## Weather-Dependent Nonlinear Microwave Behavior of Seasonal High-Elevation Snowpacks

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#### Abstract

Ensemble predictions of the seasonal snowpack over Grand Mesa, CO were conducted for the hydrologic year 2016-2017 using a multilayer snow hydrology model. Ensembles were generated from gridded atmospheric reanalysis, model predictions were evaluated against SnowEx'17 measurements, and the signatures of the weather-dependent variability of snow physics in the behavior of multi-frequency microwave brightness temperatures and backscattering were examined through forward modeling. At sub-daily timescales , the ensemble standard deviation due to atmospheric forcing (i.e., mesoscale spatial variability of weather within the Grand Mesa) is < 3 dB for dry snow, and increases to 8-10 dB at midday when there is surficial melt that also explains the wide ensemble range ( $^{2}0$  dB). Further, the ensemble mean backscatter exhibits robust (R 2 > 0.95) timevarying, weather-dependent linear heuristic relationships with SWE (e.g., 5-6 cm/dB/month in January; 2-2.5 cm/dB/month in late February) as melt-refreeze cycles modify the microphysical structure in the top 50 cm of the snowpack. The nonlinear evolution of ensemble snow physics translates into seasonal hysteresis in the microwave behavior. The backscatter hysteretic offsets between accumulation and melt regimes are robust in the Land C-bands and collapse for wet shallow snow at Ku-band. The ensemble mean emissions behave as a limit-cycles with weak sensitivity in the accumulation regime, and hysteretic behavior during melt that is different for deep (winter-spring transition) and shallow snow (spring-summer) and offsets that increase with frequency. These findings suggest potential for multi-frequency active-passive remote-sensing of SWE conditional on snowpack regime, particularly suited for data-assimilation using coupled snow hydrology-microwave models.

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1 *Article* 

# Weather-Dependent Nonlinear Microwave Behavior of Seasonal High-Elevation Snowpacks

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8 **Abstract:** Ensemble predictions of the seasonal snowpack over Grand Mesa, CO 9 were conducted for the hydrologic year 2016-2017 using a multilayer snow hydrology model. Ensembles were generated from gridded atmospheric 10 reanalysis, model predictions were evaluated against SnowEx'17 measurements, 11 and the signatures of the weather-dependent variability of snow physics in the 12 microwave brightness 13 of multi-frequency temperatures behavior and backscattering were examined through forward modeling. At sub-daily time-14 15 scales, the ensemble standard deviation due to atmospheric forcing (i.e., 16 mesoscale spatial variability of weather within the Grand Mesa) is < 3 dB for dry 17 snow, and increases to 8-10 dB at mid-day when there is surficial melt that also explains the wide ensemble range (~20 dB). Further, the ensemble mean 18 19 backscatter exhibits robust ( $R^2 > 0.95$ ) time-varying, weather-dependent linear 20 heuristic relationships with SWE (e.g., 5-6 cm/dB/month in January; 2-2.5 21 cm/dB/month in late February) as melt-refreeze cycles modify the microphysical 22 structure in the top 50 cm of the snowpack. The nonlinear evolution of ensemble 23 snow physics translates into seasonal hysteresis in the microwave behavior. The 24 backscatter hysteretic offsets between accumulation and melt regimes are robust 25 in the L- and C-bands and collapse for wet shallow snow at Ku-band. The 26 ensemble mean emissions behave as a limit-cycles with weak sensitivity in the 27 accumulation regime, and hysteretic behavior during melt that is different for deep (winter-spring transition) and shallow snow (spring-summer) and offsets 28 29 that increase with frequency. These findings suggest potential for multi-frequency 30 active-passive remote-sensing of SWE conditional on snowpack regime, 31 particularly suited for data-assimilation using coupled snow hydrology-32 microwave models.

Keywords: seasonal snow; hydrometeorology; SWE; remote-sensing; microwave
 hysteresis

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## 36 1. Introduction

37 Snow plays an important role governing the surface energy and water budgets 38 at high elevations and over large regions of the world at high and even at mid latitudes depending on time of the year. Monthly mean snow cover varies from 7% 39 to 40% over the Northern Hemisphere [1] and changes in snow cover due to 40 41 interannual variability and increasing surface air temperatures affect not only 42 regional atmospheric conditions but also large-scale circulation systems including 43 the global monsoons [2-5]. The water mass stored in seasonal snowpacks can be 44 inferred from snow covered area, snow depth and snow water equivalent (SWE) 45 metrics. In cold regions and in high mountains, winter snowpacks temporarily

46 store freshwater that is subsequently released during melting, and thus modulate the availability of water resources [6,7]. The large spatial variability of 47 48 precipitation, clouds, winds and land-cover translates into large spatial variability in snow accumulation patterns (snow depth and SWE) and snowpack 49 50 microphysical properties. Temperature, grain size, and material composition (ice, 51 liquid water content, and particles such as dust or pollution) determine local 52 snowpack surface radiative properties, including emissivity and backscattering 53 behavior, that are spatially organized by topography and land-cover at the meso-54 and regional scales [8,9].

55 Remote sensing has long been the primary strategy for large-scale monitoring of snow because of the challenges of access to high remote mountain areas and 56 57 cold regions generally [10-12]. Since the 1970s, passive microwave satellite 58 observations have been widely used to map areal snow cover and to estimate snow 59 depth and SWE. Hall et al. [13,14] lead the first studies to establish the proposition 60 of passive microwave remote sensing of snow that became possible with the 61 launch of microwave radiometers onboard Nimbus 5-6-7 satellites. Rango et al. [15] 62 identified a linear regression equation between microwave brightness temperature 63 (18 GHz and 37 GHz, H- polarization) and snow depth, and demonstrated how the 64 rapid increase of brightness temperatures in the spring can be used as a robust 65 indicator of liquid water presence and the onset of the melting regime. Chang et al. 66 [16] followed [15] to produce SWE maps over the Northern Hemisphere based on 67 dual-polarization brightness temperature measurements from the Scanning 68 Multichannel Microwave Radiometer (SMMR). Subsequently, Grody and Basist 69 [17] used measurements from the next generation radiometer, the Special Sensor 70 Microwave Imager (SSM/I) to map global snow cover. The relationship between 71 SWE and SSM/I brightness temperatures over 16 land-cover categories was 72 examined by Tait [18] who reported that SWE could be estimated with 95% 73 confidence in smooth non-forested topography. Nevertheless, retrieving snow 74 states and properties from passive microwave sensors has long been handicapped 75 by coarse spatial resolution (e.g.,  $25 \times 25$  km<sup>2</sup> of the Advanced Microwave 76 Scanning Radiometer - Earth Observing System, AMSR-E) that cannot capture the spatial heterogeneity of the snowpack resulting in substantial random and 77 78 systematic errors in complex topography and in the presence of heterogeneous 79 vegetation, in particular forests that emit more microwave radiation than snow [19-80 21]. Increased absorption and decreased volume scattering in wet snowpacks (> 81 0.1% snow wetness), and especially in the top layers, result in loss of sensitivity 82 with nonlinear interactions among absorption, surface scattering, and volume 83 scattering [22,23]. Even in barren flat terrain, thermodynamically driven snow 84 microphysics strongly affect brightness temperatures due to high sensitivity to the 85 size of dry snow crystals that vary with time and snowpack history, and thus snowpack stratigraphy [24,25]. Wet snowpacks are especially challenging as 86 87 increases in liquid water content exhibit ambiguous (i.e., noisy) brightness 88 temperature behavior in the warm season after the onset of snowmelt processes. 89 Recent high spatial resolution (10's m) radar backscatter measurements at 90 intermediate wavelengths (e.g., Sentinel-1 C-Band) show little sensitivity to dry 91 snow accumulation, and high sensitivity to surface roughness and snow surface 92 microphysics, the interpretation of which can be further complicated by successive 93 cycles of daytime melt and nocturnal refreeze [9], and weather variations including 94 the spatial organization of diurnal circulations [26].

95 Snow hydrology models driven by near-surface atmospheric forcing provide 96 an alternative to estimate snowpack mass and condition through accumulation and 97 melt processes, although there is uncertainty associated with these states because 98 of imperfect model physics and numerical approximations [24], uncertainties in 99 meteorological forcing, land-cover and surface heterogeneities, and initial and 100 boundary conditions that can significantly impact model behavior [27]. Furthermore, the representation of spatial variability tied to topography, wind 101 102 redistribution, and land-cover among other drivers of snowpack heterogeneity 103 often depend on model resolution as well, and thus are tied to scale [28]. These 104 uncertainties can be addressed in part via data assimilation of remote-sensing 105 observations to guide model physics by constraining model states that effectively amounts to physically-based retrieval [25,29-33]. Operational (i.e., systematic, 106 107 uncalibrated) robust data-assimilation requires realistic characterization of 108 independent and correlated errors in the coupled snow-hydrology and radiative 109 transfer dynamics as well as in the observations that are not known typically 110 although they can be learned for the case of systematic stationary errors. 111 Ultimately, robust data-assimilation would highly benefit from additional ground-112 observations for areal cross-validation (e.g., runoff) or to further constrain the 113 model locally (e.g., snow depth) but these generally are not available in the remote 114 and inhospitable regions of the world where snow remote sensing is needed. An 115 alternative approach is to map the uncertainty space of snowpack physics toward 116 determining the error bounds associated with snowpack hydrological response to

117 variable weather and land-cover (e.g., [34]).

118 The objective of this paper is to develop a quantitative understanding of uncertainty propagation from spatial uncertainty in meteorological forcing of high-119 120 elevation snowpack physics to radiometric and scattering behavior. Ultimately, the 121 goal is to separate uncertainty in snowpack microwave measurements from space 122 (backscattering coefficient and brightness temperatures) from retrieval ambiguity tied to the spatial variability of snowpack condition. For this purpose, we rely on a 123 124 multilayer snow hydrology model (hereafter referred to as MSHM) [20,21], driven 125 by atmospheric reanalysis, and coupled to the microwave emission model of 126 layered snowpacks (MEMLS) [35,36]. The region of study (Grand Mesa, Colorado) 127 and available data sets are described in Section 2. The architecture of the coupled 128 snow physics-radiative transfer modeling framework (hereafter referred to as 129 MSHM/MEMLS) and the experimental design are summarized in Section 3. 130 Detailed model formulations for both MSHM and MEMLS are provided in 131 Appendices A and B, respectively. Results are presented and discussed in Section 4 132 focusing on seasonal snowpack hydrology (Section 4.1) and microwave behavior 133 (Section 4.2). Synthesis and conclusions are presented in Section 5.

## 134 2. Study Area and Datasets

## **135** *2.1 Study Area*

The region of study is Grand Mesa (39°N ~ 39.1°N, 108.2°W ~ 107.8°W) the 136 137 largest flat-top high mountain in the world (Figure 1). This is one of NASA's Snow 138 Experiment (SnowEx) primary field sites [33], where multi-year seasonal intense 139 field measurements are ongoing. We rely specifically on data collected during the February 2017 field campaign, hereafter referred to as SnowEx'17. Land-use land-140 141 cover (LULC) is highly heterogeneous with over 300 small lakes and ponds, 142 evergreen and deciduous forests, grasslands and some barren soil and rock 143 outcrops (Figure 2). Model simulations are conducted in the central part of the 144 Mesa where most SnowEx'17 snowpit measurements were conducted.



