The dominant contribution of Southern Ocean heat uptake to time-evolving radiative feedback in CESM

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January 8, 2024

Abstract

Radiative feedbacks are found to vary with time in both historical records and future warming projections. Previous studies proposed two factors that determine the variation of radiative feedbacks: (i) the evolution of tropical sea surface warming patterns and (ii) the tropical-extratropical contrast of ocean heat uptake. Our results bridge the two factors by evaluating the remote impact from the extratropical ocean on tropical temperature patterns, accounting for the changes in radiative feedbacks. Based on the Green's Function approach that quantifies the non-local contributions of regional ocean heat uptake, we show that the net radiative feedback evolution in CESM can be mostly attributed to the heat uptake variations in the Southern Ocean. The enhanced surface warming associated with the weakened heat uptake decades after quadrupling CO_2 is not confined over the Southern Ocean, but extends to tropical Southeastern Pacific, which leads to decreasing tropospheric stability and more positive cloud feedbacks.

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2	radiative feedback in CESM
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14	Key Points:
15 16 17 18	 The increase in cloud feedback in CESM can be mostly attributed to the ocean heat uptake evolution in the Southern Ocean. The Southern Ocean heat uptake has a remote impact on the tropical surface temperature pattern, tropospheric stability, and cloud feedback.
19 20	• Models are consistent with the ocean heat uptake evolution in the Southern Ocean, although the magnitudes vary.

21 Abstract

22 Radiative feedbacks are found to vary with time in both historical records and future 23 warming projections. Previous studies proposed two factors that determine the variation of 24 radiative feedbacks: (i) the evolution of tropical sea surface warming patterns and (ii) the 25 tropical-extratropical contrast of ocean heat uptake. Our results bridge the two factors by 26 evaluating the remote impact from the extratropical ocean on tropical temperature patterns, 27 accounting for the changes in radiative feedbacks. Based on the Green's Function approach 28 that quantifies the non-local contributions of regional ocean heat uptake, we show that the net 29 radiative feedback evolution in CESM can be mostly attributed to the heat uptake variations in 30 the Southern Ocean. The enhanced surface warming associated with the weakened heat uptake 31 decades after quadrupling CO₂ is not confined over the Southern Ocean, but extends to tropical 32 Southeastern Pacific, which leads to decreasing tropospheric stability and more positive cloud feedbacks. 33

34 Plain Language Summary

Climate sensitivity, defined as surface temperature increase to doubling of carbon 35 dioxide (CO₂) concentration, is a broadly used metric of anthropogenic climate change. 36 37 However, it has spanned a wide range for decades due to the uncertainty in radiative forcing and feedback. The time evolution in radiative feedback, for example, adds challenges for 38 evaluating climate sensitivity via simulations with limited length and for comparing model 39 40 simulations with observational records. In this study, we investigate how the ocean influences 41 the time evolution of radiative feedback. More specifically, we quantify the dependence of 42 radiative feedback on regional ocean heat uptake in response to an abrupt increase in CO₂ 43 concentration. Results show that the surface warming due to weakened ocean heat uptake 44 over the Southern Ocean decades after CO₂ increase is not locally confined, but has far-field 45 impacts on tropical clouds via remote influences on sea surface temperature and atmospheric 46 stability in the tropics. The tropical sea surface temperature patterns have been shown to be 47 key for understanding transient evolution of radiative feedbacks in previous studies; our 48 findings further suggest that Southern Ocean heat uptake could be a potential root cause for these evolutions. 49

