Substantial climate response outside the target area in an idealized experiment of regional radiation management

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Abstract

Radiation management (RM) has been proposed as a conceivable climate engineering (CE) intervention to mitigate global warming. In this study, we use a coupled climate model (MPI-ESM) with a very idealized setup to investigate the efficacy and risks of CE at a local scale in space and time (regional radiation management, RRM) assuming that cloud modification is technically possible. RM is implemented in the climate model by the brightening of low-level clouds (solar radiation management, SRM) and thinning of cirrus (terrestrial radiation management, TRM). The region chosen is North America, and we simulate a period of 30 years. The implemented sustained RM resulted in a net local radiative forcing of -9.8 Wm and a local cooling of -0.8 K. Surface temperature (SAT) extremes (90 and 10 percentile) show negative anomalies in the target region. However, substantial climate impacts are also simulated outside the target area, with warming in the Arctic and pronounced precipitation change in the eastern Pacific. As a variant of RRM, a targeted intervention to suppress heat waves (HW) is investigated in further simulations by implementing intermittent cloud modification locally, prior to the simulated HW situations. The intermittent RRM results in most cases in a successful reduction of temperatures locally, with substantially smaller impacts outside the target area, compared to the sustained RRM.

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Key Points:

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13 14	•	Regional radiation management (RRM), implemented in a coupled climate model in an ad hoc way over North America, results in a local cooling.
15	•	However, the model also simulates substantial impacts outside the area of implemen-
16		tation with some regions experiencing a warming.
17	•	Intermittent RRM, implemented only before and during predicted heatwaves, is suc-
18		cessful in suppressing the heatwaves with little impact elsewhere, but only in a sta-
19		tistical sense.

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20 Abstract

Radiation management (RM) has been proposed as a conceivable climate engineering (CE) 21 intervention to mitigate global warming. In this study, we use a coupled climate model (MPI-22 ESM) with a very idealized setup to investigate the efficacy and risks of CE at a local scale 23 in space and time (regional radiation management, RRM) assuming that cloud modification 24 is technically possible. RM is implemented in the climate model by the brightening of low-25 level clouds (solar radiation management, SRM) and thinning of cirrus (terrestrial radiation 26 management, TRM). The region chosen is North America, and we simulate a period of 30 27 years. The implemented sustained RM resulted in a net local radiative forcing of $-9.8 \,\mathrm{Wm^{-2}}$ 28 and a local cooling of -0.8 K. Surface temperature (SAT) extremes (90th and 10th percentile) 29 show negative anomalies in the target region. However, substantial climate impacts are also 30 simulated outside the target area, with warming in the Arctic and pronounced precipitation 31 change in the eastern Pacific. As a variant of RRM, a targeted intervention to suppress 32 heat waves (HW) is investigated in further simulations by implementing intermittent cloud 33 modification locally, prior to the simulated HW situations. The intermittent RRM results 34 in most cases in a successful reduction of temperatures locally, with substantially smaller 35 impacts outside the target area compared to the sustained RRM. 36

37 1 Introduction

Climate engineering (CE), also referred to as geoengineering, encompasses a set of 38 technologies and methods to deliberately intervene in the climate system to counteract 39 global warming (IPCC, 2013). The approach consists of either reducing the amount of 40 solar radiation absorbed by the Earth, facilitating outgoing longwave radiation (radiation 41 management, RM) or enhancing the net carbon sink from the atmosphere (carbon diox-42 ide removal, CDR) in order to mitigate global warming (Boucher et al., 2014). In the 43 past few years, CE garnered significant attention because if adequate measures to curb 44 greenhouse gases in the atmosphere are not implemented rapidly, substantial warming over 45 pre-industrial times can be expected (MacMartin et al., 2019; Betts et al., 2010; Battisti et 46 al., 2009; M. MacCracken, 2009). 47

To tackle global warming, the Paris agreement in 2015 aims to limit the increase in 48 global-mean near-surface temperature to below 2° C in comparison to pre-industrial times 49 and to pursue efforts to limit the increase to below 1.5° C (Dimitrov, 2016; UNFCCC, 50 2015). Substantial reductions in greenhouse gas emissions as well as some amount of CDR 51 are required to do so, but if such measures are insufficient or come too late, achieving these 52 goals would imply some sort of RM. However, RM is expected to be imperfect (e.g., it may 53 lead to overcooling of the tropics and undercooling of the poles) with potentially severe 54 side effects (e.g., it modifies some precipitation patterns). Furthermore, it would not solve 55 the issues of ocean acidification and ocean deoxygenation, and a putative early termination 56 would cause rapid climate change (Keller et al., 2014; Tilmes et al., 2018). Thus, RM 57 entails many social and ethical issues (Corner & Pidgeon, 2014) which to some extent also 58 applies to research on RM (M. F. Quaas et al., 2017). However, without strong reduction in 59 greenhouse gases and in the absence of CE methods (CDR and RM), anthropogenic climate 60 change could result devastating consequences with 3-4 ° C or more temperature rise by the 61 end of the 21st century (Wigley, 2006; Wigley & Raper, 2001; Rahm, 2018; Cox et al., 62 2018), which would also generate significant social and ethical concerns (Preston, 2013). In 63 this context, RM might be proposed to "shave off" the peak of climate warming due to 64 anthropogenic greenhouse gases, before the CO₂ removal and greenhouse gases mitigations 65 become sufficient (Tilmes et al., 2009; Kravitz et al., 2011; A. C. Jones et al., 2017; Tilmes et 66 al., 2018; MacMartin et al., 2019). J. Quaas et al. (2016) argued that local implementation 67 of RM seems more likely than a global implementation. One key reason for this is that 68 different countries or different regions of the world have different preferences with regard 69 to climate change. A regional implementation might also occur as an interim step before 70 global action is taken (M. C. MacCracken, 2016). Various climate projections with RM 71

