

# Lower hybrid waves at the magnetosheath separatrix region

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## Abstract

Lower hybrid waves are investigated at the magnetosheath separatrix region in asymmetric guide-field reconnection by using the Magnetospheric Multiscale (MMS) mission. Three of the four MMS spacecraft observe clear wave activities around the lower hybrid frequency across the magnetosheath separatrix, where a density gradient is present. The observed waves are consistent with generation by the lower hybrid drift instability. The characteristic properties of these waves include: (1) the waves propagate toward the x-line in the spacecraft frame due to the large out-of-plane magnetic field, which is in the same direction of the diamagnetic drift of the x-line; (2) the wave potential is about 20\% of the electron temperature. These drift waves effectively produce cross-field particle diffusion, enabling the transport of magnetosheath electrons into the exhaust region. The observations presented in this study indicate unique features of asymmetric guide-field reconnection.

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## Key Points:

- Lower hybrid waves are observed at the magnetosheath separatrix region in asymmetric guide-field reconnection.
- Properties of these waves are presented and compared with that at the magnetospheric side.
- These waves lead to effective cross-field particle diffusion from the magnetosheath to the reconnection exhaust.

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## Abstract

Lower hybrid waves are investigated at the magnetosheath separatrix region in asymmetric guide-field reconnection by using the Magnetospheric Multiscale (MMS) mission. Three of the four MMS spacecraft observe clear wave activities around the lower hybrid frequency across the magnetosheath separatrix, where a density gradient is present. The observed waves are consistent with generation by the lower hybrid drift instability. The characteristic properties of these waves include: (1) the waves propagate toward the x-line in the spacecraft frame due to the large out-of-plane magnetic field, which is in the same direction of the diamagnetic drift of the x-line; (2) the wave potential is about 20% of the electron temperature. These drift waves effectively produce cross-field particle diffusion, enabling the transport of magnetosheath electrons into the exhaust region. The observations presented in this study indicate unique features of asymmetric guide-field reconnection.

## Plain Language Summary

Magnetic reconnection is a fundamental process of explosive energy conversion in space, and one important unresolved issue during this process is how plasma waves impact the magnetic reconnection. Different types of waves have been found and investigated during reconnection, including kinetic Alfvén waves, lower hybrid waves, whistler waves, upper hybrid waves, parallel electrostatic waves. Among these waves, lower hybrid waves, taken as a basic feature of 3D asymmetric reconnection, are frequently observed at the magnetospheric side. In this study, we present new observations from the Magnetospheric Multiscale (MMS) mission, showing that the lower hybrid waves can also be found at the magnetosheath separatrix in asymmetric guide-field reconnection, which enable the cross-field particle diffusion from the magnetosheath to the exhaust. These results can help deepen our understanding of the roles of plasma waves in reconnection.

## 1 Introduction

Magnetic reconnection is a fundamental process in plasma physics, which rapidly converts the magnetic-field energy into plasma energy. At Earth's magnetopause, reconnection is generally asymmetric, where the magnetosheath plasma (with a weaker magnetic field and a larger plasma density) reconnects with the magnetospheric plasma (with a stronger magnetic field and a smaller plasma density), and thus the reconnection differs significantly from symmetric reconnection (e.g. the magnetotail reconnection). The quadrupolar Hall magnetic field structure can become more bipolar, and the bipolar Hall electric field tends to become monopolar (Pritchett, 2008). The stagnation point is shifted to the low density magnetospheric side of the x-line (Cassak & Shay, 2007). Electron trapping and associated parallel heating becomes asymmetric, primarily occurring on the lower density magnetospheric inflow region (Egedal et al., 2011; Graham et al., 2016). Plasma waves (including large-amplitude parallel electrostatic waves, whistler mode waves and lower hybrid waves) are identified most typically on the magnetospheric side (Wilder et al., 2019; Khotyaintsev et al., 2019). In particular, the frequently observed lower hybrid (LH) waves (Bale et al., 2002; Graham et al., 2016, 2017, 2019; Khotyaintsev et al., 2016) are taken as a basic feature of 3D asymmetric reconnection (Roytershteyn et al., 2012; Price et al., 2016; Le et al., 2017). The frequency of LH waves is found near the LH frequency ( $f_{LH} \approx (f_{ce}f_{ci})^{1/2}$ , where  $f_{ce}$  and  $f_{ci}$  are electron and ion cyclotron frequency). In this frequency range electrons remain approximately frozen in, while ions are almost unmagnetized. These waves are driven by lower hybrid drift instability (LHDI) at the steep density gradient or by the modified two-stream instability due to the entry of the finite gyroradius magnetosheath ions into the magnetosphere, and the energy source of instability is the cross-field current at the magnetopause (Graham et al., 2019). In reconnection, LH waves are thought to play an important role, which can contribute to