50 **1 Introduction**

Radiative feedbacks describe the efficiency by which the Earth system damps out radiative forcings such as increased greenhouse gases. Studies have long shown that the amplitudes of radiative feedbacks vary across models (Charney et al., 1979), largely accounting for the inter-model spread of global warming projections (Knutti et al., 2017). Recent studies have suggested that radiative feedbacks vary with time in historical and increasing CO₂ simulations, leading to challenges for predicting transient and equilibrium climate sensitivities (Andrews et al., 2015; Gregory & Andrews, 2016). A mechanism named "pattern effect" is proposed to account for aspects of both the inter-model spread and the time dependence of radiative feedbacks (Stevens et al., 2016). Pattern effects refer to ways in which regional spatial patterns of sea surface temperature (SST) project onto radiative fluxes at the top-of-atmosphere (TOA), thus modulating the feedbacks. A detailed mechanistic understanding of pattern effects is an essential prerequisite for extrapolating future climate sensitivity from short-term transient observations.

64 Two somewhat different lines of argument have recently emerged. The tropical east-west 65 SST gradient has been identified as a key factor influencing the radiative feedbacks via 66 modification of the lower-tropospheric stability and low cloud cover (Ceppi & Gregory, 2019; Dong et al., 2019; Zhou et al., 2017). On the other hand, the spatial pattern of ocean heat 67 uptake (OHU) has also been shown to have a strong effect on radiative feedbacks, with 68 69 emphasis on the time-evolving relative magnitudes of tropical and extratropical OHU (Kang 70 & Xie, 2014; Rose et al., 2014; Rose & Rayborn, 2016; Rugenstein et al., 2016). While the 71 SST and OHU perspectives offer seemingly competing explanations for varying radiative 72 feedbacks, some studies have linked the two perspectives by suggesting that the SST patterns on which the feedbacks depend are themselves driven non-locally by OHU patterns 73 74 (Haugstad et al., 2017). However no study to date has provided a detailed quantitative 75 attribution of the contribution of regional OHU to pattern effects and time-evolving 76 feedbacks.

77 The goal of this study is to isolate the influence of ocean dynamics on the time-evolution 78 of radiative feedbacks in response to an abrupt forcing (as represented by a fully coupled 79 climate model). More specifically, we quantify the influence of time-evolving regional OHU 80 on global SST patterns and radiative feedbacks. Our attribution is based on a linear systems 81 approach: first, the time-dependent impact of ocean dynamics is determined through 82 comparison of fully coupled and slab-ocean simulations; second, a Green's Function 83 approach is used to attribute the local and far-field impacts of spatially localized OHU (F. Liu et al., 2018a). We first describe the simulations and linear attribution method (section 2). 84 85 Results of our attribution (section 3) reveal the dominant role of OHU in the Southern Ocean 86 on time-evolution of tropical SST patterns and radiative feedbacks. Similar OHU and radiative feedback evolution are found in most of the climate models participating in the 87 88 Coupled Model Intercomparison Project Phase 5 (CMIP5).

89 **2 Data and Method**

90 2.1 Data

91 The transient responses of surface temperature (TS), estimated inversion strength (EIS;
92 Wood and Bretherton (2006)), and radiative feedbacks are analyzed in both the fully coupled
93 model CESM1 (CAM5.1, FV2; hereafter "FOM") and the atmospheric model CAM5 coupled

with a slab-ocean (hereafter "SOM"). Anomalies (denoted by " Δ ") are calculated by 94 subtracting the time-mean climatology under preindustrial conditions (piControl) from the 95 transient, 150-year simulation forced by an abrupt quadrupling of CO_2 (abrupt4×CO₂). In 96 97 piControl simulations, we substitute two particular variables (air temperature and relative humidity) in CESM1 (CAM5) for CESM1 (CAM5.1, FV2) due to the data availability. A 98 7-year low-pass Butterworth digital filter is applied to all the variables to remove the 99 100 high-frequency variability. Also, we adopt 108 pairs of SOM simulations forced with ocean q-flux patches (F. Liu et al. (2018a); hereafter "SOM-Patches"; see Text S1) to construct a 101 102 Green's Function (equation (3)), which indicates the dependence of climate responses on 103 gridded OHU and can be used to evaluate the influences of OHU (section 2.2). Finally, we extend the analysis to 24 other CMIP5 models (Table S1). 104

