 403 *4.1 Weathering and alteration mineralogy*

404 The weathered/altered minerals identified by infrared spectroscopy are predominately Fe-405 bearing oxides and clay mineral associations typical of acid-sulfate alteration processes, producing 406 argillic to advanced argillic alteration of the primary volcanic rocks (Heald et al., 1987; Simmons et al., 2005). The alteration minerals form through supergene to hypogene weathering and/or 407 408 alteration of the host rocks that originally contain plagioclase, minor pyroxene, (titano-)magnetite, 409 and rarely olivine, amphibole phenocrysts and microcrystals in the groundmass (Figure 3). These mineral associations are consistent with acidic and steam-heated environments, such as the 410 411 currently active vent-hosted system underneath Mt. Ruapehu's Crater Lake (Christenson and 412 Wood, 1993; Christenson, 2000), which leads to formation of sulfides and sulfates from the 413 oxidation of H<sub>2</sub>S and H<sub>2</sub>SO<sub>4</sub>-rich fluids by atmospheric oxygen at shallow depths.

Samples show a strong shift in the position of the  $Fe^{3+}$  iron absorption from 530 nm (e.g., 414 415 hematite-dominated) to shorter wavelengths around 480 nm (e.g., goethite-dominated), as hydrothermal/weathering alteration increases (Figure 3). This spectral shift is indicative of the pH 416 preference of these indicator minerals (e.g., hematite-dominance in less-acidic and neutral pH 417 418 conditions; Schwertmann and Murad, 1983) and the presence of disseminated pyrite formed through acid-sulfate alteration, which may later undergo supergene oxidation, resulting in the 419 formation of Fe-oxides (goethite), jarosite, gypsum, and smectite (Rye, 2005). Spectral peaks at 420 421 2160-2210 nm, 2315 nm, and 2390 nm for samples with increased clay content (e.g., kaolinite, 422 smectites) are associated with longer exposures to weathering or hydrothermal fluids. This is 423 consistent with the present-day geology of Mt. Ruapehu, where ancient hydrothermal systems can 424 be partially covered by younger volcaniclastic and lava rocks (e.g., Kereszturi et al., 2020).

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#### 426 *4.2 Physical and mechanical property relations*

Physical and mechanical test results reveal several common relations between material 427 properties (Table 3-4, Figure 4-9). Similar to other studies, we find that porosity has a first-order 428 429 effect on other physical and mechanical properties, including strength and stiffness, permeability, and rock failure mode (Al-Harthi et al., 1999; Pola et al., 2012; Heap et al., 2014a, 2014b, 2015b, 430 431 2019a; Schaefer et al., 2015; Siratovich et al., 2016; Cant et al., 2018; Coats et al., 2018; 432 Mordensky et al., 2018). UCS and Young's modulus decrease exponentially with increasing porosity, while permeability increases exponentially with porosity (Figures 6 and 7). Low porosity 433 samples also generally have higher values of Poisson's Ratio, m<sub>i</sub>, magnetic susceptibility, and Vp 434 velocities. Lavas typically have lower porosities (< 20%) than autoclastic breccias and pyroclastic 435 rocks (>20%) due to emplacement mechanisms; the slow cooling of lava flows allows gas bubbles 436 437 to escape prior to solidification resulting in lower porosity, while the rapid cooling of pyroclastic 438 rock traps gas bubbles resulting in higher porosity). Thus, lithology (i.e., lava, autoclastic breccia, or pyroclastic rocks) additionally correlates to material properties (Figure 6, Figure 7, Figure 8; as 439 in Mordensky et al., 2018). However, it should be stressed that within each of these categories, 440 441 properties can vary significantly. For example, in low porosity samples (lavas with porosity 442 <10%), UCS can vary by over 200 MPa. Even within 6-7% porosity, sample peak stress can vary by 100 MPa (Figure 4C). 443