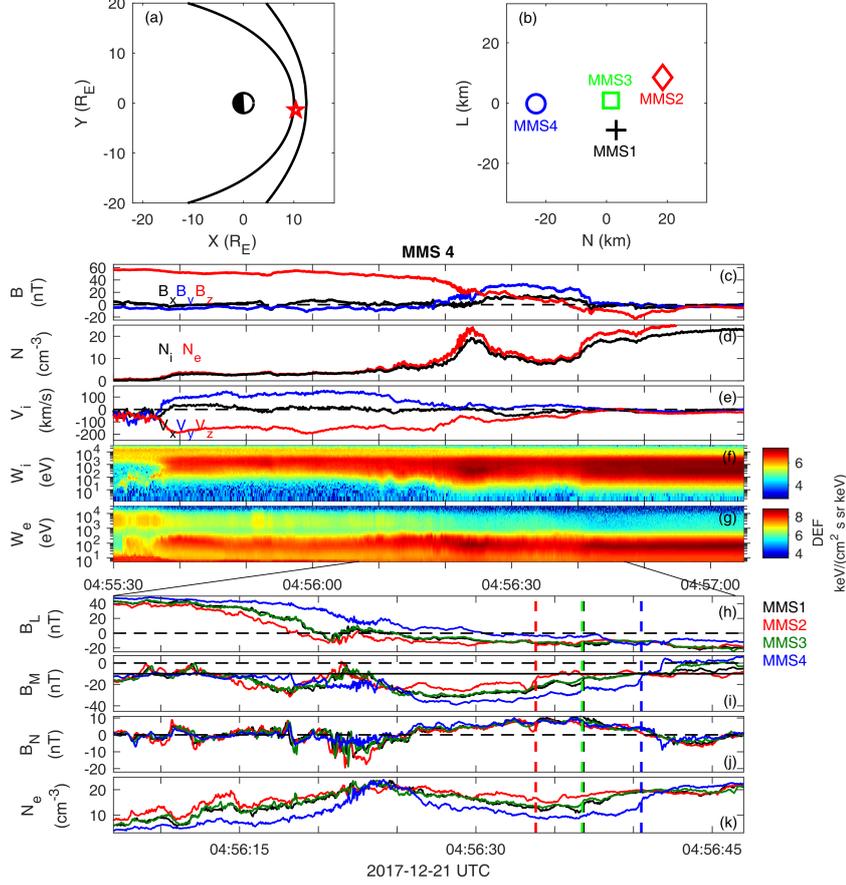
70 anomalous resistivity and anomalous viscosity (Davidson & Gladd, 1975; Price et al., 2016,  
 71 2017; Le et al., 2017), diffusive cross-field particle transport from the magnetosheath to  
 72 the magnetospheric side (Treumann et al., 1991; Vaivads et al., 2004; Graham et al., 2017),  
 73 and electron heating (Cairns & McMillan, 2005).