105 2.2 Method

To isolate the ocean's role in affecting transient atmospheric and surface responses to 106 CO₂ increase in the coupled climate system, we consider anomalous OHU due to including 107 dynamical ocean as a forcing (i.e., heat sink/ source) to the atmosphere and surface, 108 109 consistent with previous studies (Winton et al., 2010). In other words, as shown in equation 110 (1), the transient atmospheric and surface responses to CO_2 increase in each grid cell *i* of FOM $(\Delta X_{i, FOM})$ can be partitioned into the same response in SOM $(\Delta X_{i, SOM})$ and the 111 contribution from the anomalous OHU due to including a full dynamical ocean ($\Delta X_{i, dOHU}$), 112 which is quantified via a Green's Function approach. X can be any of the atmospheric or 113 surface variables such as TS, air temperature, or TOA radiative fluxes. By inferring TOA 114 fluxes using the Green's Function, we treat the inferred fluxes as feedbacks to the original 115 CO_2 forcing, though indirectly excited through the OHU. The residual (ε) accounts for the 116 responses of X that are independent from OHU and the potential nonlinearities (Text S2). 117

 $\Delta X_{i, FOM} = \Delta X_{i, SOM} + \Delta X_{i, dOHU} + \varepsilon (1).$

118 To quantify $\Delta X_{i, dOHU}$, we calculate the difference in OHU between FOM and SOM in 119 response to CO₂ increase (*dOHU*; equation (2); Figure S1), and evaluate its influences via the 120 Green's Function matrix *G* (equation (3)), derived from weighting equilibrium responses of 121 *X* in "SOM-patches" (consistent with Dong et al. (2019); Text S2).

$$dOHU_i = \Delta OHU_{i, FOM} - \Delta OHU_{i, SOM}$$
 (2).

$$G = \begin{pmatrix} \frac{\partial X_1}{\partial O H U_1} & \cdots & \frac{\partial X_1}{\partial O H U_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial X_n}{\partial O H U_1} & \cdots & \frac{\partial X_n}{\partial O H U_n} \end{pmatrix} (3).$$

For any grid cell *i*, the response of atmospheric or surface variable *X* to large-scale
 dOHU could be approximated by a first order Taylor series with respect to *dOHU* at all grid

boxes *j*. Both *i* and *j* go from 1 to n, and n is the total number of grid points. We can thus quantify the non-local effects of dOHU when grid *i* and *j* are apart.

$$\Delta X_{i, dOHU} = \sum_{j=1}^{n} \frac{\partial X_i}{\partial OHU_j} dOHU_j$$
(4).

By combining the equation (1) and (4), the atmospheric or surface responses in FOM canbe reconstructed as follows:

$$\Delta X_{i, FOM} = \Delta X_{i, SOM} + \sum_{j=1}^{n} \frac{\partial X_i}{\partial OHU_j} dOHU_j + \varepsilon (5).$$

128 Since the ocean mixed layer depth at high latitudes is generally deeper in FOM than in SOM, and the ocean heat flux divergence evolves freely in FOM while is fixed in SOM, the 129 transient difference in OHU between FOM and SOM (*dOHU*) is due to two processes. One is 130 131 the anomalous OHU caused by deep ocean heat storage (which is absent in SOM), and the other is the anomalous OHU caused by changes in ocean heat flux divergence resulting from 132 changes in oceanic circulation (e.g. due to wind-driven effects), or changes in oceanic 133 temperature, or both. While the causes for evolving *dOHU* are beyond the scope of the study, 134 135 we discuss possible mechanisms driving the dOHU evolution (section 4) and emphasize the influences of *dOHU* on the evolution of radiative feedbacks (section 3). 136

