

# In Search of The Optimal Atmospheric River Index for Precipitation: Big Data Analysis of Index Ensembles over the North American West Coast and US Midwest

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## Abstract

Atmospheric rivers (ARs) affect surface hydrometeorology in the US West Coast and Midwest. We systematically sought optimal AR indices for expressing surface precipitation impacts within the Atmospheric River Tracking Method Intercomparison Project (ARTMIP) framework. We adopted a multifactorial approach. Four factors—moisture fields, climatological thresholds, shape criteria, and temporal thresholds—collectively generated 81 West Coast AR indices and 81 Midwest indices from January 1980 to June 2017. Two moisture fields were extracted from the MERRA-2 data for ARTMIP: integrated water vapor transport (IVT) and integrated water vapor (IWV). CPC US Unified Precipitation data were used. Metrics for precipitation effects included two-way summary statistics relating the concurrence of AR and that of precipitation, per-event averaged precipitation rate, and per-event precipitation accumulation. We found that an optimal AR index for precipitation depends on the types of impact to be addressed, associated physical mechanisms in the affected regions, timing, and duration. In West Coast and Midwest, IWV-based AR indices identified the most abundant AR event time steps, most accurately associated AR to days with precipitation, and represented the presence of precipitation the best. With a lower climatological threshold, they detected the most accumulated precipitation with the longest event duration. Longer duration thresholds also led to higher accumulated precipitation, holding other factors constant. IWV-based indices are the overall choice for Midwest ARs under varying seasonal precipitation drivers. IVT-based indices suitably capture the accumulation of intense orographic precipitation on the West Coast. Indices combining IVT and IWV identify the fewest, shortest, but most intense AR precipitation episodes.

1     **In Search of The Optimal Atmospheric River Index for**  
2     **US Precipitation: A Multifactorial Analysis**

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7             **Key Points:**

- 8             • We searched for an optimal AR index based on the precipitation effects depend-
- 9             ing on regional physical mechanisms, seasons, and AR duration.
- 10            • *IWV* with 75th percentile climate threshold can capture the broad presence and
- 11            accumulation of precipitation in both regions studied.
- 12            • Changing climatological threshold for detecting Midwest ARs results in a seasonal
- 13            shift of maximum event-accumulated precipitation.

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Atmospheric rivers (ARs) affect surface hydrometeorology in the US West Coast and Midwest. We systematically sought optimal AR indices for expressing surface precipitation impacts within the Atmospheric River Tracking Method Intercomparison Project (ARTMIP) framework. We adopted a multifactorial approach. Four factors—moisture fields, climatological thresholds, shape criteria, and temporal thresholds—collectively generated 81 West Coast AR indices and 81 Midwest indices from January 1980 to June 2017. Two moisture fields were extracted from the MERRA-2 data for ARTMIP: integrated water vapor transport (*IVT*) and integrated water vapor (*IWV*). Metrics for precipitation effects included two-way summary statistics relating the concurrence of AR and that of precipitation, per-event averaged precipitation rate, and per-event precipitation accumulation. We found that an optimal AR index for precipitation depends on the types of impact to be addressed, associated physical mechanisms in the affected regions, timing, and duration. In West Coast and Midwest, *IWV*-based AR indices identified the most abundant AR event time steps, most accurately associated AR to days with precipitation, and represented the presence of precipitation the best. With a lower climatological threshold, they detected the most accumulated precipitation with the longest event duration. Longer duration thresholds also led to higher accumulated precipitation, holding other factors constant. *IWV*-based indices are the overall choice for Midwest ARs under varying seasonal precipitation drivers. *IVT*-based indices suitably capture the accumulation of intense orographic precipitation on the West Coast. Indices combining *IVT* and *IWV* identify the fewest, shortest, but most intense AR precipitation episodes.

## Plain Language Summary

[Atmospheric rivers (AR), the long narrow filaments of enhanced water vapor transport in the lower troposphere, are known to accompany extreme rain and winds. They are important weather systems for US water resources on the West Coast and in the Midwest. In our study, we asked which impacts, in which region, and in what time scale and period were of concern. We then used an approach combining climate significant- or extreme-event criteria, image processing, and statistical analysis to create 81 West Coast AR indices and 81 Midwest indices from January 1980 to June 2017 for answering the questions with detailed visualization. We found that an optimal AR index for precipitation

46 depends on the defined precipitation impacts, regional physical mechanisms of precip-  
47 itation, season, and duration. Integrated water vapor (*IWV*) can represent the broad-  
48 stroke presence and accumulation of precipitation in regions studied. Longer duration  
49 thresholds also led to higher accumulated precipitation. Combined moisture with wind  
50 fields using integrated water vapor transport (*IVT*), is necessary to get extreme West  
51 Coast AR orographic precipitation. *IWV* well represents moderate to extreme Midwest  
52 AR precipitation events for all seasons. Combination of *IVT* and *IWV* is useful to get  
53 snapshots of extreme precipitation events.]

## 54 1 Introduction

55 Atmospheric rivers (ARs) are long, narrow filaments of enhanced water vapor trans-  
56 port that is typically associated with a low-level jet and extratropical cyclone (Ralph et  
57 al., 2018). When these moisture-laden ARs make landfall or penetrate inland, water va-  
58 por condenses and can release enhanced precipitation (e.g., Guan et al., 2010, 2013; Luo  
59 & Tung, 2015). AR precipitation in many parts of the world is paramount for water re-  
60 sources (e.g., Guan et al., 2010; Dettinger et al., 2011; Rutz & Steenburgh, 2012; Det-  
61 tinger, 2013; Lavers & Villarini, 2015; Eiras-Barca et al., 2016; Blamey et al., 2018; Lit-  
62 tle et al., 2019). However, heavy rainfall can lead to floods and ensuing socioeconomic  
63 damage. Studies have shown that in North America, ARs have significant surface hy-  
64 drometeorological effects on the western North America (e.g., Ralph et al., 2006; Neiman  
65 et al., 2008; Leung & Qian, 2009; Ralph et al., 2011; Dettinger, 2011; Rutz et al., 2014;  
66 X. Chen et al., 2018) and the US Midwest (e.g., Lavers & Villarini, 2013; Nayak & Vil-  
67 larini, 2017).

68 The first and critical task to study ARs is to develop AR identification methods.  
69 There have been many AR detection and tracking methods for different purposes in the  
70 literature, as noted in the Atmospheric River Tracking Method Intercomparison Project (ARTMIP,  
71 Shields et al., 2018; Rutz et al., 2019; O'Brien et al., 2020). These different detection meth-  
72 ods are primarily based on either one or both measurements of Integrated Water Vapor  
73 (*IWV*) and Integrated Water Vapor Transport (*IVT*).

74 Ralph et al. (2004, 2005, 2006) created an objective AR identification method us-  
75 ing satellite-based *IWV* for case studies in the North American West Coast. They de-  
76 fined ARs with *IWV* content  $> 20$  mm, length  $> 2000$  km, and width  $< 1000$  km. Sim-

ilar approaches have since been widely applied (e.g., Neiman et al., 2008; Wick et al., 2013). Furthermore, *IVT* derived from reanalysis or models incorporates the effects of advection. Zhu and Newell (1998) first defined ARs through *IVT*. Lavers et al. (2012) and Lavers and Villarini (2013), respectively, established percentile-based *IVT* thresholds to study ARs affecting Britain and Central US. Guan and Waliser (2015) applied 85th percentile seasonal climatological thresholds to *IVT* for global AR detection. Meanwhile, Rutz et al. (2014) used absolute thresholds, preferring  $IVT \geq 250 \text{ kg m}^{-1} \text{ s}^{-1}$  to  $IWV \geq 20 \text{ mm}$  as a threshold to emphasize inland-penetrating ARs in the Western US.

*IVT*-based detection method is increasingly chosen over *IWV*-based ones in research and operation as horizontal moisture transport is qualitatively related with orographic precipitation (e.g., Neiman et al., 2009; Rutz et al., 2014; Guan & Waliser, 2015). The combination of *IVT* and *IWV* (*IVT+IWV* thereafter) was recently adopted (e.g., Eiras-Barca et al., 2016; Gershunov et al., 2017). The *IVT + IWV* method was proposed to reduce erroneous detection of ARs from considering only one of the measurements (Eiras-Barca et al., 2016). It requires both *IVT* and *IWV* values to meet their corresponding thresholds simultaneously.

Furthermore, the duration of an AR is important for its hydrometeorological effects. Longer-lived ARs are more likely to bring higher rainfall (in total and on average) and streamflow than shorter-duration ones (Ralph et al., 2013; Nayak & Villarini, 2018). However, there has not been a consensus in duration criteria. Duration thresholds were not used in some early case studies (e.g., Ralph et al., 2004). Subsequently, a minimum of at least 8 (Ralph et al., 2013), 12 (Payne & Magnusdottir, 2016), 18 (Lavers et al., 2012; Lavers & Villarini, 2013; Nayak & Villarini, 2017; Gershunov et al., 2017), or 24 consecutive hours (Sellars et al., 2015) were included as a part of detection algorithms.

Although systematic comparisons among different AR identification methods are underway (Shields et al., 2018; Rutz et al., 2019; Ralph et al., 2019), the relationships between the methods and associated AR precipitation remain to be quantified. Important questions to ask include: between the two common detection measurements of *IVT* and *IWV*, which one, or both, should be used when surface precipitation is concerned? How do more restrictive duration criteria perform if long-lived ARs produce larger amounts of precipitation than short-lived ones (Ralph et al., 2013)? In probing these questions, we attempted to establish an optimal AR detection algorithm suited for expressing sur-

109 face precipitation impacts. We used a multi-factorial ensemble analysis, well suited for  
 110 uncertainty quantification, focusing on the percentile-based approaches within the ART-  
 111 MIP framework of prevailing detection methods and reanalysis data from January 1980  
 112 to June 2017. The paper is organized as follows: data and methods are in section 2. Sur-  
 113 face precipitation effects associated with different AR detection indices are analyzed and  
 114 discussed in section 3. Sections 4 and 5 provide discussions and conclusions, respectively.

## 115 **2 Data and Methods**

### 116 **2.1 Data**

#### 117 **2.1.1 MERRA-2 data for ARTMIP**

118 The two conventional moisture measurements for AR detection, *IVT* and *IWV*,  
 119 were extracted from the Modern-Era Retrospective analysis for Research and Applica-  
 120 tions, Version 2 (MERRA-2) source data for ARTMIP through Climate Data Gateway (NCAR  
 121 CDG, 2019). This dataset was calculated by the Center for Western Weather and Wa-  
 122 ter Extremes at the University of California, San Diego, according to the following for-  
 123 mula (Shields et al., 2018):

$$124 \quad IVT = -\frac{1}{g} \int_{1000}^{200} q(p) |\mathbf{V}_h(p)| dp, \quad (1)$$

$$125 \quad IWV = -\frac{1}{g} \int_{1000}^{200} q(p) dp \quad (2)$$

126 The three variables, horizontal wind ( $\mathbf{V}_h = (u, v)$  where  $u$  is the zonal and  $v$  the  
 127 meridional winds in  $\text{m s}^{-1}$ ), specific humidity ( $q$  in  $\text{kg kg}^{-1}$ ), and pressure ( $p$  in hPa),  
 128 used in the formula were from NASA MERRA-2 (Gelaro et al., 2017). The horizontal  
 129 spatial resolution and temporal resolution of the vertically integrated fields are  $0.5^\circ$  lon-  
 130 gitude by  $0.625^\circ$  latitude and 3 hours. We used all of the MERRA-2 Tier 1 data avail-  
 131 able at the time of download, from January 1980 to June 2017, to create climatological  
 132 thresholds. Then, we applied the AR detection algorithm to the dataset to generate AR  
 133 indices.

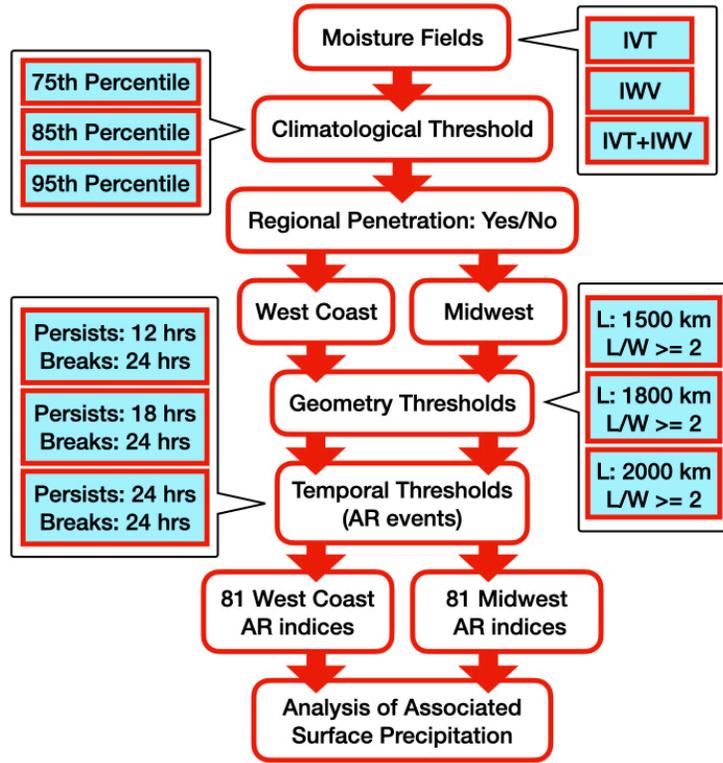
### 134 **2.1.2 CPC US Unified Precipitation Data**

135 The NOAA Climate Prediction Center (CPC) Unified Gauge-Based Analysis of Daily  
 136 Precipitation over the Contiguous United States (hereafter, CPC) provides daily precip-  
 137 itation on a fine-resolution ( $0.25^\circ$  latitude by  $0.25^\circ$  longitude) from January 1948 to the  
 138 present (Higgins et al., 2000; Xie et al., 2007; M. Chen et al., 2008). Gibson et al. (2019)  
 139 evaluated this product and found overall good agreement with the in-situ Parameter-  
 140 Elevation Regressions on Independent Slopes Model (PRISM) dataset (Daly et al., 2008).  
 141 As described in the next subsection, these AR indices were defined by various AR de-  
 142 tection criteria applied to the ARTMIP MERRA-2 data. Their original spatial and tem-  
 143 poral resolutions are those of the MERRA-2. We spatially interpolated the coarser AR  
 144 index values (0 or 1) with bilinear interpolation to the CPC data’s finer mesh, then rounded  
 145 off the results to integers. Each CPC daily precipitation measurement was divided evenly  
 146 over the twenty-four hours centered at 00 UTC, then aggregated into the AR indices’  
 147 3-hourly intervals.

