A new catalogue of historical Korean auroral records during 1012-1811

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November 24, 2022

Abstract

Aurora displays provides an essential diagnostic to spatial and temporal variations of terrestrial space environment and is also an important proxy of solar activity. Contemporary auroral observations have just continued for more than half a century. In the long history prior to modern era, visual auroral observations can dates back to 1450 AD in mid-latitudes and polar regions in Europe. In mid- and low-latitude regions in East Asia, official historical books in China, Korea, and Japan also recorded numerous visual auroral phenomena began from 1000 AD until modern times. In this study, we compiled a new auroral catalogue from ancient Korean historical books, including 2013 auroral records with day-level resolution from 1012 to 1811 AD, especially for the records searched from the . The occurrence of the aurora in the new catalogue is generally consistent with previous datasets. This extended dataset provides valuable support for various studies related to solar-terrestrial space weather and ancient climates.

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15	Abstract
16	Aurora displays provides an essential diagnostic to spatial and temporal variations of terrestrial
17	space environment and is also an important proxy of solar activity. Contemporary auroral
18	observations have just continued for more than half a century. In the long history prior to modern
19	era, visual auroral observations can dates back to 1450 AD in mid-latitudes and polar regions in
20	Europe. In mid- and low-latitude regions in East Asia, official historical books in China, Korea, and
21	Japan also recorded numerous visual auroral phenomena began from 1000 AD until modern times.

22 In this study, we compiled a new auroral catalogue from ancient Korean historical books, including

23	2013 auroral records with day-level resolution from 1012 to 1811 AD, especially for the records
24	searched from the Seungjeongweon Ilgi. The occurrence of the aurora in the new catalogue is
25	generally consistent with previous datasets. This extended dataset provides valuable support for
26	various studies related to solar-terrestrial space weather and ancient climates.
27	Key Points:
28	1. Compilation of a new catalogue of historical Korean auroral records during 1012-1811.
29	2. The dataset contains 2013 red auroral records with date, local time and direction information.
30	3. The dataset provides valuable support for various studies related to solar-terrestrial space weather
31	and ancient climates.
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34 1. Introduction

35 Since Fritz (1873) first identified an ancient aurora list from historical books, many astronomers 36 and space physicists have constructed regional or global lists of ancient auroral records for different purposes with respective emphasizes, such as Link (1964) for years before 1700, Silverman & 37 38 Blanchard (1983) for England observations, Lee et al. (2004) from Korea histories, and Hayakawa 39 et al. (2017a) for Chinese ancient results. Based on these auroral lists, a variety of studies have been done over decades, especially on estimating the ancient solar and geomagnetic activities given their 40 41 dominated effect on the aurora occurrence. For example, the auroral records from the Orient played 42 an important role in confirmation of the Maunder Minimum of solar activity (Eddy, 1976), Siscoe 43 (1980) investigated global aurora occurrence based on five available aurora catalogues and 44 identified most known grand solar minimums covering more than 2000 years. He also resolved 80-45 and 10-year cycle during the Middle Ages, which implies that the solar cycle was then operative. 46 Silverman (1992) analyzed 45000 visual aurora observations during the past 500 years, examined 47 its secular variation through comparison with other solar and magnetic indices, and confirmed the 48 disappearance of 11-year cycle around the Maunder minimum. González-Esparza & 49 Vuevas-Carnoda (2018) used the naked eye aurora observations from Mexico to determine the amplitude of the 1859 great geomagnetic storm and storm evolution, which actually makes 50 51 significant sense on the extreme storm occurrence estimation for the technique system design given 52 relatively shorter modern observations are available. Furthermore, ancient auroras are also used to 53 investigate the geomagnetic field evolution (Siscoe & Verosub, 2012) and even assist archaeomagnetic dating (Liritzis, 1988)⁰. 54

