Modeling the GABLS4 strongly-stable boundary layer with a GCM parameterization: parametric sensitivity or intrinsic limits?

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Abstract

The representation of stable boundary layers (SBLs) still challenges turbulence parameterizations implemented in current weather or climate models. The present work assesses whether these model deficiencies reflect calibration choices or intrinsic limits in currently-used turbulence parameterization formulations and implementations. This question is addressed for the ARPEGE-Climat 6.3 CNRM atmospheric model in a single-column model/large-eddy simulation (SCM/LES) comparison framework, using the history matching with iterative refocusing statistical approach. The GABLS4 case, which samples a nocturnal strong SBL observed at Dome C, Antarctic Plateau, is used. The standard calibration of the ARPEGE-Climat 6.3 turbulence parameterization leads to a too deep SBL, a too high low-level jet and misses the nocturnal wind rotation. This behavior is found for low and high vertical resolution model configurations. The statistical tool then proves that these model deficiencies reflect a poor parameterization calibration rather than intrinsic limits of the parameterization formulation itself. In particular, the role of two lower bounds that were heuristically introduced during the parameterization implementation to increase mixing in the free troposphere and to avoid runaway cooling in snow- or ice-covered region is emphasized. The statistical tool identifies the space of the parameterization free parameters compatible with the LES reference, accounting for the various sources of uncertainty. This space is non-empty, thus proving that the ARPEGE-Climat 6.3 turbulence parameterization contains the required physics to capture the GABLS4 SBL. The SCM framework is also used to validate the statistical framework and a few guidelines for its use in parameterization development and calibration are discussed.

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« Key Points:

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9	•	The ARPEGE-Climat 6.3 performance in modeling a stable boundary layer is as-
10		sessed in a single-column model/large-eddy simulation comparison
11	•	The use of history matching with iterative refocussing indicates turbulence param-
12		eterization deficiencies due to poor calibration
13	•	Validation of the statistical framework and guidelines for its use in parameteri-
14		zation development and calibration are discussed

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15 Abstract

The representation of stable boundary layers (SBLs) still challenges turbulence param-16 eterizations implemented in current weather or climate models. The present work assesses 17 whether these model deficiencies reflect calibration choices or intrinsic limits in currently-18 used turbulence parameterization formulations and implementations. This question is 19 addressed for the ARPEGE-Climat 6.3 CNRM atmospheric model in a single-column 20 model/large-eddy simulation (SCM/LES) comparison framework, using the history match-21 ing with iterative refocusing statistical approach. The GABLS4 case, which samples a 22 nocturnal strong SBL observed at Dome C, Antarctic Plateau, is used. The standard cal-23 ibration of the ARPEGE-Climat 6.3 turbulence parameterization leads to a too deep SBL, 24 a too high low-level jet and misses the nocturnal wind rotation. This behavior is found 25 for low and high vertical resolution model configurations. The statistical tool then proves 26 that these model deficiencies reflect a poor parameterization calibration rather than in-27 trinsic limits of the parameterization formulation itself. In particular, the role of two lower 28 bounds that were heuristically introduced during the parameterization implementation 29 to increase mixing in the free troposphere and to avoid runaway cooling in snow- or ice-30 covered region is emphasized. The statistical tool identifies the space of the parameter-31 ization free parameters compatible with the LES reference, accounting for the various 32 sources of uncertainty. This space is non-empty, thus proving that the ARPEGE-Climat 33 6.3 turbulence parameterization contains the required physics to capture the GABLS4 34 SBL. The SCM framework is also used to validate the statistical framework and a few 35 guidelines for its use in parameterization development and calibration are discussed. 36

³⁷ Plain Language Summary

During the night or in snow- or ice-covered region, a stable atmospheric boundary 38 layer (SBL) often develops. Their representation still challenges turbulence parameter-39 izations implemented in numerical weather or climate models. The present work assesses 40 whether the ARPEGE-Climat atmospheric model deficiencies reflect calibration choices 41 or intrinsic limits in its turbulence parameterization using statistical approach from the 42 Uncertainty Quantification Community. A single-column version of the model is eval-43 uated on the GABLS4 case, a nocturnal strong SBL observed at Dome C, Antarctic plateau, 44 and compared to high-resolution simulations. The standard calibration of the ARPEGE-45 Climat 6.3 turbulence parameterization leads to a too deep SBL and an incorrect wind 46 pattern and so for different vertical resolutions. The statistical tool proves that these model 47 deficiencies are rectified with proper calibration of the turbulence parameterization. In 48 particular, it is shown that two lower bounds, introduced to increase turbulent mixing, 49 are key to capture the GABLS4 SBL. Finally, the potential and relevance of the Uncer-50 tainty Quantification approach applied to the Single-Column Model/Large-Eddy Sim-51 ulation comparison framework for the calibration of climate models are highlighted and 52 few guidelines for its use are proposed. 53

54 **1** Introduction

In the atmospheric boundary layer, stably stratified conditions generally develop 55 above a surface colder than the overlying air. These Stable Boundary Layers (SBLs) of-56 ten result from the advection of warm air over a cold surface or from the cooling of the 57 surface. They are frequently observed over ice or snow surfaces (e.g., polar regions, high-58 latitude continental regions in wintertime) and over land during nighttime. Their devel-59 opment and intensity (e.g., the vertical stratification) are also strongly modulated by the 60 atmospheric synoptic conditions. Weak cloud cover during nighttime also favors SBL oc-61 currence, as such conditions enhance the radiative cooling of the surface (Mahrt, 1998). 62 In contrast, the occurrence of strong near-surface wind reduces the SBL stratification, 63

or even inhibits their development, through the maintenance of significant mechanical
 mixing (e.g., Van de Wiel et al., 2012).

SBL can be classified according to the intensity of their stratification (at first or-66 der the vertical gradient of potential temperature), ranging from weak SBLs in which 67 the turbulence remains significant, to strong SBLs, in which the turbulence become in-68 termittent or even disappears (e.g. Mahrt, 1998; Acevedo et al., 2016; van Hooft et al., 69 2017). In the latter conditions, a mechanical decoupling between the atmosphere and 70 the surface can occur (Derbyshire, 1999): the temperature inversion close to the surface 71 72 becomes driven by radiation and soil diffusion and the surface turbulent heat flux cannot sustain the surface energy demand enhanced by a strong net surface radiative cool-73 ing (e.g. Van de Wiel et al., 2012; van Hooft et al., 2017). Such strong SBLs mostly oc-74 cur under clear-sky and weak wind conditions, with a strong increase of the near-surface 75 temperature inversion below a critical wind speed (e.g. van Hooft et al., 2017; Vignon, 76 van de Wiel, et al., 2017). 77

The representation of SBLs in operational climate and weather models is a chal-78 lenge (e.g. Holtslag et al., 2013): the turbulence is particularly weak and sometimes in-79 termittent (e.g. Mauritsen & Svensson, 2007), and interacts with other small-scale pro-80 cesses (e.g., gravity waves Steeneveld et al., 2008; Tsiringakis et al., 2017). Under the 81 umbrella of the Global Energy and Water Cycle Exchanges (GEWEX) project, the GEWEX 82 Atmospheric Boundary Layer Study (GABLS) has initiated four model intercompari-83 son projects (Cuxart et al., 2006; Svensson et al., 2011; Bosveld et al., 2014; Bazile et 84 al., 2015) to evaluate and improve the SBL representation in weather and climate mod-85 els. So far, the GABLS intercomparison exercises revealed: 86

- 1. Large-eddy simulations (LES) are able to consistently capture the main proper-87 ties of stable boundary layers, at least when their resolution is below a few me-88 ters for weak to moderate SBL (e.g. Beare et al., 2006) or 1 m for strong SBL (Couvreux, 89 Bazile, et al., 2020). As a result, such LES provide relevant process-level informa-90 tion to evaluate turbulence parameterizations in an LES/Single-column model (SCM) 91 comparison framework (e.g. D. A. Randall et al., 1996; D. Randall et al., 2003) 92 2. State-of-the-art turbulence parameterizations, such as those with a 1.5-order tur-93 bulence closure, are able to reasonably capture the physics of SBLs for a wide range 94 of forcing (e.g. Cuxart et al., 2006; Baas et al., 2018; Vignon, Hourdin, et al., 2017) 95 3. Nevertheless, current weather and climate models still simulate SBLs that are too 96 deep, with surface drag that is too strong, low-level jets that are too weak and too 97
- high and wind veering with height that is too weak (e.g. Cuxart et al., 2006; Holtslag et al., 2013).

The apparent contradiction between the two last conclusions results from the cal-100 ibration of weather or climate models which, so far, has required an increased turbulent 101 mixing to reduce the activity of synoptic systems, and thereby improve operational scores 102 (e.g. Sandu et al., 2013), or to prevent runaway surface cooling through long-term me-103 chanical decoupling with the atmosphere (Derbyshire, 1999). Such a calibration prob-104 ably reflects the lack of mixing due to processes that are currently not accounted for in 105 weather and climate models (e.g., surface heterogeneities, internal gravity waves, impact 106 of subgrid orography). 107

Recently Vignon, Hourdin, et al. (2017), Vignon et al. (2018) and Hourdin et al. 108 (2020) showed that it is possible to achieve a reasonable representation of SBLs in a cli-109 mate model (LMDZ), while maintaining reasonable large-scale performance. Starting with 110 an SCM framework built on the very stable boundary layer of GABLS4 (Bazile et al., 111 2015), (Vignon, Hourdin, et al., 2017) underline the importance of (i) the coupling with 112 the surface (snow albedo and thermal inertia) and (ii) the turbulent mixing thresholds 113 usually used in current operational turbulence parameterizations (e.g., for the mixing 114 length or in stability functions). More specifically, the appropriate calibration of surface 115

(snow) properties and the removal of those thresholds in the turbulence parameteriza-116 tion allows the LMDZ SCM to capture well the strong temperature gradient close to the 117 surface (in the first 20 meters), observed at Dome C, Antarctica, during an austral sum-118 mer night. (Vignon et al., 2018) follow on with 3D LMDZ simulations facing the obser-119 vations collected at Dome C during one year. On the one hand, the SCM improved re-120 sults are consistently reported in this 3D configuration, stressing the relevance of the SCM 121 framework for developing and calibrating parameterizations. On the other hand, the new 122 version of the LMDZ model adequately reproduces the annual cycle of Dome C, the two 123 main SBL regimes discussed above, and preserves satisfying large-scale skills. Finally, 124 the vertical resolution in the lower part of the boundary layer is also shown to be crit-125 ical for capturing SBLs that cover only a few tens of meters (see also Steeneveld et al., 126 2006). 127