### 148 **2.2 AR Detection Algorithm**

149 As shown in Figure 1, we used 4 factors—moisture fields, climatological thresholds,  
 150 shape criteria, and temporal thresholds—to generate an ensemble of 81 AR indices for  
 151 the US West Coast and 81 for the Midwest. First, we used  $IVT$ ,  $IWV$ , or  $IVT+IWV$   
 152 as the moisture field. Then, for each grid point, we selected moisture field values at 1200  
 153 UTC every day during neutral or weak El Niño–Southern Oscillation (ENSO) events from  
 154 January 1980 to June 2017. We called these test values. Here, we adopted the bi-monthly  
 155 NOAA Multivariate ENSO index (MEI.v2, e.g., Wolter & Timlin, 1993) and preserved  
 156 only test values in the months when the MEI.v2 index was within  $\pm 1$ . Three monthly  
 157 climatological thresholds were calculated for each set of test values— $IVT$ ,  $IWV$ , or  $IVT+$   
 158  $IWV$ —at each grid point. In addition to the common 85th percentile (e.g., Lavers et  
 159 al., 2012; Lavers & Villarini, 2013; Guan & Waliser, 2015; Eiras-Barca et al., 2016), we  
 160 also used the 75th and the 95th percentiles as thresholds. Consequently, at any given  
 161 grid point and time, a moisture value equal to or exceeding a threshold suggests the po-  
 162 tential presence of AR.

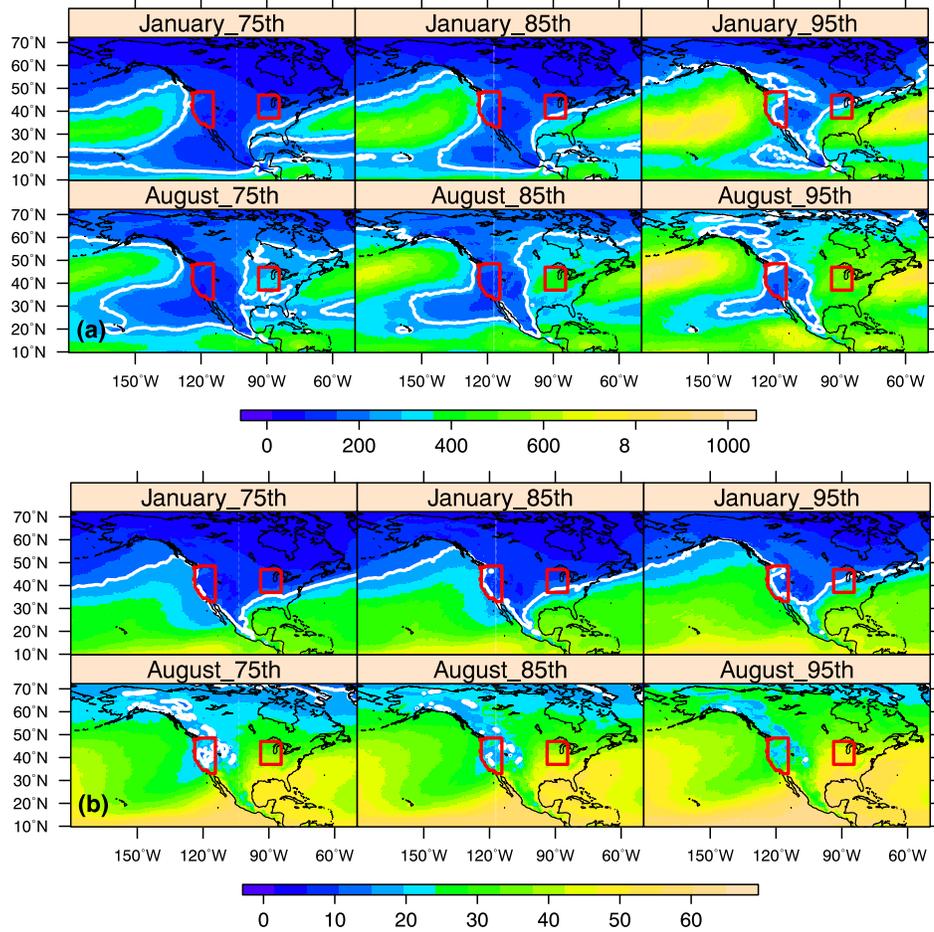
163 Figure 2 plots these three levels of climatological thresholds of  $IVT$  and  $IWV$  fields  
 164 over North America for January and August. The threshold at each grid point elevates



**Figure 1.** Schematic diagram illustrating the multifactorial AR detection algorithm.

165 successively, increasingly restricting AR detection, from 75th to 95th percentile. The *IVT*  
 166 maxima corresponded to extratropical storm tracks and ITCZ over the North Pacific and  
 167 the North Atlantic. The *IWV* maxima co-located with tropical and extratropical warm  
 168 oceans as well as maritime tropical air mass. Consistent with Clausius–Clapeyron equa-  
 169 tion, *IVT* and *IWV* thresholds were generally higher in the summer (August) than in  
 170 the winter (January). To relate identified ARs with surface precipitation effects, we de-  
 171 fined the regions of West Coast and Midwest based on the boundaries of CPC precip-  
 172 itation data. The regions of the West Coast (situating between  $33^{\circ}$ – $48.5^{\circ}$  N and  $124.375^{\circ}$ –  
 173  $114.375^{\circ}$  W) and Midwest (between  $37^{\circ}$ – $47^{\circ}$  N and  $94^{\circ}$ – $84^{\circ}$  W) are outlined in red. This  
 174 seasonal difference was more evident in the Midwest than the West Coast. Regardless,  
 175 the *IVT* maximum over the Northeast Pacific Ocean expanded towards the West Coast  
 176 in January, then retreated in August.

177 Figure 2 also compares the monthly percentile thresholds in this study against the  
 178 absolute thresholds used by Gershunov et al. (2017), defined as  $250 \text{ kg m}^{-1}\text{s}^{-1}$  in *IVT*  
 179 and  $15 \text{ kg m}^{-2}$  in *IWV*. The monthly percentile thresholds exhibit more spatial details

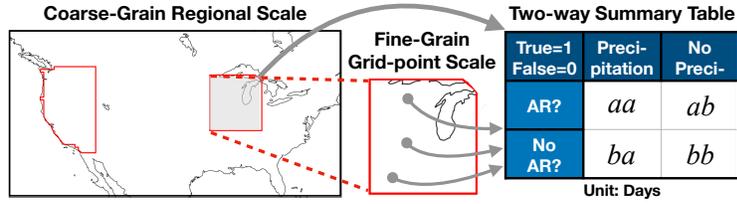


**Figure 2.** Three levels (75th, 85th, and 95th) of climatological thresholds of  $IVT$  (a,  $\text{kg m}^{-1}\text{s}^{-1}$ ) and  $IWV$  (b,  $\text{kg m}^{-2}$ ) over North America for January and August derived from neutral or weak ENSO events between January 1980–June 2017. The red boxes outline the West Coast and Midwest regions in this study. White lines ( $250 \text{ kg m}^{-1}\text{s}^{-1}$  in  $IVT$  and  $15 \text{ kg m}^{-2}$  in  $IWV$ ) are the absolute thresholds in Gershunov et al. (2017).

180 as well as seasonal variability than the absolute ones. Through visual inspection, one can  
181 infer the different outcomes of AR detection if solely based on these thresholds. In Jan-  
182 uary, absolute *IVT* and *IWV* thresholds resulted in fewer instances of landfalling ARs  
183 in both West Coast and Midwest compared with the respective 75th and 85th percentile  
184 thresholds. This is because the majority of the monthly percentile threshold values in  
185 these two regions are below the absolute thresholds. The absolute thresholds, however,  
186 permitted more frequent January AR detection along the West Coast and southern Mid-  
187 west than the 95th percentile threshold values. These were also true for West-Coast AR  
188 detection using *IVT* in August; however, in the Midwest, the absolute *IVT* threshold  
189 was less restrictive to August AR detection than all *IVT* monthly percentiles. The ab-  
190 solute *IWV* threshold allowed overall more August AR detection than the monthly per-  
191 centiles in the plotted domain.

192 The variability of AR detection across various thresholds above attests to the ne-  
193 cessity of additional constraints. At each time step, we identified the grid points whose  
194 *IVT* or *IWV* values exceeded their corresponding climatological thresholds and kept only  
195 the data of those making landfall in the West Coast or penetrating into the Midwest.  
196 Then, we used the principal curves method (Hastie & Stuetzle, 1989) to determine the  
197 length of the curvy patterns formed by aggregating the maximum *IVT* or *IWV* values  
198 at each latitude and longitude. The width was calculated as the total Earth surface area  
199 of the identified grid points divided by the length. The geometry thresholds were fur-  
200 ther applied. A subset of potential AR data was extracted if a length was greater or equal  
201 to 1500, 1800, or 2000 km while the ratio of length to width was greater or equal to 2  
202 (Figure 1). It is noted that, for the detection of West Coast land-falling ARs, this length  
203 was estimated using only the segment of data over the Pacific; for the ARs penetrating  
204 into the Midwest, it was estimated using the entire segment. The subsets of data were  
205 further filtered and aggregated into AR events that persisted for equal to or more than  
206 12, 18, or 24 hours with breaks shorter than 24 hours within an event. The length of break  
207 criterion was based on Lavers and Villarini (2013).

208 At this point, 81 members of AR indices for each of the West Coast and Midwest  
209 regions from January 1980 to June 2017 were completed. Each index identifies the spa-  
210 tial and temporal information of AR events that satisfied one of the 81 combinations of  
211 the criteria form by the four factors. We proceeded with systematic analysis of the re-  
212 lationships between these ARs and surface precipitation in the West Coast and the Mid-



**Figure 3.** A two-way summary table with the terms for evaluating AR indices' relationships with surface precipitation on coarse- and fine-grain scales.

213 west. The AR detection and detailed analysis were executed via distributed-parallel com-  
 214 puting on a high-performance computing cluster with Hadoop system in the backend and  
 215 the R language-based DeltaRho software in the frontend (Cleveland & Hafen, 2014; Tung  
 216 et al., 2018).

### 217 2.3 Coarse- to Fine-Grain Two-Way Summary Table

218 We built a two-way summary table (Figure 3) to explore the relationships between  
 219 ARs identified by the indices and the surface precipitation in the West Coast and the  
 220 Midwest. We took two spatial scales into account: regional coarse-grain scale and grid-  
 221 point fine-grain scale. On the coarse-grain scale, we regarded either West Coast or Mid-  
 222 west as one entity. Within each entity, days centered at 00 UTC with at least one AR  
 223 time step identified in a 3-hourly AR index were defined as AR days. Days without any  
 224 AR time steps were considered as no AR days. Precipitation was based on the CPC US  
 225 Unified Precipitation Data. The *aa* in the summary table was total AR days with pre-  
 226 cipitation; the *ab* was AR days without precipitation; the *ba* was days with no ARs but  
 227 with precipitation; and the *bb* was days with no ARs and no precipitation.

228 From the summary table, four statistics were derived: *AR Related Precipitation*,  
 229 *Precision*, *Accuracy*, and *F1 score*. The names loosely follow those in statistical classi-  
 230 fication (e.g., Hastie et al., 2001). However, the statistics here did not validate any pre-  
 231 dictive modeling of precipitation. They were used to compare the MERRA-2 AR indices'  
 232 performance of relating to CPC surface precipitation effects. They may, however, pro-  
 233 vide empirical upper limits of a predictive model using only an AR index to predict pre-  
 234 cipitation within the data, spatial, and temporal domains in the study. *AR Related Pre-*

235 *precipitation* is defined as

$$236 \quad \frac{aa}{aa + ba} = \frac{aa}{D_P}, \quad (3)$$

237 with  $D_P$  the total days with precipitation. It specifies how often surface precipitation,  
 238 if existed, was related to the ARs identified by an index. In predictive modeling, *AR Re-*  
 239 *lated Precipitation* is called *Sensitivity* in statistics or *Probability of Detection* in weather  
 240 forecast. *Precision* is defined as

$$241 \quad \frac{aa}{aa + ab} = \frac{aa}{D_{AR}}, \quad (4)$$

242 with  $D_{AR}$  the total days with ARs according to an index. It describes how often the de-  
 243 tected ARs were actually related with precipitation. In weather forecast, *Precision* equals  
 244 to 1-*False Alarm Ratio*. *Accuracy* is defined as

$$245 \quad \frac{aa + bb}{aa + ab + ba + bb} = \frac{aa + bb}{D}, \quad (5)$$

246 with  $D$  the total 13695 days in the data. For each AR index, it measures how often days  
 247 with/without ARs were correctly associated with precipitation/no precipitation. The *F1*  
 248 *score*,

$$249 \quad \frac{2 * AR \text{ Related Precipitation} * Precision}{AR \text{ Related Precipitation} + Precision}, \quad (6)$$

250 is the harmonic mean of *AR Related Precipitation* and *Precision*. An AR index with a  
 251 low *F1 score* has both poor *AR Related Precipitation* and poor *Precision*, therefore an  
 252 overall poor AR-precipitation relation.

253 Each of these four statistics had one resultant value for each index on the coarse-  
 254 grain scale in either West Coast or Midwest. On the fine-grain scale, they were multi-  
 255 plied by the number of grid points inside a region: 2069 in the West Coast and 1508 in  
 256 the Midwest. The different sample sizes were taken into account in interpreting the re-  
 257 sults (section 3.2).

### 258 **3 Analysis and Results**

#### 259 **3.1 Identified AR occurrence summary statistics**

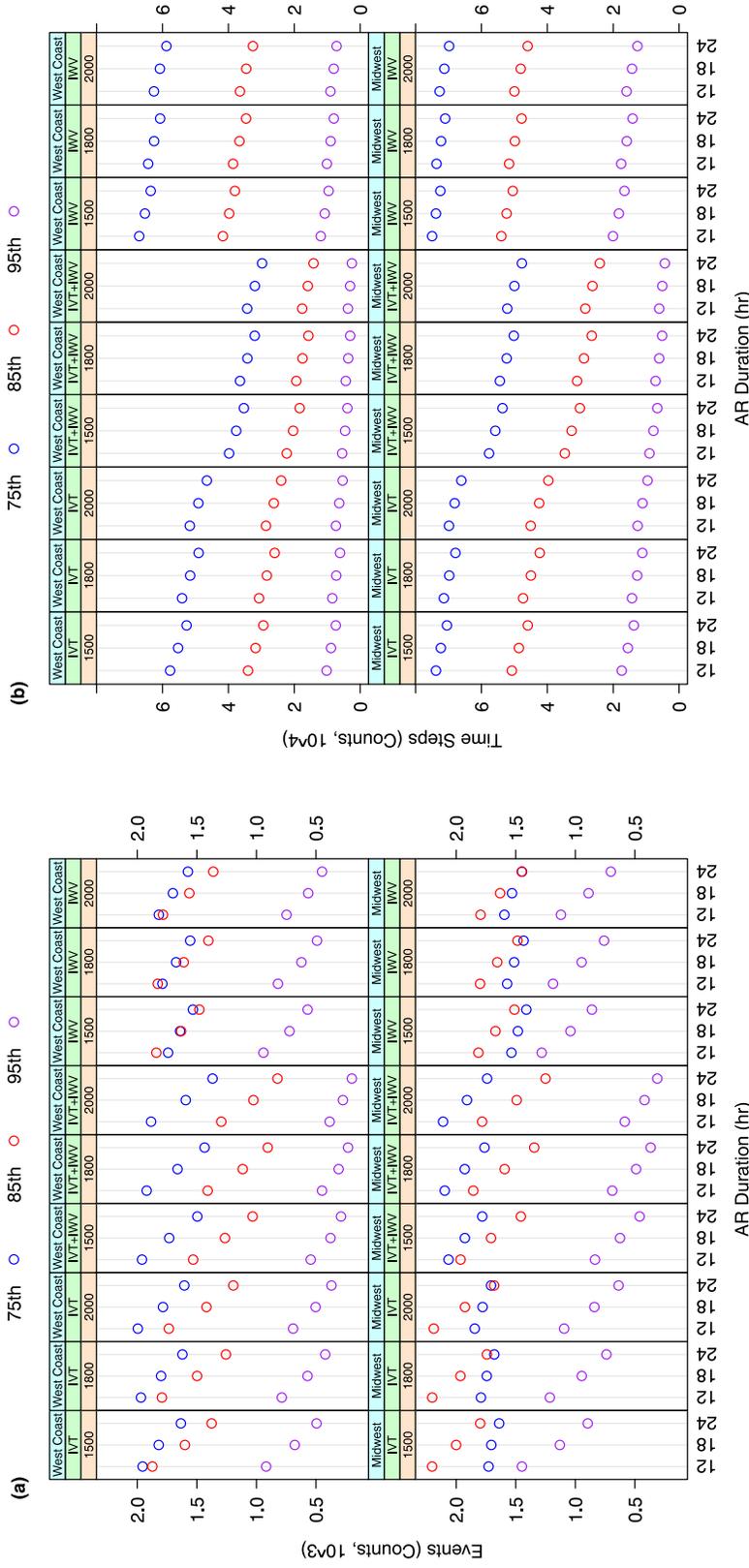
260 Figures 4 and 5 visualize three summary statistics of AR occurrence obtained with  
 261 162 AR indices. These figures are Cleveland dotplots (Cleveland & McGill, 1984) cre-  
 262 ated in the Trellis display framework (Becker et al., 1996). The number of AR events

