# Statistical Modeling and Characterization of Induced Seismicity within the Western Canada Sedimentary Basin

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#### Abstract

In western Canada, there has been an increase in seismic activity linked to anthropogenic energy-related operations including conventional hydrocarbon production, wastewater fluid injection, and, more recently, hydraulic fracturing (HF). Statistical modeling and characterization of the space, time, and magnitude distributions of the seismicity clusters is vital for a better understanding of induced earthquake processes and development of forecasting models. In this work, a statistical analysis of the seismicity in the Western Canada Sedimentary Basin was performed across past and present time periods by utilizing a compiled earthquake catalogue for Alberta and eastern British Columbia. Specifically, the inter-event space-time distance distributions of earthquakes were studied using the nearest-neighbour distance (NND) method. Additionally, the frequencymagnitude statistics and aftershock parameters of several clusters were analyzed using the Gutenberg-Richter relation and the epidemic type aftershock sequence model. The results suggest that recent regional changes in the NND distributions, namely, a disproportionate increase in loosely and tightly clustered seismic activity over time, are unnatural and likely due to the rise in HF operations for the development of unconventional resources. It is concluded that both these loosely and tightly clustered earthquake subpopulations differ measurably from what may be the region's tectonic seismic activity. Additionally, HF treatments have a greater probability of triggering swarm-like sequences that sharply spike the seismicity rate and are characterized by larger Gutenberg-Richter b-values. In contrast, conventional production and wastewater disposal operations largely trigger loosely clustered activity with more typical magnitude-occurrence distributions.

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2	Canada Sedimentary Basin
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8	Key Points:
9	• Parts of western Canada have seen an increase in clustered seismic activity coinciding
10	with the growing usage of hydraulic fracturing
11	• The regional seismicity can be subdivided into three main classes of inter-event distance
12	using the nearest-neighbour distance method
13	• Analysis of earthquake clusters occurring near different types of anthropogenic
14	operations reveal key differences in scaling and structure
15	

# 16 Abstract

In western Canada, there has been an increase in seismic activity linked to anthropogenic energy-17 related operations including conventional hydrocarbon production, wastewater fluid injection 18 19 and more recently hydraulic fracturing (HF). Statistical modeling and characterization of the space, time and magnitude distributions of the seismicity clusters is vital for a better 20 understanding of induced earthquake processes and development of forecasting models. In this 21 work, a statistical analysis of the seismicity in the Western Canada Sedimentary Basin was 22 performed across past and present time periods by utilizing a compiled earthquake catalogue for 23 24 Alberta and eastern British Columbia. Specifically, the inter-event space-time distance distributions of earthquakes were studied using the nearest-neighbour distance (NND) method. 25 Additionally, the frequency-magnitude statistics and aftershock parameters of several clusters 26 were analyzed using the Gutenberg-Richter relation and the epidemic type aftershock sequence 27 model. The obtained results suggest that recent regional changes in the NND distributions, 28 29 namely a disproportionate increase in loosely and tightly clustered seismic activity over time, are 30 unnatural and likely due to the rise in HF operations for the development of unconventional resources. It is concluded that both these loosely and tightly clustered earthquake subpopulations 31 differ measurably from what may be the region's tectonic seismic activity. Additionally, HF 32 treatments have a greater probability of triggering swarm-like sequences that sharply spike the 33 seismicity rate and are characterized by steeper frequency-magnitude distributions. Conventional 34 production and wastewater disposal operations largely trigger loosely clustered activity with 35 more typical magnitude-occurrence rates. 36

# 37 Plain Language Summary

In western Canada, there has been an increase in earthquake activity linked to industrial activities 38 including fossil fuel extraction, wastewater disposal and more recently hydraulic fracturing. 39 40 Statistical modeling of earthquake phenomena is important for the understanding of the specific 41 mechanisms involved in triggering earthquakes. In this work, a statistical analysis of the recorded earthquakes in the Western Canada Sedimentary Basin is performed. The results of this 42 study suggest that there are discrete statistical differences between the natural, tectonic 43 earthquakes and those triggered artificially by human activity. Additionally, hydraulic fracturing 44 operations appear capable of triggering swarm-like sequences that temporarily increase the 45

46 earthquake rate and tend to occur at small-to-moderate magnitudes. Conventional fuel production
47 and wastewater disposal operations largely trigger earthquakes that are loosely clustered together
48 in space and time across a broader magnitude range.

#### 49 **1 Introduction**

## 50 1.1 Induced Seismicity

Human activities, such as hydrocarbon production, reservoir impoundment, mining and 51 geothermal energy extraction, can alter subsurface stress regimes through a variety of 52 mechanisms, including the withdrawal or injection of fluid, reservoir compaction, excess surface 53 loading, and ground subsidence (Grigoli et al., 2017; Doglioni, 2018; Keranen & Weingarten, 54 55 2018). In some cases, these stress perturbations result in earthquakes, particularly in areas characterized by higher states of stress and/or preexisting, well-oriented faults. This phenomenon 56 is referred to as induced seismicity and is contributing to an increase in seismic hazard in certain 57 parts of the world (Segall et al., 1995; Lei et al., 2008; Atkinson, 2017; McClure et al., 2017; 58 59 Eaton et al., 2018; Brudzinski & Kozłowska, 2019).

60 In the central United States, for example, numerous earthquakes have been attributed to wastewater disposal wells operating in close proximity (Ellsworth, 2013; Llenos & Michael, 61 62 2013; van der Elst et al., 2013; Keranen et al., 2014; Hornbach et al., 2016; Schoenball & Ellsworth, 2017). These wells inject large quantities of excess flow-back fluid from associated 63 oil and gas production operations deep into underground reservoirs. This creates a pore pressure 64 front that travels outward and may interact with fault structures, particularly in the crystalline 65 basement where most of the associated seismic events occur (Horton, 2012; Ellsworth, 2013; 66 Keranen et al., 2014; McClure et al., 2017; Shah & Keller, 2017). In the year 2016 alone, 67 Oklahoma observed three earthquakes of moment magnitude (M) > 5, including an M5.8 event in 68 Pawnee that was the largest event recorded in the state's history. All three events occurred within 69 10 km of wastewater disposal wells and had moment releases that scaled with the net volume of 70 near-field injection (McGarr & Barbour, 2017). 71

In parts of western Canada, there has been a notable rise in the seismic rate coinciding with the implementation of unconventional extraction technology developed for the production of oil and gas, known as hydraulic fracturing (HF) or "fracking" (B.C. Oil and Gas Commission,

2012, 2014; Schultz, Stern, Novakovic, et al., 2015; Atkinson et al., 2016; Bao & Eaton, 2016; 75 Deng et al., 2016; Ghofrani & Atkinson, 2016; Schultz et al., 2016, 2017, 2018; Wang et al., 76 2016; Eaton et al., 2018; Zhang et al., 2019). During the HF process, fractures are created or 77 enhanced within a target formation holding desired hydrocarbons, typically tight (low 78 permeability) sedimentary layers, by the pumping of chemical slurry into segments of the rock 79 over several stages. It is increasingly common for wells to be drilled at a deviated or horizontal 80 angle as they approach a reservoir, in order to engage a larger portion of the source rock than 81 would have been reached vertically. These technological advancements have prompted a 82 dramatic growth in the number of possible fracture stages per wellbore as well as increased the 83 average total volume of high-pressure fluid injected (King, 2010) and its areal extent. Between 84 2010 and 2018, approximately 20,000 HF wells had been drilled horizontally within the Western 85 86 Canada Sedimentary Basin (WCSB) (Atkinson et al., 2020). The associated rise in induced seismicity in western Canada appears highly clustered near some of these HF operations and 87 88 cannot be fully accounted for by the deployment of denser seismic monitoring networks and more sensitive instruments (Schultz, Stern, Gu, et al., 2015; Atkinson et al., 2016; Cui & 89 90 Atkinson, 2016). Furthermore, recent studies have demonstrated that the hazard related to induced seismicity, including HF, is potentially much greater than that of natural seismicity, 91 92 particularly in areas characterized by low to moderate tectonic activity (Atkinson, 2017; Lee et al., 2019; Atkinson et al., 2020; Langenbruch et al., 2020). 93

# 94 1.2 Earthquake Clustering

Cases of induced seismicity commonly appear as earthquake clusters and can manifest as 95 96 both mainshock-aftershock burst sequences and as seismic swarms. For example, the large wastewater disposal-induced events in Oklahoma triggered typical aftershock behavior, 97 temporarily increasing the seismic rate due to the transfer of stress and brittle failure of the crust 98 (Keranen et al., 2014; McGarr & Barbour, 2017). On the other hand, the HF-induced clustering 99 100 near Youngstown, Ohio, and injection-related events in central Arkansas near Guy and Greenbrier displayed swarm-like characteristics, where the events were of similar magnitude and 101 102 could not be attributed to any dominant mainshock (Horton, 2012; Llenos & Michael, 2013; Skoumal et al., 2015). Studies have shown that both natural and anthropogenic changes to the 103 104 subsurface fluid content can enhance or induce earthquake sequences via subsidence and/or

changes in Coulomb fault stress and pore pressure conditions, especially near critically oriented 105 structures (Segall, 1985; Langenbruch & Shapiro, 2010; Brodsky & Lajoie, 2013; Kumazawa & 106 Ogata, 2013; Keranen et al., 2014; Goebel et al., 2015; Schoenball et al., 2015; Bao & Eaton, 107 2016; Kettlety et al., 2019, 2020). The nature of clustering observed within a region may be 108 attributed to its rheological structure, in the framework of viscoelastic deformation (Ben-Zion & 109 Lyakhovsky, 2006). In this context, a medium with low levels of heat flow and/or less fluid 110 content correlate with higher viscosity and the conditions of brittle rheology, resulting in "burst-111 like" cracking of the crust and subsequent aftershock clustering. The converse is attributed to 112 lower viscosity lithospheres of more brittle-ductile rheology (higher levels of heat and/or more 113 fluid content), where failure is more likely to result in swarms of inter-linked events related to 114 factors such as local fluid balance, destabilizing aseismic slip, and inter-event triggering 115 116 (Zaliapin & Ben-Zion, 2016; Scuderi et al., 2017; Martínez-Garzón et al., 2018).

117 Identification of earthquake clustering involves a separation of the independent background rate from dependent event sequences (Gardner & Knopoff, 1974; Reasenberg, 1985; 118 119 Baiesi & Paczuski, 2004; Console et al., 2010; Ader & Avouac, 2013; Zaliapin & Ben-Zion, 2013a; Schaefer et al., 2017). Due to the innumerable factors involved in the tectonic process, 120 background seismicity may be approximated as random and modeled as a time-stationary, space-121 inhomogeneous marked Poisson process. Within this framework, rates of seismicity are assumed 122 to vary in space but not in time and data points (seismic events) are marked by their magnitudes. 123 Clustering, on the other hand, cannot be represented by a Poisson model, as the earthquake rate 124 does not remain constant and depends in part on prior events. The separation of background and 125 clustered earthquake phenomena is an important and non-trivial task required not only in cluster 126 analysis but also in seismic hazard assessment, where catalogues are typically de-clustered in 127 order to delineate source zones and assess recurrence parameters. The practical distinction of 128 clustered and background seismicity should not restrict the consideration for potential interplay 129 between them, particularly when external factors, such as anthropogenic activity, are involved. 130 Induced seismicity has been observed to increase both the background rate and clustering 131 productivity within affected regions (Lombardi et al., 2010; Llenos & Michael, 2013; Schoenball 132 et al., 2015; Maghsoudi et al., 2016, 2018; Zaliapin & Ben-Zion, 2016; Vasylkivska & Huerta, 133 2017; Martínez-Garzón et al., 2018). It is plausible that a rise in the former subsequently affects 134 a rise in the latter. 135

For example, Llenos & Michael (2013) characterized both natural and fluid injection-136 induced swarms in Arkansas, namely the natural 1980s Enola sequence and the wastewater 137 disposal-related 2010-2011 Greenbrier sequences, by applying the epidemic type aftershock 138 sequence (ETAS) model (Ogata, 1988, 1989; Zhuang et al., 2004). The ETAS model estimates 139 the time dependent seismic rate using the summation of a constant background term with a 140 parameterized Omori-type aftershock kernel. Llenos & Michael (2013) found that the Enola and 141 Greenbrier swarms could not be modeled using the same set of parameter values, with the 142 induced cluster resulting in both a higher background rate and elevated aftershock productivity 143 relative to the natural swarm. The authors proposed that variation in the absolute values of ETAS 144 parameters may be a way to distinguish between natural and human-induced seismicity within 145 the same region, particularly changes in the background rate parameter and magnitude-weighting 146 147 factor. A comparable investigation was performed over the geothermal sites of Salton Sea and Brawley in southern California, and obtained similar results (Llenos & Michael, 2016). 148

Zaliapin & Ben-Zion (2013a, 2013b) analyzed multiple southern California earthquake 149 150 catalogues, which contain a large amount of both natural (tectonic and magmatic) and man-made (geothermal energy production-related) seismicity, using the nearest-neighbour distance (NND) 151 method (described in detail in section 3). Briefly, the NND approach links events to their closest 152 ancestor, i.e. their "nearest neighbour", based on a space, time, and magnitude-dependent metric. 153 Events are separated into clusters (those that are strongly linked to their nearest neighbour) and 154 background seismicity (those that are only weakly linked), whereby variation in relative mixing 155 proportions between the two populations may be evaluated. Clusters may then be classified 156 further into mainshock-aftershock "burst-like" sequences or inter-event triggered "swarm-like" 157 sequences, using their distinguishable structural characteristics. Zaliapin & Ben-Zion (2013a, 158 2013b), along with Hicks (2011), found that a natural separation occurs between clustered and 159 background events for many regional catalogues, as well as for worldwide seismicity. 160 Furthermore, the authors found that events within the clustered mode largely exhibit 161 characteristics of either burst or swarm-like sequences. Their studies agreed well with the 162 viscoelastic damage model, where a higher degree of inter-event triggering and swarm-like 163 clustering was found within more ductile regions, such as geothermal settings or areas prone to 164 magmatic or dike intrusion (e.g. Sagiya et al., 2002; Morita et al., 2006; Farrell et al., 2009), 165 whereas more brittle rheology tended toward a higher proportion of burst-like sequences. 166