444 Vp, density, and Young's modulus also show strong relationships with many material 445 properties (Figs. 5 and 7). Seismic velocities in volcanic rocks typically attenuate with the presence of glass, clay alteration, microcracks, and vesicles (e.g., Vanorio et al., 2002; Pola et al., 2012). 446 447 Similar to other authors (e.g., Wyering et al., 2014), we find that the correlations between Vp and porosity, density, and permeability are strong. Correlations of Vs with other properties is weaker 448 449 than Vp, likely because of errors related to the difficulty in picking the first arrival of the S-wave, 450 leading to a large dispersion of Vs values. Static Young's modulus also correlates well with Vp, 451 density, and strength (Figure 5). Density, and dynamic Poisson's ratio and Young's modulus calculated from seismic velocities, show similar but weaker relations compared to static Young's 452 453 modulus (Figure 5), likely arising from the dispersion of Vs. We find that magnetic susceptibility correlates moderately with Young's modulus (Figure 5) and porosity (Figure 7), although the 454 relationship with Young's modulus may be the result of porosity rather than a truly independent 455 456 relationship. The relation of magnetic susceptibility with porosity may stem from the oxidation 457 and alteration of magnetic minerals, along with lower porosity, non-magnetic clay minerals replacing primary magnetic minerals (e.g., titanomagnetite). The relationship of magnetic 458 459 susceptibility with other material properties is generally moderate to weak (Figure 5, Figure 7 D-460 F), although lavas tend to have higher magnetic susceptibility values than autoclastic breccias and 461 pyroclastic rock.

462 Friction angle and m<sub>i</sub> correlate well to each other, as expected since they represent the slope 463 and curvature of the failure criteria, respectively, but not to any other parameters (Figure 5), except for porosity (Figure 7 and (Villeneuve and Heap, 2021). Cohesion correlates to UCS as expected 464 since they both describe the low-confinement strength components of the failure criteria, as well 465 466 as density, Young's modulus (Figure 5) and Vp (Figure 8), and porosity, as also shown in (Villeneuve and Heap, 2021). This is the first research that attempts to link m<sub>i</sub> to alteration and 467 weathering of volcanic rocks. Although mi has been thoroughly studied for other crystalline and 468 469 sedimentary rocks (e.g., Cai, 2010; Richards and Read, 2011; Carter, 2020), most of these studies 470 focus on deriving m<sub>i</sub> from lab data or novel methods for estimating it using rock texture (e.g., porosity). Richards and Read (2011) provide a clear discussion regarding the wide variability of 471 472 this parameter, and this research highlights that, although all of the tested samples are andesite, they vary considerably in terms of their texture and mineralogy, leading to a wide range of m<sub>i</sub>. 473 (Villeneuve et al., 2021) and (Villeneuve and Heap, 2021) show that for these rock types, where 474 475 triaxial data are not available, porosity is a potential indicator of m<sub>i</sub> (as also shown in Figure 7), 476 however the wide variation of m<sub>i</sub> for a given porosity highlights that m<sub>i</sub> depends on several factors, in addition to porosity. As with strength, mi tends to be higher for fresh rocks than for weathered 477 478 and altered rocks, which is most pronounced for low-porosity lava (Figure 10). This shows that 479 more research is required to decipher the links between failure criterion parameters and physical 480 characteristics to improve on empirical data and provide reliable means to estimate these parameters in the absence of triaxial testing, as is often the case in volcano studies. 481

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#### 483 *4.3 The influence of weathering and alteration on physical and mechanical properties*

While the extent of altered or weathered minerals is in large part due to historic conditions, we find that lavas are less pervasively weathered/altered than autoclastic breccias and pyroclastic rocks (Figure 6A and 6D). In addition to having more void space, autoclastic breccias and pyroclastic rocks also have more incipient micro-fractures (Mordensky et al., 2018), both of which increase permeability (Figure 7 A-C) and surface area (Farquharson et al., 2019), enabling the circulation of fluids. Scoria (or pumice in dacitic to rhyolitic environments) fragments large enough to core and study mechanically are rare. Additionally, many pyroclastic rocks are too soft
or incoherent to be cored. Thus, this study, like many others, was limited in pyroclastic sampling,
which limits our ability to derive patterns in their physical and mechanical properties.

