# Terahertz and Photonics Seamless Short-Distance Links for Future Mobile Networks

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#### Abstract

High-speed data transfer and high-performance imaging can be realized by using radio-waves in high-frequency bands, such as millimeter-waves and THz-waves, where wide frequency bands are available. However, the cell size would be smaller than a few hundred meters, due to large free space propagation loss and large atmospheric attenuation. Thus, many base stations, which are connected by networks, are required to offer nation-wide or global network services by such high-frequency radio-bands. The networks would be constructed by various transmission media including optical fibers and fixed wireless links, where many media converters are required. This paper reviews various technologies for seamless bridges between radio and optical links. For the time being, congestion of radio spectrum in THz bands is not significant. However, if we look at the history of radio-wave technologies, spectral congestion has been high even in newly developed high frequency bands. Even in active radio services in millimeter-wave or THz-wave bands, interference mitigation with passive services such as radio astronomy and Earth observation satellites is an important issue, as of now. This paper describes research trends of THz-wave technologies from the point of view of a figure of merit defined by a product of the carrier frequency and spectral efficiency, to discuss the significance of spectral efficiency enhancement in the high-frequency region. Analysis of power consumption of short distance radio systems is also shown to discuss expected performance of THz-wave links.

1 **Terahertz and Photonics Seamless Short-Distance Links for Future Mobile Networks** 2 T. Kawanishi<sup>1,2</sup>, K. Inagaki<sup>1,2</sup>, A. Kanno<sup>2</sup>, N. Yamamoto<sup>2</sup>, T. Aiba<sup>3</sup>, H. Yasuda<sup>1,3</sup>, and T. 3 Wakabavashi<sup>3</sup> 4 <sup>1</sup>Waseda University 5 <sup>2</sup>National Institute of Information and Communications Technology 6 7 <sup>3</sup>Yazaki Corporation 8 Corresponding author: Tetsuya Kawanishi (kawanishi@waseda.jp) **Key Points:** 9 Seamless networks consisting of high-speed wireless and wired links can offer 10 • nationwide or global high-performance radio services. 11 • Multi-mode radio-over-fiber can be used for short-distance waveform transfer in future 12 mobile networks. 13 Interference mitigation is an important issue even in THz bands. 14 • High-speed wireless links can offer low-power consumption data transfer. 15 • Abstract 16 High-speed data transfer and high-performance imaging can be realized by using radio-waves in 17 high-frequency bands, such as millimeter-waves and THz-waves, where wide frequency bands 18 are available. However, the cell size would be smaller than a few hundred meters, due to large 19 free space propagation loss and large atmospheric attenuation. Thus, many base stations, which 20 are connected by networks, are required to offer nationwide or global network services by such 21 high-frequency radio-bands. The networks would be constructed by various transmission media 22 including optical fibers and fixed wireless links, where many media converters are required. This 23 paper reviews various technologies for seamless bridges between radio and optical links. For the 24 25 time being, congestion of radio spectrum in THz bands is not significant. However, if we look at the history of radio-wave technologies, spectral congestion has been high even in newly 26 developed high frequency bands. Even in active radio services in millimeter-wave or THz-wave 27 bands, interference mitigation with passive services such as radio astronomy and Earth 28 observation satellites is an important issue, as of now. This paper describes research trends of 29 THz-wave technologies from the point of view of a figure of merit defined by a product of the 30 31 carrier frequency and spectral efficiency, to discuss the significance of spectral efficiency enhancement in the high-frequency region. Analysis of power consumption of short-distance 32 radio systems is also shown to discuss expected performance of THz-wave links. 33

