

Interbasin differences in ocean ventilation in response to variations in the Southern Annular Mode

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Abstract

The response of the ventilation of mode and intermediate waters to abrupt changes in the Southern Annular Mode (SAM) is examined by analyzing the ideal age in a global ocean-sea ice model. The age response is shown to differ between the central Pacific Ocean and other basins. In the central Pacific there are large decreases in the age of subtropical mode and intermediate waters associated with a more positive SAM, contrasting only small age changes in the Atlantic and Indian Oceans, except near where intermediate water density surfaces outcrop. These interbasin differences hold for simulations at different horizontal resolutions, and can be explained by the combination of zonal variations in wind stress changes associated with the SAM, and differences in the age response to an increase or shift in the wind stress. These results suggest that the carbon and heat uptake associated with the SAM will likely vary between ocean basins.

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28 age response is shown to differ between the central Pacific Ocean and other basins. In the central
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31 except near where intermediate water density surfaces outcrop. These interbasin differences hold
32 for simulations at different horizontal resolutions, and can be explained by the combination of
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36

37 **Plain Language Summary**

38

39 The transport of water from the surface into the interior ocean (ocean “ventilation”) is an important
40 process in controlling the amount of heat and carbon that is absorbed by the oceans, with impacts
41 on the global climate. We use an ocean – sea ice model to examine how the time scale for the
42 ventilation of the southern subtropical oceans varies with the leading mode of variability of
43 southern hemisphere climate, the so called Southern Annular Mode (SAM). It is shown that there
44 are significant differences between the Pacific Ocean and other basins. In the Pacific there are
45 large decreases in the ventilation time associated with a more positive SAM, but only small
46 changes in the Atlantic and Indian Oceans. This result suggest that the carbon and heat uptake
47 associated with the SAM will likely also vary between ocean basins. The results hold for
48 simulations at different horizontal resolutions, and can be explained by the combination of zonal
49 variations in wind stress changes associated with the SAM, and differences in the ventilation
50 response to an increase or shift in the wind stress.

51

52

53 **1 Introduction**

54 The Southern Annular Mode (SAM) is the dominant mode of circulation variability in
55 southern mid and high latitude atmosphere and oceans (e.g., Thompson and Wallace 2000,
56 Marshall et al. 2004), and there has been a positive trend in SAM over the last four decades (e.g.,
57 Thompson and Solomon 2002). Associated with this trend there has been an intensification and
58 poleward shift of the zonally averaged westerly winds during summer, which is linked to a range
59 of changes in the ocean circulation, including enhanced Ekman transport with increased upwelling
60 in mid-latitudes and downwelling at high latitudes (e.g., Hall and Visbeck 2002; Sen Gupta and
61 England 2006), and an increase, via Sverdrup balance, in the horizontal circulation and strength of
62 the subtropical gyres (e.g., Cai et al. 2006, Roemmich et al. 2007). These changes in ocean
63 circulation then have an impact on the ocean heat and carbon content, the Antarctic Circumpolar
64 Current, ventilation ages, and mode water properties (e.g., Boning et al 2008, Cai et al. 2010, Le
65 Quéré et al., 2007, Waugh et al. 2013, Ting and Holzer 2017, Gao et al. 2018).

66 Although changes in a wide range of ocean aspects have been linked to changes in SAM,
67 a quantitative relationship is generally lacking. Furthermore, much of the focus has been on
68 changes in zonally averaged winds and ocean circulation: While the SAM is predominantly zonally
69 symmetric there are zonal variations in the strength and meridional structure of the westerly winds
70 associated with SAM during winter (e.g., Codron 2007, Fogt et al. 2012) that could introduce zonal
71 asymmetries in the oceanic response. Zonal asymmetry arguably has a greater impact on the ocean
72 than in the atmosphere, owing to the nature of circulation within closed ocean basins north of 35°S,
73 and the strong bathymetric steering of flow in the ACC via conservation of potential vorticity.

74 Here we examine the zonally-varying impact of the SAM on the ventilation of Subantarctic
75 Mode Water (SAMW) and Antarctic Intermediate Water (AAIW). The ventilation of these waters
76 is an important control of the ocean uptake of heat and carbon as well as ocean nutrients (e.g.
77 Frolicher et al. 2015), and knowledge of the ventilation response to SAM will shed light on the
78 potential impact on these processes. The ventilation within the model is quantified using the ideal
79 age, which is not only a fundamental measure of the ventilation but also can be used as a proxy for
80 changes in ocean heat or carbon uptake (e.g. Russell et al. 2006).

81 Several previous modeling studies have examined the change in ideal age for an increase
82 and poleward shift in the wind stress, either in response to increased atmospheric CO₂ (e.g., Bryan
83 2006, Ganadesikan et al. 2007) or due to idealized wind perturbations (e.g., Farneti and Gent 2011,
84 Waugh 2014, Waugh et al. 2019). These studies have shown a general decrease in the ideal age
85 (i.e. more rapid ventilation) in SAMW/AAIW when there is an increase and poleward shift in the
86 wind. However, they have considered only the zonal-mean age response or zonally symmetric
87 wind perturbations. As discussed above the changes in the wind stresses accompanying a change
88 in SAM are not zonally uniform, but the impact of these zonal variations on the age response has
89 not been examined. This is the focus of this study.

90 We examine the age response in a suite of ocean-sea ice model simulations with an abrupt
91 change in the atmospheric forcing from a year with neutral SAM to a year with extreme anomalous
92 positive or negative SAM (Stewart et al 2020a). These abrupt change in forcing simulations enable
93 the response to be a particular anomalous SAM to be isolated. It is difficult to isolate the response
94 to a particular SAM event from simulations with interannually varying forcing, as the response
95 time for the ideal age to changes in atmospheric forcing is multiple decades and ocean changes

96 are due to the time-integrated (weighted) change in the atmospheric forcing (Waugh and Haine
97 2020) and hence to several different phases of SAM.

98 The model simulations analyzed and methods used are described in the next Section. Then,
99 before examining the perturbation experiments, we quantify in Section 3 the relationships between
100 SAM and wind stress over the southern oceans. The zonal-mean age response is examined in
101 Section 4, while regional variations (in particular, differences between oceans) are examined in
102 Section 5. Concluding remarks are in the final section.
103

104

105 **2. Model and Methods**

106 We examine a suite of simulations using the Australian Community Climate and Earth System
107 Simulator, ocean model version 2 (ACCESS-OM2) global ocean-sea ice model (Kiss et al. 2020)
108 where atmospheric forcing for different 12-month periods from the Japanese 55-year atmospheric
109 reanalysis data-set for driving ocean models (JRA55-do, Tsujino et al., 2018) are used repeatedly
110 to drive the model (Stewart et al 2020a). This suite includes simulations using 12-month periods
111 previously identified as globally climatically-neutral periods suitable for extended simulations
112 without interannual variability or substantial model drift (Stewart et al., 2020b), as well as 12-
113 month periods with extremely anomalous (positive and negative) Southern Annular Mode (SAM)
114 conditions (Stewart et al. 2020a). The latter are referred to as “SAM+ years” (for extreme positive
115 SAM periods) and “SAM- years”.

116 These simulations have been performed at three different resolutions (nominal horizontal
117 resolution of 1° , 0.25° and 0.1°). We focus here on the 1° version as three different reference year
118 simulations have been performed, and for each there are six perturbation simulations (three SAM+
119 and three SAM-). For the higher resolution simulations there is only a single reference case, and
120 four SAM perturbations (two SAM+ and two SAM-) (Stewart et al. 2020a).

121 The 1° version of ACCESS-OM2 has a horizontal grid spacing of (nominally) 1° latitude
122 and longitude, and 50 unevenly spaced vertical levels in a z^* generalized vertical coordinate. The
123 vertical grid spacing increases smoothly with depth from 2.3m at the surface to ~ 220 m at ~ 3000 m
124 (maximum depth of ~ 5400 m), designed to support the vertical structure of mode-1 baroclinic
125 processes (Stewart et al. 2017). See Kiss et al (2020) for additional model details and evaluation.