(Figure 4a), the accumulated time of these events measured in 3-hourly time steps (Figure 4b), and the average duration per event in days (Figure 5) are plotted on each panel, conditional on 18 combinations of regions (West Coast or Midwest), moisture fields ( $IWV$ ,  $IWT$ , or  $IWT+IWV$ ), and AR length criteria (1500, 1800, or 2000 km). The results are 18 packets, or subsets, of values. Each packet has 9 paired values of a summary statistic in the  $y$ -axis and one of the AR persistent duration thresholds (12, 18, or 24 hours along the  $x$ -axis), grouped with color by climatological thresholds (75th, 85th, or 95th percentiles).

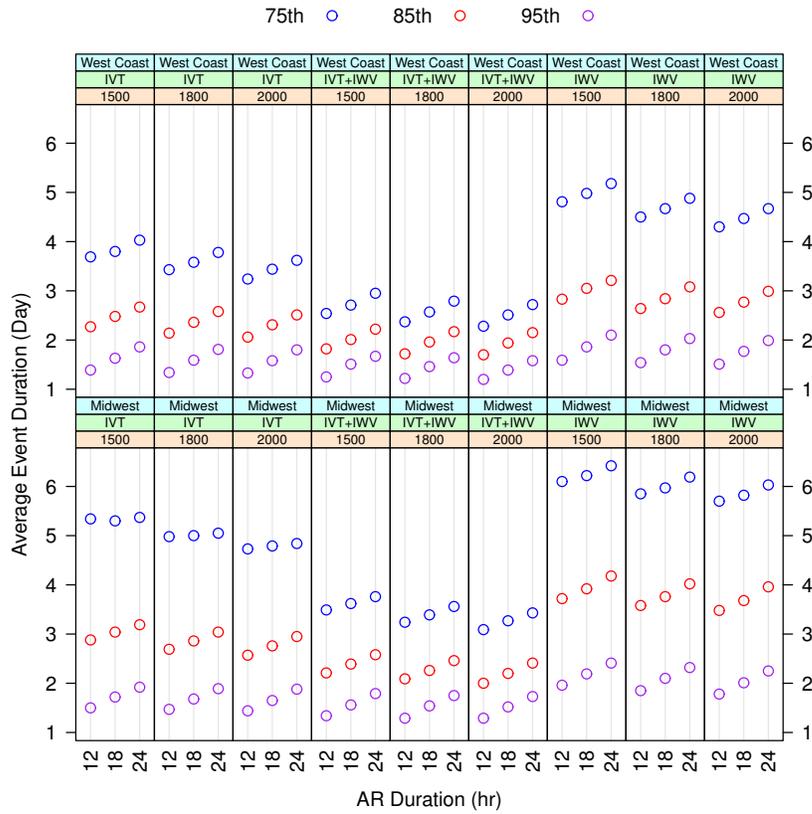
Figure 4a shows that, from January 1980 to June 2017, each 75th and 85th percentile climatological threshold-based AR index captured  $O(1000)$  events in either West Coast or Midwest regions, except for a few  $IWT+IWV$ -based ones with the most restrictive combinations of length and persistent duration criteria in the West Coast. In Figures 4b and 5,  $IWV$ -based indices identified the most AR time steps and longest average per-event duration;  $IWT+IWV$ -based indices identified the least and the shortest. Note that the per-event duration of each AR event was calculated as the summation of persistent AR time segments, excluding the break times. Increasing the restrictiveness of climatological threshold from 75th to 95th percentile while holding other factors constant, the number of identified AR time steps decreased dramatically, so did the average duration per event.

However, more restrictive climatological thresholds did not always yield fewer AR events (Figure 4a). Among  $IWT$ - and  $IWV$ -based Midwest AR indices, the 85th percentiles permitted more AR events but fewer time steps than the 75th percentiles, owing to the latter's tendency to yield longer per-event durations (Figure 5). Furthermore, the identified Midwest ARs had overall more total time steps than that of West-Coast ARs (Figure 4b). Midwest ARs had longer average per-event durations than those in the West Coast; the differences were the largest at the 75th percentiles and the least at the 95th percentiles (Figure 5).

In Figures 4 and 5, the effects of length criteria were only secondary to climatological thresholds. However, increasing the thresholds of AR persistent duration from 12 to 24 hours resulted in shorter accumulated time steps (Figure 4b) and, in most cases, longer average per-event duration (Figure 5) of the identified ARs. It also led to decreasing AR event counts (Figure 4a).



**Figure 4.** Numbers of (a) AR events (counts) and (b) accumulated AR time steps (counts) identified by 81 West-Coast (top row) and 81 Midwest (bottom row) AR indices. Each figure has 18 packets, or subsets of values conditional on 18 combinations of regions (West Coast or Midwest in blue boxes), moisture fields (*IVT*, *IWV*, or *IVT* + *IWV* in green boxes), and AR length criteria (1500, 1800, or 2000 km shown in yellow boxes). Each packet has 9 paired values of a summary statistic in the y-axis and one of the AR persistent duration criteria (12, 18 and 24 hours along the x-axis), grouped by color into three levels of climatological thresholds (75th, 85th, and 95th percentiles shown respectively in blue, red, and purple).



**Figure 5.** Similar to Figure 4, but for average per-event duration (unit: Day) identified by 81 West-Coast (top row) and 81 Midwest (bottom row) AR indices.

## 3.2 Coarse- to fine-grain daily AR-precipitation occurrence relation analysis

### 3.2.1 Coarse-grain analysis

At the outset, we aimed to identify the AR indices that represent the precipitation occurrence as complete and correct as possible. Figure 6a shows the coarse-grain *Accuracy* in dotplots. An *Accuracy* of 1 means there was precipitation if and only if ARs were detected by an index. In general, indices associated with more AR time steps (Figure 4b) also exhibited higher *Accuracy* at the coarse-grain scale. Indeed, Midwest ARs bore higher *Accuracy* than the West Coast ARs given otherwise the same factors. The *IWV*-based AR indices yielded the highest *Accuracy* in both regions. Among them, indices using the 75th percentile climate threshold had *Accuracy* exceeding 0.64 in the West Coast and 0.74 in the Midwest. More restrictive climatological thresholds resulted in lower *Accuracy*. The lowest values were within the 95th-percentile-based *IVT + IWV* indices—below 0.09 for the West Coast and 0.14 for the Midwest ARs. More restrictive length and temporal criteria that detected fewer AR events or time steps also depressed *Accuracy* values, while the effect of length was minor in comparison to other factors.

Figure S1 shows the *AR Related Precipitation*, *i.e.*, the fraction of total days with precipitation attributable to identified ARs. It has a very similar pattern to Figure 6a. In particular, when 75th-percentile *IWV*-based indices were used, more than 64% and 74% of precipitation days occurred in the presence of ARs in the West Coast and Midwest, respectively. However, 95th-percentile *IVT+IWV*-based indices could only capture less than 9% and 14% of precipitation days in the respective regions. On the other hand, *Precision* values in Figure S2 display a very different pattern from Figures 6a or S1. For the West Coast landfalling ARs, 21 out of 81 indices had *Precision* equal to 1, with the rest approximately 1. That means each index very precisely associated AR days with precipitation. For ARs influencing the Midwest, the *Precision* values were slightly smaller but still larger than 0.998.

The *F1 scores* in Figure 6b summarizes for each AR index the combined performance of relating to the presence of precipitation (*Precision*) and explaining the occurrence of precipitation (*AR Related Precipitation*) at the coarse-grain scale. Unlike *Accuracy*, *F1 score* does not consider days with no AR and no precipitation, expressed as the *bb* term in (5). In practice, we are more concerned about the relationship between

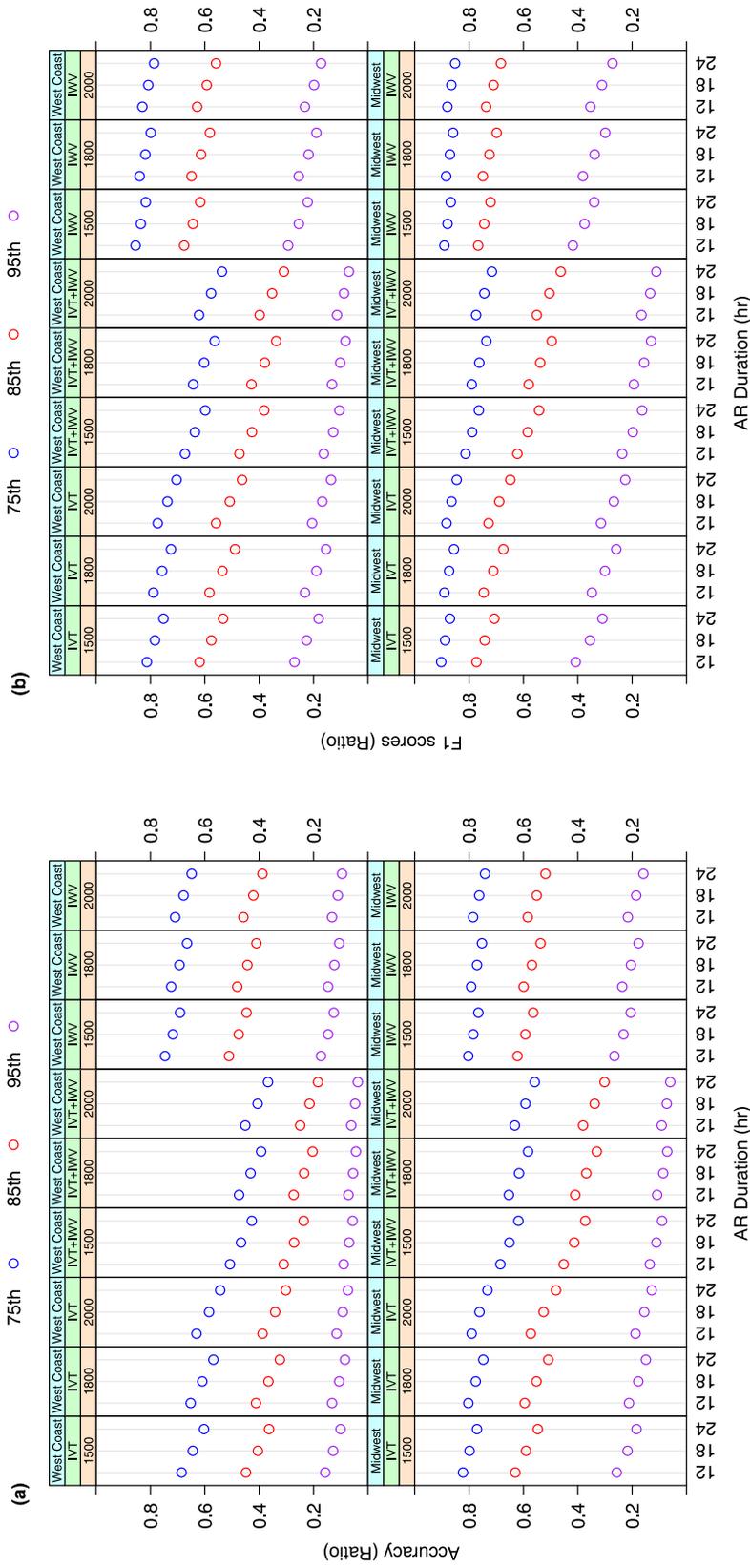
327 the presence of AR and that of precipitation than the absence of both. Therefore, *F1*  
 328 *score* is a more sensible measurement than *Accuracy*. Furthermore, the score could be  
 329 considered as adjusted *Precision*, with which indices gained high *Precision* via narrow-  
 330 ing to extreme samples are penalized. The adjustment differentiated the overall high *Pre-*  
 331 *cision* values (Figure S2) to the pattern of *F1 scores* (Figure 6b), which resembles Fig-  
 332 ures 6a and S1 but have larger magnitudes across the board.