#### 167 1.3 Study Area and Motivation

This study focuses on Alberta and eastern British Columbia, where seismicity has been 168 low historically, with the majority of tectonic events occurring along the foreland belt of the 169 170 Rocky Mountain range (Rogers & Horner, 1991). However, isolated incidents of induced spatiotemporally clustered seismicity have been documented. Baranova et al. (1999) and Eaton 171 & Mahani (2015) linked the earthquake clustering near Rocky Mountain House, Alberta, to a 172 depletion of pore pressure and an accumulation of compaction-related stress beneath the nearby 173 174 Strachan gas extraction field between the 1970s and 1990s. In the 1980s and 1990s, fluid 175 injection for secondary oil recovery and wastewater disposal had most likely triggered earthquake clustering northwest of Fort St. John, B.C. (Horner et al., 1994). More recently, HF 176 and wastewater injection has taken place within the Montney and Horn River Basin shale 177 formations; these operations are suspected to be contributing to the growth of seismic activity in 178 179 that area based on spatiotemporal links to well activity as well as identification of nearby subsurface fault channels (B.C. Oil and Gas Commission, 2012, 2014). Further, several studies 180 181 have provided evidence relating the extended HF-related fluid injection within the Duvernay formation to the recent seismicity west of Fox Creek, Alberta, via pore pressure increase and 182 poroelastic stress perturbation. These events began in December 2013 as a series of clusters near 183 Crooked Lake and continue to transpire intermittently to the present day (Schultz, Stern, 184 Novakovic, et al., 2015; Bao & Eaton, 2016; Wang et al., 2016; Schultz et al., 2017; Zhang et al., 185 2019). 186

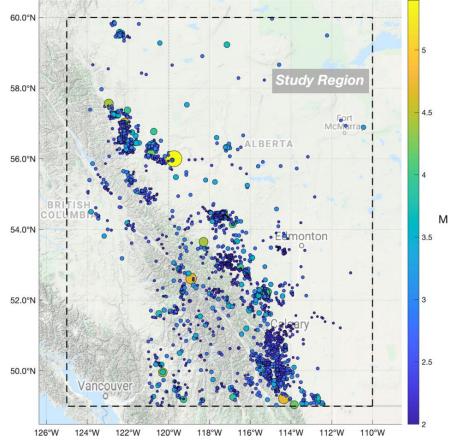
187 While many other studied regions tend to have a single dominant mechanism driving most of the induced earthquake activity, such as large-scale wastewater disposal in the central 188 United States (Horton, 2012; Ellsworth, 2013; Llenos & Michael, 2013; van der Elst et al., 2013) 189 190 or the geothermal energy operations in southern California (Brodsky & Lajoie, 2013; Zaliapin & Ben-Zion, 2013a), the WCSB is characterized by a multiplicity of local triggering mechanisms. 191 The low natural occurrence rate has allowed for a relatively straightforward identification of the 192 recent surge in unnatural seismicity (e.g. Atkinson et al., 2016), in comparison to the situation in 193 194 other regions (e.g. Schoenball et al., 2015). Moreover, the increased seismicity may be particularly consequential in low-hazard regions where facilities were not designed for high 195 196 seismic levels (Atkinson et al., 2020). These factors offer significant motivation to analyze the 197 regional changes in earthquake space, time, and magnitude distributions statistically. It is also

worthwhile to compare the different clusters and their potential triggers, particularly past cases of 198 conventional production and disposal-related earthquakes versus the recent seismicity triggered 199 by hydraulic fracturing. In this paper, we aim to characterize the regional and clustered 200 earthquakes using data from the Composite Alberta Seismicity Catalogue (CASC, described in 201 section 2) via the application of the nearest-neighbour distance method. The objectives are 202 twofold. The first is to demonstrate fundamental differences in the regional seismicity 203 distributions over time and the second is to illuminate specific features of induced seismicity 204 clustering that could be expected or recognized when performing certain types of operations, 205 particularly hydraulic fracturing. 206

In the following sections, background information is provided on the regional earthquake catalogue and on four particular areas of earthquake clustering suspected to have been triggered by nearby human operations (section 2). The nearest-neighbour distance methodology is described (section 3). Analysis of the regional catalogue over time is presented (section 4); scaling relationships and statistical properties of the four clustering sites are discussed (section 5). A discussion and comparison with other, similar regional studies (section 6) is followed by a brief summary (section 7).

# 214 2 Data and Study Regions

# 215 2.1 Earthquake Catalogue



217 *Figure 1*: Map of M2+ seismic events documented by the Composite Alberta Seismicity Catalogue (CASC) from 1975-2018.

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The Composite Alberta Seismicity Catalogue (CASC), available online at 219 www.inducedseismicity.ca, contains seismic event records from the early 1900s through to the 220 present. The spatial boundaries of the region encompass a rectangular area spanning  $[49^{\circ} -$ 221  $60^{\circ}N$  and  $[110^{\circ} - 125^{\circ}W]$ , including the entirety of Alberta and a portion of eastern British 222 Columbia (Figure 1). The CASC is compiled from several contributing agencies operating across 223 224 Alberta and eastern British Columbia, including the Geological Survey of Canada and Earthquakes Canada (www.seismo.nrcan.gc.ca), the Alberta Geological Survey and the Regional 225 226 Alberta Observatory for Earthquake Studies (ags.aer.ca), the Canadian Rockies and Alberta 227 Network (ds.iris.edu), and the TransAlta/Nanometrics Network (www.nanometrics.ca). The

228 catalogue contains information concerning the date, time, estimated geographic location,

<sup>218</sup> *Marker size and colour indicate earthquake moment magnitude.* 

magnitude, magnitude scale, and moment magnitude conversion for each detected event 229 occurrence (for a detailed discussion on the compilation of the CASC, see Cui et al. (2015)). The 230 database is estimated to be complete to the moment magnitude  $M \approx 3$  level from 1985 onward 231 (Adams & Halchuk, 2003; Cui & Atkinson, 2016). Seismic network coverage is generally 232 spatiotemporally inhomogeneous and so local completeness levels over a given time period may 233 be substantially lower than the regional completeness; this matter is explored in the cluster 234 analyses in section 5. Accurate depth estimations remain a difficult task for most networks and, 235 236 as a consequence, depths listed in this catalogue have large errors or are only specified as default values. While hypocentral locations would be beneficial for statistical analyses in three 237 dimensions, they are not critical. The methods used in this study require relatively few input 238 requirements; only the magnitudes, epicentral locations and times of occurrence are used from 239 240 the database.

241 Some potential artifacts related to catalogue inconsistencies are important to note. First, many of the seismic recordings within the CASC are nontectonic and instead a product of quarry 242 and mining blasts. These events are generally flagged by network personnel based on several 243 criteria, including event time (blasts occur during daylight hours), proximity to active mines and 244 quarries, and specific waveform characteristics (typically compressional first motions and high 245 frequency spectra) (Cui et al., 2015; Schultz, Stern, Gu, et al., 2015; Cui & Atkinson, 2016). In 246 this study, all flagged events were removed from the catalogue beforehand. However, recent 247 blast events (after 2014), southwest of Calgary, had not yet been flagged by the network at the 248 time of access (last accessed June 2020, www.inducedseismicity.ca) and were hence included in 249 the analyses. A second potential artifact is that the CASC is spatially limited to the Alberta 250 region and only a portion of northeastern B.C. This explains the lack of recorded events 251 surrounding the Vancouver area in Figure 1. Readers interested in documented seismicity west of 252 Alberta are referred to the National Earthquakes Database (database link). 253

254 2.2 Induced Earthquake Clusters

255 The Rocky Mountain House cluster (RMHC)

256 One of the first significant instances of induced seismicity within the WCSB occurred 257 approximately 25 km southwest of the town of Rocky Mountain House, Alberta. The area had been historically quiescent before the onset of conventional gas production within the Duvernay

formation in the early 1970s (Rogers & Horner, 1991). It became active predominantly from

1975-1992, lagging production rates by several years and returning to apparent background

activity by the year 2000. Wetmiller (1986) found that the majority of events occurred roughly

within a 15 km radius and were concentrated close to the Strachan and Ricinus gas fields.

Baranova et al. (1999) proposed that the earthquakes were triggered due to long-term

compaction-related changes in the stress field caused by the extraction of fluid. We refer to this

collection of events between 1975-2000 as the RMHC (Figure S1).

266 The Montney clusters (MC1 & MC2)

Conventional oil and gas production has occurred within the Montney formation, which
stretches across northeastern British Columbia and northwestern Alberta, since the 1950s.
Associated wastewater disposal wells have been active from the 1960s and are suspected to have
triggered two distinct earthquake clusters (Horner et al., 1994; B.C. Oil and Gas Commission,
2014). The first began in 1984, north of the town Fort St. John, B.C., and the second began in
2003, west of Halfway Ranch, B.C. We collectively refer to these two clusters, occurring
predominantly between 1984-2009, as the MC1.

Since the mid-to-late 2000s, with the development of horizontal drilling and HF 274 technology, the Montney trend has attracted significant interest for its siltstone and shale gas 275 reserves. By 2018, thousands of natural gas wells were active in the area, operating along the 276 formation's northwestern margin as well as to the southeast near Dawson Creek, B.C. In 277 addition, more than 15 wastewater disposal wells have been drilled since 2005, bringing the 278 formation's total to over 100 (B.C. Oil and Gas Commission, 2014). Since then, substantial low-279 to-moderate seismicity has been recorded in the area, due to the augmentation of the local 280 seismic network and possibly the increase in subsurface human activity. The distribution of 281 282 events occurring in the formation has changed over time, as the dominant triggering mechanism 283 shifted from wastewater injection to HF (B.C. Oil and Gas Commission, 2014; Atkinson et al., 2016). We refer to the recently recorded seismicity in this area, from 2010 to 2018, as the MC2. 284

## 285 The Fox Creek Cluster (FCC)

Conventional production in central Alberta, primarily within the Duvernay, Swan Hills 286 and Leduc formations, has been occurring since the 1960s and resulted in minor associated 287 seismicity apart from the clustering near Rocky Mountain House. However, in December 2013, 288 earthquakes began occurring approximately 30-40 km west of the town of Fox Creek, Alberta, 289 where HF wells had recently been drilled in order to access the Duvernay's reservoirs of tight 290 shale. Several hundreds of these wells have been drilled since 2012, near the recent clustering, 291 292 and a large proportion were drilled horizontally or at a deviated angle. Seismic activity began as 293 a few distinct sequences near Crooked Lake, Alberta, and continues to form further clusters up to the present day (Bao & Eaton, 2016; Clerc et al., 2016; Deng et al., 2016; Schultz et al., 2016, 294 2017, 2018; Wang et al., 2016; Eaton et al., 2018; Zhang et al., 2019). We refer to this group of 295 events, from 2013 to January 2020, as the FCC. 296

We focus our study on these four clusters, as their seismicity is sufficiently rich for analysis. New clusters continue to emerge, such as those in the area near Red Deer, Alberta (e.g. Schultz et al., 2020).

## 300 **3 The Nearest-Neighbour Distance Method**

The nearest-neighbour distance (NND) method is a statistical approach to earthquake 301 302 cluster identification and classification, first formulated by Baiesi & Paczuski (2004) and expanded significantly by Zaliapin et al. (2008) and Zaliapin & Ben-Zion (2013a, 2013b, 2016). 303 304 Its purpose is to link together and characterize event families or sequences using a rescaled interevent distance metric termed the nearest-neighbour distance  $\eta$ , which is defined below as space, 305 time and magnitude dependent. This method is applied in sections 4 and 5 in order to describe 306 the regional and local inter-event distance distributions within the WCSB as well as to 307 statistically categorize the types of seismic clustering observed. 308

309

3.1 The Rescaled Inter-Event Distance Metric  $\eta$ 

310 The inter-event distance values  $\eta_{ij}$  are defined based on the spatiotemporal distance

between each event pair within the catalogue as well as on the magnitude of the event that

occurred *first* (the potential *parent* event *i*). Specifically, each event *j* is assigned values  $\eta_{ij}$  based

313 on its relationship with all other events i as

$$\eta_{ij} = \begin{cases} t_{ij}(r_{ij})^{d_f} 10^{-bm_i}, t_{ij} > 0\\ \infty, \quad t_{ij} \le 0 \end{cases},$$
[1]

where  $t_{ij} = t_j - t_i$  is the time in days between event *j* and event *i*. Note that event *j* must succeed event *i* in order for the quantity  $t_{ij}$  to be positive, otherwise  $\eta_{ij} = \infty$ .

The inter-event spatial distance  $r_{ij}$  is computed between epicenters using the Haversine formula for great-circle distance (or arc length) in kilometers

$$r_{ij} = 2r_e \arcsin \sqrt{\sin^2 \frac{(\varphi_i - \varphi_j)}{2} + \cos \varphi_i \cos \varphi_j \sin^2 \frac{(\lambda_i - \lambda_j)}{2}}.$$
 [2]

In Equation [2],  $r_e$  is the Earth's radius estimated as 6378.14 km, and  $(\varphi_i, \lambda_i)$  and  $(\varphi_j, \lambda_j)$  are the latitudinal and longitudinal coordinates of events *i* and *j*, respectively.

 $d_f$  is the fractal spatial dimension of earthquake epicenter distribution. In 2 dimensions, for both local and worldwide epicentral distributions,  $d_f$  has been found to vary approximately between 1.2 and 1.6 (Sadovsky et al., 1984; Kagan, 1991; Kosobokov & Mazhkenov, 2013).  $m_i$ is the magnitude of the  $i^{th}$  event and b is the Gutenberg-Richter b-value, which approximates the exponential distribution of magnitude scaling according to

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$$N (\ge M) = 10^{a - b(M - M_0)}; M \ge M_0,$$
[3]

where  $N (\geq M)$  is the number of detected events greater than or equal to magnitude *M* and *a* reflects the rate of seismicity over the time period considered.

