# Evolution of pitch angle distributions of relativistic electrons during geomagnetic storms: Van Allen Probes Observations

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## Abstract

We present a study analyzing relativistic and ultra relativistic electron energization and the evolution of pitch angle distributions using data from the Van Allen Probes. We study the connection between energization and isotropization to determine if there is a coherence across storms and across energies. Pitch angle distributions are fit with a Jsin $\vartheta$  function, and the variable 'n' is characterized as the pitch angle index and tracked over time. Our results show that, consistently across all storms with ultra relativistic electron energization, electrons become most anisotropic within around a day of Dst and relax down to prestorm isotropization levels in the following week. In addition, each consecutively higher energy channel is associated with higher anisotropy after storm main phase. Changes in the pitch angle index are reflected in each energy channel; when 1.8 MeV electrons increase (or decrease) in pitch angle index, so do all the other energy channels. In a superposed epoch study, we show that the peak anisotropies differ between CME- and CIR- driven storms and measure the relaxation rate as the anisotropy falls after the storm. The relaxation rate in pitch angle index for CME-driven storms is -0.14+/-0.023 at 1.8 MeV, -0.28+/-0.01 at 3.4 MeV, and  $-0.36\pm0.02$  at 5.2 MeV. For CIR-driven storms, the relaxation rates are  $-0.09\pm0.01$  for 1.8 MeV,  $-0.12\pm0.02$  for 3.4 MeV, and  $-0.11\pm0.02$  for 5.2 MeV. This study shows that there is a global coherence across energies and that storm type may play a role in the evolution of electron pitch angle distributions.

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# Evolution of pitch angle distributions of relativistic electrons during geomagnetic storms: Van Allen Probes Observations

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# Key Points:

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10	•	The evolution of electron pitch angle distributions can be tracked well by a pitch
11		angle index, 'n' in $J_0 sin^n \theta$
12	•	Ultra relativistic electrons consistently have a higher n than relativistic electrons
13	•	Isotropization rates can be linearly fit and statistically differ between CME- and
14		CIR-driven storms

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#### 15 Abstract

We present a study analyzing relativistic and ultra relativistic electron energiza-16 tion and the evolution of pitch angle distributions using data from the Van Allen Probes. 17 We study the connection between energization and isotropization to determine if there 18 is a coherence across storms and across energies. Pitch angle distributions are fit with 19 a  $J_0 sin^n \theta$  function, and the variable 'n' is characterized as the pitch angle index and tracked 20 over time. Our results show that, consistently across all storms with ultra relativistic elec-21 tron energization, electrons become most anisotropic within around a day of  $Dst_{min}$  and 22 23 relax down to prestorm isotropization levels in the following week. In addition, each consecutively higher energy channel is associated with higher anisotropy after storm main 24 phase. Changes in the pitch angle index are reflected in each energy channel; when 1.8 25 MeV electrons increase (or decrease) in pitch angle index, so do all the other energy chan-26 nels. In a superposed epoch study, we show that the peak anisotropies differ between CME-27 and CIR- driven storms and measure the relaxation rate as the anisotropy falls after the 28 storm. The relaxation rate in pitch angle index for CME-driven storms is  $-0.14\pm0.023$ 29 at 1.8 MeV,  $-0.28\pm0.01$  at 3.4 MeV, and  $-0.36\pm0.02$  at 5.2 MeV. For CIR-driven storms, 30 the relaxation rates are  $-0.09\pm0.01$  for 1.8 MeV,  $-0.12\pm0.02$  for 3.4 MeV, and  $-0.11\pm0.02$ 31 for 5.2 MeV. This study shows that there is a global coherence across energies and that 32 storm type may play a role in the evolution of electron pitch angle distributions. 33

## <sup>34</sup> Plain Language Summary

Using Van Allen Probes data, we measure pitch angle distributions of relativistic 35 and ultra relativistic electrons. Anisotropic pitch angle distributions are sharply peaked 36 around 90 degrees. More evenly distributed pitch angles are isotropic. Our results show 37 that, consistently across all storms with ultra relativistic electron enhancements, elec-38 trons become most anistropic within around a day of storm onset and slowly isotropize 39 in the following week. In addition, each consecutively higher energy channel is also as-40 sociated with higher anisotropy after the main phase of geomagnetic storms, a charac-41 teristic which holds through the storm and recovery. Changes in the pitch angle index 42 are reflected in each energy channel; when 1.8 MeV electrons increase (or decrease) in 43 pitch angle index, so do all the other energy channels. In a superposed epoch study, we show that the peak anisotropies differ between different storm drivers (namely, coronal 45 mass ejections and corotating interaction regions) and measure the relaxation rate as the 46 anisotropy falls after the storm. This study shows that there is a global coherence across 47 energies and that storm type may play a role in the evolution of electron pitch angle dis-48 tributions. 49