55 In these available ancient aurora lists, some of them actually have shown that Korean ancient books

recorded a large number of ancient auroras, especially around the Maunder minimum (Dai & Chen, 56 57 1980). However, due to the relatively low geomagnetic latitude of Korea Peninsula, the current 58 aurora borealis theory cannot explain a large number of auroral records especially in the year of 59 extremely low solar activity. Therefore, in previous studies, most researchers selectively ignored the auroral records of ancient Korea. Here, we systematically collated the auroral records from 60 61 Koryo-Sa (AD 918–1391), Choson Wangjo Sillok (AD 1392–1910) and Seungjeongweon Ilgi (AD 62 1623-1910) through formatted digital search. Then we manually checked each entry from original 63 books to get detailed information of azimuth, date, local time, lasting time, and etc. Finally, we got 64 2013 auroral records during 1012-1811 and formed this auroral catalogue. In comparison with 65 previous results, this Korean auroral catalogue has several outstanding advantages. Firstly, it was 66 formed based on daily record, which implies that it has time resolution up to one day. Secondly, it 67 was observed from a fixed location with azimuth and local time provided. Thirdly, it has plenty of 68 observations around the Maunder minimum. We expect and believe that the newly created Korean 69 auroral catalogue could be used in a variety of researches in the future.

70 2. Data and Method

71 2.1 Auroral records in Korean chronicles

The Korean chronicles are daily official records of the activities of the kings, the state affairs, and the weather and astronomical phenomena. The existing historical records began in the 1000s and lasted for more than 800 years. Three Korean official historical books: *Koryo-Sa* (AD 918–1391, or *History of Koryo*), *Choson Wangjo Sillok* (AD 1392–1910, or *The Veritable Records of the Choson Dynasty*) and *Seungjeongweon Ilgi* (AD 1623-1910, or *The Daily Records of the Royal Secretariat of Joseon Dynasty*) were used in this study. These extensive chronicles were written in Chinese 78 characters.

The most frequently recorded nocturnal sky glows in the above three books are "vapours like fire 79 light" (pronunciation in Chinese: qì rú huǒ guāng) (Stephenson & Willis, 2008). In Chinese, fire 80 81 light (huǒ guāng) refers to red light. This implies that these records represent red glows. In previous 82 works, the records "vapours like fire light" are processed as auroras. Dai and Chen (1980) first 83 systematically sorted out the auroral records in historical books of China, Korea and Japan, but 84 focused on the northern light (auroral borealis), meaning the "vapours like fire light" occurred at 85 north of Korea. Then, Zhang (1985) preliminarily interpreted these records as stable auroral red arcs. 86 Conjugate observations definitely reveal that such "vapours like fire light" in the northern nocturnal 87 sky are auroral borealis (Willis et al., 1996; Hayakawa et al., 2017b). Yau et al. (1995) reorganized 88 the auroral records sorted in previous works (Stephenson & Willis, 2008; Keimatsu, 1970-1976) 89 and published the first comprehensive catalogue of auroral records in East Asian in English. 90 Comparing auroral records in China and Japan with the geomagnetic activity in the 19th century, 91 Willis et al. (2007) propose that the airglow phenomena in the middle and low latitudes is sporadic 92 auroras, as those observed in the United States.

93 Figure 1 shows a drawing of the auroral borealis in historical book of Japan in AD 1770. During 94 this event, although auroral records are not found in Seungjeongweon Ilgi, possibly due to the bad weather in Korea⁰, it is believed that the records of "vapours like fire light" in Korean documents 95 96 refer to the similar auroral structures in Figure 1. Apart from the records in the north, most of the 97 records of "vapours like fire light", "red vapours" (pronunciation in Chinese: chì qì) and "odd red 98 vapours" (pronunciation in Chinese: chi jin) appeared in the southern nocturnal sky. Recently, Wei 99 and Wan (2020) compiled a new chronology of such auroral records in Chinese from the 100 above-mentioned historical books, including 2013 records during AD 1012-1811.



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Figure 1. A drawing of auroral borealis in historical book of Japan in AD 1770 (adapted from Hayakawa et

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al. (2017)).

104 **2.2** Compilation of the dataset

105 In history, the Korean Peninsula and the Chinese dynasties maintained close astronomical communications, and they both followed a unified standard of astronomical observations and judgement. The red aurorae were depicted by the Chinese character "qì (气)" with different adjectives, including "chì qì (赤气)" (red vapours), "qì rú huǒ (气如火)"/"qì rú huǒ guāng (气如火 光)" (vapours like fire or vapours like fire light), "rú huǒ qì (如火气)" (fire-like vapours), and "chì jìn (赤祲)" (odd red vapours). These keywords are used to search the auroral records in the three Korean official historical books.