### 333 **3.2.2 Fine-grain analysis**

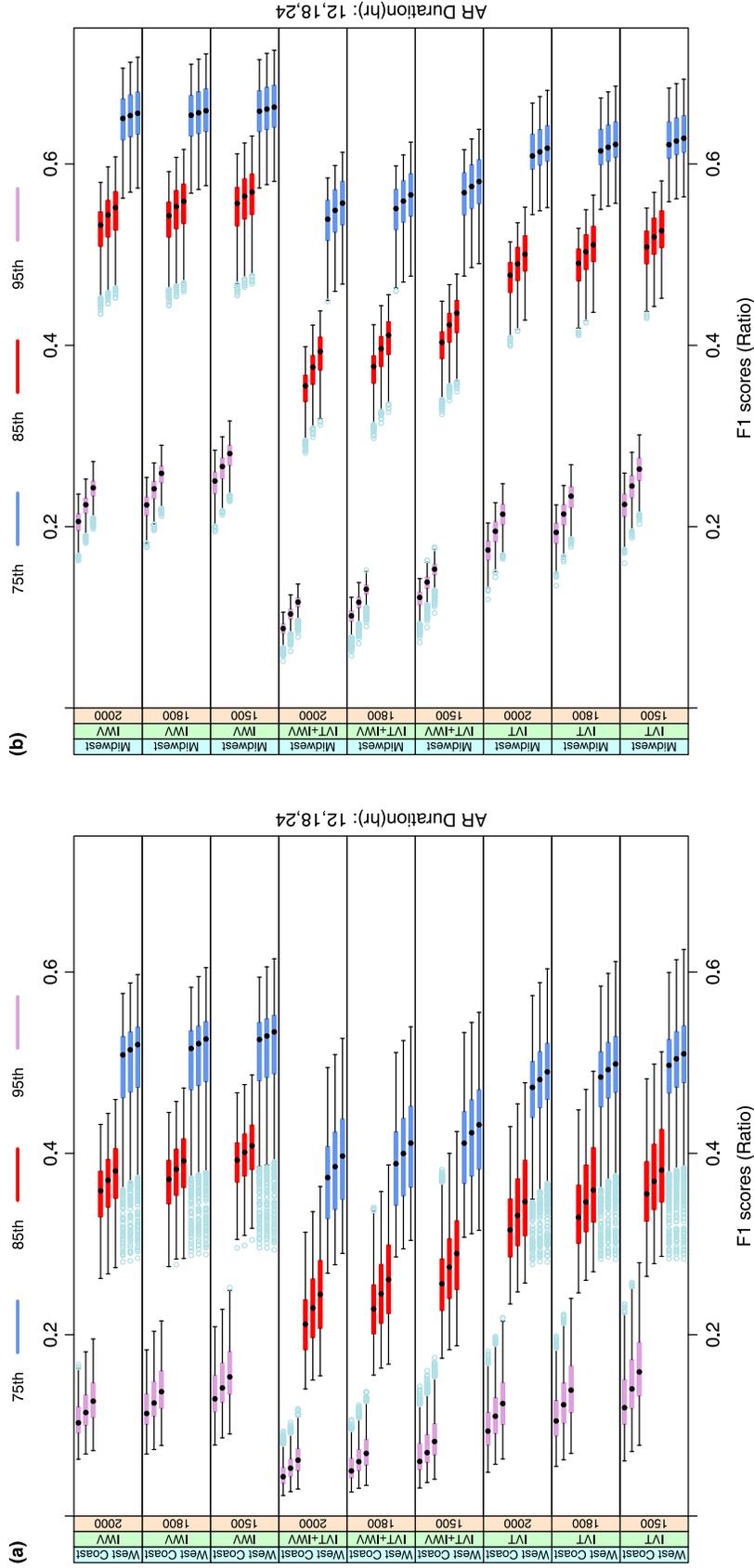
334 We established for each index a two-way summary table for each individual grid  
 335 point in West Coast and Midwest for fine-grain analysis. The distributions of fine-grain  
 336 *F1 scores* are summarized using boxplots for the 81 West Coast AR indices, each with  
 337 2069 points (Figure 7a) and 81 Midwest indices with 1508 points (Figure 7b).

338 In Figure 7a, the interquartile ranges (IQR) of the 81 *F1* distributions, as indicated  
 339 by the box lengths, vary from  $\sim 0.017$  to  $\sim 0.089$  for the West Coast AR indices. Spa-  
 340 tial inhomogeneity of precipitation captured by different indices contributed to this vari-  
 341 ation. Another important influencer was the different AR days,  $D_{AR}$ , as inferred by the  
 342 AR time steps (Figure 4b), resulted from different indices. Indeed, the smaller IQRs are  
 343 seen among the most restrictive indices with the fewest AR time steps, such as the 95th-  
 344 percentile *IVT + IWV*-based ones. Moreover, the minimum, first quartile (Q1), sec-  
 345 ond quartile/median (Q2), third quartile (Q3), and maximum of each subset of *F1 scores*  
 346 decrease with more restrictive criteria. This is consistent with the coarse-grain analy-  
 347 sis (Figure 6b). When the climate threshold, length, and time criteria were fixed, the *IWV*-  
 348 based indices slightly outperformed *IVT*-based ones and were significantly better than  
 349 *IVT + IWV*-based ones. The 75th-percentile *IWV*-based indices yielded the largest  
 350 median *F1 scores*, all exceeding 0.5.

351 The IQRs of fine-grain *F1 score* distributions for the 81 Midwest AR indices (Fig-  
 352 ure 7b) are smaller than those for West Coast AR indices (Figure 7a). This is most cer-  
 353 tainly due to the  $\sim 30\%$  smaller sample size in the Midwest than that of the West Coast.  
 354 The differences among the *F1 score* distributions in the Midwest are qualitatively sim-  
 355 ilar to those in the West Coast. Nevertheless, the *F1 scores* in the Midwest are overall  
 356 higher. The 75th-percentile *IWV*-based indices struck the highest median *F1 scores* at  
 357  $\sim 0.65$ . These are consistent with the coarse-grain *F1* analysis (Figure 6b).



**Figure 6.** Similar to Figure 4, but for coarse-grain (a) *Accuracy* and (b) *F1 scores* for 81 West-Coast (top-row) and 81 Midwest (bottom-row) AR indices.



**Figure 7.** Boxplots of fine-grain  $F1$  scores for the (a) West Coast and (b) Midwest AR indices. Each figure has nine packets from combinations of three moisture ( $IWT$ ,  $IWV$ , or  $IVT$  +  $IWV$ ) and three AR length criteria (1500, 1800, or 2000 km). Each packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours. Each boxplot includes the colored box spanning from Q1 to Q3 of the distribution, a black dot marking the median, and the whiskers. The whiskers extend to the most extreme data point that is no more than 1.5 times the length of the box (IQR) away from the box. Any data points outside the whiskers are marked as potential outliers in light blue.

### 3.3 Deep Analysis at the Finest Granularity

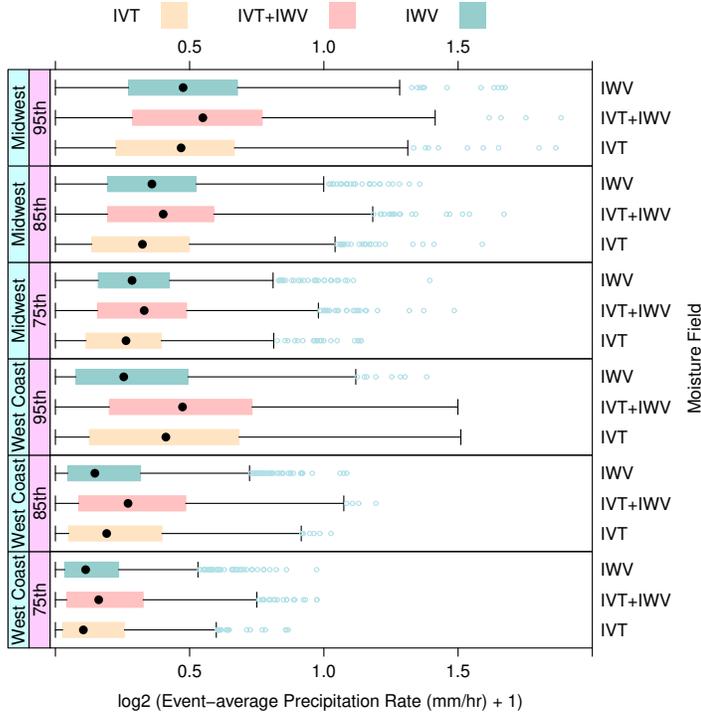
In section 3.2, we studied the presence or absence of ARs in relation to those of precipitation, as reflected by the ensembles of indices in the North American West Coast and the US Midwest. Past studies consistently showed that in general, ARs contributed to a fair amount of annual precipitation—up to 50% depending on the location—in the contiguous United States (Dettinger et al., 2011; Rutz & Steenburgh, 2012; Lavers & Villarini, 2015; Nayak & Villarini, 2017). Hence, in the next step, we analyzed the amount of AR-related precipitation associated with different indices. We quantified precipitation impacts with event-average rate (3.3.1) and event-accumulated precipitation (3.3.2 and 3.3.3) and compared them across the AR indices.

#### 3.3.1 Event-Average Surface Precipitation Effects

For each AR index, we tracked the surface area of an AR at each recorded time step. We then calculated the areal-averaged surface precipitation rate at each time step. The event-average surface precipitation rate was calculated as the event time-mean of areal averages. As an example, Figure 8 compares the event-average precipitation rate across a group of AR indices with the 1500-km length and 18-hr persistent duration criteria using boxplots, conditional on locations, climatological thresholds, and moisture fields. The values of precipitation rates shown are the original values plus one and transformed with base-2 logarithm to accommodate the wide range.

All indices for Midwest ARs in Figure 8 were prone to associate with more event-average precipitation than those for the West Coast ARs. As the climatological thresholds on moisture fields became increasingly more restrictive, the indices pointed to heavier event-average precipitation rates. One conspicuous feature in Figure 8 is that *IVT*+*IWV*-based indices are the strongest performer in both regions. As already shown in section 3.2, the combined moisture field posed the most restrictive criterion, detecting the fewest events with the shortest lifespan per event. The analysis further shows its propensity to crop out AR features with the highest precipitation rates. This is consistent with previous studies (Neiman et al., 2008; Nayak & Villarini, 2018).

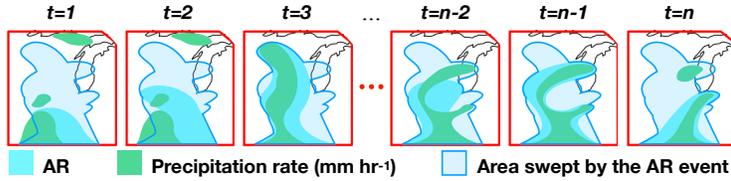
Another distinct feature in Figure 8 is the disparate performance of *IVT*-based indices between the West Coast and the Midwest. *IVT*-based AR indices were associated with higher event-average precipitation in the West Coast than *IWV*-based ones. How-



**Figure 8.** Boxplots of base-2 logarithmic transformation of event-average precipitation rate plus 1 (in  $\text{mm hr}^{-1}$ ) over unit area according to *IVT*, *IVT + IWV*, and *IWV*-based AR indices with the same 1500-km length and 18-hr persistent duration criteria, conditional on locations and climatological thresholds labeled as percentile in 75th, 85th, and 95th.

389 ever, this was not the case in the Midwest. This difference is likely due to the orographic  
 390 origin of precipitation on the West Coast. Compared with *IWV*, the horizontal trans-  
 391 port of moisture expressed by the *IVT* better indicated the vertical lifting and conden-  
 392 sation processes upon convergence at the coastal mountains' windward side. Notably,  
 393 the 95th percentile *IVT*-based West Coast AR index captured the intense orographic  
 394 precipitation that *IWV* missed.

395 The effects of shape and temporal criteria on the detected ARs' relations to event-  
 396 average surface precipitation rate were inconclusive across different climatological thresh-  
 397 olds and moisture fields (Figures S3 and S4). Overall, longer persistent duration crite-  
 398 ria appeared to be associated with more average precipitation. Still, the climatological  
 399 thresholds and moisture fields had the first-order influences on the event-average surface  
 400 precipitation rate.



**Figure 9.** Schematic interpretation of spatial-averaged granule-level AR event-accumulated precipitation.

### 3.3.2 Deep Analysis of Accumulated Precipitation at Fine Granularity

Although the event-average surface precipitation is a useful metric for an AR index’s overall precipitation intensity, it is even more indicative of an AR’s hydrometeorological impact when combined with total event duration. Therefore, we further quantified such hydrometeorological impact using event-accumulated precipitation averaged inside a surface area swept by a detected AR. We defined, for each AR index, this area with all grid points visited at least once by the detected AR throughout its lifetime within the West Coast or Midwest region (shown in Figure 9). Given this area, we calculated the areal average of precipitation at each time step, then summed through all time steps to obtain event-accumulated precipitation for the AR event.

Figures S5 and S6, respectively, show the swept-area distributions resulted from West Coast and Midwest AR indices. The area of the West Coast region is about 1.38 times that of the Midwest region, as shown by the data upper bounds in these figures. As expected, these areas decreased with increasing climatological thresholds; the areas increased with more restrictive persistent duration thresholds; *IVT* + *IWV*-based indices restricted the areas to the smallest among all moisture fields, other factors being equal. Using the 75th percentile climatological thresholds, *IWV*-based indices tended to sweep a slightly broader area than *IVT*-based ones. In the Midwest region, the median areas of the 75th-percentile *IWV*-based AR indices were identical to the area upper bound; at least 50%—but fewer than 75%—of the AR events covered the entire Midwest region. The 75th-percentile *IVT*-based AR indices had median areas smaller than but very close to this upper bound. However, the areal differences between *IWV*- and *IVT*-based indices diminished at 95th percentile thresholds.

425 Figure 10a compares the event-accumulated precipitation per unit area, plus one  
 426 and transformed with base-2 logarithm, across the 81 West Coast AR indices using box-  
 427 plots. The IQRs straddle one order of magnitude, with medians at  $\sim 3$ – $10$  mm and Q3s  
 428 reaching as high as  $\sim 16$  mm. The climatological and persistent duration thresholds af-  
 429 fected the resultant accumulated precipitation the most. We see that the more restric-  
 430 tive duration thresholds retained higher accumulated precipitation events when other  
 431 factors were fixed. The effects of changing the climatological thresholds, however, are  
 432 not as simple.

433 The AR indices based on the 75th percentile *IWV* performed as well as, if not bet-  
 434 ter than, any other 75th percentile indices in the West Coast region. Increasing the cli-  
 435 matological threshold of *IWV* beyond this point did not necessarily increase accumu-  
 436 lated precipitation (Figure 10a). Since the area swept by the ARs decreased (Figure S5)  
 437 and the event-average precipitation likely increased (e.g., Figure 8), the shorter event du-  
 438 ration (Figure 5) was responsible for this decline in accumulated precipitation. However,  
 439 among the *IVT*- and *IVT + IWV*-based indices, increased climatological thresholds  
 440 resulted in increased event-accumulated precipitation (Figure 10a). Even so, the event  
 441 duration decreased (Figure 5). Again, this could be attributed to the orographic effect  
 442 on intense precipitation, a prominent influencer of accumulated precipitation retained  
 443 by *IVT* and *IVT + IWV* but missed by *IWV* with restrictive climatological thresh-  
 444 olds. *IVT*'s prowess in capturing the accumulated precipitation stands out with the 95th-  
 445 percentile threshold, considering that 95th-percentile *IVT*- and *IWV*-based indices swept  
 446 over similar sizes of areas (Figure S5), and *IVT* indices tended to have shorter event du-  
 447 ration than *IWV* ones (Figure 5).