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- 329
- 330

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The scalar distance  $\eta_{ij}$  may be expressed in terms of its rescaled temporal and spatial components by defining

$$T_{ij} = t_{ij} 10^{-\frac{bm_i}{2}};$$
[4]
$$R_{ij} = r_{ij}{}^{d_f} 10^{-\frac{bm_i}{2}}.$$

By this formulation,  $\eta_{ij} = T_{ij}R_{ij}$ . Once nearest-neighbour values  $\eta$  have been determined for 334 each event *j*, where  $\eta_i = \min_{i \le j} \eta_{ij}$ , the  $\eta$  distribution, the joint distribution of (T, R) as well as 335 their individual histograms can be plotted to observe possible modality in the temporal and/or 336 spatial distance between events. Hicks (2011) showed that a bimodal distribution in  $\eta$ , 337 interpreted as a distinction between background and clustered events, is an intrinsic property of 338 both worldwide and regional seismicity. The clustered mode is identified as the subpopulation of 339 events occurring at small  $\eta$  values (small inter-event distances); these events are considered to be 340 341 strongly linked to their nearest neighbours. The background mode is identified as the set of events occurring at larger  $\eta$  values (larger inter-event distances); these events are considered to 342 be only weakly linked to their nearest neighbours. 343

# 344 3.2 Formal Analysis of Modality in the $\eta$ Distribution

Although the subpopulations of clustered and background events may be apparent upon visual inspection, it is useful to define them rigorously considering a Gaussian mixture model (GMM), as detailed in Hicks (2011). A GMM is defined as a composition of normal density functions, each with a mean, covariance and mixing proportion (or weight). The parameters for these component functions are estimated using the 2-step expectation-maximization algorithm (Hastie et al., 2009). The approach uses an initial guess for the set of parameters to then:

- a) Calculate Bayesian probabilities for each data point as a possible member of each
   mode. This is the expectation step.
- b) Estimate the model parameters for each mode through their maximum likelihood
  function, using the probabilities determined in the expectation step as weights. This is
  the maximization step.

This process is iterated until it converges to the optimal estimation of the means, standard deviations and weights. The number of modes in the distribution is determined using information criteria. The model that minimizes the information criteria is considered the best fit. Two such criteria were used in this study, the Akaike and Bayesian information criteria (Akaike, 1974; Schwarz, 1978). The threshold value  $\log_{10} \eta_{thresh}$ , which separates the background mode from the clustered mode, is chosen as the intersection point between the resulting component densities.

# 363 3.3 Event-Family Classification

By removing all weak links from the NND distribution, the clustered mode may be 364 further discretized into hierarchical families based on the strong links between parents and 365 offspring events. The largest event in each family is classified as the mainshock; if there is more 366 than one largest-magnitude event then the first is considered the mainshock. Events in the 367 sequence that occur before the mainshock are called foreshocks and occur after are called 368 aftershocks. An aftershock's *generation*, or *order*, is determined by its hierarchical distance from 369 the mainshock. For example, an aftershock whose parent event is the mainshock is considered 1<sup>st</sup> 370 generation, while an aftershock whose parent event is a 1<sup>st</sup> generation aftershock is considered 371  $2^{nd}$  generation, and so on. 372

373 These families can be categorized as aftershock sequences, seismic swarms, a 374 combination of the two, or neither, based on statistical parameters introduced by Zaliapin & Ben-Zion (2013b). These parameters quantify the structural characteristics of each event family and 375 376 can determine their structure type. The terminology of a rooted tree-graph is employed, which considers the first event in a sequence as the *root* of the tree, the downward-directed edges 377 connecting events as *branches* and the end-nodes (earthquakes that have no further offspring) as 378 *leaves*. The size N is computed as the number of events in a sequence. The *leaf depth d* is 379 calculated by counting the number of branches connecting each leaf back to its root. The *average* 380 *leaf depth*  $\langle d \rangle$  of a particular tree provides an indication of its shape, with larger  $\langle d \rangle$  potentially 381 indicating higher levels of event chaining and a deeper structure, and smaller  $\langle d \rangle$  implying low 382 orders of event offspring and a shallower structure. Therefore, swarm sequences are expected to 383 have larger  $\langle d \rangle$  values than bursts, even given a similar number of leaves. However, since  $\langle d \rangle$ 384 scales with the sequence size N, a normalized leaf depth  $\delta$ , where  $\delta = \frac{\langle d \rangle}{\sqrt{N}}$ , is also calculated. The 385

*inverted branching number*  $B_I$  of a tree is computed as the number of parent events divided by

the total number of branches. A maximum  $B_I$  value of unity indicates a perfect path shape and

only a single leaf within the structure. Smaller values of  $B_I$  imply more offspring from fewer

parents, i.e. a more burst-like formation. The *magnitude differential*  $\Delta m$  is defined as the

difference between the designated mainshock and second-largest event in a sequence. Generally,

aftershock sequences tend to have larger  $\Delta m$  values than swarms, given a similar size N. In

addition, we compute the *spatial area* A (in  $km^2$ ) and *time period*  $t_D$  (in days) covered by each

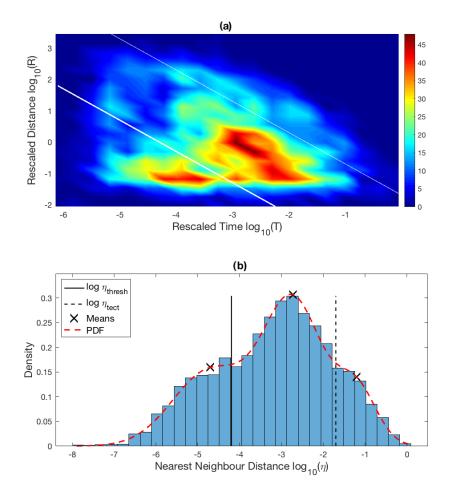
family. However, these parameters also scale with *N* and are included for observational purposesonly.

# 395 **4 Regional Analysis of NND Distributions within the WCSB**

In this section, we applied the nearest-neighbour distance (NND) method to the regional Composite Alberta Seismicity Catalogue (CASC; detailed in section 2). The entire time period, between 1975-2018, was analyzed, as were separated time windows, between 1975-2009 and 2010-2018. The separation between time frames was chosen to correspond with the rapid rise in hydraulic fracturing implementation that occurred within the region after 2009 (e.g. Atkinson et al., 2016, 2020)

Zaliapin & Ben-Zion (2013a, 2013b) showed that NND distributions are stable for cut-off magnitudes below the regional completeness magnitude ( $M_c \approx 3.0$  in this case); we therefore applied a magnitude cut-off of  $M_0 = 2.0$  to enrich sampling. Figures S5-8 show that the results found here hold for cut-off magnitudes up to the regional completeness level. The same constant values  $d_f = 1.5$  and b = 1.0 were used for each subset of the regional data to illustrate the fundamental differences observed over time without prior parameterization.

# 4.1 Entire Time Period (1975-2018)



409

410 *Figure 2:* NND distribution of the regional WCSB catalogue (1975-2018) using  $M_0 = 2.0$ . a) Joint distribution of the temporal 411 and spatial components (T,R). Bold white line indicates the threshold  $\log_{10} \eta_{thresh}$  between tightly clustered and loosely

412 clustered components. Thin white line indicates the threshold  $\log_{10} \eta_{tect}$  between loosely clustered and deep-background

413 components. Colour bar indicates frequency of inter-event distance occurrence. b) Normalized density of  $\eta$  values. Solid black

414 line is  $\log_{10}\eta_{thresh}$  and dashed black line is  $\log_{10}\eta_{tect}$ . Dashed red line is the normalized probability density function of the

415 *Gaussian mixture and black crosses are the component means.* 

Figure 2 shows the 2-dimensional (T,R) distribution and the normalized density of nearest-neighbour distances  $\eta$  for the entire study period. There are two prevailing modes within the joint distribution (Figure 2a), which differ in size and shape. The clustered mode, to the bottom-left of the plot beneath the bold white line, is oriented somewhat horizontally, while the background mode, in between the two white lines, covers a broader range along the *T* and *R* axes and is faintly oriented along the downward diagonal. This observation is consistent with most findings in other studies, which showed the existence and distinct shaping of clustered and

background earthquake subpopulations in several tectonic and induced areas (Hicks, 2011; 423 Zaliapin & Ben-Zion, 2013a, 2016; Schoenball et al., 2015). Notably, in these cases the 424 background mode was reminiscent of a time-stationary, space-inhomogeneous marked Poisson 425 process, which forms a unimodal distribution concentrated along a downward diagonal at large T426 and R, while the clustered mode typically occupies an ellipse at smaller T and R values than 427 would be expected from a Poisson process (Zaliapin & Ben-Zion, 2013a). The chief disparity 428 between different regions, including within the WCSB, lies in the relative intensities, or mixing 429 proportions, of each mode; these proportions tend to reflect the nature of seismicity occurring 430 (discussed further below). More difficult to see in Figure 2a is a third subpopulation, to the 431 upper-right above the thin white line, which is much less concentrated and sprawls along a 432 downward diagonal over large values of T and R. The one-dimensional  $\eta$  distribution (Figure 2b) 433 434 reflects this tri-modality clearly, with the third mode at large  $\eta$  perhaps more apparent.

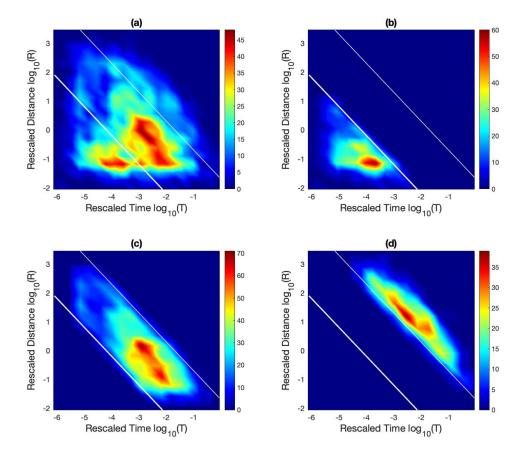
The results of the Gaussian mixture model analyses indicate that the optimal mixture estimation, i.e. a minimization of the information criteria, is a 3-component, instead of the typically observed 2-component (clustered and background), distribution. This may be observed in Figure 2b, where the dashed red line and black crosses represent the resulting probability density function and 3 component means, respectively.

The intersection point between the two dominant modes occurs at  $\log_{10} \eta_{thresh} = -4.2$ , 440 shown by the bolded white line in Figure 2a, and solid black line in Figure 2b. Out of the 3531 441 total events analyzed, 29% were found in the clustered domain, which is henceforth referred to 442 as the tightly clustered mode. 56% were located in the middle mode, which is henceforth referred 443 to as the *loosely clustered* mode, and 15% were located in the third mode at large  $\eta$ , henceforth 444 referred to as the *deep background*. The intersection between the loosely clustered and deep 445 background modes occurs at  $\log_{10} \eta_{tect} = -1.7$ , shown by the thin white line in Figure 2a, and 446 dashed black line in Figure 2b. No definitive trends were apparent in the individual T or R447 distributions (not shown), with the exception of an increasing proportion of small R distances 448 that tended to stack at the limits of network location resolution, giving the tightly clustered mode 449 450 its azimuthal shape. This agrees with another observation of Zaliapin & Ben-Zion (2013a), who state that the modality in  $\eta$  cannot be fully explained by marginal trends present in either T or R 451

- but is in fact dependent on the association between the two, as seen in the 2-dimensional joint
- 453 distribution.

454

4.1.1 Comparative Analysis of Mixture Components

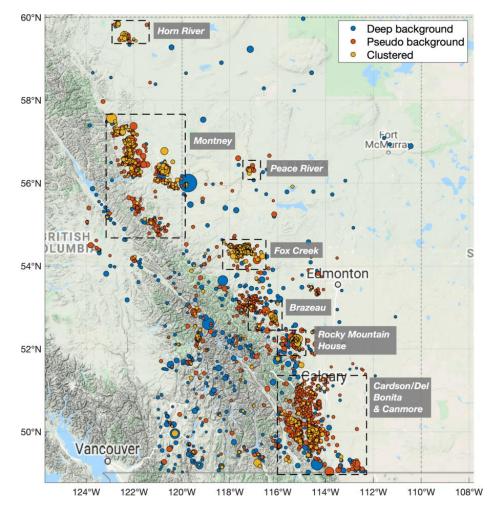




**Figure 3**: Joint (T,R) distributions of individual modes for the regional WCSB catalogue (1975-2018) using  $M_0 = 2.0$ . Colour bar indicates frequency of inter-event distance occurrence. Bold white line indicates the threshold  $\log_{10} \eta_{\text{thresh}}$  between tightly clustered and loosely clustered components. Thin white line indicates the threshold  $\log_{10} \eta_{\text{tect}}$  between loosely clustered and deep background components. a) Entire distribution. b) Tightly clustered mode. c) Loosely clustered mode. d) Deep background.

Figure 3 plots the modal decomposition of the joint distribution, where each 460 subpopulation is plotted separately, removing the dependence on mixing proportion. As 461 mentioned above, if a distribution does not intensify along the bisecting diagonal (constant  $\eta$ ) 462 and instead forms an elliptical cloud, then a trend may exist in the data as a deviation from 463 464 Poisson behavior. As expected, the tightly clustered subpopulation deviates substantially from the diagonal and forms an ellipse within the sub-region  $\{-5 < \log_{10} T < -3.5 \mid -1.5 < 0 \le 10^{-5} \}$ 465  $\log_{10} R < -0.5$ . The loosely clustered mode is faintly concentrated along the diagonal yet also 466 forms a cloud within the sub-region  $\{-3.5 < \log_{10} T < -1 \mid -1.5 < \log_{10} R < 1\}$ . By contrast, 467

the deep background is distributed very closely along the diagonal and stretches almost itscomplete length, most clearly resembling a Poisson process.



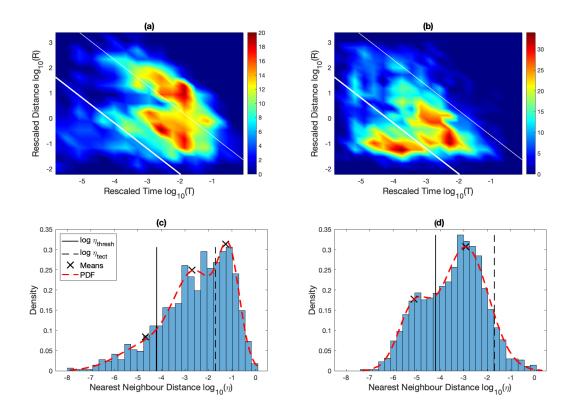
471Figure 4: Spatial map of earthquakes for the regional WCSB catalogue (1975-2018) using  $M_0 = 2.0$ , represented in terms of472their nearest-neighbour distance categorization. Blue markers are the deep background, orange markers are the loosely473clustered events and yellow markers are the tightly clustered events. Marker size indicates magnitude. Dashed boxes surround474areas of suspected induced clustering (see (Atkinson et al., 2016)).