The National Institute of Korean History has digitalized the three books, and we can quickly search the interested contents using the above keywords from the books' websites. Each pages of the books are also scanned and labelled with a unique identification number (IDN, e.g., IDN=SJW-A24020230-00200 for the case shown in Figure 2).





- 118 Sunjongwon Ilgi containing a red airglow observation. The scanned copy of this record can be
- 119 accessed at the National Institute of Korean History
- 120 (http://sjw.history.go.kr/id/SJW-A24020230-00200). (b) Definition of local directions. (c)
- 121 Definition of local times.
- 122 First, search the three books with the above five keywords to establish a preliminary dataset, in
- 123 which there may be false/dummy records or records with incomplete information (date or time).
- 124 Therefore, it is necessary to set up a series of criteria to eliminate the bad records. The criteria are as
- 125 follows:
- 126 The record contains one of the keywords and should at least contain date information. Most of
- 127 the records contain the full information of date, local time, and observed directions.

- The phenomena should be observed at night, i.e. 19:00 LT to 05:00 LT, to eliminate the
 influence of twilight, solar halo, and other light sources such as clouds, planets, stars, comets,
 and meteors.
- 131 If fire disaster is mentioned in that day, the record is excluded.
- 132 Since there is overlap in time for the three books, records from different books on the same day133 are merged as one and the IDN's for each book are kept in the dataset.
- 134 After application of these criteria, the final dataset contains 2013 ancient Korean auroral records.
- 135 Figure 2 shows an example from the Seungjeongweon Ilgi. This observation was made in the third 136 year of Emperor Shunzi (the 3rd emperor of the Qing Dynasty), in the 2nd lunar month, and on the 137 23rd day as shown in the 1st and 2nd red rectangles in Figure 2a. the lunar month and dates were 138 converted to the Gregorian calendar with the Buddhist Studies Time Authority Databases 139 (https://authority.dila.edu.tw/time/index.php), and the description "shùn zì sān nián bǐng xū èr yuè 140 èr shí sān rì" is equivalent to April 8, 1646. A translation of the red auroral record is highlighted by 141 the 3rd-5th red rectangles. Their Chinese pronunciation are shown in the square brackets, 142 respectively.
- For local directions shown in Figure 2b, there are primarily eight directions of *kăn*, *gèn*, *zhèn*, *xùn*, *lí*, *kūn*, *duì*, and *qián* in ancient China, also called "eight trigrams", corresponding to north (N),
 northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W), and northwest (NW),
 respectively. It is noted that the four directions NE, SE, SW and NW are also spelled in Chinese as *"dōng běi"*, *"dōng nán"*, *"xī nán"* and *"xī běi"*, respectively, in ancient documents. Denotations such
 as *"dōng běi nán"* in the record should refer to three different directions of E, N, and S, meaning
 that the aurora occurred simultaneously in the three directions.

For local time, one day was divided into twelve regular divisions in ancient China, and their
correspondences to local times are shown in Figure 2c. Particularly, the nighttime after sunset and
before sunrise was divided into five *gēng*'s or five watches, i.e., *yī gēng* (first watch, 19–21 h), *èr gēng* (second watch, 21–23h), *sān gēng* (third watch, 23–1 h), *sì gēng* (fourth watch, 1–3 h), and *wŭ gēng* (fifth watch, 3–5 h).