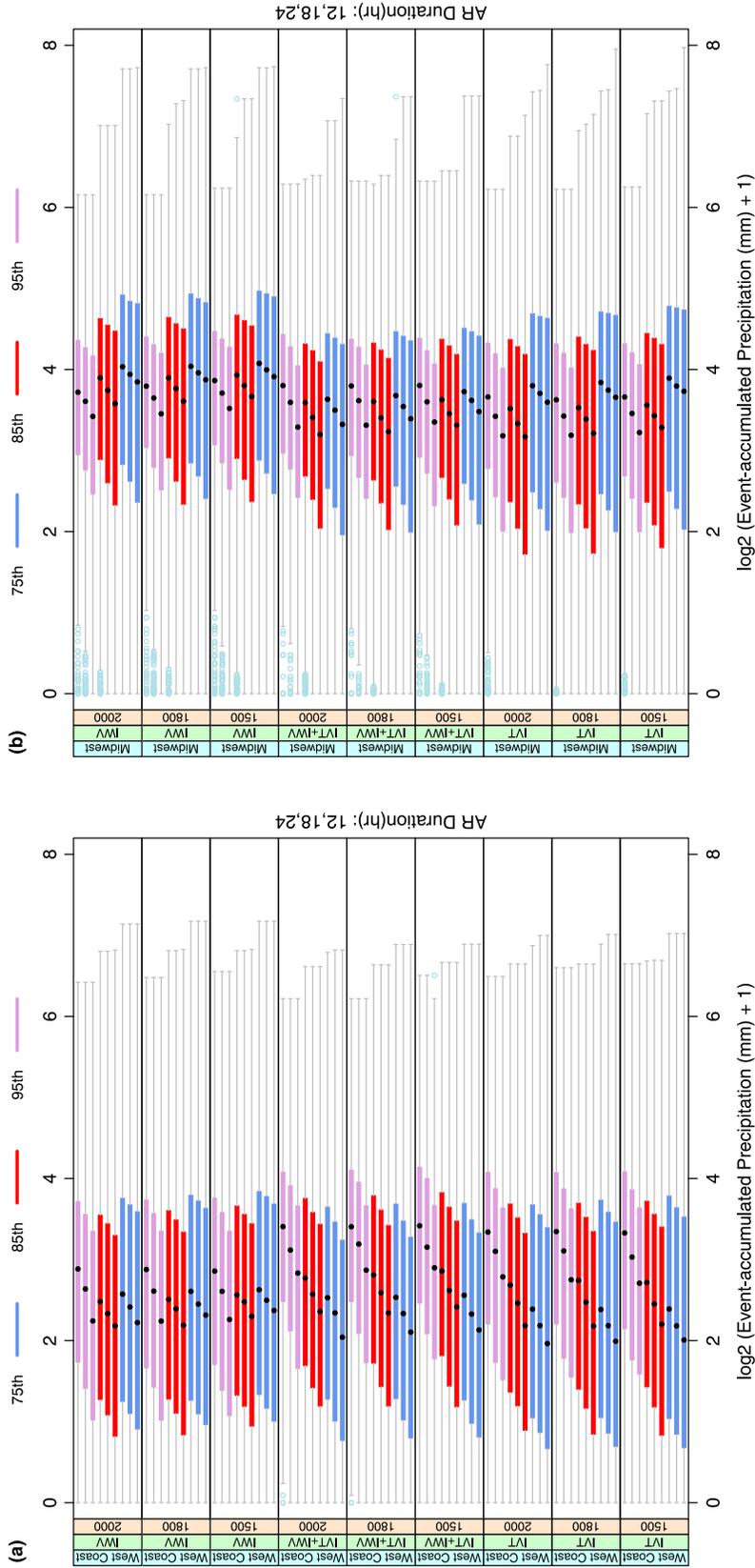
448 Figure 10b compares the accumulated precipitation across the 81 Midwest AR in-  
 449 dices using boxplots. In general, detected Midwest ARs tended to bring twice the amount  
 450 of event accumulated precipitation than the West Coast ARs. The Q2s, or median val-  
 451 ues, are at  $\sim 8$ – $16$  mm and Q3s extending to  $\sim 30$  mm. Similar to the West Coast AR  
 452 indices, more restrictive persistent duration thresholds led to higher accumulated pre-  
 453 cipitation. Different from the West Coast, indices based on *IWV* outperformed those  
 454 based on *IVT* or *IVT + IWV* and resulted in the most accumulated precipitation in  
 455 the Midwest across all climatological thresholds.

456 Moreover, increasing the climatological thresholds decreased accumulated precip-  
 457 itation regardless of choices of moisture field. Comparison between Figures 10a and 10b  
 458 shows that the choice of moisture field affected the detected AR's accumulated precip-  
 459 itation differently by region. AR indices with longer event duration (Figure 5) tend to  
 460 be associated with more event-accumulated precipitation in the Midwest, whereas in-  
 461 dices with larger event-average precipitation rate (Figure 8) are related to more precip-  
 462 itation accumulation in the West Coast. This strongly suggests that the choice of mois-  
 463 ture field for AR indices that best expresses surface precipitation impacts on a geograph-  
 464 ical region ultimately depends on the physical understanding of the region's precipita-  
 465 tion processes.

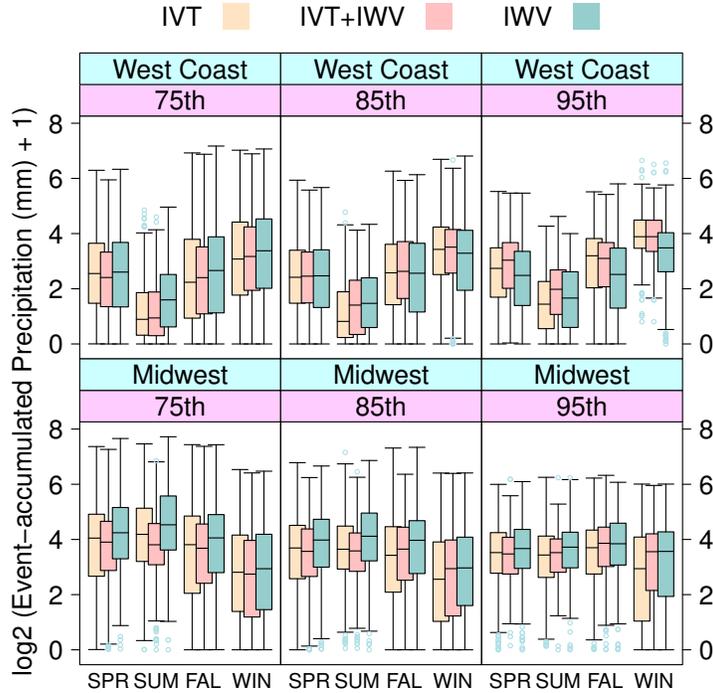
### 466 *3.3.3 Seasonal Effects on Event-Accumulated Precipitation*

467 Previous studies have demonstrated the seasonality of AR occurrence (Neiman et  
 468 al., 2008; Lavers & Villarini, 2015; Nayak & Villarini, 2017). With seasonality as a point  
 469 of departure, we further examined the event-accumulated precipitation. In particular,  
 470 section 3.3.2 showed that the climatological threshold and moisture field choices for an  
 471 AR index significantly affected its resultant accumulated precipitation. Figure 11, there-  
 472 fore, compares the accumulated precipitation across a group of AR indices using box-  
 473 plots conditional on locations, climatological thresholds, seasons, and moisture fields. For  
 474 simplicity, only indices with 1500-km length and 18-hr persistent duration thresholds are  
 475 shown.

476 Among landfalling West Coast ARs, there was a clear seasonal cycle in the accu-  
 477 mulated precipitation that maximized in the winter and minimized in the summer. The  
 478 phase of this seasonal cycle remained unchanged across all climatological thresholds. This  
 479 is consistent with the rainy and dry seasons in the West Coast, as well as the previous  
 480 conclusion that warm seasons had less AR-related precipitation in the West Coast (Neiman  
 481 et al., 2008). Moreover, the combined effects of climatological threshold and moisture  
 482 field on the event-accumulated precipitation also had seasonality. In the warm spring and  
 483 summer, *IWV*-based indices with the 75th climatological threshold led to the most ac-  
 484 cumulated precipitation. While in the fall and winter, *IVT*-based indices with the 95th  
 485 threshold corresponded with the most precipitation accumulation. This was likely due  
 486 to the significant orographic enhancement during the landfall of winter ARs but not sum-  
 487 mer ARs that Neiman et al. (2008) found.



**Figure 10.** Boxplots of event-accumulated precipitation (mm) over unit area swept by ARs in the (a) West Coast and (b) Midwest. The results are base-2 logarithmic transformation of the original values plus 1, and are conditional on nine combinations of moisture fields ( $IVT$ ,  $IWV$ , or  $IVT + IWV$ ) and AR length criteria (1500, 1800, or 2000 km). Each resultant packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours.



**Figure 11.** Boxplots of base-2 logarithmic transformation of event-accumulated precipitation (mm), plus 1, over unit area swept by AR in West Coast and Midwest during different seasons—spring (SPR: March–May), summer (SUM: June–August), fall (FAL: September–November), and winter (WIN: December–February)—according to *IVT*, *IVT+IWV*, and *IWV*-based AR indices with the 1500-km length and 18-hr persistent duration criteria, labeled as climate threshold in percentile 75th, 85th, or 95th in the purple box.

488 In contrast, among the Midwest ARs, as the climatological threshold increased, the  
 489 accumulated precipitation maxima shifted from the spring-summer to the fall, and the  
 490 amount in the winter increased. These suggest a dichotomy of synoptic systems asso-  
 491 ciated with Midwest ARs: In addition to extratropical cyclones, the warm-month ARs  
 492 received a significant amount of precipitation from maritime tropical air masses. Unlike  
 493 in the West Coast, *IWV*-based Midwest AR indices were associated with the most me-  
 494 dian precipitation across all climatological thresholds and seasons.

#### 495 4 Discussions

496 A single optimal AR detection algorithm expressing the surface precipitation im-  
 497 pacts does not exist. A hint of bifurcation in our analysis started in Figure 2, in which

498 the Midwest climate thresholds underwent a greater seasonal change than that of the  
499 West Coast. In section 3.3, we further found that, with meandering south-north moun-  
500 tain ranges in the West Coast, *IVT*-based detection algorithms captured the intense oro-  
501 graphic precipitation better than the *IWV*-based ones. This is consistent with the trend  
502 to use *IVT*-based detection algorithms (Guan & Waliser, 2015). However, in the Mid-  
503 west, in the absence of prominent orographic lifting, *IWV*-based AR indices were as-  
504 sociated with most event-average precipitation and event-accumulated precipitation.

505 Midwest ARs recruit moisture from tropical sources such as the Gulf of Mexico,  
506 Caribbean Sea, subtropical eastern North Pacific, and the Atlantic coast of Central Amer-  
507 ica (Dirmeyer & Kinter, 2009, 2010). The diverse sources complicate the ARs' charac-  
508 teristics (Dirmeyer & Kinter, 2010). In section 3.3.3, the seasonality of event-accumulated  
509 precipitation in the Midwest shifted its peak phase from warm to cold seasons along with  
510 rising climate thresholds (Figure 11), suggesting a rolling change of moisture sources and  
511 baroclinicity as the seasons progressed. On the other hand, West Coast AR's peak phase  
512 remained the same regardless of the changing climate threshold. There is a caveat, how-  
513 ever. The West Coast's south-north geographic features are inhomogeneous. The land-  
514 falling AR characteristics between the Pacific Northwest and California coast are differ-  
515 ent in terms of occurrence frequency, occurrence time, and distribution and intensity of  
516 related precipitation (Neiman et al., 2008). Therefore, to further refine the AR detec-  
517 tion algorithms, the entire North American West Coast ARs could be divided into north-  
518 west and southwest ARs. Such spatial division could better quantify ARs' contribution  
519 to precipitation in each region. To illustrate this, we divided the West-Coast region at  
520 California's north most boundary of 42°. Figure S7 shows that the the Northwest ARs  
521 (Figure S7a) tended to yield much more event-accumulated precipitation than the South-  
522 west ARs at the 75th and 85th climate thresholds, while the difference appeared to be  
523 less at the 95th threshold (Figure S7b). Nevertheless, in either region, the effects of the  
524 climate thresholds, persistent AR durations, and moisture fields on the resultant event-  
525 accumulated precipitation are qualitatively consistent with those in the entire West-Coast  
526 region (Figure 10) in section 3.3.2.

527 The combined *IVT*+*IWV*-based indices should be used cautiously. It is only the  
528 best of both worlds when the goal is to extract snapshots of extreme precipitating events.  
529 As seen in Figure 8, it led to the highest event-average precipitation rate in both West  
530 Coast and Midwest. This was, however, achieved through few and short events (Figures 4a,

531 5). In fact, they performed the worst in AR–precipitation relation metrics such as *Accuracy*  
532 and *F1 scores* (Figures 6, 7).

533 Moreover, climate thresholds and moisture fields had first-order influences on the  
534 associated surface hydrometeorological impacts. However, more restrictive persistent du-  
535 ration thresholds can help obtain higher event-accumulated precipitation if that is the  
536 goal of detection (Figure 10).

537 The above findings appear to be robust against the inherently nonstationary time  
538 series. To demonstrate this, we divided the data into two time periods: (1) January 1981–  
539 December 1998 and (2) January 1999–December 2016. Similar to Figure 10, Figures S8  
540 and S9 show the boxplots of event-accumulated precipitation per unit area but for the  
541 81 West Coast and 81 Midwest AR indices during the first and the second periods, re-  
542 spectively. The resultant distributions across all AR indices in these two separate pe-  
543 riods are qualitatively similar to those in the entire study period (Figure 10). However,  
544 West Coast ARs yielded more event-accumulated precipitation in the first period (Fig-  
545 ure S8a) than during the second period (Figure S9a), as suggested by the median and  
546 maximum values. The disparity suggests a wetter AR-related climate condition in the  
547 West Coast in the first period than the second. While in the Midwest, AR-related event-  
548 accumulated precipitation does not show a pronounced difference between the two pe-  
549 riods (Figure S8b and S9b). Still, the maximum values might have increased in the sec-  
550 ond period. The Midwest extreme AR-related event-accumulated precipitation warrants  
551 detailed study in the future. Moreover, Figure S10 shows the seasonality of event-accumulated  
552 precipitation conditional on climate thresholds during the two separate periods. Still,  
553 the results are comparable to those in Figure 11.

554 Calculation of *IVT*-based indices requires height-dependent horizontal winds, so  
555 reanalysis data are indispensable. Previous studies have suggested that AR character-  
556 istics were robust across different reanalysis data (Nayak & Villarini, 2017; Ralph et al.,  
557 2019). We used MERRA-2 here since Nayak and Villarini (2017) recommended high-resolution  
558 products for AR impact assessments. Nevertheless, we showed that depending on the  
559 goal, *IWV* could provide optimal AR indices. When *IWV* is useful, researchers can use  
560 satellite or radiosonde water vapor measurements in lieu of reanalysis.

## 561 5 Conclusions

562 This paper investigated the optimal AR detection algorithm for expressing AR's  
 563 surface precipitation effects using the MERRA-2 data for ARTMIP. We applied a data-  
 564 driven approach by first asking which impacts, in which region, and in what time scale  
 565 and period were of concern. We then used an algorithm combining climatological thresh-  
 566 olds, image processing, and statistical methods to create large ensembles of AR indices  
 567 for answering the questions with uncertainty quantification aided by detailed data vi-  
 568 sualization. Specifically, we varied the values of four factors—moisture fields, climato-  
 569 logical thresholds, shape criteria, and duration thresholds—to generate an ensemble of  
 570 81 AR indices for the US West Coast and 81 indices for the Midwest regions from 1980  
 571 to 2017 (Figure 1). With CPC US Unified data, we examined the AR indices' associa-  
 572 tion with the surface precipitation impacts, including the daily co-occurrence (section  
 573 3.2), event-average precipitation rate (section 3.3.1), and per-event accumulation (sec-  
 574 tions 3.3.2 and 3.3.3).