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146 Figure 1. Topographic map of Grand Mesa with ECMWF grid cells (overlain grid on the left panel) and 43 grid points from the HRRR model (triangles on the right panel). Snowpit locations from the 147 148 SnowEx'17 field campaign are clustered into 9 groups corresponding to individual ECMWF grid cells (~ 149  $5 \times 5$  km<sup>2</sup>) marked on the left panel. Each cluster contains at least eight snowpits. Table S1 in 150 Supplementary Information provides the central (latitude, longitude) coordinates for each grid, as well as 151 the coordinates of the HRRR grids fully or partially within the corresponding ECMWF grid. ECMWF-152 European center for Medium Range Weather Forecasts. HRRR- High Resolution Rapid Refresh NOAA 153 model.



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Figure 2. Land-use and land-cover (LULC) map centered over Grand Mesa. The nine snowpit
clusters (Table S1) corresponding to nine ECMWF grid cells marked in Figure 1 are also marked
here using the naming convention indicated in the legend.

## **158** 2.2 Atmospheric Forcing

159 2.2.1 European Center for Medium Range Weather Forecasts (ECMWF)

160 Meteorological forcing (air temperature, specific humidity, wind speed, rainfall 161 rate, snowfall rate, incoming shortwave radiation, incoming longwave radiation, and surface albedo) utilized to drive the MSHM were obtained from the ECMWF 3-hourly reanalysis data (in UTC) with the equidistant cylindrical projection of 941  $\times$  2336 points covering North America with (0.05° × 0.05°) spatial resolution (Figure 1, left panel). The original 3-hourly forcing was linearly interpolated to half-hourly time-steps September 1<sup>st</sup>, 2016 and June 30<sup>th</sup>, 2017, and are available at <u>https://barros-group.cee.duke.edu/data-access</u>.

## 168 2.2.2 High Resolution Rapid Refresh (HRRR)

169 The Rapid Refresh (RAP) is a version of the Weather Research and Forecasting 170 (WRF) model developed by the NOAA Earth System Research Laboratory (ESRL) 171 Global Systems Division (GSD). This is an hourly updating, cloud-resolving, 172 convection-allowing model run operationally by the National Centers for 173 Environmental Prediction's Environmental Modeling Center (EMC) with a nominal resolution of 13 km [37]. The model domain covers the entire North 174 175 America with up to 21 forecast lead times. In the spring of 2016, a high-resolution 176 nested version of the RAP called the High Resolution Rapid Refresh (HRRR) was 177 developed with 3-km horizontal grid and one hour update and can forecast 178 meteorological variables up to 18 hour including: air temperature, specific 179 humidity, wind speed, air pressure, rainfall rate, snowfall rate, incoming 180 shortwave radiation, and incoming longwave radiation. Hourly HRRR data (data assimilation and forecast modeling systems), specifically +01 hour forecasts, used 181 182 here are obtained from the Center for High Performance Computing (CHPC) at the 183 University of Utah [38]. Time-series of HRRR meteorological data from 43 grids over the Grand Mesa (Figure 1, right panel) were linearly interpolated to the 184 185 MSHM time-step (30 min) from 2016 September 1<sup>st</sup> to 2017 June 30<sup>th</sup>. Numbering and naming conventions and geographical correspondence between ECMWF and 186 187 HRRR grids is provided in Supplementary Information, specifically Table S1 and 188 Figures S1 a-b.

## **189** 2.3 North American Land Data Assimilation System (NLDAS)

190 Initial preprocessing showed that the time-varying ECMWF albedo values are inconsistent with observed snow cover conditions over Grand Mesa and 191 independent MODIS estimates. Surface albedo at 0.125° × 0.125° resolution (~ 12.5 192 193  $\times$  12.5 km<sup>2</sup>) from the North American Land Data Assimilation System (NLDAS) 194 [39] was used instead due to its improved depiction of the diurnal variation. The 195 average of the four NLDAS grids in the study domain was calculated first for the 196 hourly values and subsequently interpolated to half-hourly intervals; the same 197 value is specified for all ECMWF and HRRR grids at each time-step (i.e., the spatial 198 variability of snow albedo across the Grand Mesa is neglected).

## **199** 2.4 SnowEx'17 Field Campaign Data

Data from the NASA SnowEx campaigns can be obtained from (https://nsidc.org/data/snowex). Data relevant for this study include snow depth, SWE, snow density and temperature profiles [40]. These data are used to evaluate co-located MSHM simulations and to characterize the uncertainty associated with sub-grid heterogeneity within ECMWF grid cells and across the Grand Mesa.

Snowpit observations were obtained by digging trenches (destructive and irreversible measurements) for a one-time only sampling of the density and temperature profiles, and thus there are no repeats at the same location. It is important to highlight the gap in spatio-temporal scales between the in-situ snowpit data (point scale, near-instantaneous) and the ECMWF and HRRR forcing and MSHM simulations (kms, mins-hours). Tables S2-S4 provide geospatial coordinates of snowpit locations corresponding to ECMWF grid cells S2, SD3 and
S5 (Figure 1 and Figure 2) used in this paper to assess MSHM performance.

## 214 3. Experimental Design

## 215 3.1 MSHM/MEMLS Modeling Framework

216 The architecture of the coupled MSHM/MEMLS framework is summarized in 217 Figure 3. The MSHM represents the snowpack as a multilayer column (1D in the 218 vertical, Figure 3 right panel) with layers added (by splitting individual layers) or removed (by combining individual layers) depending on precipitation and 219 220 compaction rates to meet a minimum water equivalent depth criterion for each 221 layer ( $0.02 \pm 0.01$  cm). Exchanges of mass and energy at the snowpack surface and 222 between adjacent layers in the snowpack column (1D) are simulated using 223 centered finite-difference approximations following [41]. The formulae used to 224 describe key model processes are summarized in Appendix A, and the flowchart 225 that describes model structure and linkages among model physics components is depicted in Supplementary Figure S2. Additional implementation details and 226 227 alternative parameterizations can be found in [20,21]. Ongoing testing and implementation of the MSHM coupled to an existing distributed hydrology model 228 229 is out of the scope of the present work. Therefore, snowpack surface conditioning 230 (surface roughness and transient morphology), snow erosion and redistribution by 231 winds, and the structural effects of forest cover on snow hydrology on the one 232 hand and on microwave behavior on the other are not described in the version of 233 the MSHM/MEMLS used here. The latter are at best accounted for only in the 234 time-varying values of albedo specified and in the atmospheric forcing 235 (specifically, low level winds, air temperature and relative humidity) to the extent 236 that land-cover is parameterized in the underlying numerical weather prediction 237 model.



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239 Figure 3. Information flow diagram in the coupled MSHM/MEMLS framework. At a given time t, 240 the MSHM simulates a snowpack consisting of number of layers  $n_{layers}(t)$ , and yields snowpack 241 stratigraphy consisting of the vertical profile of layer thickness ( $h_{snow}$ ), snow water content ( $h_{sue}$ ), 242 liquid water content (LWC), density( $\rho_s$ ), temperature  $T_s$  including ground temperature  $T_{0_i}$  and snow 243 correlation length  $L_c$ . At the surface of the snowpack, latent heat (LH), sensible heat (SH), net 244 radiation (Rnet), and outmelt (OM) fluxes are also calculated. The snowpack stratigraphy and 245 temperature profiles are passed onto MEMLS to simulate the vertical profiles of transmissivity t, 246 volume reflectivity r, emissivity e, interface reflectivity s, and refracted angle  $\theta_b$  where j=i. Incoming electromagnetic radiation (not shown) hits the snowpack surface of roughness *ms* with incidence

248 angle  $\theta_n$  (not shown). The MEMLS output is brightness temperature  $T_{brs}$  and backscattering

**249** coefficient  $\sigma_s$  at the surface for frequency *f*, horizontal and vertical polarization.

250 The MEMLS model was developed based on six-flux theory to simulate 251 multiple volume scattering and absorption (Figure 3, right panel) including 252 radiation trapping from internal and coherent reflections at layer interfaces (see 253 Figures S3 and S4). It was first implemented to simulate multi-frequency 254 microwave brightness temperatures [36] with good performance compared to 255 satellite data [20,21], and it has been further enhanced to simulate backscattering 256 [35]. Implicit in the MSHM/MSML coupling is the common spatial scale 257 determined here by the spatial scale of the atmospheric forcing, and the 258 assumption that there is no subgrid-scale variability in snowpack states (e.g., snow 259 types) that would introduce variability in the microwave emissions and backscatter [23,26]. The coupled MSHM/MEMLS modeling framework is run 260 261 continuously in fully predictive mode from September (9/1/2016) through June 262 (6/30/2017) at half-hourly time-steps driven by independent meteorological 263 forcing (ECMWF, HRRR). SnowEx'17 snowpit measurements during February 264 2017 are used for evaluating MSHM predictability of snowpack physical properties 265 and snow hydrologic states. The density of fresh snow is specified as  $30 \text{ kg/m}^3$ .

**266** *3.2 Ensemble Design* 

267 The impact of uncertainty tied to the spatial variability of meteorological 268 forcing on the simulated snowpack's physics and microwave behavior is 269 investigated using ensemble forecasts (EF). Two ensemble families were designed. 270 Members of the first ensemble family (EFF1, 3 km resolution) are obtained by replacing ECMWF forcing with HRRR "perturbations" neglecting spatial 271 272 resolution differences between ECMWF and HRRR. EFF1 consists of 6 different 273 ensembles (0-5) each corresponding to different combinations of ECMWF and 274 HRRR forcing as summarized in Table 1 for a total of 2,322 simulations (9 ECMWF 275 grids  $\times$  43 HRRR perturbations = 387 members for each of the 6 EFF1 ensembles). 276 Members of the second ensemble family (EFF2, 750 m resolution) are obtained by 277 replacing HRRR precipitation analysis at the native 3km resolution with 16 278 precipitation replicates obtained by fractal downscaling of the HRRR precipitation 279 fields [42-45]. For reference, the cumulative snowfall and rainfall curves and the 280 concurrent time-series of near-surface air temperature (2 m) from the HRRR 281 analysis are provided in Figure S5. EFF2 consists of 9 ensembles for each of the 282 HRRR grids nearest to the centers of nine ECMWF reference grids with 16 283 members for a total of 144 simulations. Figure S6 illustrates graphically the 284 downscaling strategy. Note that the fractally downscaled precipitation at 750 m 285 resolution is itself the ensemble mean of fifty rainfall fields generated recursively 286 [43,45]. Whereas it is possible to downscale the precipitation to higher spatial 287 resolution, recent work [9] suggests that there is robust interpretable scaling 288 behavior in SAR measurements at C- and L-bands at spatial scales in the 400-1,000 289 m range.

Table 1. Summary description of Ensemble Forecast Family 1 (EFF1) design. Grand Mesa ensembles
 are produced using 9 ECMWF and 43 HRRR distinct forcing time-series. Data are available at
 https://barros-group.cee.duke.edu/data-access.

