# Adjoint Slip Inversion under a Constrained Optimization Framework: Revisiting the 2006 Guerrero Slow Slip Event

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#### Abstract

Understanding the fault behavior through geodetic data has an important impact in our assessment of the seismic hazard. To shed light on the aseismic evolution of a fault, we developed a new slip inversion strategy, the ELADIN (ELastostatic ADjoint INversion) method, that uses the adjoint elastostatic equations to efficiently compute the gradient of the cost function. ELADIN is a 2-steps inversion algorithm to better handle the slip constraints. In the first step, it finds the slip that better explain the data without any constraints and the second step refines the solution imposing the slip constraints through a Gradient Projection Method. In order to get a physical plausible slip distribution and to overcome the poor fault illumination due to scarce data, ELADIN reduces the solution space by means of a von Karman autocorrelation function that controls the wavenumber content of the solution. To estimate the resolution, we propose a mobile checkerboard analysis which allows to measure a lower bound resolution over the fault for an expected slip patch size and an specific stations deployment. We test ELADIN with synthetic examples and use it to invert the 2006 Guerrero Slow Slip Event (SSE). The later is one of the most studied Mexican SSE that unfortunately was recorded with only 15 stations, so a strong regularization is required. We compared our slip solution with two published slip models and found that our solution preserves the general characteristics observed by the other models such as an updip penetration of the SSE in the Guerrero seismic Gap. Despite this similarity, our resolution analysis indicates that this updip aseismic slip penetration might not be a reliable feature of the 2006 SSE.

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# Abstract

Understanding the fault behavior through geodetic data has an important impact in our assessment of the seismic hazard. To 11 shed light on the aseismic evolution of a fault, we developed a new slip inversion strategy, the ELADIN (ELastostatic ADjoint 12 INversion) method, that uses the adjoint elastostatic equations to efficiently compute the gradient of the cost function. ELADIN 13 is a 2-steps inversion algorithm to better handle the slip constraints. In the first step, it finds the slip that better explain the 14 data without any constraints and the second step refines the solution imposing the slip constraints through a Gradient Projection 15 Method. In order to get a physical plausible slip distribution and to overcome the poor fault ilumination due to scarce data, 16 ELADIN reduces the solution space by means of a von Karman autocorrelation function that controls the wavenumber content 17 of the solution. To estimate the resolution, we propose a mobile checkerboard analysis which allows to measure a lower bound 18 resolution over the fault for an expected slip patch size and an specific stations deployment. We test ELADIN with synthetic 19 examples and use it to invert the 2006 Guerrero Slow Slip Event (SSE). The later is one of the most studied mexican SSE that 20 unfortunately was recorded with only 15 stations, so a strong regularization is required. We compared our slip solution with 21 two published slip models and found that our solution preserves the general characteristics observed by the other models such 22 as an updip penetration of the SSE in the Guerrero seismic Gap. Despite this similarity, our resolution analysis indicates that 23 this updip aseismic slip penetration might not be a reliable feature of the 2006 SSE. 24

# **Introduction**

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- <sup>26</sup> An elegant and powerful mean to solve geophysical inverse problems is the adjoint method (*AM*). Given an objective function,
- <sup>27</sup> C, measuring the difference between data and a model prediction (i.e. a forward problem), to determine the model parameters
- that minimize  $\mathbb{C}$ , the AM allows computing efficiently the derivative of  $\mathbb{C}$  with respect to the parameters by combining the

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forward problem and the solution of an adjoint equation (i.e. of an adjoint problem) (Fichtner et al., 2006; Tromp et al., 2005; Tarantola, 1984; Gauthier et al., 1986). Thus, the inverse problem can be solved by using any optimization method that exploits that derivative to find the minimum of  $\mathbb{C}$ . The most important advantage of the *AM* is its efficiency to compute the derivative of  $\mathbb{C}$  that, in many 3D geophysical inverse problems, is simply unaffordable. The *AM* has been successfully used to solve full-waveform inverse problems in seismology, either to determine the elastic properties of the earth (Tromp et al., 2005; Askan et al., 2007; Fichtner et al., 2010; Krischer et al., 2018) or the kinematic history of earthquake sources (Sánchez-Reyes et al., 2018; Somala et al., 2018). However, to our knowledge no adjoint formulation has been proposed to invert geodetic data yet.

The slow secular displacement observed in the Earth's crust may be often explained in terms of the aseismic slip occurring at the contact of tectonic plates. Depending on whether the interplate slip rate is larger than the relative plate motion, the 37 plate interface experiences either a coupling regime (i.e. creeping or full locking) (Simpson et al., 1988) or a slow slip event (SSE) (Dragert et al., 2001). In the first case, the associated deformation could be explained through the backslip formulation 39 (Savage, 1983). In the second, a dislocation may predict the displacement field. In the real Earth, the surface displacement 40 is the summation of all contributions from the interface points experiencing either a coupling regime or a SSE. In the case of 41 intra- or inter-plate active faults where aseismic slip or an earthquake may take place, the same reasoning is valid although an 42 earthquake will produce an instantaneous dislocation followed by a postseismic slow slip relaxation (Ozawa et al., 2011). In the 43 present work, to determine the plate interface aseismic slip history in these terms from continuous GPS (or any other geodetic) 44 measurements, we introduce and solve a constrained optimization problem based on the adjoint elastostatic equations with 45 Tikhonov regularization terms (Calvetti et al., 2000; Asnaashari et al., 2013) and a von Karman autocorrelation function (Mai 46 and Beroza, 2002; Amey et al., 2018). The new method, called ELADIN (ELastostatic ADjoint INversion), simultaneously 47 determines the distribution of the interplate coupling and slow slip from surface displacements. 48

In all previous cases, where the crustal strain field corresponds to a quasi-static seismotectonic process, the surface displace-49 ment is linearly related to the fault slip. However, determining the slip over an extended buried fault from such displacement 50 remains an ill-posted problem. Underdetermination of the model parameters (i.e. of the slip distribution) arises from the sparse 51 sampling of the displacement field and the rapidly decreasing sensitivity of displacement to slip with distance to the fault (Noc-52 quet, 2018). One rigorous framework to overcome this problem and to determine the uncertainty of such an inverse problem 53 solution are the Bayesian approaches. The incorporation of prior information through probability density functions (pdf) allows 54 determining the posterior model covariance and pdfs, as well as imposing model restrictions by means of truncated prior pdfs 55 (Tarantola and Valette, 1982; Nocquet, 2018; Minson et al., 2013; Yabuki and Matsu'Ura, 1992; Amey et al., 2018; Nocquet 56 et al., 2014; Nishimura et al., 2004). Although Bayesian approaches are widely used and powerful, one important limitation 57 that most have is the large computational load required to determine stochastically the posterior pdfs and thus the uncertainty 58 of the model parameters. 59

An alternative to solve the elastostatic inverse problem is by introducing model regularizations and physically consistent restric-60 tions. To prevent unrealistic oscillatory slip distributions the most common regularization approach is to smooth the solution by 61 applying a Laplacian operator (i.e., penalizing the second derivative of the slip) (McCaffrey et al., 2007; Wallace and Beavan, 62 2010; Radiguet et al., 2011). Usually the hyperparameter that controls the strength of the smoothing is chosen subjectively by 63 finding a satisfactory weight between the data fit and the smoothing of the slip distribution. One common strategy to determine 64 the hyperparameter is through an L-curve analysis that looks for an optimal hyperparameter value that keeps the data fitted 65 with the strongest possible regularization (Radiguet et al., 2011). From an statistically approach, the hyperparameter can be 66 determined using objective methods such as Akaikes Bayesian Information criterion (ABIC) (Yabuki and Matsu'Ura, 1992; 67 Miyazaki et al., 2006) or fully Bayesian techniques (Fukuda and Johnson, 2008). Although the Laplacian operator reduces unphysical and rough slip solutions (and thus unreliable large stress drops), this is not the most convenient mathematical strat-69 egy to preserve the real nature of the slip when regularizing the problem, where the self-similarity of the fault slip observed in 70 earthquakes should be resolved as proposed by Amey et al. (2018). 71

When designing ELADIN, our goal was introducing a regularization approach that preserves the nature of faulting (i.e. the slip self-similarity) and, at the same time, that allows a spectral control of the problem solution that guaranties a given resolution criterion. To this purpose we introduce a von Karman autocorrelation function that reduces the solution space to a domain where the wavenumber content of all possible solutions satisfies a minimum characteristic length previously determined through robust resolution tests. We illustrate the capabilities of the method by inverting GPS data for the 2006 Guerrero SSE, which has been widely investigated in the literature, and describe several benefits that our solution has as compared with some previous models.