techniques propose that the radiative forcing (RF), a measure of energy budget perturbation, 72 is substantially localized to the region of implementation (Stjern et al., 2018; Aswathy et 73 al., 2015; A. Jones et al., 2009; Mitchell & Finnegan, 2009). Local mitigation seems a 74 necessary but not a sufficient condition for regional RM (RRM) to be of interest, because 75 the climate effects may extend outside the region. The extended climate effect may be 76 beneficial or detrimental while the pattern of influence strongly depends on the region of 77 RRM implementation (Tilmes et al., 2018; A. Jones et al., 2009). By using an example, 78 here we demonstrate that RRM may lead to non-local responses which are modulated by 79 the atmospheric circulation, and subsequently we demonstrate that limiting RRM also in 80 time substantially reduces these side-effects. 81

Proposed RM management schemes involve reflecting solar radiation away from the 82 Earth's atmosphere [solar radiation management, SRM; Barker et al. (2007)], and increasing 83 the outgoing longwave radiation at the top of the atmosphere [terrestrial radiation manage-84 ment, TRM; Mitchell and Finnegan (2009); Mitchell et al. (2008)]. SRM techniques aim to 85 manipulate the global temperature by increasing the albedo of the atmosphere. Among CE 86 options, some SRM techniques are potentially comparatively inexpensive, technologically 87 feasible, and would lead to a rapid response of the climate system (Robock et al., 2008; 88 Matthews & Caldeira, 2007). SRM includes methods such as the sulphate aerosol injection 89 into the stratosphere, or increasing the reflectivity of low-level clouds, and possibly also 90 their lifetime, by adding aerosols to the troposphere (Carr et al., 2013; Moreno-Cruz et al., 91 2012; D. Keith et al., 2010; Tilmes et al., 2009; Heckendorn et al., 2009; Robock et al., 2008; 92 Wigley, 2006). The response of climate to stratospheric aerosol injection (SAI) has been 93 investigated in many modeling studies [e.g., Tilmes et al. (2018); A. Jones et al. (2009); 94 MacMartin et al. (2017); MacMartin and Kravitz (2019); Kravitz et al. (2011); Tilmes et 95 al. (2009); Heckendorn et al. (2009); A. Jones et al. (2010); Aswathy et al. (2015)]. These 96 suggest that SAI could possibly stabilize the global mean surface temperature. The prob-97 lem that equatorial injection of stratospheric aerosols leads to an overcooling of the tropics 98 relative to the higher latitudes can possibly be overcome by optimized injection at multiple 99 locations (MacMartin et al., 2017). SAI focusing on the polar regions can even have a larger 100 influence in high compared to low latitudes (M. C. MacCracken et al., 2013; Caldeira & 101 Wood, 2008). Besides the cooling, large-scale SAI could lead to consequences such as a shift 102 in precipitation patterns (Haywood et al., 2013; A. C. Jones et al., 2017), reduction in mon-103 soon precipitation (Tilmes et al., 2013), and unmitigated characteristics of temperature and 104 precipitation extremes (Aswathy et al., 2015). Further, SAI would also delay the recovery of 105 the ozone layer and enhance environmental risks (D. Keith et al., 2010; Heckendorn et al., 106 2009; Tilmes et al., 2009; Crutzen, 2006). However, MacMartin et al. (2019) suggested that 107 for a limited deployment of SAI, the projected change in surface temperature, precipitation, 108 and precipitation minus evaporation are typically smaller than natural variability. 109

In addition to SAI, marine cloud brightening (MCB) has been proposed as a possible 110 SRM approach (Wood & Ackerman, 2013; Latham et al., 2012; Latham, 1990). The sug-111 gestion is to modify low-level marine clouds by injecting aerosols into the marine boundary 112 layer and so increase cloud albedo. Such modification would produce a negative RF, which 113 implies a cooling of surface temperature (Twomey, 1977). This approach is most effective 114 in relatively clean areas (Wood & Ackerman, 2013). Ship tracks and the impact of vol-115 canic eruptions on marine clouds provide observational evidence of the cloud albedo effect 116 (Robock et al., 2013). Several modeling studies reported that, in principle, MCB has the po-117 tential to cool the Earth substantially (A. Jones et al., 2009; Robock et al., 2008; Latham, 118 2002). Aswathy et al. (2015) examined multi-model simulations of SAI and MCB. They 119 demonstrated that both methods offset the effect of global warming, with more cooling in 120 lower latitudes and residual warming in the Arctic. Aswathy et al. (2015) further discussed 121 the discrepancy in extreme temperature and precipitation for the two different CE schemes 122 (SAI and MCB). Finally, some studies suggest that sudden termination of SRM may cause 123 an acceleration of global warming, which is another important risk of SRM (Kosugi, 2013; 124

Brovkin et al., 2009). However, the sudden termination of strong SRM implementation
 might not be the most realistic scenario (Parker & Irvine, 2018).