126 Reference year simulations with the 1° model version have been performed with 12-month
127 (May to April of the following year) periods from 1984/85, 1990/91 and 2003/04 (referred to as
128 RYF8485, RYF9091 and RYF0304). These simulations were initialized with January temperature
129 and salinity fields from the World Ocean Atlas 2013 (WOA13; Locarnini et al., 2013; Zweng et
130 al., 2013) and run for 600 years. For each of the three 600-year RYF simulations, eight 300-year
131 perturbations are branched off at the model year 300. Two of these perturbations correspond to the
132 other two RYF periods and six correspond to SAM periods: The SAM+ years are 1998/99, 2010/11
133 and 2015/16 (referred to as SAM+9899, SAM+1011, and SAM+1516, respectively), and the
134 SAM- years are 1991/92, 2003/04 and 2016/17 (referred to as SAM-9192, SAM-0304 and SAM-
135 1617, respectively). In these perturbation simulations the atmospheric forcing fields used to
136 calculate air-sea fluxes are abruptly changed from the RYF to the different forcing without
137 smoothing. This approach grants a total of 27 simulations; 3x 600-year RYF simulations, and 24x
138 300-year perturbation simulations.

139 The three RYF periods and six extreme SAM periods represent nine different forcing years
140 with a range of SAM conditions. The three RYF periods, while not identified based on the
141 neutrality of SAM alone, have near neutral SAM indices. This is evident in the latitudinal variation

142 of anomalies in (a) sea-level pressure and (b) zonal-mean winds, shown in **Figure 1**. The three
 143 reference years (green curves) generally have small anomalies in SLP and winds. The SAM+ years
 144 (red) have positive SLP anomalies at lower latitude and negative SLP anomalies at high latitudes,
 145 which correspond to positive wind anomalies south of 45°S. The SAM- years (blue) generally have
 146 the opposite SLP and wind anomalies to the positive years. The years RYF8485 and SAM-1617
 147 do not fit exactly in the above characterization, as the SAM anomaly for RYF8485 (SAM=-0.37)
 148 is more negative than SAM-1617 (SAM=-0.10).

149 All simulations include an ideal age tracer diagnostic (or “age” for short). This tracer is set
 150 to zero in the model surface level (which is 2.3m thick) at each time step, increases by 1 yr yr⁻¹
 151 within the ocean interior, and is advected and diffused as other tracers (e.g., temperature and
 152 salinity). In the limit of long times compared to the circulation, the ideal age equals the mean time
 153 since water last made surface contact (e.g., England 1995, Hall and Haine 2002). Waugh et al.
 154 (2019) examined the change in ideal age in wind stress perturbation simulations with an early
 155 (0.25°) version of MOM.

156 We focus on the age distribution for $\sigma_2 < 36.5 \text{ kg/m}^3$, which includes SAMW and AAIW,
 157 as the ventilation of these waters is particularly important for understanding the ocean uptake of
 158 heat and carbon. The majority of our analysis is on fields averaged over the last 10 years of the
 159 perturbation simulations (i.e. 290-299 years after the perturbation was applied), and the difference
 160 in these average fields from corresponding averaged in the RYF simulations.

161

162 **3. Relationship between SAM and wind stress**

163 The primary mechanism by which changes in SAM are thought to influence the ocean
 164 circulation and ventilation is through changes in the wind stress. Therefore, before examining the
 165 age in the perturbation experiments described above we quantify the relationship between SAM
 166 and zonal wind stress (τ_x). For this analysis we use the SAM index and wind stress for 1979/80 to
 167 2016/17 from an ACCESS-OM2 simulation driven by interannual JRA55-do atmospheric state
 168 from 1958 to 2017 (IAF; Kiss et al., 2020). This IAF simulation was continuously cycled through
 169 five iterations of this forcing dataset, and we analyze the model output from the last cycle. For
 170 both SAM and wind stress we examine the annual-mean from 1 May to 30 April the following
 171 year.

172 A linear regression analysis between τ_x and SAM shows that there is generally an increase
 173 in the τ_x poleward of 45°S (with peak increase around 55°S) and decrease equatorward of 45°S for
 174 positive SAM (**Figure 2**). As the climatological peak τ_x at each longitude is around 50-55°S (bold
 175 curve), the polar increase and mid-latitude decrease of τ_x corresponds to an increase and poleward
 176 shift of the peak zonal-mean τ_x with a more positive SAM. This poleward intensification is shown
 177 more clearly in **Figs. 3a,b** which show that both magnitude and latitude of the peak zonal-mean τ_x
 178 are highly correlated with SAM (correlation coefficient $r=0.9$ and $r=-0.7$, respectively. There are,
 179 however, substantial zonal variations in the latitude of the peak zonal wind stress, with peak values
 180 of τ_x over the Pacific poleward of those over the Atlantic and Indian oceans (thick curve in **Fig 2**),
 181 and the relationship between SAM and the magnitude and latitude of the peak τ_x varies with
 182 longitude.

183 The relationship between the SAM index and the magnitude and latitude of the peak τ_x
 184 averaged over the Pacific Ocean (150°E-70°W) and the combined Atlantic and Indian Oceans

185 (60°W-120°E) is quantified in **Figs 3c-f**¹. The SAM- τ_x relationships differ between the Pacific and
 186 Atlantic-Indian Oceans. The magnitude of the peak τ_x averaged over the Pacific is highly
 187 correlated with SAM ($r=0.9$), but there are insignificant correlations between the latitude of the
 188 peak τ_x and SAM ($r=0.1$). In contrast, the latitude and magnitude of the peak Atlantic-Indian τ_x
 189 are both correlated with SAM (but slightly weaker than the peak Pacific τ_x). These differences in
 190 SAM- τ_x relationships between the Pacific and Atlantic-Indian oceans are consistent with previous
 191 studies of the zonal asymmetries in SAM (e.g. Codron 2007, Fogt et al. 2012).

192

193 4. Zonal-Mean Age Response

194 The above differences in the SAM – wind stress relationship between basins suggests that the
 195 ocean response to SAM could vary between basins. However, before examining the age response
 196 in different basins we first examine the zonal-mean age response, principally to allow comparison
 197 with previous studies examining the zonal-mean age response to changes in wind stress.

198 **Figure 4** shows that there are substantial changes in the zonal-mean age response ($\Delta\bar{a}$) for
 199 the SAM+ and SAM- perturbations from the RYF9091 simulations, with maximum age changes
 200 of 30-50%. There is a wide variation in the spatial pattern and sign of $\Delta\bar{a}$: For some perturbations
 201 the sign of $\Delta\bar{a}$ is the same throughout nearly all of the mode and intermediate waters (35.5 kg/m^3
 202 $< \sigma_2 < 36.5$) (e.g., **Fig 4a,b, f**), while for other perturbations there are both regions of increase and
 203 decrease in $\Delta\bar{a}$ within these waters (e.g., **Fig 4d,e**).

204

205 Much of the variation among the perturbations in $\Delta\bar{a}$ within SAMW and AAIW can be
 206 explained by differences in the SAM anomaly (ΔSAM ; see value listed at the bottom of each panel
 207 in **Fig 4**). There is a decrease in age throughout most of the mode-intermediate waters for
 208 perturbations with $\Delta\text{SAM}>0$ and an increase in age when $\Delta\text{SAM}<0$. However, the SAM anomaly
 209 is not the sole determinant, as there are differences between the response for perturbations with
 210 the same or similar SAM (e.g. 9899 and 1011). Also, for all perturbations $\Delta\bar{a} < 0$ at the location
 211 35°S , 500 m depth ($\sigma_2 = 35.5 \text{ kg/m}^3$). In this region $\Delta\bar{a}$ is insensitive to ΔSAM .

212 To quantify the change in age associated with SAM we perform a linear regression analysis
 213 using $\Delta\bar{a}$ from the perturbation simulations branching off a given RYF simulation and
 214 corresponding changes in ΔSAM . That is, at a given latitude (φ) and depth (z), we solve for α_{SAM} ,

215

$$215 \quad \Delta\bar{a}_k(\varphi, z) = \alpha_{\text{SAM}}(\varphi, z)\Delta\text{SAM}_k + c, \quad (1)$$

216

217 where ΔSAM_k is the change in SAM index for the k th perturbation, $\Delta\bar{a}_k$ the age response for
 218 corresponding perturbation, c is a constant, and α_{SAM} is the sensitivity of \bar{a} to a unit change in the
 219 SAM index. This regression is performed over the perturbations from all three RYF simulations
 220 (i.e. over 24 perturbation simulations). As shown in **Fig. 5a**, there is a decrease in \bar{a} with increasing
 221 SAM (negative α_{SAM}) throughout AAIW and in lower parts of SAMW. In the upper part of SAMW
 222 and lighter waters α_{SAM} is small and there is not a significant correlation between SAM and \bar{a} . The
 223 largest percentage difference occurs in AAIW around $50\text{-}55^\circ\text{S}$, where $\alpha_{\text{SAM}} \sim -50\%$ per unit SAM.