Following (Vignon, Hourdin, et al., 2017; Vignon et al., 2018), the general objec-128 tive of the present work is to document the performance of the CNRM climate atmo-129 spheric model, namely ARPEGE-Climat 6.3 (Roehrig et al., 2020), to represent SBLs. 130 The focus is here on its turbulence parameterization, which is based on the work of Cuxart 131 et al. (2000). The parameterization of a given process seeks to represent its effects on 132 the large-scale (or resolved) state of the model. It is based on a set of physical theories 133 or empirical relationships to numerically describe the subgrid-scale processes and their 134 effects. Parameterizations introduce a number of constants, called free parameters in the 135 following, which are often difficult to constrain with observations or other references. A 136 parameterization can thus be seen as a function of the model state variables and of these 137 free parameters. Their calibration, or "tuning", is a critical step in model development 138 for weather or climate applications (e.g. Hourdin et al., 2017). In the present paper, we 139 therefore propose to address the following specific question: Is it possible to calibrate the 140 ARPEGE-Climat turbulence parameterization to achieve a satisfying representation of 141 SBLs, especially those with a strong thermal stratification? In other words, does the 142 ARPEGE-Climat turbulence parameterization contain the required physics to represent 143 appropriately strong SBLs? 144

(Cuxart et al., 2006) shows that the current turbulence parameterization of ARPEGE-145 Climat 6.3 is able to capture the main properties of the moderate SBL for the first GABLS 146 exercise. We seek to extend this result to the strongly-stratified SBL of the GABLS4 noc-147 turnal phase. We rely on SCM simulations, which have been shown relevant for 3D model 148 configuration (Hourdin et al., 2013; Neggers, 2015; Vignon et al., 2018; Gettelman et al., 149 2019). We also make use of GABLS4 LES as references, as they have been shown to cap-150 ture well the properties of the GABLS4 nocturnal phase (Couvreux, Bazile, et al., 2020). 151 Following (Couvreux, Hourdin, et al., 2020), we use statistical tools developed in the Un-152 certainty Quantification community, in particular the history matching proposed by (D. Williamson 153 et al., 2013) and applied to the SCM/LES comparison. This tool provides the sensitiv-154 ity analysis of our turbulence parameterization to its free parameters and identifies which 155 part of the full free parameter space provides SCM simulations consistent with the cho-156 sen reference, accounting for the various sources of uncertainty (D. Williamson et al., 2013; 157 D. B. Williamson et al., 2017; Couvreux, Hourdin, et al., 2020). Instead of optimizing 158 the ARPEGE-Climat turbulence parameterization over the GABLS4 SBL, and thus pos-159 sibly facing overfitting issues, the approach provides useful information to continue the 160 calibration process over other 1D cases and in the full 3D model configuration, while keep-161 ing an acceptable behavior for the GABLS4 SBL. 162

Section 2 introduces the ARPEGE-Climat 6.3 atmospheric model and its turbulence parameterization. The relevant free parameters of the parameterization to be used for calibration are emphasized. Section 3 presents the case study used for the SCM/LES intercomparison and the LES results that serve as a reference. Section 4 describes the statistical framework. Section 5 details the results obtained for three different configurations of the ARPEGE-Climat 6.3 SCM. Section 6 discusses several aspects of the methodology and Section 7 finally concludes the present study.

170 2 ARPEGE-Climat 6.3

ARPEGE-Climat is a global atmospheric model developed at CNRM for climate 171 studies. Its latest version (6.3, Roehrig et al., 2020) is the atmospheric component of the 172 CNRM ocean-atmosphere climate model CNRM-CM6-1 (Voldoire et al., 2019), and Earth 173 System model CNRM-ESM2-1 (Séférian et al., 2019). The following work uses the sin-174 gle column model (SCM) version of ARPEGE-Climat (e.g. Abdel-Lathif et al., 2018), 175 in the context of the GABLS4 framework (see 3.1). The model physical package is fully 176 described in Roehrig et al. (2020) and therefore we only insist hereafter on the model 177 features relevant for the present study. ARPEGE-Climat 6.3 standard vertical grid con-178 sists of 91 vertical levels, following the progressive hybrid σ -pressure discretization of Simmons 179 and Burridge (1981). The altitude of the first 5 model levels is approximately 8, 29, 56, 180 91 and 132 m. The model timestep is 15 minutes. A version of ARPEGE-Climat 6.3 with 181 higher vertical resolution (2 m up to 400 m) is also used in section 5.1. To prevent in-182 stabilities, the timestep of this version is reduced to 60 seconds. Note that the use of this 183 60-s timestep in the 91-level version of ARPEGE-Climat does not impact much the re-184 sults of the present work. As described in section 3, the SCM configuration is run on a 185 idealized case (stable boundary layer, no moisture, no radiation), in which only the tur-186 bulence and surface flux parameterizations are activated. These parameterizations are 187 described hereafter, in a dry context. 188

2.1 Turbulence parameterization

189

The turbulence scheme used in ARPEGE-Climat 6.3 follows the work of J. Redelsperger 190 and Sommeria (1982), J.-L. Redelsperger and Sommeria (1986), and Cuxart et al. (2000). 191 It relies on the eddy diffusivity approach, coupled to a prognostic equation for the grid-192 scale-averaged turbulence kinetic energy (TKE) \overline{e} . Given the standard horizontal res-193 olution of ARPEGE-Climat ($\mathcal{O}(100 \text{km})$), only the vertical component of turbulent mix-194 ing is parameterized. For any variable ψ impacted by turbulent mixing (e.g., wind com-195 ponent u and v, potential temperature θ), the associated second-order turbulent flux $w'\psi'$ 196 reads (primes denote fluctuations with respect to the grid-scale average, noted ψ): 197

$$\overline{w'\psi'} = -K_{\psi}\frac{\partial\bar{\psi}}{\partial z} \quad ; \quad K_{\psi} = \alpha_{\psi}\mathbf{C}\mathbf{M}L_{m}\sqrt{\bar{e}}\phi_{\psi} \tag{1}$$

where α_{ψ} and **CM** are free parameters of the parameterization, L_m is the mixing length, and ϕ_{ψ} is a stability function. ϕ_{ψ} is taken to 1 for momentum and turbulence kinetic energy ($\psi \in \{u, v, e\}$). For the potential temperature θ , the following formulation is used:

$$\phi_{\theta} = \frac{1}{1 + C\frac{g}{\theta} \frac{L_m^2}{\overline{e}} \frac{\partial \overline{\theta}}{\partial z}}$$
 where *C* is a free parameter. (2)

In Equation 1, **CM** modulates all turbulent fluxes in the same way.
$$\alpha_u$$
 and α_v are taken
to 1, and α_{θ} is the inverse Prandtl number in neutral condition (i.e. when $\phi_{\theta} = 1$). In
the following, α_e and α_{θ} will be referred to as **AE** and **AT**, respectively.

Eddy diffusivity coefficients K_{ψ} depend on the intensity of \overline{e} . The time evolution of \overline{e} is given by:

$$\frac{\partial \bar{e}}{\partial t} = -\frac{1}{\bar{\rho}} \frac{\partial}{\partial z} (\bar{\rho} \overline{e'w'}) - \left(\overline{u'w'} \frac{\partial \bar{u}}{\partial z} + \overline{v'w'} \frac{\partial \bar{v}}{\partial z}\right) + \frac{g}{\bar{\theta}} \overline{w'\theta'} - \frac{\bar{e}\sqrt{\bar{e}}}{L_{\epsilon}} \tag{3}$$

where ρ is the air density, g is the gravity acceleration, and L_{ϵ} the dissipation length.

 L_{ϵ} is assumed to be proportional to the mixing length: $L_{\epsilon} = \mathbf{CE}L_m$, with \mathbf{CE} a free

208 parameter.

The mixing length follows the non-local formulation of Bougeault and Lacarrere (1989) and reads

$$L_m^{\text{BL89}} = \left[\frac{1}{2} \left((L_{\text{up}})^{-2/3} + (L_{\text{down}})^{-2/3} \right) \right]^{-3/2} \tag{4}$$

where L_{up} and L_{down} are respectively the maximum upward and downward displacements a parcel can travel within the ambient thermal stratification, given its turbulence kinetic energy, and accounting only for the work of its buoyancy. A minimum mixing length, **LMIN**, is introduced to maintain a minimum vertical mixing in stable boundary layers. Close to the surface, the mixing length is also supposed to be larger than κz where $\kappa = 0.4$ is the Von Kármán constant. Thus the mixing length L_m reads:

$$L_m = \max\left[L_m^{\text{BL89}}, \min(\mathbf{LMIN}, \kappa z)\right]$$
(5)

In case of shallow stable boundary layer, another lower bound, which applies mainly close to the surface, is introduced directly on the turbulent fluxes, to avoid runaway cooling of the surface (especially in snow- or ice-covered regions):

$$\overline{w'\psi'} = \max\left[-K_{\psi}\frac{\Delta\overline{\psi}}{\Delta z}, \alpha_{\psi}\left(\mathbf{KOZMIN}(1-\frac{z}{\mathbf{ZMAX}})\right)\Delta\overline{\psi}\right]$$
(6)

where **KOZMIN** and **ZMAX** are two free parameters, and $\Delta \overline{\psi}$ is the vertical difference of $\overline{\psi}$ between two consecutive model layers (distant of Δz). Above **ZMAX**, no lower bound is used. This formulation, through $\Delta \overline{\psi}$ and Δz depends on the vertical discretization of the model (see also section 5).

The turbulence parameterization thus includes several free parameters that have 224 to be calibrated. Eight parameters have been identified here. The calibration of the pa-225 rameterization consists in choosing a value for each of them, accounting for both param-226 eterization performance and physical constraints. In the standard configuration of ARPEGE-227 Climat 6.3 (see Roehrig et al., 2020), the parameter values follow the work of Cheng et 228 al. (2002) except the parameters LMIN, KOZMIN and ZMAX that were introduced 229 in the course of the parameterization implementation in ARPEGE-Climat and set in a 230 more empirical way. Table 1 provides the values of these parameters as currently used 231 in ARPEGE-Climat 6.3 as well as those initially proposed in Cuxart et al. (2000). Note 232 that the parameter C in Equation 2 is set to 0.143. As the model is not much sensitive 233 to it, the following work does not consider this parameter. 234

Table 1. Free parameters of the turbulence parameterization. The values in the standard version of ARPEGE-Climat are those from Cheng et al. (2002). Those from the work of Cuxart et al. (2000) are also included. The bottom two lines provide the range of values that we explore for each parameter.