Another way of manipulating the net radiative flux of the planet could be through 127 the thinning of high-level cirrus clouds (deliberate reduction of the cloud cover and optical 128 thickness) (Duan et al., 2018; Gruber et al., 2019). Cirrus thinning reduces the absorption 129 of longwave radiation emitted from the Earth's surface and the atmosphere beneath it, 130 which results in a cooling (Mitchell & Finnegan, 2009; Muri et al., 2014; Storelvmo et al., 131 2014). However, altitude, optical depth, cloud microphysics, and reflectivity of sunlight 132 133 play a pivotal role in cirrus radiative effects (Campbell et al., 2018; Masunaga & Bony, 2018). Storelymo et al. (2013) have tested the cirrus thinning hypothesis in a global climate 134 model and found that it has the potential to counteract anthropogenic global warming. For 135 cirrus cloud modification, a preliminary estimate of the potential global change in cloud 136 radiative effect of up to $-2.8 \,\mathrm{Wm^{-2}}$ has been reported, which could almost offset the RF 137 due to CO_2 doubling (Mitchell & Finnegan, 2009). However, the effect of this magnitude 138 is quite theoretical. It could be a complementary measure to SAI if implemented regionally 139 over polar regions in the winter season. However, cirrus thinning in the polar regions would 140 modify the equator to the pole temperature gradient (Tilmes et al., 2014; M. C. MacCracken 141 et al., 2013; M. C. MacCracken, 2016). 142

Previous studies (some of which are discussed above) have provided insight into various RM methods, their efficacy, and risks. Studies that have examined the possibility of RRM through the dimming of solar radiation were limited to the Arctic (Tilmes et al., 2014; Caldeira & Wood, 2008). Outside the Arctic, RRM raises critical questions if different countries and regions of the world have a different perspective on climate change and/or CE. Nevertheless, it is essential to identify the regional response to climate change (Ge et al., 2019).

In this context, J. Quaas et al. (2016) pointed out that RRM could further be limited by 150 implementing them only "on demand" to target certain climate extreme events, in particular, 151 heat waves (HW). From observations and model projections, it is evident that, with climate 152 change, the present-day HWs are likely to become more frequent, intense, and longer with 153 substantial impact on human health (Herring et al., 2014; Wolf et al., 2010; Sun et al., 154 2014; G. S. Jones et al., 2008; Meehl & Tebaldi, 2004). In recent decades, climate models 155 are increasingly able to reproduce climate extremes as well as their response to forcings 156 (Sillmann et al., 2013). Wang et al. (2013) simulated the effect of RRM by increasing the 157 surface albedo of urban roofs, which allows for some HW suppression. This implies that 158 mitigation measures such as RRM could potentially reduce the impact of the HW and its 159 consequences. 160

In RM research, deployment scenarios play a pivotal role in assessing efficacy and risks. 161 Most of the RM scenarios are aiming to offset the global mean temperature rise. However, 162 the recent emphasis is on moderate and restrained deployment (D. W. Keith & MacMartin, 163 2015; Irvine et al., 2019; Sugiyama et al., 2018). In this study, we have considered the 164 scenario of a regional intervention (local mitigation or RRM) in a mid-latitude region, using 165 a state-of-the-art climate model under the assumption that cloud modification is technically 166 possible. This study investigates the efficacy and impacts of regional mitigation by sustained 167 RM, the HW suppression by intermittent RM, and its impacts outside the target region. A 168 brief description of the model, the experimental design, and methodology are provided in 169 section 2. Section 3 discusses the response of temperature and precipitation to RRM. In a 170 further step, we investigate a setup in which only suppresses the HW, rather than sustained 171 RRM, is implemented. Finally, concluding remarks are given in section 4. 172

¹⁷³ **2** Data and Methodology

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2.1 Model description

The simulations on which this study relies have been performed with a coupled atmosphere-175 ocean-land surface model, the Max Planck Institute Earth system model (MPI ESM) 176 (Giorgetta et al., 2013). It consists of the atmospheric component ECHAM6 (Stevens et al., 177 2013) with T63L47 spectral resolution (about 1.8° in the horizontal, uppermost of the 47 lev-178 els at 0.01 hPa), and the ocean component Max Planck Institute Ocean Model (MPIOM) 179 (Jungclaus et al., 2013), which applies an idealized control mapping grid of about 1.5° 180 with 40 levels. The atmospheric composition, as well as other boundary conditions, are 181 prescribed at pre-industrial conditions. The simulations are initialized with existing pre-182 industrial equilibrium simulation and are run for 30 years. The two reasons to choose the 183 pre-industrial climate are (i) the practical one that a balanced equilibrium atmosphere-ocean 184 state is available and (ii) that the analysis is facilitated since the only transient perturbation 185 is the imposed one. Although we agree that RRM would be more realistically tested in a 186 future scenario, it is very unlikely to change the results. The key mechanisms documented 187 in our study would be equally present no matter what the baseline climate is. Furthermore, 188 the choice of the scenario would be arbitrary. 189

2.2 Experimental design

The aim of these experiments is an analysis of RRM, targeting a continental area encompassing 32.5° N to 47.5° N and 112.0° W to 92.0° W (Supplementary Fig. S1). North America is chosen somewhat arbitrarily, but there is one key argument: it is a mid-latitude region where no directly neighboring countries are located in the zonal direction, so that comparatively little effects of RRM in other countries may be expected. The exact location of the box within North America is arbitrary and again idealized.