#### 50 1 Introduction

In the recent past, several space missions, including the Van Allen Probes (Mauk et al., 2013; D. Sibeck et al., 2012) and Arase ("Geospace exploration project ERG", 2018), have provided detailed observations of the Earth's radiation belts. They have not only revealed new phenomena (Baker et al., 2013), but also advanced our understanding of dynamics of electron energization and loss in the radiation belts. Both radial diffusion and wave-particle interactions (Baker et al., 2014; G. D. Reeves et al., 2013) lead to energization and loss of electrons in the outer Van Allen belt.

The importance of wave-particle interactions in both energizing and pitch angle scattering electrons is now well established. Chorus wave driven in-situ energization and subsequent ULF wave driven radial diffusion result in energization to relativistic and ultrarelativistic electrons (O'Brien et al., 2003; Claudepierre et al., 2008; Mourenas et al., 2014). Recent observations have shown direct evidence of pitch angle scattering (Fennell et al., 2014; Kasahara et al., 2018) as well as provided a comprehensive survey of energization time scales and associated wave phenomena (Baker et al., 2014). Observations have also shown cross-scale coupling between the lowest and highest energy electron populations. Low energy electrons have a pitch angle anisotropy which leads to wave generation, which in turn acts upon a "seed" population of "intermediate' energies, accelerating them to relativistic energies (Jaynes et al., 2015). Theoretical studies and modeling provide a robust frame-work for understanding the physical processes for the role of various plasma waves affecting electron dynamics (Summers et al., 1998; Thorne et al., 2013, 2013).

Despite the observational and theoretical advances, there are aspects of physical 71 72 processes that drive the energization and loss which are not completely understood; for example the connection between pitch angle scattering, i.e., flux isotropization and elec-73 tron enhancement has not been explored in detail. Early studies (G. Reeves et al., 1998) 74 suggested that electrons with large pitch angles  $\sim 90^{\circ}$  are energized first, followed by 75 isotropization. However, subsequent studies seemed to suggest that energization and isotropiza-76 tion were nearly simultaneous (Kanekal, 2006; Kanekal et al., 2005, 2001). These early 77 studies were limited by insufficient temporal resolution (Kanekal et al., 1999), limited 78 L coverage (Kanekal et al., 2001), and the use of multiple spacecraft in different orbits. 79

In this study, we use Van Allen Probes measurements to examine the relationship 80 between electron energization and pitch angle distributions (PAD) during electron en-81 hancements. We also analyze events driven by coronal mass ejections (CMEs) and coro-82 tating interaction regions (CIRs) separately. We perform superposed epoch analysis on 83 the PAD evolution of relativistic and ultra-relativistic electron enhancements for 20 CME-84 and 24 CIR-driven events. The near-equatorial orbit of Van Allen Probes allows for large 85 pitch angle coverage and the Relativistic Electron Proton telescope (REPT) measures 86 electrons over a wide energy range with excellent pitch angle coverage (see Section 2). 87

PADs appear within the radiation belts in several distinctive shapes. These shapes 88 are created by different mechanisms, such as wave-particle interactions or radial diffu-89 sion. Three common types of PADs are pancake, butterfly, and flat top (Chen et al., 2014). 90 "Pancake" PADs peak at 90° and are thought to be caused by inward radial diffusion 91 (Zhao et al., 2018) and/or wave-particle interactions (Ni et al., 2015). They are most promi-92 nent on the dayside (Gannon et al., 2007; West Jr. et al., 1973). The sharper the peak 93 at 90°, the more anisotropic the PADs are. "Butterfly" distributions exhibit peak fluxes 94 at  $45^{\circ} - 60^{\circ}$  pitch angles and lower fluxes near-90° pitch angles, and could be caused 95 by drift shell splitting (Stone, 1963) with or without magnetopause shadowing (Selesnick 96 & Blake, 2002; D. G. Sibeck et al., 1987) in the outer belt. "Flat top" distributions have 97 low fluxes at  $0^{\circ}$  and  $180^{\circ}$  and are flat over a range of pitch angles around  $90^{\circ}$ . These are 98 considered isotropic. They could be a result of a transition between pancake and but-99 terfly distributions, or could result from wave-particle interactions (Horne et al., 2003). 100 Other types of pitch angle distributions can exist, but are less common (Zhao et al., 2018; 101 Baker et al., 1978; D. G. Sibeck et al., 1987). Understanding the evolution of pitch an-102 gle distributions of different energetic populations, and the drivers that affect them, are 103 essential to understand radiation belt physics. 104

Section 2 gives details regarding the instrument and spacecraft used in this study.
 The methods used to track pitch angle distributions over time are in Section 3. Results
 from single storm analysis and a statistical study are shown in Section 4. Section 5 contains a discussion on the results presented, and we conclude with a summary in Section
 6.