Ensemble	0	1	2	3	4	5
Air temperature (K)	ECMWF	HRRR	HRRR	HRRR	HRRR	HRRR
Snowfall rate (kg/m2/s)	ECMWF	ECMWF	HRRR	HRRR	HRRR	HRRR
Rainfall rate (kg/m2/s)	ECMWF	ECMWF	HRRR	HRRR	HRRR	HRRR

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Air pressure (Pa)	HRRR	HRRR	HRRR	HRRR	HRRR	HRRR
Incoming shortwave radiation (W/m2)	ECMWF	ECMWF	ECMWF	ECMWF	ECMWF	HRRR
Incoming longwave radiation (W/m2)	ECMWF	ECMWF	ECMWF	ECMWF	ECMWF	HRRR
Albedo	NLDAS	NLDAS	NLDAS	NLDAS	NLDAS	NLDAS
Windspeed (m/s)	ECMWF	ECMWF	ECMWF	HRRR	HRRR	HRRR
Specific humidity (kg/kg)	ECMWF	ECMWF	ECMWF	ECMWF	HRRR	HRRR
Ensemble Size	387	387	387	387	387	43

8 of 38

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294 The EFF1 ensemble spread is tied to the forcing uncertainty at 3 km resolution 295 over the relatively large Grand Mesa domain (Figure 1), whereas ensemble 296 differences among each of the nine ECMWF reference grids reflect differences in 297 land-cover and land-form that impact surface roughness, and thus friction velocity 298 and surface winds. The EFF2 ensemble spread stems from increased spatial 299 variability of precipitation from 3 km (HRRR pixel) to 750 m (downscaled 300 precipitation), and aims to capture the uncertainty tied to the stochastic 301 redistribution of precipitation at HRRR sub-grid scales.

## 302 4. Results and Discussion

303 To characterize uncertainty in snowpack microwave behavior (backscattering 304 coefficient and brightness temperatures) tied to snowpack states conditional on 305 regional weather, we view the coupled snow hydrology-radiative transfer model 306 as an instrument simulator. A key challenge therefore is that the snow hydrology model must represent the governing physical processes and capture the 307 308 fundamental drivers of radiative properties changes in the snowpack. Thus, we 309 start by evaluating the model's capability to capture snow hydrologic processes 310 and quantifying the range of uncertainty in snowpack hydrologic properties 311 associated with meteorological forcing in Section 4.1. The active and passive 312 microwave behavior of the snowpack is examined in Section 4.2. Analysis of model 313 results and discussion is centered on ECMWF grid cells S2, SD3, and S5 (Figure 1) 314 to capture the evolution of the snowpack in the western, central, and eastern sub-315 regions of Grand Mesa, respectively. Each of these three grids is collocated with 316 HRRR grids and contains several snowpit observations obtained during SnowEx'17 (snowpit geo-coordinates and acquisition dates and times are provided 317 318 in Tables S2-S4).

## 319 4.1 Snowpack Hydrology

320 SWE and Snow Depth – SWE and snow depth predictions begin on 9/1/2016321 and last till 6/30/2017 for each EFF1 ensemble to capture the full seasonal 322 evolution of the snowpack in Grand Mesa as shown in Figure 4. The severe 323 snowfall underestimation in the ECMWF forcing is apparent in the Ensemble 0 and 324 Ensemble 1 results amounting respectively to 100% and 300% difference with 325 regard to Ensemble 2 and Ensemble 5 SWE and snow depth. The contrast in spread of the yellow (25<sup>th</sup> and 75<sup>th</sup> percentiles) and green (max and min) envelopes 326 327 between Ensembles 0 and 1 demonstrates the impact of the space-time variability of near-surface air temperature in driving heterogeneity among ensemble 328 329 members. Solid and liquid precipitation are not specifically differentiated, and 330 standard approaches, such as using near-surface air temperature below a certain 331 threshold (e.g., 273.15 K) to identify snowfall, do not work well because the 332 ECMWF near-surface air temperature exhibits strong warm bias as compared to 333 observations and to HRRR values as well. Indeed, excessive early warming leads 334 to the dramatic melting and snowpack retirement almost two weeks earlier in 335 Ensemble 1. Dramatic improvement is achieved when the HRRR precipitation 336 forcing is introduced in Ensemble 2. There are no significant differences in snow 337 mass accumulation and melt patterns among Ensembles 3 and 4 (not shown) and Ensemble 5, indicating that precipitation and air temperature, and in particular 338 snowfall, are the key local meteorological forcing necessary to capture the seasonal 339 snowpack over Grand Mesa as long as radiative forcing and winds are 340 representative of regional conditions. This result is expected and consistent with 341 [27] who found that sensitivity of a snow hydrology model to forcing was 342 343 dominated by precipitation bias errors.



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Figure 4. Time series of ensemble SWE predictions from 9/1/2016 through 6/30/2017 (left panels)
and snow depth (right panels) for Ensemble 0, 1, 2 and 5 (Table 1) from top to bottom respectively.
The blue dotted line is the ensemble mean. The green envelope identifies the ensemble range, and
the yellow envelope delimits the 25<sup>th</sup> and 75<sup>th</sup> percentiles.

Figure 5 compares SnowEx'17 snowpit measurements at S2, SD3 and S5 and MSHM Ensemble 5 evolution of SWE (left panels) and snow depth (right panels). For reference, the cumulative predicted snow mass (SWE) in February 2017 at the ECMWF grid cell scale ( $\sim 5 \times 5 \text{ km}^2$ ) does not reach the highest measured value 353 across the Grand Mesa, which can be attributed in part to HRRR underestimation 354 of precipitation generally, and snowfall in particular, uncertainty in the snowpit 355 measurements themselves, as well as uncertainty due to the scale gap between 356 point measurements (the individual snowpits) and Ensemble 5 members that 357 represent areal averages at the HRRR grid cell scale  $(3 \times 3 \text{ km}^2)$ . One additional source of snow depth uncertainty is the empirical parameterization of snowpack 358 359 compaction in the model (Appendix A, Section A.1). Specifically, the terms 360 corresponding to overburden effects exhibit high sensitivity to snow accumulation, 361 and therefore propagate nonlinearly the uncertainty in snowfall to snow depth.

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Figure 5. Time series of Ensemble 5 SWE (left column) and snow depth (right column) predictions
on February 17 at S2 (top row), SD3 (middle row) and S5 (bottom row). The blue dotted line is the
Ensemble 5 mean. The green envelope identifies the minimum and the maximum values among all
ensemble members, and the yellow envelope delimits the 25<sup>th</sup> and 75<sup>th</sup> percentiles. The symbols
represent the SnowEx'17 snowpit observations following the convention in Table S2.

369 The model results during SnowEx'17 were organized further in two sets for evaluation against the snowpit measurements according to spatial scale: a) local -370 371 Ensemble 5 predictions for the member that is nearest to the center of each reference ECMWF grid (Table S1), and b) global – Ensemble 5 mean across the 372 Grand Mesa. Figure 6 shows the local (top row) and global (bottom row) model 373 374 bias during February 2017. Inspection of the local bias (local Ensemble 5 member – 375 snowpit average within the corresponding ECMWF grid cell) shows that SWE 376 estimates are within  $\pm 10\%$  of the snowpit measurements except at S1 and S5. This 377 indicates that the HRRR snowfall estimates and the model handling of the

snowpack mass and energy budgets are well simulated without the need for calibration or special processing of the data. The large accumulation biases at S1 (positive bias, surplus) and S5 (negative bias, deficit) are attributed to uncertainty in wind effects. Sources of uncertainty in near-surface wind magnitudes include the fact that HRRR near-surface winds are used directly in the simulations without downscaling which introduces large biases in the surface friction velocity everywhere [46], lack of topographic corrections to account for the steep slopes at S1 in the western edge of the Mesa, and corrections to account for structured roughness due to the presence of forest at S5. Additionally, as mentioned earlier,

the MSHM implementation used here does not simulate wind-redistribution. This explanation is further supported by the temporal variability of the global bias (Ensemble 5 mean – snowpit average within the reference ECMWF grid cell) as well as the west-east differences with larger negative bias to the east (e.g., SD2, SD3 and S5) on the more forested areas of the Grand Mesa as snow accumulates in the second half of February (see Figure 2) and larger positive bias to the west (e.g., S1).



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Figure 6. Evaluation of model bias at reference ECMWF grid points (Figure 1) during SnowEx'17
(Table S1). Top – Local bias calculated as the difference between the Ensemble 5 Member
corresponding to the nearest HRRR grid and the average of SnowEx'17 snowpit measurements
within each ECMWF reference grid point for the entire month of February. Bottom – Temporal
evolution of global bias calculated as the difference between the Grand Mesa Ensemble 5 mean and
the average snowpit measurements on the same day within each ECMWF reference grid point.

401 *Snow Stratigraphy* – The temporal evolution of snow density and temperature 402 profiles at S2 corresponding to Member 8 of Ensembles 1, 2 and 5 are shown in 403 Figure 7. The average of co-located snowpit profiles is superimposed on simulated 404 profiles for the available dates. As expected Ensemble 5 members are the most realistic in that the simulated snow depths are close to the measurements. There is 405 however no significant difference in the temperature gradients in the upper half of 406 the simulated snowpack among members of Ensembles 2 and 5, demonstrating the 407 408 governing role of the near-surface air temperature in the turbulent heat exchange 409 at the air-snow interface. The differences between model and snowpit average 410 density are generally less than 50 kg/m<sup>3</sup> at the same depth, which is adequate for 411 coupled forward modeling of snow hydrology and radiative transfer using 412 MEMLS [20-22,34].



413

414 Figure 7. Evaluation of predicted snow density and temperature profiles at S2 by Member 8 (HRRR 415 grid point closest to the center of the S2 ECMWF grid point, Figure S1a) for Ensembles 1, 2 and 5 in 416 the top, middle and bottom rows respectively. The circles represent the average of snowpit density 417 (left column, color scale on the right) and temperature (right column, color scale on the right) 418 measurements as a function of depth (on the left-hand side y-axis) and date (x-axis). The measured 419 values are plotted at the depth of measurement in the SnowEx'17 records. The model values are 420 plotted at the mid-point of each layer. Time-series of simulated snowpack stratigraphy (number of 421 layers and layer thickness) are provided in Supplementary information (Figure S7a).

422 Temperature and density profiles at SD3 (Member 13) and S5 (Member 29) for Ensemble 5 only are shown in Figure 8. Ensemble 5 model predictions capture well 423 424 the isothermal behavior of the snowpack at the three sites (bottom row in Figure 7 for S2, and Figure 8 for SD3 and S5) as well as the signature of major snowfall and 425 cold weather events. The temporal evolutions of the number of snowpack layers 426 and top layer depth simulated for the three reference grids are shown in Figure S7. 427 428 SnowEx'17 measurements of top-layer snow temperatures are generally close to the freezing point (273.15 K) and nearly isothermal throughout the snowpack 429

430 except during two cold periods on February 15 and February 25. Daytime 431 temperatures well below the freezing level in the top 20-50 cm for these two events 432 that are well captured by the model consistent with the local surface energy 433 budget. For instance, Figure S8 shows the strong reduction in daytime snowpack 434 sensible heat flux at S2 in the February 22-25 period. In addition, note the short-435 lived periods of steep decreases in upper snowpack temperature tied to transient decreases in cloudiness that translate into approximately halving of incoming 436 437 longwave radiation in the February 22-25 period (e.g., Figure S9). The contribution 438 of incoming longwave radiation to the surface energy budget is critical [47], and the reduction of incoming longwave radiation (~ 150  $W/\tilde{m}^2)$  on clear cold days 439 440 impacts snowpack temperatures at intermediate depths corresponding to the top 441 50 cm at S2 and as much ~100 cm for the deeper snowpack at SD3 and S5 (Figure 8) 442 due to faster cooling in less dense snow.