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A spatial map of the catalogued earthquakes is displayed in Figure 4. Blue markers represent deep background events, while orange and yellow markers represent the loosely clustered and tightly clustered events, respectively. Dashed boxes surround individual areas of suspected induced activity. According to the NND method, both the blue and orange markers are classified as the "background" portion of events. However, the two subpopulations are not representative of the same type of seismicity. As a physical representation of the results in Figure 3, the blue markers are substantially more evenly distributed and seemingly reflective of the natural tectonic background (the majority occur along the foreland belt of the Rocky Mountain
range), whereas an obvious spatial dependence on the distribution of orange and yellow markers
is indicative of clustering. The orange and yellow markers overwhelmingly dominate the dashed
boxes.

We also observe that the background events which occurred farther away from their own 486 potential parent were less likely to initiate a clustering sequence, with deep background events 487 substantially less likely to trigger future earthquakes than loosely clustered events. Out of 320 488 489 non-single event families, 281 (88%) began from the loosely clustered mode and 39 (12%) began 490 from the deep background. Out of the 35 identified *significant* sequences, which we arbitrarily define as containing five or more events, 33 (94%) initiated from loosely clustered ancestors 491 while only 2 (6%) initiated from the deep background. This correlation holds for all family sizes; 492 as the number of events within a family increases, the likelihood of the family to originate from 493 494 the loosely clustered mode also *increases*. On the other hand, it is true that there is a larger population of loosely clustered events, which could partially explain the disparity. However, 495 496 even relative to the mixing proportion of each mode, a non-single event family is twice as likely, and a significant event family is nearly four times as likely to have originated from the loosely 497 clustered mode rather than in the deep background. This may be observed in Figure 4; overlap 498 occurs substantially between loosely clustered (orange) and tightly clustered (yellow) markers, 499 but rarely occurs between deep background (blue) and tightly clustered (yellow) markers. 500 Overall, the differences between the loosely clustered and deep background subpopulations 501 appear noteworthy and demonstrable. 502

# 4.2 Separated Time Periods: 1975-2009 and 2010-2018



505 Figure 5: Comparison of NND distributions of the regional WCSB catalogue across time using  $M_0 = 2.0$ . (a,b) Joint 506 distributions of the temporal and spatial components (T,R). Bold white line indicates the threshold  $\log_{10} \eta_{thresh}$  between tightly clustered and loosely clustered components. Thin white line indicates the threshold  $\log_{10} \eta_{tect}$  between loosely clustered and 507 deep background components. Colour bar indicates frequency of occurrence. (c,d) Normalized densities of  $\eta$  values. Solid black 508 509 line is  $\log_{10}\eta_{thresh}$  and dashed black line is  $\log_{10}\eta_{tect}$ . Dashed red line is the normalized probability density function of the 510 Gaussian mixture and black crosses are the component means. (a, c) 1975-2009, both background modes are dominant. (b, d) 511 2010-2018, deep background shrinks while tightly clustered mode appears. The loosely clustered subpopulation is common to 512 both time frames.

Figure 5 presents the (T,R) and  $\eta$  distributions of the WCSB for separated time intervals. 513 From 1975-2009, the regional catalogue is characterized by natural activity and isolated cases of 514 induced clustering due to conventional oil and gas production and associated wastewater 515 disposal, primarily within the Duvernay and Montney formations (Wetmiller, 1986; Rogers & 516 Horner, 1991; Horner et al., 1994; Baranova et al., 1999; Schultz et al., 2014). The resulting 517 space-time inter-event distance distribution shows that both background modes are dominant, 518 containing 83% of all events analyzed, indicating mainly single events and loose clustering, but 519 little tight clustering (Figure 5a and Figure 5c). In contrast, the regional catalogue between 2010-520 2018 is characterized by sparse natural activity, due to the shorter time-frame, and large amounts 521

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of induced activity attributed to the sharp rise in the implementation of horizontally drilled HF 522 operations within the Duvernay, Montney, Cardium and Bakken formations, among others (B.C. 523 Oil and Gas Commission, 2012, 2014; Schultz, Mei, et al., 2015; Schultz, Stern, Novakovic, et 524 al., 2015; Atkinson et al., 2016; Bao & Eaton, 2016). The joint distribution changes strikingly; 525 the deep background subpopulation nearly disappears and a tightly clustered mode arises, 526 indicating both substantial loosely clustered and tightly clustered seismicity (Figure 5b and 527 Figure 5d). An important factor to consider is the variation in seismic monitoring capability over 528 time; many more stations were operational during the recent time period, which undoubtedly had 529 an effect on the distributions. We show below that the changes in mixing proportion across time 530 are vastly disproportionate and cannot be entirely attributed to improvement in network 531 detection. 532

The Gaussian mixture model analysis over the first time period detects the same three 533 modes identified over the entire time frame. Over the second period, it picks out only the tightly 534 clustered and loosely clustered components. This is understandable, as the deep background 535 536 shrinks and ultimately contains only 7.5% of the mixing proportion from 2010-2018. Overall, there is a 27% drop in the relative number of deep background events and a 10% increase in the 537 proportion of loosely clustered events between the two time periods. There is a 17.5% increase in 538 the relative population of tightly clustered events. The changes in rate are disproportionate; while 539 the yearly detected M2+ deep background rate roughly doubles, the loosely and tightly clustered 540 rates increase tenfold and seventeen-fold, respectively. The disproportionality holds above the 541 estimated regional completeness level  $M_c = 3.0$ ; for magnitudes above this threshold, the deep 542 background rate is almost doubled across the two time periods, while the loosely and tightly 543 clustered rates both increase nearly six-fold (Figure S8). We perform a two-sample Kolmogorov-544 Smirnov test on the distributions of  $\eta$  values between the separated time periods, in order to test 545 the null hypothesis that the differences observed are within sampling errors and the two samples 546 547 are actually from the same continuous distribution. The test rejects the hypothesis at the 99% confidence level. 548

These results suggest that the changes in the inter-event distance distribution across Alberta and eastern B.C. over time are statistically significant and not naturally occurring. The decreased mixing proportion of deep background events indicate that the majority of the recent seismicity is not tectonic, while the increase in tightly clustered seismic activity correlates temporally with the rise in horizontally drilled HF treatments within the region. Figure 4 shows

that clustering is highly spatially correlated with human activity as well, with smaller inter-event

distances (i.e. orange and yellow markers) transpiring chiefly near areas flagged as suspicious.

556 The statistical properties of several of these suspicious areas are explored in detail in the next

557 section.

# 558 **5 Analysis and Comparison of Isolated Seismic Clusters**

559 Here we examine four separate cases of seismic clustering within the Western Canada

560 Sedimentary Basin (WCSB): the Rocky Mountain House cluster (RMHC) – induced by

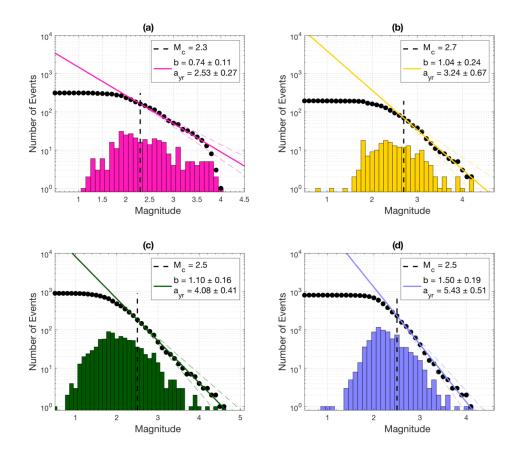
561 conventional natural gas extraction, the Montney clusters (MC1 and MC2) – triggered by

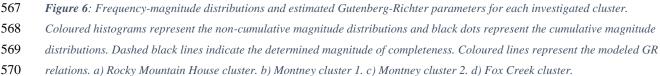
562 wastewater disposal and hydraulic fracturing, and the Fox Creek Cluster (FCC) – induced by

563 hydraulic fracturing (these clusters are described in more detail in section 2; see Figures S1-4 for

related event maps).

# 5.1 Frequency-Magnitude Statistics





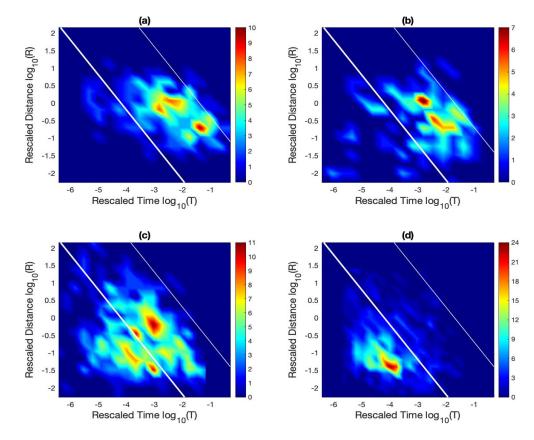
We begin by assessing the frequency-magnitude distributions (FMDs) of each cluster and 571 computing their Gutenberg-Richter scaling parameters (Figure 6). The FMD plots the cumulative 572 and non-cumulative frequencies of earthquake magnitude occurrence in log-linear space. We use 573 the maximum likelihood method to determine the *b*-values along with their 95% uncertainties 574 (Aki, 1965; Utsu, 1966; Shi & Bolt, 1982). The local completeness level in each case was 575 determined using a suite of catalogue-based methods, namely the method of maximum curvature, 576 the goodness-of-fit test, and the method of b-value stability (Wiemer & Wyss, 2000; Cao & Gao, 577 2002). 578

579 The RMHC, which was induced by conventional gas extraction, is characterized by a 580 very broad distribution of event magnitudes resulting in a very low *b*-value (Figure 6a, b =

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 $0.74 \pm 0.11$ ). This contrasts with the Fox Creek cluster (FCC), induced by hydraulic fracturing, 581 whose FMD is very steep and contains many more small magnitude events and fewer large 582 magnitude events. As a consequence, it is described by a very high b-value (Figure 6d, b =583 584  $1.50 \pm 0.19$ ). Both the wastewater disposal and hydraulic fracturing-induced Montney clusters (MC1 and MC2) have more typical magnitude distributions (Figure 6b,  $b = 1.04 \pm 0.24$  and 585 Figure 6c,  $b = 1.10 \pm 0.16$ ). The *a*-values are normalized to reflect the yearly-detected 586 seismicity rate. It is unsurprising that the more recent, densely populated clusters (MC2 and 587 FCC) have the highest yearly a-values, whereas the RMHC and MC1 both span longer periods of 588 time and are smaller overall, resulting in lower yearly *a*-values. 589

# 5.2 Nearest-Neighbour Distance Distributions



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590

592 Figure 7: Joint NND distributions of the temporal and spatial inter-event distances (T,R) for each investigated cluster. Bold

white line indicates the threshold  $\log_{10} \eta_{thresh}$  between tightly and loosely clustered components. Thin white line indicates the threshold  $\log_{10} \eta_{tect}$  between the loosely clustered and deep background. Colour bar reflects inter-event distance occurrence

595 frequency. a) Rocky Mountain House cluster. b) Montney cluster 1. c) Montney cluster 2. d) Fox Creek cluster.

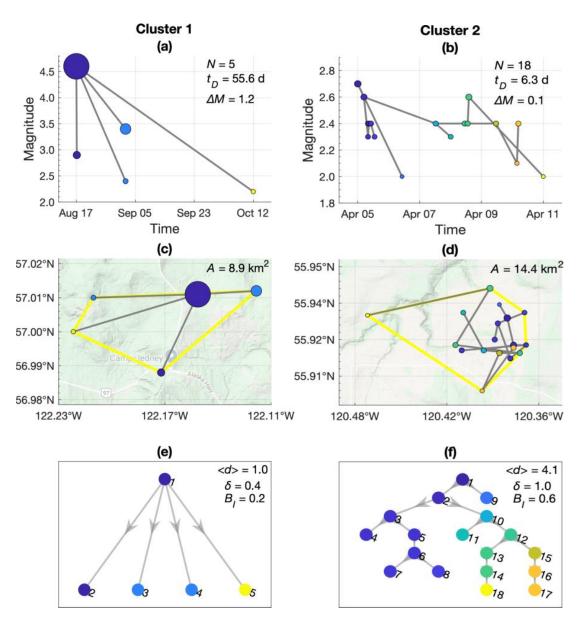
Next, we use the *b*-values determined above to parameterize and plot the joint space-time 596 nearest-neighbour distance (NND) distributions for each cluster, which reflect the mixing 597 proportions of loosely and tightly clustered earthquakes (Figure 7). As an initial observation, the 598 modal locations of all four clusters provide confirmation of some of the regional interpretations 599 formed in section 4. In particular, we suggested that the regional clustering observed within the 600 WCSB occurred within a distinct subset of the multidimensional inter-event NND space, namely 601 within the  $\log_{10} \eta \leq \log_{10} \eta_{tect}$  realm (i.e. within the loosely and tightly clustered domains). The 602 remaining earthquakes were evenly distributed and occurred largely within the  $\log_{10} \eta >$ 603  $\log_{10} \eta_{tect}$  realm (i.e. within the deep background domain). From Figure 7, it is indeed the case 604 that all of the investigated clusters occupy the  $\log_{10} \eta \leq \log_{10} \eta_{tect}$  realm. 605

However, within the  $\log_{10} \eta \leq \log_{10} \eta_{tect}$  realm, several distinctions between the clusters 606 607 regarding their mixing proportions can be made. The RMHC (Figure 7a) and MC1 (Figure 7b) distributions are visually similar, containing dominant populations of loosely clustered events. 608 609 We can estimate the proportions of loosely clustered background events  $\mu_{GMM}$ , based on a bimodal Gaussian mixture model (disregarding the deep background), which is computed as a 610 fraction of the total seismicity within each cluster. This yields  $\mu_{GMM} = 0.86$  for the RMHC and 611  $\mu_{GMM} = 0.74$  for the MC1. The majority of earthquakes in these clusters occur somewhat closely 612 together in space and time, but mainly as separate instances that rarely trigger future earthquakes. 613 614 This loosely clustered activity of single events may reflect the type of seismicity expected to occur within the WCSB due to steady, long-term alterations to the subsurface stress field, such as 615 616 long-term gas extraction and wastewater disposal (Wetmiller, 1986; Horner et al., 1994; Baranova et al., 1999; B.C. Oil and Gas Commission, 2014). Conversely, both the MC2 (Figure 617 618 7c) and FCC (Figure 7d) distributions contain distinct modes within the tightly clustered  $(\log_{10} \eta < \log_{10} \eta_{thresh})$  domain. The existence of these modes indicates that a significant 619 proportion of earthquakes are occurring very closely together and are possibly occurring in direct 620 response to a triggering mechanism (previous earthquakes and/or external forces). The 621 proportion of loosely clustered events in the MC2, estimated by the bimodal Gaussian mixture 622 model, reduces to  $\mu_{GMM} = 0.41$ . The FCC is further distinguishable from the other clusters by its 623 apparent lack of a loosely clustered subpopulation ( $\mu_{GMM} = 0.09$ ). This is quite significant, as it 624 625 implies that either: (i) the small population of background events occurring in this area is capable of triggering massive amounts of tightly clustered activity; or (ii) other, external triggering 626

factors are contributing to the unnatural levels of event sequencing. Based on the spatiotemporal
correlations between the increased rates of seismicity and the rise in horizontally drilled HF
operations made here and in other studies, it is logical to connect HF as one of the probable
causative mechanisms (discussed further below).