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# 35 **1 Introduction**

In 5G mobile system, over 10-Gb/s high-speed radio data transmission is realized by the "high-band", of which frequency is above 24 GHz, while the "low-band" below 6 GHz is for large cells on coexisting with various conventional radio services [1]. Radio services using high-

frequency bands draw a great deal of attention from researchers as transmission media for high-39 40 speed data transmission. Congestion of radio spectrum is an issue especially in microwave bands, by which various radio services including wireless local area networks (WLAN) are provided. 41 Recently, interference mitigation becomes important, even in millimeter-wave bands whose 42 frequencies are below 0.1 THz, where broadband wireless links for high-speed rails and high 43 resolution radar imaging for airport runway surveillance have been developed [2,3]. Thus, it 44 would be rather difficult to achieve wireless transmission whose bitrate is over 100 Gb/s by 45 using frequency bands below 0.1 THz. In THz bands (0.1–10 THz), wide range of spectrum is 46 available for mobile services are expected to offer over 100-Gb/s transmission for future mobile 47 services such as beyond 5G [4, 5]. However, the expected transmission distance is shorter than a 48 few kilometers due to large free-space propagation loss and atmospheric absorption in the THz 49 bands, so that a number of base stations (BSs) are required to offer nationwide or global services 50 by the THz bands. 51

A wide variety of transmission media, including optical fibers, fixed wireless radio links, 52 satellite links, etc., are utilized for mobile backhaul (MBH) networks which connect BSs. Optical 53 fiber transmission offers high-speed transmission, while fixed wireless and satellite links can 54 provide easy-deployable networks for rural areas [6, 7]. More than 60% MBH links are based on 55 optical fiber transmission in North-East Asia and North America. On the other hand, less than 56 57 10% links are optical in Sub-Sahara Africa, for example. In developing countries and regions, MBH depends largely on fixed wireless, which are based on microwave long-distance 58 transmission. The transmission capacity of such wireless links is much smaller than in optical 59 fiber links, because of the limit in the available radio bandwidth in microwave. Rapid growth of 60 the share of optical fibers in MBH is expected in the world to mitigate huge data transmission 61 demands in the MBH networks. However, according to the prediction in Ref. [6], the share of 62 optical fibers in MBF for small cells will decrease in North East Asia. The share of fixed 63 wireless by high-frequency bands will increase, while that of fixed wireless whose carrier 64 frequencies are below 40 GHz will also decrease. In 5G with the high-band, many BSs should be 65 connected to core networks through MBH, where it would be rather difficult to connect all the 66 BSs only by optical fiber links. Thus, the share of the fixed wireless by high-frequency is 67 expected to be increased to offer high-speed links with particular conditions where fiber 68 deployment is not easy. In contract to conventional fixed wireless systems using microwave 69 bands, the transmission distance of the wireless links by high-frequency bands is limited. Thus, 70 we should construct hybrid networks consisting of optical fibers and radio-links, where many 71 72 media converters bridging radio and optical signals. In beyond 5G systems, required transmission capacity for networks connecting BSs would be over 100 Gb/s. This paper focuses 73 on seamless networks consisting of THz and photonic links, which can offer high-speed 74 transmission for many BSs under various conditions. Signal conversion between THz and 75 lightwave plays very important roles in such seamless networks. Radio-over-fiber (RoF) would 76 77 provide effective and low-latency signal conversion.

Section 2 describes a concept of THz and photonic seamless networks dedicated for mobile services, where many BSs are effectively connected to core networks. Waveform transfer over fiber can be used for seamless media conversion between THz and photonic links. The RoF offers low-latency signal conversion with simple configuration. Section 3 provides THz link design with 0.3 THz and 0.5 THz as examples. Interference mitigation would be an issue even in such high-frequency bands. Fixed service (FS) would induce non-negligible interference to short-distance land mobile service (LMS). Due to propagation loss in the air, the expected transmission distance is shorter than a few kilometers, however, the loss due to absorption by atmospheric gases is not so significant at 0.3 THz. Section 4 reviews power consumption of commercial short-distance wireless transmission systems. Power consumption per bit can be described by a decreasing function of the bitrate. That implies that over 100 Gb/s radio transmission would offer low-power consumption short-distance links. Section 5 reviews recent THz transmission experiments by using a figure of merit defined by a product of the carrier frequency and spectral efficiency.