Systematic inversion of real GPS data along the Mexican subduction zone applying the ELADIN method is presented in an
 associated work (Cruz-Atienza et al., 2020) where we analyzed the aseismic slip history between 2017 and 2019.

# **The ELADIN Method**

In this section, we first introduce the forward model that allow us to compute the synthetic displacements produced by a slip over the fault. Then, we formulate the inverse problem in a constrained optimization framework, reducing the solution space to control its spectral content with a von Karman correlation function. We also include a Tikhonov term to penalize regions where slip is not expected to ocurr and slip magnitude constraints. Finally, we present a 2-step algorithm that first solves the inverse problem without slip constraints using the adjoint equations for the gradient computation. Then we project the resulting solution into the feasible solution space to initiate the second step by following the Gradient Projection method to optimize the solution by respecting the desired slip constraints.

# 89 Forward model

The representation theorem for the elastostatic equations models the displacement,  $\underline{u}(\underline{x})$ , due to a slip,  $\underline{d}(\underline{\xi})$ , produced at a fault,  $\Sigma$ , as

$$u_j(\underline{x}) = \int_{\Sigma} T_k(S_{ij}(\underline{\xi}, \underline{x}), \underline{\hat{n}}(\underline{\xi})) d_k(\underline{\xi}) d\Sigma, \qquad i, j, k \in \{x, y, z\},$$
(1)

where  $T_i(\cdot, \cdot)$  is the *i*-component of the traction on the fault computed through the Somigliana tensor,  $S_{ij}(\underline{\xi}, \underline{x})$ , and the fault normal vector  $\underline{\hat{n}}(\underline{\xi})$ . If the traction and the slip are proyected along the plate convergence, *c*-, and the complementary perpendicular, *p*-direction, eq. (1) can be written in matrix form as

$$\begin{bmatrix} u_{1}(\underline{x}) \\ u_{2}(\underline{x}) \\ u_{3}(\underline{x}) \end{bmatrix} = \int_{\Sigma} \begin{bmatrix} T_{p}(S_{i1}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) & T_{c}(S_{i1}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) \\ T_{p}(S_{i2}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) & T_{c}(S_{i2}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) \\ T_{p}(S_{i3}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) & T_{c}(S_{i3}(\underline{\xi},\underline{x}),\underline{\hat{n}}(\underline{\xi})) \end{bmatrix} \begin{bmatrix} d_{p}(\underline{\xi}) \\ d_{c}(\underline{\xi}) \end{bmatrix} d\Sigma, \quad i \in \{x,y,z\}$$

$$\underline{u}(\underline{x}) = \int_{\Sigma} \underline{T}(\underline{\xi};\underline{x})\underline{d}(\underline{\xi})d\Sigma. \tag{2}$$

## $_{95}$ Then, the fault is descretized in M subfaults such that the integral can be approximated as

$$\underline{u}(\underline{x}) \simeq \sum_{i=1}^{M \text{ subfaults}} A^{i} \underline{T}(\underline{\xi}^{i}; \underline{x}) \underline{d}(\underline{\xi}^{i}),$$
(3)

where  $A^i$  is the *i*-subfault area. Finaly, if we want to compute the displacement for N receivers, we can order the displacements

<sup>97</sup> in a single vector such that the entire computation is reduced to a simple matrix-vector product as

$$\begin{bmatrix} \underline{u}(\underline{x}^{1}) \\ \underline{u}(\underline{x}^{2}) \\ \vdots \\ \underline{u}(\underline{x}^{N}) \end{bmatrix} = \begin{bmatrix} A^{1}\underline{T}(\underline{\xi}^{1};\underline{x}^{1}) & A^{2}\underline{T}(\underline{\xi}^{2};\underline{x}^{1}) & \cdots & A^{M}\underline{T}(\underline{\xi}^{M};\underline{x}^{1}) \\ A^{1}\underline{T}(\underline{\xi}^{1};\underline{x}^{2}) & A^{2}\underline{T}(\underline{\xi}^{2};\underline{x}^{2}) & \cdots & A^{M}\underline{T}(\underline{\xi}^{M};\underline{x}^{2}) \\ \vdots & \vdots & \ddots & \vdots \\ A^{1}\underline{T}(\underline{\xi}^{1};\underline{x}^{N}) & A^{2}\underline{T}(\underline{\xi}^{2};\underline{x}^{N}) & \cdots & A^{M}\underline{T}(\underline{\xi}^{M};\underline{x}^{N}) \end{bmatrix} \begin{bmatrix} \underline{d}(\underline{\xi}^{1}) \\ \underline{d}(\underline{\xi}^{2}) \\ \vdots \\ \underline{d}(\underline{\xi}^{M}) \end{bmatrix},$$

$$\underline{U} = \underline{T}\underline{D}, \qquad (4)$$

where  $\underline{U} \in \mathbb{R}^{3N}$ ,  $\underline{\mathcal{I}} \in \mathbb{R}^{3N \cdot 2M}$  and  $\underline{D} \in \mathbb{R}^{2M}$ .

# **Inverse problem**

The inverse problem consists in recover the slip at each subfault of a known interface that produces displacements observed at geodetic stations. Due to the linearity of the forward model, eq. (4), we construct a quadratic cost function to formulate a convex inverse problem as

$$\mathbb{C}(\underline{D}) = \frac{1}{2} \left[ \underline{U} - \underline{U}_o \right]^T \left[ \underline{U} - \underline{U}_o \right], \quad \text{s.t.} \quad \underline{U} = \underline{\mathcal{I}}\underline{D}, \tag{5}$$

where  $\underline{U}_o \in \mathbb{R}^{3N}$  are the displacements observed at the *N* geodetic stations stored in a single ordered vector, as we did with  $\underline{U}$ in eq. (4). Unfortunately, for real data due to its sparse coverage and its noise content, the inverse problem (5) is ill-conditioned. In order to solve this issue, regularization and realistic physical constraints will be introduced.

#### 106 Regularization: von Karman correlation function

Most of the time, the regularization is done with two elements a model precision matrix and/or with Tikhonov terms. The model precision matrix is the inverse of the model covariance matrix which for our case controls how sensitive are each subfaults slip to its neighbours slip. Radiguet et al. (2011) propose a subfault correlation that follows a decreasing exponential function according to a defined correlation length. The problem encountered is that the precision matrix for different correlation lengths does not have a different effect due to the fast decay of this correlation. For different type of correlation functions, the model covariance matrix starts to become ill conditioned when the subfaults length becomes smaller than the correlation length.

The use of a Tikhonov term in the cost function is to penalize the roughness of the solution. Generally, the penalization is done to the first or second derivatives of the solution. However, when we penalize the derivatives usually the norm of the solution is also reduced. Besides, these two alternatives involve hyperparameters that need to be optimally computed since they control de trade off between the misfit of the data and the size of the regularization solution.

These inconveniences lead us to propose to reduce the solution space whose wavenumber content, minimum slip patches size, can be controlled. The main idea is to apply a filter operator,  $\underline{F}$ , to the slip  $\underline{D}$ . Then the inverse problem (2) can be formulated as

$$\mathbb{C}(\underline{D}) = \frac{1}{2} \left[ \underline{U} - \underline{U}_o \right]^T \underline{C}_d^{-1} \left[ \underline{U} - \underline{U}_o \right], \quad \text{s.t.} \quad \underline{U} = \underline{\mathcal{T}}\underline{F}, \underline{D}$$
(6)

where  $\underline{\underline{C}}_{d}$  is the data covariance matrix to weight the data according to its quality or importance.

Recently, Amey et al. (2018) showed that a von Karman regularization for slip inversions is a good strategy to introduce the slip self-similar properties that can not be achieved with a common Laplace reguarization. The spatial von Karman correlation function is

$$vk(r) = \frac{r^H K_H(r)}{(1e^{-10})^H K_H(1e^{-10})},$$
(7)

where *H* is the Hurst exponent,  $K_H(\cdot)$  is the modified Bessel function of second kind of order *H*, *r* is the correlation length that can be computed as

$$r = \sqrt{\frac{s^2}{a_s^2} + \frac{d^2}{a_d^2}},$$
(8)

where (s, d) are the coordinates along strike- and dip-directions on the fault and  $(a_s, a_d)$  are the correlation lenghts along strike- and dip-directions, respectively. This correlation function can be used to construct a linear operator K, which convolved with the slip D can control its wavenumber content along strike and dip component. This convolution can be formulated as a matrix-vector product where the matrix operator,  $\underline{E}$ , applies the convolution of the linear operator K to the slip,  $\underline{D}$ , as it is in eq. (6).