Three types of model experiments are performed. First, a control simulation is per-197 formed without any cloud modification. A second type of simulations is performed where 198 an idealized regional cloud modification (see section 2.2.1) is sustained throughout the sim-199 ulation over the targeted region. This second type of simulations is referred to as the 200 "sustained mitigation" experiment and is evaluated against the control simulation. A third 201 type of simulations is also performed where cloud modification is implemented only for the 202 periods when in the control simulation there is a HW in the region of interest. This third 203 type of simulations is used to evaluate the impact of "intermittent mitigation". In this case, 204 the simulation is stopped a little after a HW is detected over the target area, it is then 205 rewound and restarted with the cloud modification applied for a short period before, during 206 and after the HW is simulated in the initial simulation. The scenario is meant to represent 207 the fact that RRM is triggered then a HW is forecast by numerical weather prediction. 208 We define HW conditions as periods when the area mean of daily maximum temperature 209 within the target region exceeds a threshold value, selected here as 32° C, for at least three 210 consecutive days. In such an event, RRM is implemented starting 10 days preceding the 211 HW (Supplementary Fig. S2). This 10-day period is a lead time at which numerical weather 212 prediction is reliable, and long enough to allow the surface temperature to respond to the 213 cloud modification. RRM is then sustained until one week after the end of the HW in the 214 original simulation. The simulation with HW suppression then becomes the main simu-215 lation and is continued (consistent with the scenario, Supplementary Fig. S2). If multiple 216 HW episode occurs within a period (less than 10 days between the HWs), then such events 217 are combined and treated as a long single HW condition. In this third type of simulations, 218 the periods with HW suppressions are evaluated against the corresponding periods without 219 HW suppression that were simulated before the simulation is rewound to apply the cloud 220 modification. 221

To reduce the uncertainty associated with the simulated interannual variability, a sixmember ensemble is performed and analyzed. The ensemble members are performed only for sustained and intermittent experiments. For the sustained experiment, each ensemble member uses the same external forcing besides a small perturbation in the atmospheric initial conditions. Thus the statistics are performed on a period of 6×30 years = 180 years. For the intermittent experiment, the perturbation is applied only during the HW suppression period (Supplementary Fig. S2).

The cloud modification influences the Earth's climate by perturbing the Earth's en-229 ergy budget at the top of the atmosphere, which referred to as the effective radiative forcing 230 (ERF). It is defined as the difference between the net radiative flux at the top of the atmo-231 232 sphere for the experiment (with RRM) and the control simulation (without RRM). However, since the integration time is short enough, there is still the bulk of the top-of-atmosphere 233 radiation imbalance that makes up the ERF. To compute statistical significance levels, a 234 Welch's unpaired t-test is used (Welch, 1947; Boneau, 1960). In both experiments, a set of 235 climate extremes is identified with the upper and lower end of the distribution of meteorolog-236 ical variables, for instance, top and bottom deciles $(90^{th} \text{ and } 10^{th} \text{ percentiles, respectively})$ 237 of surface temperature (Aswathy et al., 2015). In the following text, the changes in tem-238 perature, precipitation, wind etc are the mean changes over 30 years (experiment - control) 239 and local/locally denote the experiment region. 240

241 2.2.1 Cloud modification

Cloud optical properties have a profound impact on the global radiative effect (Twomey, 242 1977). Optically thick boundary layer clouds exert a negative radiative effect, by reflecting 243 solar radiation and little greenhouse effect (McComiskey & Feingold, 2008; Twomey, 1977), 244 whereas optically thin high-level clouds have a positive radiative effect by blocking the ter-245 restrial radiation (Mitchell & Finnegan, 2009). Here the cloud modification is implemented 246 as an alteration to both types of clouds by multiplying the liquid cloud water content q_1 247 by a factor of 10 and multiplying the cloud ice content q_i by a factor of 0.1 in the model, 248 specifically over the target region $(q_1 \text{ and } q_i \text{ are local variables in the radiation module})$. 249 This modification is made at every timestep because the change does not affect processes 250 other than the radiation. The change intentionally is large to obtain a climate signal. This 251 effectively assumes that technologically, such a cloud modification is feasible, and neglects 252 possible implications of the specific technology. Since the above modification will work only 253 if $q_1 > 0$ and/or $q_i > 0$, the magnitude of the cloud modification strongly depends on the 254 presence and thermodynamic phase of cloud layers in the atmospheric column. 255