### 92 2 Concept of THz and photonic seamless network

93 Global mobile services including 5G are provided through many BSs, whose functions can be categorized into the following two parts: 1) baseband units (BBUs) which convert binary 94 data streams into waveforms for radio-services and vice versa, and 2) antenna units which 95 transmit and detect radio-waves. In dense urban areas, remote antenna units (RAUs) are 96 97 commonly used to construct many small cells. Fig. 1 shows a schematic of networks connecting BBUs and RAUs, where mobile backhaul (MBH) links transfer digital data streams from BBUs 98 to a backbone network, and mobile fronthaul (MFH) links transfer radio waveforms between 99 RAUs and BBUs. While the MBF links can be offered by digital optical transmission as in 100 conventional digital networks, the waveform transfer in the MFH links can be realized by analog 101 or digital RoF. As of now, most of MFH systems are based on digital RoF, because digital data 102 transmission whose bitrate is up to 10 Gb/s can be offered by low-cost optical transponders. The 103 digital RoF interfaces are defined by the Common Public Radio Interface (CPRI), where the 104 105 required bandwidth for Long-Term Evolution (LTE) based mobile systems is less than 10 Gb/s. However, the 5G NR (New Radio), which provides multi-gigabyte data transfer on demand, 106 massive connections for many sensors, and ultra-reliable low-latency communications requires 107 over 100-Gb/s high-speed digital transmission for CPRI-based MFH links. To reduce the 108 required bandwidth for MFH, a part of signal processing functions in the BBUs are moved to the 109 remote units. The configuration of the remote units would be complicated. In beyond 5G or 6G 110 networks, the number of the remote units would be much larger than that of users, so that the 111 configuration of the remote units should be simplified as much as possible to reduce the total 112 installation cost. One of possible configurations to connect many simple RAUs would be wired 113 and wireless hybrid networks shown in Fig. 1, where high-speed photonic and THz links are 114 seamlessly bridged each other through wired and wireless media converters [4, 8]. Analog RoF 115 can be used to simplify the configuration of the media converters, where the waveforms are 116 converted into optical signals by high-speed optical modulators. At an RAU, the waveform can 117 be derived from the optical signal by using a photodetector. For THz-wave systems, it would be 118 rather difficult to generate radio-waveform directly from optical signals due to limitation of high-119 speed response of photodetectors. To overcome this difficulty, we can transfer a waveform as an 120 intermediate frequency (IF) signal. The IF signal is converted into a THz signal through 121 frequency conversion at the RAU. This scheme is called IF over fiber (IFoF). Fig. 2 shows 122 configurations of the digital RoF and the analog RoF (IFoF). Analog RoF which can transmit 123 waveforms for radio services with a very simple configuration. However, the waveform would 124 be degraded by nonlinearity, reflection and excess noise in electric and optical components. 125 When large over sampling rate is available, delta-sigma modulation can be used for waveform 126 transfer, where the radio-wave can be derived by a simple RAU consisting of a photodetector, 127 similar to that of analog RoF [9]. 128

Single mode fibers (SMFs) are commonly used for analog RoF systems. However, multi-129 mode fibers (MMFs) or plastic optical fibers (POFs) can be also used for short-distance RoF 130 transmission [10-13]. Fig. 3 shows a configuration of an in-vehicle RoF system which bridges 131 5G NR signals in the air and user terminals in a car cabin. A 28-GHz 5G NR signal generated by 132 an arbitrary waveform generator for an IF signal generation and a signal generator used as a local 133 oscillator is emitted through an amplifier and an transmitter (Tx) antenna. The radio-wave 134 detected by a receiver (Rx) antenna on the roof of the car is converted into an optical signal for 135 RoF transmission. A bend-insensitive MMF (BI-MMF) and a BI-graded-index POF (BI-GI-136 POF) were used for this demonstration. Fig. 4 shows measured error vector magnitudes (EVMs) 137 for RoF transmission over a 20-m BI-MMF and a 5-m BI-GI-POF, where a reference signal was 138 measured with a link over a 1-m coaxial cable. The receiver sensitivity was -60 dBm. The power 139 penalty in the RoF transmission was less than 3 dB for a 64-QAM (quadrature amplitude 140 modulation) OFDM (orthogonal frequency domain multiplexing) 5G NR signal whose 141 bandwidth is 200 MHz. RoF with MMF or POF offers low-cost and light-weight solution which 142 can be used for radio-wave distribution to small cells as well as for in-vehicle wiring 143 applications. 144



Figure 1. Configuration of MBH and MFH consisting of photonic and THz links.