¹ Analysis of interannual correlations of latitude and magnitude of the peak winds averaged over different oceans shows a high correlation between the Atlantic and Indian Oceans, but only weak interannual correlations between Pacific-average wind stress and wind stress over Atlantic or Indian Oceans.

224 As shown above there is a high correlation between both the change in magnitude of the
 225 peak zonal wind stress (τ_{\max}) and the change in latitude of this peak (τ_{lat}) with the change in SAM.
 226 The decrease in mode and intermediate water age with SAM is therefore consistent with previous
 227 studies showing a decrease in age for increased and poleward shifted winds (e.g., Waugh et al.
 228 2019). To isolate the role of an increase or shift in the wind stress governing changes in the zonal-
 229 mean age we apply a multiple linear regression analysis similar to the above SAM analysis, i.e. at
 230 each latitude and depth, we solve for α_{increase} and α_{shift} in the set of equations

$$231 \Delta \bar{a}_k(\varphi, z) = \alpha_{\text{increase}}(\varphi, z) \Delta \tau_{\max, k} - \alpha_{\text{shift}}(\varphi, z) \Delta \tau_{\text{lat}, k} + c \quad (2)$$

232 where $\Delta \tau_{\max, k}$ and $\Delta \tau_{\text{lat}, k}$ are changes in magnitude and location of peak wind stress for the k th
 233 perturbation, and the quantities α_{increase} and α_{shift} correspond to the sensitivity of the age to an
 234 increase and a shift in the peak wind stress, respectively. There is a negative sign before the second
 235 term so that a positive α_{shift} indicates the sensitivity to a poleward shift in the peak wind stresses.
 236 There is a decrease in age throughout mode and intermediate waters ($35.5 \text{ kg/m}^3 < \sigma_2 < 36.5$) for
 237 an increase in the wind stress (**Figure 5b**). On the other hand, age decreases only poleward of 45°S
 238 for a poleward shift in the peak wind stress, and there is (statistically insignificant) increase of age
 239 equatorward of 45°S (**Figure 5c**).

240 The range of τ_{\max} across the perturbations is around 0.05 N/m^2 while the range of τ_{lat} is
 241 around 3° (see **Fig 3**). Combining these ranges with maximum magnitude of α_{increase} and α_{shift} (15%
 242 per 0.01 N/m^2 and 25% per degree, respectively) indicates that the peak changes of $\Delta \bar{a}$ associated
 243 with an increase or shift of wind stresses are of similar magnitude. Thus, both the increase and
 244 shift of the peak wind stress needs to be considered. Although, as the maximum contributions from
 245 an increase or shift in winds occur in different locations, the relative importance of an increase or
 246 shift is expected to vary zonally.

247 The spatial patterns of α_{increase} , and α_{shift} in **Fig 5** are similar to those from the abrupt wind-
 248 stress perturbations shown in Waugh et al. (2019). This similarity also applies to the magnitude of
 249 the response. **Figure 6(a,b)** shows the results of the multiple linear regression analysis of
 250 RYF9091 perturbations 50 years after the perturbation (to be consistent with the length of the
 251 simulations in Waugh et al. (2019)). These results are compared (in **Fig 6c,d**) with Δa from the
 252 Waugh et al. (2019) simulations re-expressed as sensitivities α_{increase} and α_{shift} . Note that the
 253 smaller magnitude of α_{increase} for 50 years, rather than 300 years after the perturbation, is consistent
 254 with decadal-to-century age response time shown in Waugh and Haine (2020). Figure 6 shows
 255 good agreement between the estimated and directly simulated (Waugh et al. 2019) sensitivities,
 256 including the spatial structure and magnitude of the sensitivities. Note, although the region of
 257 positive α_{shift} in Fig 5c is not statistically significant, the direct simulations also shows positive
 258 α_{shift} at lower latitudes. The good agreement in **Fig 6** is perhaps surprising given the many
 259 differences between the two sets of simulations: The sensitivities in Waugh et al. (2019) come
 260 from direct perturbations and not a regression analysis, and the Waugh et al. (2019) simulations
 261 have zonally symmetric wind perturbations, with no seasonality, and no changes in other
 262 atmospheric properties (e.g., buoyancy fluxes). The overall agreement supports the conclusion that
 263 changes in zonal-mean ideal age within southern mode and intermediate waters in response to
 264 abrupt change in SAM are consistent with response to changes in magnitude and latitude of the
 265 peak zonal-mean wind stress.

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267
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269 5. Zonal Variations in Age Response

270 The above analysis has shown that the zonal-mean ideal age response is consistent with
 271 expected changes from the change in zonal-mean wind stress between simulations, however, the
 272 analysis in Section 3 shows large differences in the SAM-wind stress relationship between the
 273 Pacific and Atlantic-Indian oceans. Thus, differences in the age response between ocean basins
 274 may be expected.

275 Substantial zonal variation in the age response at fixed depth are indeed found (see **Figure**
 276 **7**). This variation is not limited to the magnitude of Δa ; for many perturbations the sign of Δa
 277 changes with longitude. In some simulations the sign of subtropical (30-45°S) central Pacific Δa
 278 differs from the subtropical Δa in the Indian and/or Atlantic Oceans (e.g., **Fig 7a,e,f**). In other
 279 cases, the sign of the central Pacific Δa differs from that in the Tasman Sea and the eastern
 280 boundary of the Pacific Ocean (e.g., **Fig 7c,e**). Furthermore, there is an age decrease in the Tasman
 281 Sea for all perturbation simulations (i.e. changes independent of the sign the change in SAM).

282 To quantify these zonal variations, we examined the relationships among 30-45°S Δa
 283 averaged over the Central Pacific (170-100°W), Indian (30-120°E), Atlantic (60°W-20°E), Eastern
 284 Pacific Oceans (80-70°W), or the Tasman Sea (155-165°E). For Δa at 900 m, there is only a very
 285 weak relationship between the Central Pacific Δa and either the Indian or Atlantic Oceans Δa
 286 (correlation coefficient, r , equal 0.2 and 0.4 respectively). Further, there is also only a very weak
 287 relationship between the Central Pacific Δa and that over the Eastern Pacific or Tasman Sea ($r =$
 288 0.4, 0.2, respectively). In contrast, there is a high correlation between the Indian and Atlantic
 289 Ocean Δa ($r=0.96$), between Indian Ocean and Tasman Sea Δa ($r=0.65$), and between the Atlantic
 290 Ocean and Eastern Pacific Δa ($r=0.91$).

291 Waugh et al. (2019) showed that much of the zonal-mean age response within SAMW and
 292 AAIW can be explained by a change in subtropical gyre transport induced by the change in wind
 293 stress curl, consistent with Sverdrup balance. This relationship also applies to the simulations
 294 considered here, only now we assess more carefully the inter-basin differences. The changes in
 295 barotropic stream function (BSF) calculated from Sverdrup balance for the perturbation from
 296 RYF9091 are shown in **Figure 8** and are consistent with many of the features in maps of 900m Δa
 297 shown in **Fig 7**. For example, the sign of Δa within the central Pacific is the same as that of the
 298 Δ BSF, consistent with an increase in BSF corresponding to a weaker barotropic circulation (the
 299 BSF is negative within the southern subtropical gyres) which leads to slower advection of water
 300 and older ages. Also, there are generally differences in the pattern (and sign) of Δ BSF in the Pacific
 301 from the Atlantic and Indian Oceans, consistent with the differences in Δa between oceans. There
 302 are also perturbations where the sign of Δ BSF in the central Pacific differs from the eastern Pacific
 303 or Tasman Sea.

304 The differences in Δa between basins are not limited to 900m, but occur throughout mode
 305 and intermediate waters. As illustrated in **Fig 9** for a SAM+ and SAM- perturbation, there are large
 306 changes in the Central Pacific age in mid-latitude (30-45°S) SAMW and AAIW with SAM, with
 307 age increasing (decreasing) by around 30-40% for a decrease (increase) in SAM. In contrast, for
 308 age averaged over the Atlantic-Indian Oceans there are only small changes in mid-latitudes that
 309 are often of opposite sign from the Central Pacific, and the largest change in the Atlantic-Indian
 310 Oceans occur further south in near-surface AAIW.