	CM	AE	AT	CE	LMIN	KOZMIN	ZMAX
ARPEGE-Climat 6.3	0.126	2.70	1.13	0.85	10.0	5e-3	200
Cuxart et al. (2000a)	0.0667	6.0	2.5	0.70	10.0		
Lower bound	0.05	0.50	0.20	0.33	0.0	0.0	30
Upper bound	0.30	6.00	3.00	5.00	10.0	5e-3	400

235 **2.2 Surface flux parameterization**

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The SCM configuration of ARPEGE-Climat will be used in two different configurations with respects to the surface boundary conditions, one with prescribed surface sensible heat flux and one with prescribed surface temperature. In both, the roughness lengths for momentum (z_0) and heat (z_{0h}) are prescribed.

2.2.1 Configuration with prescribed surface sensible heat flux

The friction velocity u_* is computed following Paulson (1970):

$$u_* = \frac{\kappa \overline{U_1}}{\ln\left(\frac{z_1}{z_0}\right) - \varphi\left(\frac{z_1}{L_{\rm MO}}\right) + \varphi\left(\frac{z_0}{L_{\rm MO}}\right)} \tag{7}$$

where \overline{U}_1 is the wind intensity ($\overline{U}_1 = \sqrt{\overline{u}_1^2 + \overline{v}_1^2}$) at the first model level of altitude z_1 , and $L_{\rm MO}$ is the Monin-Obukhov length. The similarity function φ is given by Paulson (1970). As $L_{\rm MO}$ depends on u_* , the computation is done iteratively, initialized from a neutrally-stable state (i.e. $L_{\rm MO} = \infty$, knowing $\varphi(0) = 0$). The surface momentum flux is finally given by:

$$F_u = \overline{\rho} u_*^2 \tag{8}$$

247 2.2.2 Configuration with prescribed surface temperature

In this configuration, the standard version of the ARPEGE-Climat surface scheme is used. The surface momentum and heat fluxes are computed based on the formulations of Mascart et al. (1995) involving the bulk Richardson number $\operatorname{Ri}_{h}^{0}$:

$$\operatorname{Ri}_{b}^{0} = \frac{g z_{1}(\overline{\theta}_{1} - \theta_{s})}{\frac{1}{2}(\overline{\theta}_{1} + \theta_{s})\overline{U}_{1}^{2}}$$

$$\tag{9}$$

where $\overline{\theta}_1$ and $\overline{\theta}_s$ are the potential temperature at the first model level and at the surface, respectively. A critical Richardson number $\operatorname{Ri}_c = 0.1$ is used as a lower bound of the bulk Richardson number: $\operatorname{Ri}_b = \min(\operatorname{Ri}_b^0, \operatorname{Ri}_c)$. The exchange coefficients for momemtum and heat in the case of stable states ($\operatorname{Ri}_b > 0$) following Mascart et al. (1995) and Noilhan and Mahfouf (1996) read:

$$C_d = \frac{\kappa^2}{\left(\ln\left(\frac{z}{z_0}\right)\right)^2} \frac{1}{1 + \frac{B_1 R i_b}{\sqrt{1 + B_2 R i_b}}} \tag{10}$$

$$C_h = \frac{\kappa^2}{\left(\ln\left(\frac{z}{z_0}\right)\right)^2} \left(\frac{\ln(z/z_0)}{\ln(z/z_{0h})}\right) \left(\frac{1}{1 + B_3 Ri_b \sqrt{1 + B_2 Ri_b}}\right)$$
(11)

and are used to compute the surface fluxes:

$$F_u = \overline{\rho} C_d \overline{U_1}^2$$
 and $F_s = \overline{\rho} C_p C_h \overline{U_1} (\theta_s - \overline{\theta}_1)$ (12)

where C_p is the heat capacity of air at constant pressure.

Note that the present surface flux parameterizations include internal free parameters ($B_1 = 10, B_2 = 5, B_3 = 15$), which are not considered in the following analysis. They are possibly critical for SBLs (e.g. Vignon, Hourdin, et al., 2017), and will be analysed in a future work.

²⁶² 3 Experimental setup and reference simulations

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3.1 The GABLS4 framework

The present study is based on the GABLS4 model intercomparison case (Bazile et al., 2014, 2015; Couvreux, Bazile, et al., 2020). It focuses on an austral summer diurnal cycle of the boundary layer at Dome C, Antarctic Plateau (123.3E, 75.1S, 3223 m above sea level, local time (LT) = UTC+8 hours) as observed from 11 December 0800 LT to 12 December 0800 LT. During that day, the boundary layer evolved from a 400m deep convective regime during daytime to a nighttime very stable regime covering a depth shallower than 30 m (Vignon, Hourdin, et al., 2017).

The GABLS4 model intercomparison encompasses three different stages. The first one is dedicated to the intercomparison of SCMs with an interactive snow surface scheme. The second stage prescribes observed surface temperature, thus suppressing several feedbacks between the atmosphere and the surface. The third stage consists in an idealization of GABLS4 stage 2, in which no moisture, no radiation, no large-scale subsidence and no large-scale advection of temperature are considered.

Couvreux, Bazile, et al. (2020) emphasize that the representation of the full GABLS4 277 diurnal cycle is a challenge for LES as it requires a large domain for the 400-m deep day-278 time convective boundary layer and a very high-resolution for the 30-m deep nocturnal 279 very stable boundary layer. Therefore, Couvreux, Bazile, et al. (2020) proposed a com-280 plementary setup focused on the GABLS4 nocturnal stable phase, starting at the end 281 of the convective period (1800 LT, i.e. 10 hours after the start of the original version of 282 GABLS4 stages) and covering 11 hours (until 0500 LT). This new setup, referred to as 283 GABLS4-Stage3-10hr, corresponds to the setup used in the present work. 284

The initial conditions are obtained from the ensemble mean of three LES that took 285 part to the GABLS4 LES intercomparison (Couvreux, Bazile, et al., 2020). GABLS4-286 Stage3-10hr uses the same large-scale forcing as in GABLS4 Stage 3, which thus only 287 includes a large-scale horizontal pressure gradient through a prescribed geostrophic wind. 288 This geostrophic wind is constant in time $(u_g = 1.25 \,\mathrm{ms}^{-1} \mathrm{and} v_g = 4.5 \,\mathrm{ms}^{-1})$ and 289 along height. GABLS4 Stage 3 (and thus GABLS4-Stage3-10hr) assumes a dry atmo-290 sphere, with no radiation. The surface pressure is held constant to 651 hPa (Dome C 291 is at 3223 m above sea level), and the surface temperature is prescribed and evolves with 292 time, following the observations made at Dome C. For the computation of the surface 293 wind stress, the surface roughness length is set to $z_0 = 10^{-3}$ m for momentum and $z_{0_b} =$ 294 10^{-4} m for heat, following Vignon, van de Wiel, et al. (2017) and Couvreux, Bazile, et 295 al. (2020). 296

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3.2 GABLS4 Large-Eddy Simulations

Couvreux, Bazile, et al. (2020) compare 7 LES models over GABLS4-Stage3-10hr. 298 Two of them are not considered here because of a slightly different setup compared to 200 the other five (slightly coarser resolution or different roughness lengths). The five remain-300 ing LES use an isotropic resolution of 1 m over a $500 \text{ m} \times 500 \text{ m} \times 150 \text{ m}$ domain. The LES 301 compute their surface fluxes (momentum and heat) from the prescribed surface temper-302 ature and roughness lengths using their own parameterization. In such a setup, especially 303 thanks to the high resolution, the spread among the LES ensemble is rather small, ex-304 cept very close to the surface. In particular, they are substantial differences for the sur-305 face sensible heat flux (e.g., -6 to -13 W m⁻² at 2300 LT) or for the friction velocity (0.07 306



Figure 1. (a) Sensible heat flux (W m⁻²), (b) 8.5-m potential temperature (K), (c) potential temperature vertical profile at 0300 LT (K), (d) surface friction velocity (m s⁻¹), (e) wind rotation at 29 m and (f) wind speed vertical profile at 0100 LT for the LES (solid black lines), LES interpolated on CM6-LR levels (dashed black lines), and CM6-LR (dashed lines) and CM6-HR (solid lines) SCM simulations. CM6 simulations are either forced by the surface temperature (red lines) or by the surface sensible heat flux (blue lines).

to 0.11 ms^{-1}) - see Fig. 1a and 1d. This spread is however much smaller than the spread among the full Stage 3 LES ensemble (Couvreux, Bazile, et al., 2020).

Although the setup is idealized compared to the observed situation, observations have been used to evaluate the simulation behavior. The heights of the stable boundary layer and of the low-level jet are overestimated compared to observations but this might be due to the neglect of subsidence in the LES simulations. Otherwise, the intensity of the jet and that of the stratification are consistent with observations. The wind turning at 41 m is also much more realistic with the high-resolution LES than the results obtained with the coarse-resolution LES (Couvreux, Bazile, et al., 2020).

3.3 SCM configuration

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The ARPEGE-Climat Single Column model is run for two different setups. The first one follows exactly the GABLS4-Stage3-10hr setup detailed in section 3.1. The second one, derived from GABLS4-Stage3-10hr, and referred to as GABLS4-Stage3-10hrshf, prescribes the surface sensible heat flux, instead of the surface temperature, and thus further removes the coupling between the surface and the atmosphere. In this latter setup, the prescribed surface sensible heat flux corresponds to the ensemble mean of the 5 LES.

In both setups, all model parameterizations are deactivated, except those for the atmosphere turbulence and the surface fluxes. Note that, in the GABLS4-Stage3-10hr setup, the surface flux parameterization follows the work of Mascart et al. (1995) (Section 2.2), while in the GABLS4-Stage3-10hr-shf, it is replaced by the simplified version described in Paulson (1970) (Section 2.2).

We also explore the turbulence parameterization behavior for two different vertical resolutions, namely the standard vertical resolution of ARPEGE-Climat 6.3 (91 vertical levels, with about 15 levels below 1500 m), and a constant high-vertical resolution of 2 m up to an altitude of about 400 m and then decreasing as the standard vertical grid up to the model top, called CM6-HR in the following.