74 When a finite guide-field appears in asymmetric reconnection, the reconnection struc-  
 75 ture can be further modified. The reconnection electric field has a component parallel  
 76 to the magnetic field in the vicinity of the x-line, which leads to strong electron beams.  
 77 These beams are unstable for electron streaming instabilities, contributing to significant  
 78 electron thermalization (Drake et al., 2003; Khotyaintsev et al., 2020). The guide field  
 79 can also cause the diamagnetic drift of the x-line (Swisdak et al., 2003), and affect the  
 80 shape of electron crescent distributions (Bessho et al., 2019). LH waves at the low-density  
 81 magnetospheric side are reported (Graham et al., 2019; Yoo et al., 2020) as the cases re-  
 82 vealed in other reconnection events (Le et al., 2018), and due to the presence of the guide  
 83 field, the propagation of these waves can have a component along the outflow direction  
 84 (Zhou et al., 2018). The imposing of the positive/negative bipolar Hall magnetic field  
 85 to the guide field can enhance/reduce the out-of-plane magnetic field in the two differ-  
 86 ent exhausts, leading to asymmetry of the fields and plasma in both reconnection exhausts  
 87 (Mozer et al., 2008), and at the magnetosheath separatrix of the exhaust with enhanced  
 88 out-of-plane magnetic fields, a density gradient is revealed due to the force balance (Fig.  
 89 5 in Mozer et al., 2008). In this study, we find clear evidence of LH waves at such a sharp  
 90 density gradient across the magnetosheath separatrix in asymmetric guide-field recon-  
 91 nection from Magnetospheric Multiscale (MMS) mission (Burch et al., 2016). The prop-  
 92 erties of these waves are further presented and compared with that at the magnetospheric  
 93 side, indicating some unique features of asymmetric guide-field reconnection.