256 3 Results

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3.1 Sustained mitigation

The implemented RM in the climate model (see section 2.2.1) increases the reflection 258 of solar radiation by liquid-water clouds (negative radiative effect) and reduces the cirrus 259 greenhouse effect by allowing more terrestrial radiation to escape to space (i.e. to reduce 260 the absorption of longwave radiation emitted from the Earth's surface and the atmosphere 261 beneath; negative radiative effect). Both lead to a negative local RF. Fig. 1a shows the 262 diagnosed effective RF (ERF) at the top of the atmosphere, which yields a magnitude of 263 $-9.8 \pm 5 \,\mathrm{Wm^{-2}}$ over the target/experiment region. The negative forcing leads to a cooling 264 of the near surface air temperature (SAT) with a mean of -0.8 ± 0.7 K in the target region 265 (Fig. 1b). The radiative effect of RRM was further untangled by a separate assessment of the 266 two different cloud modifications (thickening of liquid clouds, mainly in the solar spectrum; 267 and thinning of ice clouds, mainly in the terrestrial spectrum) to find that the thickening 268 of the liquid cloud contributes 54% to the total regional forcing, with the remainder from 269 the thinning of ice clouds (Figure not shown). An important result of the simulation is that 270 besides this intended effect, also a high latitude warming is evident over the Alaskan region, 271 which is statistically significant at 90% confidence level. 272

From the geographical distribution, the main contributor to the high latitude warming 273 is the anomalous warming simulated to the northwest of the experimental region (Alaskan 274 region). As a consequence of the local cooling, there is a weakening of surface westerly wind 275 flow resulting in an anomalous north to northwesterly flow in the western Pacific between 276 30° N to 60° N and 120° W to 180° W (Fig. 2a). This anomalous flow favors incursions of 277 warm air masses from mid-latitude to high latitudes. Associated with RRM and high lati-278 tude warming, a significant change in circulation, and geopotential height are also noted at 279 higher altitudes. The positive anomalies of geopotential and temperature at 500 hPa result 280 in an anomalous anticyclonic circulation over the warm region and a cyclonic circulation 281 over the target region (Fig. 2b). These circulations result in the convergence of warm air 282 (anticyclonic) and divergence of cold air (cyclonic) above the respective regions. This tele-283 connection is analogous to the finding of Kug et al. (2015), although it suggests an influence 284 of Arctic warming on North American cold winters, which is the opposite interpretation of 285 causation. Note that in our simulations, the causation is imposed by construction. There is 286 some seasonality to the results. The colder winters in North America in response to RRM 287 are the major contributor to anomalous Arctic warming. The anomalous cold winter due to 288 RRM cooling provides a favorable condition for the Arctic warming through the poleward 289 intrusion of warm air from mid-latitudes (Fig. 2). Furthermore, the sea ice area fraction 290 shows a decrease over the Alaskan region, which is associated with sustained warming of 291 the Alaskan region due to the North American RRM. In turn, in the polar region, the sea 292 ice fraction shows an increase (Fig. S3a). The change in sea ice fraction could be related to 293 the seasonality in ERF. It has both contributions from ice and liquid cloud modifications 294 (Figure not shown), with a relatively significant negative ERF in the winter season, which 295 leads to seasonality in SAT as well. The seasonality in the RRM induced SAT anomaly 296 (Fig. S4) leads to an imbalance between summer ice melt and winter ice growth (Fig. S3b 297 & c), which accelerates sea ice loss around the Alaskan region, especially in the Bering Sea 298 and the Sea of Okhotsk. 299

An even more pronounced effect outside the targeted area is found when considering 300 SAT extremes as defined by the top and bottom deciles of the temporal distribution at 301 each grid point (Fig. 3). The geographical distribution of change in the top decile of the 302 SAT shows a cooling of the temperatures over the experiment region and exhibits a spatial 303 pattern that is similar to the mean SAT change pattern, with local cooling. However, in the 304 bottom decile of the SAT distribution, along with the expected reduction over the target 305 area, significant warming is simulated over much of the high latitudes (between 60° N and 306 90° N) of the Northern Hemisphere, with a statistical significance at a confidence level of 307 90%. Indeed in the bottom decile of the SAT, the warmings are statistically significant, 308 especially over the Arctic, emphasizing the non-local influence of RRM, attributable to 309 the teleconnection mechanism discussed above. The signal in the bottom decile is noisy, 310 however, with some – less significant – negative anomalies in the high latitudes as well. 311

For precipitation, the RRM simulation shows a slight local increase by on average 312 $0.02 \,\mathrm{mm}\,\mathrm{day}^{-1}$, despite the cooling (Fig. 4). However, pronounced alterations of precipita-313 tion are also simulated elsewhere across the globe, especially in the eastern Pacific region. 314 The large positive precipitation anomalies in the eastern Pacific warm pool region are related 315 to an RRM teleconnection. It can be delineated from the time series of: (1) standardized 316 SAT anomaly in the RRM region, (2) standardized sea surface temperature (SST) anomaly 317 in the Niño3.4 region (5° S to 5° N, 120° W to 170° W), and (3) standardized total precip-318 itation anomaly in the eastern Pacific (0°S to 10°S, 148°W to 180°W). Fig. 5 shows the 319 relationship between SAT, SST, and precipitation, each in the distinct regions. Although 320 RRM results in a local reduction in temperature on average, there exists variability in the 321 local cooling. The time series illustrates a negative correlation between SAT in the RRM 322 region with Niño3.4 SST and precipitation. The negative correlation implies that the inten-323 sity of the local RRM cooling has a significant teleconnection to the Pacific warming/cooling 324 which leads to positive/negative precipitation anomalies. 325