#### 131 Slip constraints

The regularization guarantee that an optimal slip can be found, however this solution may not have physical sense. Slip constraints need to be imposed according to physical hypothesis and available information. Such that, the inverse problem (6) can be reformulated as

$$\mathbb{C}(\underline{D}) = \frac{1}{2} \left[ \underline{U} - \underline{U}_o \right]^T \underline{\underline{C}}_d^{-1} \left[ \underline{U} - \underline{U}_o \right] + \frac{\beta}{2} \left[ \underline{\underline{W}}(\underline{\underline{E}}\underline{D} - \underline{D}_p) \right]^T \left[ \underline{\underline{W}}(\underline{\underline{E}}\underline{D} - \underline{D}_p) \right], \tag{9}$$

$$\underline{U} = \underline{\mathcal{T}}\underline{F}\underline{D},\tag{10}$$

$$D_i^{j,l} \le (\underline{F}\underline{D})_i \le D_i^{j,u}, \qquad i \in \{p,c\} \land j \in \{SSE, Coupling\} \text{ regime},$$
 (11)

where  $\beta$  is a hyperparameter,  $\underline{W}$  is a diagonal model weight matrix that penalizes the slip per subfaults,  $\underline{D}_p$  is an *a priori* slip 135 solution and  $(D_i^{j,l}, D_i^{j,u})$  are the lower and upper limits of the *i*-component of the slip in the *j*-regime. The slip is in the SSE 136 regime if its c-component is contrary to the convergence direction and it is in the coupling regime otherwise. If we have an 137 a priori slip solution,  $\underline{D}_p$ , we can force our solution to be as close as possible to it, only allowing changes when the match 138 with the observations is improved. In that case, the weight matrix should be the identity matrix,  $\underline{W} = \underline{I}$ . On the other hand, 139 when we lack off a previous solution, we impose  $\underline{D}_p = 0$  and to get the minimum norm solution we make again  $\underline{W} = \underline{I}$ . In 140 this study, we are not interested in getting the minimum norm solution, so we set  $\underline{W} = 0$  everywhere except for the subfaults 141 where we believe there must be free slip (i.e. no coupling or SSE regime). The bigger the value is assigned, the bigger subfault 142 penalization to slip. The hyperparameter  $\beta$  controls the trade off between the fit of the data and the slip constraints imposed in 143 the cost function. However, since it is used with the penalization term to prevent slip, its value should only guarantee that we do 144 not observe slip in those penalized regions. However, if an *a priori* slip solution,  $(\underline{D}_p \neq 0, \underline{W} = \underline{I})$  is used or a minimal norm 145 solution  $(\underline{D}_p \neq 0, \underline{W} = \underline{I})$ , its value must be computed following an optimal strategy as an L-curve analysis (e.g. Radiguet 146 et al. (2011)) or the ABIC criterion (e.g. Miyazaki et al. (2006)). 147

#### 148 Gradient computation: Adjoint method

To solve the inequality-constrained inverse problem (6), first we consider how to compute the gradient of the cost function without considering the inequality constraints, eq. (11). In the framework of constrained inverse problems, the Lagrangian can be computed as

$$\mathcal{L}(\underline{D}, \underline{U}, \underline{\lambda}) = \mathbb{C}(\underline{D}) + \underline{\lambda}^T \left[ \underline{U} - \underline{\mathcal{T}}\underline{F}\underline{D} \right],$$
(12)

where  $\underline{\lambda}$  are the Lagrange multipliers. The Lagrangian total derivative with respect to the slip,  $\underline{D}$ , is

$$D_D \mathcal{L} = \nabla_D \mathcal{L} + \nabla_U \mathcal{L} \cdot \nabla_D \underline{U} + \nabla_\lambda \mathcal{L} \cdot \nabla_D \underline{\lambda}, \tag{13}$$

To simplify the computation of the gradient, we follow the adjoint method strategy (Fichtner et al., 2006). We start forcing  $\nabla_{\underline{\lambda}}\mathcal{L} = 0$  by solving a forward model  $\underline{\tilde{U}} = \underline{\mathcal{I}}\underline{F}\underline{D}$ . Then, we use the modeled displacements,  $\underline{\tilde{U}}$ , to compute the adjoint source as  $\underline{\tilde{\lambda}} = \underline{\underline{C}}_{d}^{-1} \left[ \underline{U}_{o} - \underline{\tilde{U}} \right]$  which implies  $\nabla_{\underline{U}}\mathcal{L} = 0$ . Such that the Lagrangian total derivative is the solution of the adjoint problem plus a term related with the slip constraints as

$$D_{\underline{D}}\mathcal{L} = \nabla_{\underline{D}}\mathcal{L}$$
  
=  $-(\underline{\mathcal{T}\underline{F}})^T \tilde{\underline{\lambda}} + \beta \left[\underline{\underline{F}}^T \underline{\underline{W}}^T \underline{\underline{W}} \left(\underline{\underline{F}}\underline{D} - \underline{D}_p\right)\right].$  (14)

<sup>157</sup> With the gradient evaluated we can follow any numerical optimization strategy to find the minimum solution.

## 158 Gradient Projection Method

To avoid dealing with inequality constraints, it is often convenient to project the solution into the physically-consistent space 159 after each iteration of the inversion procedure. However, we realized that for the slip inversion this projection is not convenient 160 because frequently the gradient direction is orthogonal to the slip constraints making the algorithm to stop. For large scale 161 problems and with lower and upper bounds for the variables, Nocedal and Wright (2006) propose the Gradient Projection 162 Method (GPM) as an efficient strategy to deal with inequality restrictions. The GPM consists of two stages per iteration. In 163 the first stage, the steepest descent direction is followed until a bound is encountered which needs to be bent to stay feasible. 164 Then along the resulting piecewise-linear path, a local minimizer, called *Cauchy point*, is found (see Appendix A for details). 165 For the second stage, a new optimum point is searched in the face of the feasible box on which the Cauchy point lies, i.e. those 166 slip constraints that have reached a limit are changed to equality constraints. It implies that those inequality constraints now are 167 part of the active set. This subproblem is usually not solved exactly but the remaining inequality constraints are respected. 168

For the slip inversion we do not follow exactly the GPM to avoid the subproblem of the second stage. So, after computing the Cauchy point, we take it as a new iteration point where the gradient is computed again. Thus, it is essentially a steepest descent algorithm that respects the inequality constraints. Our GPM version is slow so to achieve a fast convergence, we then propose an algorithm that is explained in the next section.

### 173 **2-step inversion algorithm**

<sup>174</sup> In order to increase the convergence speed, we developed a 2-step inversion algorithm. The purpose of the first step is to get <sup>175</sup> an optimal initial solution for the GPM. In this step we solve the unconstrained slip inverse problem using the adjoint method to compute the gradient. With the gradient any iterative optimization algorithm can be used, e.g. Conjugate Gradient method,

177 I-BFGS method, etc. In this work, we use the SEISCOPE optimization toolbox, which is a friendly and powerful optimization

178 library developed in FORTRAN 90 with many optimization strategies to choose from (Métivier and Brossier, 2016). In the

<sup>179</sup> second step, the constrained slip inverse problem is solved with a slight modification of the GPM. Since after computing the

180 Cauchy point, instead of reformulating the inverse problem according to the new active set incorporating some inequality

constraints, we use it as the new iteration of the slip. This is not as a fast as solving the traditional GPM, but since we are close

<sup>182</sup> to the optimal solution few iterations are needed. The pseudcode is described in the Algorithm .