575 The identified Midwest ARs had more accumulated time steps (Figure 4b), longer  
 576 average per-event durations (Figure 5), more event-average precipitation (Figures 8, S3,  
 577 and S4), and more event-accumulated precipitation (Figures 10, S8, and S9) than the  
 578 West Coast ARs. The results were sensitive to the selection of moisture field and clima-  
 579 tological threshold in index generation. In West Coast and Midwest, *IWV*-based AR  
 580 indices identified the most abundant AR event time steps and most accurately associ-  
 581 ated AR to days with precipitation. These were observed at the coarse-grain regional  
 582 (Figure 6) and fine-grain grid-point scales (Figure 7). A restrictive climate threshold,  
 583 such as the 95th percentile, emphasized extreme instances but limited event duration;  
 584 therefore, it led to higher event-average precipitation rates. The most restrictive com-  
 585 bination of 95th percentile *IVT+IWV*-based indices yielded the highest average pre-  
 586 cipitation (Figures 8, S5, and S6).

587 However, it is important to use both event-average and event-accumulated precip-  
 588 itation as metrics for surface hydrometeorological impacts when scrutinizing the AR in-  
 589 dices. Therefore, we defined an area swept by each AR event (Figures 9, S5, and S6) and  
 590 calculated the event-accumulated precipitation per unit area for each AR index (Figures 10,  
 591 S8, and S9). On the West Coast, the 75th percentile *IWV*-based indices were associated  
 592 with the most accumulated precipitation, while the 95th percentile *IVT* captured the

593 accumulated precipitation the best (Figures 10a, S8a, and S9a). This could be explained  
594 by the *IVT*'s better representation of intense coastal orographic precipitation. *IWV*-  
595 based AR indices with the longest persistent duration thresholds were associated with  
596 the most accumulated precipitation in the Midwest across a range of climate thresholds  
597 (Figures 10b, S8b, and S9b). Therefore, we recommend to use *IWV*-based algorithm to  
598 identify AR-related surface precipitation in the Midwest but *IVT*-based algorithm to  
599 capture the orographically-induced precipitation in the West Coast.

600 Even more, the AR event-accumulated precipitation showed seasonality (Figures 11  
601 and S10). The accumulated precipitation of all West Coast landfalling ARs had a clear  
602 seasonal cycle with the maximum in the winter and the minimum in the summer. How-  
603 ever, for the Midwest ARs, the phase of the seasonal cycle depended on the climatolog-  
604 ical threshold. Increasing the climatological threshold from the 75th to the 95th percentile  
605 shifted the maxima from the spring–summer to fall and accentuated winter precipita-  
606 tion; this reflects the effects of seasonal change of moisture sources, convective instabil-  
607 ity, and atmospheric baroclinicity.

608 In conclusion, an optimal AR detection algorithm should be adaptive to the types  
609 of impact to be addressed, the associated physical mechanisms in the affected regions,  
610 timing such as the phase in the seasonal cycle, and event durations. The systematic en-  
611 semble approach we used was made possible by distributed parallel computing with data  
612 and, specifically, the divide-and-recombine approach using the R-based DeltaRho back-  
613 ended by a Hadoop system. This study's findings provide useful information for future  
614 creators and users of AR indices who consider surface precipitation in their decision pro-  
615 cesses. Our detection algorithms and computational approach can be applied to climate  
616 model output, such as CMIP6, to explore the changes of ARs and AR-related surface  
617 precipitation impacts in climate change scenarios.

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623 for this research are openly available through NCAR CDG (2019), NOAA/OAR/ESRL  
624 PSL (2021). AR indices further generated are available as Purdue data in NCAR CDG

(2021); special thanks to P. Ullrich and C. Shields for helping make the data available.  
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# Supporting Information for “In Search of The Optimal Atmospheric River Index for US Precipitation: A Multifactorial Analysis”

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## Contents of this file

1. Figures S1 to S10

## Introduction

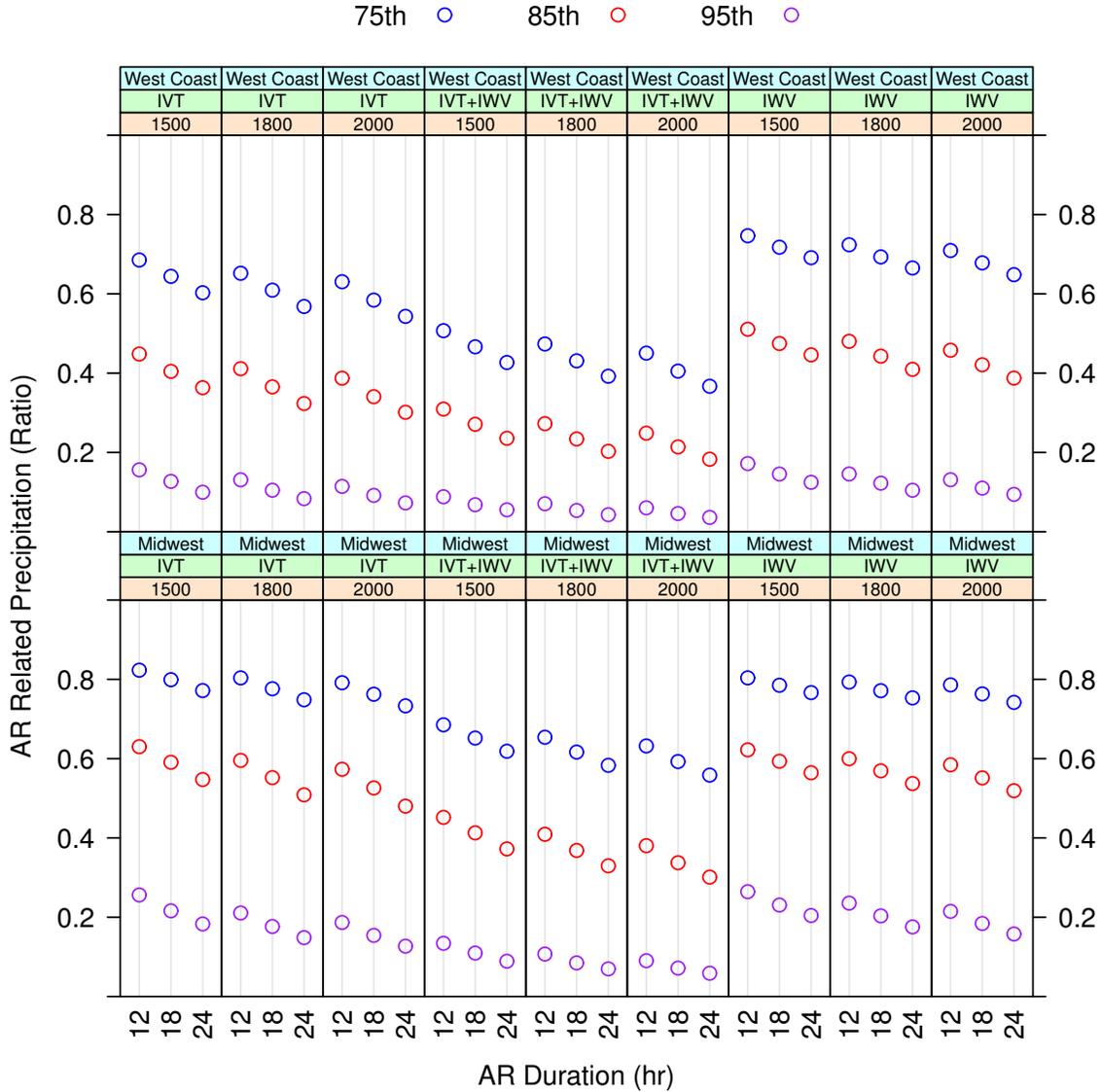
This supporting information provides supplementary figures showing, for the West Coast and the Midwest AR indices from January 1980 to June 2017, the coarse-grain *AR Related Precipitation* and *Precision* values, fine-grain event-average precipitation rate distributions, and the distributions of surface areas swept by AR events. The West-Coast region is further divided into Northwest and Southwest regions, and the respective event-accumulated precipitation over unit area swept by ARs are shown. In addition,

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West-Coast or Midwest AR event-accumulated precipitation over unit area in (1) January 1981–December 1998 and (2) January 1999–December 2016 are shown, with or without being conditional on the season.



**Figure S1.** Dotplots of coarse-grain *AR Related Precipitation* calculated for 81 West-Coast (top-row) and 81 Midwest (bottom-row) AR indices. The fraction of total days with precipitation attributable to identified ARs (in ratio) are plotted on each panel, conditional on 18 combinations of regions (West Coast or Midwest), moisture fields (*IVT*, *IWV*, or *IVT+IWV*), and AR length criteria (1500, 1800, or 2000 km). The results are 18 packets, or subsets, of values. Each packet has 9 paired values of *AR Related Precipitation* in the *y*-axis and one of the AR persistent duration thresholds (12, 18, or 24 hours along the *x*-axis), grouped with color by climatological thresholds (75th, 85th, or 95th percentiles).

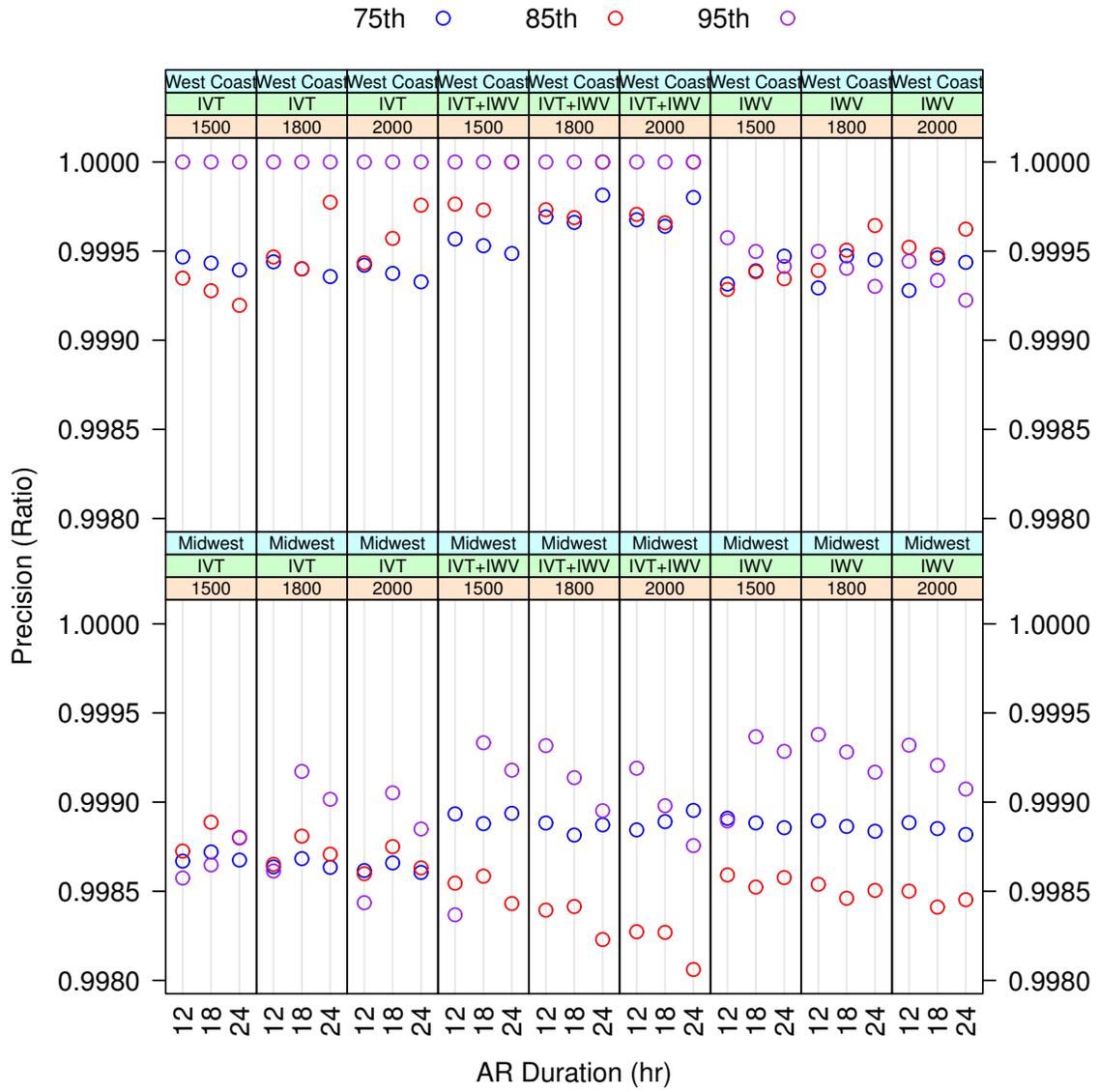
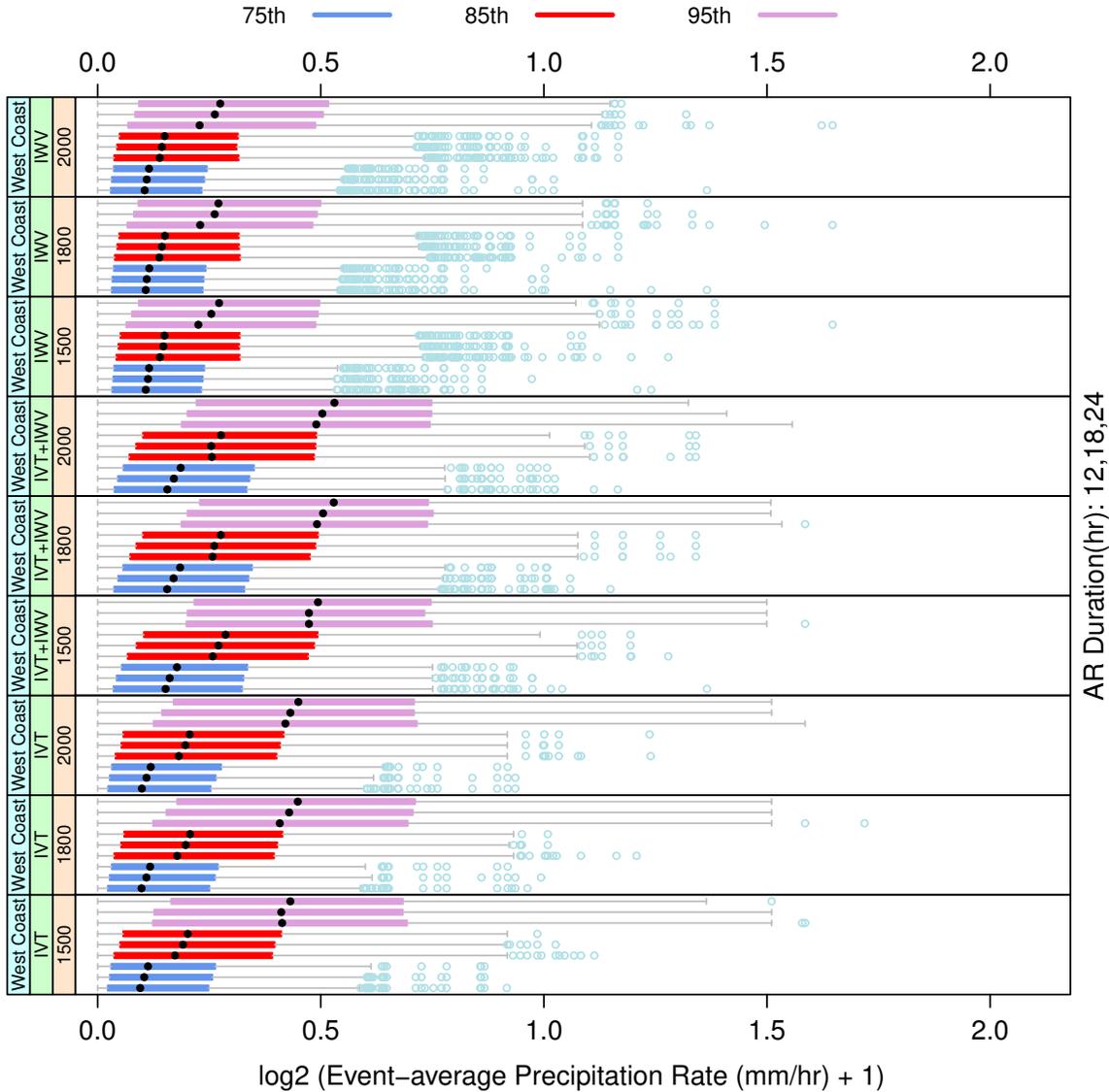


Figure S2. Similar to Figure S1, but for *Precision*.