# 146 **3 THz link performance**

Transmission distance of THz links is limited by large free-space path loss and 147 atmospheric absorption. As reported in Refs. [14, 15], a wide variety of radio services such as FS 148 and LMS have been developed in a frequency range of 275–450 GHz, so that interference 149 among different radio services would degrade THz-wave transmission performance. Here, as 150 shown in Fig. 5, we consider interference between LMS and FS at 300 GHz, as an example. The 151 LMS for short-distance connection between a data kiosk and user terminals would have 152 interference from the FS for a few hundred meter transmission. The data kiosk has a high-speed 153 FS link to an edge server. The transmission distance of the FS is assumed to be 300 m, while that 154 of the LMS is 1m. The transmission power is 10 dBm for the short-distance LMS, and 20 dBm 155 for the FS. A data kiosk has an antenna of 30-dBi gain for the LMS connecting user terminals 156 and a high-gain antenna whose gain is 50 dBi for the FS. The atmospheric attenuation coefficient, 157 defined by Ref. [16], is 5.24 dB/km for 300 GHz, where atmospheric pressure, water vapor 158 pressure, water vapor density and temperature are assumed to be 1013.25 hPa, 9.9729 hPa, 7.5 159  $g/m^3$  and 288.15 K which are referred as a standard atmosphere (at sea level) defined in Ref. [17]. 160

In the interference scenario shown in Fig. 5, the desired-to-undesired ratio (D/U) can be defined 161 by the ratio of the LMS signal power and the FS signal power detected by the 30-dBi antenna at 162 the data kiosk. Fig. 6 shows the D/U as a function of the main beam direction difference between 163 the LMS and FS signals ( $\theta$  in Fig. 6), where the antenna pattern offered in Ref. [18] was used to 164 estimate the interfering signal (FS) detected by the antenna for the LMS. Here, we assume that 165 the required signal-to-noise-power ratio (SNR) for 32-QAM is approximately 20 dB. When the 166 direction difference angle  $\theta$  is larger than 10 degrees, the interference signal power would be less 167 than the maximum noise level defined by the required SNR. Thus, the angle  $\theta$  should be 168 approximately larger than 10 degrees to suppress the interference to the LMS by the FS. 169 However, the short-distance LMS is aimed to connect many small terminals around a data kiosk 170 and to bridge between a server and small displays. Thus, it would be rather difficult to avoid 171 particular beam directions for interference mitigation. This result implies that interference 172 suppression techniques and spectral efficiency enhancement would be important issues even in 173 THz bands. One of possible solutions is to use new frequency resources such as over 400-GHz 174 175 bands.

To discuss basic characteristics of THz-links, ideal SNR of THz transmission with 300 176 GHz and 500 GHz is investigated by using the Friis transmission equation, where the noise floor 177 is assumed to be equal to the thermal noise level. Figs. 7 and 8 show SNRs of THz links with 178 300-GHz and 500-GHz carriers, respectively. The antenna gain is assumed to be 50 dBi (both for 179 the transmitter and receiver). The transmission power is 20 dBm. The thermal noise power at 180 288.15 K is estimated -64.00 dBm for a 100-GHz bandwidth (BW) system. For 2-GHz BW, the 181 noise power is -80.99 dBm. The atmospheric attenuation coefficients are 5.24 dB/km for 300 182 GHz and 66.23 dB/km for 500 GHz, with the standard atmosphere. On the other hand, rain 183 attenuation is insensitive to carrier frequency in THz region [19]. The attenuation coefficients 184 under 5mm/h rainfall are 4.47 dB/km and 4.27 dB/km for 300 GHz and 500 GHz, respectively. 185 Those under 50 mm/h are 18.99 dB/km and 17.83 dB/km for 300 GHz and 500 GHz, 186 respectively. The free-space path loss coefficients are 142.0 dB for 300 GHz and 146.4 dB for 187 500 GHz. Thus, the major part of the difference in the SNR is due to the atmospheric attenuation. 188 For short-distance THz-links, the SNR is not so sensitive to the rainfall especially in the 500 189 GHz FS link case. 190