493 Fresh rock tends to be less porous and stronger than rock with surface weathering, which 494 is less porous and weaker than rock with supergene or steam-heated advanced argillic weathering 495 (Figure 6, Figure 10, Figure 4A, B). A larger percentage of weathering and alteration to clay 496 minerals generally reduces strength and increases the propensity for ductile behavior (Figure 6D, 497 Figure 10, Figure 4A-B, E-F; as also observed by Siratovich et al., 2016; Mordensky et al., 2019a), with the degree/intensity of clay alteration negatively correlating to UCS and Young's modulus 498 499 (Figure 10G-J; as also observed by Watters et al., 2000). Alteration mineralogy is not a function of the primary lithology (Figure 6A and 6B), but rather of the local hydrothermal history of the 500 volcano. Thus, there are limitations to assuming that all steam-heated rocks will be weaker than 501 502 all fresh rocks, as primary porosity and rock history needs to be taken into consideration. In our dataset, this is clear in the strong scatter within the minor and moderate alteration pervasiveness 503 504 categories, and in surface weathering and supergene weathering categories (Figure 10).

505 Some characteristics, such as UCS, static Young's modulus, and m<sub>i</sub>, systematically vary 506 with increasing alteration style and pervasiveness, allowing for a clear distinction between fresh and altered rock (Figure 10G-L), as also shown in (Heap et al., 2021). Porosity, permeability, and 507 Vp have larger data spreads in weathered and altered rock than in fresh rock (Figure 10A-F), which 508 509 also reduces systematic trends through alteration type and pervasiveness. Porosity tends to increase with increasing alteration type and pervasiveness, however this porosity relationship does not 510 apply to steam-heated and pervasively altered samples (Figure 10A, B). This could be a function 511 512 of the small sample number, which in turn is a function of the difficulty of sampling these rock 513 types.

Permeability remains within one order of magnitude for all alteration types and pervasiveness, except for supergene and moderate alteration (Figure 10C, D). Supergene and moderate alteration appear to have a wide range of effects on permeability, although the reason for this is not yet clear due to a small sample number. Additional steam-heated or pervasively altered samples would further clarify the trends seen in this dataset. Pervasively altered samples tend to have the lowest Vp, although a systematic trend through alteration pervasiveness (as observed by other authors e.g., Pola et al., 2012) is less obvious (Figure 10E, F).

521 The effects of rock physical and mechanical properties vary depending on the rock characteristics; for example, porosity may be a better predictor of UCS than alteration type or 522 pervasiveness for rocks with porosity >10%, whereas alteration and pervasiveness may be better 523 524 predictors for lavas (which tend to have lower porosity). These trends are affected by high-intensity hydrothermal alteration (e.g., Heap et al., 2019b), which develops when rocks are in close 525 proximity to an active hydrothermal system or are exposed to hydrothermal fluid circulation for 526 long periods. This can lead to both mineral replacement (primary minerals to clay) and 527 528 precipitation of clay into pores and cracks, resulting in anomalously low porosity, low strength samples (e.g., Siratovich et al., 2014; Wyering et al., 2014). This is highlighted by samples RH50 529 530 and RH52 (Figure 6). Sample RH52 is a block of lava taken from the edge of the Crater Lake. The Crater Lake is highly acidic (pH = 0-3) and fluctuates in temperatures (e.g., 20-45°C), therefore 531 this sample was subjected to intense alteration and precipitation of clay infilling minerals, 532 particularly in the outer 6 cm, resulting in lower porosity to UCS ratios than is typical for lava with 533 low primary porosity (Figure 6). Sample RH50, a block from the 1995-1996 eruption, represents 534 lava from the upper conduit area within the current vent hosted hydrothermal system (Kilgour et 535

al., 2010). RH50 has a high percentage of altered clay, resulting in anomalously low porosity, low
strength, and low magnetic susceptibility. This points to complex relationships between porosity,
mineralogy and physical and mechanical characteristics that remain to be thoroughly explored.