4 Statistical framework : History matching

The calibration of the free parameters of a parameterization is a difficult task due 334 to many degrees of freedom (i.e. the number of parameters) and the computational cost 335 of operational configuration simulations. For example, the turbulence parameterization 336 used here has eight different free parameters and seven are kept for the calibration ex-337 periences. If one wanted to systematically explore this 7-dimension space, let say with 338 10 values in each parameter range, this would require 10^7 simulations. This is clearly pro-339 hibitive for most model configurations, even in a SCM framework. Therefore surrogate 340 models (i.e. mathematical functions that approximate SCM outputs for a negligible com-341 putational cost), trained on a few simulations, are used to emulate the full model behav-342 ior in the parameter space. In the following work, we use history matching with itera-343 tive refocussing as proposed in D. Williamson et al. (2013). The main objective of the 344 statistical approach is not to find the optimal set of parameters, but rather to remove 345 regions of parameter space in which the model behavior is inappropriate for a given set 346 of performance metrics, accounting for several sources of uncertainty. The approach thus 347 reduces the risk for overtuning (Hourdin et al., 2017; D. Williamson et al., 2015). The 348 algorithm is iterative, in the sense that several consecutive waves are considered. Each 349 wave consists of a small number of simulations performed with the full model, which al-350 low us to improve the surrogate model accuracy where needed (i.e within the acceptable 351 range from the previous wave) and to refocus the search for acceptable parameter val-352 ues in a reduced space during the following wave. The process stops when convergence 353 is achieved for the space of acceptable parameters. Here, this approach is applied in a 354 SCM-LES comparison framework as detailed in Couvreux, Hourdin, et al. (2020) and 355 briefly presented below. 356

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1. Targeted metrics are first selected. They aim at summarizing the model performance. Reference metrics are computed, here LES results are used.

- 2. Free parameters of the physic parameterization are selected and their possible range (generally determined from the modeler expertise) are identified. In our case, only parameters from the turbulence scheme are selected (see Section 2.1).
- 3. From the selection of the free parameters (Table 1), the first wave experimental design is built. To ensure an optimal sampling of the initial parameter space, a latin hypercube method is used (D. Williamson, 2015).
 - 4. Metrics are computed from the first wave simulations and used as a training sample to build the surrogate models based on machine learning methods. Among the many possible approaches, we use Gaussian Processes, which have the advantage to predict both the metric and its uncertainty (Salter & Williamson, 2016).
- 5. The parameter space is then systematically explored using surrogate models and emulated metrics are compared to the reference. The space of acceptable parameter values is determined iteratively by ruling out the parts of the full parameter space which lead to metric values too far from the reference value, accounting for the uncertainty on the reference, the SCM and the surrogate model. For a given metric f, the following measure $I_f(\lambda)$, referred to as implausibility, is thus introduced:

$$I_f(\boldsymbol{\lambda}) = \frac{|r_f - \mathbf{E}[e(\boldsymbol{\lambda})]|}{\sqrt{\sigma_{r,f}^2 + \sigma_{d,f}^2 + \operatorname{Var}[e(\boldsymbol{\lambda})]}}$$
(13)

where λ is a point of the parameter space, $e(\lambda)$ the metric value predicted by the 376 surrogate model. More precisely, $E[e(\lambda)]$ is the expectation of the metric, $Var[e(\lambda)]$ 377 is the variance of the metric, which is a measure of the surrogate model uncertainty 378 at the point λ . r_f is the reference metric value (i.e. the mean of the metric f com-379 puted from the LES ensemble). $\sigma_{r,f}^2$ is the reference uncertainty and is computed 380 as the variance of the metric f computed from the LES ensemble. $\sigma_{d,f}^2$ is the SCM 381 discrepancy or structural error for this metric. This last value is not known a pri-382 ori and its estimation could be challenging. The implausibility thus measures the 383 distance between the reference and the predicted metric value, normalized by the 384 sum of uncertainties (supposed to be independent). The implausibility can thus 385 be small either because the predicted value is close to the reference, or because 386 the uncertainties are large. The threshold used to rule out the inappropriate re-387 gions of the initial parameter space, and thus to define the Not Ruled Out Yet (NROY) 388 space is a free parameter of the approach. We take 3, a rather conservative value, 389 which reduces the risk of ruling out an acceptable point. This value is chosen fol-390 lowing the $3-\sigma$ rule for any unimodal distribution (Pukelsheim, 1994) which states 301 that at least 95% of the distribution lies in the range of 3 σ around the mean. This 392 threshold can be reduced once the surrogate model is sufficiently accurate. In the 303 case of multiple metrics, we can either form a multivariate implausibility (taken 394 as the maximum of the implausibilities computed for each metric) or define the 395 NROY space as the space where most metrics meet the constraints. The latter op-396 tion seeks to avoid multiple testing problems (e.g. Vernon et al., 2010; Couvreux, 397 Hourdin, et al., 2020). As the number of metrics used in this work is relatively small 398 (less than 4), we subsequently use the multivariate implausibility option. 399 Following the iterative refocussing philosophy, once the NROY space is determined 400 at the end of the current wave, it is further resampled to define the next wave, which 401 will provide a few more simulations performed with the full model and thus im-402 prove the surrogate model accuracy (i.e. reduce $\operatorname{Var}[e(\boldsymbol{\lambda})]$) within the NROY space. 403 It can be noted here that $\sigma_{r,f}$ and $\sigma_{d,f}$ are independent of the surrogate model 404 and remain constant along the history matching process. Once the surrogate model 405 uncertainty is sufficiently reduced so that the other uncertainties dominate the im-406 plausibility, the NROY space is not further reduced by new waves. The iterative 407 process has thus converged and it is not necessary to perform additional waves. 408 The convergence question discussed in section 6.2. 409

5 Ability of ARPEGE-Climat to simulate the GABLS4 stable boundary layer

The tool introduced in the previous section is used to assess whether the ARPEGE-412 Climat turbulence scheme is able to capture the main properties of the GABLS4 stable 413 boundary layer. In particular, we determine which part of the space of model free pa-414 rameter values is compatible with the LES references. The vertical resolution is poten-415 tially a critical aspect for the scheme and therefore we start with a high-resolution con-416 figuration of ARPEGE-Climat (referred to as CM6-HR, cf Section 2). We also remove 417 a degree of freedom in the surface-atmosphere coupling by prescribing the surface sen-418 sible heat flux instead of the surface temperature, to focus only on the turbulence scheme 419 (referred to as CM6-HR-SHF). Later in this section, subsections 5.2 and 5.3 discuss re-420 sults with the standard vertical resolution of ARPEGE-Climat and with two different 421 surface boundary conditions. 422

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5.1 High-resolution SCM configuration forced by surface sensible heat flux (SCM-HR-SHF)

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5.1.1 ARPEGE-Climat standard calibration

As a starting point of the present work, we evaluate the standard calibration of ARPEGE-426 Climat turbulence scheme (parameter values indicated in Table 1), when forced by the 427 LES ensemble mean surface sensible heat flux (CM6-HR-SHF). Figure 1b presents the 428 time evolution of the 8.5-m potential temperature from 1800 to 0700 LT. 8.5 m is approx-429 imately the altitude of the first level in the standard vertical resolution model (between 430 the third and fourth level in the present high resolution configuration). Linear interpo-431 lation is used in the high-resolution model configuration and in LES to compute the 8.5-432 m potential temperature. The LES models (solid grey lines) simulate a significant cool-433 ing until 0200 LT, from about 277 K to about 264 K. The minimum potential temper-434 ature is reached around 0300 LT. At that time, the potential temperature vertical gra-435 dient between 15 and 25 m varies between 0.4 and 0.8 Km^{-1} among the LES (Fig. 1c). 436 At 0100 LT, a low-level jet is well formed in all the LES (Fig. 1f). The altitude of its 437 peak is similar in all LES, around $22 \,\mathrm{m}$, and its intensity ranges between 5 and $6 \,\mathrm{ms}^{-1}$. 438 The inertial rotation of the wind at 25 m is further emphasized in Fig. 1e. It is consis-439 tent with the theory (e.g. Blackadar, 1957) and representative of the observations col-440 lected at Dome C (Gallée et al., 2015). 441

CM6-HR-SHF severely underestimates the 8.5-m potential temperature cooling dur-442 ing the first half of the night (Fig. 1b, solid blue line). The minimum potential temper-443 ature reaches about 273 K at 0200 LT, about 8 K warmer than the LES corresponding 444 value. The potential temperature vertical profile at 0300 LT (Fig. 1c) emphasizes that 445 CM6-HR-SHF simulates a boundary layer, which is too thick and which stability is un-446 derestimated: the potential temperature vertical gradient is at least six times weaker $(0.06 \,\mathrm{Km^{-1}})$ 447 than in the LES. Consistently, the CM6-HR-SHF low-level jet is too high, located near 448 55 m (Fig. 1f). Its intensity is significantly weaker than in all LES but one. The wind 449 rotation is also strongly underestimated at 25 m (Fig. 1e). 450

In order to assess the model sensitivity to its internal turbulent parameters, we choose 451 to synthesize the model behavior with four scalar metrics. The sensitivity to the choice 452 and number of metrics is discussed in Section 6.1. The nocturnal cooling and boundary 453 layer stability are quantified using the potential temperatures at 2 m and 8 m (referred 454 to as θ_{2m} and θ_{8m} respectively); these two vertical levels allow to constrain the θ ver-455 tical gradient. These two metrics are computed at 0300 LT, when θ is minimum in the 456 LES. The low-level jet structure is measured using the maximum of the supergeostrophic 457 wind speed and the wind speed at 55 m (referred to as jet_{MAX} and w_{55m} respectively). 458 The latter altitude corresponds to the level where the wind returns to its geostrophic value 459 in the LES (it is also the altitude of the third level in the standard resolution model ver-460 sion CM6-LR). These two last metrics are taken at 0100 LT when the low-level jet is well 461 established. 462

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5.1.2 Defining the acceptable range of the turbulence free parameters

70 simulations (Wave 1) are run with the ARPEGE-Climat SCM (SCM-HR-SHF) 464 465 for varying values of the seven parameters identified in Section 2.1 and following the experimental design proposed in Section 4. They are shown by the orange lines in Fig. 2. 466 Although the majority of these simulations exhibits a too weak cooling, some of them 467 capture the LES behavior, with both a correct θ vertical profile at 0300 LT and a cor-468 rect overnight evolution of θ at 8 m. Concerning the wind, in most simulations, the low-469 level jet at 0100 LT is too high, so that the return to the geostrophic wind occurs above 470 100 m. The wind rotation at 25 m is poorly represented, with a too weak meridian com-471 ponent and a too strong zonal component. Reflecting these first conclusions, the met-472 rics computed for each simulation are most of the time fairly far from those computed 473



Figure 2. Same as Figure 1 but for the SCM-HR-SHF calibration experiment. The standard calibration simulation CM6-HR-SHF is indicated with the red line, the Wave 1 70 SCM simulations with the orange lines and the Wave 9 70 SCM simulations with the blue lines. Note that since it is prescribed, the sensible heat flux is not shown.