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5.3 Event-Family Classification



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*Figure 8*: Characterizations of "burst" and "swarm" sequences found in the MC2. Circles represent earthquakes and are

634 coloured chronologically from darkest to lightest; grey lines represent the strong links connecting them. (a,b) Event family

magnitudes vs. times of occurrence.(c,d) Spatial maps of events; yellow border outlines the hull area occupied by the sequence.

636 (e,f) Topological trees, which branch downward in time.

In this subsection, we discretize each of the RMHC, MC1, MC2, and FCC's tightly clustered NND subpopulations into hierarchical families based on the strong links between parents and offspring events. We then categorize these families as aftershock sequences, seismic swarms, a combination of the two, or neither, based on statistical parameters outlined by Zaliapin & Ben-Zion (2013b) (see section 3.3 for a detailed description of these parameters). We consider only those families consisting of three or more events; event-pairs are discarded, as are the single events that chiefly populate the background mode.

644 The RMHC and MC1 are each composed of six significant sequences across 26-year 645 periods; these sequences are small, with the largest containing five and eight events, respectively. The MC2 is composed of ten families over a 9-year period, the largest containing 17 events, 646 while FCC is comprised of 16 sequences over a 6-year period, the largest containing 80 events. 647 Figure 8 shows examples of two families identified in the MC2, along with their associated 648 649 parameters, displayed as time-magnitude sequences, spatial maps and time-oriented, topological trees. Cluster 1 is a typical aftershock sequence while Cluster 2 is a swarm. Intuitively, Cluster 1 650 651 is characterized by a *burst* or *spray-like* shape, involving a dominant mainshock causing several lower magnitude, 1<sup>st</sup> generation offspring in multiple directions. Conversely, Cluster 2 displays a 652 *linear* or *path-like* shape where the events are chained together gradually, the mainshock is less 653 distinct and is succeeded by multiple generations of aftershocks. 654

Cluster	Number of Families		Ν	$\langle d  angle$	δ	B <sub>I</sub>	<i>A</i> (km <sup>2</sup> )	t <sub>D</sub> (days)	Δ <b>Μ</b>
RMHC	6	Mean	3.67	1.22	0.64	0.50	8.40	1.33	0.57
KMIIC	0	Median	3.5	1	0.58	0.5	5.93	1.00	0.25
	<i>,</i>	Mean	4.17	1.83	0.92	0.68	23.45	23.60	0.35
MC1	6	Median	3.5	2	0.855	0.58	4.42	5.52	0.25
	10	Mean	7.60	2.76	1.08	0.67	26.33	24.83	0.27
MC2	10	Median	5.5	3	1.125	0.71	7.19	5.23	0.1
FGG	1.4	Mean	27.13	7.60	1.54	0.66	20.97	32.09	0.22
FCC	16	Median	20.5	6.19	1.27	0.65	17.16	15.05	0.1

655 Table 1: Mean and median parameter values for all significant event families within each investigated cluster.

Table 1 presents the mean and median parameter values for all of the detected sequences 656 (see Figures S9-12 for the structural representations of all sequences, analogous to Figure 8). The 657 RMHC is almost entirely composed of small bursts; these sequences have a relatively large 658 mainshock and are followed by few (four or less) aftershocks. The mean and median values of 659 the magnitude differential  $\Delta m$  are large while the normalized leaf depth  $\delta$  and inverted 660 branching number  $B_1$  are small, highlighting the spray-like nature of the sequences. The MC1 is 661 comprised of a mixture of small bursts and swarms. Its mean and median  $\Delta m$  are slightly smaller 662 and its topological parameters are larger than the RMHC's, indicating more swarm-like behavior 663 and possibly some level of inter-event triggering. The MC2 is more swarm-like than the MC1; it 664 consists of a large swarm, a large burst and smaller sequences. The mean and median topological 665 parameters are larger than both previous clusters while the magnitude differential is smaller. This 666 signifies that, apart from the large burst, the mainshocks are generally less distinct, the sequences 667 are graphically deeper and there are more parent events and fewer leaves. The mean  $\Delta m$  is 668 skewed somewhat by the large burst, which explains the disparity between it and the median 669 value. The FCC is overwhelmingly swarm-like; 13 of the 16 sequences are chain-like in time 670 (mean and median  $\delta$  are large) and contain similarly sized events (mean and median  $\Delta m$  are 671 small). The  $B_I$  values are similar to the MC1 and MC2. The remaining 3 families in the FCC 672 consist of a large burst and two swarm-bursts (where there is a large mainshock but also many 673 generations of foreshocks and aftershocks chained together; see Figure S12, clusters 5 and 6). It 674 is important to note that while the average leaf depth  $\langle d \rangle$  scales with the sequence size N, both  $\delta$ 675 and  $B_I$  do not. 676

The spatial extents A and timeframes  $t_D$  are slightly more difficult to contextualize as 677 these parameters scale with N. The sequences within the RMHC are quite consistent; they all 678 cover small spatial areas and decay rapidly (within days). The MC1 suffered from occasional 679 improper location recording, where several events were placed in the same spot, resulting in 680 inaccurate area calculations. Its time periods are variable; the two largest families are bursts and 681 persist for over a month while the remaining smaller sequences degenerate within days. The 682 MC2 is consistent apart from a large burst, which covers a large area and persists for several 683 months. The remaining sequences are much more constrained spatiotemporally, including a large 684 685 swarm sequence, which explains the disparity between mean and median A and  $t_D$  values. Finally, the FCC's swarm sequences are similar, with comparable A and  $t_D$  values. The largest 686

families cover spatial areas between  $30-50 \ km^2$  and span approximately a month's time, while the smaller ones cover  $10 \ km^2$  or less and decay within two weeks. The lone identified burst sequence in the FCC occupies a comparable area but spans over half a year's time (Figure S12, cluster 4).

# 5.4 The Epidemic Type Aftershock Sequence Model

Finally, we use the point-process epidemic-type aftershock sequence (ETAS) model to 692 compare the rates of seismicity, aftershock parameters and model-fitting quality between the four 693 clusters. The ETAS model, developed by Ogata (1988, 1989), is a stochastic branching model 694 based on an extension of the Omori-Utsu law of aftershocks (Omori, 1894; Utsu, 1961). It aims 695 696 to represent the seismicity rate as the summation of a constant background term and a parameterized aftershock kernel. The rate is modeled as a function of previous activity; at time t, 697 it is conditioned by all events  $M_i$  that satisfy  $t_s \le t_i < t$ , where  $t_s$  is the start of a target window. 698 According to the model, each seismic event has the potential to trigger its own aftershock 699 sequence. Given the completeness magnitude  $M_c$ , the earthquake rate  $\lambda$  at time t is 700

$$\lambda(t|H_t) = \mu + \sum_{t_i < t} \frac{K e^{\alpha(M_i - M_c)}}{\left(\frac{t - t_i}{c} + 1\right)^p},$$
[8]

701 where  $H_t$  is the conditional earthquake occurrence history prior to time t within the target window.  $\mu$  represents the independent background rate, K is the aftershock productivity, p 702 controls the observed power law-based rate of aftershock decay, and c is the time offset between 703 the mainshock and start of decay. The parameter  $\alpha$  governs the degree of aftershock cascading 704 for a given magnitude. Larger values of  $\alpha$  imply a greater sensitivity to magnitude in the 705 generation of aftershocks, which has been observed for great earthquakes (Ogata, 1992; Omi et 706 al., 2014). Conversely, smaller  $\alpha$  values reduce the significance of event magnitude on aftershock 707 708 triggering. This characteristic has been linked to swarm sequences where mainshocks are less distinct (Mogi, 1963; Utsu, 1970; Ogata, 1988). Estimation of the set of parameters  $\varphi =$ 709  $\{\mu, K, \alpha, c, p\}$  may be obtained by maximizing the log-likelihood function for  $\lambda(t)$  (see Ogata, 710 1989). 711

The quality of the ETAS model fit is generally evaluated based on a transformation of 712 occurrence times, where a new set of times  $\tau$  is defined such that  $\tau_i$  is the cumulative conditional 713 intensity function at time  $t_i$ . A plot of the cumulative ETAS model rate versus the transformed 714 time thus results in an increasing function with constant unit slope. If the model fits the data 715 well, then a plot of the observed cumulative event count versus the transformed time will match 716 the cumulative model with rate close to unity. We determine the model's quality-of-fit (QOF) to 717 each cluster by quantifying the deviations of the observed cumulative plot from the ideal 718 719 function in transformed time; the QOF value is computed as the normalized area present between the two plots. The maximum area value is 0.5, corresponding to the worst possible fit to the 720 sequence; a value closer to 0 implies a better fit. 721

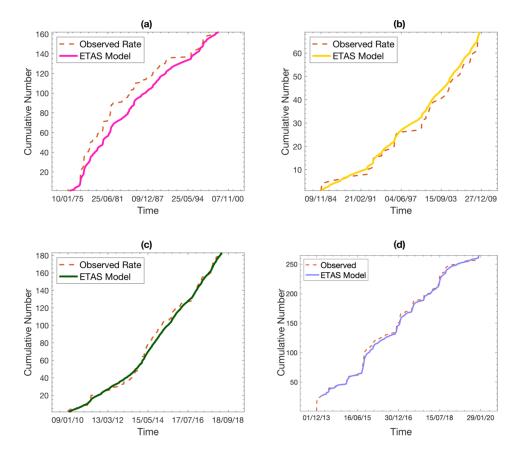


Figure 9: ETAS Models in original time for each investigated cluster. Dashed orange lines are the observed cumulative rates of
 seismicity. Solid coloured lines are the optimized models. Dates are given in dd/mm/yy format. a) Rocky Mountain House cluster.
 b) Montney cluster 1. c) Montney cluster 2. d) Fox Creek cluster.

722

Figure 9 presents the ETAS model and observed seismicity rates for each cluster, using events above the magnitude of completeness thresholds determined in subsection 5.1. The

corresponding background and aftershock parameters are provided in Table 2. The model 728 successfully converged for the RMHC, MC1, and MC2 but failed to converge to realistic 729 parameter values for the FCC. We therefore constrained the background rate parameter  $\mu$  to 730 nearly zero and reran the simulation, achieving convergence and an improved OOF. Justification 731 for this approach is provided below. The QOF varies for each cluster. The RMHC (Figure 9a) is 732 by far the worst fit; the model underpredicts the rate across the entire time frame. The MC1 733 (Figure 9b) fit is fair; this time, the model slightly overpredicts the rate. The MC2 (Figure 9c) is 734 735 fit well, while the FCC (**Figure 9**d, fixed  $\mu$ ) is fit very well. The type of seismicity within each cluster, as illustrated by the NND model in the previous subsections, may provide some 736 explanation for the differing *QOFs*. The FCC is composed of large, distinct clustering sequences 737 that are easily distinguishable across time. The ETAS model appears to be correctly modeling 738 739 these individual spikes in the rate. Conversely, the RMHC is composed of a loosely clustered type of seismicity that produces few clearly defined sequences and mainly manifests as elevated 740 background activity. Consequently, the ETAS model may have encountered difficulty in 741 replicating the gradually increasing rate with an absence of aftershock sequences. The steady 742 RMHC rate may be more suitably modeled by an increased background parameter  $\mu$  without the 743 aftershock kernel. The improved QOF of the MC2 over the MC1 could be further evidence that 744 the ETAS model requires defined sequences with which to optimize its fit, although the MC1 745 dataset is likely too small for a realistic comparison. 746

Cluster	M <sub>c</sub>	N	μ	K	С	р	α	QOF
RMHC	2.3	162	0.0047	0.26	0.24	1.06	0.76	0.072
MC1	2.7	69	0.0033	3.09	0.0019	0.81	0.84	0.042
MC2	2.5	183	0.016	1.0	0.022	0.84	0.39	0.020
FCC*	2.5	242	0.000002	0.42	0.62	1.25	0.47	0.017

747 *Table 2*: ETAS model parameters for each investigated cluster.

<sup>\*</sup>  $\mu$  is held constant.