The teleconnection is further investigated by analyzing the composite anomalies of 326 SAT, precipitation, and wind vector when (1) the standardized SAT anomaly is greater 327 than -1.0 K, and (2) the standardized SAT anomaly is less than -1.0 K in the RRM region. 328 Fig. 6 shows the co-variability of the local SAT variability with global climate. During 329 relatively small cooling (SAT $> -1.0 \,\mathrm{K}$) conditions, the climate variability is analogous to 330 La Niña conditions with a cool Pacific Ocean SST and a dry western Pacific (Fig. 6a). It is 331 associated with a divergent wind vector anomaly in the eastern Pacific along with northerly 332 wind flow, and an anticyclonic circulation over the north-central Pacific, which relates to 333 the warm SAT anomalies. However, periods of strong cooling in the RRM region (SAT 334 anomaly $< -1.0 \,\mathrm{K}$) relate to climate variability similar to El Niño conditions (Fig. 6b). 335 During the strong RRM cooling episodes (time periods below the dotted line in Fig. 5), 336 relatively strong cooling is simulated over North America and East Asia, while significant 337 warming is simulated for the tropics (central Pacific). In the tropics, SAT patterns reflect 338 the SST pattern. Positive SAT anomalies are also simulated for the high latitudes over the 339 north-east Pacific and extend well into the Alaskan region. As a consequence of significant 340 local cooling, the surface westerly wind weakens and results in an anomalous northerly flow, 341 which contributes to the anomalous warming in the Alaskan region. Additionally, it results 342 in an equatorial wind convergence and enhances the convection in the west Pacific, thus the 343 precipitation (Fig. 6b). 344

Graf and Davide (2012) demonstrated teleconnections between El Niño and Atlantic / 345 European regional climates, which involve a dynamic coupling of the troposphere with the 346 stratosphere. A similar coupling mechanism is also noticeable when assessing the position 347 of the sub-tropical jet streams in the two simulations (Fig. 6). Situations with relatively 348 limited RRM local cooling show little change in the position of the jet (Fig. 6a). However, 349 intense local SAT change is correlated with a shift of the jet core towards the Equator over 350 the north Pacific (Fig. 6a). This dynamical coupling mechanism can be further explained by 351 upper tropospheric circulations (Fig. S5a & b). In the Northern Hemisphere mid-latitudes, 352 the stream function anomalies are similar to the geopotential height anomalies in terms 353 of their pattern, with anti-cyclonic and cyclonic circulation anomalies in the positive and 354 negative stream function positions, respectively. During the time of relatively little RRM 355 cooling (SAT anomaly > -1.0 K), a pronounced chain of significant positive stream function 356 anomalies, as well as anti-cyclonic circulations in the Northern Hemisphere, is simulated. 357 Over the western Pacific, upper-level convergence can be noticed (Fig. 6c). The upper-level 358 convergence and lower-level divergence explain the negative precipitation anomalies (Fig. 6a 359 & Fig. S5a). On the other hand, composite anomalies for relatively strong RRM cooling 360 (SAT anomaly < -1.0 K) relate to a pronounced chain of significant negative stream function 361 anomalies with cyclonic conditions in the Northern Hemisphere with negative geopotential 362 height field. It appears that the strong low-level convergence and upper-level divergence 363 over the western Pacific lead to pronounced precipitation in this region (Fig. 6b & Fig. S5b). These anomalies are associated with the intensity of RRM cooling, and the proposed link 365 mechanism is the dynamic coupling of the troposphere with the upper troposphere. 366

As discussed earlier the chosen location for RRM is somewhat arbitrary and it could be applied over other regions as well. Additional experiments with RRM implemented over the central European region indicate that our key conclusion holds. Assuming that clouds can be modified, a regional ERF and thus regional temperature change can be achieved. Furthermore, remote effects outside the target region are also visible (not discussed here).

3.2 Intermittent mitigation

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As discussed above, sustained limited-area climate engineering can result in substantial climate alterations in other regions. In light of this, RRM can be less attractive than it initially might seem. Consequently, we now investigate to which extent RRM, if implemented in a temporally intermittent (non-continuous) way, may be useful to suppress harmful extreme weather conditions – here HWs are selected – without causing substantial ³⁷⁸ impact outside the targeted region. Sixteen HWs occur during the three decades of our ³⁷⁹ "intermittent mitigation" simulation (Supplementary material, Table T1).