311 **Figure 9** indicates that the age-SAM relationship is very different between the Central
 312 Pacific and Atlantic-Indian average age. To quantify this, we perform the age-SAM linear
 313 regression analysis (equation 1) for the basin-average rather than zonal-mean a . As shown in **Fig**

314 **10a,d**, there is negative α_{SAM} (a decrease in age for increase in SAM) for both the Central Pacific
 315 and Atlantic-Indian oceans, but the magnitude and spatial structure differs. There are large positive
 316 values of Central Pacific α_{SAM} in subtropical SAMW and AAIW ($30-50^{\circ}\text{S}$, $35.5 < \sigma_2 < 36.5 \text{ kg/m}^3$)
 317 with, and smaller, generally insignificant, values elsewhere. In contrast, α_{SAM} is small
 318 (insignificant) for Atlantic-Indian age in this region, and large α_{SAM} are limited to more southern
 319 AAIW ($\sim 55^{\circ}\text{S}$, $\sigma_2 \sim 36.5 \text{ kg/m}^3$) near the surface (and the decrease in age is smaller, with peak
 320 around 30-40% per unit of SAM).

321 The above differences in age response to SAM between basins are consistent with the
 322 combination of (i) inter-basins differences in wind stress changes associated with SAM and (ii)
 323 the different age response to an increase or shift in peak wind stress. Over the Pacific the magnitude
 324 of τ is positively correlated with SAM but there is no correlation between the location of peak τ
 325 and SAM (Section 3). We therefore expect the age change associated with SAM in the Pacific
 326 Ocean to mirror the response to an increase in wind stress, which is a decrease in age in subtropical
 327 SAMW and AAIW waters (Section 4). This decrease is indeed what **Fig 10a** shows. For the
 328 Atlantic-Indian Oceans there is both an increase and poleward shift in wind stress associated with
 329 positive SAM (Fig 3), and both impact the age. North of 45°S (within 500-1000 m) the age
 330 decreases with an increase of the peak wind stress (**Fig 5**) but age increases with a poleward shift,
 331 so these wind stress changes cancel each other and little change in a with SAM is expected
 332 (consistent with **Fig 10b**). South of 45°S a poleward shift in peak wind stress cause the opposite
 333 sign change in age. Thus, both an increase and shift associated with SAM cause a decrease in age,
 334 again consistent with **Fig 10b**.

335

336 **6. Resolution Dependence**

337 A possible concern with the results presented above is the low horizontal resolution (1°) of
 338 the model, which raises the question as to whether the impact of mesoscale eddies is accurately
 339 captured. As described in Section 2, some simulations at 0.25° and 0.1° horizontal resolution have
 340 also been performed, and we now use output from these simulations to assess the impact of
 341 horizontal resolution on the age response and our key conclusions.

342 For the higher resolution simulations there is only a single (RYF9091) reference case, and
 343 the perturbation simulations were branched from year 150 (0.25° resolution) and year 50 (0.1°
 344 resolution), rather than year 300 in the 1° resolution (Stewart et al 2020a). Furthermore, only four
 345 perturbation simulations were performed, and the 0.1° perturbations were only run for 20 years.
 346 Thus, the full analysis described above cannot be repeated for the higher resolution runs. However,
 347 the response for individual perturbations can be compared. As there is a decadal to centennial
 348 response time for age to wind stress perturbations (Waugh and Haine 2020), it is important to
 349 compare simulations are at same stage of the transient response. Thus, we compare simulations 20
 350 years (the length of the 0.1° simulations) after the perturbation was applied. This comparison is
 351 shown in **Figure 11** which shows the ideal age response, averaged over the Central Pacific or
 352 Atlantic-Indian oceans, for the SAM-9192 and SAM+9899 perturbations from RYF9091, for 1.0° ,
 353 0.25° and 0.1° resolution simulations. The 1° simulations in **Figure 11** are the same as in **Figure**
 354 **9**, but for an earlier response time (20 instead of 300 yrs).

355 The broad scale patterns and magnitude of the response shown in **Figure 11** are the same
 356 for all resolutions. In particular, for all resolutions there is a much larger response in the Central
 357 Pacific (maximum around 20-30%) than in the Atlantic-Indian Oceans (generally around or less
 358 than 5%).

359 There are some differences in detail between the different resolutions, with the most
360 noticeable being a shallower response in the Central Pacific at the higher resolution. The cause of
361 this difference is unclear. It is most likely related to a more realistic, along-isopycnal eddy
362 transport at higher resolution (Stewart et al 2020a). Further research is required to determine the
363 cause of the shallower response at the higher resolution, but this difference does not impact our
364 primary conclusion that the age-SAM relationship differs between the Central Pacific and Atlantic-
365 Indian Oceans.

366 The limited sensitivity of the age response to resolution shown in Figure 11 is consistent
367 with the agreement in the simulated age response to an increase in the zonal-mean winds from 1°
368 coupled climate model (Waugh 2014) and 0.25° ocean-sea ice model (Waugh et al 2019)
369 simulations. Furthermore, it is also consistent with the Waugh et al (2019) result that the change
370 in age can be explained by the change in wind stress curl and linear theory, i.e. age changes due a
371 combination of vertical movement of isopycnals (via Ekman pumping) and changes in horizontal
372 circulation (via Sverdrup balance).

373

374 7. Conclusions

375 Analysis of a suite of abrupt forcing simulations with the ACCESS-OM2 ocean-sea ice
376 model indicates that the response of the ventilation of southern mode and intermediate waters
377 (SAMW/AAIW) to changes in SAM differs between the central Pacific Ocean and other basins.
378 In the Central Pacific there are large decreases in the ideal age in subtropical SAMW/AAIW
379 associated with a more positive SAM, and smaller, generally insignificant, changes elsewhere. In
380 contrast, in the Atlantic-Indian Oceans the age decreases associated with an increase in SAM are
381 small except in more polar, near-surface AAIW. The conclusion that the age response to SAM is
382 much larger in the Central Pacific than in other ocean basins is not sensitive to the horizontal
383 resolution of the simulations, and the holds for simulations at 1° , 0.25° and 0.1° .

384 These inter-basin differences in age response are due to the combination of zonal variations
385 in the changes in wind stress associated with an increase in SAM, together with the different age
386 response to an increase or shift in the peak wind stress. Over the Pacific Ocean the magnitude of
387 the peak wind stress is highly correlated with SAM, but there are insignificant correlations between
388 the latitude of the peak wind stress and SAM. The decrease of age in SAMW and AAIW within
389 the Central Pacific is consistent with this increase in wind stress (with limited shift) associated
390 with SAM. In contrast, both the latitude and magnitude of the peak Atlantic-Indian wind stress are
391 correlated with SAM, and large changes in age are limited to south of 45°S as the increase and
392 poleward shift of the wind stress cause opposing age changes north of 45°N .

393 The atmospheric forcing used for the different SAM+ or SAM- perturbations include
394 differences not only in the wind stress but also in buoyancy fluxes, and changes in buoyancy fluxes
395 could contribute to the differences in age. It is not possible from these simulations to isolate the
396 role of wind versus buoyancy forcing, but the ability of the wind stress changes associated with
397 SAM (and linear theory) to explain the SAMW/AAIW age changes indicates that changes in
398 buoyancy fluxes play only a minor role in the age-SAM relationship. This minor role may not
399 carry over to all aspects of the ocean response, i.e. changes in mixed layer depth and subduction
400 rates are likely more sensitive to SAM-related changes in buoyancy fluxes (Downes et al. 2017,
401 Sallee et al. 2010).

402 One question that follows the above modeling results is whether there is observational
403 evidence for regional variations in ocean ventilation associated with SAM. A direct comparison of
404 the simulations examined here and observations is not possible given that we have analyzed the

405 long-term (steady) response in idealized (unrealistic) abrupt forcing simulations, whereas in reality
406 there are interannual variations in atmospheric forcing and the age responds to the time-integrated
407 changes in wind stress over previous decades (Waugh and Haine 2020). However, the simulations
408 may provide guidance for the age response to the positive trend in SAM over the last four decades.
409 Analysis of repeat measurements of transient tracers in the southern oceans by Waugh et al. (2013)
410 and Ting and Holzer (2017) show a decrease in SAMW age from the early 1990s to mid 2000s
411 within all three ocean basins. This would appear to contradict the model result which indicates
412 there should a substantial decrease of age in subtropical SAMW within the Central Pacific, but
413 much smaller age changes in corresponding water within the Atlantic/Indian Oceans. However,
414 the observational estimates in the Indian and Atlantic Oceans is based on a single section in each
415 ocean (as opposed to 3 in the Pacific) and the estimated changes in age are noisy (less coherent)
416 than in the Pacific Ocean. Furthermore, analysis of a different (zonal) section in the southern Indian
417 Ocean by Álvarez et al (2011) and Tanhua et al. (2013) indicate no long-term change in the age.
418 Thus, it is possible that changes in SAMW age have been much larger across the central Pacific
419 Ocean than averaged over the Indian Ocean. Further analysis required to test this, including
420 analysis of more recent repeat transient tracer measurements.