443

Figure 8. Evaluation of predicted snow density (top row) and temperature (bottom row) profiles
against measured snowpit profiles (circles). Left column: Ensemble 5 Member 13 (HRRR grid point
closest to the center of the SD3 ECMWF grid, Figure S1a). Right column: Ensemble 5 Member 29
(HRRR grid point closest to the center of the S5 ECMWF grid, Figure S1a). The measured values are
plotted at the depth of measurement in the SnowEx'17 records. The model values are plotted at the
mid-point of each layer. Time-series of simulated snowpack stratigraphy (number of layers and
layer thickness) are provided in Supplementary Information (Figures S7b and S7c).

451 Figure 9 illustrates the behavior of Ensemble 5 against measurements from 452 each of four snowpits within S2 on February 25. A caveat of daytime snowpit 453 profile measurements is that they are disturbed at least in part due to excavation 454 and the snowpack facies is directly exposed to the environmental air, which can 455 explain at least in part the warmer temperatures in the deeper layers. The goal here 456 is to compare the ensemble spread with the snowpit measurements' spread within S2, where the ensemble spread captures snowpack heterogeneity tied to the space-457 458 time variability of meteorological forcing within the Grand Mesa at the small 459 mesoscales of the HRRR analysis  $(3 \times 3 \text{ km}^2)$ , and the snowpit measurements 460 represent subgrid-scale variability. Simulated snow density profiles replicate 461 closely the measurements except at the bottom of the snowpack where the measured density profiles display an "inversion" with lower densities 5-20 cm 462 463 above the snow-soil interface (e.g., top right in Figure 9). This behavior suggests 464 depth hoar formation at the snow-soil interface that is not explicitly represented in 465 the model.

466 Overall, the MSHM driven by HRRR atmospheric forcing and constrained by 467 the mass and energy balance exhibits behavior consistent with SnowEx'17 snowpit observations across the Grand Mesa. Figure 10 shows the continuous simulation of 468 snowpack temperature, density and snow correlation length profiles for the 2016-469 2017 hydrologic year including accumulation and melt regimes. The decreases in 470 471 density and cold temperatures at the top of the snowpack during and after snowfall are depicted well by the model, including the densification of the 472 473 uppermost layers, the nearly isothermal profiles during warm periods that result from surface melt retained in the top layer or can percolate and freeze as shown in 474 475 Figure 7. Note the long duration of the snow-on season in 2017 that lasts till the end of June consistent with Landsat and Sentinel-1 satellite observations [9]. 476





478

479 Figure 9. Evaluation of Ensemble 5 predictions of snow temperature (right) and snow density (left)
480 against snowpit measurements within S2 on February 25. The continuous lines represent Ensemble
481 5 mean values at three distinct times close to the reported time of snowpit measurements. The green
482 envelope represents the min-max range of ensemble predictions at each depth. Each row is

483 associated with one of four distinct snowpits identified by distinct markers according to the484 convention in Table S2.

485 A side-by-side survey of Figure S5 (meteorological forcing: precipitation and 486 air temperature), Figure 4 (bottom row, snowpack SWE and snow depth), and 487 Figure 10 (snowpack stratigraphy) shows modest uncertainty in SWE ( $\sim \pm 15\%$ ) and 488 snow depth (~  $\pm 10\%$ ) during the accumulation regime tied to snowfall uncertainty 489 that is maximum in the winter. At the Grand Mesa scale, uncertainty peaks in the 490 melting season with values up to 50% attributed to weather, and in particular air 491 temperature. Interestingly, uncertainty in snow density as a function of snow 492 depth (mid panel in Figure 10) is significantly larger in the fall season and through 493 the warming period in the first half of February (top panel in Figure 10). It 494 collapses as the snowpack ages and becomes deeper during the accumulation 495 season, and large variations in density with fresh snowfall are limited to the top 50 496 cm. The layering in the vertical structure of snow correlation length reveals the 497 stratigraphy of snow microphysics (bottom panel in Figure 10) to be more complex 498 than suggested by the apparent simplicity of the physical parameterization used in 499 the model that expresses a linear dependence of snow correlation length with 500 temperature [36,48]. This complex stratigraphy is the outcome of the temporal 501 integration of nonlinear interactions among snow aging processes, the diurnal 502 cycle of radiative forcing and weather systems that affect the snowpack 503 temperature, and the occasional fresh snowfall and rain-on-snow events. 504 Snowpack microphysical and thermodynamic stratigraphy along with snow mass 505 and surface roughness govern the effective radiative properties of the snowpack, 506 and its emissions and scattering behavior to be discussed next.

## 507 4.2 Snowpack Microwave Emissions and Scattering Behavior

508 The snowpack ensembles generated by the snow hydrology model were used 509 generate dual-polarization backscatter coefficients ( $\sigma$ ) and brightness to 510 temperatures (Tb) at L- (1.3 GHz), C- (5.6 GHZ) and Ku– (13.5 GHz) and Ka- (37.5 511 GHz) bands using MEMLS with parameters summarized in Table 2 for both EFF1 512 and EFF2 ensembles. The objective is to examine the propagation of spatial 513 uncertainty associated with meteorological forcing at the Grand Mesa scale in the 514 microwave domain, and to estimate uncertainty due to sub-grid scale variability of 515 precipitation, respectively. Only a selection of results that synthesizes the main findings is shown here. We quantify sensitivity in terms of ensemble standard 516 517 deviation and range.

518	Table 2. Parameters set for active and passive microwave remote sensing of snow in MEMLS. The
519	type of scattering coefficient refers to specific parameterization of the 6-flux scattering coefficients,
E00	

520 and 11 was proved to be the best [35].

Frequency (GHz)	1.3, 5.6, 13.5, and 37.5 GHz
Incidence angle (°)	50
Snow-ground reflectivity, h-pol	0
Snow-ground reflectivity, v-pol	0
Specular part of snow-ground reflectivity, h-pol	0
Specular part of snow-ground reflectivity, v-pol	0
Sky brightness temperature (K)	0
Type of scattering coefficient	11
Mean slope of snow surface undulations	0.1
Cross polarization fraction	0.2
Snow salinity (parts per thousand)	0

521 Sensitivity to Meteorological Forcing – The propagation of uncertainty from the 522 snow hydrology to the microwave radiative transfer model is examined first by 523 contrasting C-band (5.6 GHz) active  $\sigma$ -HH and passive Tb-H and active Ka-band 524 (37.5 GHz) for EFF1 Ensembles 2 and 5 that differ in atmospheric forcing with 525 regard to boundary-layer conditions (wind speed and specific humidity) and 526 incoming radiation (Table 1). Recall that there is a small net change in SWE (< 5527 cm) during the month of February in Grand Mesa, and the variability on each date 528 reflects mostly the concurrent spatial variability of snowpack radiative properties. 529 The results (Figure 11) for Ensemble 2 exhibit much less variability than the results 530 for Ensemble 5, which can be attributed to the latter's improved spatial variability 531 of the boundary-layer (including winds and specific humidity, and cloudiness) that 532 modulates the surface energy budget the independently of frequency across the 533 Grand Mesa. Backscatter and brightness temperatures exhibit highly nonlinear 534 sensitivity as measured by the standard deviation, respectively  $\pm 10$  dB and  $\pm 2$  K, 535 and that this sensitivity is more significant in the case of active microwave at the longer wavelength (i.e., 5.6 GHz), albeit the range is nearly twice as large. Note the 536 537 collapse of the violin diagrams and thus uncertainty during the cold snap in late February as well as the higher  $\sigma$  with larger uncertainty for Ka- compared to C-538 539 band. For the remainder of this discussion, the discussion will focus on EFF1 540 Ensemble 5 results.



Figure 10. Ensemble 5 predictions of seasonal evolution of snowpack vertical profiles contoured by
temperature (top panel), density (mid panel) and snow correlation length (bottom panel) from
9/1/2016 through 6/30/2017.





Figure 11. Spatial variability of brightness temperatures (Tb, top panels) HH-pol and backscattering
coefficients for C- (5.3 GHz, mid-panels) and Ka- (37.5 GHz, bottom panels) bands at 18:00 UTC (1
PM MST) across the Grand Mesa from Ensemble 2 (left column) and Ensemble 5 (right column)
during February 2017. For each violin diagram, the white dot represents the median; the horizontal
black dashed line is the mean; the vertical black bar demarks the 25<sup>th</sup> and 75<sup>th</sup> percentiles; the upper
and lower bounds represent the maximum and minimum values respectively; and finally, the
colored contour is the density distribution.

553 Figure 12 shows the temporal evolution of backscattering coefficient  $\sigma$  HH-554 and  $\sigma$  VH-pol at 5.6 GHz and 1.3 GHz contoured by Ensemble 5 SWE during 555 February 2017. The ensemble mean highlights the diurnal cycle of backscattering sensitivity that peaks in the afternoon when the spatial variability of HRRR 556 radiative forcing and planetary boundary layer conditions is the highest, and when 557 558 daytime warming of the snowpack peaks causing surficial melt for some ensemble 559 members. Note the much higher sensitivity overall at 5.6 GHz including for high 560 SWE ensemble members in the afternoon. The compound effect of standard 561 deviation of snow depth and snowpack condition explains the large range (up to 562 20 dB) among ensemble members (e.g., 2/12 - 2/17, 2/19-2/22 and 2/23). The decrease in uncertainty on 2/11, 2/17 - 2/18, and in the evening of 2/22 is 563 564 associated with the addition of fresh snow to the snowpack across the Mesa as shown in Figure S10. Rain-on-snow for some of the ensemble members on 2/11565 566 and 2/17-2/18 and very warm air temperatures that cause surficial melting in the 567 case of other ensemble members enhance nighttime sensitivity at 5.6 GHz due to the increase in liquid water content in the top layers of the snowpack (e.g., Figure 568 569 13). Further, nighttime temperatures above the freezing point for some HRRR grids 570 explain the differences in nocturnal sensitivity of the snowpack between 5.6 GHz and 1.3 GHz in the evening of 2/10 and early morning of 2/11. 571



572

Figure 12. Temporal evolution of ensemble backscattering coefficient σ-HH and σ-HV at 5.6 GHz
(top panel) and 1.3 GHz (bottom panel) contoured by Ensemble 5 SWE. The black line is the
Ensemble 5 mean. Note the diurnal cycle of sensitivity of the ensemble mean that is dominant at 5.6
GHz including for high SWE ensemble members.