539 An additional consideration for material property variations is alteration rate, and spatial and temporal position to aggressive fluids. Alteration by acidic fluids is fairly rapid ((Farguharson 540 541 et al., 2019), and likely faster than surface weathering processes. For example, samples RH52 and 542 RH42B were both formed in the youngest Whakapapa formation (≤15 ky), however RH52 was 543 directly exposed to acidic Crater Lake fluids and has moderate alteration despite having very low porosity, while RH42B was not exposed to acidic fluids and remains a fresh sample despite being 544 545 one of the highest porosity samples. Samples RH28 and RH22 are both part of the Te Herenga formation (200 to 150 ka), but RH28 was altered via hydrothermal alteration while RH22 was 546 altered via surface weathering. Thus, RH28 is more pervasively altered and contains more clay 547 548 and sulphide minerals than RH22. However, the very long exposure time of RH22 to weathering 549 has resulted in anomalously high permeability for the given porosity, and a low Young's modulus 550 (Figure 7).

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#### 552 4.3 Implications for monitoring, modeling, and volcanic hazards

Sample RH50, a lava rock which originated from the upper conduit and was brought to the 553 554 surface during the 1995-1996 eruptions, highlights that surface characteristics cannot always be 555 used to infer subsurface properties, especially with respect to hydrothermal alteration. The low strength of RH50 due to the high percentage of clay minerals suggests that material in the upper 556 557 conduit area of Mt Ruapehu could be two times weaker than typical porosity-strength trends of 558 surface samples; RH50 has an average UCS of 93 MPa (Table 3) while the exponential trend 559 suggests a rock with a porosity c. 3.6% would have a UCS c. 190 MPa (Figure 6). This has significant implications for stability modeling. Low apparent magnetic susceptibility (<0.005 SI), 560 561 interpreted as a region of altered rock in the current hydrothermal system, has been mapped beneath the Crater Lake extending to ~1700 m a.s.l. using an aeromagnetic data inversion (Miller 562 et al., 2020). Other low susceptibility areas have been mapped within the upper cone flanks using 563 564 a combination of aeromagnetic and hyperspectral surveys, and interpreted to be part of older hydrothermal systems (Kereszturi et al., 2020). Thus, larger areas of weak, soft, and altered 565 material may be present throughout Mt. Ruapehu's upper flanks than previously expected, or that 566 567 is obvious from surface observations. This emphasizes the benefit of using ballistics (fragments of 568 rock expelled during explosive eruptions, such as block RH50) that have originated from the subsurface to measure the properties in currently active hydrothermal systems (Kennedy et al., 569 570 2020). However, subsurface samples useful for mechanical testing are rare, and those that are acquired may require assumptions regarding sample origin and environment. Thus, determining 571 subsurface material properties, especially at meaningful resolution and under realistic laboratory 572 conditions (e.g., varying confining pressures, temperatures, and saturation), remains a challenge. 573

This dataset additionally emphasizes that although trends of weathering/alteration and 574 material properties do exist, properties of volcanic rock can vary significantly within each category 575 576 and within hand-specimen scales. However, fresh material is typically distinguishable from 577 moderately or pervasively altered rock, which suggests that end member differentiation is possible for physical and mechanical property mapping. The generally strong control of porosity on other 578 579 physical and mechanical properties suggests that more accurate relations can be made if a dataset 580 is split into porosity groups; this is apparent when we split samples by lithology (Figure 7), which typically have distinct porosities due to emplacement mechanisms. This will likely result in 581

physical and mechanical input data at higher spatial resolution than is typically used in geophysical
models (e.g., Heap et al., 2020).

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# 585 4.4. Implications for spectroscopy-material property correlations

Our data show that as alteration becomes more prominent, there is a spectral shift from 540 586 587 nm to <450 nm, indicating the occurrence of goethite (430 and 480 nm; Figure 3A) as a weathering product of hydrothermal pyrite (Kereszturi et al., 2020), and precipitation of native sulfur (390 nm; 588 589 Figure 3A). This alteration-induced shift in wavelength location (Figure 3A) also coincides with alteration-induced changes to material properties (Figure 10). Using Spearman's p statistical value, 590 591 Schaefer et al. (2021) demonstrate that these tandem shifts result in strong correlations between laboratory reflectance values and rock properties such as magnetic susceptibility, UCS, porosity, 592 density, friction angle, and static Young's modulus. The laboratory spectral signatures of carbonate 593 594 rocks have also recently been shown to correlate with rock strength (Bakun-Mazor et al., 2021). 595 Given the resource- and time-consuming efforts required to characterize rocks in a laboratory setting, future efforts could explore direct correlations of spectroscopy with physical and 596 597 mechanical rock properties. Remotely-sensed spectroscopy at volcanoes (e.g., Aslett et al., 2018; Kereszturi et al., 2018; Gabrieli et al., 2019) can further support the spatial interpolation of sparsely 598 599 sampled material data, resulting in large-scale geotechnical maps.