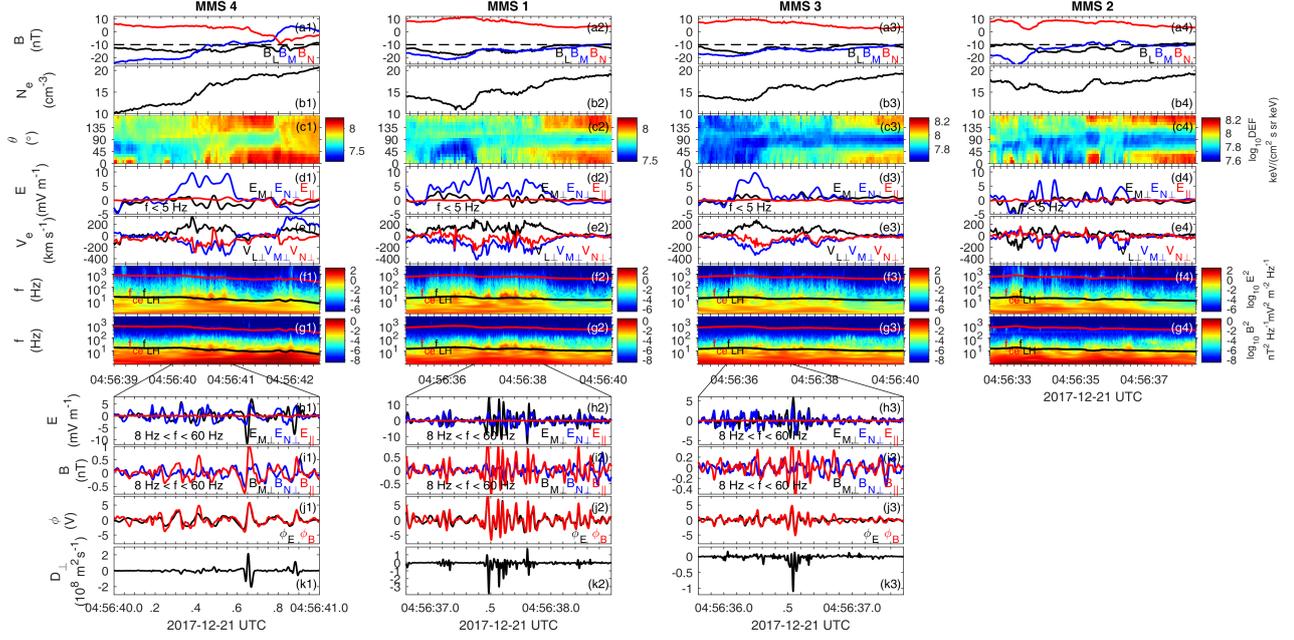
## 94 2 Observations

95 We present an outbound magnetopause crossing near the subsolar point on Decem-  
 96 ber 21, 2017 (Fig. 1(a)), and the average separation of the four MMS spacecraft is about  
 97 30 km (Fig. 1(b)). We use magnetic field data from the fluxgate magnetometer (Russell  
 98 et al., 2016), electric field data from the electric field double probes (Ergun et al., 2016;  
 99 Lindqvist et al., 2016), and particle data from the fast plasma investigation (Pollock et  
 100 al., 2016). This event has been used to investigate the electron two-stream instability  
 101 in the reconnection exhaust (Tang et al., 2020). During this outbound magnetopause cross-  
 102 ing, we find different MMS spacecraft observe significantly different plasma and mag-  
 103 netic field (Fig. 1(h) - 1(k)), where the vectors are presented in a local boundary-normal  
 104 (LMN) coordinate from minimum variance analysis of  $\mathbf{B}$  ( $\mathbf{L} = [-0.02, -0.41, 0.91]$ ,  $\mathbf{M} =$   
 105  $[-0.18, -0.90, -0.40]$  and  $\mathbf{N} = [0.98, -0.17, -0.06]$ (GSE)). This can be attributed to differ-  
 106 ent spacecraft trajectories during the exhaust crossing as (1) the magnetopause motion  
 107 in the normal direction is very slow ( $\sim 5 \text{ km s}^{-1}$ ) from the timing analysis of  $B_L$  (Fig. 1(h)),  
 108 and (2) a relatively large tangential motion of the spacecraft relative to the x-line. By  
 109 comparing the MMS observations with PIC simulations (Tang et al., 2020), MMS 4 is  
 110 the closest spacecraft to the x-line as it records largest  $B_M$  (Fig. 1(i)) and lowest plasma  
 111 density (Fig. 1(k)) in the exhaust region and MMS 2 is the furthestmost one. In addi-  
 112 tion, the reconnection guide field ( $B_g$ ) is about 10 nT, as indicated by the solid black  
 113 line in Figure 1(i), which is consistent with the direct estimation from the magnetic shear  
 114 of the two inflow regions, and is about 50% of the reconnecting  $B_L$  at the sheath side.  
 115 The magnetosheath separatrix observed by each spacecraft is marked by the vertical color  
 116 dashed lines, which is determined by the variation of ion density, ion velocity,  $B_M$  and  
 117 electric field perturbations, and these electric perturbations around the lower hybrid fre-  
 118 quency are the focus of this study.

119 A zoom-in of the MMS observations at the magnetosheath separatrix is presented  
 120 in Figure 2, where the variation of the magnetic field and the plasma density is identi-  
 121 fied (Figure 2(a1) and (b1)). An electric field normal to this boundary ( $E_{N\perp}$ ) is also re-



**Figure 1.** Overview of MMS observations at the subsolar magnetopause. (a) Equatorial projection of MMS location at 04:57 UT on 2017-12-21. (b) The relative position of MMS spacecraft. MMS 4 observations of (c) Magnetic field ( $\mathbf{B}$ ). (d) Plasma number density ( $\mathbf{N}$ ). (e) Ion bulk velocity ( $\mathbf{V}_i$ ). (f) Ion differential energy flux. (g) Electron differential energy flux. Zoom-in of (h)  $B_L$ , (i)  $B_M$ , (j)  $B_N$ , and (k)  $N_e$  for each spacecraft. The vectors in panel (a), (c) and (e) are presented in the geocentric solar ecliptic coordinate, while other vectors are in a local boundary-normal (LMN) coordinate. The vertical color dashed lines mark the magnetosheath separatrix observed by each spacecraft, which is determined by the variation of plasma density,  $\mathbf{B}_M$  and electric perturbations.



**Figure 2.** LH waves observed by different MMS spacecraft at magnetosheath separatrix. (a1 - k1) MMS4 observations: the magnetic field, electron number density, electron pitch angle spectrum, low frequency electric field, electron velocity, power spectral density of the electric and magnetic field, band-passing electric and magnetic field perturbations around the LH frequency, estimated wave potential (black for  $\Phi_E$  and red for  $\Phi_B$ ) and cross-field diffusion coefficient. (a2 - k2), (a3 - k3) and (a4 - g4): The same format for MMS1, MMS3, and MMS2.

122 vealed, with a magnitude of 5 - 10 mV m<sup>-1</sup> (Figure 2(d1)), and similar electric field struc-  
 123 tures have also been reported in another reconnection separatrix (Yu et al., 2019), sug-  
 124 gested to be generated by the electron pressure gradient. The power spectral density of  
 125 the electric field (Figure 2(f1)) and magnetic field (Figure 2(g1)) shows enhanced per-  
 126 turbations near the LH frequency, which are electric perturbations perpendicular to the  
 127 local magnetic field (Figure 2(h1)) and the parallel magnetic field perturbations (Fig-  
 128 ure 2(i1)). These observational features are consistent with LH waves. Similar density  
 129 variations, E<sub>N⊥</sub> structure and wave perturbations have been found at MMS 1 and MMS 3,  
 130 but they are not obvious at MMS 2.