582 Figure 14 shows how melting during the second week of February reduces 583 snowpack depth and SWE (Figure 12) and exposes deeper layers with longer snow 584 correlation length (red box). The signature of long correlation lengths in the top 585 snowpack layer exposed by melt in Figure 14 remains till the end of the month 586 although it becomes narrower over time as new snowfall resets the top layer snow 587 correlation length to much lower values. Nocturnal sensitivity (Figure 12 and 588 Figure 14) collapses during the severe cooling event with intermittent snowfall at 589 the end of the month (after 2/23). Daytime sensitivity peaks in the afternoon for 590 some ensemble members, and at 5.6 GHz in particular as the weather begins to 591 warm up on 2/26. The contrast between scattering behavior at 7 AM MST (similar 592 for 7 PM LST) and 2 PM MST is illustrated in Figure S11 for the month of January 593 and February in 2017. At 7 AM MST, Ensemble 5 variance that captures the spatial 594 variability attributed to meteorological forcing across the Grand Mesa at 3 × 3 km<sup>2</sup> 595 remains at or below 3 dB for both 1.3 GHz and 5.6 GHz with very little difference 596 between the two except on January 9 (bottom left), when there is a very large snowstorm and a significant increase in snow depth. At 2 PM MST, the standard 597 598 deviation does not significantly change at 1.3 GHz, but it increases up to 8 dB at 5.6 599 GHz tied to surface melt. Indeed, daytime snowmelt and subsequent nighttime 600 refreeze previously identified by [9] in Grand Mesa using Sentinel 1, C-band (5.6 GHz) SAR measurements is the most important source of variability in the 601 602 accumulation regime.





Figure 14. Temporal evolution of Ensemble 5 backscattering coefficients o-HH at 5.6 GHz (top panel) and 1.3 GHz (bottom panel) contoured by correlation length of the snowpack top layer. The black line is the Ensemble 5 mean. The red box marks the overnight period from 2/10/2017 to 2/11/2017 followed by daytime hours. UTC= Mountain Standard Time +7.

608 Interestingly, the amplitude of the impact of surface melting in daytime 609 backscattering is the same across scales. For the case of EFF2 when the downscaled precipitation fields are used at  $750 \times 750 \text{ m}^2$ , there is little sensitivity to the spatial 610 611 variability of precipitation at 1.3 GHz (not shown), whereas the spatial variability 612 can be as high as 10 dB for 5.6 GHz even when snow depth and SWE differences 613 are small at S2 due to daytime surficial snowpack melting in the second and third weeks of February (Figure S12). Due to cold temperatures, larger spatial variability 614 615 of snow depth in the end of February does not propagate into the backscattering 616 behavior of the snowpack. Figure 15 contrasts the interplay between snow and 617 rainfall and air temperature at mid-day for the 3-day period 2/20-2/13 when 618 significant spatial variability in mid-day liquid water content in the top layer of the 619 snowpack enhances the spatial variability of backscattering at 5.6 GHz. Whereas 620 there is high sensitivity (~10 K) for passive microwave as illustrated in Figure 16 for the same three-day period, there is high ambiguity in the relationship between 621 622 SWE and Tb, or snow depth and Tb, as a function of time-of-day (e.g., 1.3 GHz)

- 624 for snow remote sensing to make either active or passive measurements either
- 625 early in the morning or late in the afternoon.



627Figure 15. Diurnal cycle of 5.6 GHz σ-HH contoured by SWE (top panel), and temperature (mid-628panel) and liquid water content (LWC, bottom panel) of the top layer of the snowpack at S2 over the629period 2/10-2/13 for EFF2 (table 3). The ~ 10dB range on 2/12 results from compounding nonlinear630effects of modest sub-grid-scale variability of snow depth (Fig. S12) and mid-day temperatures631above the freezing level that cause melting at the snowpack surface. UTC=Mountain Standard Time632+7.





635 Figure 16. Diurnal cycle of brightness temperature Tb-H at 1.3 GHz (bottom row) and 5.6 GHz (top 636 row) for all Ensemble 5 members thus capturing the spatial variability of the snowpack across the 637 Grand Mesa during the warm weather period 2/10-2/23 from left to right. Note snowpack 638 disappearance for some ensemble members (SWE = 0) on 2/11 (e.g., Figure 13) and 2/12 due to melt. 639 The high ambiguity (spatial variance) introduced by the local meteorology is apparent with multiple 640 SWE values for each Tb at 5.3 GHz, in particular for intermediate and deep snow and during 641 daytime. The green arrow indicates the direction of Tb increase during nighttime cooling and 642 refreezing the snowpack; the dark blue arrow indicates the direction of Tb decrease during daytime 643 warming with substantial surficial melting.

644 Figure 17 shows the simulated temporal evolution of Ensemble 5 mean  $\sigma$ -HH 645 at 1.3 GHz at 7 AM MST from 1/1 through 2/28 in 2017 in terms of total snow 646 accumulation and day-to-day changes. The corresponding results at 5.6 GHz are 647 shown in Figure S13. The snowpack deepens in January due to cold weather and 648 several snowstorms in January corresponding to an increase in SWE as a function 649 of  $\sigma$ -HH ( $\Delta$ SWE/ $\Delta\sigma$ ) of 5-6 cm/dB at the monthly time-scale. The erratic weather 650 in February, results in much lower snow accumulation with rapidly changing 651 backscatter in the first week of the month mainly attributed to microphysical 652 changes in the top layer of the snowpack, followed by steady accumulation at a 653 rate of ~2 cm/dB later in the month. This behavior demonstrates the time-654 integrated sensitivity of backscatter to regional weather during the accumulation 655 phase of the seasonal snowpack. It implies that the specific history of weather and 656 snowpack interactions determines the backscattering behavior, and it hints 657 therefore at the necessity of physically-based retrieval via for example data-658 assimilation for applications over large areas. Yurchak [49] relates ( $\Delta SWE/\Delta\sigma$ ) to 659 the variance of the Fresnel coefficient in a layered snow medium, which in turn is 660 proportional to the density variance in the snow medium. This approach can be 661 used to explain the spatial variability of accumulation rates (2-10 cm/dB) from 662 scatterometer data matching different types of snow in Greenland [26]. Using a 1-663 layer snow model and one-parameter at a time sensitivity analysis, Oveigharan et 664 al. [50] showed that the backscattered power in dual polarization dual frequency 665 retrievals at C- and Ku-bands is more sensitive to snow density and grain radius 666 rather than to snow depth. This raises the question of the possibility to retrieve 667 information on snowpack stratigraphy. Furthermore, the time-matched history of 668 consecutive changes in SWE ( $\Delta$ SWE) and changes in backscatter ( $\Delta \sigma$ -HH) in Figure 669 17 and Figure S13 suggests that it is possible to use changes in backscatter to detect individual snowstorm activity and associated snowfall above a minimum 670 671 thresholds of  $\Delta \sigma$  (~0.3 dB/day).





673Figure 17. Snowpack monitoring in Grand Mesa at 1.3 GHz HH-pol during the accumulation674season, January-February 2017. Top — Heuristic linear relationship between snowpack675accumulation and backscatter ( $\mathbb{R}^2 > 0.95$ ) that reflects the integration of variable weather conditions676in January and February in the simulated snowpack. Bottom — SWE and backscatter increments,677respectively ΔSWE and Δσ-HH, are calculated as the difference between today's and yesterday's678values at 7AM MST. MST- Mountain Standard Time = UTC-7.

The full evolution of the Ensemble 5 mean of simulated backscatter and
brightness temperatures of the seasonal snowpack across Grand Mesa is shown
respectively in Figure 18a and 18b from 9/1/2016 through 6/30/2017 for different



frequencies and time-of-day. Results for different polarizations at 7 AM MST, and
even at mid-day albeit complicated by mid-day melting exhibit similar behavior
(Figure S14).

685

Figure 18. a) Seasonal evolution of Ensemble 5 mean backscatter at 7PM MST across the Grand
Mesa throughout the snow season from 9/1/2016 through 6/30/2017. Overall behavior is similar
for all polarizations. b) Seasonal evolution of Ensemble 5 mean brightness temperatures at 7PM

- MST across the Grand Mesa throughout the snow season from 9/1/2016 through 6/30/2017.
- 690 Overall behavior is similar for all polarizations. MST- Mountain Standard Time = UTC-7.

691 The temporal evolution of backscatter data show hysteresis between the 692 accumulation and the melt phase is synthesized by the conceptual diagram in 693 Figure 19a. Evidence of nonlinear behavior is apparent in the winter-spring 694 transition season with melt-refreeze cycles (April), and in the melt regime for deep 695 snow as the snowpack ripens (May) and for ripe shallow snowpack conditions late 696 in the season (June). Hysteretic backscatter offsets decrease with frequency 697 increases, and essentially collapse at Ku-band in the melt regime for which case sensitivity to small changes in wet shallow snowpacks introduce large uncertainty 698 699 (i.e., noise). Although the range of conditions simulated here is limited by model 700 simplicity and strict focus on uncertainty tied to meteorological forcing and not to 701 model structure or model parameters, the results suggest that there is potential to 702 take advantage of hysteresis at lower frequencies (e.g., L- and C-band in the melt 703 regime) and power at higher frequencies (e.g., Ku-band in the accumulation 704 regime) to improve the signal-to-noise ratio in SWE remote sensing.

705 The temporal evolution of microwave emissions maps a closed trajectory (e.g., 706 limit-cycle) in the (Tb, SWE) phase-space that shows very little sensitivity during 707 the accumulation phase when SWE > 10 cm followed by large nonlinear sensitivity 708 in the return phase with clear separation of the behavior of deep snow in early 709 winter-spring transition and shallow snow in late spring. Further, the limit-cycle at 710 13.5 GHz in Figure 18b (13.5 GHz) shows high sensitivity to melt-refreeze cycles at 711 7 PM in the winter-spring transition that are not apparent at 1.3 GHz. This reflects 712 the freezing of daily melt, in contrast with the limit-cycle at mid-day (Figure S15) 713 where the presence of liquid water in the top layer of the snowpack makes the 13.5 714 GHz brightness temperatures limit-cycle behave like the 1.3 GHz limit-cycle albeit 715 with wider hysteretic offset. This behavior is synthesized in the conceptual 716 diagram in Figure 19b. The transient cooling in early spring followed by warming 717 in late spring is well documented in [21] for brightness temperatures at higher 718 frequencies (up to 37 GHz), and the differences in the hysteretic offsets at different 719 frequencies provide a physical basis for early heuristic remote sensing algorithms 720 [15,16]. Because of dynamic nonlinear sensitivity and dramatic changes between 721 early and late melt regimes, and because of sensitivity to seasonal weather at local 722 and regional scales (e.g., Figure 17), finding unique relationships for retrieval is 723 challenging (i.e., calibration of model parameters). Nevertheless, coupling of snow 724 hydrology and microwave models provides a framework for physically-based 725 interpretation and disambiguation of microwave measurements of snowpack 726 properties toward a monitoring system that can be achieved by data-assimilation.



## 727

Figure 19. Conceptual synthesis of microwave hysteresis: a) Synthesis of seasonal snowpack
backscatter behavior as a function of SWE exhibiting hysteresis between accumulation and melt
phases at L- and C-bans (e.g. 1.3 and 5.6 GHz). Hysteresis is not present at Ku-band (e.g., 13.5 GHz)
and higher frequencies; b) Synthesis of seasonal brightness behavior as a function of SWE exhibiting
hysteresis between accumulation and melt phases at L- and C-bands.