421 Another area worth pursuing is the possible connection between inter-basin differences in
422 the age response to SAM and the oceanic uptake of carbon and heat. For example, Keppler and
423 Landschützer (2019) have shown regional differences in the carbon uptake associated with SAM,
424 and whether this can be explained by the inter-basin differences in wind stress and age
425 relationships with SAM needs to be explored.

426 **Acknowledgments**

427 The ACCESS-OM2 model output is in the process of being uploaded to the COSIMA Model
428 Output Collection; when this process is complete the data will be available from doi:
429 10.4225/41/5a2dc8543105a. This work was supported by computational resources provided by
430 the Australian Government through the National Computational Infrastructure, Canberra.

431

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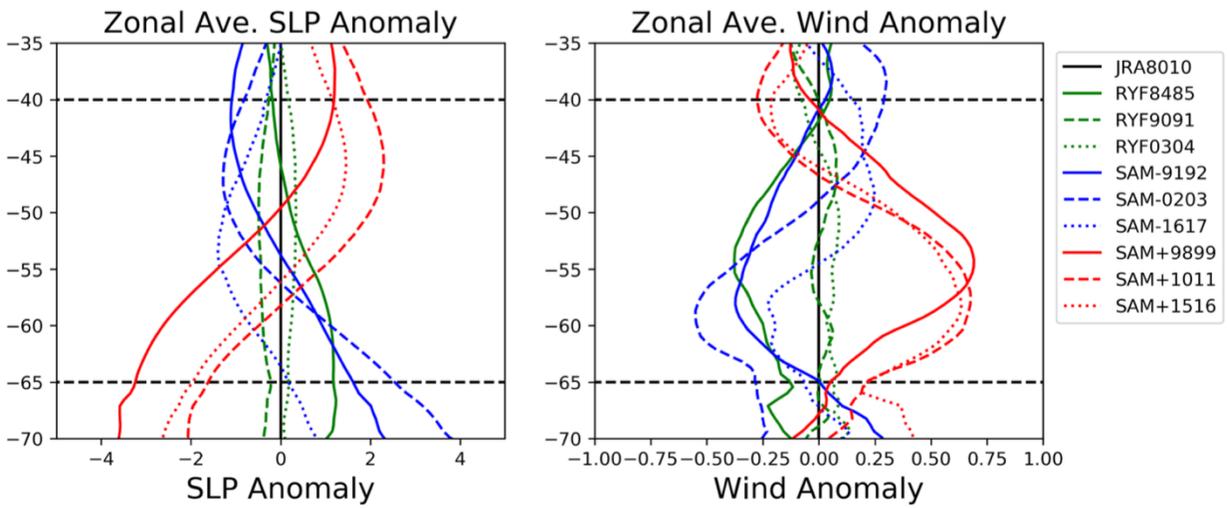
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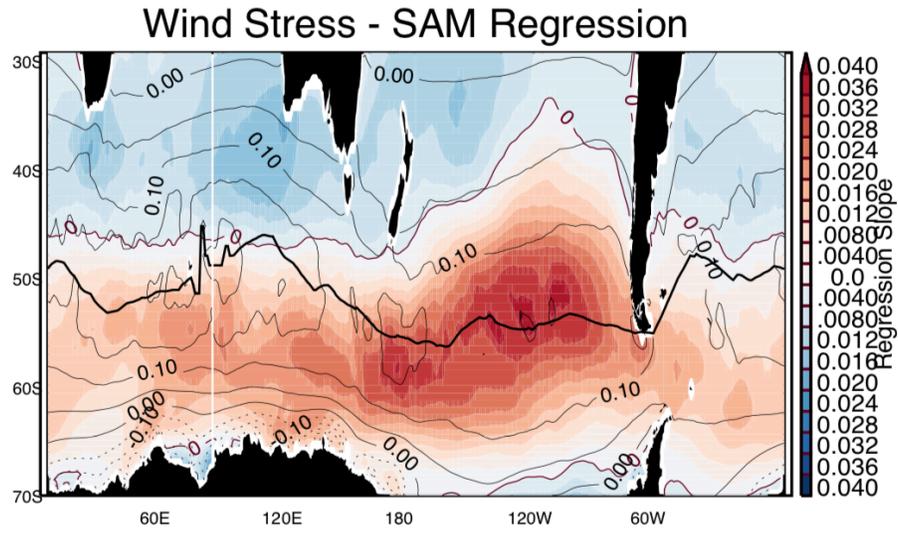
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532 Figure 1: Latitudinal variation of anomalies in (a) sea-level pressure and (b) zonal-mean wind for
533 different years. Green curves correspond to reference years, red to positive SAM, and blue to
534 negative SAM.
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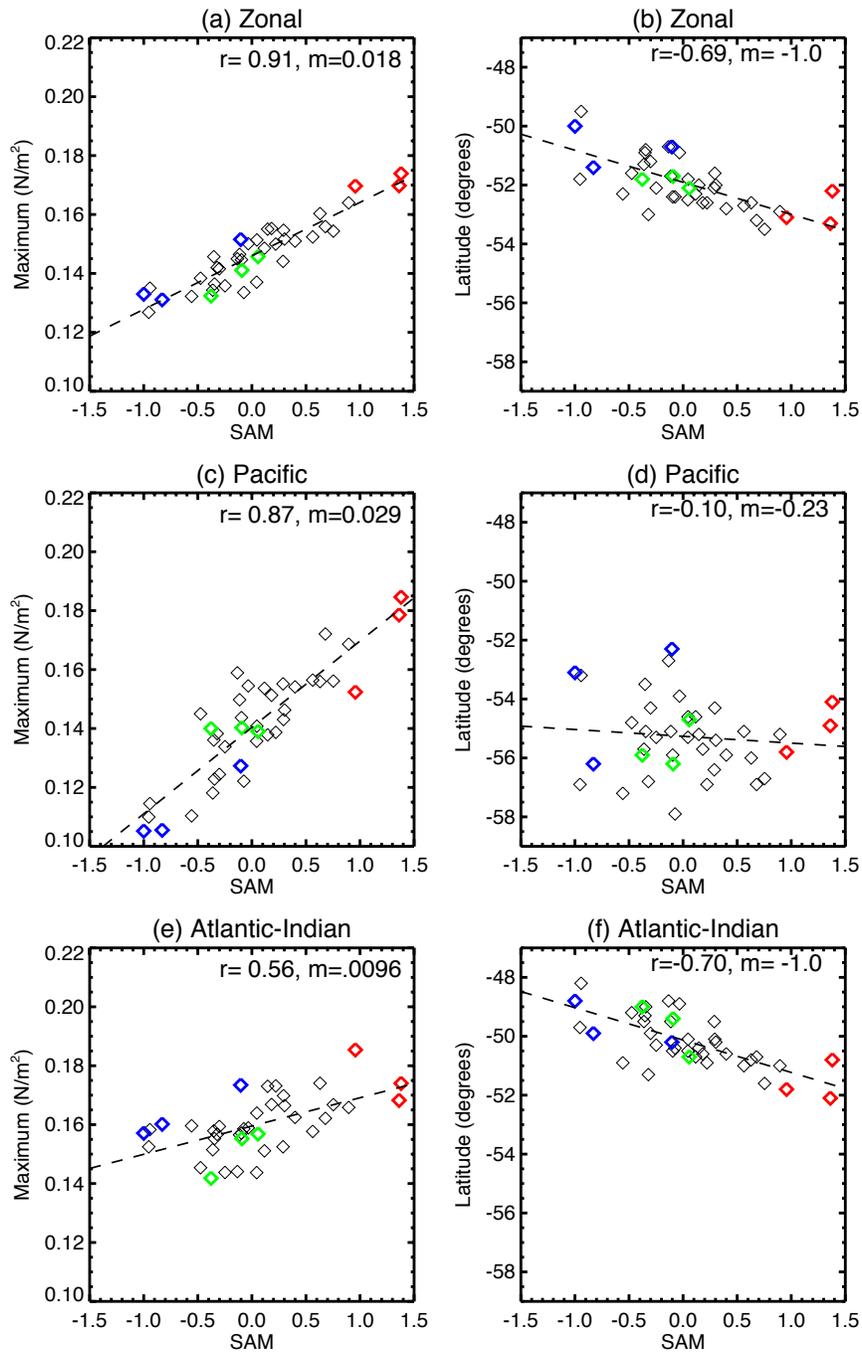
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Figure 2: Map of linear regression coefficient between zonal wind stress and SAM index ($\text{N/m}^2/\text{unit SAM}$). Contours show climatological wind stress, and the thick curve is the location of peak wind stress.