Fig. 7 illustrates the evaluation of a case with ensemble mean HW suppression against 380 the corresponding period without HW suppression. The RRM deployed HW suppression 381 leads to local cooling and retains the temperature below the HW threshold temperature of 382 32° C. We have selected a case for Fig. 7, that shows a clear avoidance of the HW, whereas 383 in other cases HW mitigation is not as efficient (Supplementary Fig. S6). In most cases, 384 the mitigation acts to reduce the HW, even if it does not completely avoid exceeding the 385 threshold temperature. The reason is that the magnitude of mitigation strongly depends 386 on the presence of suitable clouds, where the HW with clear skies implies no alteration 387 is introduced. The time average (30 years) of the ERF (Fig. 8b) is much smaller than in 388 the case of the sustained RRM. Similarly, the SAT changes are also much smaller (Fig. 8b). 389 However, a significantly positive SAT anomaly is simulated to the North of the RRM region. 390 Likewise, the temperature extremes, top and bottom deciles of SAT distribution, also reveal 391 a less significant impact outside the target region (Fig. 8c & d). However, the bottom decile 392 exhibits an enhanced cooling in the north-eastern part and warming to the north of the 393 RRM region. 394

These results suggest that intermittent local HW suppression could have potential – though not systematic– benefits on human and ecosystem health (Herring et al., 2014) with smaller side effects than permanent HW suppression. However, we also underline that it is not possible to intervene, even intermittently, without any consequences elsewhere at all.

399 4 Conclusions

It has been suggested that, rather than global CE implementation, RRM might be 400 more plausible from a geopolitical viewpoint as it may be considered by some countries or 401 groups of countries who have their own climate preferences (J. Quaas et al., 2016). In this 402 study, we have used a coupled climate model, the MPI-ESM, to assess the implementation 403 of RRM. This implementation considers an idealized alteration of clouds in the model. For 404 this, we have employed a modification of cloud properties (only in the radiation module) 405 by scaling both liquid and ice clouds to generate optically thick boundary layer clouds and 406 thinner high clouds, both generating a negative RF. The radiative perturbation resulting 407 from this is quite large and no known technology could achieve it. However, the experiment 408 is useful in that it provides an estimate for the size of the outcome to be expected for a 409 large perturbation. Any smaller perturbation is expected to have a smaller outcome as well 410 as smaller side effects. Our study addressed the impact of RRM and its consequences. We 411 have chosen here the example of RRM implemented over North America. Local ERF is 412 $-9.8 \,\mathrm{Wm^{-2}}$ with a local cooling of $-0.8 \,\mathrm{K}$. However, substantial effects outside the target 413 region are also noticed. Especially over the Alaskan region, substantial warming is simulated 414 415 and can be traced to a weakening of surface westerly wind flow. This warming is enhanced by north and northwesterly flow at the surface, along with 500 hPa anti-cyclonic flow over 416 Alaska and a cyclonic circulation over the target region. 417

A slight increase in the local precipitation of $0.02 \,\mathrm{mm}\,\mathrm{day}^{-1}$ is noticed, despite the 418 local cooling. Pronounced precipitation changes are also simulated outside the target area, 419 especially in the eastern Pacific. Our analysis revealed that the relatively strong local 420 RRM cooling results in a weakening of surface westerly wind and leads to equatorial wind 421 convergence over the central Pacific. This, in turn, leads to a warm Pacific Ocean SST 422 anomaly and enhances the precipitation in the eastern Pacific. The upper-level (200 hPa) 423 anomalies for stream function, wind vector, and geopotential height also reveal the dynamic 424 coupling of the troposphere with the stratosphere. 425

⁴²⁶ In a second step, we have studied the feasibility of deploying RRM to mitigate specific ⁴²⁷ harmful weather events that may occur more intensely and more frequently in a warming

climate. We chose to target HWs and did so by implementing temporally intermittent RRM, 428 which would presumably lead to less inadvertent effects. The idealized HW suppression sce-429 nario assumes accurate predictability of HWs. The results suggest that HWs are mitigated 430 locally with the intermittent implementation of cloud modification by retaining the SAT 431 below the threshold of 32° C in some cases. Further, the long term effect of HW suppression 432 shows that the intermittent RRM results in much smaller time-average forcing, surface tem-433 peature, or precpitation changes compared to the sustained RRM. However, some regional 434 changes outside the target region are still simulated. 435

436 This study is illustrative of what RRM may look like and what its consequences could be, which relies on a hypothetical scenario (J. Quaas et al., 2016). Idealized studies like 437 this one are crucial to quantify the regional effect of CE and its consequences on neigh-438 boring or more remote regions. Most of the RRM studies have focused so far on the polar 439 (M. C. MacCracken, 2016; Tilmes et al., 2014; M. C. MacCracken et al., 2013; Caldeira & 440 Wood, 2008) and oceanic regions (Wood & Ackerman, 2013; Latham et al., 2012; A. Jones 441 et al., 2009), while RRM studies focusing on continental areas are sparse. Such studies are 442 relevant because different countries and regions of the world have different perspectives on 443 climate change and/or CE. Although it is idealized, our study shows that it would not be 444 appropriate to implement RRM unilaterally, if such RRM technologies become available in 445 the future. 446

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No	Year No	Begin Date	End Date	HW length (days)
1.	Y02	1851-07-01	1851-08-10	23
2.	Y04	1853-07-19	1853-08-10	06
3.	Y05	1854-07-12	1854-08-09	04
4.	Y10	1859-07-18	1859-08-14	11
5.	Y11	1860-06-24	1860-07-17	07
6.	Y12	1861-07-09	1861-08-19	06
7.	Y14	1863-06-21	1863-08-03	04
8.	Y18	1867-06-25	1867-07-29	09
9.	Y19	1868-08-06	1868-08-28	06
10.	Y21	1870-07-09	1870-08-22	28
11.	Y24	1873-07-15	1873-08-03	07
12.	Y24	1874-07-03	1874-08-11	23
13.	Y25	1875-07-20	1875-08-20	15
14.	Y27	1877-06-22	1877-08-05	28
15.	Y29	1878-06-24	1879-07-24	14
16.	$\mathbf{Y30}$	1879-07-15	1879-08-21	21

Table 1. An overview of the year, beginning (10 days before HW) and end date (7 days afterHW) of the intermittent RRM experiment.