### 733 5. Synthesis and Conclusion

734 Ensemble predictions of the seasonal snowpack over Grand Mesa, CO were 735 conducted for the hydrologic year 2016-2017 using a multilayer snow hydrology 736 model coupled to a microwave emission model. Atmospheric forcing ensembles 737 were designed based on atmospheric reanalysis at 3-5km spatial resolution and 738 exploratory simulations were conducted using fractal-downscaled precipitation between 3 km and 750 m. SWE and snow depth predictions driven by HRRR 739 740 atmospheric forcing (EFF1, Ensemble 5; Table 1) at 3 km resolution show good 741 agreement with snowpit observations. Despite neglecting topographic and land-742 cover variations across the Grand Mesa, the local bias SWE predictions are 743 generally less than 50 mm (< 10% actual SWE, Figure 10 top panel) except at S1 744 and VS, where steep slopes and strong winds are expected to play an important 745 role in snow redistribution. Although the effect of uncertainty in snowfall, air 746 temperature and wind redistribution is apparent in the assessment of global bias 747 (Figure 10 bottom panel), the modified Willmott Agreement Index (Appendix C) 748 [51] that measures the agreement between the spread of the observations and 749 model predictions is generally above 0.8, which is indicative of robust skill in space 750 and time. The model performance meets with advantage the desired requirements 751 for global observations from space by the Decadal Survey of Earth Sciences and 752 Applications from Space [46], that is 10% uncertainty in SWE 3-5 km resolution, 753 and thus it is suitable to examine the uncertainty propagation from meteorological 754 forcing to snowpack states to radiometric and scattering behavior with an eye 755 toward remote sensing of SWE. Ultimately, the goal is to be able to separate 756 uncertainty in snowpack measurements from space (backscattering coefficient and brightness temperatures) from retrieval ambiguity tied to spatial scale, snow 757 758 hydrology regime, and snowpack heterogeneity.

759 The signatures of the sub-seasonal variability of snow physics were 760 investigated through forward modeling akin of an exploratory observing system 761 simulation experiment (OSSE) in Grand Mesa. Specifically, the co-evolution of 762 radar backscattering coefficients and brightness temperatures at L- (1.3 GHz), C-763 (5.6 GHz), Ku- (13.5 GHz), and Ka- (37.5 GHz) bands was examined during snow 764 accumulation and melting phases toward assessing their interpretability and 765 sensitivity. At daily time-scales during the accumulation season the sensitivity to 766 atmospheric forcing (i.e., spatial variability of weather within the Grand Mesa) 767 remains below 3 dB for cold weather conditions (i.e., dry snow), and increases to 8-768 10 dB at mid-day when temperatures rise above freezing in the presence of 769 surficial melt. Sub-grid scale variability of precipitation at 750 m in this study had a 770 modest impact on snow depth, SWE and backscatter in the accumulation regime 771 for dry snow. However, microwave sensitivity increases by as much as 300% as 772 small differences in snowpack vertical structure are amplified when liquid water 773 content is present. Therefore, surficial melting is the process governing backscatter 774 sensitivity to sub-grid scale variability. At mid-day this sensitivity amounts to 3-10 775 dB of uncertainty measured by the standard deviation of the ensemble backscatter 776 in Grand mesa, but it can be constrained below 3 dB if measurements are made 777 early in the morning or late in the afternoon. Intermittent melt coupled with spatial 778 variability of snow accumulation explains the wide range of the ensemble (~20 dB).

779 At monthly time-scales during the accumulation season, robust linear heuristic 780 functional relationships between ensemble mean backscatter and SWE can be 781 found across the Grand Mesa (e.g., ~5-6 cm/dB in January; ~2-2.5 cm/dB in 782 February at L- and C-bands). The nonstationary of these functional relationships 783 reflects the cumulative impact of atmosphere-snowpack interactions over time, in 784 particular melt-refreeze cycles that modify the microphysical and thermodynamic 785 structure in the top 50 cm of the snowpack. This finding is consistent with 786 conclusions by [9] using C-band SAR measurements from Sentinel-1.

787 Analysis of full seasonal simulations reveals hysteresis in the mean backscatter 788 behavior between accumulation and melt phases with hysteretic offsets that 789 become noisier as frequency increases especially for shallower and ripe snowpacks 790 in late spring. The hysteretic behavior is captured as well by the temporal 791 evolution of microwave emissions, with brightness temperatures following very 792 distinct trajectories in the melt regime for deep and shallow snowpacks. These 793 physical ties between snow hydrology and microwave behavior provide a physics-794 based framework to increase the potential for remote sensing of SWE through 795 physical disambiguation of remotely-senses microwave behavior. For instance, a 796 multi-frequency approach to SWE measurement conditional on snow state could 797 consist of using Ku-band and brightness temperatures during the accumulation 798 regime, and C- and, or L-band in the melt regime. It also provides a framework for 799 active-passive sensing for instance by making use of passive sensing in the 800 accumulation regime to detect freeze-melt cycles (e.g., different between day-to-801 day changes in L- band backscatter and L-band brightness temperatures) and, or 802 using active C-band or L-band in the early spring, followed by passive in the late 803 spring.

804 Sub-grid scale effects of topography, land-cover and in particular forests, are 805 not explicitly addressed in this study, and a key question is whether compound 806 uncertainty remains below 3 dB at the Grand Mesa scale (~300 km<sup>2</sup>) and ~10 km<sup>2</sup> 807 resolution and, or alternatively their backscatter contribution can be isolated and 808 parameterized. In the melt regime, independently of spatial scale and sub-grid 809 scale variability, snow wetness alone introduces large ambiguities that can be 810 addressed by probing the other snowpack states resolved in the snow hydrology 811 model. Therefore, a coupled snow hydrology-radiative transfer approach should 812 be advantageous toward global systematic implementation of SWE retrieval.

Although more systematic evaluations are needed to inform snow remote sensing, 813 one important implication of these results is that calibrated empirical or semi-814 815 empirical retrieval algorithms are not transferable from one location to another, or 816 from one season to another. Note that only a limited number of frequencies and 817 polarizations is fully analyzed in this study, but the framework can be 818 implemented using other radiative transfer models and is suitable to systematically explore realistic remote-sensing architectures. One practical 819 820 advantage of active-passive combinations is the development of straightforward 821 error models for data-assimilation given the unambiguous relationships between 822 snowpack properties and the microwave signal.

823 Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Figure S1a. Numbering order convention for HRRR grids in Figure 1 (right panel) corresponding to the ID numbers in 824 Table S1. Figure S1b. Illustration of overlap of several HRRR Grids with one ECMWF grid including 825 hypothetical distribution of SNOWEx'17 snowpits within ECMWF grid. The diamonds are placed at the center 826 of each grid. Figure S2. Structure of 1-D MHSM workflow. The first snowpack layer forms when the following 827 828 two conditions are met: (1) The air temperature is below the freezing point (273.15 K); and (2) precipitation is present. In the melting season, liquid precipitation is added the snowpack's melting outflow directly. Figure 829 \$3. Geometry of the layered snowpack with an electromagnetic wave incident from above at an incidence 830

angle  $\theta_n$  [36]. Notation: layer thickness  $d_{i'}$  layer temperature  $T_{i'}$  transmissivity  $t_{i'}$  volume reflectivity  $r_{i'}$ 

832 emissivity  $e_{j'}$  and interface reflectivity  $s_{j'}$  refracted angle  $\theta_{j-1}$  for layer number j ranging from 1 (bottom) to n

833 (top), snow-ground reflectivity  $s_0$  and ground temperature  $T_0$ .  $[e_i + r_i + t_i = 1]$  as required by energy 834 conservation]. Figure S4. Schematic view of incoming and outgoing radiation between adjacent snow layers in 835 MEMLS [36]. Notation as in Figure S2. Figure S5. Illustration of recursive fractal precipitation downscaling 836 scheme from 3 km (HRRR resolution) to 750 m. At each stage 50 fields are generated, but only the ensemble mean is downscaled to the next stage. Finally, each of 16 ensemble means at 750 m resolution is used to generate a member of EFF2 for each ECMWF pixel. **Figure S6.** MHSM predicted time-varying snowpack stratigraphy in terms of number of layers and depth of top layer during February 2017 corresponding to 837 838 839 results presented in Figures 10 and 11 for EFF1 Ensemble 5: a) ensemble member 8 at S2; b) ensemble member 840 841 13 at SD3; and c) ensemble member 29 at S5. The time-series are plotted in UTC = LST + 7. Figure S7. MSHM 842 predicted time-series of temperature in the upper two layers of the snowpack (top row) and snowpack surface heat flux (bottom row) at S2 for EFF1 Ensemble 5 Member 8. Specified air temperature from the HRRR model 843 844 at grid 8 (Figure S1a) is provided for reference. The time-series are plotted in UTC = LST + 7. Figure S8. HRRR incoming longwave radiation flux during February 2027 at Grid 8 (Figure S1a). Time-series are plotted in UTC 845 = LST + 7. **Table S1.** Geographical correspondence between ECMWF and HRRR grids in Figure 1. **Table S2.** ECMWF reference grid S2: HRRR grid points (center of HRRR grid cell) and snowpit locations. Bold red letters 846 847 identify the HRRR grid ID (Figure S1) nearest to the ECMWF grid center point. Table S3. Same as Table S2, but 848 849 for ECMWF reference grid SD3. Table S4. Same as Table S2, but for ECMWF reference grid S5. Table S5. Same as Table S2, but for ECMWF reference grid Ex. Table S6. Same as Table S2, but for ECMWF reference grid S1. 850 Table S7. Same as Table S2, but for ECMWF reference grid S3. Table S8. Same as Table S2, but for ECMWF 851 reference grid S4. Table S9. Same as Table S2, but for ECMWF reference grid SD2. Table S10. Same as Table 852 S2, but for ECMWF reference grid VS. 853

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## 862 Appendix A: The Multilayer Snow Hydrology Model (MSHM)

The MSHM simulates snow hydrological processes in the snowpack column and quantifies energy and water fluxes at the land-atmosphere interface driven by meteorological forcing. The flowchart of model components and how they are connected is depicted in Supplementary Figure S1. The model formulation used in this manuscript is described here; additional implementation details and alternative parameterizations can be found in [20,21]. Each variable's unit is in ().

**869** *A.1 Compaction* 

When SWE changes due to snowmelt, sublimation, rain-on-snow events, and snowfall, the snow depth  $h_{snow}$  (m) is decreased by the compaction ratio *CR* (1/s) that is parameterized with metamorphism and overburden effects as follows: 873

874 
$$CR = \left| \frac{1}{h_{snow}} \frac{d\Delta h_{snow}}{dt} \right|_{metamorphism} + \left| \frac{1}{h_{snow}} \frac{d\Delta h_{snow}}{dt} \right|_{overburden}$$

$$(A1)$$

875

876 
$$\left| \frac{1}{h_{snow}} \frac{d\Delta h_{snow}}{dt} \right|_{metamorphism} = -2.778 \times 10^{-6} \times C \times e^{-0.04 \times [T_{snow} - T_i]}$$
(A2)

877

878 
$$\left|\frac{1}{h_{snow}}\frac{d\,\Delta h_{snow}}{dt}\right|_{overburden} = \frac{-P_{snow}}{\eta} \times e^{-0.08 \times [T_{snow} - T_i]} \times e^{-0.021 \times \rho_{snow}}$$
(A3)

879

880 where

881 
$$C = \begin{cases} 1 & \rho_{snow} \le 150 \, kg/m^3 \\ 2 \times e^{-0.046 \times (\rho_{snow} - 150)} & \rho_{snow} > 150 \, kg/m^3 \end{cases}$$
(A4)

882

883  $\eta$  is the viscosity coefficient at  $T_i(i_273.15K)$ ,  $P_{snow}$  is the pressure due to the 884 snowpack weight (N/m<sup>2</sup>),  $\rho_{snow}$  and  $T_{snow}$  are the snow density (kg/m<sup>3</sup>) and 885 temperature (K), respectively.