# 601 5. Conclusion

602 Physical and mechanical properties were determined for a suite of 23 blocks of andesitic 603 lavas, autoclastic breccias, and pyroclastic rocks from Mt. Ruapehu volcano in New Zealand with 604 varying weathering and alteration mineralogies and intensities. The large number of samples of 605 lavas and autoclastic breccias allowed the distinction of material relations and systemic changes 606 due to weathering and alteration heterogeneities for these rock types. Expanding this research to 607 include volcanic material from other locations (surface and subsurface) will continue to develop 608 these relationships. Our key findings are:

- (1) Porosity has a dominant effect on many physical and mechanical properties. Lavas typically having lower porosities (< 20%) than autoclastic breccias (> 20%) or tephra (> 40%).
- (2) Weathering and alteration, including both the type of alteration and alteration intensity, additionally influence physical and mechanical rock properties. Uniaxial compressive strength, static Young's modulus, and Hoek-brown constant m<sub>i</sub> were found to have more systematic variations with weathering/alteration mineralogy and pervasiveness than variables such as permeability and seismic velocities.
- 617 (3) Fresh rock tends to be less porous and stronger than rock with surface weathering. Rock
  618 with surface weathering is less porous, stronger, and behaves less brittle than rock with
  619 supergene or steam-heated intermediate and advanced argillic weathering.
- (4) In addition to porosity and strength, P-wave velocity, density, and Young's modulus show
   strong relationships with other material properties.
- (5) End-member property differentiation is easily distinguishable (e.g., fresh vs. pervasively altered rock). However, rocks with minor to intermediate weathering or alteration tend to have larger variations in properties due to varying consequences of the nature of the host rock, the fluid type and composition, temperature, and the duration of rock-fluid interaction.

- 627 (6) Samples near the active hydrothermal system do not follow typical porosity-strength trends
   628 as surface samples due to the intensity of clay mineral precipitation and replacement. This
   629 has implications for varying the brittle-ductile transition zone, preventing fluid flow, and
   630 increasing the likelihood of collapse.
- (7) Trends in the pervasiveness of weathering and alteration suggest the possibility of
   correlating physical and mechanical intact rock properties to laboratory or imaging
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- 639

# 640 7. Declarations

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- 646 7.2. Conflicts of interest
- 647 The authors have no conflicts of interest to declare that are relevant to the content of this article.
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- 649 *7.3. Data availability*
- 650 Physical and mechanical results for each specimen, and calculated strength criteria for each
- block using the Mohr-Coulomb and Generalized Hoek-Brown methods, are available in the
- 652 Supplementary Material. Additional data are available from the corresponding author upon653 request.
- 654

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#### 933 Figures



Figure 1. Location and variability of samples with respect to spectral properties and geological
formations. (A) Topographic map. (B) Alteration map based on airborne hyperspectral imagery
from Kereszturi et al. (2020b). (C) Simplified geological map, with the major geological
formations (after Townsend et al., 2017).



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Figure 2. Photographs of the samples and porosity as determined by helium pycnometer.



Figure 3. (A) Spectral reflectance curves of typical alteration minerals in volcanic environments
from non-altered (RH17) to pervasively altered (RH50)- see descriptions in Table 3. Note the
spectral shift from 550 nm to 480 nm where hematite is being replaced by goethite as a surface
weathering product. (B-E) Optical microscope and SEM images demonstrating textural and
mineralogical changes through hydrothermal and weathering alterations. Labeled mineral phases:
v - void/vugg, m - titanomagnetite, plg - plagioclase, opx - orthopyroxene, cpx - clinopyroxene,

952 sm - smectites, kao - kaolinite, qtz - quartz, geo - goethite, py - pyrite, al - alunite, hem -

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**Figure 4**. Stress-strain mechanical data from triaxial experiments. (A) Variably altered lava samples at a confining pressure (Pc) of 5 MPa (B) Variably altered autoclastic breccia samples also at Pc = 5 MPa. (C) Low porosity samples at Pc = 5 MPa (D) high porosity samples at Pc = 5 MPa. (E) Minor supergene and (F) moderate steam-heated lava sample behavior at three confining pressures.