**Figure S3.** Based on the West Coast AR indices, boxplots of base-2 logarithmic transformation of event-average precipitation rate plus 1 (in  $\text{mm hr}^{-1}$ ) over unit area. Each figure has nine packets from combinations of three moisture (*IVT*, *IWW*, or *IVT + IWW*) and three AR length criteria (1500, 1800, or 2000 km). Each packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours. Each boxplot includes the colored box spanning from Q1 to Q3 of the distribution, a black dot marking the median, and the whiskers are marked in grey. The whiskers extend to the most extreme data point that is no more than 1.5 times the length of the box (IQR) away from the box. Any data points outside the whiskers are marked as potential outliers in light blue.

March 21, 2021, 1:17am

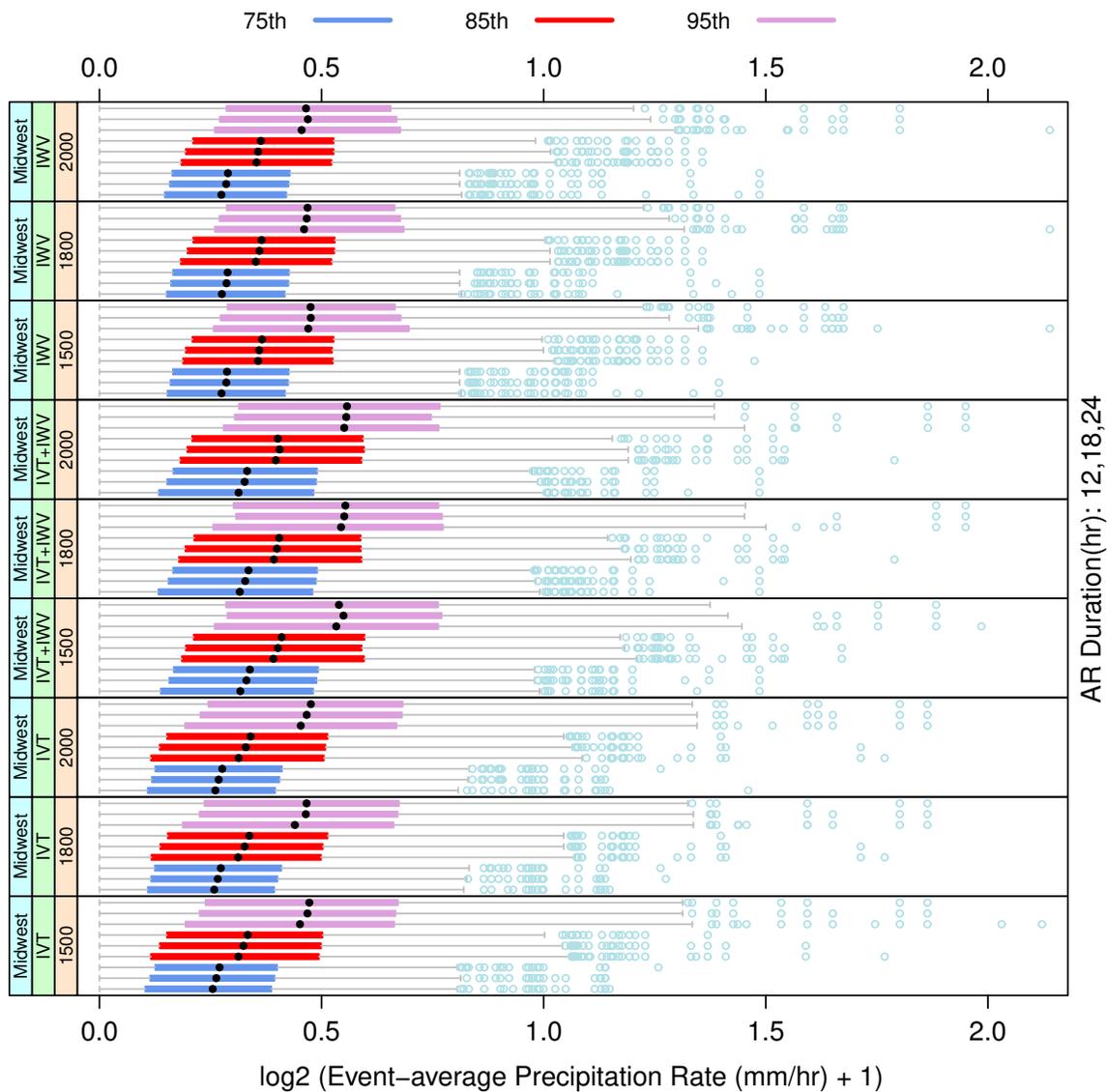
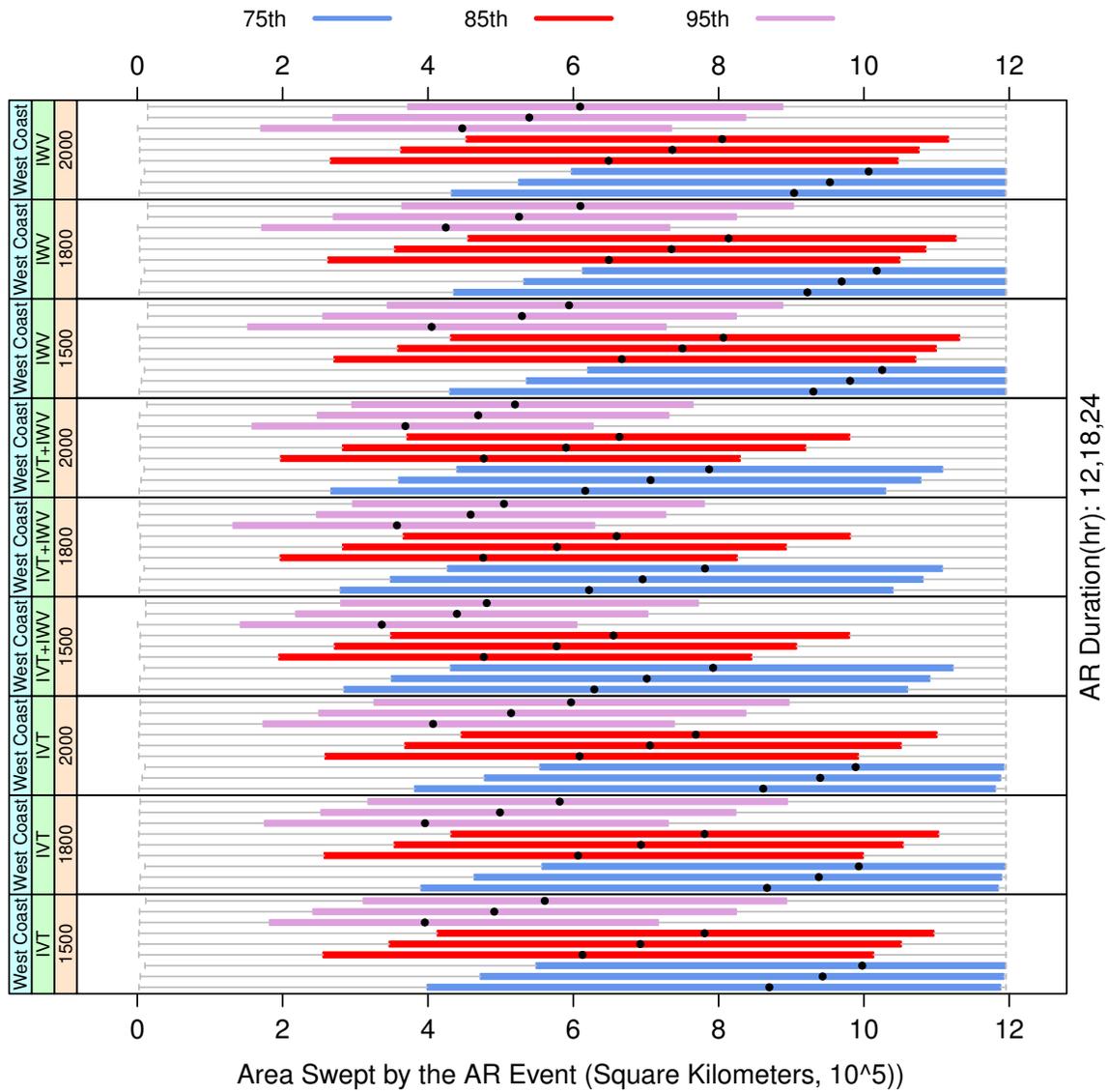


Figure S4. Similar to Figure S3, but based on the Midwest AR indices.



**Figure S5.** Similar to Figure S3, but for boxplots of surface area (in  $10^5 \text{ km}^2$ ) swept by the detected ARs for each AR event for the West Coast. Each figure has nine packets from combinations of three moisture (*IWT*, *IWW*, or *IWT + IWW*) and three AR length criteria (1500, 1800, or 2000 km). Each packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours.