748 It is problematic to compare the parameter values between all the clusters, as the model 749 may not be accurately representing the rate in the cases of the RMHC and MC1. For the MC2 750 and FCC, the ETAS model seems able to accurately capture the swarm activity in addition to the

aftershock sequences for which it was intended. 21 out of the 26 event sequences identified 751 within the MC2 and FCC do not have distinct mainshocks but are instead composed of similarly 752 sized events. This appears to be reflected in the optimized ETAS  $\alpha$  parameter, which governs the 753 dependence on magnitude in the generation of aftershocks.  $\alpha$  is low for both clusters, indicating 754 that the model may be correctly identifying the persistent nature of the swarm sequences, which 755 continue to produce generations of offspring despite the lack of an obvious mainshock. The p 756 parameter, which controls the sequence decay rate, is low for the MC2 and high for the FCC. 757 758 This might again be attributable to the more gradual rate increase within the MC2 (Figure 9c) compared to the sharp spikes due to the large, tightly constrained sequences observed within the 759 FCC (Figure 9d). 760

Finally, within the FCC, a better fit and a realistic convergence are achieved by 761 constraining the background rate  $\mu$ . Generally, the ETAS  $\mu$  parameter is optimized to represent 762 763 independent seismicity as a non-zero constant, which allows for the generation of the conditional aftershock rate. Consequently,  $\mu$  may relate to the nearest-neighbour loosely clustered 764 subpopulation, as they both represent the subset of background earthquakes occasionally 765 preceding clustered activity. While the three other investigated clusters contained high amounts 766 of loosely clustered activity, likely resulting from long-term stress perturbations caused by fluid 767 768 extraction and injection, the FCC is comprised of tightly clustered sequences and relatively few background events. This suggests that external factors may be contributing directly to the 769 770 triggering of seismicity. The absence of the loosely clustered population was used as motivation for the fixed  $\mu \approx 0$  constraint. When  $\mu$  was similarly fixed on a trial basis for the other clusters, 771 772 either the QOF was worse or the model did not converge. This does makes sense, as the seismicity in those areas is largely made up of an elevated background with few significant 773 774 sequences, and so assuming an absence of background seismicity would be inaccurate. The comparatively high-quality fit of the model to the FCC also provides some confirmation that the 775 ETAS model seems to perform better on a dataset containing separable, tightly connected 776 sequences. For seismic clusters resembling an elevated background rate, on the other hand, it 777 may not be able to predict what events are or are not part of an earthquake sequence. 778

# 779 6 Discussion

Many regional studies of anthropogenic seismicity have described an elevation in 780 background seismicity during and immediately after potential earthquake-inducing processes 781 782 such as geothermal energy production, wastewater disposal, hydraulic fracturing etc. (Lombardi et al., 2010; Llenos & Michael, 2013; Schoenball et al., 2015; Maghsoudi et al., 2016; Zaliapin 783 & Ben-Zion, 2016; Schoenball & Ellsworth, 2017; Martínez-Garzón et al., 2018). In sections 4 784 and 5, we found evidence that an induced background subpopulation of earthquakes in the 785 WCSB was elevated near areas of human activity, which could be separated from the tectonic 786 787 rate in the nearest-neighbour distance (NND) distributions. This elevated background was more specifically a collection of earthquakes that was loosely clustered in certain areas over limited 788 time frames; its inter-event space-time distances were measurably lower than the regional 789 Poisson-like background but larger than typical clustering activity. This is likely to have been the 790 791 case in other regions as well. For example, Zaliapin & Ben-Zion (2016) used the NND method to examine seismicity within selected regions across the state of California; these regions were 792 793 either (i) dominated by induced activity related to geothermal operations, (ii) a mixture of tectonic and induced earthquakes, or (iii) characterized entirely by tectonic events. In every 794 instance, the anthropogenic seismicity contained a background mode that was situated much 795 closer to the clustered mode than the natural activity. The separation threshold value between 796 797 subpopulations was smallest for type (i) areas and largest for areas of type (iii); this difference spanned several orders of magnitude. Similar observations were made by Schoenball et al. 798 (2015), who assessed the seismicity surrounding and within the Coso geothermal field in 799 southern California. The induced seismicity again contained a background element positioned 800 closer to the clustered mode compared to the surrounding earthquakes, which were attributed to 801 tectonic and magmatic sources. Interestingly, the background mode held a dominant mixing 802 proportion during the coproduction intervals and also extended further downward along the 803 diagonal towards larger T and smaller R, suggesting that a large proportion of the induced 804 activity was acting as an elevated Poisson process of independent events. Vasylkivska & Huerta 805 (2017) studied the rapidly increasing earthquake rate in Oklahoma associated with large-scale 806 807 wastewater injection. During the pre-injection time interval, only a single component was present in the two-dimensional NND distribution, located far in the upper right section of the space. This 808 mode largely dissipated during the co-injection intervals and was replaced by clustered and 809

background components located closer to the center. As discussed in these studies, induced
seismicity tends to increase earthquake rates locally and across limited time spans. This is
reflected quite clearly in the joint NND distributions, where populations of the elevated induced
background manifest more centrally and closer to the clustered population. This effect is starkly
evident in regions governed by a low natural seismicity rate like the WCSB and Oklahoma,
where the tectonic and induced background components can be separated by inspection, but is
also discernable in naturally active areas such as California.

We also observed a tightly clustered earthquake subpopulation in the NND distributions, 817 818 which occurred at very small inter-event distance values and appeared highly correlated with the loosely clustered background. We found that tightly clustered event sequences were nearly four 819 times as likely to be strongly linked to a loosely clustered event compared to a natural 820 background event. Both groups of earthquakes made up the entirety of discernable induced 821 822 clustering in the region and both grew disparately in relative proportion over recent times, coinciding with the growing use of hydraulic fracturing technology. However, we discovered 823 824 that different triggering mechanisms produced differences in the mixing proportions of these earthquake groups, with hydraulic fracturing operations resulting in much larger proportions of 825 tightly clustered earthquakes than conventional hydrocarbon production and wastewater disposal. 826 Based on the results in section 5, several further insights may be gathered regarding the induced 827 seismic clustering within the WCSB. First, wherever fluid injection was suspected as the 828 seismogenic mechanism, either through wastewater disposal or HF (i.e. the MC1, MC2 and 829 FCC), the clustering appeared more swarm-like and reminiscent of ductile failure. The lone case 830 of fluid extraction-related seismicity, the RMHC, resulted in an elevated background rate and 831 occasional burst-like sequencing, more suggestive of brittle failure. Second, HF operations 832 seemed to trigger greater swarm-like behavior than wastewater injection. Results of the NND 833 and ETAS model applications indicated that the MC2 and FCC contained higher levels of swarm 834 seismicity than did the MC1. This may have been a consequence of the differences in injection 835 volume and rate between cases, as well as the horizontal orientation of many new HF wells, 836 which allows fluid and stress perturbations to be forced through a much larger volume of rock in 837 the short term (King, 2010; Smith & Montgomery, 2015). There is also a possibility that HF-838 induced swarms can occur predominantly at lower magnitude levels, as evidenced by the 839 elevated Gutenberg-Richter b-value within the FCC. Third, HF is capable of, albeit less 840

frequently, triggering larger aftershock sequences, where the migrating fluid or stress 841 perturbation may be traveling into the crystalline basement and interacting with critically 842 stressed faults, similar to the triggering mechanism attributed to wastewater disposal. Fourth, 843 these aftershock sequences appear to result in connected earthquakes that span longer time 844 frames than do the swarms of comparable sequence size. The swarms within the MC2 and FCC 845 are almost all tightly constrained in space and time relative to their size, which may correlate 846 with the spatial and temporal extent of their associated stimulating HF operations. This also 847 suggests that the two types of clusters are caused by different mechanisms; the bursts by fluid 848 intermingling with critically oriented faults resulting in a large event, which then triggers 849 multiple offspring events in a conventional aftershock manner, versus the swarms where no 850 distinct mainshock is present, yet multiple offspring continue to transpire as the pumped fluid 851 852 repeatedly disturbs nearby faults (Schultz, Stern, Novakovic, et al., 2015; Bao & Eaton, 2016; Eaton, 2018). A possible example of swarms being directly related to HF activity is the notable 853 resemblance between the identified swarms in the FCC and the largest sequence in the MC2 near 854 Dawson Creek, B.C. (Figure S12, clusters 1, 2, and 3, and Figure S11, cluster 1). They each 855 856 occurred within kilometers of active HF operations and are structurally similar; the Dawson Creek swarm is a smaller-scale version of the FCC sequences (comparable events chained 857 858 together with a rapid decay time, relative to its size). It is possible that the likenesses in the fundamental structuring of these clusters may be reflective of their shared triggering mechanism. 859 860 The disparities in their size and scope may be due to factors such as different pumping rates/pressures/times or total volume of fluid injected, in addition to local geologic factors. 861

A critical issue with the models employed in this study are their dependence on 862 earthquake sampling statistics, which vary in representative accuracy and precision based on 863 sample size and variance. The associated uncertainties for the Gutenberg-Richter and ETAS 864 parameter estimates can be quantified, although the ETAS parameter errors computed in this 865 study were unstable and hence removed; this needs to be addressed in future work. The NND 866 calculations are less well constrained. Zaliapin & Ben-Zion (2015) examined the potential 867 artifacts of catalogue inconsistencies on the results of their cluster analyses within southern 868 California. They found that location errors and short-term incompleteness can lead to an 869 overestimation of background seismicity and a corresponding underestimation of clustered 870 earthquakes. This phenomenon appears independent of any particular cluster identification 871

technique, as long as it is based upon parent-offspring relationships. With respect to the long-872 term regional completeness, Zaliapin & Ben-Zion (2013a) showed that NND distributions 873 remain stable (up to a certain magnitude cut-off, after which the distribution becomes unimodal 874 and contains only single events). In this study, we nevertheless introduce some bias by applying 875 a cut-off below the regional estimated completeness level to enrich sampling. The networks 876 contributing to the Composite Alberta Seismicity Catalogue have undergone numerous changes 877 and improvements across time, particularly near areas of suspected induced activity including 878 along the Duvernay and Montney formations (for details, we refer to Adams & Halchuk (2003) 879 and Cui & Atkinson (2016)). This implies that the differences observed in earthquake 880 distribution over time and between clusters may be partly the result of a changing  $M_c$ . However, 881 we point to the disparate changes in rate between the earthquake subpopulations found in section 882 883 4, which hold for cut-off magnitudes up to the regional estimated completeness (Figure S8), as well as the suspicious spatial relationship between the clustered events and human operations as 884 885 evidence that the recent changes in earthquake distribution are significant, and not entirely due to the enhancement of the regional network. 886

### 887 **7 Conclusions**

A statistical analysis of catalogued seismicity within the Western Canada Sedimentary 888 889 Basin (WCSB) was performed. We conducted a regional study in section 4, analyzing spacetime-magnitude inter-event nearest-neighbour distance (NND) distributions across Alberta and 890 eastern B.C. over time, beginning from the first observed instance of induced activity in 1975 up 891 to the nearly present hydraulic fracturing (HF) related activity in 2018. Analysis over the entire 892 893 time frame revealed the existence of a tri-modal inter-event distance distribution, where events generally appeared to occur either: (i) very closely together in space and time (within the tightly 894 895 clustered mode); (ii) moderately close together (within the loosely clustered mode); or (iii) as a stationary, space-inhomogeneous Poisson process (the deep background). Analysis over 896 897 separated time intervals demonstrated that a disproportionate increase in both the loosely and tightly clustered earthquake components occurred between 1975-2009 and 2010-2018, where the 898 first interval predates the broad-scale implementation of HF technology. The first two modes of 899 inter-event distance distribution are believed to reflect HF operation, wastewater disposal and 900 901 conventional hydrocarbon production-related earthquakes. The third mode is inferred to

902 represent natural background seismicity, based on its uniform spatial distribution and large inter-903 event distances (resembling a point process). The spatial distribution of the natural background 904 events contrasts with the localized loosely and tightly clustered subpopulations occurring at 905 smaller inter-event distance values. We posit that the majority of induced activity occurs within 906 these clustered modes, and that their increasing prevalence within the region is attributable to the 907 growing usage of HF technology.

In section 5, we investigated four cases of induced seismic clustering within the WCSB. 908 909 Frequency-magnitude statistics were assessed using the Gutenberg-Richter (GR) relation. Inter-910 event space-time-magnitude distributions and individual clustering properties were evaluated with the nearest-neighbour distance (NND) method and the epidemic type aftershock sequence 911 (ETAS) model. It was determined that the seismicity triggered by conventional gas extraction 912 near Rocky Mountain House (the RMHC) and the wastewater disposal-related earthquakes near 913 914 Fort St. John (the MC1) primarily manifested as discrete events, loosely clustered in space and time, that occupied the middle mode of the inter-event NND distributions. These events were 915 916 characterized by low-to-moderate GR *b*-values and poorer fits to the ETAS model. The few tightly clustered event sequences that did occur were small and decayed rapidly. The more recent 917 clustering along the Montney formation (the MC2) also occupied the loosely clustered domain 918 but contained an additional mode within the tightly clustered domain. These earthquakes were 919 920 characterized by a slight increase in *b*-value from the previous period and were better fit by the 921 ETAS model, with a reduced magnitude-sensitivity parameter. We identified more event sequences over this period, which were larger and more swarm-like. Finally, near Fox Creek (the 922 FCC), substantial HF-related activity occurred almost entirely within the tightly clustered 923 domain, in stark contrast with the previous clusters. These earthquakes formed a very steep 924 frequency-magnitude distribution with a high *b*-value and fit the ETAS model exceptionally 925 well, particularly when the background rate parameter was constrained to nearly zero, with a low 926 magnitude sensitivity comparable to the MC2. The many detected event sequences were large, 927 distinctly separable and overwhelmingly swarm-like. 928

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- 933 <u>www.inducedseismicity.ca</u> (last accessed June 2020).