3 Results

138 3.1 Linear reconstruction via Green's Function approach

Figures 1a and 1b demonstrate the responses of quadrupling CO₂ in FOM and SOM and 139 140 the applicability of the Green's Function approach. While the initial TOA radiative forcing is 141 similar between FOM and SOM in the abrupt4×CO₂ simulation, their time evolution of global-mean anomalous TS (ΔTS) and net TOA radiation (ΔR_{net}) in response to abrupt 142 quadrupling of CO₂ is distinct. In the first 20 years, most of the radiative forcing is damped in 143 the SOM, accompanied with strong TS increase of 8K. On the other hand, the radiative 144 145 imbalance in FOM is still large and the increase in TS is about half of that in SOM. Results show that the contribution of *dOHU*, predicted by the Green's Function approach (section 146 147 2.2), mitigates surface warming by around 4K in the first two decades, and the cooling effect slowly weakens afterwards (blue line of Figure 1b). The contribution of dOHU explains 148 most of the difference in ΔTS and ΔR_{net} evolution between FOM and SOM (compare the 149 black lines with gray lines in Figures 1a and 1b), indicating that the first-order linearity holds 150 151 for the attribution system here. Similar linearity has been shown in the previous works (Boer 152 & Yu, 2003; Marvel et al., 2016).



154 Figure 1. (a) Global-mean ΔR_{net} in FOM (black), and in SOM (red). The blue line shows

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 ΔR_{net} evaluated from the Green's Function approach (equation (4)). The grey line shows the 155 summation of the red and blue lines. (b) Same as (a), but for global-mean ΔTS . (c) 156 157 Scatterplot of ΔR_{net} vs. ΔTS in FOM, following Gregory et al. (2004). (d) $\delta \lambda_{FOM}$ decomposition using radiative kernels method. 158

160 3.2 Attribute the radiative feedback evolution to regional OHU

Figure 1c illustrates the evolution of ΔR_{net} and ΔTS in the form of Gregory plot 161 (Gregory et al., 2004). The radiative feedback parameter λ , calculated as the regression slope 162 of ΔR_{net} against global-mean ΔTS , evolves from -1.12 $\frac{W}{m^2 K}$ in year 1-20 to -0.78 $\frac{W}{m^2 K}$ in 163 year 21-150 in FOM. To quantify the transient increase of effective climate sensitivity, we 164 define a feedback increment $\delta\lambda$ as 165

$$\delta\lambda_{FOM} = \frac{d(\overline{\Delta R_{net, FOM}})}{d(\overline{\Delta TS_{FOM}})}\Big|_{Y21-150} - \frac{d(\overline{\Delta R_{net, FOM}})}{d(\overline{\Delta TS_{FOM}})}\Big|_{Y1-20}$$
(6),

where overbars indicate global mean. The separation at year 20 approximately distinguishes 166 between the fast and slow components of climate responses (Geoffroy et al., 2013; Held et al., 167 2010). The estimated $\delta\lambda$ for the FOM experiment here is 0.34 $\frac{W}{m^2 K}$. Other CMIP5 models 168 range from -0.18 to 1.04, with the multimodel mean of 0.51 (Andrews et al., 2015). To 169 understand the time dependence of λ in FOM ($\delta \lambda_{FOM}$), we decompose ΔR_{net} into radiative 170 anomalies that are related to changes in Planck emission (ΔR_{plk}), surface albedo (ΔR_{alb}), 171

172 lapse-rate (ΔR_{LR}), relative humidity (ΔR_{RH}), and clouds (ΔR_{Cld}) through radiative kernels 173 method (Held & Shell, 2012; Soden et al., 2008), with the kernels calculated with CAM5 174 (Pendergrass et al., 2018):

 $\Delta R_{net} = \Delta R_{plk} + \Delta R_{alb} + \Delta R_{LR} + \Delta R_{RH} + \Delta R_{Cld}$ (7).