Figure 1. (a) Effective radiative forcing (ERF, W m⁻²) at the top of the atmosphere and, (b) near-surface air temperature (SAT, K) change as 30-year average (experiment - control), ensemble average differences between the sustained RRM and control simulations. Hatched areas are grid cells where the changes are statistically significant at the 90% level according to a *t*-test.



Figure 2. As Fig.1 but for (a) 1000 hPa air temperature (K) and wind vector $(m s^{-1})$ (b) 500 hPa air temperature (color shades), wind vector $(m s^{-1})$ and geopotential height (m, contours from -4 to 4 by 2), and wind vector anomaly.



Figure 3. As Fig. 1 but for the change in (a) top decile $(90^{th} \text{ percentile})$ and, (b) the bottom decile $(10^{th} \text{ percentile})$ of the temporal distribution of surface temperature. A 90% significance level is shown as dotted.



Figure 4. As Fig.1 but for change in total precipitation (mm/day).



Figure 5. Time evolution of the standardized anomalies of surface air temperature (SAT) in the RRM region (red line), the sea surface temperature (SST) in the Niño3.4 region (5° S to 5° N, 120° W to 170° W, blue line), and total precipitation in the east Pacific region (0° S to -10° S, 148° E to 180° E, green line). The standardized anomalies are averaged for the respective regions. The dashed line shows the SAT at -1.0 in the RRM region, which differentiates the strong and weak cooling scenarios.



Figure 6. (a) Composite anomalies relative to the control simulation of surface air temperature (SAT in K, color scale), precipitation (mm/day, green contours are for positive and brown contours for negative anomalies, contours from -2.0 to 2.0 with a spacing of 0.25), and wind vector (m s⁻¹) at the surface for conditions in which the standardized SAT in the RRM region is greater than -1.0 K, (b) same as (a) but for conditions in which the standardized SAT in the RRM region is smaller than -1.0 K. The green (experiment) and yellow (control) dotted lines represent the core of the jet stream (m s⁻¹, max. zonal wind between 300 and 200 hPa).



Figure 7. Time evolution of the RRM area-averaged daily maximum surface air temperature (°C) for a HW condition (red line, dark blue simulation with HWs in Fig. S2), and the corresponding ensemble mean HW mitigation (blue line), with a heat wave duration in the control of 28 days (Refer Fig. S2 for detailed description of intermittent RRM experiment). The horizontal dotted line at 32° C represents the threshold of HWs (Refer Fig. S6 for the time evolution of the SAT in all individual identified and suppressed HWs).

— With HW — HWM



Figure 8. For intermittent RRM simulation (a) Effective radiative forcing (ERF, W m⁻²) at the top of the atmosphere, (b) mean change in near-surface air temperature (SAT, K) response. Hatched areas in (b) are grid cells where significant at the 90% level by the *t*-test. Change in (c) top decile (90th percentile) (d) bottom decile (10th percentile) of temperature distribution. The difference shown are thirty year ensemble ensemble average between the HW suppression and control simulations (In Fig. S2, the light blue lines indicate control simulation and the dashed red line in the intermittent simulation represents the ensemble part). Hatched areas in (c) and (d) are grid cells where significant at the 90% level by the *t*-test.



Figure S1. Geographical location of regional radiation management (RRM, 32.5° N to 47.5° N, 112.0° W to 92.0° W). The blue and the green/brown colors indicate the ocean and the orography, respectively [data source: Hastings et al. (1999)].



Figure S2. Schematic representation of the control and intermittent simulation. The long term consequences of HW suppression is estimated from the thirty-year mean control and intermittent simulation. The light blue lines indicate control simulation, dark blue lines indicate year without HWs, dark blue lines with red peaks indicate year with HWs and the green lines indicate year with HW suppression. The dashed red line in the intermittent simulation represents the ensemble part.



Figure S3. Change in sea ice area fraction in the Northern Hemisphere due to sustained RRM (a) in annual mean (b) during summer (JJA) and (c) during winter (DJF).



Figure S4. For sustained RRM simulation, seasonal change in SAT (a) for the summer season (JJA) and (b) for the winter season (DJF).



Compsite anomalies of Stream function (ms⁻¹),

Figure S5. For sustained RRM simulation, (a) composite anomalies of the stream function $(m s^{-1}, shaded)$, wind vector $(m s^{-1})$ and, geopotential height (m, red contours are for positive and more states and more states are for positive and states are statblue contours for negative anomalies) at 200 hPa for conditions in which the standardized SAT in the RRM region greater than -1.0 K, and (b) as (a), but for conditions in which the standardized SAT in the RRM region less than -1.0 K.



Figure S6. Time evolution of the area-averaged daily maximum surface air temperature (°C) for the simulation with HW (red curve) and with HW suppression (blue curve).