#### <sup>110</sup> 2 Spacecraft and Data

This study uses data from NASA's Van Allen Probes mission (Mauk et al., 2013), consisting of two satellites launched in 2012 into a highly elliptical orbit (~500 to 30,000 km). Both identically instrumented spacecraft have sunward-pointing spin axes and spin



Figure 1. Lsort plots from RBSPA REPT channels from 2012-2017. The top panel is 2.1 MeV electrons and the botton panel represents 4.2 MeV electrons. The bottom panel shows Dst index. This figure is adapted from Zhao et al. (2018)

at  $\sim 6$  rotations per minute (RPM) in the near-equatorial region at  $10^{\circ}$  inclination, al-114 lowing for broad sampling of pitch angles. They each carry five instrument suites to mea-115 sure electrons, ions, plasma waves, and magnetic and electric fields. By using two space-116 craft, the spatial and temporal extent of various phenomena can be measured. One laps 117 the other every several months, allowing for a wide range of spatial measurements. The 118 prime mission lifetime for Van Allen Probes was two years, but both spacecraft collected 119 data for over seven years. The Van Allen Probes mission was launched near the peak of 120 solar cycle 24, during which coronal mass ejections (CMEs) are more frequent, and cov-121 ered the declining phase (mid-2014 through end of mission), when CIR/HSS are the dom-122 inant solar drivers. 123

The REPT instrument onboard the Van Allen Probes is a particle telescope com-124 prising a stack of silicon solid-state detectors (SSDs) enclosed in aluminum-tungsten shield-125 ing. REPT measures charged electrons and protons with a geometry factor of 0.2 cm<sup>2</sup>sr 126 (Baker et al., 2012). It measures electrons  $\sim$ 2-20 MeV in 8 differential energy channels 127 with an energy resolution  $\Delta E/E$  of 30%. We can therefore observe PAD changes from 128 the relativistic to ultra relativistic energy regime using a single instrument. Van Allen 129 Probes passes through the inner and outer belts during its orbit, and maps both these 130 regions well over long periods of time. 131

Figure 1, adapted from Figure 2 of Zhao et al. (2018), shows REPT long-term Lsort plots from the 2.1 and 4.2 MeV electron energy channels, spanning 2012-2017. The bottom panel shows Dst index for this time period. The flux is color coded where red is the most intense flux and black is close to zero. Enhancements occur more frequently in the 2.1 MeV energy channel, electrons are less frequently energized to ultra relativistic energies.

## <sup>138</sup> 3 Determining Pitch Angle Index

<sup>139</sup> In this paper, we describe the characterization of pitch angle distributions of rel-<sup>140</sup> ativistic and ultra relativistic electrons in the REPT instrument and track this distribution over time. We will use this data to determine if there is a coherence in PAD changes
across energies, if there is a pattern across storms, and if storm driver affects the pitch
angle distribution of the electrons. To do so, we must first select time periods of enhanced
relativistic and ultra relativistic electrons.

During 2012-2018, Zhao et al. (2019) found that REPT only observes >5.2 MeV 145 electrons after a geomagnetic storm, and, further, that all REPT electrons were more 146 likely to be enhanced after a storm of any size. Since REPT energy channels start at 1.8 147 MeV, the instrument exclusively measures relativistic and ultra relativistic electrons. There-148 fore, in order to study pitch angle distributions of electron populations up to ultra rel-149 ativistic energies, we look for electron enhancements after geomagnetic storms. Around 150 half of geomagnetic storms result in relativistic electron enhancements (G. D. Reeves et 151 al., 2003). 152

In order to find storms with electron enhancements, we first selected days where 153 the Dst index dropped below -40 nT and evaluated these time periods for ultrarelativis-154 tic enhancements. Following a method by Turner et al. (2015), we compared the max-155 imum flux in each energy channel between 12 and 84 hours after the  $Dst_{min}$  to the max-156 imum flux between 12 and 84 hours before the  $Dst_{min}$ . Electrons in a given energy chan-157 nel are considered to be enhanced if the poststorm maximum flux is at least twice the 158 prestorm maximum flux. There may only be flux enhancements in some energy chan-159 nels, and indeed, we find that lower electron energy channels are more likely to be en-160 hanced following a geomagnetic disturbance, in agreement with Zhao et al. (2019). We 161 selected storms that result in an electron enhancement in at least the REPT 1.8, 2.1, 2.6, 162 and 3.4 MeV electron channels. 163