474	with the LES. Nevertheless, there exist a few simulations, thus a few sets of parameters,
475	for which the chosen metrics have values close to those computed with LES. This sug-
476	gests that, at least for each individual metric, there exists an appropriate calibration of
477	ARPEGE-Climat. Based on this result, and for the sake of simplicity, in the following,
478	we choose to explore the model performance considering no structural (or tolerance to)
479	error (i.e. $e_m = 0$ in Equation 13). Such a choice may lead to overtuning (D. B. Williamson
480	et al., 2017) and will need to be reconsidered in the context of the full model calibration.
481	It will also be discussed in 6.2.

The metric values, computed for each simulation of this Wave 1, are used as a train-482 ing sample to build a surrogate model for each of the four metrics. These surrogate mod-483 els then allow us to explore the parameter space more exhaustively by estimating the 484 value of each metric at as many new points as desired. In practice, the complete param-485 eter space is resampled using a new latin hypercube of $\mathcal{O}(10^6)$ points and the surrogate 486 models provide estimate (and uncertainty) of the four metrics for all these new points. 487 As explained in Section 4, for each point sampled in the parameter space, the implau-488 sibility with respect to each metric is calculated, and the maximum implausibility over 489 the four metrics (i.e. the most discriminating metric) is used to characterize the NROY 490 space. Sampled points with an implausibility greater than our threshold set to 3 (see Sec-491 tion 4), are ruled out. After this first wave, the remaining space is 3.0% of the initial space, 492 so a large part of the full parameter space is rejected. The NROY space obtained as a 493 result of this first iteration (not shown) shows that AE, KOZMIN and ZMAX have 494 a negligible influence on the results after this first iteration. The model behavior as a 495 function of the other four parameters indicates a preference for values that significantly 496



Figure 3. a) NROY of the SCM-HR-SHF, b) NROY of the SCM-LR-SHF and c) SCM-LR-TS calibration experiments at the end of Wave 9.

reduce the turbulent mixing. Table 2 details for each metric the performance of the simulations for this Wave 1 and for each of the following waves. For the first wave, all the metrics are very discriminating (more than two thirds of the simulations are incompatible with the LES reference values) except the one representing the jet intensity.

Seventy points in the NROY space estimated after this first wave are then sampled 501 and the corresponding simulations are carried out. These new simulations form the Wave 502 2. The process is repeated until it is no longer possible to reduce the parameter space. 503 Figure 2 illustrates the vertical profiles and time series of temperature and wind for the 504 simulations of Waves 1 (orange lines) and 9 (blue lines) compared with the reference LES 505 simulations. There is no clear further reduction of the NROY space from Wave 6 sug-506 gesting convergence of the results and that we can stop the iterations (see Table 2). From 507 Wave 4, jet_{MAX}, w_{55m} and the one on θ_{8m} are no longer discriminating, i.e. for these metrics, almost no more part of the parameter space is ruled out. For θ_{2m} , the process evolves 509 only slowly. At Wave 5, 10 simulations are still ruled out. From Wave 6 onwards, the 510 number of rejected simulations varies between 2 and 4. The difference between two con-511 secutive waves is then only due to the sampling of the remaining space. Figure 2b shows 512 that the θ vertical profile at 0300LT (time used to compute θ -related metrics) is very close 513 to that of the LES. However, it seems that there is still room for improvement close to 514 the surface but performing an additional iteration does not reduce the near-surface spread 515 of the SCM. The very low number of rejected simulations means that the same space is 516 resampled at each iteration. Pushing the calibration exercise further would require more 517 simulations but the results obtained seem satisfying. It should be noted here that at a 518 given iteration, the simulations performed previously are not used to build emulators. 519 Looking at the time series of θ at 8 m (Fig. 2a), there is also a very clear improvement 520 in the results, which applies all along the simulation although we only use the metric at 521 one given time (0300 LT). The results for the wind are good also. The Wave 9 simula-522 tions capture well the wind vertical profile at 0100 LT and the 25-m wind veering, falling 523 within the LES spread (Fig. 2e and 2d). Note that the four instantaneous constraints 524 put on the SCM simulations are sufficient to achieve model calibrations that perform well 525 over the entire GABLS4 stable boundary layer, thus illustrating the consistency of the 526 ARPEGE-Climat turbulence scheme physics. 527

Figure 3a shows the final NROY space (obtained after nine iterations). The upper right-hand side of the figure represents the two-dimensional density of the acceptable parameter space for each pair of parameters: for a given point in each two-dimensional space, the shading indicates the NROY space density in all other parameter dimensions. Grey color means that this density is close to zero, given the color scale used for the plot. The closer the color to yellow, the greater the density, thus indicating that this combi-

nation of parameters cannot be discarded yet. The lower left-hand side represents the 534 minimum of implausibility. As shown by Fig. 3a, the iterative refocusing method selects 535 parameter values that reduce turbulent mixing. The exchange coefficients are significantly 536 reduced (mainly through CM and LMIN). The calibration also leads to small values 537 of \mathbf{CE} , which controls the dissipation length scale: the TKE dissipation is increased and 538 thus the TKE and the turbulent mixing are reduced. These results are consistent with 539 previous works that generally indicate a too strong turbulent mixing in numerical weather 540 and climate models (e.g. Sandu et al., 2013; Beljaars & Viterbo, 1998). The case of LMIN 541 is also consistent with the work of Vignon, Hourdin, et al. (2017) which showed the im-542 portance of removing most of the bounds in the turbulence parameterization used in global 543 models to better represent stable boundary layers. The iterative refocussing highlights 544 the high sensitivity of the model results to this parameter (Fig. 3a), and illustrates the 545 difficulty to calibrate the model for stable boundary layers with LMIN values greater 546 than about 4-5 m. The influence of **AT**, which controls the turbulent flux of θ , is sig-547 nificant but not as decisive as that of the three previous parameters. The value currently 548 used in ARPEGE-Climat is appropriate. The results are not sensitive to AE, so that 549 there is a priori no need to change its current value. This parameter influences the ver-550 tical diffusion of TKE. It therefore appears that this term is negligible compared to the 551 other terms in the equation for the evolution of TKE in the case of very stable bound-552 ary layer of GABLS4 (not shown). Finally, **KOZMIN** and **ZMAX** which directly limit 553 the turbulent fluxes, are not relevant to adjust this high-resolution SCM configuration. 554 This is expected given the bound formulation (Eq. 6) which tends to zero as the verti-555 cal grid spacing goes to zero. These parameters are more critical for the model standard 556 resolution (see Sections 5.2 and 5.3). 557

As a preliminary conclusion, the iterative refocussing demonstrates that the ARPEGE-558 Climat turbulence scheme contains the required physics to represent the GABLS4 strongly-559 stable boundary layer, at least for resolution of $\mathcal{O}(1m)$. Besides, if the ARPEGE-Climat 560 standard calibration is not appropriate (i.e. the free parameter standard values are not 561 retained in the NROY space), the standard values of CM and CE are reasonable (i.e. 562 very close or within the NROY space). It is rather the lower bound **LMIN** on the mix-563 ing length that is the most discriminating, a conclusion similar to the one obtained by 564 Vignon, Hourdin, et al. (2017). 565

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5.2 Standard-resolution SCM configuration forced by surface sensible heat flux (SCM-LR-SHF)

The behavior of the standard-resolution SCM configuration, forced by the LES ensemble-568 mean surface sensible heat flux (CM6-LR-SHF), is summarized in Fig. 1 (dashed blue 569 line). In order to compare the low-resolution SCM results with those of the LES, the lat-570 ter are regridded onto the vertical grid of CM6-LR. The CM6-LR-SHF overnight cool-571 ing is weak, and slightly weaker than in CM6-HR-SHF, thereby indicating sensitivity of 572 the model results to vertical resolution. The minimum potential temperature is reached 573 earlier than in the LES (as for CM6-HR-SHF) and is 8 K warmer. The low-level jet is 574 weakly marked. The altitude of the maximum wind speed is too high (55 m) and the wind 575 speed remains too strong above 55 m, where it should be geostrophic. The wind rota-576 tion is slightly better represented than in CM6-HR-SHF but still too weak. The CM6-577 LR-SHF behavior is thus broadly similar to its high-resolution counterpart, and consis-578 tent with an overestimated turbulent mixing. We now investigate whether this behav-579 ior is intrinsic to the parameterization (for this standard resolution), or results from a 580 poor calibration for stable boundary layers. Similar scalar metrics to those used in Sec-581 tion 5.1 for CM6-HR-SHF are chosen to constrain the θ vertical gradient and the low-582 level jet structure. The associated altitudes are slightly adapted to be consistent with 583 the SCM standard vertical resolution. The θ gradient is characterized by θ at the first 584 and third model levels at 0300 LT, namely 8 m (θ_{8m}) and 55 m (θ_{55m}). Because of the 585 rather large uncertainty of θ at the second SCM level (29 m) in the LES (about 5 K), 586

	$\theta_{2\mathrm{m}}$		$\theta_{8\mathrm{m}}$		jet _{MA}	x	w _{55m}	
WAVE	Metric	I>3	Metric	I>3	Metric	I>3	Metric	I>3
1	260.3 - 277.9	58	266.3 - 276.5	64	4.8 - 5.7	2	4.1 - 5.3	46
2	252 - 269.4	10	263.2 - 270.7	3	4.7 - 5.8	2	4.1 - 5.6	31
3	255.2 - 265.2	10	262.3 - 268.7	5	5.0 - 5.9	0	4.1 - 4.5	6
4	259.1 - 266.1	7	264.4 - 267.7	4	5.0 - 5.5	0	4.1 - 4.3	0
5	258.9 - 266.5	10	264.4 - 267.3	0	5.0 - 5.4	0	4.1 - 4.5	1
6	261 - 265.1	4	264.7 - 267.4	0	5.0 - 5.4	0	4.1 - 4.3	2
7	261.5 - 264.9	3	264.9 - 267.4	2	5.0 - 5.5	0	4.1 - 4.3	2
8	261.7 - 264.8	4	265.4 - 266.9	0	5.0 - 5.4	0	4.1 - 4.3	0
9	261.7 - 265	2	265.7 - 267	0	5.1 - 5.3	0	4.1 - 4.3	0
LES	263.4		265.0		5.6		4.2	

Table 2. Evolution of the different metrics over the successive waves (lines) for the SCM-HR-SHF tuning experiment. For each metric, the first column indicates the spread of the metric among the 70 simulations of each wave(min – max). The second column gives for each wave, the number of simulations rejected because of an implausibility greater than 3.

choosing this metric would have been less efficient in reducing the free parameter space. As in the high-resolution experiment, the jet structure is summarized by the wind speed at 29 m (second SCM level and altitude of the wind maximum $- w_{29m}$) and at 55 m (third SCM level $- w_{55m}$).