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# Journal of Geophysical Research: Solid Earth

## Supporting Information for

# Statistical Modeling and Characterization of Induced Seismicity within the Western Canada Sedimentary Basin

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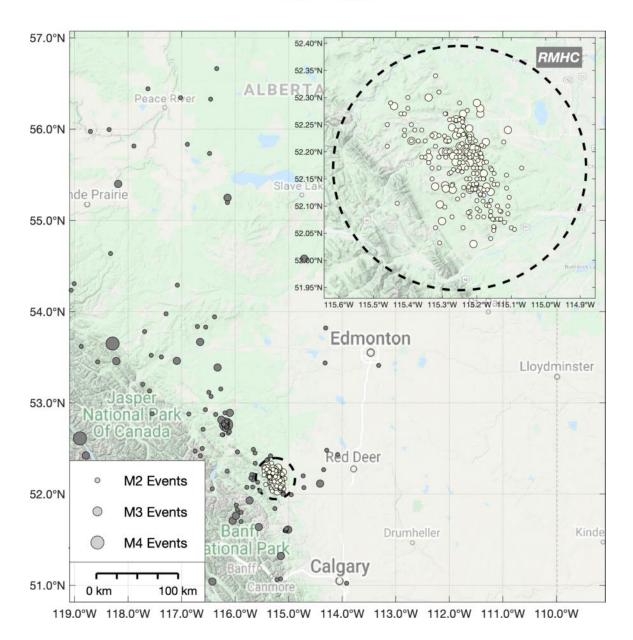
<sup>1</sup>Western University, London, Ontario, Canada

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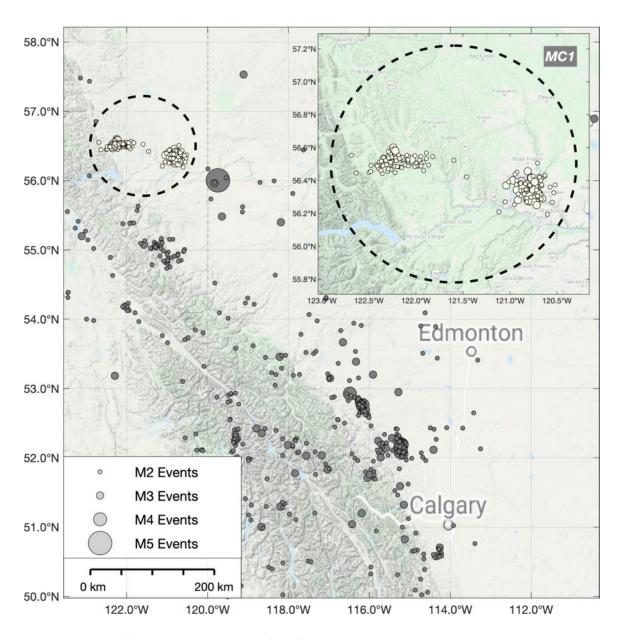
Figures S1 to S17

### Introduction

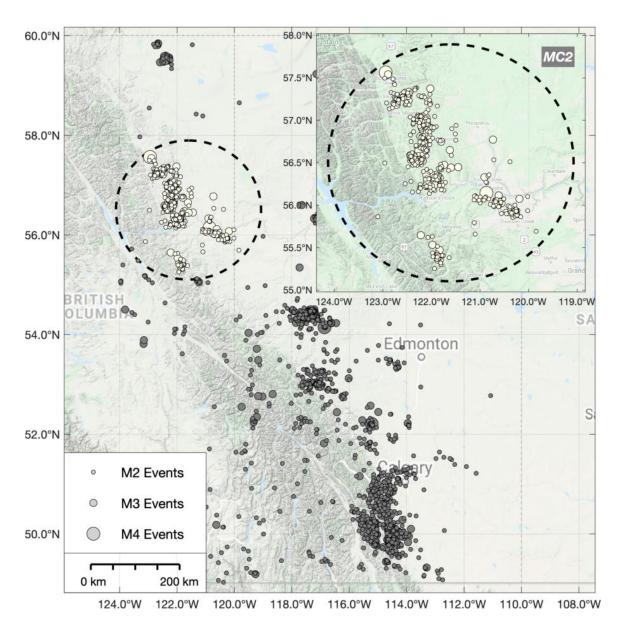
This supporting information provides additional context to both the regional (section 4) and the cluster (section 5) analyses in the main paper. In particular, Figures S1-4 show the event maps for each cluster discussed in section 2 and analyzed in section 5. Figure 5 shows the estimated completeness level of the Composite Alberta Seismicity Catalogue between 2010-2018. Figures S6-9 present the additional tests detailed in section 4, namely the nearest-neighbour distance (NND) analyses for randomized catalogues, sensitivity tests for variations in the Gutenberg-Richter *b*-value and fractal dimension ( $d_f$ ), and the likelihood-ratio test to assess the validity of a three-component Gaussian mixture model. Figures S10-13 give the regional NND analyses results at higher cutoff magnitudes, which confirm the existence of three earthquake subpopulations and a transformation in earthquake distribution between 1975-2009 and 2010-2018 (analogous to Figures 2 and 5). Finally, Figures S14-17 give the structural representations and statistical parameters of the event families identified in section 5.3 (analogous to Figure 8).



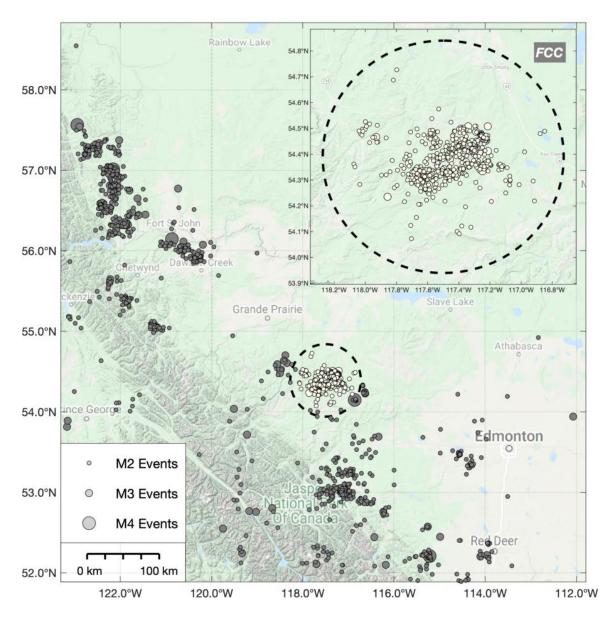
**Figure S1**. Map of the Rocky Mountain House cluster (RMHC) study area between 1975-2000. Dashed circle represents a 20 km radius from the coordinates [115.24°W, 52.17°N]. Markers are seismic events. White markers are the data points used for analysis.



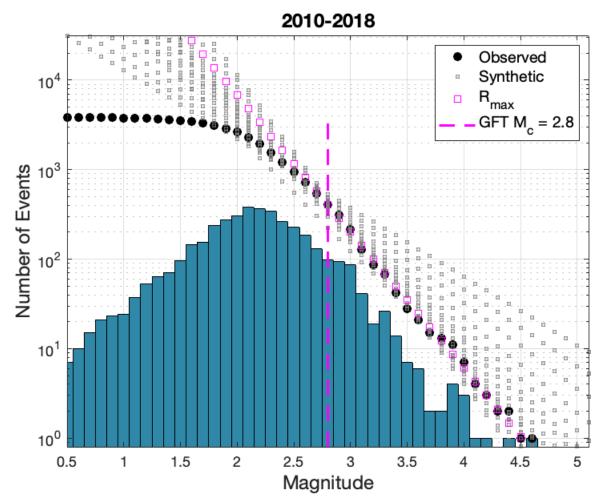
**Figure S2**. Map of the Montney cluster 1 (MC1) study area between 1984-2009. Dashed circle represents a 75 km radius from the coordinates [121.6°W, 56.5°N]. Markers are seismic events. White markers are the data points used for analysis.



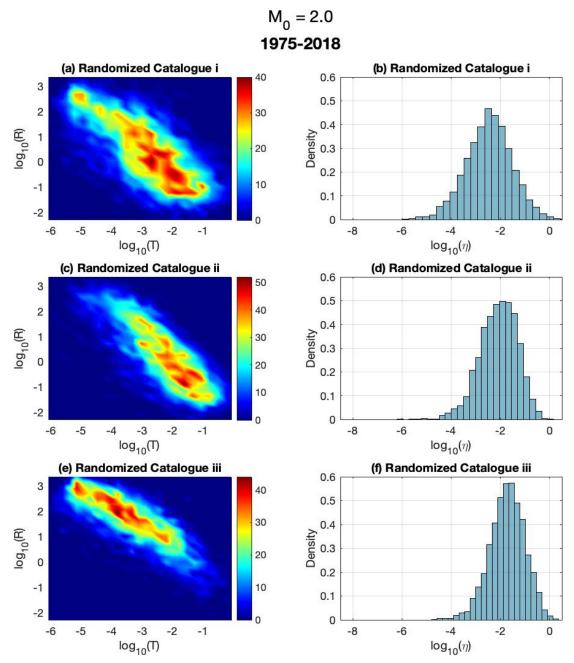
**Figure S3**. Map of the Montney cluster 2 (MC2) study area between 2010 and 2018. Dashed circle represents a 150 km radius from the coordinates [121.6°W, 56.5°N]. Markers are seismic events. White markers are the data points used for analysis.



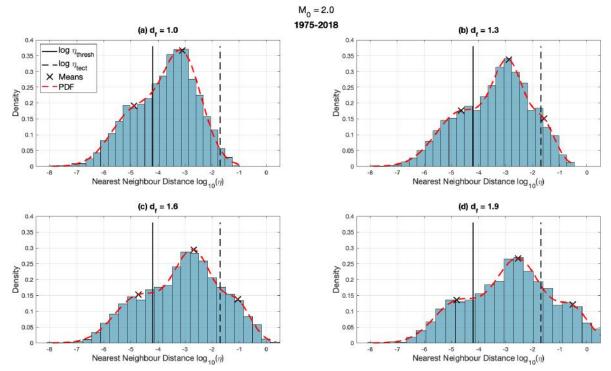
**Figure S4**. Map of the Fox Creek cluster (FCC) study area between 2013 and January 2020. Dashed circle represents a 45 km radius from the coordinates [117.4°W, 54.4°N]. Markers are seismic events. White markers are the data points used for analysis.



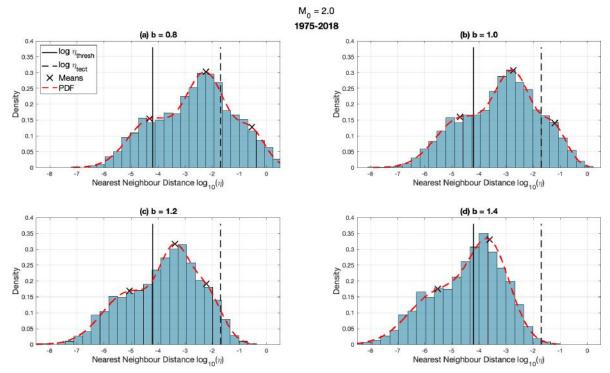
**Figure S5.** Estimated completeness of the Composite Alberta Seismicity Catalogue, between 2010-2018, using the goodness-of-fit test (GFT) of Wiemer & Wyss (2000). Squares represent synthetic distributions drawn from the (exponential) Gutenberg-Richter relation, for a range of *b*-values. Pink squares represent the synthetic distribution that maximizes the goodness-of-fit (*R*), i.e. that minimizes the residual between it and the cumulative frequency-magnitude distribution. Grey squares represent the rejected synthetic distributions. Vertical dashed pink line indicates the corresponding completeness threshold.



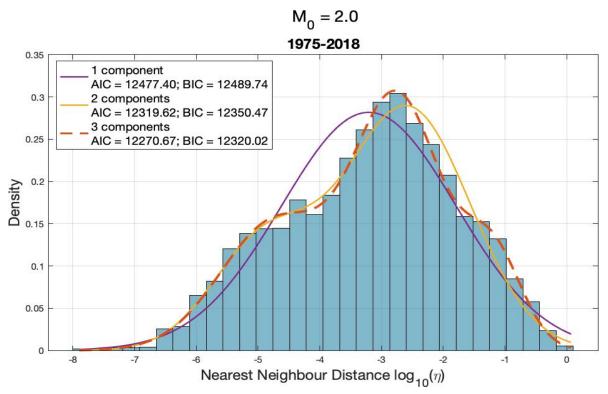
**Figure S6.** Nearest-neighbour distance distributions of three randomized versions of the Composite Alberta Seismicity Catalogue (CASC). (a, b) Event times and event locations are shuffled. (c, d) Event times are uniformly distributed within the temporal limits of the CASC and event locations are shuffled. (e, f) Original event times are kept and event locations are uniformly distributed within the latitudinal and longitudinal limits of the CASC.



**Figure S7.** One-dimensional  $\eta$  distributions, analogous to Figure 2b, using a range of fractal dimension ( $d_f$ ) values. As  $d_f$  increases, the distribution spreads and trimodality becomes more apparent.

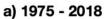


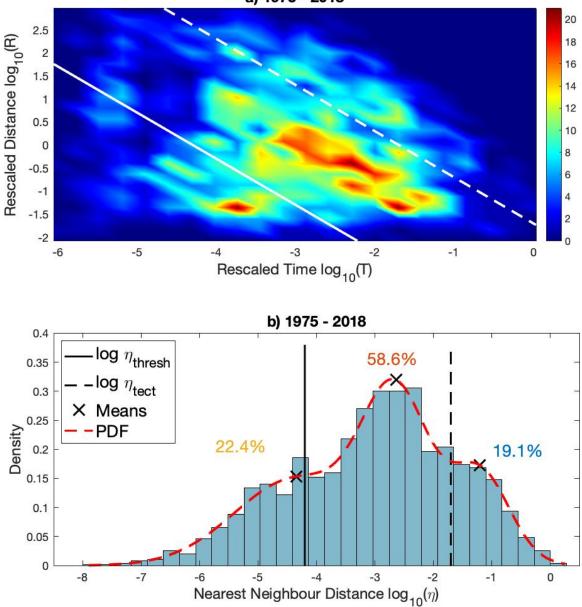
**Figure S8.** One-dimensional  $\eta$  distributions, analogous to Figure 2b, using a range of Gutenberg-Richter *b*-values. As *b* increases, the distribution gets squeezed and shifts leftward, obscuring the distinctive background mode at large  $\eta$ .



**Figure S9.** Gaussian mixture models, with 1, 2, and 3 components, for the regional distribution of inter-event distances  $\eta$ . AIC and BIC are their respective Akaike and Bayesian information criteria. The lowest AIC and BIC values correspond to the best fitting model; here they are minimized for a three-component mixture.