Next, we determined the likely storm driver, using OMNI data (available on CDAweb 164 at https://cdaweb.sci.gsfc.nasa.gov) to plot storm characteristics, such as solar wind ve-165 locity, proton temperature in the solar wind, AE index, IMF  $B_z$ , and SYM-H. CME-driven 166 storms tend to be have abrupt changes in AE,  $B_z$ , solar wind flow speed, and an increase 167 in proton temperature shortly after storm commencement (Neugebauer & Goldstein, 2013). 168 A CIR-driven storm tends to exhibit slower variations - solar wind velocity slowly in-169 creases, proton temperature in the solar wind may reach its max before storm commence-170 ment, and Dst (or SYM-H) index may be less intense and vary more during recovery (Jian, 171 1993). In addition, in a CIR-driven storm,  $B_z$  may fluctuate more, whereas in a CME-172 driven storm there is more often one a sudden drop. Not every storm will have each of 173 these indicators, but together, they may point to the likely source of a geomagnetic storm. 174 We corroborated our results from published storm lists as much as possible (Richardson 175 & Cane, 2019; Shen et al., 2017; Bingham et al., 2018), and found them to be consistent 176 with our categorization. 177

Pitch angle distributions within the radiation belts change as a function of L (Gannon 178 et al., 2007), so choosing the L location in which to track pitch angle distributions is im-179 portant. We want to track the pitch angle distribution of the enhanced electrons, there-180 fore we select an L band where there is maximum electron intensity in the outer belt. 181 The L band extent must be optimized. On one hand, the L range cannot be too narrow, 182 because the enhanced electrons drift inwards over the course of several days, and an overly 183 narrow L range would lose important information regarding the enhanced population. 184 On the other hand, attempting to fit the average pitch angle distribution over a very large 185 L bin smooths out interesting features. We selected a bin size of 0.8 L centered around 186 the average max flux during the 5 days after Dst minimum to balance out these concerns. 187 Neither shifting nor changing the size of the bin by several tenths of an L changed the 188 results of the analysis. 189

<sup>190</sup> We obtained the average unidirectional differential electron flux (FEDU) for each <sup>191</sup> energy channel, within the L range of interest, and in 10° pitch angle bins. Then, we in-<sup>192</sup> terpolated over pitch angle and fit the distribution with the functional form  $J_0 sin^n \theta$  be-



Figure 2. Example pitch angle distributions from REPT data in the 1.8 MeV electron bin. Plot on the left shows pitch angle distributions that are well fit to a  $sin^n\theta$  function. The color of each pitch angle distribution is associated with a pitch angle index, 'n', from the fit to the data. The pitch angle index is shown to the left. The right plot shows a few examples of pitch angle distributions that the algorithm determined to be a butterfly pitch angle distribution. For each plot, the more saturated lines show where the data was fit.

tween 50 and 130 degrees. Within this equation, 'n' is defined as the pitch angle index, as it will be referred to from this point on. The  $\chi^2$  value from the fit is calculated as

$$\chi = \sum \frac{(y - fit)^2}{(0.0002)y^2} \tag{1}$$

which assumes a systematic error of about 1%. The statistical errors on flux measurements are small compared to systematic errors. This is then divided by degree of freedom (77) to find the  $\chi^2$  per degree of freedom. Pitch angle distribution fits with a  $\chi^2/d.o.f >$ 4 are discarded.

<sup>199</sup> Butterfly pitch angle distributions are not well fit with a  $sin^n\theta$  function, and are <sup>200</sup> excluded from the study. Following the method outlined in Zhao et al. (2014),

$$edge_{values} = f_{avg}(90^{\circ} - \alpha : 90^{\circ} + \alpha) \tag{2}$$

is calculated for values of  $\alpha$  from 5° to 45°. The max of these 'edge values', multiplied by 0.95, is compared to the mean flux of 85°-95° ('middle values'). If the middle values are lower than the edge values, it is flagged as a butterfly distribution. Butterfly PADs most commonly result from drift shell splitting, which is more pronounced at high L shells (D. G. Sibeck et al., 1987). The average L range in this study is 3.9-4.7, so butterfly PADs are not a significant portion of the distribution types, particularly at the lower energy channels. In the discussion section, we discuss the butterfly occurrences found during storms with enhancements.