The Wave 1 simulations present a large spread, with only a few simulations get-591 ting close to the LES (Fig. 4, orange lines). Note here that before introducing the pa-592 rameters **KOZMIN** and **ZMAX** in the tuning exercise, this variety of behavior was not 593 observed and none of the simulations perform well (not shown). Indeed, with the default 594 setting, this minimum bound for the mixing coefficients was systematically reached and 595 any modifications of the other parameters had very little effect as hidden by the min-596 imum bound. The introduction of **KOZMIN** and **ZMAX** was crucial for this statis-597 tical tuning experiment in standard resolution. Table 3 shows the evolution of the met-598 rics for eight waves. From Wave 3, the results are already satisfying for most of the met-599 rics. Only θ_{55m} needs a few more waves to achieve reasonable values. The remaining (NROY) 600 space is finally slightly more than 0.1% of the initial space (Fig. 3b). 601

The θ vertical profiles at 0300 LT of all the Wave 9 simulations are very close to the LES (Fig. 4b, blue lines). The time evolution of the 8-m potential temperature still presents some dispersion, which is consistent with the LES uncertainty. Wave 9 simulations also capture well the sharpened wind speed vertical structure at 0100 LT, and much better than CM6-LR (red line). There is still some significant spread in the jet intensity, but again, it reflects the LES discrepancies (Fig. 4e). All the simulations reproduce well the 25 m wind rotation (Fig. 4d).

Figure 3b presents the remaining space after nine waves. In contrast with the HR version, the **KOZMIN** parameter appears critical. The history matching with iterative refocussing thus shows that the SCM can only behave well over the GABLS4 case for very low values of **KOZMIN**. Given that this parameter is used to maintain significant turbulent fluxes within the first model levels of the model, it was rather expected. For the other parameters, the results are similar to those with the HR configuration: param-



Figure 4. Same as Figure 2 but for the SCM-LR-SHF calibration experiment. The standard calibration simulation is CM6-LR-SHF (red line).

eter values that allow weak turbulent mixing are found the most suitable for adjusting 615 the turbulence parameterization. Note that the CM6 values (black dots) of **KOZMIN** 616 and **LMIN** are well beyond their acceptable range (already eliminated at Wave 1). As 617 for the high-resolution configuration, the SCM behavior is also significantly sensitive to 618 **CE** and **CM** with acceptable values leading to decrease of the turbulent mixing but the 619 CM6 default values are not ruled out. AE and AT have no significant impact. To sum-620 marize, the CM6 turbulence parameterization is able to capture the GABLS4 stable bound-621 ary layer as described by the reference LES, even with the standard resolution SCM con-622 figuration. Such an acceptable SCM behavior requires to almost remove the two bounds 623 on the turbulent mixing induced by LMIN and KOZMIN, while the other free param-624 eters of the parameterization can be kept close to their original values, similar to those 625 proposed in Cuxart et al. (2000) and Cheng et al. (2002). 626

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5.3 Standard-resolution SCM configuration forced by surface temperature (SCM-LR-TS)

The iterative refocussing approach is now applied to a less constrained configuration, in which the surface boundary condition is provided by the surface temperature (refered to as CM6-LR-TS), similarly to the LES setup, and as proposed in the GABLS4 intercomparison framework. This adds a degree of freedom as the model now uses its own formulation to compute the surface fluxes.

CM6-LR-TS behaves similarly to CM6-LR-SHF with an underestimated cooling
 of the atmospheric low levels and a too weak low-level jet (Fig. 1). A notable difference
 is that the model continues to cool for a longer period (until around 0600 LT). The wind
 rotation at 25 m remains weakly captured, as in CM6-LR-SHF.

	$ heta_{8\mathrm{m}}$		$ heta_{55\mathrm{m}}$		w_{29m}		w_{55m}	
WAVE	Metric	I>3	Metric	I>3	Metric	I>3	Metric	I>3
1	267.9 - 275.7	67	275.3 - 277.2	65	4.6 - 5.5	4	4.4 - 5.4	59
2	263.4 - 271.5	8	276.5 - 277.9	24	4.7 - 6.0	1	4.1 - 4.7	9
3	262.9 - 269.0	1	277.4 - 277.8	2	4.8 - 5.3	0	4.1 - 4.6	3
4	263.2 - 267.8	0	277.4 - 277.8	4	4.8 - 5.4	0	4.1 - 4.6	1
5	263.2 - 268.8	1	277.4 - 277.8	7	4.8 - 5.5	0	4.1 - 4.3	0
6	263.9 - 267.5	0	277.5 - 277.8	2	4.7 - 5.8	1	4.1 - 4.3	0
7	264.4 - 268.4	0	277.5 - 277.8	0	4.8 - 5.7	1	4.1 - 4.4	1
8	263.6 - 268.0	0	277.5 - 277.8	4	4.8 - 5.5	0	4.1 - 4.3	0
LES	265.7		277.7		5.2		4.2	

Table 3. Evolution of the different metrics over the successive waves for the SCM-LR-SHF tuning experiment. For each metric, the first column indicates the spread of the metric among the 70 simulations of each wave. The second column gives for each wave, the number of simulations rejected because of an implausibility greater than 3.

The iterative refocussing applied on the present configuration makes use of the same 638 four metrics as for CM6-LR-SHF. Table 4 present the evolution of the metrics and as-639 sociated implausibility over the successive waves. It only takes two iterations for the pro-640 cedure convergence with the metrics related to the jet structure. Metrics characterizing 641 the θ vertical profile require three more iterations to ensure convergence. Overall, Wave 642 8 SCM simulations provide improved and satisfying results over the GABLS4 stable bound-643 ary layer (Fig. 5). Nevertheless, it can be noticed that the 8-m potential temperature 644 645 at 0300 LT is systematically overestimated by 1.1 to 1.3 K (Table 4, Fig. 5c), mostly because its minimum is reached about 2 hours later (Fig. 5b). Regarding the wind verti-646 cal structure, the wave 9 simulations are also able to capture the wind vertical structure 647 of LES references and its overnight rotation, within the LES ensemble results (Fig. 5f 648 and 5e). 649

Figure 3c presents the free parameter remaining space after eight waves. The con-650 clusions are very close to those made with the configuration with prescribed surface sen-651 sible heat flux. The role of KOZMIN, LMIN, CM and CE is again emphasized and 652 values leading to low turbulent mixing are retained. However, the experiment suggests 653 that the CM6 values for **CM** and **CE** are slightly too high. Thus, while there still ex-654 ist acceptable sets of parameters guaranteeing a good performance of the SCM, the ad-655 dition of a partial surface coupling further constrain this parameters, possibly to com-656 pensate the surface flux parameterization errors. 657

658 6 Discussion

In this section, we discuss in more detail two features of the calibration statistical approach, that are rather subjective. The selection of metrics is first emphasized as a key step that deserves some caution. We discuss the selection we made in Section 2 and analyze the respective role of each selected metrics. Second, we investigate more in depth the convergence criteria, and how we have tackled it. Finally, the SCM computationallycheap approach provides the opportunity to perform a large simulation ensemble, which can serve as a basis for the evaluation of the full statistical framework.



Figure 5. Same as Figure 2 but for the SCM-LR-TS calibration experiment. The standard calibration simulation is CM6-LR-TS (red line).

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6.1 Choice of metrics

The choice of metrics in this type of framework is crucial, yet it is difficult to draw 667 up a definitive list in advance. In this section, we illustrate the metric selection proce-668 dure in the case of the SCM-LR-SHF experiment and give some guidelines. It might be 669 tempting to choose a large number of metrics to precisely control the model. But for ef-670 ficiency, especially for the analysis of the results of each wave, the number of metrics should 671 be limited. In particular, redundant metrics should be avoided. Note also that if, in the 672 course of the calibration experiment, biases that were not accounted for emerge, new met-673 rics can be added on the fly at the beginning of a wave. 674

The first step consists in conducting an evaluation of the model behavior in order 675 to identify the main model biases (and especially those we care about) and then to de-676 sign the appropriate metrics to quantify them. We have already seen in Section 5.2 that 677 the two main identified biases are a weak nocturnal cooling and an insufficiently marked 678 low-level jet structure. Analysis of the potential temperature profile at 0300 LT (Fig. 4b) 679 the time at which the minimum of θ at 8 m, the first CM6-LR level, is reached in the LES 680 simulations (Fig. 4a) shows that the θ vertical gradient in the nocturnal boundary layer 681 is too weak, with a warm bias (+8 K) at the first level and a cold bias (about -2 K) at 682 the third level. The values of θ at these two levels (respectively θ_{8m} and θ_{55m}) are there-683 fore used as metrics to control the model thermodynamics. Concerning the low-level jet 684 structure at 0100 LT (the time at which it is the sharpest in the LES, Fig. 4e), the main 685 features to be considered are the altitude and the intensity of the wind maximum (which 686 are respectively too high and too low in CM6-LR), and the thickness of the jet, which 687 can be represented by the altitude of the wind return to its geostrophic value. The first 688 two features can be summarized by a single metric, namely the wind intensity at the sec-689

	$ heta_{8\mathrm{m}}$		$ heta_{55\mathrm{m}}$		w _{29m}		W_{55m}	
WAVE	Metric	I>3	Metric	I>3	Metric	I>3	Metric	I>3
1	267.8 - 273.4	69	274.9 - 277.6	62	4.5 - 5.2	9	4.5 - 5.1	61
2	266.3 - 270.6	28	277.0 - 277.9	13	4.7 - 5.4	0	4.1 - 4.6	5
3	266.1 - 268.5	4	277.5 - 277.8	19	4.7 - 5.6	0	4.1 - 4.4	1
4	266.9 - 268.3	0	277.6 - 277.8	7	4.8 - 5.6	0	4.1 - 4.3	1
5	267.0 - 268.1	0	277.6 - 277.8	1	4.7 - 5.8	1	4.1 - 4.4	0
6	266.4 - 268.2	0	277.6 - 277.8	1	4.8 - 5.8	1	4.2 - 4.4	1
7	267.2 - 268.2	0	277.6 - 277.8	1	4.8 - 5.4	0	4.1 - 4.3	0
8	266.9 - 268.1	0	277.6 - 277.8	0	4.8 - 5.3	0	4.2 - 4.3	0
LES	265.7		277.7		5.2		4.2	

Table 4. Evolution of the different metrics over the successive waves for the SCM-LR-TS tuning experiment. For each metric, the first column indicates the spread of the metric among the 70 simulations of each wave. The second column gives for each wave, the number of simulations rejected because of an implausibility greater than 3.

ond model level (w_{29m}) , which corresponds to the wind maximum in the regridded LES. The third feature can be captured by the wind intensity at the third model level (w_{55m}) . Wind intensity at the fourth level could also be chosen and this choice leads to similar results (not shown).