Figure 1d shows that the increase in λ in FOM can be mostly attributed to the increase in net cloud feedback, especially the cloud's effect on the shortwave radiation, consistent with previous studies (Andrews et al., 2015; Ceppi & Gregory, 2017). To understand the cause of the cloud-induced radiative anomalies, we decompose it into two parts: one from SOM and the other excited by *dOHU*, based on the linearity of the climate system (as per equation (1) with $X = \Delta R_{cld}$).

 $\Delta R_{Cld, FOM} = \Delta R_{Cld, SOM} + \Delta R_{Cld, dOHU} + \varepsilon (8).$

Also, the second term on the right hand side of equation (8) can be further decomposed
into the changes excited by *dOHU* from different regions by limiting the integration area of
equation (4). Here we divide the global *dOHU* into four latitude bands:

$$\Delta R_{cld, \ dOHU} = \Delta R_{cld, \ dOHU, \ 30N-90N} + \Delta R_{cld, \ dOHU, \ EQ-30N} + \Delta R_{cld, \ dOHU, \ EQ-30S} + \Delta R_{cld, \ dOHU, \ 30S-90S} (9).$$

Note that each radiative feedback contributes to part of the TOA flux variation by scaling 184 185 with global-mean ΔTS , with the ΔTS the result of multiple simultaneous radiative feedbacks (Figure 2). Using changes in global-mean TS from the same reference system 186 allows us to linearly decompose the net radiative feedback threefold, indicated by the three 187 loops of Figure 2. In the first loop, the net radiative feedback is decomposed into the radiative 188 feedbacks related to different physical processes (equation (7)). In the second loop, the net 189 cloud feedback is decomposed into the contribution excluding and including the dynamical 190 ocean, indicated by SOM data and the *dOHU* contribution evaluated by the Green's 191 192 Function approach, respectively (equation (8)). Similar decomposition can be done for other radiative feedbacks, though we focus here on cloud feedbacks due to their importance as 193 revealed in Figure 1d. In the third loop, the contribution due to ocean dynamics is further 194 decomposed into contributions due to *dOHU* in four latitudes bands (equation (9)). This 195 196 linear systems approach of attributing the changes in radiative feedbacks by decomposing 197 radiative fluxes only instead of both radiative fluxes and TS has also been applied in previous studies (Roe, 2009; Rose & Rayborn, 2016). 198



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Figure 2. Schematic diagram of the decomposition of net radiative feedback, modified fromRoe (2009).

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203 The results of this decomposition are shown in Figures 3a-c. The shift toward more positive net cloud feedback in FOM ($\delta\lambda_{Cld, FOM}$; Figure 3b) arises predominantly from 204 dOHU in 30S-90S ($\delta \lambda_{cld, dOHU, 30S-90S}$; Figure 3c), shown as the most positive bar in 205 Figure 3a. Further analysis shows that 49% of the $\delta \lambda_{Cld, dOHU, 30S-90S}$ arises from tropical 206 207 (30S-30N) cloud changes, implying a strong remote impact. Another 42% arises from local 208 cloud changes in 30S-90S (Figure 3c). We describe the physical processes by which 30S-90S 209 dOHU result in increasingly positive local and remote cloud feedbacks as following three 210 steps:

(a) Local and remote influence of *dOHU* on SST: The *dOHU* in 30S-90S (blue line of Figure 4a) strengthens throughout the first decade after quadrupling CO₂ but slowly decreases afterwards. When comparing early and late periods, the weakening tendency of *dOHU* in the late period leads to the increasingly enhanced southern hemisphere (SH) warming. Importantly, the warming induced by the decreasing 30S-90S *dOHU* is not confined to the Southern Ocean, but also extends to the subtropics via eastern basins (Figure 3f). This local and remote SH surface warming

pattern due to 30S-90S *dOHU* is also found in FOM (albeit with weaker magnitude;
Figure 3e), suggesting similar mechanisms operate in FOM. While the teleconnection
mechanism needs further investigation, our findings are consistent with previous
studies suggesting that extratropical OHU can affect tropical TS by modifying the
trade winds strength associated with the anomalous cross-equatorial Hadley Cell
(Hwang et al., 2017).