## Algorithm 1: 2-Steps Algorithm

1<sup>st</sup> Step: Unconstrained slip inverse problem (Adjoint method)

Data: GPS Data

Initialize the slip  $\underline{D}_0 = 0$ ;

while Convergence is not achieved do

1. Compute a forward problem

 $\underline{U}_k = \underline{\mathcal{T}}\underline{F}\underline{D}_k.$ 

2. Compute the adjoint source

$$\underline{\lambda}_k = \underline{\underline{C}}_d^{-1} \left[ \underline{\underline{U}}_o - \underline{\underline{U}}_k \right].$$

3. Compute the adjoint problem to get the gradient

$$\nabla_{\underline{D}}\mathcal{L} = -(\underline{\mathcal{T}\underline{F}})^T \underline{\lambda}_k + \beta \left[\underline{\underline{F}}^T \underline{\underline{W}}^T \underline{\underline{W}} \left(\underline{\underline{F}}\underline{D}_k - \underline{\underline{D}}_p\right)\right]$$

4. With the gradient use any iterative optimization algorithm to find an update step  $\Delta \underline{D}_k$ 

5. Update the slip

$$\underline{D}_{k+1} = \underline{D}_k + \Delta \underline{D}_k.$$

end

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 $2^{nd}$  Step: Constrained slip inverse problem (Gradient Projection Method)

**Data:** Optimal solution of  $1^{st}$  step,  $D^*$ 

Project  $\underline{D}^*$  into the feasible region to get the initial solution  $\underline{D}_0$ ;

#### while Convergence is not achieved do

- 1. From  $\underline{D}_k$  compute the Cauchy point  $\underline{D}_k^c$  (details in Appendix A)
- 2. Update the slip

 $\underline{D}_{k+1} = \underline{D}_k^c.$ 

end

# **184** Resolution

Resolution of our inverse problem essentially depends on the geometry configuration of the problem. This is, on the fault geometry and the distribution of observation sites (i.e. on the displacement field sampling and the sensitivity of displacement to dislocations in the fault). For a given problem discretization and slip pattern, synthetic inversions are a powerful mean to quantify how well an inverse method performs. If well-conceived, these tests may lead to very useful resolution information under realistic conditions (i.e. if they include data uncertainties and minimize the dependence on the target model). In the following, we present comprehensive exercises where the restitution of the target model is systematically quantified. To this purpose, for a given solution we define the restitution index,  $r_i$  as

$$r_i = 1 - \left| \frac{d_i^T - d_i^I}{d_i^T} \right|,\tag{15}$$

where  $d_i^T$  and  $d_i^I$  are the slip for the target and inverted models of the *i*-subfault. The slip used for the restitution index can 192 be along the plate convergence or its perpendicular direction. Furthermore, the average restitution index, ari, is the mean 193 restitution index over the M subfaults that discretize the 3D subduction interface between the Cocos and the North American 194 plates in central Mexico (Cruz-Atienza et al., 2020).  $r_i$  is one if the inverted slip equals the target slip and zero if the difference 195 between them equals the target value. We have discretized the plate interface with subfaults whose surface surface projection 196 is a square of  $10 \times 10$  km<sup>2</sup> and assumed a four-layer 1D structure suitable for the region (Campillo et al., 1996). For the 197 analysis, we have considered all available permanent GPS stations (66 sites) in central Mexico (Cruz-Atienza et al., 2020; 198 CruzAtienza et al., 2018) and 5 ocean bottom pressure gauges (OBP) deployed in the Guerrero seismic gap since November 199 2017 (CruzAtienza et al., 2018), where only the vertical displacements were considered. 200

## 201 Mobile checkerboard

A widely used strategy to quantify an inverse problem resolution is the checkerboard (CB) test. However, this test is intrinsically linked to the arbitrary choice of the target CB model, which means to the CB unit size, its positions in space and the absolute model-properties periodically attributed. For this reason, we performed comprehensive mobile checkerboard (MOC) tests for different patch sizes (PS). Based on previous GPS data inversions in central Mexico (Radiguet et al., 2012; Cruz-Atienza et al., 2020), we attributed patch slip values in the plate convergence direction of 30 cm (i.e. as typical SSEs in the region) and -10 cm (i.e. a backslip corresponding to 20 months of full coupling assuming a 6 cm/y plate convergence rate).

Figure 1 shows the inversion results for three CBs with different PS (i.e. 60, 80 and 100 km) and the same correlation length (i.e. L = 20 km). As well see next, this value of L maximizes the average restitution index (ari) in these cases where no slip restriction was imposed (i.e. no gradient projection method was used) and no data uncertainly was considered (i.e. the precision matrix is the identity matrix). Although the data fit is almost perfect in all three cases, it is clear that the target model restitution strongly depends on PS, the slip model characteristic length. As expected, the larger PS the better is the restitution. This is quantified in the right column, where the restitution index, r, is displayed for all subfaults. Besides, two more conclusions stand out: (1) restitution is better in SSE patches than in coupling patches, and (2) the inversion scheme cannot resolve the unrealistic slip discontinuity along the boundary of the CB patches. Both conclusions were expected because the backslip is one third of the positive slip, and because of both the imposed model regularization and the limited sensitivity of displacements with distance to the fault.

Previous results do not provide a reliable estimate of the problem resolution when facing real data because in that case we do not 218 know the actual slip producing the observed displacements. A MOC test consists in multiple CB inversions so that all possible 219 model positions are explored. Results from the test may be translated into the mobile checkerboard restitution index (mcri) per 220 subfault, which corresponds to the average of the r values estimated for each inversion. The mcri is a quantity that eliminates 221 the resolution dependence on the CB position. For a given PS, we performed 6 MOC tests, one without regularization (i.e. L 222 = 0 km) and the rest with different correlation lengths (i.e. for L = 10, 20, 30, 40 and 50 km). Five different PS of 40, 60, 80, 223 100 and 120 km were considered and each one required different number of CB inversions. Since we discretized the fault with 224 proyections of side length h = 10 km, we move the checkerboard along the dip and strike directions with a jump of 2 km until 225 we covered all the posible configurations. The total number of CB test for an specific PS per value of L can be computed as 226  $(PS/10)^2$ . 227

Figure 2 presents an overview of three MOC tests for PS of 60, 80 and 100 km (i.e. those considered in Figure 1). As expected, 228 in the top row we see that the mcri increases with the PS, reaching values close to 0.8 in some regions close to the coast where 229 there is the largest density of stations, and where the plate interface is closest to them. In deeper interface regions, between 30 230 and 50 km depth, mcri falls down up to about 0.2 for PS of 60 km and over 0.5 for PS of 100 km along the whole subductions 231 zone. As clearly seen in the right column of Figure 1, the unrealistic slip discontinuities along the patches edges strongly 232 difficults the restitution, so we can considerer the mcri maps of Figure 2 (first row) as a lower resolution bound. Isocontours 233 of these maps for different PSs and optimum correlation lengths thus define reliable fault regions where the inversions should 234 resolve the unknown target slip above the mcri isocontour value (e.g., above 40% of the target slip if mcri equals 0.4). 235

The MOC tests allow to identify the optimum correlation length per subfault that maximizes the ari. This is shown in the second row of Figure 2, where we see that L decreases for PS of 100 km along the coast as compared with smaller slip characteristic lengths (i.e. for smaller PSs). The opposite happens in deep and less instrumented interface regions, where L increases with PS. Notice also that regularization should be stronger offshore, close to the subduction trench, as PS decreases. Based on this multiscale analysis we assembled optimum solutions for the same CBs of Figure 1 by integrating the best inverted slip per subfault (i.e. for the optimum local regularization). Resolution improvements for the multiscale models ranged between 10% and 20% as shown in the third row of the figure (compare with the right column of Figure 1). However, something unexpected

came out when comparing whole-interface average mcri values for all MOC tests. Figure 3 shows this metric along with the 243 average data-misfit error (i.e. the L2 norm of the difference between target and inverted displacements) for all tested PSs as 244 a function of L, the correlation length. Although the spatial distribution of the optimum L depends on the slip characteristic 245 length PS, the best average regularization was the same for all PSs and equal to 20 km. Such independency of the average 246 mcri on L for different PSs is due that the jump in the checkerboards will pass everywhere in the subfault no matter the PS 247 (the number of CB increases with a bigger PS selection). Besides, as explained below, another factor related with this result, 248 arises from the absence of noise (uncertainty) in the inverted data and model restrictions (no GPM). What is remarkable and 249 was expected in Figure 3 is that (1) the models restitution shows a concave behavior with the slip characteristic length and (2) 250 the best fitting models are not the best solutions. Regularization is critical to achieve physically acceptable and reliable slip 251 models. 252

## 253 Gaussian slip

The analysis of the previous section did not consider the uncertainty in geodetic measurements that may be significantly large, 254 especially in the vertical component where meteorological noise and non-tectonic physical signals are present. Nor did the 255 analysis incorporate slip restrictions that are essential to guaranty tectonic expectations in our solutions such as smaller-than-256 expected backslip for full interface coupling and slip rake angles near the plate convergence direction. For this reason, we now 257 analyze three new synthetic cases where (1) the target slip corresponds to truncated Gaussian slip distributions (i.e. to an SSE) 258 surrounded by a full-coupled plate interface, and (2) the associated surface displacements (i.e. the inverted data) are strongly 259 and randomly perturbed according to a normal probability distribution given by the data covariance per component, which we 260 took as 2.1, 2.5 and 5.1 mm in the north, east and vertical directions, respectively (Radiguet et al., 2011). 261

Figure 4 shows the target slip models and both, the associated exact displacements (blue arrows) and the perturbed ones (red arrows). The data uncertainty is represented by the gray ellipses at the tips of the perturbed vectors, the semiaxes corresponding to the standard deviation of the normal distribution used to perturb the data per component. The interplate coupling corresponds to three-months cumulative backslip assuming a 6 cm/yr plate convergence (i.e. 1.5 cm), and the geometry and position of the three Gaussian slip patches were inspired by recent SSE solutions found in the region (Cruz-Atienza et al., 2020). Please notice how large are the perturbations.