## **886** A.2 Snowpack Temperature

887 The heat energy transfer equation is

888

889 
$$c_{snow} \times \rho_w \times h_{swe} \times \frac{\partial T_{snow}}{\partial t} = K_{snow} \times \frac{\partial T_{snow}}{\partial z} + \phi$$
  
(A 5)

890

in which  $\rho_w(i1000 \text{ kg/m}^3)$  is the water density,  $c_{snow}$  is the specific heat capacity of the snowpack (J/kg/K), and heat conductivity of the snowpack  $K_{snow}$  (W/m/K) is calculated by

895 
$$K_{snow} = K_a + (7.75 \times 10^{-5} \times \rho_{gs} + 1.105 \times 10^{-5} \times \rho_{gs}^2) \times (K_i - K_a)$$
(A 6)

896

897 where  $K_i$  and  $K_a$  are, respectively, the heat conductivity of ice and air (W/m/K), 898  $\rho_{gs}$  is the snow density weighted by SWE  $h_{swe}$  (m) and liquid water content *LWC* 899 (m):

900

901 
$$\rho_{gs} = \rho_{snow} \times \frac{h_{swe} - LWC}{h_{swe}}$$
(A 7)

 $\phi = K_{ss} \times \frac{T_{soil} - T_{snow}}{h_{soil} + h_{snow}}$ 905

906

(A8)

(A11)

907  $K_{ss}$ ,  $T_{soil}$  and  $h_{soil}$  are the heat conductivity of interacted snow-soil system 908 (W/m/K), superficial soil temperature (K) and soil depth (m), respectively. For 909 the top layer:

910

911 
$$\phi = NSR + NLR + S + L$$
(A 9)

912

the net shortwave radiation flux NSR (W/m<sup>2</sup>) and the net longwave radiation flux 913 914 NLR (W/m<sup>2</sup>) equal to

915 
$$NSR = SW \times (1 - Alb)$$

- (A10)  $NLR = LW - \varepsilon \times \sigma \times T_{snow}^4$ 916

917

SW and LW are the downward shortwave and longwave radiation flux  $(W/m^2)$ , 918 919 Alb and  $\varepsilon$  are the surface albedo and emissivity, respectively, and  $\sigma$  is the Stefan-920 Boltzmann constant.

921 Then, the sensible heat flux S (W/m2) is estimated by the air specific heat capacity  $c_a$  (J/kg/K), air density  $\rho_a$  (kg/m3), air temperature  $T_a$  (K) and windspeed 922 923 U (m/s) like:

924

 $S = c_a \times \rho_a \times CDT \times U \times (T_a - T_{snow})$ (A12)

926

while the latent heat flux L (W/m<sup>2</sup>) is the product of air density, latent heat of 927 vaporization  $L_v$  (J/kg) and sublimation rate S (m/s): 928

- 929
- $L = \rho_a \times L_v \times S$ 930 A 13

931 and *S* is adapted by the Penman-Monteith equation: 022

932  
933 
$$S = \frac{\Delta \times (NSR + NLR) + \gamma \times L_{\nu} \times \rho_{a} \times CDT \times U \times (q_{a} - q_{snow}^{i})}{\rho_{w} \times L_{\nu} \times [\Delta + \gamma \times (1 + CDT)]}$$
(A 14)

934

935 where  $q_a$  is the specific humidity (kg/kg) of air and  $\Delta$  (Pa/K) that is the slope of saturation-vapor versus temperature curve at the air temperature can be 936 937 approximated as:

938

939 
$$\Delta = \frac{e_{snow}^c - e_a^c}{T_{snow} - T_a}$$
(A 15)

of which the saturated vapor pressure of snow  $e_{snow}^{i}$  and air  $e_{a}^{i}$  are expressed 941 942 similarly:

943  $e_{snow}^{i} = 611.2 \times \exp i$ 944

 $e_a^{i} = 611.2 \times \exp i$ 

945

946

The psychrometric constant  $\gamma$  (Pa/K) and the snowpack's saturated specific 947 humidity  $q_{snow}^{l}$  (kg/kg) are calculated by 948

949 
$$\gamma = \frac{c_a \times P_a}{0.622 \times L_v}$$
(A 18)

 $q_{snow}^{i} = 0.622 \times \frac{e_{snow}^{i}}{P_{a}}$ 950 (A19)

CDT

where  $P_a$  (Pa) is the air pressure. 951

952 A.3 Conductance Factor and Aerodynamic Drag Coefficient

953 The parameterization of the conductance factor *CDT* in Equation (A11) and 954 (A13) is like:

955

$$=\frac{1}{R_{snow}+\frac{1}{A}}$$
(A 20)

1

956

957 of which the snow surface resistance  $R_{snow}$  is defined as a piecewise function of SW: 958

959 
$$R_{snow} = \begin{cases} 100 & SW \ge 50 W/m^{2} \\ 1000 & SW < 50 W/m^{2} \\ (A21) \end{cases}$$

960

961 and aerodynamic drag coefficient A is from Louis, 1979:

962

963 
$$A = \frac{0.16}{\left(\ln \frac{z}{z_r}\right)^2} \times \left(1 - \frac{b \times R_i}{1 + c \times \sqrt{R_i}}\right)$$

964 here z (m) is the height at which the windspeed U is and  $z_r$  (m) is the roughness 965 height of snow; *b* and *c* are coefficients depending on stability conditions: *b*=1 and 966 c = 4.7 when the boundary layer is stable ( $R_i > 0.25$ ); whereas b = 9.4 and

967

$$c = 5.3 \times \frac{0.16}{\left(\ln \frac{z}{z_r}\right)^2} \times b \times \sqrt{\frac{z}{z_r}}$$
(A23)

969

968

970 for unstable boundary layer ( $R_i \leq 0.25$ ). 971 Besides, the Richardson number  $R_i$  is given by  $R_{i} = \frac{9.81 \times z \times (\theta_{a} - \theta_{snow})}{1 \times z^{2} - \theta_{a} + \theta_{snow}}$ 

972

$$U^2 \times \frac{\theta_a + \theta_s}{2}$$

of which  $\theta_a$  and  $\theta_{snow}$  are the potential temperature (K) of air and top layer snow, 973

which are calculated as follows  $(1.02 \times 10^5 \text{ Pa is the standard atmospheric pressure})$ : 974 975

 $\theta_a = T_a \left( \frac{1.02 \times 10^5}{P_a} \right)^{0.286}$ 976 (A 25)  $\theta_{snow} = T_{snow} \left( \frac{1.02 \times 10^5}{P_a} \right)^{0.286}$ 

977

978 A.4 Melt

979 Snowpack melting is triggered when the energy need to melt snowpack  $(Q_{melt})$ 980 exceeds 0, and  $Q_{melt}$  (J/m<sup>2</sup>) is the sum of the net heat flux *NHFL* and the snowpack 981 "cold content"  $Q_{cc}$ :

982

983

984

 $Q_{melt} = NHFL + Q_{cc}$ (A27)

985 where *NHFL* (J/m<sup>2</sup>) is the product of the MSHM's time step size  $\Delta t$  (s) and forcing 986 terms on the right hand side of Equation (A4): 987

988 
$$NHFL = \Delta t \times \left( K_{snow} \times \frac{\partial T_{snow}}{\partial z} + \phi \right)$$
(A28)

989

990 while  $Q_{cc}$  (J/m<sup>2</sup>) is written as:

991

992 
$$Q_{cc} = c_{snow} \times \rho_w \times h_{swe} \times (T i i snow - T_i) i (A 29)$$
993

Furthermore, the melting occurs in two phases: ripening and deep melting, for 994 which the snowpack both becomes isothermal (i.e.,  $T_{snow}=T_i$ ) and the criteria is 995 based on the difference between  $Q_{melt}$  and  $Q_{ripen}$  (J/m<sup>2</sup>) which is the energy need to 996 997 ripen the snowpack:

998

 $Q_{ripen} = L_m \times \rho_w \times LWC_{max}$ (A 30) 999

1000

here  $L_m$  (J/kg) is the latent heat for melting and  $LWC_{max}$  (m) that depends on  $\rho_{snow}$ 1001 and  $h_{snow}$  is the maximum liquid water content retained in the pore spaces against 1002 1003 gravity by surface tension forces of snow grains:

1005 
$$LWC_{max} = \begin{cases} 0.01 \times h_{snow} & \rho_{snow} < 400 \, kg/m^3 \\ \left( 0.25 \times \frac{\rho_{snow}}{\rho_w} - 0.099 \right) \times h_{snow} & \rho_{snow} \ge 400 \, kg/m^3 \\ (A \, 31) \end{cases}$$

Ripening happens when  $Q_{melt} < Q_{ripen}$ , under which there is no water melting out and the internal *LWC* is proportional to  $LWC_{max}$ : 

1010 
$$LWC = LWC_{max} \times \frac{Q_{ripen} - Q_{melt}}{Q_{ripen}}$$
$$(A32)$$

On the contrary, deep melting takes place and initiates the meltwater  $h_{melt}$  (m): 

1010  

$$h_{melt} = \frac{Q_{melt} - Q_{ripen}}{L_m \times \rho_w}$$
(A 33)

by which the diminution of SWE  $\Delta h_{swe}$  (m) and *LWC* are determined: 

1018 
$$\Delta h_{swe} = \begin{cases} h_{swe} & h_{melt} \ge h_{swe} \\ h_{melt} - LWC_{max} & LWC_{max} \le h_{melt} < h_{swe} \\ 0 & h_{melt} < LWC_{max} \\ (A34) \end{cases}$$

1020 
$$LWC = \begin{cases} 0 & h_{melt} \ge h_{swe} \\ LWC_{max} & LWC_{max} \le h_{melt} < h_{swe} \\ h_{melt} & h_{melt} < LWC_{max} \\ (A 35) \end{cases}$$

Note the liquid water capacity is reached on the second condition, hence  $\Delta h_{swe}$ is actually the infiltration or runoff released from the snowpack, and the snowpack is completely melted in the first case  $(h_{melt} \ge h_{swe})$ , so there is no *LWC* left; whereas all meltwater is held within the snowpack in the last one.

A.5 Rain-on-Snow

Rain-on-snow event only occurs when rainfall rate  $P_r$  is not nil and air temperature  $T_a$  is above freezing point  $(T_i)$ , in which case only the top-layer snow will be influenced and its status is dependent of the thermodynamic energy of snowpack ( $E_{snow}$ ) and rain ( $E_{rain}$ ), both of which consist of different components: 

1032 
$$E_{snow} = \underbrace{Q_{cc} + Q_{ripen}}_{H_{ripen}} + \underbrace{L_m \times \rho_w \times h_{swe}}_{H_{melt}}$$

where  $H_{ripen}$  (J/m<sup>2</sup>) and  $H_{melt}$  (J/m<sup>2</sup>) is the heat released from the rain to ripen and melt the snow layer, respectively.