Figure 5. Correlation matrices between average block parameters, showing (A) linear (Pearson)
and (B) monotonic (Spearman rank) relationships between variables. Legend indicates strength of
correlation coefficient (ranging from black/value of 1: high correlation to white/value of 0: weak
correlation). Sample RH50, which originated from the upper conduit and thus is considered a
subsurface sample, is not included in these matrices.



Figure 6. Porosity vs. uniaxial compressive strength (UCS) of all tested specimens, split into (A)
lithology (all samples), (B) weathering/alteration mineralogy (all samples), (C)
weathering/alteration mineralogy (lava rocks only), (D) pervasiveness of weathering/alteration (all
samples), and (E) pervasiveness of weathering/alteration (lava rocks only). Porosity-UCS trend
outliers RH50 and RH52 are discussed in the text.





Figure 7. Relations of averaged block properties vs. averaged block porosity, split into columns according to lithology, weathering/alteration type, and weathering/alteration pervasiveness. (A-C)
Porosity vs. permeability. (D-F) Porosity vs. magnetic susceptibility. (G-I) Porosity vs. static
Young's modulus. (J-L) Porosity vs. Generalized Hoek-Brown failure criterion intact rock constant m<sub>i</sub>. (M-O) Porosity vs. Vs. Outliers RH50 and RH22 in lithology graphs are discussed in the text.





Figure 8. Relations of averaged block properties vs. averaged block P-wave velocity (Vp), split
into columns according to lithology, weathering/alteration type, and weathering/alteration
pervasiveness. (A-C) Vp vs. porosity. (D-F) Vp vs. static Young's modulus. (G-I) Vp vs. cohesion.
Outlier RH50 in weathering/alteration pervasiveness graphs is discussed in the text.





994 Figure 9. Static vs. dynamic Young's modulus values. Dynamic Young's modulus values tend to

be higher than static Young's modulus, although more stiff material (values > 25 GPa) tends to
have higher static Young's modulus values



Figure 10. Averaged physical and mechanical properties of lava rocks only vs. alteration type and alteration pervasiveness for (A-B) porosity, (C-D) permeability, (E-F) P-wave velocity (Vp), (G-H) uniaxial compressive strength (UCS), (I-J) static Young's modulus, and (K-L) Generalized Hoek-Brown failure criterion intact rock constant m<sub>i</sub>.

# 1003 Tables

**Table 1.** Location, geologic formation, and geologic member of samples used in this study. A
description of the formations and members can be found in (Townsend et al., 2017). Undiff=
undifferentiated.

No.	Block	Location	UTM East	UTM North	Formation	Member
1	RH2	Tukino	379236.35	5651000.51	Mangawhero	Horonuku
2	RH2b	Tukino	379236.35	5651000.51	Mangawhero	Horonuku
3	RH4	Tukino	377566.73	5651595.04	Whakapapa	lwikau
4	RH5	Tukino	377562.62	5651602.30	Whakapapa	lwikau
5	RH6	Tukino	377585.29	5651575.89	Whakapapa	lwikau
6	RH8	Tukino	377853.39	5651625.96	Mangawhero	Horonuku
7	RH9	Tukino	378333.06	5651763.10	Whakapapa	lwikau
8	RH10	Tukino	380579.03	5650771.93	Wahianoa	Wahinoa undiff
9	RH11	Tukino	380602.15	5650782.10	Wahianoa	Wahinoa undiff
10	RH14	Turoa	371062.95	5646926.92	Mangawhero	Makotuku
11	RH15	Turoa	373905.69	5649405.62	Late Quaternary tephra	Late Quaternary undiff
12	RH16	Turoa	373838.72	5649332.31	Mangawhero	Waitonga
13	RH17	Turoa	372410.37	5647578.84	Mangawhero	Makotuku
14	RH17b	Turoa	372410.37	5647578.84	Mangawhero	Makotuku
15	RH19	Whakapapa	375835.14	5655487.92	Whakapapa	lwikau
16	RH21	Whakapapa	376462.30	5655607.92	Te Herenga	Te Herenga undiff
17	RH22	Whakapapa	376402.26	5655448.21	Te Herenga	Te Herenga undiff
18	RH28	Whakapapa	376323.48	5654474.1	Te Herenga	Te Herenga undiff
19	RH38	Tukino	377584.94	5650878.81	Wahianoa Fmt	Wahinoa undiff
20	RH42b	Tukino	377544.95	5650936.57	Whakapapa	Crater Lake
21	RH50					95/96 ejecta
22	RH52	Crater lake	376056.55	5650793.09	Whakapapa	Crater Lake
23	RH52b	Crater lake	376019.24	5650747.06	Whakapapa	Crater Lake