131 At lower hybrid time scales, electrons remain approximately frozen in, while ions  
 132 are almost unmagnetized. If further assuming the current density perturbation  $\delta\mathbf{J} =$   
 133  $-\text{en}_e\delta\mathbf{v}_e$ , the wave potential  $\Phi_B$  of the LH waves can be calculated from  $\delta B_{||}$  and the  
 134 local plasma parameters (Norgren et al., 2012), using

$$135 \quad \Phi_B = \frac{|\mathbf{B}|}{n_e e \mu_0} \delta B_{||} \quad (1)$$

136 The wave potential peaks at  $\sim 5 - 8$  V as shown in Figure 2(j1) (j2) and (j3). The phase  
 137 velocity  $v_{\text{ph}}$  of LH waves is found by fitting  $\Phi_E = \int \delta \mathbf{E} dt \cdot \mathbf{v}_{\text{ph}}$  to  $\Phi_B$ . The best fitted  
 138  $\Phi_E$  agrees well with  $\Phi_B$ , with a correlation coefficient larger than 0.8 as listed in Table 1.  
 139 The estimated phase speed is about 50 - 90 km s<sup>-1</sup> in the spacecraft frame, propagat-  
 140 ing in the -M direction and toward the x-line (+L), and the corresponding wavenumber  
 141  $k\rho_e$  is about 0.9 - 1.6. The wave properties resolved from MMS 4, 1 and 3 are generally  
 142 similar with each other.

**Table 1.** Wave properties estimated from different methods.

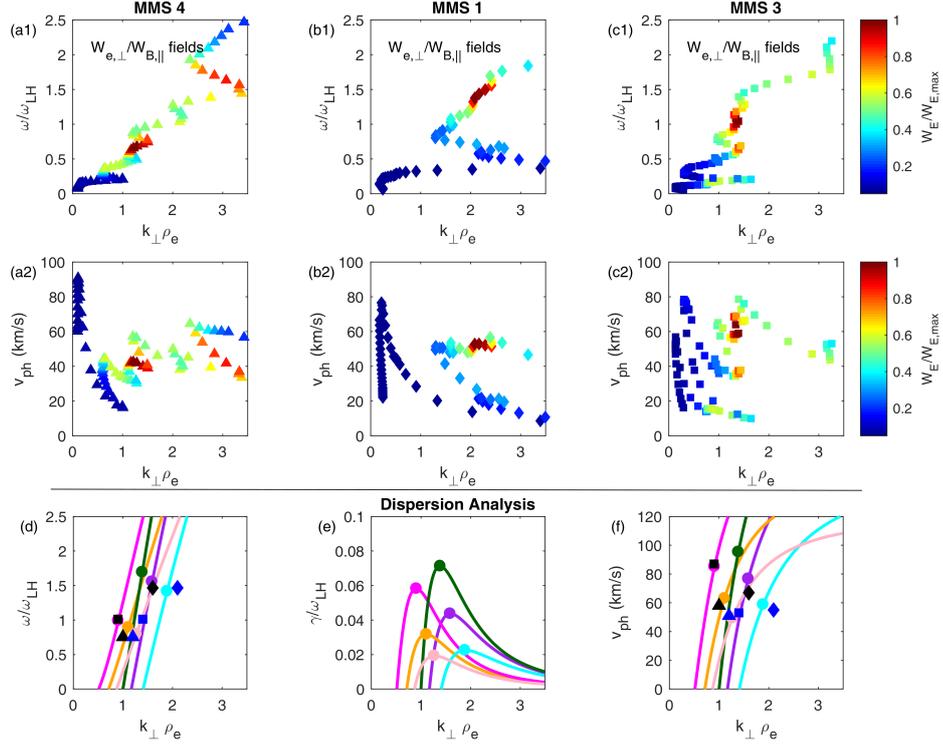
MMS	$\hat{\mathbf{k}}$ (LMN)	$v_{\text{ph}}$ (km s <sup>-1</sup> )	$\Phi_B$ (V)	$\Phi_E$ (V)	$C_\Phi$	$k_\perp \rho_e$	$v_{\text{ph}}$ (km s <sup>-1</sup> )	f (Hz)	$k_\perp \rho_e$	$\langle D_\perp \rangle$ (m <sup>2</sup> s <sup>-1</sup> )
	Norgren et al. (2012)						Graham et al. (2019)			
4	[0.62 -0.71 -0.33]	50(58) <sup>a</sup>	5.7	5.8	0.83	1.0	43(51)	8.6(9.8)	1.2	$\sim 10^5$
1	[0.72 -0.70 0.06]	65(67)	7.8	8.4	0.87	1.6	53(55)	20.4(20.9)	2.1	$-2.6 \times 10^7$
3	[0.67 -0.72 -0.18]	94(87)	5.2	4.5	0.81	0.9	60(53)	17.3(15.7)	1.4	$-1.8 \times 10^7$