The transmission capacity depends on the modulation format and the noise figure of the 191 192 THz link. For quadrature phase shift keying (QPSK), the required SNR is approximately 10dB. By assuming that the noise figure is 15 dB as shown in Ref. [15], the required SNR margin is 25 193 dB approximately. The modulation speed (baud rate) can be assumed to equal to the signal BW 194 when the THz-wave is modulated by an ideal filtered QPSK waveform. Thus, the transmission 195 capacity of the 100-GHz BW link would be 200 Gb/s. Here, we consider expected link distances 196 for 200-Gb/s transmission under light rain (5 mm/h) and heavy rain (50 mm/h) conditions. As 197 shown in Fig. 7, over 1-km transmission can be achieved by the 300-GHz link under light rain 198 condition, while the expected link distance would be 780 m under heavy rain condition. For the 199 200 500-GHz link, the expected link distances are 380 m and 310 m for light rain and heavy rain conditions, respectively. When the transmission power is 0 dBm, the expected link distances are 201 for 130 m and 110 m for light rain and heavy rain conditions, respectively. Due to large 202 atmospheric attenuation, the link distance in the 500-GHz band is much less than in the 300-GHz 203 band. However, the expected distance is still larger than 300 m even in heavy rain condition. 204 Thus, we can use the 500-GHz link to bridge many small cells, without any interference to 300-205

GHz short-distance links. The antenna size for 500-GHz is much smaller than in conventional bands. For example, the diameter of a 50-dBi parabolic antenna would be 8.5 cm when the

aperture efficiency is 50%.











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# 212 **4 Power consumption of short-distance wireless systems**

Many small cells should be connected through THz and photonic seamless networks, 213 where many media converters are required. Thus, the reduction of power consumption in radio 214 transmitters is one of very important technical challenges for wired and wireless seamless 215 networks [4]. Fig. 9 shows the radio transmitter power consumption per bit, which can be 216 defined by the ratio of the total power consumption in Watt (Joule per second) to the bitrate in bit 217 per second, for various short-distance wireless transmission systems [5]. The vertical axis 218 denotes power consumption of radio transmitters per bit. The horizontal axis shows the wireless 219 transmission bitrate. As reported in Refs. [5, 20], high speed wireless links which largely reduce 220 the time duration for data transmission can provide low power consumption transmission. Power 221 consumption for particular functions, such as management of baseband signal processing and 222 power supply would be proportional to the time duration. The power consumption per bit would 223 be inversely proportional to the bitrate, if such functions are dominant in the power consumption. 224

A curve fitting result for the power consumption per bit for short-distance wireless transmission systems including Zigbee, Bluetooth, WLAN (IEEE802.11n, 802.11ac and 802.11ad), and WirelessHD is given by

228 
$$y = 130 \times x^{-0.7}$$
, (1)

where the units of x and y axes are Mb/s and nJ, respectively. The curve fitting result has a difference of a factor of  $x^{0.3}$  from ideal inverse proportion. We deduce that this is due to

degradation of power efficiency of electric circuits in high-frequency region. In ideal transmitters 231 and receivers, the power consumption per bit would not depend on the bitrate under the same 232 SNR. However, the power consumption would be large in high-frequency or high-bitrate 233 systems, because the circuits should manage electric signals in high-frequency region where 234 ohmic loss is large. Despite such effect, high-speed wireless transmission systems can provide 235 low power consumption data transfer. For example, millimeter-wave systems, such as 802.11ad 236 and WirelessHD can offer low power consumption and high-speed wireless data links. For 237 reference, the power consumption per bit of a 100GbE system (IEEE802.3bm) is plotted in Fig. 238 9. The curve fitting result in 100 Gb/s and the power consumption of the 100GbE system are in 239 the same order of magnitude. This results implies that power consumption of over 100-Gb/s 240 241 short-distance wireless links realized by using THz bands would be less than in conventional radio links using low-frequency radio-waves. 242

Fig. 10 shows power consumption survey results performed in 2012 [20] and in 2018 [5]. The curve fitting result for the result reported in Ref. [20] is

245 
$$y = 260 \times x^{-0.6}$$
 (2)

The dependency on the bitrate x is similar to that of Ref. [5]. However, if we look at the power consumption of the radio equipment for the same bitrate, the power consumption surveyed in 2018 is less than in 2012. The difference in the coefficients in Eqs. (1) and (2) reflects power efficiency improvements in high-speed electric circuits during 2012–2018.