- 224 (b) Lower-tropospheric stability (LTS) determined by SST pattern: In both the Southern 225 Ocean and the tropics, the evolution of LTS is influenced by δTS , but in different 226 ways. Locally over the Southern Ocean, enhanced surface warming (positive δTS) 227 destabilizes the lower troposphere (which we quantify through negative δEIS ; Figures 3h and 3i). In tropics on the other hand, since tropospheric temperatures are 228 229 strongly coupled to SST in the West Pacific (WP) convective regions according to the 230 weak temperature gradient approximation (Sobel et al., 2002), LTS is largely 231 determined by the surface warming contrast between convective and non-convective 232 regions. We find that δTS in the Southeastern Pacific is more positive than in the 233 WP convective region (gray box in Figures 3e and 3f), i.e. the East-West Pacific SST 234 gradient is reduced, which explains the destabilization of the non-convective 235 subtropical regions (Figures 3h and 3i). Significantly, we find consistent patterns of 236 negative subtropical δEIS_{FOM} and $\delta EIS_{dOHU,30S-90S}$, strongly suggesting a causal 237 but remote link between Southern Ocean heat uptake and tropical stability.
- (c) Changes in cloud feedback linked to stability changes and other factors: The decrease
 in tropospheric stability acts to decrease the low cloud amount, since a weaker
 inversion is less efficient in trapping moisture in the boundary layer (Wood &
 Bretherton, 2006). The decrease in low cloud amount accounts for more positive
 cloud feedback by keeping more shortwave radiation in the climate system (Figures
 3b and 3c).

In addition to the low cloud amount change discussed above, cloud albedo can also 244 modify the strength of the cloud feedback. Area-weighted average of liquid water path (LWP) 245 246 over 30S-90S increases by 30% in the first 20 years while local SST evolves from 276 to 279 247 K, causing phase changes in low-level clouds. In contrast, LWP holds nearly constant in the 248 following 130 years while local SST is still rising. The halt of increasing LWP in the later periods results in a more positive shortwave cloud feedback (Figure S2), as the increase in 249 250 LWP accounts for larger cloud albedo (McCoy et al., 2014; Zelinka et al., 2012). The LWP 251 evolution in Southeastern Pacific also leads to more positive cloud feedback, while the cause 252 for decreasing LWP might be related with the decrease in cloud amount (their time evolution is positively correlated with a coefficient of 0.71) as the local SST is too high for phase 253 254 changes (Figure S2).

It is worth noting that the tropical δTS and δEIS in response to quadrupling CO₂ are

256 largely influenced remotely by Southern Ocean heat uptake, rather than local OHU evolution in tropics. Figure 3d quantifies the contribution of *dOHU* over four different latitudinal 257 bands on the tropical δTS by defining the evolution of WP index as the area-weighted 258 259 averaged oceanic δTS in 50S-50N outside the WP minus that inside the WP. Results show that the increase in WP index in FOM is mostly due to the *dOHU* evolution in 30S-90S. 260 Consistently, the change in the S index, defined as area-weighted averaged 50S-50N oceanic 261 δEIS (Ceppi & Gregory, 2017, 2019), can also be attributed to *dOHU* in SH extratropics. 262 The remote impact from extratropical OHU to tropical SST pattern bridges two hypotheses 263 264 that both account for the changes in radiative feedbacks: one emphasizes the tropical east-west contrast of SST (Dong et al., 2019), and the other emphasizes the influence 265 between tropical and extratropical OHU (Rose & Rayborn, 2016). Through its remote effects 266 on tropical SST patterns and lower-tropospheric stability, heat uptake in the Southern Ocean 267 268 can explain most of the change in net radiative feedback in FOM.