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547 Figure 3 Scatter plots showing relationships between magnitude of peak wind stress and latitude

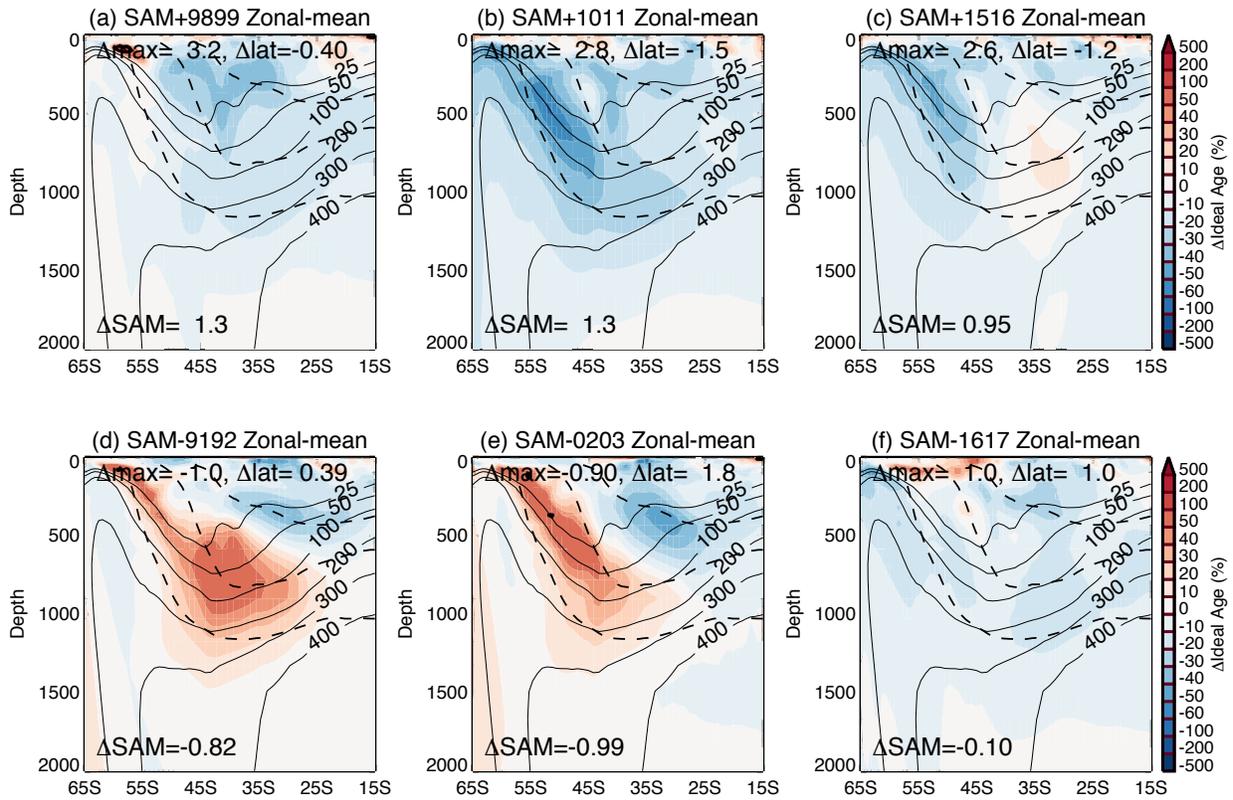
548 of peak wind stress with the SAM index for (a-b) zonal-mean, (c-d) Pacific-mean and (e-f)

549 Atlantic-Indian mean. Colored symbols for the RYF, SAM+ and SAM- years, as in Figure 1.

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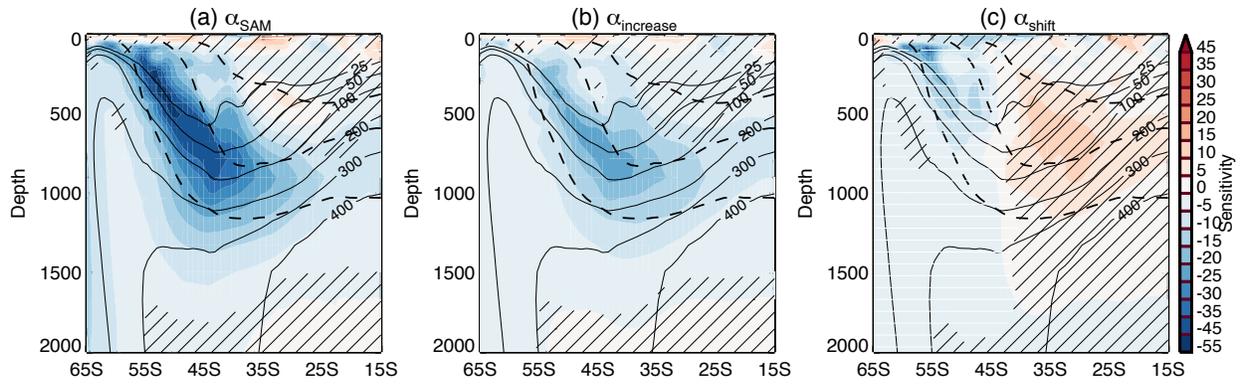
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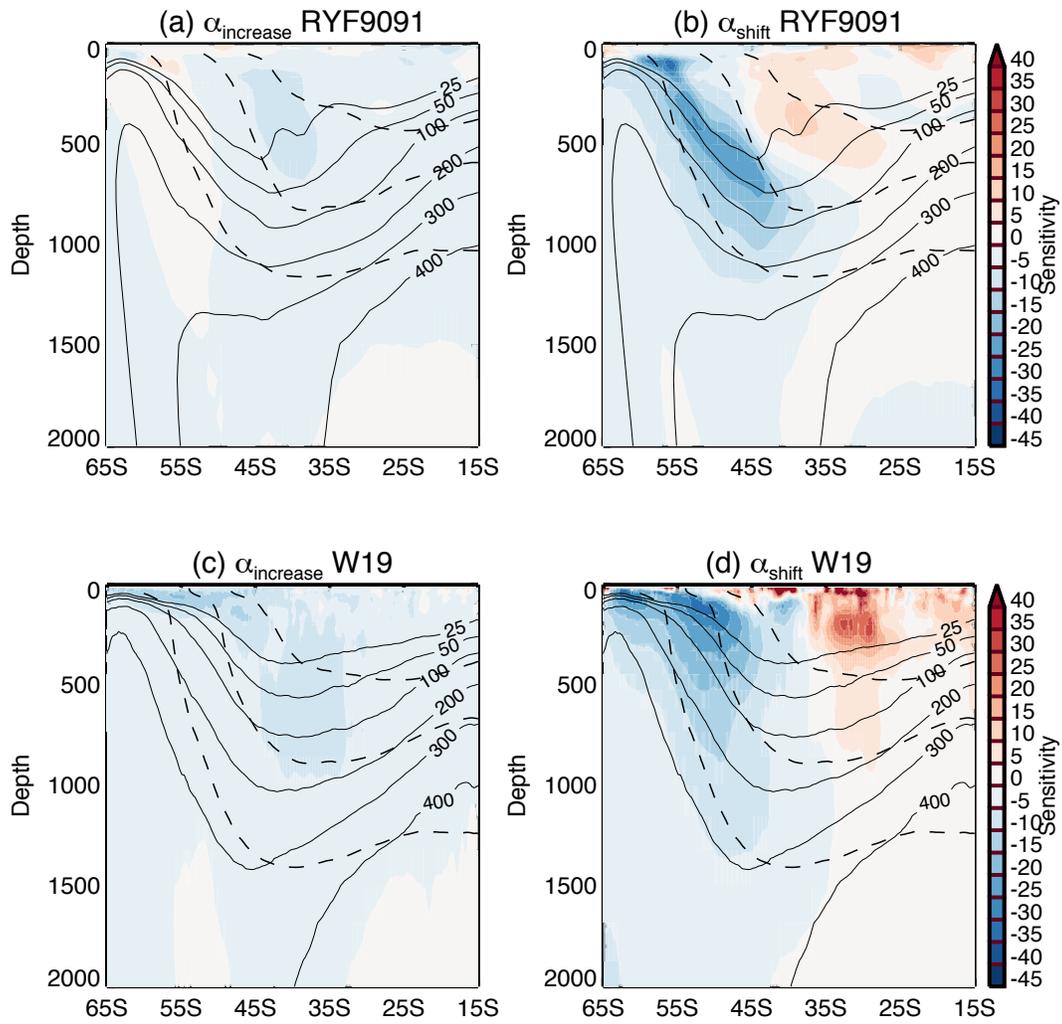
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Figure 4 Depth-latitude variation of the percentage change in zonal-mean ideal age for (a-c) SAM+ and (d-f) SAM- perturbations from RYF9091. Solid contours show RYF9091 ideal age, and dashed contours show isopycnal surfaces. ΔSAM is the difference in SAM index between perturbation and RYF simulation, Δ_{\max} the difference in maximum wind stress multiplied by 100, and Δ_{lat} the difference in latitude of the maximum wind stress.