# **Table 2.** Weathering/alteration category and associated mineral assemblages of collected sample blocks. 1027

Category	Mineralogy	Occurrence and origin	Blocks
Fresh	Primary (pyroxene, plagioclase, titanomagnetite)	Volcanic processes, minor surface weathering and/or oxidation	RH17, RH17b, RH19, RH42b
Surface w eathering (w eathered rim)	Primary and chemically w eathered including smectites	Weathering of primary volcanic rocks with various percentages of primary (typically interior of sample) to w eathered or oxidized (typically exterior/crust of sample) material	RH2, RH2b, RH4, RH5, RH6, RH8, RH9, RH10, RH15, RH16
Supergene (argillic)	Phyllosilicates, jarosite, Fe oxides	Develops at <40°C through w eathering and oxidation of sulfide-bearing rocks in atmospheric conditions	RH14, RH11, RH21, RH22, RH52b
Steam-heated (intermediate to advanced argillic)	Alunite, jarosite, opal, pyrite, Fe oxides, phyllosilicates, sulfur	Develops at <120°C near the water table and in the shallow est epithermal environment through alteration by steam-heated acid-sulfate waters	RH28, RH38, RH50, RH52

# 1032 **Table 3.** Descriptions and average physical and mechanical laboratory results for each sample block.

No	Block	Volcanic lithogloy	Mineral phases	Weathering/alteration category (see Table 2)	Extent of w eathering/alteration	Magnetic susceptibility	Density (kg/m <sup>3</sup> )	Porosity (%)	Permeability (m <sup>2</sup> )	Vp (m/s)	Vs (m/s)	UCS (MPa)	Static Young's modulus (GPa)	m	Friction angle (°)	Cohesion (MPa)
1	RH2	lava	minor phyllosilicate and Fe oxidation	surface weathering	minor	0.0155	2361	14.7	2.23E-16	4160	2029	98.38	22.07	16.08	47.87	18.89
2	RH2b	lava	minor phyllosilicate and Fe oxidation	surface weathering	minor	0.0221	2652	5.59	4.03E-18	4450	1452	258.14	32.13	32.97	54.36	39.89
3	RH4	autoclastic breccia	goethite, minor phyllosilicate	surface weathering	pervasive	0.0072	2077	24.62	6.51E-13	2346	1325	26.81	8.4	24.27	51.72	4.6
4	RH5	lava	goethite, minor phyllosilicate	surface weathering	minor	0.017	2595	6.07	3.06E-17	5246	1450	161.08	30.56	38.57	55.64	28.61
5	RH6	autoclastic breccia	minor phyllosilicate	surface weathering	pervasive	0.0018	1722	39.95	6.19E-13	2329	1377	10.54	3.86	12.47	45.3	2
6	RH8	lava	geothite, minor phyllosilicate	surface weathering	minor	0.0202	2228	19.45	6.65E-17	3731	1962	61.26	18.3	21.19	50.49	10.54
7	RH9	lava	geothite, minor phyllosilicate	surface weathering	minor	0.0258	2517	9.14	6.65E-17	5193	2424	111.65	24.03	25.17	52.05	19.61
8	RH10	lava	jarosite, geothite and minor phyllosilicate (kaolinite)	surface weathering	minor	0.0196	2569	6.59	6.26E-18	5241	2074	200.42	29.2	33.52	54.49	34.6
9	RH11	lava	geothite, AI and Fe rich phyllosilicate (kaolinite), and minor jarosite	supergene	moderate	0.0062	2505	9.44	3.62E-17	4706	1836	110.72	17.04	28.87	53.24	18.93