155 **3. Results and Discussion**

156 The ancient auroral dataset presented in this paper was compiled from three Korean official 157 historical books: Koryo-Sa, Choson Wangjo Sillok and Seungjeongweon Ilgi. The dataset is 158 deposited as an Excel table (Ancient Korean Aurora.xlsx) and the corresponding scanned copies of 159 the original books are deposited as PDF files named after the IDN of each auroral record (IDN.pdf). 160 The table contains 8 columns. The 1st column showed the index of the record, the 2nd-4th columns 161 stored the calendar year, month and date, which were converted to the Gregorian date, the 5th and 162 6th columns stored the lunar month and lunar date, the 7th column stored the description of the 163 auroral record translated from the original books, and the 8th column stored the IDN, through which 164 the scanned copy of the original texts can be accessed. One can also find the scanned copy of the 165 auroral records from the three Korean official historical books using the following links. 166 *Koryo-Sa*: http://db.history.go.kr/id/IDN (e.g., IDN=kr_053_0010_0030_0100_1000) 167 Choson Wangjo Sillok: http://sillok.history.go.kr/id/IDN (e.g., IDN=waa_10201025_001) 168 *Seungjeongweon Ilgi*: http://sjw.history.go.kr/id/IDN (e.g., SJW-C14020201-02000) 169 Totally, there are 192 records found from Koryo-Sa, 536 records from Choson Wangjo Sillok, and 170 1359 records from Seungieongweon Ilgi. After merging duplicate records from either book pair, the

171 final number of the auroral records is 2013. All the original Chinese texts are downloaded from the

website of the National Institute of Korean History and deposited to the World Data Center forGeophysics, Beijing, together with the Excel table.

In 2004, Lee et al. (2004) published 788 auroral records (containing one duplicated record on 1537.6.13) from five historical documents of Korea in the 11th–18th century. Most of the records are collected from the three books used in this paper. However, many records are missing in Lee's list due to manual search of the documents and the original Chinese texts are not appended. Benefitted greatly from the digitalization of the three books by the National Institute of Korean History, systematic and complete search can be done to compile a more comprehensive dataset.

180 In compilation of the dataset, the auroral records are limited to the descriptions of "chì qì" (red vapours), "qì rú huǒ"/"qì rú huǒ guāng" (vapours like fire or vapours like fire light), "rú huǒ qì" 181 182 (fire-like vapours) and "chi jin" (odd red vapours), and four criteria are applied to exclude false/bad 183 records. Figure 3 depicts the distributions of the 2013 ancient Korean auroral records. Figure 3a 184 demonstrates that the majority of the auroral records occur between 1500 and 1800. There are 185 scarce records before 1500 and the distribution is in consistent with Lee's list (Lee et al., 2004). 186 After 1500, more records are found from Seungjeongweon Ilgi. Figure 3b shows the seasonal 187 variation of the records. A peak of the occurrence of the aurora appears in the March, which is 188 consistent with the result of Stephenson's work (Stephenson & Willis, 2008). Figure 3c presents the 189 variation of the records with lunar date. It is found that there are fewer records around full moon and more records around new moon. 190



Figure 3. Statistics on the distributions of the ancient Korean auroral records. (a) Histogram of
 auroral records versus year binned in 10-year intervals. (b) Histogram of auroral records versus
 month. (c) Histogram of auroral records versus lunar date binned in 3-day intervals.

195 **4. Summary**

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196 In this work, a new catalogue of historical Korean auroral records during 1012-1811. The dataset197 contains 2013 red auroral records with date, local time and direction information. The compiled

198 dataset is provided as XLSX file which can be opened in Excel or other text editors. The original 199 Chinese texts are provided as PDF files which can be opened in PDF Reader. All these files have 200 been deposited to the World Data Centre for Geophysics, Beijing 201 (http://www.geophys.ac.cn/ArticleDataInfo.asp?MetaId=207, doi: 10.12197/2020GA008) and can 202 be permanently accessed. The dataset can be freely used for research and education purposes only. 203 The dataset provides valuable support for various studies related to solar-terrestrial space weather 204 and ancient climates.

205 Acknowledgements

206 We greatly appreciate the National Institute of Korean History for providing the public access of the 207 historical books available at http://www.history.go.kr. We thank National Diet Library of Japan for 208 providing the auroral drawing. We also thank the Dharma Drum Institute of Liberal Arts to operate 209 the Buddhist Studies Time Authority Databases (https://authority.dila.edu.tw/time/index.php). This 210 work is supported by the Strategic Priority Research Program of Chinese Academy of Sciences 211 (Grant XDA17010201), the National Natural Science Foundation of China (41525016), the Key 212 Research Program of the Institute of Geology & Geophysics, CAS (grant IGGCAS-201904), and 213 the Youth Innovation Promotion Association of the Chinese Academy of Sciences (2017258).

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