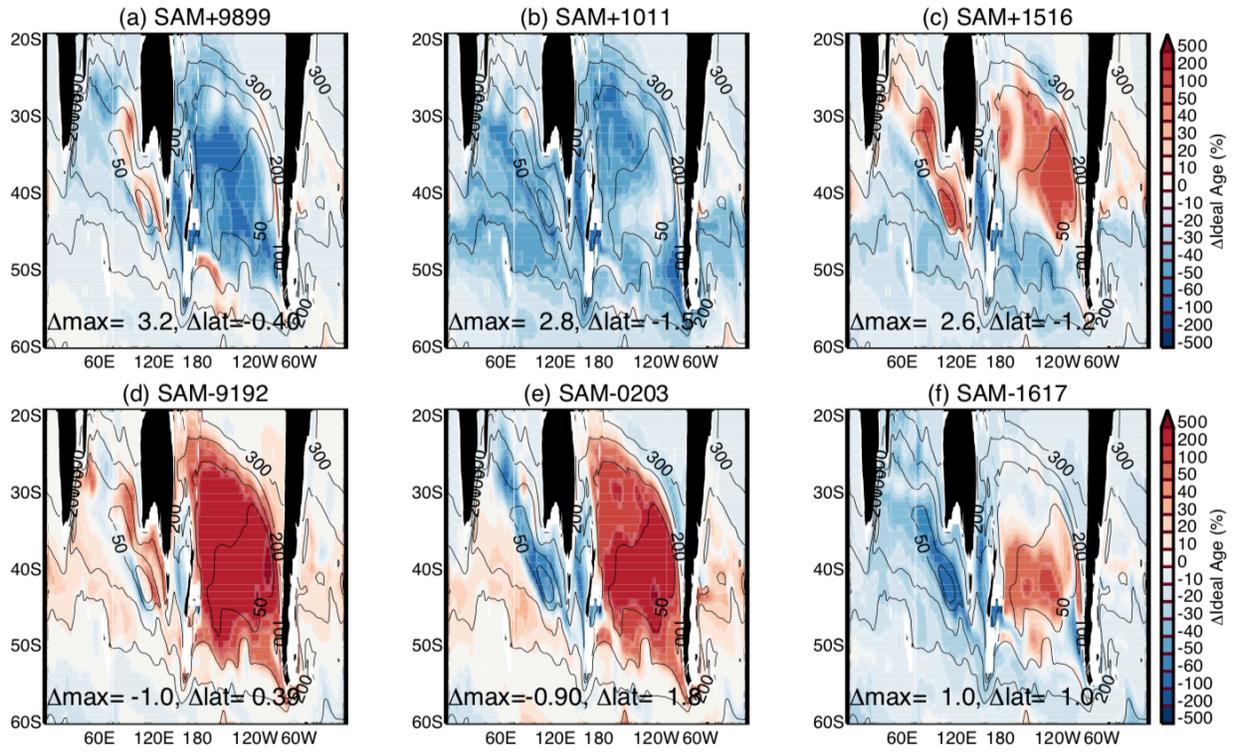
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Figure 5: Depth-latitude variation of the sensitivity of the zonal-mean age to (a) an increase in SAM (α_{SAM}), (b) an increase in peak wind stress ($\alpha_{increase}$), and (c) a poleward movement of the peak wind stress (α_{shift}). Units are year/unit SAM, year/ $0.1N/m^2$, and year/ 1° for α_{SAM} , $\alpha_{increase}$, α_{shift} respectively. Contour lines as in Figure 4. Hatching indicates regions where the correlations are not significant at the 95% confidence level, using standard t-test.



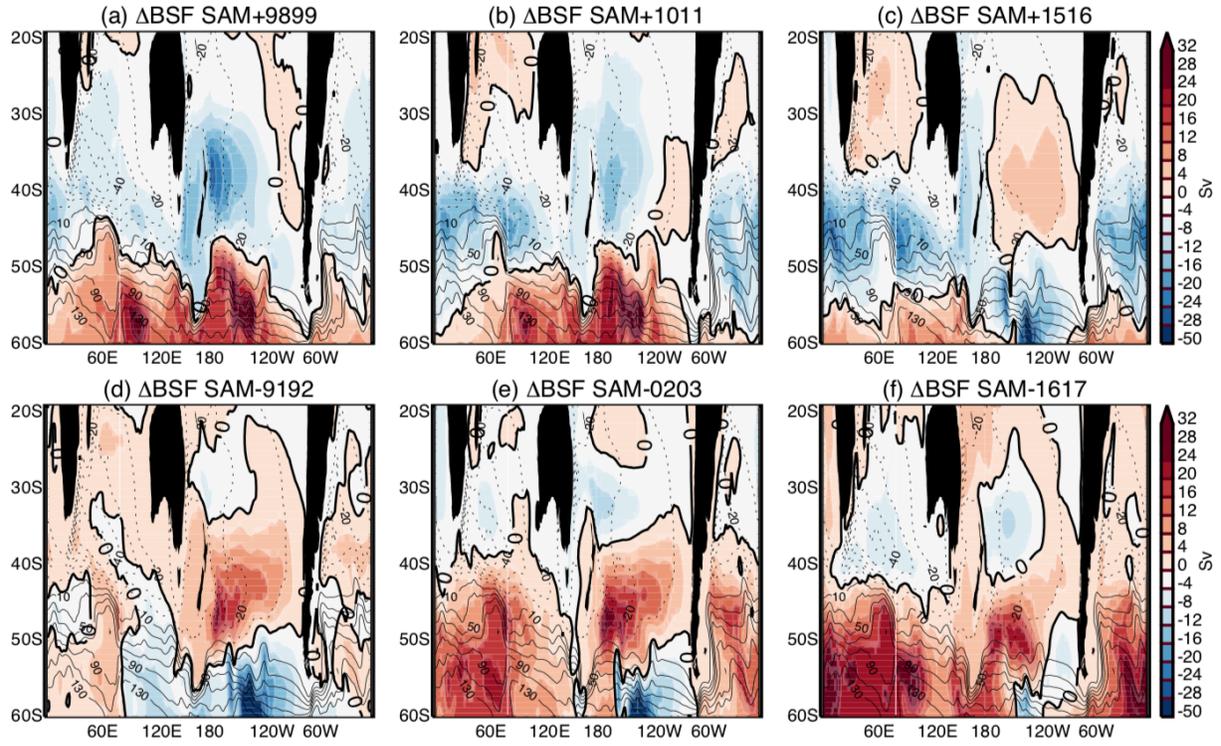
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572 Figure 6 As in Fig 5b, c except for (a,b) RYF9091 experiments at yr 50-59, and (b) Waugh et al.
573 (2019) wind stress perturbations.
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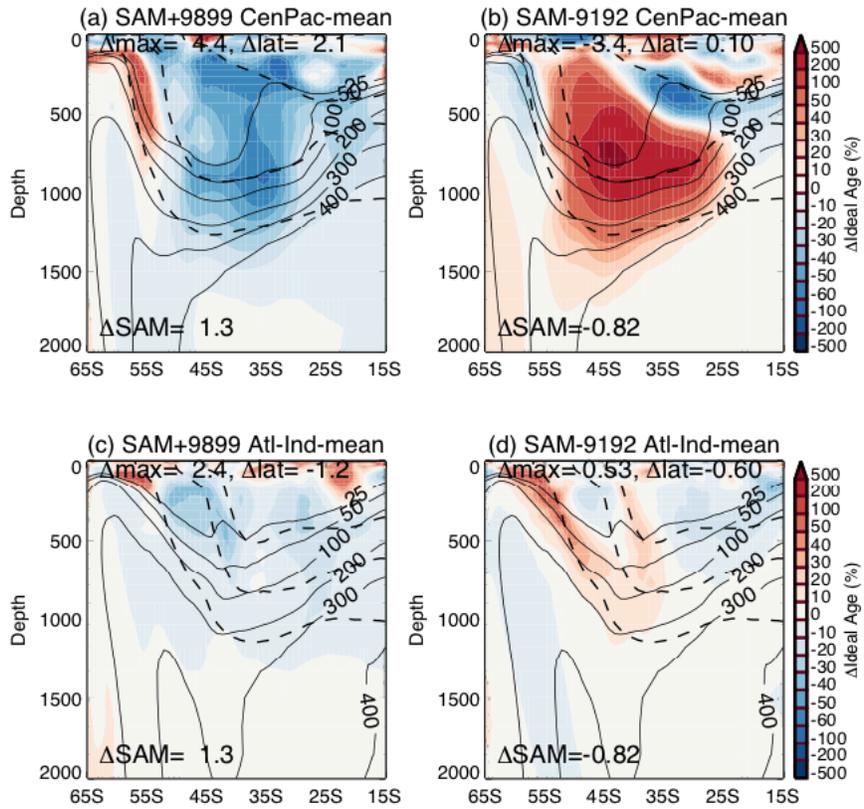
Figure 7: Maps of percentage change in age at 900 m for (a-c) SAM+ and (d-f) SAM- perturbations from RYF9091. Contours show climatological age in RYF9091. Δ_{max} and Δ_{lat} are as defined in Figure 4.