Inversions for the three Gaussian slip models were done for both the exact and perturbed data. Each set of data was inverted without regularization and with correlation lengths of 10, 20, 30, 40, 50 and 60 km. In all cases backlip restrictions were applied by means of the GPM so the interplate coupling could never overcome the value of one. Figure 5A shows some slip solutions for the largest-Gaussian exact data along with the associated restitution maps. Although the data fit is excellent in all cases, acceptable solutions are only retrieved when model regularization is applied. For L = 30 km, the ari is above 0.9 so that the slip solution is almost perfect, except along the Gaussian contour where there is an unrealistic slip discontinuity in the target model (i.e. a similar problem as for the checkerboards of last section).

When random noise is added to the observations and the inverse problem is solved by integrating the data uncertainty by means 275 of the precision matrix, the model regularization becomes even more critical to achieve a good solution. This can be seen in 276 Figure 5B, where the restitution is very poor around the Gaussian slip when no regularization is applied as compared with that 277 for L = 40 km, for which the ari is also above 0.9 and the slip solution is surprisingly good. Also surprising, results for the 278 other two smaller Gaussian models were very similar (see Appendix B, Figures S1 and S2). A summary of the 42 inversions 279 (i.e. 14 per Gaussian model) is shown in Figure 6, where we see that although the data-fitting errors for the noisy inversions 280 are roughly four times larger than those obtained from the exact data, the ari in all cases is above 0.9 for the best solutions (i.e. 28 for the optimum L) even for the smallest and circular Gaussian case, which has a slip characteristic length smaller than 80 km 282 centered at 38 km depth. 283

# <sup>284</sup> The 2006 Guerrero SSE

During the 20 years preceding the devastating 2017 Mw8.2 Tehuantepec earthquake that took place offshore the Oaxaca state, 285 Mexico, long term SSEs in Guerrero occurred almost every four years (i.e. six events between 1998 and 2017) and had a 286 remarkably large moment magnitudes (Mw>7.5) (Kostoglodov et al., 2003; Radiguet et al., 2012; CruzAtienza et al., 2018). 287 After the earthquake, the regional plate-interface SSE beating has strongly changed so that two other SSEs took place in that state in the next two years (in 2018 and 2019) with much smaller magnitudes (Mw around 7.0) (Cruz-Atienza et al., 289 2020). The 2006 Guerrero SSE has been the most investigated event in Mexico despite the poor GPS instrumentation on that 290 time (Kostoglodov et al., 2010; Vergnolle et al., 2010; Radiguet et al., 2011, 2012; Cavali et al., 2013; Bekaert et al., 2015; 291 Villafuerte and Cruz-Atienza, 2017). One of its most interesting features is that, unlike adjacent subduction segments, the slow 292 slip penetrated the seismogenic updip region of the plate interface up to 15 km depth in the Guerrero seismic gap. In this 293 section we perform a thorough analysis of the inverse problem resolution for that event and provide what we think are its most 294 reliable features as compared with previous results reported in the literature. 295

## 296 **Resolution**

In previous sections we found that the problem resolution depends on two main parameters: (1) the slip characteristic length (PS) and (2) the inverse-problem correlation length (L). This is true for a given problem geometry (i.e. for a stations array and plate interface geometry). For this reason, we can determine fault regions where resolution (i.e. the restitution index) is high enough for a given L, which means that the inverted slip in those regions is valid within the wavenumber bandwidth associated to the von Karman spectrum for that L. Since only 12 GPS sites registered the 2006 SSE, we performed three different MOC tests considering only the location of these sites. The tests were done for checkerboard unit lengths (PS) of 80, 100 and 120 km, and for L = 0 (no regularization), 10, 20, 30, 40, 50 and 60 km. This resolution exercise required multiple CB inversions for each PS choice, as explained before, where reasonable backslip and rake angle restrictions were imposed using the GPM. The slip lower limit was the negative slip patch value imposed, -8 cm, and the rake angle is restricted to the  $[20, -20]^{\circ}$  range.

Plate-interface resolution maps (i.e. for the mcri metric) are shown in Figure 7 as a function of PS and L. As expected, overall 306 mcri values increase with PS for a given L. Similarly, they also increase with L for a given PS. However, supplementary figures 307 not show here reveal that, in the latter case, the high-resolution regions stop expanding for L above 30 km for all three PS cases. 308 The maps show isocontours for mcri = 0.6, which delineate fault regions where the slip solutions are likely to resolve the actual 309 slip within 40% error. As explained previously, these maps represent a lower resolution bound because the MOC tests assume 310 unrealistically sharp slip discontinuities that strongly penalize the restitution index due to the boundaries of the square slip 311 patches (e.g. see Figure 1). For this reason, we expect the resolution within the regions to be higher than the mcri isocontours 312 value. Either way, even in the MOC test for the maximum PS and L values, the high resolution region does not extend across 313 the whole expected SSE area, as claimed by previous authors using different inversion techniques (Radiguet et al., 2011). Our 314 resolutions maps represent the key piece allowing us to tell something reliable (to some point) about the 2006 SSE. 315

Figure 8 summaries the results from all MOC tests in terms of the average mcri and data-misfit L2 error. Although errors 316 are similar for all slip characteristic lengths PS, average mcri values follow a concave trajectory with L as previously noticed 317 from Figure 7. However, unlike the previous MOC exercises for all currently available geodetic stations (Figures 2 and 3), 318 the optimum correlation lengths (i.e. those maximizing the restitution) increase with PS. This is not clear for PS = 120 km, 319 however it shows a flatter function after the optimum and we expect the optimal L should be in the (20,30) km range. This remarkable and reasonable result is due to both the slip restrictions and the sparse stations array. It tells us that, depending on 321 the characteristic SSE-patch-size we want to solve the best, the problem regularization should be adapted. For instance, if we 322 are interested in SSE patches with a characteristic length of 80 km, then L = 10 km is the optimum choice. Of course, such 323 small value is detrimental to the extent of the acceptable resolution region, as seen in Figure 7. If L = 20 km, then patches with 324 characteristic length of 100 km will be optimally solved in a larger fault region. 325

## **2006 SSE Inversions**

The inversions we present next were done using the same GPS data as Radiguet et al. (2011). This means that the displacement timeseries were carefully pre-processed (Vergnolle et al., 2010) and then corrected from inter-SSE long-term deformations by subtracting the linear trends from the period 2003-2005 per station. The resulting time series thus show the deviations from the long-term steady motion during the 2006 Guerrero SSE.

Since the long-term displacement trends per station are significantly different in Guerrero (Radiguet et al., 2012), By removing the secular deformation patterns, we are implicitly eliminating the common reference frame given by the North American plate, which also leads to a possible overestimation of the SSE-induced displacements. Either way, for the sake of comparison with previous solutions using this dataset, we have inverted the timeseries from January 30 (2006) to January 15 (2007) for four different correlations lengths (L = 10, 20, 30 and 40 km) and slip restrictions (i.e. applying the GPM), so that the backslip could not overcome the full-coupling regime in that period and the rake vector could vary +/- 20° from the plate-convergence (pc) direction.

Figure 9 shows the inversion results for two optimal correlation lengths (L = 20 and 30 km). Since the data is almost perfectly explained in both cases, the preferred solution will depend on both the scale at which we are interested in for interpretations and reasonable physical considerations. Taking the 1 cm slip contour as the effective SSE area, then the moment magnitude of the 2006 event is consistent for both inversions and equal to Mw7.4. For estimating Mw, we considered a typical crustal rigidity  $\mu = 32x10^9$  Pa.