<sup>a</sup> The numbers outside/inside the parentheses are estimated in the spacecraft/ion frame.

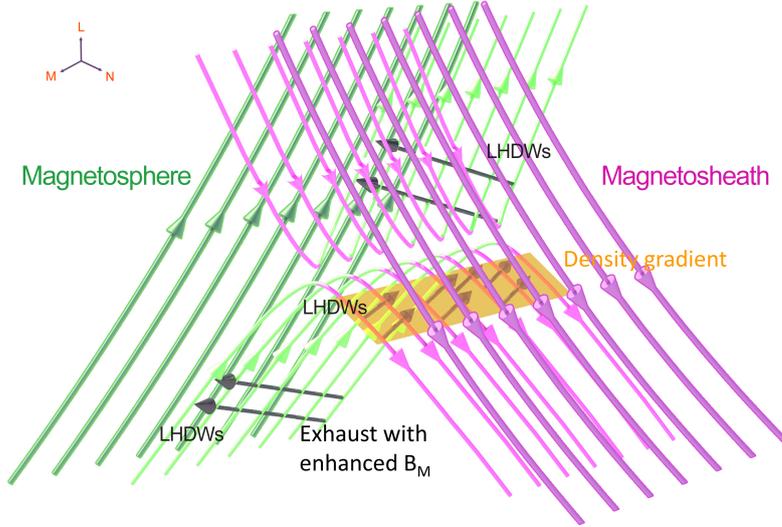
143 Recently, a new single-spacecraft method has been developed to determine lower  
 144 hybrid wave properties (Graham et al., 2019), which is written as

$$145 \quad k_\perp(\omega) = \frac{1}{d_e} \sqrt{\frac{W_e(\omega)}{W_B(\omega)}} = \frac{1}{d_e} \sqrt{\frac{W_{e,\perp}(\omega)}{W_{B,||}(\omega)}} \quad (2)$$

146 where  $d_e$  is the electron inertial length, and  $W_e(\omega)$  and  $W_B(\omega)$  are electron kinetic en-  
 147 ergy and magnetic field energy computed in the frequency domain. This method requires  
 148 the sample rate of electron moments to the lower hybrid frequency, and in this study,  
 149  $\delta n_e$  and  $\delta \mathbf{v}_{e,\perp}$  are estimated from the spacecraft potential and the measured electric field  
 150 ( $\delta \mathbf{v}_{e,\perp} = \delta \mathbf{E} \times \mathbf{B} / |\mathbf{B}|^2$ ). The top panels of Figure 3 show the dispersion relation esti-  
 151 mated from equation (2). The characteristic frequency, wave number and wave phase  
 152 speed ( $v_{\text{ph}}$ ) of LH waves estimated by different MMS spacecraft are indicated by the max-  
 153 imum  $W_E/W_{E,max}$ , and the values can be found in Table 1. Overall, the computed wave  
 154 properties are consistent the estimation from Norgren et al. (2012) except that the wave  
 155 number is larger.



**Figure 3.** Dispersion of LH waves from MMS observations and theoretical analysis. (a1 - c1) Dispersion relation from different MMS spacecraft according to equation (2). (a2 - c2) Phase speed ( $v_{\text{ph}}$ ) versus  $k_{\perp}\rho_e$  from observations. (d - f) Frequencies, growth rates, and phase speeds versus  $k_{\perp}\rho_e$  in the ion frame from the dispersion equation. The input parameters can be found in the context. The black symbols (triangle, diamond and square) are the results of different MMS spacecraft estimated from Norgren et al. (2012), while the blue ones are from Graham et al. (2019).