As explained before, the framework used here takes into account the different sources 694 of uncertainty through the notion of implausibility. Note that the lower the uncertainty 695 on the reference metrics the more constraining the metric: particular attention was de-696 voted to the associated reference uncertainty, and metrics with weak reference uncertainty 697 were preferred. When it is significant, at least compared to the Wave 1 simulation er-698 rors, it may rapidly dominate the implausibility and the framework will only weakly con-699 strain the model behavior. For the four metrics defined on the basis of model biases, the 700 uncertainty of the LES regarding these metrics is low in front of the biases of Wave 1 701 simulations (for θ_{8m} and w_{29m}) or almost negligible (for θ_{55m} and w_{55m}). 702

To understand how each of the selected metrics constrains the model, four tuning 703 experiments are carried out in which only one of the metrics is considered at a time. For 704 each metric, the evolution of the remaining space throughout the different iterations is 705 presented in Table 5. The w_{29m} metric is much less discriminating than the other three. 706 18% of the original space is compatible with the reference after 9 waves, as opposed to 707 around 0.5 to 1% for the other metrics. The LES uncertainty for this metric explains part 708 of this result. The importance of the reference uncertainty relative to the bias is quan-709 tified as the ratio between the two quantities (Table 5). It is thus shown that consider-710 ation of this ratio is not sufficient to presuppose the importance of each metric. The re-711 sults of these experiments are presented in Fig. 6. θ -related metrics and the 55-m wind 712 speed rule out around 99% of the parameter space. θ -related metrics (θ_{8m} and θ_{55m}) lead 713 to simulations with a proper nocturnal cooling (a little more pronounced when consid-714 ering only θ_{55m}). They also show a low-level jet significantly better than CM6-LR with 715 a wind maximum at the right altitude. However, the return to geostrophic wind is weakly 716 constrained and for many simulations it occurs at a too high altitude, especially for those 717 resulting from the calibration based on θ_{8m} only. The w_{55m} metric leads to a jet with 718 a structure at 0100 LT very close to the LES, but with a too weak nocturnal cooling, 719

even if the improvement compared to CM6-LR is still significant. These different met-720 rics reduce the space of the parameters differently (Fig. 7). If high values for LMIN and 721 **KOZMIN** are systematically eliminated for each of the three metrics, they differ for the 722 tuning of CM, CE and AT. w_{55m} strongly constrains the sum of CM and CE but has 723 no influence on **AT** whereas thermodynamic metrics have a less marked sensitivity to 724 **CM** and **CE** but significantly constrain **AT** by eliminating the highest values. The weaker 725 sensitivity of θ_{8m} and θ_{55m} to CM is explained as the turbulent heat flux depends on 726 the product of CM by AT (see Section 2.1). The w_{29m} metric poorly constrains the noc-727 turnal cooling and the altitude of the return to geostrophic wind. The usefulness of this 728 particular metric may be questioned. An experiment conducted without w_{29m} however 729 slightly degrades the low-level jet structure (not shown) and leads to a slower reduction 730 of the parameter space. 731

In this study we use simple scalar metrics at given times. The time at which the 732 metrics are calculated is chosen according to the maximum intensity of the phenomena 733 they capture. Thus the wind-related metrics are computed at 0100 LT which is the time 734 when the jet is the sharpest. The θ -related metrics are computed at the time of the strongest 735 cooling (0300 LT). More complex (vector) metrics could be used, such as vertical pro-736 files or time series (e.g., D. B. Williamson et al., 2017; Salter et al., 2019), but the re-737 sults presented in Section 5 emphasize that these very simple metrics are sufficient to 738 constrain significantly the model behavior over the entire duration of the simulation. 739

We would like to finally stress here that the choices made in section 5 result from a trial-and-error empirical approach, which we advocate for. To help to analyze the results, a small number of metrics were selected from a much larger list of potential metrics. It is certainly possible to use a greater number of metrics, in practice all those that the modeller finds relevant. Nevertheless, we emphasize hereafter a few guidelines on this process of metric selection:

- The analysis of the default configuration but also of the first wave simulations is
 an important step, which helps to identify model biases or appropriately-simulated
 features and build metrics to quantify them.
- As the method takes into account the different sources of uncertainty, particular
 attention should be paid to the uncertainty in the LES and to ensure that it does
 not dominate the biases identified above. Besides, the first wave spread might also
 give indications about the discriminatory ability of a given metric.
- 7533. Metrics do not need to be taken all at once starting at Wave 1 and sometimes it754is preferable to start with few discriminant metrics (with priority metrics being755defined by the modeler expertise) in order to ease the construction of the emu-756lators during the following waves as over a smaller parameter space. This ensures757by the flexibility of the tool that allows to add new metrics over the waves if nec-758essary.
- 759

4. A preference is given to the use of simple metrics, easier to compute and interpret.

760 761

6.2 Iterative refocussing convergence and its link with the sources of uncertainty

Deciding when to stop the iterations is not trivial. For the different experiments carried out in this study, we decided to stop the iterations when the size of the NROY space no longer decreases as we perform more waves (e.g., Table 6). Using the SCM-LR-SHF experiment, we illustrate here the NROY convergence and analyze the evolution of the different uncertainty sources that we need to take into account to monitor this convergence.

In the SCM-LR-SHF experiment, the NROY space size has converged from about the fourth wave. The NROY space estimated at the end of Wave N is defined using the



Figure 6. Results of the SCM-LR-SHF calibration experiment, but considering only (a, e, i and m) the θ_{8m} metric, (b, f, j and n) the θ_{55m} metric, (c, g, k and o) the w_{29m} metric and (d, h, l and p) the w_{55m} . The first column corresponds to the wind profile at 0100 LT (m s⁻¹), the second column to the wind at 55 m (m s⁻¹), the third column to the potential temperature profile at 0300 LT (K) and the fourth column to the 8-m potential temperature. On each panel, the blue line indicates the CM6-LR-SHF simulation, the black line the ensemble mean LES with plus or minus one standard deviation as the grey shading and the red shading the Wave 9 simulation envelope.



Figure 7. NROY space obtained after Wave 9 of the SCM-LR-SHF calibration experiment, but considering only (a) the θ_{8m} metric, (b) the θ_{55m} metric, (c) the w_{29m} metric and (d) the w_{55m} metric.

Table 5. Results of a tuning of ARPEGE-Climat in LR-SHF configuration using one metric at a time. The first line gives the value of each metric computed with CM6-LR-SHF, the second line gives the value of each reference metric computed as the mean of the LES ensemble and the third line gives the LES uncertainty computed as the variance of the LES ensemble. The following lines gives the evolution of the remaining NROY space over waves.

Experiment	θ_{8m}	θ_{55m}	W _{29m}	w _{55m}
CM6	273.5	275.5	4.7	4.7
Obs	265.7	277.7	5.2	4.2
Err Obs	0.69	$7.5 \ 10^{-4}$	0.02	$1.2 \ 10^{-3}$
NROY 1	2.6 %	2.8 %	25.4 %	6.0 %
NROY 2	1.9 %	0.7 %	22.4 %	0.5~%
NROY 3	1.8 %	0.6 %	21.2 %	0.4 %
NROY 4	1.6 %	0.5~%	20.8 %	0.3 %
NROY 5	1.4 %	0.5~%	19.2 %	0.3~%
NROY 6	1.4 %	0.4 %	19.1 %	0.3~%
NROY 7	1.4 %	0.4 %	18.7 %	0.3 %
NROY 8	1.4 %	0.4 %	18.2 %	0.3 %
NROY 9	1.3 %	0.4 %	18.0 %	0.3 %

implausibility which depends on the metric value estimated using the surrogate model, 770 the different sources of uncertainty and the chosen threshold. Figure 8 presents the evo-771 lution through the different waves of the implausibility distribution, computed for each 772 of the four metrics considered here, as well as the different quantities involved in its com-773 putation. For Wave N, the implausibility is computed using the points in the Wave N-774 1 NROY space. From Wave 3, and for each metric, almost all points in the NROY space 775 have an implausibility lower than 3 (the chosen cutoff, cf. Section 4) despite the reduced 776 emulator uncertainty within the NROY space compared to the previous wave. 777

As the NROY space size reduces during the successive waves, the 70 SCM runs sam-778 ple a much smaller space, thus leading to improved surrogate models within the NROY 779 space, with reduced uncertainty. This is particularly the case for the metrics θ_{55m} and 780 w_{55m} , for which from Wave 2-3 the surrogate model uncertainty falls mostly below or 781 is of the same order of magnitude as the reference uncertainty (Fig. 8f and 8h). For $\theta_{\rm 8m}$ 782 the surrogate model uncertainty is also reduced after Wave 1 (Fig. 8e), but there is not 783 a strong decrease of it. It is already significantly lower than the reference uncertainty 784 and thus does not play much in the implausibility for this metrics. Besides, as the NROY 785 space does not anymore reduce dramatically from Wave 2 onwards, the SCM runs mostly 786 sample the same space and thus no surrogate model improvement is expected. 787

The different metrics estimated by the emulators also converge rapidly and from Wave 3 (Wave 5 for w_{55m}) onwards (Fig. 8a-d), only a few outliers fall outside the range of 3 standard deviations around the reference. In other words, from Wave 5 onwards, the simulations are consistent with the LES given our four metrics, and taking into account the LES uncertainty. To go further in the calibration process, the threshold initially taken to 3 could be changed to a lower value, especially because the emulator uncertainty is not anymore the dominating uncertainty.



Figure 8. Evolution across the SCM-LR-SHF 9 waves of the distribution of various variables involved in the implausibility computation: (a, b, c and d) metrics values with the LES ensemble mean (orange solid line) and plus or minus three standard deviation (dashed orange lines), (e, f, g and h) surrogate model variance with the LES ensemble variance (orange solid line), (i, j, k and l) implausibility with the cutoff of 3 (orange solid line). The first column correspond to the θ_{8m} metric, the second column to the θ_{55m} metric, the third column to the w_{29m} metric and the fourth column to the w_{55m} metric. The distributions are computed using points sampling the NROY space obtained at the end of the previous wave (input space for Wave 1).