As shown in the last section, given the poor GPS coverage during the 2006 SSE, the inverse problem regularization plays a 343 critical role to have some confidence in what the slip solutions tell us. In the absence of resolution analysis, it is difficult to 344 justify any conclusion, especially between distant stations. For instance, the absence of data along most of the north-west 345 Guerrero seismic gap (NW-GGap) (i.e. between ZIHP and CAYA) (UNAM, 2015) and the Guerrero Costa Chica (i.e. between 346 CPDP and PINO) is unfortunate and obliges us to be cautious in the interpretations. Previous investigations concluded that 347 SSEs behave differently between these two Guerrero subduction segments so that, unlike the Costa Chica, the slow slip in the 348 NW-GGap reaches the seismogenic interface zone (i.e. up to 15 km depth) (Radiguet et al., 2011; Cavali et al., 2013) releasing 349 aseismically a significant part of the accumulated inter-SSE strain (Radiguet et al., 2012; Bekaert et al., 2015). 350

Figure 10 shows a comparison between our preferred solution (model A) (i.e. for L = 30 km) and two previously published 351 solutions, one from the simultaneous inversion of both GPS and InSAR data (Model B / (Cavali et al., 2013)) and the other from 352 GPS data only (model C / Radiguet et al. (2011)). Our solution is show together with the associated 60% resolution regions 353 (regions where the average mcri is higher than 0.6), which are taken from Figure 7 according to the optimal solutions of Figure 354 8. Confidence contours delineate the fault regions where solutions disagree with the actual slip by less than 40% in different 355 wavenumber bandwidths depending on L. The red contour delineate the 60% confidence regions for a slip characteristic length 356 of 80 km and the green one for a 120 km characteristic length. Although the three slip solutions are in general consistent, there 357 are clear differences among them. The most visible are (1) the concentration of three separated patches in model C that are not 358 as clear in the other two models (i.e. one of them far from the coast and below 40 km depth, and another one to the east) which 359 may be artificial to explain the data due to lack of regularization; none of them present in solutions A and B, and (2) the peak 360 slip values that range between 20 and 25 cm. Moment magnitudes are also slightly different (i.e. 7.4 and 7.6 for models A 361 and C, respectively). However, all three models coincide on the updip SSE penetration west of station CAYA, where our model 362 has resolution higher than 60% up to a distance of 30 km west of that station. This region is of critical importance because it 363

extends along the NW-GGap, where recent onshore and offshore observations show that slow earthquake indeed happen there 364 in a particular way, and thus where the mechanical properties of the plate interface are different (Cruz-Atienza et al., 2020; 365 Plata-Martnez et al., 2020). Models B and C are remarkably different between stations ZIHP and CAYA, where the InSAR data 366 used for model B does not play a significant role. West of this region, model B predicts a very large shallow penetration of 367 the SSE across the mechanically stable zone where three M7+ earthquakes have taken place, the last in 2014 (see past rupture 368 areas)(UNAM, 2015). For this reason, model C, which is consistent with our model A, is the most plausible one for that zone. 369 Besides, our resolution close to the ZIHP station is higher than 60% as well. In conclusion, our preferred ELADIN solution has 370 the most reliable features of both previously published slip models. 371

# 372 Conclusions

We have introduced the ELADIN method, a new fault-slip inversion technique based on the adjoint elastostatic equations under 373 a constrained optimization framework. The method takes advantage of both the von Karman autocorrelation function to control 374 the problem regularization and the gradient projection method to impose physically-consistent slip restrictions (i.e. interplate 375 coupling smaller than any given value and rake angles consistent with the relative plate motion). To account for the data 376 uncertainty, the method weights the observations according to their individual covariance using the precision matrix. Synthetic 377 slip inversions from strongly perturbed data show that the model restitution across the plate interface is surprisingly high when 378 this uncertainty is taken into account (i.e. for both SSE and coupled interface regions). The ELADIN method thus allows 379 determining the aseismic slip on any 3D plate interface (or any fault surface) by simultaneously inverting slipping and coupled 380 fault areas with a spectral control of the problem solution that guaranties a given resolution criterion. We defined this criterion 381 by means of the mobile checkerboard restitution index (mcri), which allows determining fault regions where the resolution (i.e. 382 the slip restitution index) is high enough for a given von Karman autocorrelation length, L. This means that the inverted slip in 383 those regions is valid (to some desired extent) within the wavenumber bandwidth associated to the von Karman spectrum for 384 that L. 385

After performing a thorough resolution analysis of the study region, we inverted the 2006 Guerrero SSE. Our preferred slip 386 model obtained with the ELADIN method, for L = 30km, was compared with two previously published solutions and found 387 that it has the most reliable features of these two models. On one hand, our model is consistent with the solution of Cavali et al. 388 (2013) in that it places the maximum slip region above 40 km depth (i.e. downdip from stations CAYA and COYU), where this solution is well constrained thanks to the InSAR data track. On the other, although all solutions predict the SSE shallow 390 penetration along a large part of the NW-GGap segment (west of CAYA), our model is closer to the solution of Radiguet et al. 39 (2011), where there is only GPS data. In this sense and considering also that to the east of station ZIHP are the rupture areas 392 of previous M7+ thrust earthquakes (i.e. a mechanically unstable zone), our SSE model is likely more realistic because it tends 393 to avoid that zone. Since resolution is unacceptable outside our confidence contours, we cannot confirm that the updip SSE 394

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<sup>395</sup> penetration between stations ZIHP and CAYA is reliable.

A systematic application of the ELADIN method has been recently done to invert recent data from the large set of GPSs shown

<sup>397</sup> in Figure 1 (Cruz-Atienza et al., 2020), which has produced interesting results for the period 2016-2019, where three major <sup>398</sup> earthquakes and multiple SSEs occurred throughout the Mexican subduction zone.

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# **A** Gradient projection method: Cauchy point calculation

The Cauchy point is an optimal state computed with a descent direction that respects the feasible solution region. We begin by reformulating our inverse problem, eqs. (9-11), as the quadratic problem

$$\frac{1}{2}\underline{D}^{T}\underline{G}\underline{D} + \underline{c}^{T}\underline{D},\tag{16}$$

497 subject to

$$D_i^{j,l} \le (\underline{FD})_i \le D_i^{j,u}, \qquad i \in \{p,c\} \land j \in \{\text{SSE}, \text{Coupling}\} \text{ regime},$$
 (17)

498 where

$$\underline{\underline{G}} = \underline{\underline{F}}^{T} \underline{\underline{\mathcal{T}}}^{T} \underline{\underline{C}}_{d}^{-1} \underline{\underline{\mathcal{T}}} \underline{\underline{F}} + \beta \underline{\underline{F}}^{T} \underline{\underline{W}}^{T} \underline{\underline{W}} \underline{\underline{F}},$$
(18)

$$\underline{c} = -\left[\underline{U}_{o}^{T}\underline{C}_{d}^{-1}\underline{\mathcal{T}}\underline{F} + \underline{D}_{p}^{T}\underline{W}^{T}\underline{W}\underline{F}\right].$$
(19)

<sup>499</sup> The gradient without considering the inequality contraint, eq. (17), is

$$g = \underline{\underline{G}}\underline{\underline{D}} + c, \tag{20}$$

First, we need to identify the step lengths for which each slip component reaches its bound along the direction  $-\underline{g}$  and store them in  $\underline{t}$ . Then, we eliminate duplicate and zero values of  $\underline{t}$  to obtain a sorted reduced set of breakpoints  $\{t_1, t_2, \ldots, t_l\}$  such that  $t_i < t_{i+1}$  for  $i \in \{1, 2, \ldots, l-1\}$ . With this set, we construct a set of intervals like  $\{[0, t_1], [t_1, t_2], \ldots, [t_{l-1}, t_l]\}$ . Suppose that we have not found the minimizer up to the interval  $[t_{j-1}, t_j]$ , then we can model the slip along that interval as

$$\underline{D}(t) = \underline{D}(t_{j-1}) + (\Delta t)p^{j-1},$$
(21)

504 where

$$\Delta t = t - t_{j-1} \in [0, t_j - t_{j-1}], \tag{22}$$

$$p_i^{j-1} = \begin{cases} -g_i & \text{if } t_{j-1} < \bar{t}_i, \\ 0 & \text{otherwise.} \end{cases}$$
(23)

### <sup>505</sup> If we substitute eq. (21) in the quadratic cost function (16), we leave it as a function of $\Delta t$

$$q(\Delta t) = \frac{1}{2} \left( \underline{D}(t_{j-1}) + (\Delta t)\underline{p}^{j-1} \right)^T \underline{G} \left( \underline{D}(t_{j-1}) + (\Delta t)\underline{p}^{j-1} \right) + c^T \left( \Delta t \right) \underline{p}^{j-1} \right), \tag{24}$$

### B. GAUSSIAN SLIP INVERSIONS

<sup>506</sup> which can be reformulated as

$$q(\Delta t) = f_{j-1} + g_{j-1}\Delta t + \frac{1}{2}h_{j-1}(\Delta t)^2,$$
(25)

507 where

$$f_{j-1} = \frac{1}{2} D(t_{j-1})^T \underline{\underline{G}} D(t_{j-1}) + \underline{\underline{c}}^T \underline{\underline{D}}(t_j - 1),$$

$$(26)$$

$$g_{j-1} = D(t_{j-1})^T \underline{\underline{G}} \underline{\underline{p}}^{j-1} + \underline{\underline{c}}^T \underline{\underline{p}}^{j-1}, \qquad (27)$$

$$h_{j-1} = \left(\underline{p}^{j-1}\right)^T \underline{G} \underline{p}^{j-1}.$$
(28)

<sup>508</sup> The solution of this problem is

$$\Delta t^* = -\frac{g_{j-1}}{h_{j-1}}.$$
(29)

509 Only one of the following three cases can ocurr

- 510 (i) If  $g_{j-1} > 0$  the minimizer is at  $\Delta t * = 0$  with  $t^* = t_{j-1}$  and  $p^* = p_{j-1}$ .
- (ii) If  $\Delta t^* \in [0, t_j t_{j-1})$  the minimizer is in the interval with  $t^* = t_{j-1}$  and  $p^* = p_{j-1}$ .
- 512 (iii) If  $\Delta t^* > t_j t_{j-1}$  then try the nex interval.
- 513 Once the optimal step has been found,  $\Delta t^*$ , the Cauchy point is evaluated as

$$\underline{D}^c = \underline{D}(t^*) + \Delta t^* p^*.$$
(30)

# 514 **B** Gaussian slip inversions

Figures S1 and S2 show the synthetic data inversions and restitution indexes with and without noise of the Gaussian-like pulses shown in Figures 4A and 4B, respectively.