**Figure 4.** Schematic of LH waves in asymmetric guide-field reconnection, where the guide field is in the -M direction. The magnetosheath and magnetosphere field lines are shown in magenta and green colors. The LH waves in the local ion rest frame are indicated by the black vectors. The shaded orange region marks the density gradient at the sheath separatrix.

To investigate the instability of the observed waves, a local dispersion equation of LHDI which includes the finite plasma beta ( $\beta$ ) effect in the ion frame is considered (Davidson et al., 1977)

$$0 = 1 - \frac{\omega_{pi}^2}{k^2 v_i^2} Z' \left( \frac{\omega}{k v_i} \right) + \frac{\omega_{pe}^2}{\Omega_{ce}^2} \left( 1 + \frac{\omega_{pe}^2}{c^2 k^2} \right) + \frac{2\omega_{pe}^2}{k^2 v_e^2} \left( 1 + \frac{\beta_i}{2} \right) \frac{k V_{de}}{\omega - k V_E} \quad (3)$$

where  $Z'$  is the derivative of the plasma dispersion function,  $\omega_{pi,e}$  are the ion and electron plasma frequencies,  $v_{i,e}$  are the ion and electron thermal speeds,  $\Omega_{ce}$  is the electron cyclotron frequency,  $V_E$  is the electron drift speed due to the electric field, and  $V_{de}$  is the electron diamagnetic speed. The effect of the electron density gradient is included through  $V_{de}$  ( $\mathbf{V}_{de} = -\mathbf{B} \times \nabla \cdot \mathbf{P}_e / (B^2 n_e e)$ ). Fig 3(d) - (f) shows the predicted wave frequency, growth rate and phase speed as a function of  $k_{\perp} \rho_e$ . We use  $B = 22$  nT,  $n_e = 13$  cm $^{-3}$ ,  $T_e = 32$  eV,  $T_i = 500$  eV and  $\beta_i = 5.4$ , based on the observed plasma conditions. Due to the variation of the observed electron speeds at different spacecraft (Fig 2(e1)-(e3)), two groups of  $V_E$  and  $V_{de}$  are considered, which are (1)  $V_{de} = 20$  km s $^{-1}$ , while  $V_E = 120$  km s $^{-1}$  (Pink),  $150$  km s $^{-1}$  (Orange) and  $200$  km s $^{-1}$  (Magenta); and (2)  $V_{de} = 50$  km s $^{-1}$ , while  $V_E = 150$  km s $^{-1}$  (Cyan),  $200$  km s $^{-1}$  (Purple) and  $250$  km s $^{-1}$  (Green). For comparison, we shift the waves into the ion rest frame as shown inside the parentheses in Table 1, and we find that the ion motion is relatively small, suggesting the ion  $\mathbf{E} \times \mathbf{B}$  drift is approximately balanced by the ion diamagnetic drift (Graham et al., 2019). The LH wave properties estimated by different methods (black for Norgren et al. (2012) and blue for Graham et al. (2019)) from different spacecraft (triangle, diamond and square) are also presented (Fig 3(d) and (f)), and it is shown that the waves observed at the magnetosheath separatrix are in good agreement with theoretical LHDI predictions.

### 3 Discussion and Summary

In this study, we have presented new MMS observations of the lower hybrid waves at the magnetosheath separatrix in asymmetric guide-field reconnection. These waves

181 are found to spatially coincide with the density gradient and enhanced Hall electric field  
 182 across the separatrix, which is responsible for the cross-field current, the free energy source  
 183 of the lower hybrid drift instability. A schematic summary of the observed LH waves is  
 184 presented in Figure 4. Different with the widely observed LH waves at the magnetospheric  
 185 side, the waves at the magnetosheath separatrix can only develop in limited regions where  
 186 there is the density gradient. As the density gradient becomes weaker at the further down-  
 187 stream region, it is more difficult to allow the waves to grow. In the observation, MMS 2  
 188 does not observe clear density gradient and the wave activities around the lower hybrid  
 189 frequency are not obvious. Therefore the LH waves reported in this study are less fre-  
 190 quently to be observed than that at the magnetospheric side. Meanwhile, the density  
 191 gradient revealed here is responsible to balance the enhanced out-of-plane  $\mathbf{B}_M$  in the ex-  
 192 haust, which could be significant when a guide field is present. So the resulting LH waves  
 193 at the magnetosheath separatrix are potentially a characteristic feature for asymmet-  
 194 ric guide-field reconnection.