1036

$$E_{rain} = c_{w} \times M_{r} \times \underbrace{(Tiia - T_{i})}_{Q_{wc}} + \underbrace{L_{m} \times M_{r}}_{H_{fo}} i(A37)$$

1038

1039 here  $Q_{wc}$  (J/m<sup>2</sup>) is the "warm content" of the rainfall and  $H_{fro}$  (J/m<sup>2</sup>) is the released 1040 heat after the rain drop becomes totally frozen.  $c_w$  is the specific heat capacity of 1041 water (J/kg/K) and  $M_r$  is the rainfall mass (kg/m<sup>2</sup>) during a model's time step (i.e., 1042 the product of  $P_r$  and  $\Delta t$ ).

1043 The warm rainfall would melt the top-layer snow completely in two cases: 1044  $E_{rain} \ge E_{snow}$  or  $H_{ripen} \le E_{rain} < E_{snow}$ , under both circumstances falling rain joins the 1045 snowpack's melting outflow directly. On another hand, when  $E_{rain} \le Q_{cc'}$  all rain 1046 freezes and adds to the snowpack mass with releasing energy to arise the 1047 snowpack temperature by  $\Delta T_{snow}$  (K): 1048

1049 
$$\Delta T_{snow} = (T_i - T_{snow}) \times (\underbrace{\frac{E_{rain}}{Q_{cc}}}_{1} + \underbrace{\frac{M_r}{\rho_w} \times \rho_i}_{2})$$

$$(A 38)$$

1051 among which 1 and 2 marking the fractional increase toward  $T_i$  are the adjusting 1052 term of energy and mass, respectively; while the boxed item is actually the 1053 increment of snow mass (SWE).  $\rho_i$  is the ice density (kg/m<sup>3</sup>).

1054 If  $E_{rain} < H_{ripen}$  or  $Q_{wc} \ge Q_{cc'}$  the rain will be absorbed by the isothermal mushy 1055 snowpack and promote  $h_{swe}$  by the same magnitude in Equation (A38), as well as 1056 *LWC* by  $\Delta LWC$  (m):

1057

1058 
$$\Delta LWC = min\left(\frac{M_r}{\rho_w}, LWC_{max} - LWC\right)$$
(A 39)

**1059** A.6 Dividing and Combining Snow Layers

1060 The MSHM will activate the dividing mechanism which only separates the 1061 top-layer snow into n+1 new layers and specifies their properties, without acting 1062 on the lower layers beneath the top one, if the round ratio (n) of top-layer SWE to a 1063 specific threshold (*Thres*) is greater than 1:

1064

1065 
$$n = floor\left(\frac{h_{swe}}{Thres}\right)$$
  
(A40)

1066

1067 in which the *floor* operation rounds the fraction to the nearest integer less than or 1068 equal to it.

1069 As presented in Figure S2, the snow properties from the layer m to m+n remain 1070 the same with the original top layer after the dividing behavior:

1071  
1072 
$$\rho_{snow}^{m+n} = \dots = \rho_{snow}^{m+1} = \rho_{snow}^{m}$$
  
(A 41)

 $T_{snow}^{m+n} = \ldots = T_{snow}^{m+1} = T_{snow}^{m}$ 

A 42

1076 
$$L_c^{m+n} = \dots = L_c^{m+1} = L_c^m$$
  
(A43)

1077 except for snow depth and SWE: 1078 1079

1080 
$$h_{snow}^{m+n-1} = \dots = h_{snow}^{m+1} = H_{snow}^{m} = \frac{Thres \times \rho_{w}}{\rho_{snow}^{m}}$$

1081

1082 
$$h_{swe}^{m+n-1} = \dots = h_{swe}^{m+1} = H_{swe}^{m} = Thres$$
  
(A 45)

1083

1084 
$$h_{swe}^{m+n} = h_{swe}^{m} - Thres \times n$$

$$(A \ 46)$$

1085

1086 
$$h_{snow}^{m+n} = \frac{h_{swe}^{m+n} \times \rho_w}{\rho_{snow}^m}$$
$$(A47)$$

1087

On the other hand, when the snow depth  $h_{snow}^{j}$  at layer *j* is less than or equal to 1088 a critical value, the MSHM will combine all mass-related terms, such as  $h_{snow}^{j}$  and 1089 1090  $h_{swe'}^{j}$  with the lower layer j-1:

1091

1092 
$$\begin{array}{c} h_{snow}^{j} i h_{snow}^{j} + h_{snow}^{j-1} \\ (A \ 48) \\ h_{swe}^{j} i h_{swe}^{j} + h_{swe}^{j-1} \end{array}$$

(A 49)

1093 1094

whereas  $\rho_{\scriptscriptstyle{snow}}^{j}$   $T_{\scriptscriptstyle{snow}}^{j}$  and  $L_{c}^{j}$  are averaged and weighted by SWE through two 1095 1096 consecutive snow layers:

1097 
$$\rho_{snow}^{j} = \frac{h_{swe}^{j} \times \rho_{snow}^{j} + h_{swe}^{j-1} \times \rho_{snow}^{j-1}}{h_{snow}^{j} + h_{snow}^{j-1}}$$

1097  

$$T_{snow}^{j} = \frac{h_{swe}^{j} + h_{swe}^{j-1}}{h_{swe}^{j} + h_{swe}^{j-1}} L_{c}^{j} = \frac{h_{swe}^{j} \times L_{c}^{j} + h_{swe}^{j-1} \times L_{c}^{j-1}}{h_{swe}^{j} + h_{swe}^{j-1}} L_{c}^{j} = \frac{h_{swe}^{j} \times L_{c}^{j} + h_{swe}^{j-1} \times L_{c}^{j-1}}{h_{swe}^{j} + h_{swe}^{j-1}} (A52)$$

1099

#### Appendix B: The Microwave Emission Model of Layered Snowpacks (MEMLS) 1100

MEMLS was developed based on six-flux theory to simulate multiple volume 1101 1102 scattering and absorption, which contains radiation trapping resulted from internal 1103 reflections and coherent ones between layer interfaces. It was first implemented to simulated brightness temperatures [36] and recently expanded to simulate 1104

backscattering [35]. The latter made their code publicly available, and we use it in 1105 1106 this work. In MEMLS, the snowpack is described as a column consisting of *n* horizontal 1107 1108 layers (j = 1, 2, ..., n) with flat boundaries at the surface and interfaces between layers. Each layer is characterized by layer thickness, transmissivity, density, 1109 reflectivity, emissivity and temperature that determine the observed snowpack 1110 1111 brightness temperature  $T_b$  given by the sky brightness temperature  $T_{skv}$  (Figure S3). As illustrated by Figure S4, the outgoing radiation  $(A_i \text{ and } D_i)$  from layer j and 1112 the incoming radiation  $(B_i \text{ and } C_i)$  can be expressed as follows: 1113 1114  $A_{j}=r_{j}B_{j}+t_{j}C_{j}+e_{j}T_{j}$  (B1)  $D_{j}=t_{j}B_{j}+r_{j}C_{j}+e_{j}T_{j}$  (B2)  $B_{j}=s_{j-1}A_{j}+(1-s_{j-1})D_{j-1}$  (B3)  $C_{j}=(1-s_{j})A_{j+1}+s_{j}D_{j}$  (B4)1115 1116 1117 1118 1119 where  $D_0$  (when j=1) on the right hand side of Equation (B3) is defined as the 1120 ground temperature  $T_{0}$ ; while  $A_{n+1}$  (when j=n) on the right hand side of Equation 1121 (B4) is given by the downwelling sky radiation  $T_{sky}$ . Similarly, we can derive the 1122 main model output — the whole snowpack brightness temperature  $T_b$  from 1123 1124  $T_{b} = B_{n+1} = s_{n}T_{sky} + (1 - s_{n})D_{n}$  (B5)1125 1126  $D_n$  can be determined by substituting Equations (B3) and (B4) into Equations (B1) 1127 1128 and (B2): 1129  $A_{j} = r_{j} \begin{bmatrix} s_{j-1}A_{j} + (1 - s_{j-1})D_{j-1} \end{bmatrix} + t_{j} \begin{bmatrix} (1 - s_{j})A_{j+1} + s_{j}D_{j} \end{bmatrix} + e_{j}T_{j}$  (B6)  $D_{j} = t_{j} \begin{bmatrix} s_{j-1}A_{j} + (1 - s_{j-1})D_{j-1} \end{bmatrix} + r_{j} \begin{bmatrix} (1 - s_{j})A_{j+1} + s_{j}D_{j} \end{bmatrix} + e_{j}T_{j}$  (B7)1130 1131 1132 This coupled linear system of equations can be written in matrix form: 1133 1134  $A = M_1 A + M_2 D + E$ 1135 (**B**8)  $D = M_3 A + M_4 D + F$ 1136 (**B**9) 1137 1138 among which  $M_1$ ,  $M_2$ ,  $M_3$  and  $M_4$  are  $n \times n$  matrices including reflectivity r, transmissivity t, and emissivity e; E and F are  $n \times 1$  vectors containing boundary 1139  $T_{sky}$  and surface temperature  $T_0$ . 1140 After linear algebra, the final expression for *D* is 1141 1142

1143

$$D = (I - M_5)^{-1} [M_3 (I - M_1)^{-1} E + F]$$
(B10)

1144

1145 where *I* is the identity matrix and  $M_5$  is

1146

1147 
$$M_5 = M_3 [(I - M_1)^{-1} M_2] + M_4$$
  
(B11)

## 1148 Appendix C: Modified Wilmott Agreement Index [51]

1149  
$$WAI_{d,p}^{local} = 1 - \frac{(y_{d,p} - \overline{x}_{d,p})}{2 \times \sqrt{\frac{1}{Ns(d,p)} \sum_{s=1}^{Ns(d,p)} (x_{d,p}^s - \overline{x}_{d,p})^2 + \frac{1}{Nr} \sum_{r=1}^{Nr} (\overline{y}_d - \overline{x}_{d,p})^2}} (C1)$$

1150

1151  
$$WAI_{d,p}^{global} = 1 - \frac{(\overline{y}_d - \overline{x}_{d,p})}{2 \times \sqrt{\frac{1}{Ns(d,p)} \sum_{s=1}^{Ns(d,p)} (x_{d,p}^s - \overline{x}_{d,p})^2 + \frac{1}{Nr} \sum_{r=1}^{Nr} (\overline{y}_d - \overline{x}_{d,p})^2}} (C2)$$

1152

1153  $y_{d,p}$  is the model SWE (or snow depth) simulated over the HRRR grid that is 1154 nearest to the ECMWF grid point *p* on day *d* at time *t* = *Tref*, where *Tref* = 10 AM 1155 LST = 17:00 UTC;

1156 Nr (=43) is the number of HRRR grids;

1157 Ns(d, p) is the number of snowpits within pixel p on day d, which is different 1158 for each pixel p;

1159  $x_{d,p}^{s}$  is the observed SWE (or snow depth) at site *s* within pixel *p* on day *d*;

1160  $\overline{x}_{d,p}$  is the mean of the observations from all sites within pixel *p* on day *d*;

1161  $\overline{y}_d$  is the ensemble mean on day d at time t = Tref.

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