The analysis of the metric convergence also emphasizes the question of discrepancy. 795 If for θ_{8m} , θ_{55m} and w_{55m} , the SCM simulations converge to the mean reference, this is 796 not the case for w_{29m} . For this metric, the LES ensemble mean is about 5.2 m s⁻¹, while 797 the SCMs struggle to exceed 5.0 m s⁻¹. If a non-null discrepancy is not necessary to avoid 798 an empty space, the latter point advocates for taking a structural error of the model at 799 least equal to 0.2. Our choice for no tolerance to error seems reasonable for exploring 800 a single case study and only a few metrics. It is however not recommended in a more 801 comprehensive calibration of the full model as it is likely to end up with overtuning (D. B. Williamson 802 et al., 2017). When no information can help in suggesting or quantifying this discrep-803 ancy, tests during the first wave (when computing the implausibilities and identifying 804 the NROY space) are likely to provide some upper bound on it. The following waves will 805 possibly help to reduce it as the modeler gains a better quantification of his model be-806 havior and can further confront what he wants and what the model can really do. 807

Finally, we want to stress here the importance of analyzing the comparative importance of the different sources of uncertainty when the procedure seems to converge (i.e. when the space of the parameters no longer reduces significantly from one wave to the next) before reducing the threshold for example.

Experiment	HR-SHF	LR-SHF	LR-TS
NROY 1	3.0~%	0.59~%	0.21~%
NROY 2	0.97~%	0.17~%	0.12~%
NROY 3	0.52~%	0.14~%	0.07~%
NROY 4	0.39~%	0.12~%	0.05~%
NROY 5	0.27~%	0.11 %	0.04 %
NROY 6	0.21~%	0.10~%	0.04~%
NROY 7	0.16~%	0.09~%	0.03~%
NROY 8	0.14 %	0.08 %	0.03~%
NROY 9	0.12~%	0.08 %	0.03~%

 Table 6.
 Evolution of the remaining space for the three tuning experiments

6.3 Evaluation of the statistical framework: comparison with a 100% SCM approach

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As SCM low-resolution simulations are computationally cheap, it is possible to perform an independent large ensemble of simulations to assess the overall framework used in Section 4, in particular the quality of the GP-based surrogate models. In the present section, we focus on the SCM-SHF-LR setup for which 10⁴ simulations are performed. The parameters are sampled according to a conventional Latin hypercube of the input space. The implausibility of each of these 10⁴ simulations is evaluated. As there is no emulator used in this experience, Equation 13 reduces to

$$I_f(\boldsymbol{\lambda}) = \frac{|r_f - f(\boldsymbol{\lambda})|}{\sqrt{\sigma_{r,f}^2 + \sigma_{d,f}^2}}$$
(14)

where $f(\lambda)$ is the value of the metric f for the set of parameters λ , directly computed

from the SCM simulations. As in the previous tuning experience using iterative refocussing



Figure 9. NROY space (a) computed from the 10^4 SCM-LR-SHF simulations and (b) computed from the Wave 9 history matching with iterative refocussing framework applied on SCM-LR-SHF, considering only the w_{29m} metric. See text for details.

method and in order to compare results, the structural error $\sigma_{d,f}^2$ is taken to zero. This 823 formulation is used to estimate the NROY space, directly from the 10^4 SCM ensemble. 824 Note that to appropriately characterize the NROY space, it is necessary that it contains 825 enough points, at least a few hundreds. The NROY space obtained using the four met-826 rics in Section 5.2 is about 0.1% of the initial space. It has been numerically evaluated 827 using the implausibility computed over 10^6 points, so that it contains in the end around 828 10^3 points. With only 10^4 points in the initial space, only an order of 10 points is ex-829 pected to remain, which is clearly not sufficient to fully characterize the NROY and make 830 its estimate not too much sensitive to the input space sampling. This further empha-831 sizes the relevance of using surrogate models, even in this SCM framework. Therefore, 832 the statistical framework is evaluated using only the metric w_{29m} , as it keeps a sufficient 833 fraction of the initial space, namely about 18% using emulators (thus about 1,800 points). 834 In parallel, a NROY space is estimated using the emulators built in 5.2 on the same 10^4 835 points in the parameter space. The use of the same points for both estimates avoids sam-836 pling effects in the comparison. 837

In experiments using emulators, the threshold for implausibility is set at 3. This 838 choice is deliberately conservative and reduces the risk of ruling out a set of parameters 839 that would in fact leads to result consistent with the reference. The threshold of 3 fol-840 lows the $3 - \sigma$ rule of Pukelsheim (1994) which states that 95% of any unimodal dis-841 tribution lies in the range of $\pm 3\sigma$, σ being the standard deviation of this distribution. 842 To compare the two methods, keeping this threshold of 3 for the direct approach is not relevant, as in our full SCM approach, there is only one source of uncertainty. Assum-844 ing a Gaussian distribution for the reference, 95% of the distribution is in the range of 845 $\pm 1.96\sigma$ around the mean. We thus reduce the threshold to 1.96 in the full SCM approach 846 when ruling out parameter values as this provides a more consistent comparison with 847 the GP-based framework. 848

Figure 9 compares the NROY spaces for the w_{29m} metric, obtained either directly from the 10⁴ SCM simulations (NROY_{SCM}) or with Wave 9 emulator (NROY_{GP}). NROY_{SCM} is significantly smaller than NROY_{GP} (10.0% against 18.0%), but the two NROY spaces are highly consistent. This comparison clearly validates the statistical framework used in section 5 and the quality of the surrogate models in predicting the metric and its uncertainty.

855 7 Conclusion

Stable boundary layers are still critical features for weather and climate models. 856 In the present work, we seek to assess whether these model deficiencies reflect calibra-857 tion choices, or whether they are more deeply rooted in the formulations and implemen-858 tations of the turbulence parameterization themselves. In the latter case, this would clearly 859 point to intrinsic limits of current parameterizations and possibly to missing processes 860 key for stable boundary layers. To address this question, we took the example of the CNRM 861 atmospheric model, namely ARPEGE-Climat 6.3, which implements the Cuxart et al. 862 (2000) 1.5-order turbulence parameterization, with a few bounds that were historically 863 added to prevent undesirable model behavior under certain circumstances (e.g., runaway 864 cooling over Antarctica). At this stage, our example solely makes use of a single-column 865 model framework, based on the very stable boundary layer regime of GABLS4 (Bazile 866 et al., 2015). The Large-Eddy Simulation (LES) ensemble analyzed by Couvreux et al. 867 (2020a) serves as reference for evaluating and constraining the model behavior. A sta-868 tistical approach, based on history matching and Gaussian-Process-based surrogate mod-869 els, is then used to identify whether there exists or not some calibration of the free parameters of the turbulence parameterization, which can provide satisfying results on this 871 GABLS4 case. More precisely, our framework follows the process-based tuning advocated 872 by Couvreux et al. (2020b) to characterize the part of the free parameter space, which 873 leads to SCM simulations compatible with the LES references, given the various sources 874 of uncertainty. 875

We have addressed this experience using two vertical resolutions, namely the stan-876 dard ARPEGE-Climat 6.3 vertical resolution and a LES-type vertical resolution (2 m), 877 and using two configurations for the interaction with the surface (prescribed surface fluxes 878 and prescribed surface temperatures). Using four metrics (two characterizing the tem-879 perature profile, and two characterizing the wind profile), sampled at a given time of the 880 GABLS4 nighttime stable boundary-layer regime, we proved that for each SCM config-881 urations, there exist calibrations of the Cuxart et al. (2000) turbulence parameteriza-882 tion, as implemented in ARPEGE-Climat 6.3, which provide results consistent with the 883 LES reference. This indicates in an unambiguous manner that this turbulence param-884 eterization contains sufficient physics to capture strongly-stable boundary layers. As ex-885 pected, such acceptable model behavior requires calibration that allows to weaken tur-886 bulent mixing. This is mostly achieved when strongly reducing the impact of lower bounds 887 historically introduced for maintaining a minimum turbulent mixing (mixing length and 888 minimum flux close to the surface). In contrast, and even though it can be revisited, the 889 calibration of other turbulent parameters can broadly remain consistent with the pre-890 vious proposals of Cuxart et al. (2000) and Cheng et al. (2002). This importance of lower 891 bounds in the turbulence parameterization clearly echoes similar results obtained by Vignon. 892 Hourdin, et al. (2017) for the climate model LMDZ. 893

The present work is also the opportunity to gather and formalize our experience 894 with the statistical tools used here and borrowed from the Uncertainty Quantification 895 community (history matching, GP-based surrogate models). As such, we attempt to pro-896 vide guidance for their use in the context of parameterization and atmospheric model 897 calibration. The importance of the different sources of uncertainty is emphasized,. The 898 choice of metrics is an important step that is case-dependent: if the analysis of the ref-899 erence simulation is important by highlighting the main biases of the standard version 900 of the model, the analysis of the model behavior over the first wave simulations is equally 901 important. It allows to explore, to some extent, what the model can do and where the 902 uncertainties lie. We also illustrate how to understand and tackle the convergence ques-903

tion of the framework by comparing the emulator uncertainties to the other sources of 904 uncertainty. 905

The present first step based on an SCM/LES framework will serve as a basis for 906 further calibration of the ARPEGE-Climat atmospheric model in its more complete con-907 figurations, while attempting to keep an acceptable (physical) model behavior on the GABLS4 908 stable boundary layer regimes. The Not-Ruled-Out-Yet space will be used and further 909 explored with 3D model configurations and other metrics to identify parameter calibra-910 tion that are both compatible with stable boundary layers and the constraints of a cli-911 mate model (e.g., global energy budget, climatological mean state). This requires a re-912 visit of the present work with the introduction of a tolerance to error, and with the ad-913 dition of other parameterizations involved in such regimes (e.g., radiation, surface fluxes, 914 snow). 915

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All the programs, scripts, results of the GCM (SCM) as well as the reference LES 925 used will be made available together with the paper. 926

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Figure 1.



Hour (Local Time)

Zonal wind (m/s)

wind (m/s)

Figure 2.



b)

e)







a)

d)



Figure 3.



Figure 4.



b)

a)

2.5

4

0.0 0.5

20

22

0

Hour (Local Time)

2

1.5 Zonal wind (m/s)

1.0

2.0 2.5 . 3.0

4.0 wind (m/s)

4.5

3.5

5.5 6.0

5.0

2.5 3.0 Figure 5.

b)

3.5

3.0

2.5 0.0

0.5

1.0

c)







4



Hour (Local Time)

2

4

0

a)

0.08

0.06

20

22

1.5 Zonal wind (m/s)

2.0

2.5 3.0

wind (m/s)

Figure 6.

















c)







h)

l)

















o)









Hour (Local Time)







Figure 7.







Figure 8.



































k)







h)











Figure 9.