Figure 2 shows a few examples of REPT pitch angle distributions. The panel on the left shows 7 PADs that are well fit to the  $sin^n\theta$  function. The color of each distribution is associated with its pitch angle index, shown to the left. The pitch angle index gives a numerical value the the anisotropy of the PAD. The pitch angle index does not take flux into account. The panel on the right shows 3 examples of butterfly distributions selected by our algorithm. These are excluded from the analysis. In both plots, the full PAD is shown in a light color, with the fit range in a more saturated color.

Pitch angle distributions that can be fit well with  $J_0 sin^n \theta$  were compiled into a database. For each geomagnetic storm, there was a time series of pitch angle indices for each electron energy channel containing an enhancement. Within each selected storm, we study PADs of electrons covering a range of energies to determine if there is a coupling from
relativistic (~1 MeV) to ultra relativistic (>3 MeV) energies by comparing the pitch angle index for energy channels over time. In addition, we compare PADs between storms
to determine if there are similarities across storms, as well as storms grouped by solar
drivers, i.e., CMEs and CIRs.

#### 224 4 Results

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#### 4.1 Individual Storm Analysis

Firstly, we track the pitch angle index over time in each enhanced energy channel during individual storms. By analyzing a single storm at a time, it is possible to determine if there are any patterns across energy channels.

Figure 3 shows combined results from REPT probe A and B from a storm on June 229 29, 2015. The first three panels show electron flux as a function of L and time, color coded 230 as shown in the color bars to the right of each panel. From top to bottom, the energy 231 channels are 1.8, 3.4, and 7.7 MeV. The black dots indicate the location of maximum 232 flux in L over each orbital pass. The L range analyzed in this storm was 3.4-4.2 L. Panel 233 (d) shows pitch angle index, 'n', as a function of time for electron energies ranging from 234 1.8 to 6.3 MeV. Energy channels are shown in different colors, from cool (purple, at 1.8 235 MeV) to warm (red, at 6.3 MeV). There were no pitch angle indices from 7.7 MeV, as 236 the analyzed unidirectional fluxes were not large enough to fit well to the  $J_0 \sin^n \theta$  dis-237 tribution. The error from the fit are shown as pitch angle index errors. The bottom panel 238 (e) shows Dst (nT) for the duration of the storm. Vertical lines show the time of min-239 imum Dst. 240

The 1.8 MeV energy channel has a pitch index for every time the spacecraft trav-241 els through the outer belt. At higher energies, there are some gaps in the data. The gaps 242 in pitch angle index for various energies are due to either low flux levels, high  $\chi^2$  value 243 of the pitch angle distribution fit, or due to a measured butterfly distribution. The MLT 244 of the data points are shown as a second x axis, and this particular plot is only for in-245 bound passes of Van Allen Probes. The pitch angle distributions of the outer belt can 246 vary over MLT, so we divided storms into inbound and outbound passes to be able to 247 compare populations more directly within storms. These pitch angle indices are from the 248 afternoon sector. 249

Before the  $Dst_{min}$ , pitch angle indices for all energy bins were low, specifically less 250 than 2 for all energy channels. This means that the pitch angle distributions were fairly 251 isotropic. When the storm compressed the magnetosphere and the seed population en-252 ergized, the resulting enhanced electrons are very anisotropic. The higher the energy chan-253 nel, the more anisotropic the pitch angle distributions are. The pitch angle indices peak 254 within about one day of  $Dst_{min}$  and decrease until July 6, when there is another large 255 drop in Dst. The highest energy electrons show up (at measurable values) within a few 256 days of  $Dst_{min}$ . 257

Figure 4 shows the pitch angle index evolution for another storm, this one in March, 259 Evolution 2019. The panels and markers are the same as in Figure 3. In this storm, the enhance-260 ment can only be measured up to the 5.2 MeV energy channel, and there appears to be 261 a second peak in the pitch angle index around 2 days after  $Dst_{min}$ . The higher energy 262 electron channels are also associated with consistently higher anisotropies, and the pat-263 terns across energies are the same as for the previous storm.

These plots show just 2 of the 43 storms analyzed for this study, but the qualitative characteristic of all of the storms are similar. In each of the storms analyzed, the characteristics of electron PADs during enhancement or energization evolve in a similar manner. When the pitch angle indices increased, they did so at every observed en-



Figure 3. Fluxes of 1.8, 3.4, and 6.3 MeV electrons as a function of L (top three panels), color coded by flux, shown in the right for a storm on June 29, 2013. Black dots indicate the location in L of the flux maximum at each pass of the spacecraft through the outer belt. The fourth panel (d) shows pitch angle index (n) values for inbound passes of A and B. The bottom panel (e) shows the Dst index. Vertical black line indicates time of  $Dst_{min}$ , and MLT is shown as a second x-axis.