10	RH14	lava	minor phyllosilicate, ferrihydrite and Fe oxidation	supergene	moderate	0.0109	2425	9.44	4.33E-15	3963	1883	150.23	26.09	14	46.49	27.36
11	RH15	pyroclastic (scoria)	fresh rock with minor phyllosilicate and Fe	surface weathering	minor	0.01	1372	48.25	2.98E-12	2715	1373	7.79	4.39	21.05	50.43	1.75
12	RH16	lava	fresh rock with minor phyllosilicate and Fe oxidation	surface weathering	minor	0.0118	2440	8.73	1.10E-16	3955	1987	118.87	25.93	27.29	52.75	20.12
13	RH17	lava	fresh rock with minor Fe oxides	fresh	none	0.0114	2521	6.32	8.02E-17	4271	1829	182.19	27.35	47.58	57.27	30.98
14	RH17b	lava	fresh rock with minor Fe oxides	fresh	none	0.0097	2623	1.57	8.02E-17	4563	1768	312.42	42.3	32.32	54.19	52.43
15	RH19	autoclastic breccia	fresh rock with minor phyllosilicate and Fe oxidation	fresh	none	0.0125	2166	22.46	4.20E-14	3342	1287	40.48	9.1	32.36	54.2	7.58
16	RH21	lava	goethite, Fe-rich phyllosilicate and minor jarosite	supergene	minor	0.0431	2668	6.77	2.06E-17	4503	1904	147.8	18.66	31.41	53.95	25.45
17	RH22	lava	jarosite, ferrihydrite, and minor Fe-rich phyllosilicate	supergene	moderate	0.007	1985	30.43	2.33E-12	3607	1380	33.8	7.21	7.44	39.61	7.45
18	RH28	autoclastic breccia	montmorillonite, geothite, pyrite, quartz, and minor kaolinite	steam-heated	pervasive	0.0027	1661	42.72	4.93E-14	2614	1394	12.69	3.72	16.84	48.32	2.05
19	RH38	lava	geothite, pyllosilicate, pyrite, quartz, and minor jarosite	steam-heated	moderate	0.0102	2416	19.61	1.18E-16	4249	1791	81.03	13.89	22.49	51.04	14.06
20	RH42b	autoclastic breccia	geothite, jarosite	fresh	none	0.0061	1826	33.35	4.11E-16	3374	1661	47.71	15.43	5.93	36.93	11.12
21	RH50	lava (block)	alunite, jarosite, sulfur, and minor geothite, phyllosilicate (e.g. kaolinite, hallosite)	steam heated	pervasive	0.0009	2292	3.64	2.19E-17	4395	2001	91.98	17.77	13.29	45.96	17.07
22	RH52	lava	goethite, minor sulfur, barite, phyllosilicate, pyrite, quartz and minor jarosite	steam-heated	moderate (primarily outer 6 mm)	0.0103	2650	2.84	1.35E-16	4765	1797	132.47	21.74	25.25	52.07	23.04
23	RH52b	lava	ferrihydrite, minor phyllosilicate	supergene	moderate	0.0073	2285	17.38	2.10E-16	3638	1491	66.24	15.07	13.6	46.2	12.21

confining <b>—</b> pressure (MPa)	2	3	5	10	15	20	22	25		
Block	Peak stress (MPa) for a given confining pressure									
RH2			137	138		231				
RH2b			310	369						
RH4	46	46	73							
RH5			279	292				459		
RH6	22	22	35							
RH8			92	131	175					
RH9			163	228			288			
RH10			273	344				479		
RH11			178	193				338		
RH14			176	201				302		
RH15	25	30	65							
RH16			148	239				236		
RH17			273	327				536		
RH17b			322	495				598		
RH19		79	87	147						
RH21			212	273				396		
RH22	49	43	55							
RH28	25	45	37							
RH38			128	158		231				
RH42b		61	98	81						
RH50			114	154		200				
RH52			253	230				345		
RH52b			82	134	146					

1036 Table 4. Triaxial compressive strength results for varying confining pressures.1037