195 The estimated wave potential of LH waves at the magnetosheath side is about 5  
 196 - 8 V, which is much smaller than the waves at the magnetospheric side ( $> 100$  V) (Graham  
 197 et al., 2019). Considering the relatively lower electron temperature ( $\sim 32$  eV), the cor-  
 198 responding  $e\Phi/k_B T_e$  is  $\sim 15\%$  -  $25\%$ , suggesting that the electrons could be effectively  
 199 scattered by the wave electric field. The cross-field diffusion coefficient ( $D_{\perp} = \delta n_e \delta v_{e,N} (\partial n_e / \partial N)^{-1}$ )  
 200 is shown in Figure 2(k). Throughout the wave interval,  $D_{\perp}$  is generally negative, cor-  
 201 responding to particle diffusion from the magnetosheath to the exhaust. The peak mag-  
 202 nitude of  $D_{\perp}$  reaches to  $\sim -3 \times 10^8$  m<sup>2</sup>s<sup>-1</sup>, and the averaged value is  $-1 \sim -3 \times 10^7$  m<sup>2</sup>s<sup>-1</sup>  
 203 from MMS 1 and 3. The estimated  $D_{\perp}$  here is about one order of magnitude smaller than  
 204 that at the magnetospheric side (Treumann et al., 1991; Vaivads et al., 2004; Graham  
 205 et al., 2017), consisting with the relatively weaker wave perturbations, but it implies a  
 206 diffusion time of several seconds over a diffusion region with its width at one wave length,  
 207 which is sufficient for the broadening the density gradient across the separatrix. We note  
 208 that  $D_{\perp}$  estimated from MMS 4 is much smaller, but the reason is not clear. Whether  
 209 it is caused by the uncertainty of  $\delta \mathbf{v}_e$  estimation, which does not include the electron dia-  
 210 magnetic drift, or by other processes still needs further investigations.

211 We have shown that the LH waves propagate in the -M direction and toward the  
 212 x-line (+L), which is in the same direction of the  $\mathbf{E} \times \mathbf{B}$  and electron diamagnetic drift  
 213 direction. It is noted that the x-line is predicted to advect with the electron diamagnetic  
 214 velocity (Swisdak et al., 2003), but its speed ( $V_{\text{drift}} \sim (p_{e,\text{msh}} - p_{e,\text{msp}}) / L n_e e B_g \sim 20$   
 215 km s<sup>-1</sup>, where the scale length L is approximately equal to  $d_i$ ) in the spacecraft frame  
 216 is smaller than the estimated LH wave phase speed. Then whether the LH waves can  
 217 propagate into the x-line vicinity becomes an interesting issue. Although the LHDI has  
 218 been suggested to be quenched near the x-line during antiparallel reconnection due to  
 219 the large plasma beta ( $\beta$ ) in previous studies (Roytershteyn et al., 2012; Bale et al., 2002),  
 220 the oscillation of magnetic nulls has been detected to be related to the perturbations of  
 221 LH waves (Xiao et al., 2007), indicating the survival of LH waves in the x-line vicinity.  
 222 There are two possible explanations for this discrepancy. First, the growth rate of elec-  
 223 trostatic LH waves is reduced by a factor  $(1 + \beta/2)^{-1/2}$ , if  $T_e \ll T_i$  and  $V_E < v_i$  (Davidson  
 224 et al., 1977), meaning that LH waves would not be suppressed in reconnection with a  
 225 certain guide field, in which the plasma beta is effectively reduced in the central diffu-  
 226 sion region. Second, electromagnetic LH waves can develop in the center of a current sheet  
 227 with a longer wavelength (Daughton, 2003). Overall, if LH waves can propagate into the  
 228 x-line region, more investigations focusing on the dynamics related to LH waves (Chen  
 229 et al., 2020) should be performed in the future.

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