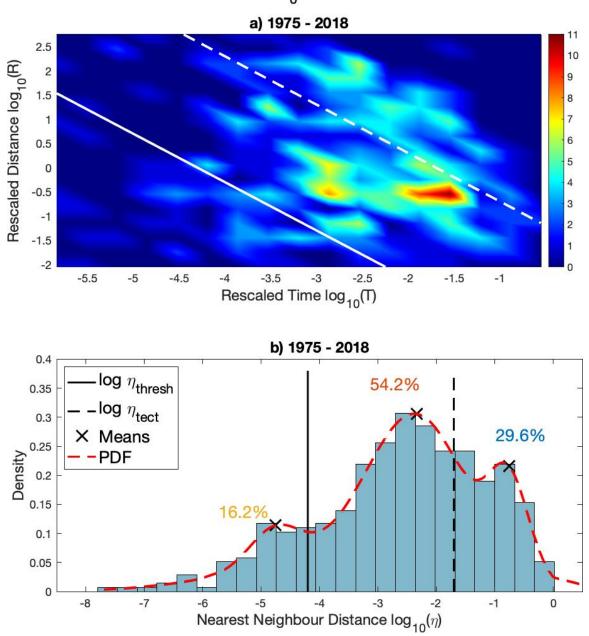
$$M_0 = 2.5$$



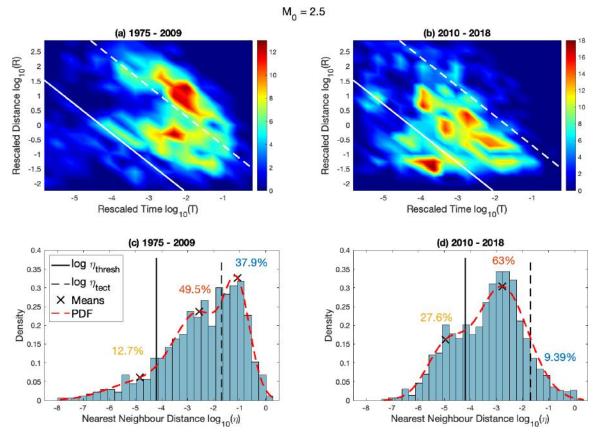


**Figure S10.** Nearest-neighbor distance distribution of the regional CASC dataset from 1975-2018, using a cutoff magnitude of  $M_0$  = 2.5 (analogous to Figure 2). Percentages in subplot (b) reflect the modal mixing proportions. Trimodality remains distinguishable at  $M_0$  = 2.5.

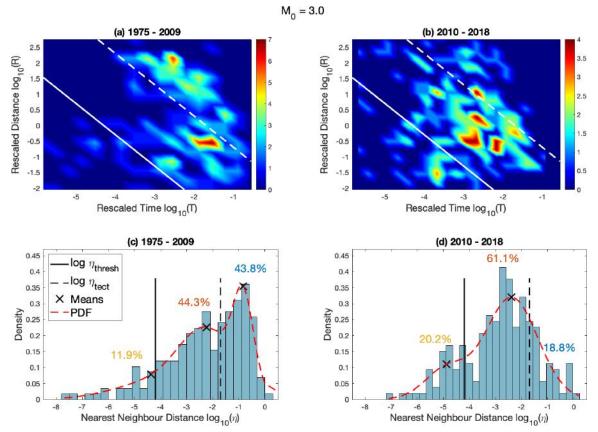
$$M_0 = 3.0$$



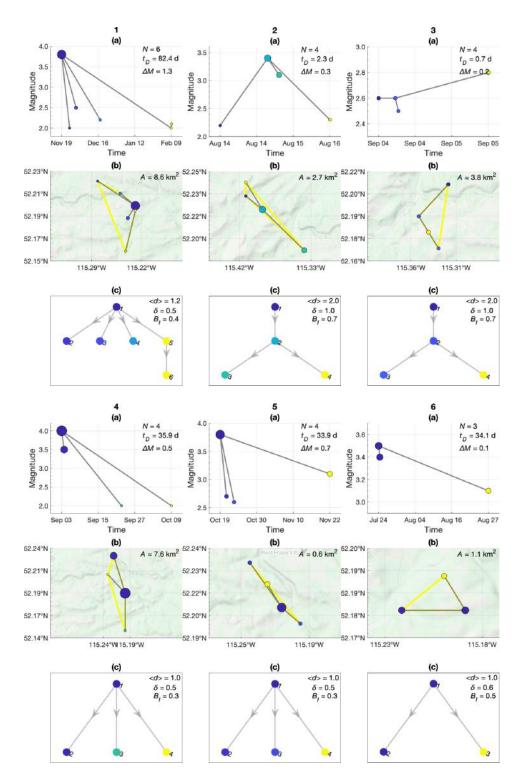
**Figure S11.** Nearest-neighbor distance distribution of the regional WCSB catalogue from 1975-2018, using a cutoff magnitude of  $M_0$  = 3.0 (analogous to Figure 2). Percentages in subplot (b) reflect the modal mixing proportions. Trimodality remains distinguishable at  $M_0$  = 3.0.



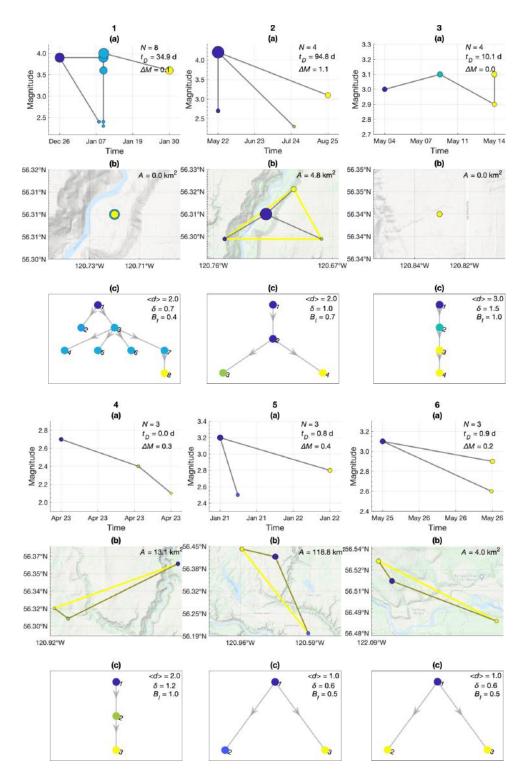
**Figure S12.** Comparison of nearest-neighbor distance distributions of the regional WCSB catalogue across time using a cutoff magnitude of  $M_0 = 2.5$  (analogous to Figure 5). (a, c) 1975-2009. (b, d) 2010-2018. Percentages in subplots (c, d) reflect the modal mixing proportions.



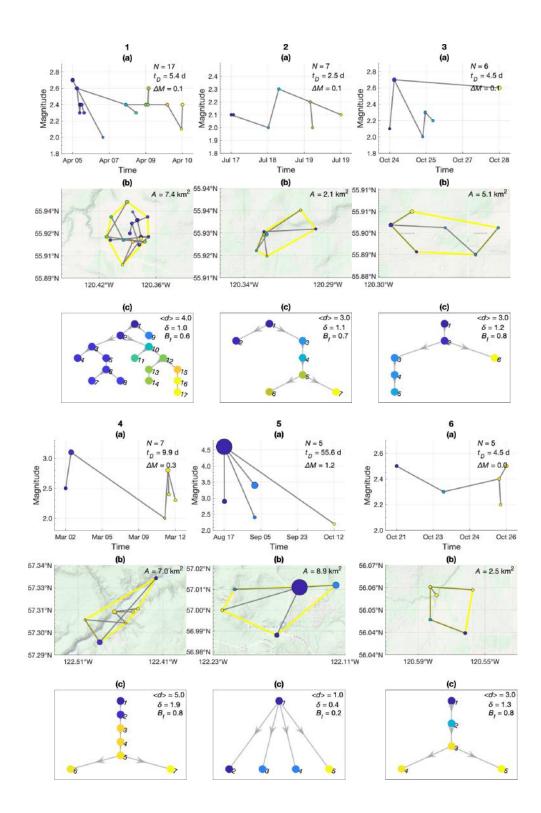
**Figure S13.** Comparison of nearest-neighbor distance distributions of the regional WCSB catalogue across time using a cutoff magnitude of  $M_0$  = 3.0 (analogous to Figure 5) (a, c) 1975-2009. (b, d) 2010-2018. Percentages in subplots (c, d) reflect the modal mixing proportions.

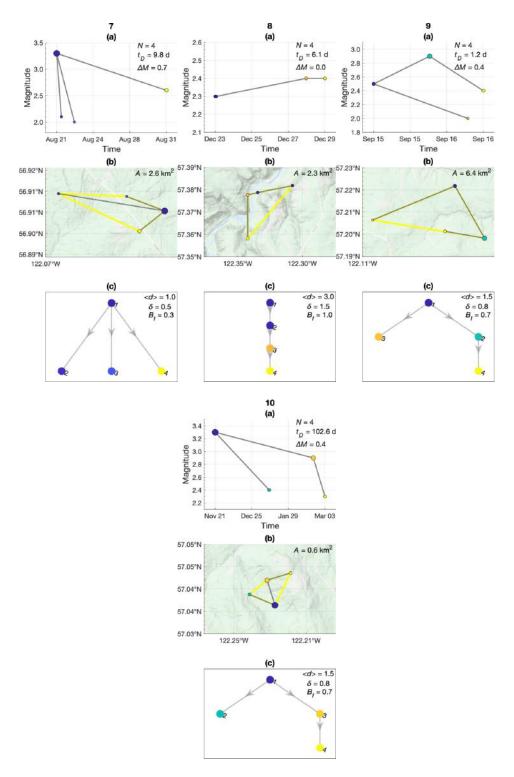


**Figure S14.** Event family structures in the Rocky Mountain House cluster (RMHC). a) Moment magnitude vs. time in days. b) Spatial map; yellow border outlines the hull area occupied by the sequence. c) Directed tree graph in dimensionless space. Data points are coloured chronologically from darkest to lightest.

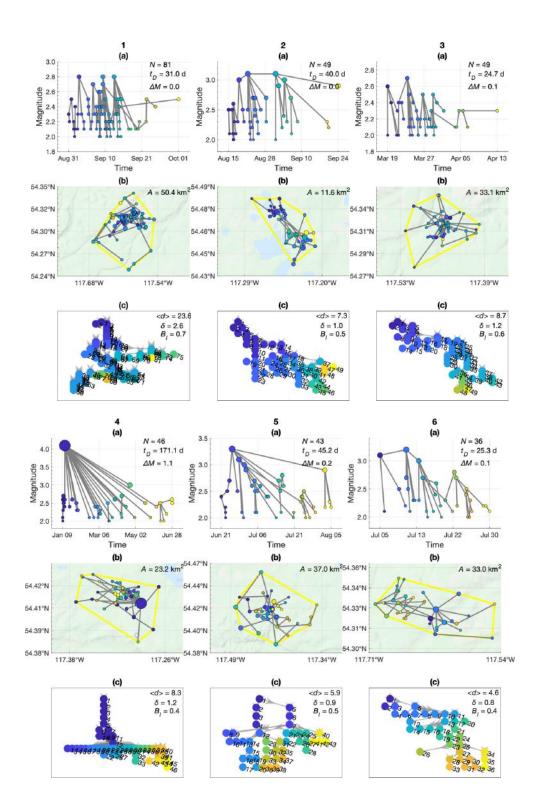


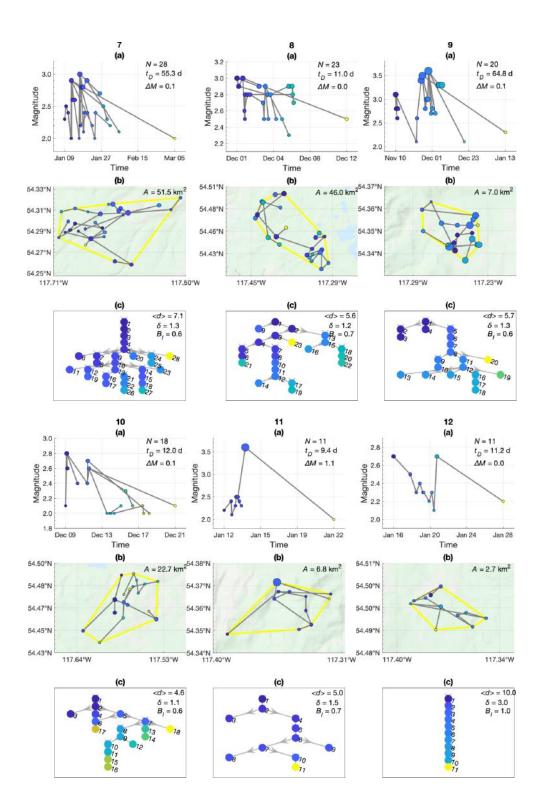
**Figure S15.** Event family structures in the Montney cluster 1 (MC1). a) Moment magnitude vs. time in days. b) Spatial map; yellow border outlines the hull area occupied by the sequence. c) Directed tree graph in dimensionless space. Data points are coloured chronologically from darkest to lightest.

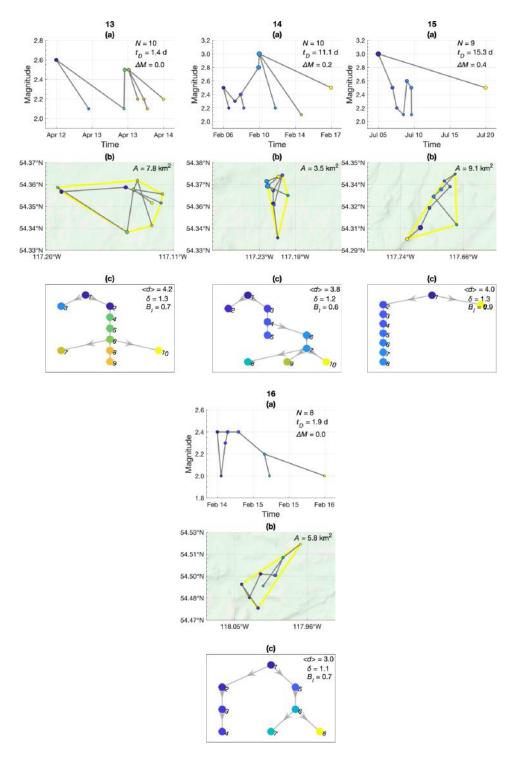




**Figure S16.** Event family structures in the Montney cluster 2 (MC2). a) Moment magnitude vs. time in days. b) Spatial map; yellow border outlines the hull area occupied by the sequence. c) Directed tree graph in dimensionless space. Data points are coloured chronologically from darkest to lightest.







**Figure S17.** Event family structures in the Fox Creek cluster (FCC). a) Moment magnitude vs. time in days. b) Spatial map; yellow border outlines the hull area occupied by the sequence. c) Directed tree graph in dimensionless space. Data points are coloured chronologically from darkest to lightest.