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Figure 8: Maps of change in barotropic stream function (BSF) for (a-c) SAM+ and (d-f) SAM- perturbations from RYF9091. Contours show climatological BSF in RYF9091.

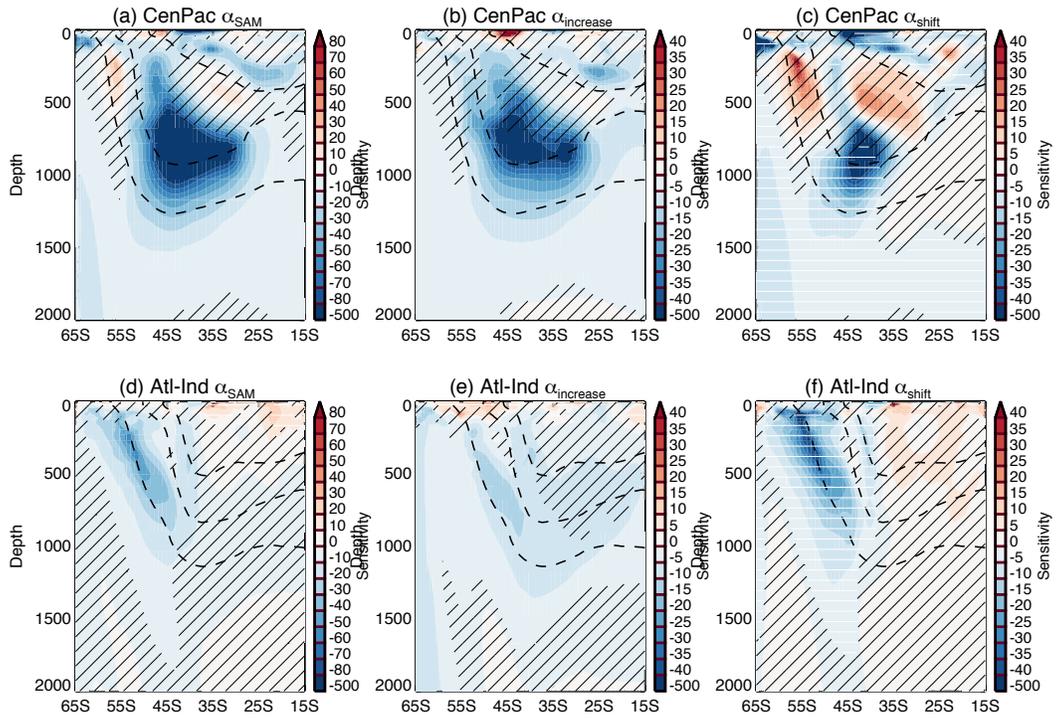
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Figure 9: As in Figure 4 except for the age averaged over the (a-b) Central Pacific and (c-d) Atlantic-Indian oceans, and for SAM+9899 and SAM-9192 perturbations from RYF9091.

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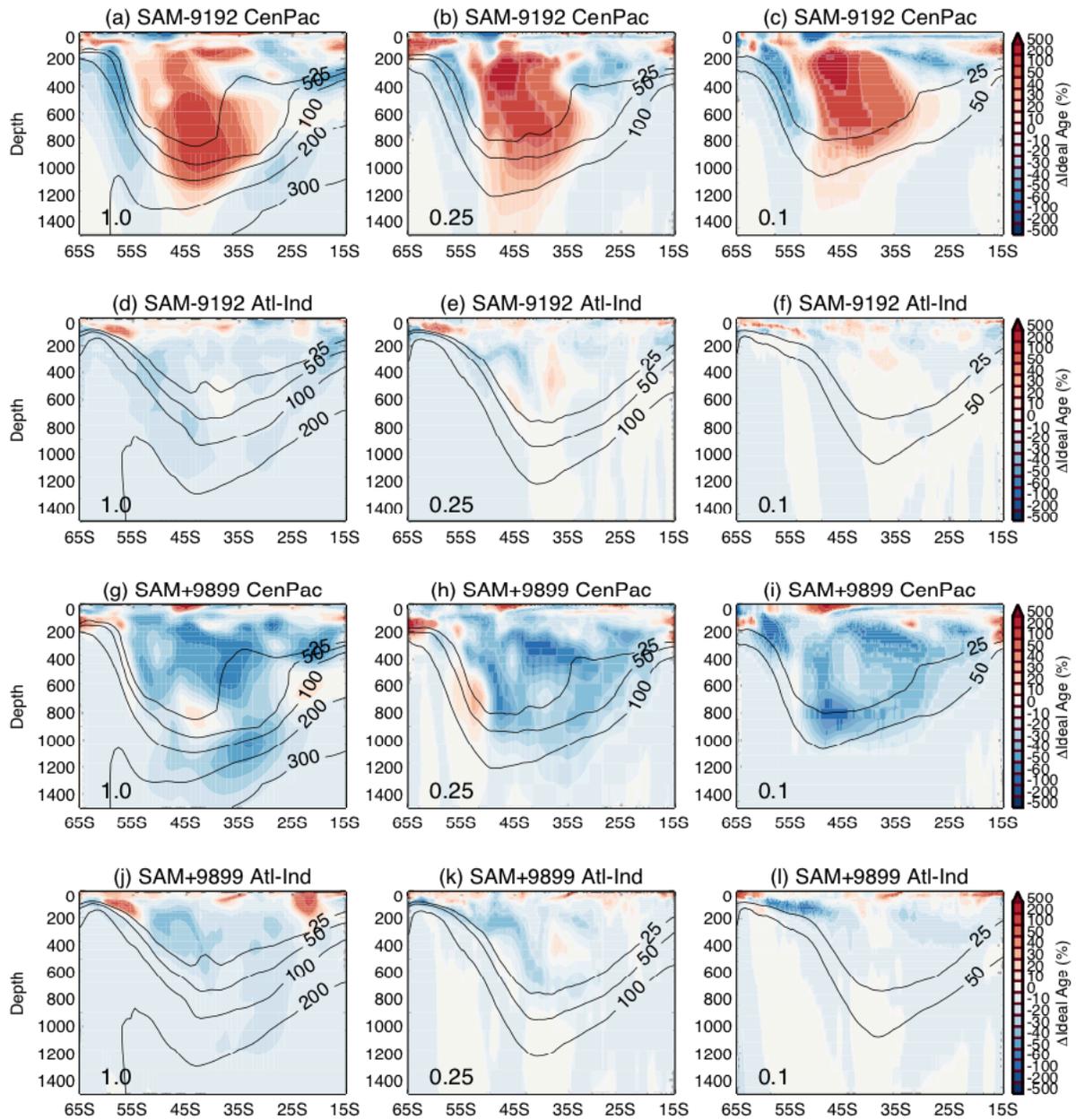
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Figure 10: As in Figure 5a except for the age averaged over the (a) Central Pacific and (b) Atlantic-Indian oceans.



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602 Figure 11 As in Figure 9 except showing the percentage change after 20 years for (left
 603 column) 1.0°, (middle) 0.25° and (right) 0.1° resolution simulations. Panels (a)-(f) show SAM-
 604 9192 and (g)-(l) SAM+9899 perturbations from RYF9091.