Figure 4. Fluxes of 1.8, 3.4, and 6.3 MeV electrons as a function of L (top three panels), color coded by flux, shown in the right for a storm on March 17, 2019. Black dots indicate the location in L of the flux maximum at each pass of the spacecraft through the outer belt. The fourth panel (d) shows pitch angle index (n) values for inbound passes of A and B. The bottom panel (e) shows the Dst index. Vertical black line indicates time of  $Dst_{min}$ , and MLT is shown as a second x-axis.

ergy. A decrease in pitch angle index was similarly reflected across energy channels. This occurred for every time step within every storm. There is a clear coherence between relativistic and ultra relativistic enhancements. The changes that occur in tandem do so within the resolution of one orbital pass of Van Allen Probes, viz.,  $\approx 5$  hours. In addition, the pitch angle index consistently increases with energy, i.e., the higher energy channels (6.3 MeV) are always associated with a higher pitch angle index than lower energy channels (1.8 MeV).

#### 4.2 Superposed Epoch Study

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Next, we investigate the average evolution of pitch angle distributions associated
with electron energization. We will show that the pitch angle distributions of energized
electrons change in the same manner over time for different storms. We conducted superposed epoch studies comparing evolution of electron PADs during CME-driven storms
and CIR-driven storms. We found that there was an clear distinction between the pitch
angle distribution evolution for different storm drivers.

We analyzed 20 CME- and 23 CIR-driven Van Allen Probes era storms with ultra relativistic enhancements. For each energy channel, the pitch angle indices were averaged in bin sizes of half a day, weighted by the error on their fit. The superposed epoch error was calculated as the relative error summed in quadrature. Bins with fewer than 1/5 of the total storms are not shown.

Figure 5 shows the resulting superposed epoch plot for CME-driven storms only, with electron energies ranging from 1.8-6.3 MeV. Figure 6 shows the superposed epoch plot for CIR-driven storms. Both Figure 5 and Figure 6 show superposed epoch curves for each energy in different colors (as indicated in the plot). For each energy, the thin darkest line is the weighted average pitch angle index (n), with 1 sigma and 2 sigma errors shown as shaded regions around the mean.

The pitch angle indices for CME-driven storms peak higher than CIR-driven storms. At 1.8 MeV, pitch angle indices (n) are 1.80 and 1.94 for CIR and CME-driven storms, respectively, and, similarly, 4.60 and 5.26 for 6.3 MeV electrons. CME-driven storms overall have a greater pitch angle distribution anisotropy in the day after  $Dst_{min}$  at relativistic and ultra relativistic energies.

We analyzed the isotropization rate from peak anistropy until 7 days after  $Dst_{min}$ . This was done for the superposed epoch of each energy channel and storm driver to determine how the average rate is different in each of these situations. The isotropization rate is well fit to a linear function.

Figure 7 compares the electron pitch angle indices for the 1.8, 3.4, and 5.2 MeV 302 energy channels in CME- and CIR-driven storms and shows a linear fit to the isotropiza-303 tion rate of each energy. The figure shows superposed epoch curves corresponding to each energy in dark(light) colors for CME(CIR)-driven storms. The electron energy channels 305 and solar driver types are indicated in the legend on the plot. The isotropization of of 306 the pitch angle distributions is quantified by fitting the slope of the pitch angle distri-307 bution evolution for each of the electron energy bins for CME- and CIR-driven storms. 308 The slopes and standard error on the slope is shown in the legend. The relaxation rate 309 for CME-driven storms is  $-0.14 \pm 0.023$  at 1.8 MeV,  $-0.28 \pm 0.01$  at 3.4 MeV, and -0.36310  $\pm$  0.02 at 5.2 MeV. For CIR-driven storms, the relaxation rates are -0.09  $\pm$  0.01 for 1.8 311 MeV,  $-0.12 \pm 0.02$  for 3.4 MeV, and  $-0.11 \pm 0.02$  for 5.2 MeV in pitch angle index units 312 per day. 313

From Figure 7, it is evident that the anisotropy in pitch angle distribution occurs within a day for both CME- and CIR-driven storms, but that the scale on which they occur is not the same. CIR-driven storms tend to exhibit slightly lower pitch angle anisotropies.



Figure 5. Superposed epoch study of PAD evolution for 20 CME-driven storms for energies 1.8-6.3 MeV. Color lines show weighted average PA index evolution, with lighter 1 sigma and 2 sigma error around the mean.



Figure 6. Superposed epoch study of PAD evolution for 23 CIR-driven storms for energies 1.8-6.3 MeV. Color lines show weighted average PA index evolution, with lighter 1 sigma and 2 sigma error around the mean.