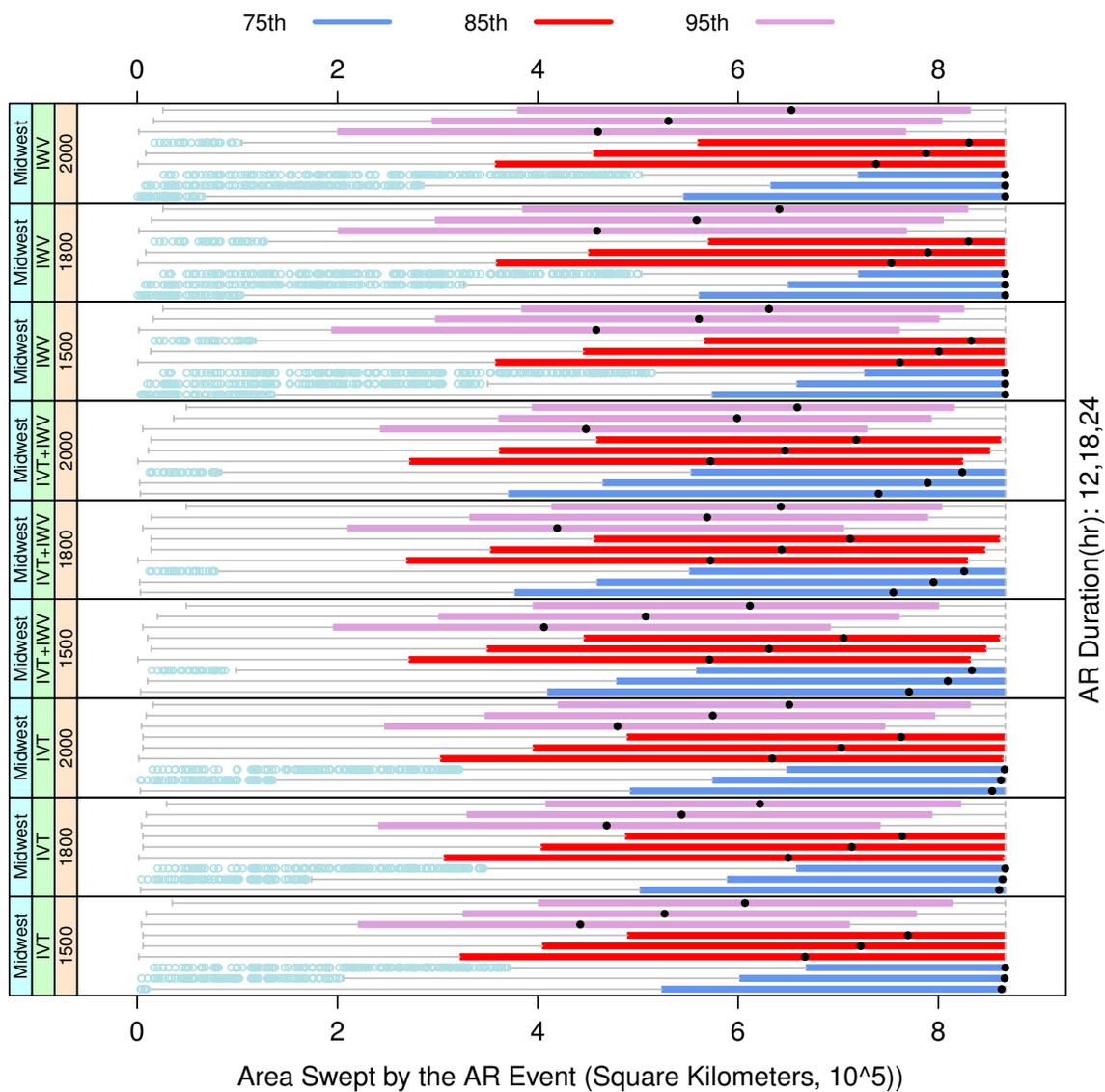
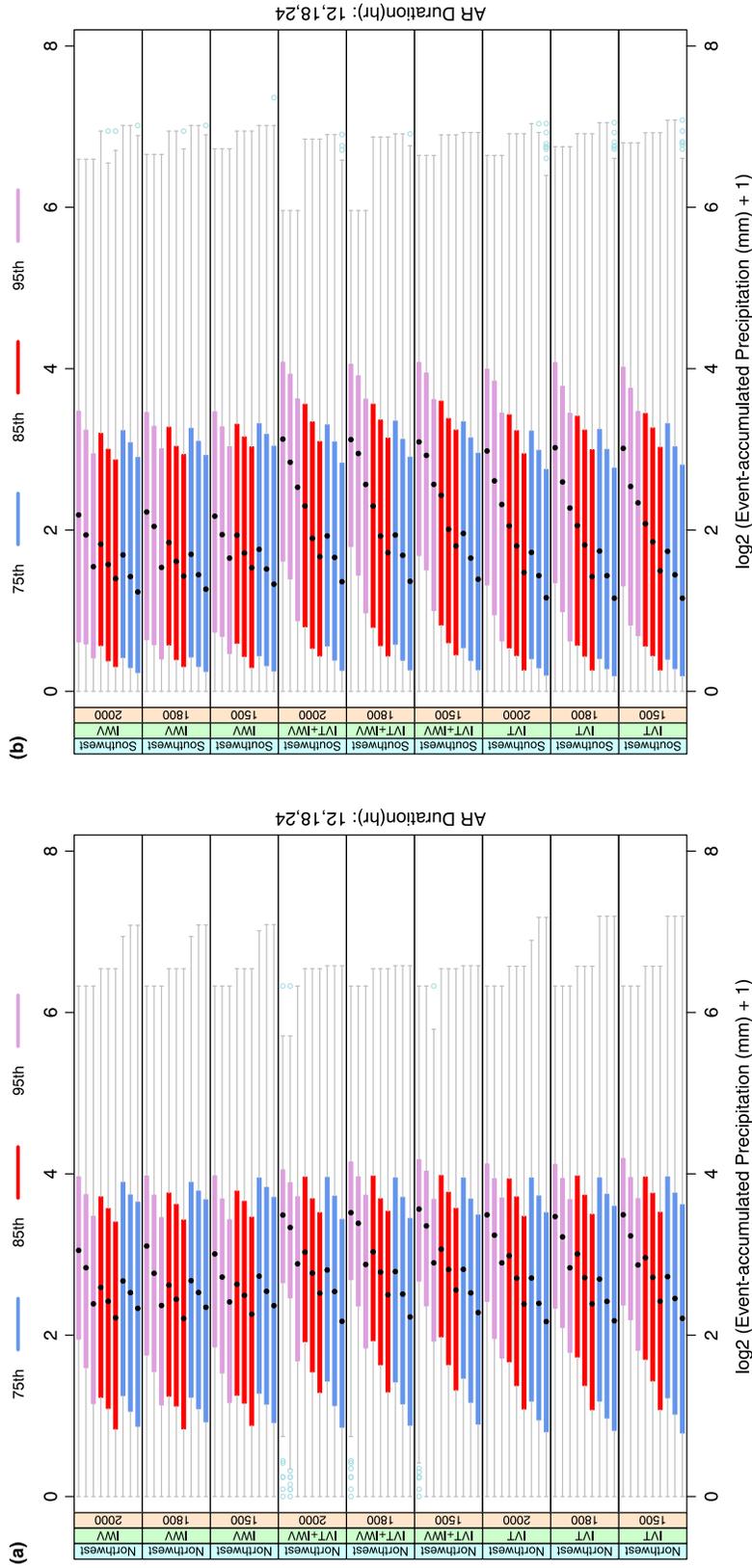
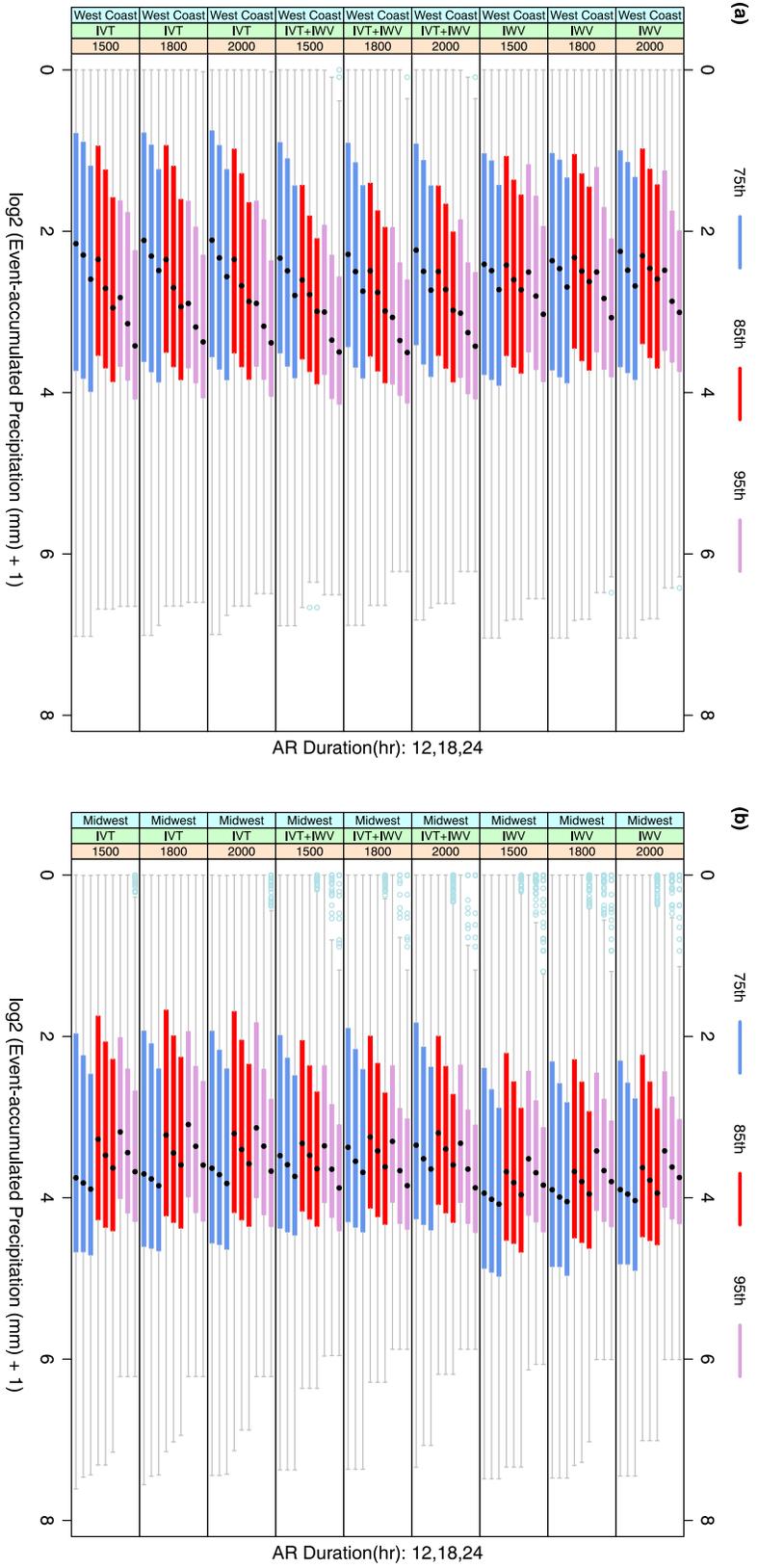


Figure S6. Similar to Figure S5, but for the Midwest.



**Figure S7.** Boxplots of event-accumulated precipitation (mm), plus 1, over unit area swept by AR in the (a) Northwest and (b) Southwest regions. The results are base-2 logarithmic transformation of the original values plus 1, and are conditional on nine combinations of moisture fields (*IVT*, *IWV*, or *IVT + IWV*) and AR length criteria (1500, 1800, or 2000 km). Each resultant packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours.



**Figure S8.** Boxplots of event-accumulated precipitation (mm) over unit area swept by ARs in the (a) West Coast and

(b) Midwest during the first period (January 1981–December 1998). The results are base-2 logarithmic transformation of the original values plus 1, and are conditional on nine combinations of moisture fields ( $IVT$ ,  $IWV$ , or  $IVT + IWV$ ) and AR length criteria (1500, 1800, or 2000 km). Each resultant packet has nine boxplots grouped by color into three levels of climatological thresholds (75th, 85th, or 95th percentile). Within each triplet, from bottom to top, the persistent duration thresholds increase from 12, 18, to 24 hours.

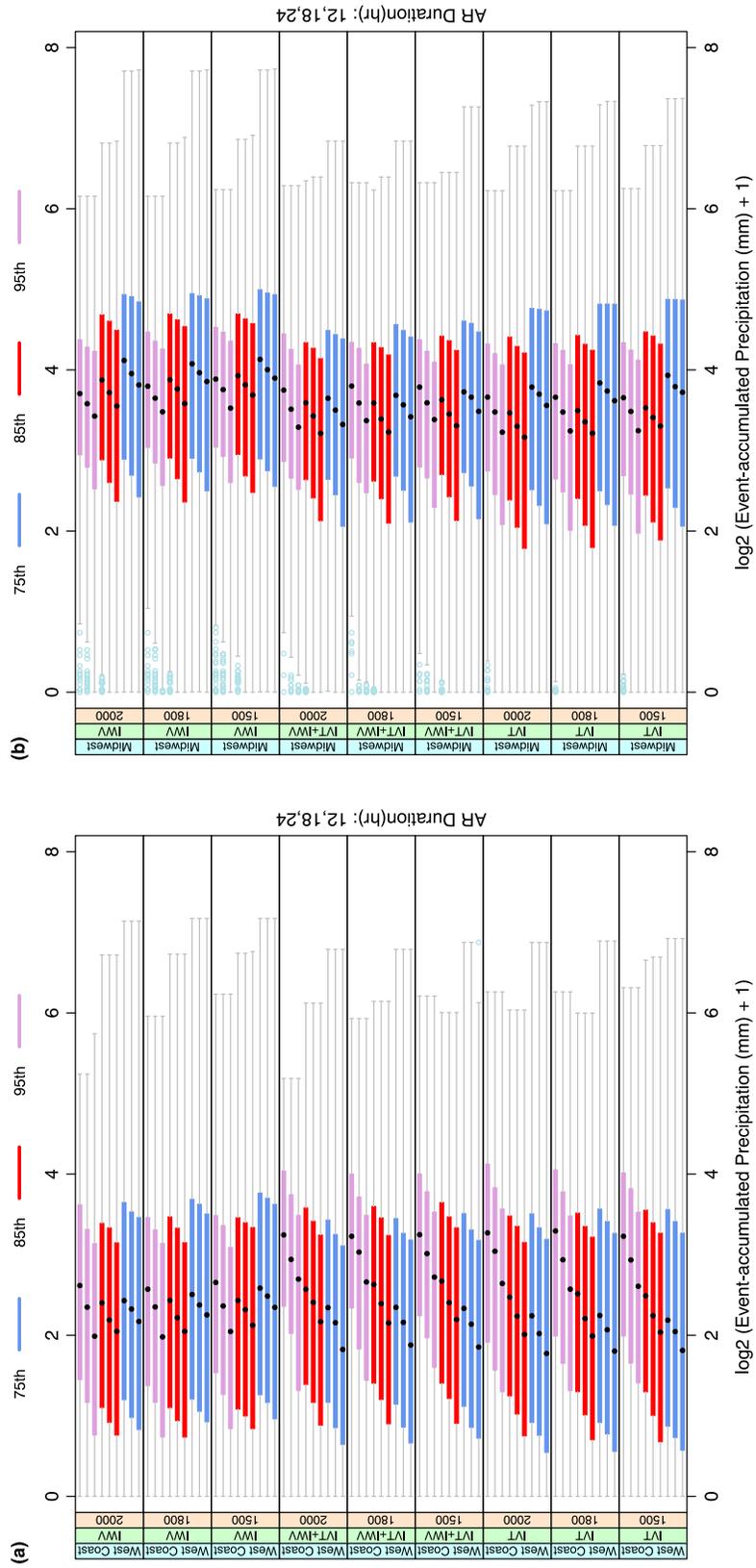
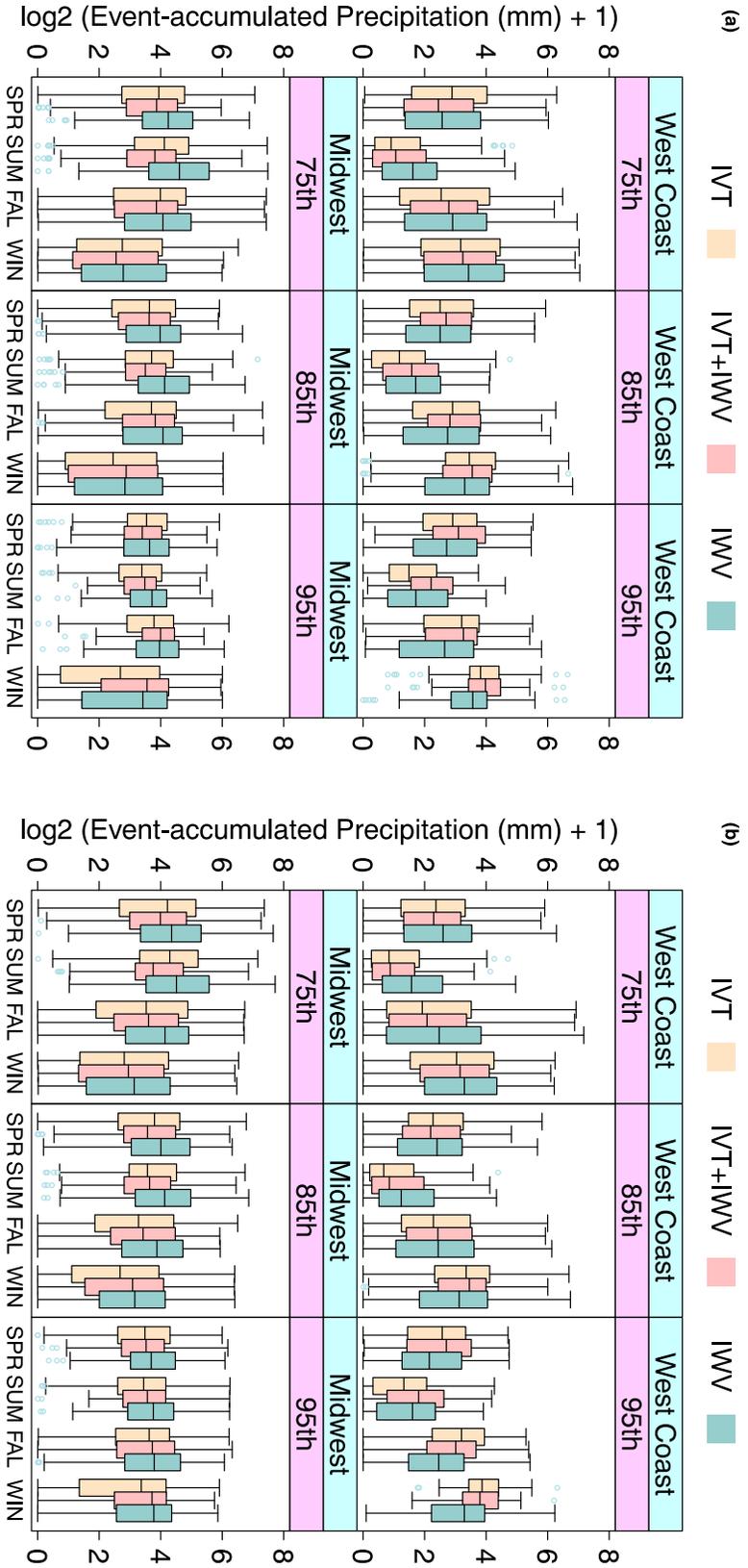


Figure S9. Similar to Figure S8, but for the second period (January 1999–December 2016).



**Figure S10.** Boxplots of base-2 logarithmic transformation of event-accumulated precipitation (mm), plus 1, over unit area swept by AR in West Coast and Midwest during (a) the first period (January 1981–December 1998) and (b) the second period (January 1999–December 2016) in different seasons—spring (SPR: March–May), summer (SUM: June–August), fall (FAL: September–November), and winter (WIN: December–February)—according to *IVT*, *IVT* + *IWV*, and *IWV*-based AR indices with the 1500-km length and 18-hr persistent duration criteria, labeled as climate threshold in percentile 75th, 85th, or 95th in the purple box.