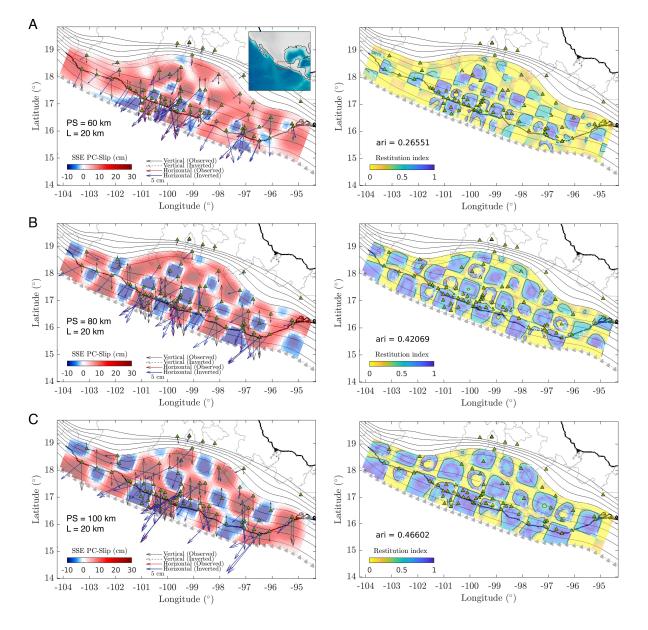


Figure 1: Checkerboard inversions for PS of (A) 60, (B) 80 and (C) 100 km, and correlation length, L, of 20 km. The inverted slip along with the surface displacement fits (left column) and the associated restitution index (right column) are displayed on the 3D plate interface (gray contours). Green triangles are the GPS stations.

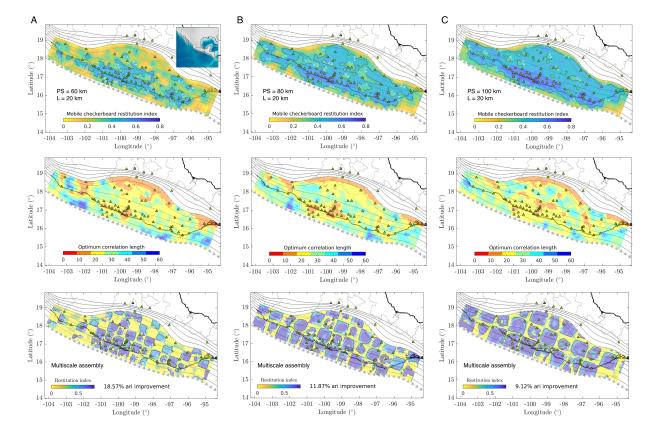


Figure 2: MOC tests for PS of (A) 60, (B) 80 and (C) 100 km and correlation length, L, of 20 km. Distributions of mcri (first row), the optimum correlation length (second row) and the multiscale assembly of the restitution index (i.e. computed from the assembly of the best slip solutions for the CBs shown in Figure 1), all of them displayed on the 3D plate interface (gray contours). Green triangles are the GPS stations.

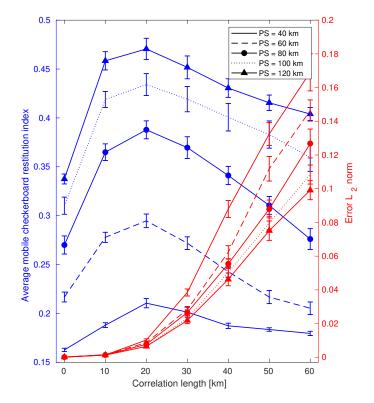


Figure 3: Results from all MOC tests in terms of the whole-interface average mcri (blue) and the average data-misfit error (red) as a function of the inversions correlation length L. PS (Patch Size) refers to the slip-patch characteristic length (i.e. the checkerboard unit size).

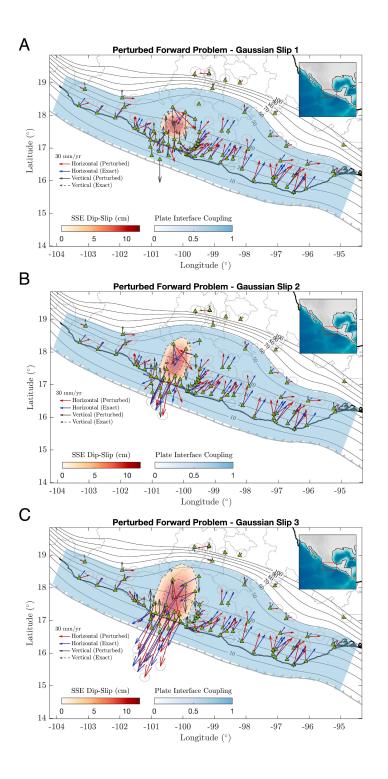


Figure 4: Slip models on the plate interface (background colors) and the associated model displacement predictions (arrows) for three Gaussian-like slip patches with different characteristic lengths. Blue and black-solid arrows show the exact surface displacements while red and black-dashed arrows show the same predictions but stochastically perturbed according to the normal distributions given by the data variance per component.

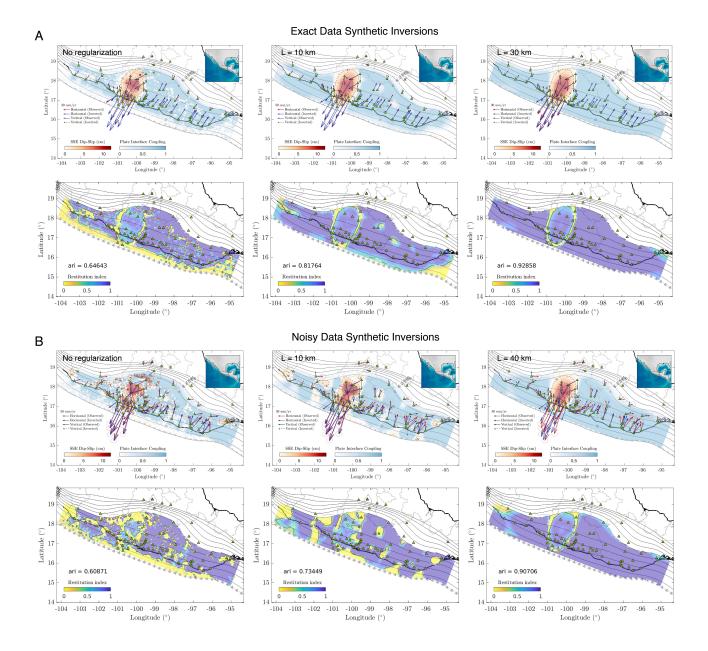


Figure 5: Synthetic inversion results for the slip model shown in Figure 4C from the exact target displacements (panel A) and from the perturbed (noisy) displacements (panel B). The second row of each panel shows the distribution of the restitution index over the plate interface without regularization and for different values of the correlation length, L.