Figure 7. Superposed epoch study of PAD evolution for for electrons of energies 1.8, 3.4, and 5.2 MeV, for CME- and CIR-driven storms. CME(CIR) curves are shown in dark(light) colors. The CIR-driven storms are shown in light blue, red, and light green, and CME-driven storms are shown in dark blue, black, and dark green. The superposed epoch curve is shown as a solid line with shaded bands showing the 1 sigma error.

This is true for the relativistic (1.8 MeV) and ultra relativistic (3.4 and 5.2 MeV) elec-317 trons. A clear energy dependence is seen in the rate at which PADs isotropize for CME-318 driven storms, and the isotoprization rate more than doubles between the 1.8 and 5.2 319 MeV energy bins. The isotropization rates for CIR-driven storms changes between en-320 ergy channels, but there is not a clear energy dependence. The isotropization rate for CME-321 driven storms is higher than the CIR-driven storms in each energy channel, but it di-322 verges the most at higher energies. The slopes are statistically different for each energy 323 channel. 324

#### 325 5 Discussion

The individual storm analysis results show that relativistic and ultra relativistic 326 electrons are associated with strong anisotropies soon after storm main phase. In addi-327 tion, we found that between any two energy channels, the higher energy electrons are 328 more anisotropic than the lower energy electrons during every storm with enhancements 329 analyzed. Relativistic and ultra relativistic electrons are either energized around  $90^{\circ}$  or 330 energize isotropically and quickly anisotropize after energization due to strong pitch an-331 gle diffusion into the loss cone. We cannot differentiate between the two when instru-332 ment measurements are many hours apart. 333

Previous studies have found that wave-particle interactions are most effective at 334 accelerating relativistic energy electrons (Thorne, 2010). More recently, studies have shown 335 that a combination of wave-particle interactions and radial diffusion can be an effective 336 acceleration combination during geomagnetic storms (Zhao et al., 2019; Jaynes et al., 337 2018). Jaynes et al. (2018) found that ULF wave acceleration followed by inward radial 338 diffusion can energize source populations to ultra relativistic energies. Electrons with 339 pitch angles near 90° are more effectively energized by radial diffusion (Chen et al., 2007; 340 Lejosne & Kollmann, 2020), which may explain the anisotropies of the higher energy elec-341 trons, and why pitch angles appear to become more anisotropic on similar timescales. 342 This is consistent with our results, which show the most anisotropy at the highest en-343 ergies in the day after Dst minimum. 344

From our results, it is evident that pitch angle distributions also isotropize on sim-345 ilar time scales across a wide range of energies. Wave-particle interactions via cyclotron 346 resonance may not be able to interact with electrons from relativistic all the way to ul-347 tra relativistic energies. For example, the effect of EMIC waves on precipitation via cy-348 clotron resonance is well studied (Jordanova et al., n.d.; Summers & Thorne, 2003). The 349 EMIC wave minimum resonance energy for cyclotron resonance is most often above  $\sim 2$ 350 MeV (Meredith et al., 2003; Summers & Thorne, 2003), so would be unable to account 351 for isotropization in all observed REPT energy channels. However, if other types of res-352 onances are considered, such as Landau or bounce, the energy range affected broadens 353 dramatically and may in fact play a dominant role in the isotropization rates of en-354 ergetic electrons. 355

More recent results from Fu et al. (2018) show that quasilinear Landau resonance 356 interactions are less likely to cause precipitation, but can pitch angle scatter near equa-357 torial electrons to lower pitch angles. This is especially striking due to its effectiveness 358 across a wide range of energies, from 10s of keV to 10 MeV. Another recent study shows 359 that nonlinear Landau trapping can effectively pitch angle scatter energetic electrons from 360  $89^{\circ}$  to  $80^{\circ}$  in a matter of seconds (Wang et al., 2016). They showed effective scattering 361 results from 10 keV to 5 MeV, but did not test the upper energy limit, so this scatter-362 ing may continue to even higher energies. 363

Chorus waves and hiss have also been shown to have non cyclotron resonant inter-364 actions that can affect a wide range of energetic electrons. Chorus waves may affect the 365 second adiabatic invariant, and scatter relativistic electrons near the equator (Shprits, 2009). Fu et al. (2020) shows that in addition, hiss can bounce and Landau resonate with 367 equatorial pitch angles. They claim that hiss may be an important mechanism in the evo-368 lution of pitch angle distributions. Ultimately, there may be a variety of waves that can 369 interact with relativistic and ultra relativistic electrons via Landau and bounce resonances. 370 Our results indicate that these types of interactions may dominate during and after ge-371 omagnetic storms. The connection between effective pitch angle scattering and landau 372 resonance would be an interesting future topic. 373