Radio Science



# 252 **5** Spectral efficiency and transmission capacity

A figure of merit has been defined by a product of carrier frequency and spectral 253 efficiency, (CFSE: carrier frequency spectral efficiency product, henceforth), in order to measure 254 impact on congestion mitigation by high spectral efficiency and high carrier frequency [5]. Fig. 255 11 shows CFSE of THz transmission experiments reported recently is shown in Fig.11, as a 256 function of the data rate. QPSK offers the largest data rate, while 16-QAM and 64-QAM offer 257 258 large CFSE. Due to limitation of high-speed operation of electric and optical devices, there is a trade-off relation between CFSE and data rate. Complicated modulation formats such as 16-259 QAM and 64-QAM could not be applied to high baud rate systems. Fig. 12 shows CFSE for 260 various carrier frequency (CF). If the spectral efficiency (SE) does not depend on CF, the CFSE 261 is proportional to CF. However, the CFSE has a peak at 300 GHz. It shows that modulation 262 formats with many symbols are not mature enough in THz bands above 300 GHz. These results 263 indicate that CFSE would be useful to describe contribution to mitigation of spectral congestion. 264 Figs. 11 and 12 implies that the bitrate of THz links can be increased without losing spectral 265 efficiency in frequency range below 300 GHz, where the bit rate would be up to 100 Gbit/s. 266

The product of the CFSE and data rate of the THz transmission systems is shown in Fig. 15, to investigate the trade-off between the spectral congestion mitigation and transmission capacity, where the transmission performance is described by the data rate. The product has a maximum at 0.3 THz and decreases rapidly for CF larger than 0.5 THz. The use of a CF between 0.3 THz and 0.5 THz provides a well-balanced high-speed radio transmission system.

### 273 6 Conclusions

This paper reviewed seamless networks consisting of high-speed wireless and wired links 274 which can offer nationwide or global high-performance radio services with limited radio 275 spectrum. The number of BSs would be much larger than that of users, and the diameters of cells 276 would be less than a few hundred meters. Thus, a number of short-distance high-speed links are 277 278 required, where various transmission media including optical fibers and THz links would be used to configure the seamless networks. To bridge wired and wireless links, effective waveform 279 transfer is required, where the power consumption and latency should be minimized by simple 280 media converters. One of possible solutions for this issue is to use RoF, where a radio-wave is 281 transmitted over an optical signal. Multi-mode radio-over-fiber would offer a low-cost waveform 282 transfer option for short-distance links. This paper also investigated potential interference in THz 283 bands, where various radio services have been already proposed. In the 300-GHz band, the 284 interference from a FS link signal to a short-distance LMS link would be an issue. By using 500-285 GHz band, we can construct FS links whose distance is up to a few hundred meters. Although 286 attenuation in the air is very large, over 100-Gb/s transmission can be designed by using a small 287 antenna. If we can use the 500-GHz band for such FS links, we can avoid the interference in 300 288 GHz. We also discussed the power consumption of short-distance radio transmission systems. 289 Based on survey for commercial radio systems, we can deduce that high-speed wireless links can 290 291 offer low-power consumption data transfer. That implies that over 100-Gb/s wireless links realized by THz-bands can offer low-power consumption data transmission. Such technologies 292 would be applied to high-speed short-distance transmission for data center or in-car applications. 293 We also investigated the spectral efficiency and carrier frequency of THz transmission systems. 294 In frequency range below 300 GHz, we can increase the bitrate without losing the spectral 295 efficiency. However, in the higher frequency range, the spectral efficiency would be degraded. 296 The peak of the CFSE would describes the frontline of the research on THz hardware. 297

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