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Figure 3. (a) The decomposition of $\delta\lambda_{Cld}$ (equation (7)-(9)). (b) $\delta\lambda_{Cld}$ pattern in FOM. (c) $\delta\lambda_{Cld}$ pattern due to dOHU evolution over 30S-90S. (d) The decomposition of the evolution of WP index. Each is calculated as 50S-50N averaged oceanic δTS outside the WP minus that inside the WP. (e) δTS pattern in FOM. (f) δTS pattern due to dOHU evolution over 30S-90S. (g) The decomposition of the evolution of S index. Each is calculated as 50S-50N averaged δEIS over ocean Ceppi and Gregory (2019). (h) δEIS pattern in FOM. (i) δEIS pattern due to dOHU evolution over 30S-90S. The definition of δTS and δEIS

follow equation (6) but to replace radiative fluxes anomalies with TS and EIS anomalies,respectively.

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281 3.3 CMIP5 models

In section 3.2, we conclude that *dOHU* over Southern Ocean is the root cause of the 282 increasingly positive net radiative feedback in FOM. Does this result hold for other models? 283 Figure 4a shows that most of the CMIP5 models agree qualitatively on the *dOHU* evolution 284 285 over SH extratropics, which strengthens in the first decade after quadrupling CO₂ but slowly 286 decreases afterwards. Though the amplitudes vary with models, the consistency of the SH extratropical dOHU evolution suggests its local and remote impacts on SST and cloud 287 feedback may be robust features among models. Consistent with our expectations, most 288 289 CMIP5 models exhibit increasingly enhanced warming of the Southern Ocean and 290 Southeastern Pacific, as well as decreased zonal tropical Pacific SST gradients (Figure 4c). 291 The stronger warming over Southeastern Pacific relative to the WP leads to increase in net 292 cloud feedback (Figure 4d) by decreasing the lower-tropospheric stability (not shown, consistent with Figure 1b of Ceppi and Gregory (2017)). While we cannot isolate the impacts 293 294 of regional *dOHU* on cloud feedback changes in individual CMIP5 model using the Green's 295 function approach due to large residuals (Text S3), we emphasize the consistent SH extratropical *dOHU* in CMIP5 models, and surmise it may be responsible for the 296 297 increasingly enhanced warming, tropospheric stability, and net cloud feedback in the SH 298 through the same causal mechanisms as we have demonstrated in our CESM simulations. 299



Figure 4. (a) 30S-90S averaged dOHU ($\Delta OHU_{FOM} - \Delta OHU_{SOM}$) in each CMIP5 model (gray lines) and the model CESM (blue line). The black line indicates the CMIP5 multimodel mean. (b) The same as (a), but for 30N-90N averaged dOHU. Note that ΔOHU_{SOM} used here is the same across CMIP5 models (CAM5-SOM), while ΔOHU_{FOM} varies according to each model. (c) CMIP5 multimodel-mean δTS pattern. (d) CMIP5 multimodel-mean $\delta \lambda_{Cld}$ pattern. Dots indicate that the absolute value of the multimodel mean is larger than 0.5 standard deviation of the inter-model spread.

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309 4 Summary and discussion

310 Cloud feedback has remained the primary source of model uncertainty in the global warming projection for decades (Soden & Held, 2006; Zelinka et al., 2017), with the 311 312 subtropical low-level clouds contributing to most of the uncertainty (Bony & Dufresne, 2005; 313 Myers & Norris, 2016). When focusing on observational records or the slow evolution of radiative feedbacks in abrupt4×CO₂ experiment, previous studies have quantified and 314 315 demonstrated how tropical SST pattern influences subtropical low-level clouds (Andrews & Webb, 2018; Ceppi & Gregory, 2017; Gregory & Andrews, 2016; Zhou et al., 2016). 316 317 Questions have remained about the ultimate causes of the time-evolution of these 318 radiatively-important tropical SST patterns.