We can also draw the following conclusions from the superposed epoch analysis of 374 pitch angle index changes. For all storm drivers, pitch angle distributions are most anisotropic 375 within one day after Dst minimum. Subsequently, the pitch angle distributions isotropize 376 over time, but at different rates, depending on the storm driver. This result agrees with 377 and furthers the work of other studies, which qualitatively state that pitch angle distri-378 butions isotropize after storms (Lyons & Williams, 1975; Ni et al., 2015). This isotropiza-379 tion could mean that either electrons diffuse in pitch angle faster during CME-driven storms, 380 or there are continual injections at large pitch angles during CIR-driven storms that af-381 fect the overall distribution shape. 382

Two potential limitations of our study are due to butterfly pitch angle distributions 383 and pitch angle distribution differences due to the Van Allen Probes orbit traversing a 384 range of magnetic latitude. Butterfly distributions are poorly fit with a  $sin^n\theta$  function, 385 and are not easily labeled as 'anisotropic' or 'isotropic.' However, we found that, over-386 all, the number of butterfly PADs was relatively small. In the five days after *Dst* min-387 imum, butterfly PADs made up < 2% of the total number of fits in the 1.8-4.2 MeV elec-388 tron energy channels. They accounted for  $\sim 4\%$  of the fits in the 5.2 MeV channel, but 389 at 6.3 MeV they made up almost 25% of the fits. The analysis in this study focuses on 390 the 1.8-5.2 MeV electrons, thus the butterfly PADs do not significantly affect our results. 391

The magnetic latitude of the spacecraft can affect PAD, making them appear more anisotropic off the equator than equatorial measurements would show. Zhao et al. (2014) found that pitch angle distributions as little as 10 degrees off the equator could affect the distribution measurement. However, in our superposed epoch analysis, restricting |MLAT| to  $< 5^{\circ}$  did not alter the relaxation rates greater than the fit error. In addition, the results from the single storm analyses are qualitative and would not be affected
by small changes in the pitch angle index. Even if some of the pitch angle distributions
were slightly lower at the equator, the behavior analyzed (i.e. higher energies associated
with higher anisotropy) is unaffected by this shift.

#### 401 6 Summary

In this study, we analyzed evolution of pitch angle distributions of relativistic and 402 ultra relativistic electrons in geomagnetic storms with enhancements in the ultra rela-403 tivistic energy range. The study investigated the temporal evolution of pitch angle in-404 dices obtained from fitting PADs of electrons spanning energy ranges from 1.8 to 7.7 MeV 405 for individual storms with the functional form  $\sin^{n}(\theta)$ . The results of this study indicated 406 that within storms, electron pitch angle distributions vary nearly simultaneously across 407 energy channels, from relativistic to ultra relativistic energies. That is, an increase in 408 the pitch angle index at relativistic energies was reflected in the ultra relativistic ener-409 gies, both both decreased in pitch angle indices at the same time, although ultra rela-410 tivistic electrons always had more anisotropic PADs than relativistic electrons. 411

We also performed a superposed epoch analysis of electron pitch angle index and 412 compared electrons of the same energy across different storms. We found that electrons 413 exhibit pitch angle coherence over a wide range of energies, and that pitch angle distri-414 butions change in the same manner across energies. Pitch angles consistently became 415 more anisotropic in the day following *Dst* minimum of each storm. They then became 416 more isotropic in the following week, at rates that were different for CME- and CIR- driven 417 storms. The results of this study indicate a remarkable coherence, and emphasizes that 418 there is more work to be done in regards to understanding the energization of electrons 419 in the outer radiation belt. 420

We also investigated the temporal evolution of electron PADs for solar driver dependence, i.e., CME- and CIR- driven geomagnetic storms. Storms driven by CMEs have more anisotropic pitch angle distributions in the day following *Dst* minimum, and more rapidly isotropize to prestorm values after a storm than do CIR-driven storms. However the overall temporal behavior is the same between the storm drivers. This is true across relativistic and ultra relativistic electrons, suggesting that both energy regimes are accelerated in the same manner.

In summary, we found that pitch angle distributions are energy dependent, and that consecutively higher energies are consistently more anisotropic after storm onset. We also found that pitch angle indices generally peak within a day of  $Dst_{min}$  and isotropization back to prestorm values can be fit linearly. CME-driven storms are both more anisotropic and have faster rates of isotropization than do CIR-driven storms. These may be caused by wave-particle interactions or a combination of wave-particle interactions and inward radial diffusion, prominent during storm times.

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are publicly accessible at https://rbspgway.jhuapl.edu and https://rbsp-ect.lanl.gov/rbsp\_ect.php.

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