# 26

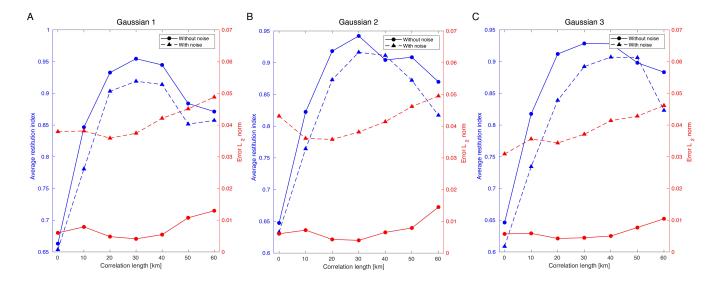


Figure 6: Synthetic inversion results for the three Gaussian-like slip functions shown in Figure 4 in terms of the whole-interface average restitution index (ari) and average data-misfit error (red) as a function of the inversions correlation length L. Solid lines correspond to the inversions using the exact data while dashed lines to the inversions with nosy data (see Figure 4). Notice that in all cases the maximum restitutions (ari) are above 0.9.

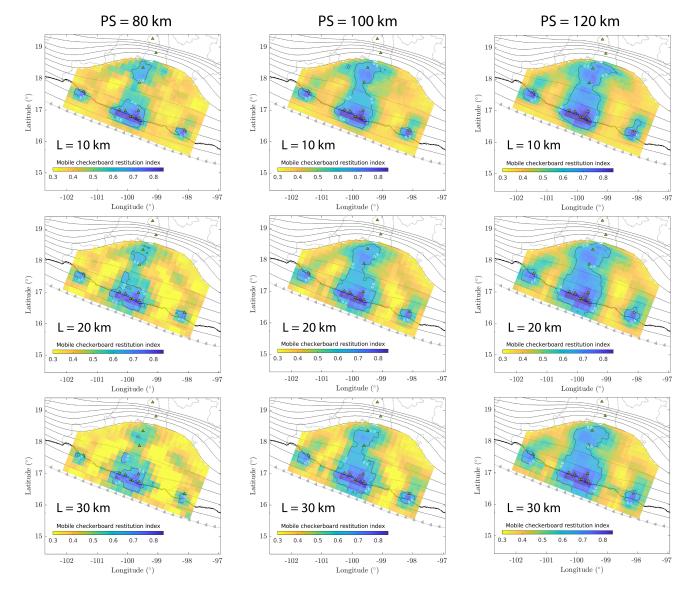


Figure 7: Plate interface distribution of the mobile checkerboard restitution index (mcri) for MOC tests corresponding to patch sizes (PS) of 80, 100 ans 120 km and correlation lengths L = 10, 20 and 30 km for the 2006 SSE stations configuration. Black contours correspond to mcri values of 0.6 (i.e. slip resolution of 60%).

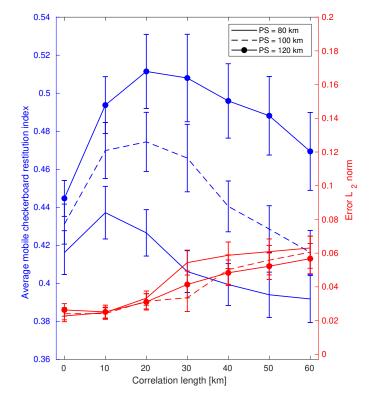


Figure 8: Results from all MOC tests for the 2006 SSE stations configuration in terms of the whole-interface average mcri (blue) and the average data-misfit error (red) as a function of the inversions correlation length L. PS (Patch Size) refers to the slip-patch characteristic length (i.e. the checkerboard unit size).

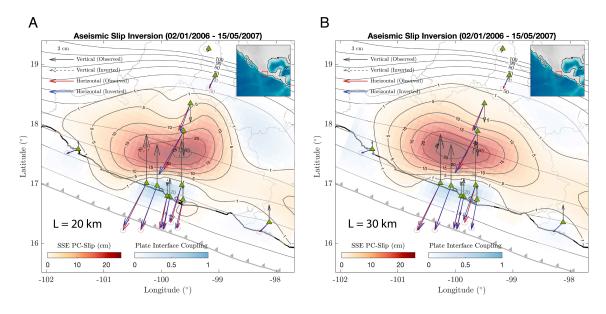


Figure 9: Aseismic slip inversions (in the plate convergence (PC) direction) of the 2006 Guerrero SSE for correlation lengths L = 20 km (A) and L = 30 km (B). The plate interface coupling is determined from the ratio between the back slip and the cumulative slip in the inverted period given a plate convergence rate of 6 cm/yr.

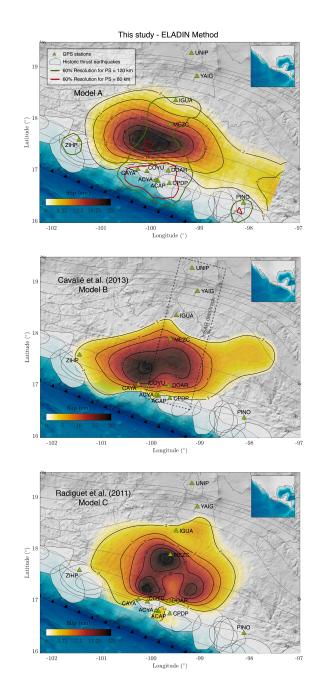


Figure 10: Comparison of our preferred solution (model A - for L = 30 km, Figure 9) with two previously published model for the 2006 Guerrero SSE, the one of Cavali et al. (2013) (model B) and the one of Radiguet et al. (2011) (model C). 60% resolution contours for slip-patch (PS) characteristic lengths of 80 and 120 km are shown over model A.

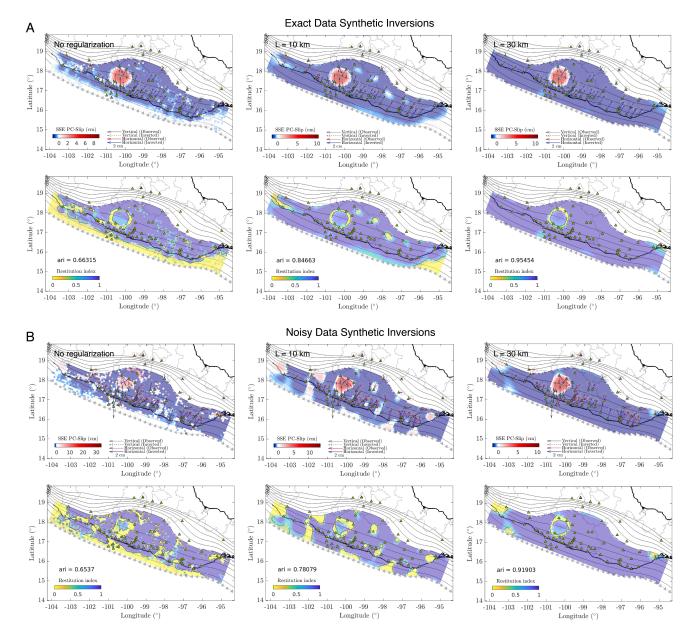


Figure S1: Synthetic inversion results for the Gaussian-like slip model shown in Figure 4A from the exact target displacements (panel A) and from the perturbed (noisy) displacements (panel B). The second row of each panel shows the distribution of the restitution index over the plate interface without regularization and for different values of the correlation length, L.

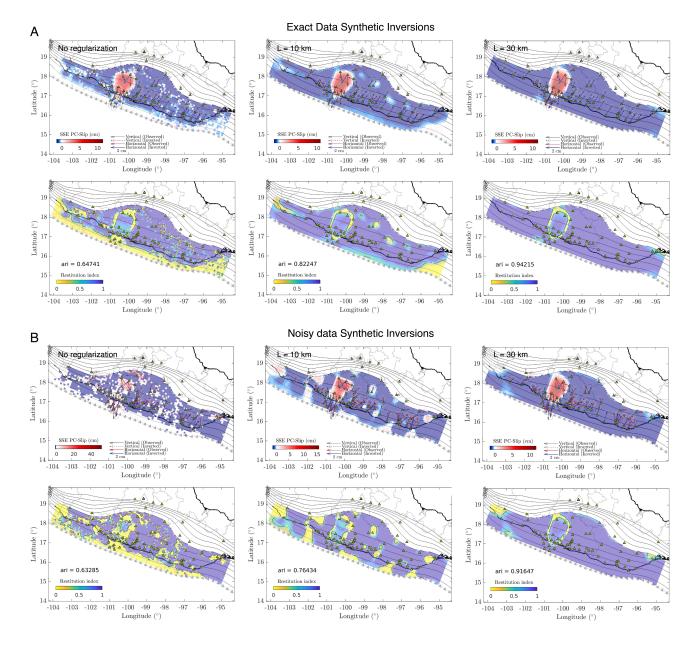


Figure S2: Synthetic inversion results for the Gaussian-like slip model shown in Figure 4B from the exact target displacements (panel A) and from the perturbed (noisy) displacements (panel B). The second row of each panel shows the distribution of the restitution index over the plate interface without regularization and for different values of the correlation length, L.

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