319 In this work we used a Green's Function approach to perform a detailed non-local linear 320 attribution of the time-evolution of cloud feedbacks and SST patterns to regional ocean dynamics in response to abrupt $4 \times CO_2$ forcing. Through this approach (which relies on 321 322 comparisons between fully coupled and slab ocean models) we have shown that the evolving tropical SST pattern is driven remotely by variations in Southern Ocean heat uptake. This 323 324 effect is most prominent in the Southeast subtropical Pacific, where the increasingly enhanced warming is driven by slowly weakening Southern Ocean heat uptake, and leads to 325 326 low-cloud loss and a more positive net cloud feedback. Rose et al. (2014) and Rugenstein et al. (2016) have suggested OHU being the root cause of evolution of radiative feedbacks. Here, 327 328 we further highlight the critical role of the Southern Ocean, which is likely to be the root 329 cause of the evolution of tropical SST patterns and cloud radiative feedbacks in abrupt4×CO₂ simulation in FOM. 330

While CMIP5 models generally agree on the rapid increase and then slow decline of Southern Ocean heat uptake, their magnitudes vary. An implication of the remote influence demonstrated in this study is that uncertainty in the evolution of subtropical low-level clouds and cloud feedbacks could be partly traced to uncertainty in the evolution of Southern Ocean heat uptake, as suggested by Rose and Rayborn (2016). In addition, there exists a large inter-model spread in the evolution of OHU over Northern Hemisphere (NH) extratropics (Figure 4b). The NH surface temperature and cloud feedback evolution also appear to be less 338 robust among models (Figures 4c and 4d). In contrary to OHU over Southern Ocean that is mostly determined by the mean state of the ocean (Armour et al., 2016; W. Liu et al., 2018; 339 Manabe et al., 1990; Marshall et al., 2014), one of the key factors determining OHU in the 340 341 NH is the variations of Atlantic Meridional Overturning Circulation (AMOC; Chen and Tung (2018); Kostov et al. (2014)). The time evolution of AMOC intensity, including the 342 weakening within decades after increasing CO₂ and the re-strengthening in timescales of 343 hundreds of years (Cheng et al., 2013; Stouffer et al., 2006), have been shown to modulate 344 radiative feedbacks (Caesar et al., 2020; Lin et al., 2019; Trossman et al., 2016). Focusing on 345 346 a single model (CESM), our work highlights the critical role of the Southern Ocean. For 347 inter-model spread or for models with more apparent AMOC re-strengthening, OHU over Southern Ocean and North Atlantic could both be important for the transient increase of 348 349 effective climate sensitivity. We suggest the base climate oceanic circulation may thus have 350 an important influence on climate sensitivity via affecting the evolution of ocean heat uptake, 351 which then alters cloud radiative effects both locally and remotely.

352 Acknowledgments

We acknowledge the World Climate Research Program's Working Group on Coupled 353 354 Modelling, which is responsible for CMIP, and we thank the climate modeling groups (Table 355 S1) for producing and making available their model output. For CMIP the U.S. Department of Energy's Program for Climate Model Diagnosis and Intercomparison provided 356 357 coordinating support and led development of software infrastructure in partnership with the Global Organization for Earth System Science Portals. All CMIP data can be downloaded 358 359 from the ESGF at https://esgf-node.llnl.gov/projects/esgf-llnl/. This research was undertaken 360 with the assistance of resources and services from the National Energy Research Scientific 361 Computing Center (NERSC), supported by the U.S. Government. Processed data to support the analysis is published with doi: 10.5281/zenodo.3987888. 362

YJL and YTH were supported by Ministry of Science and Technology of Taiwan
(MOST 109-2636-M-002-011-). JL was supported by the U.S. Department of Energy Office
of Science Biological and Environmental Research as part of the Regional and Global
Modeling and Analysis program. FL was supported by the National Natural Science
Foundation of China (NSFC; 41906002 and 91858210). BEJR was supported by NSF grant
AGS-1455071.

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