Quasi-biennial oscillation disrupted by abnormal Southern Hemisphere stratosphere

James A. Anstey^{1,1,1}, Timothy P. Banyard^{2,2,2}, Neal Butchart^{3,3,3}, Lawrence Coy^{4,4,4}, Paul A. Newman^{4,4,4}, Scott Osprey^{5,5,5}, and Corwin Wright^{2,2,2}

¹Canadian Centre for Climate Modelling and Analysis ²University of Bath ³Met Office Hadley Centre ⁴NASA Goddard Space Flight Center Greenbelt ⁵National Centre for Atmospheric Science

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Abstract

The quasi-biennial oscillation (QBO) of tropical stratospheric winds was disrupted during the 2019/20 Northern Hemisphere winter. We show that this latest disruption to the regular QBO cycling was similar in many respects to that seen in 2016, but initiated by horizontal momentum transport from the Southern Hemisphere. The predictable signal associated with the QBO's quasi-regular phase progression is lost during disruptions and the oscillation reemerges after a few months significantly shifted in phase from what would be expected if it had progressed uninterrupted. We infer from an increased wave-momentum flux into equatorial latitudes seen in climate model projections that disruptions to the QBO are likely to become more common in future. Consequently it is possible that in future the QBO could be a less reliable source of predictability on lead times extending out to several years than it currently is.

Prospect of increased disruption to the QBO in a changing climate

James A. Anstey¹*, Timothy P. Banyard², Neal Butchart³, Lawrence Coy^{4,5}, Paul A. Newman⁴, Scott Osprey^{6,7}, Corwin J. Wright²

5	$^1\mathrm{Canadian}$ Centre for Climate Modelling and Analysis, Environment and Climate Change Canada,
6	Victoria, Canada
7	$^2\mathrm{Centre}$ for Space, Atmospheric and Oceanic Science, University of Bath, Bath, UK
8	3 Met Office Hadley Centre, Reading, United Kingdom
9	$^4\mathrm{NASA}$ Goddard Space Flight Center Greenbelt, Maryland, USA
10	⁵ SSAI, Lanham, Maryland, USA
11	$^6\mathrm{National}$ Centre for Atmospheric Science, Oxford, United Kingdom
12	⁷ Department of Physics, University of Oxford, Oxford, United Kingdom

13 Key Points:

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14	• A second recent disruption of the quasi-biennial oscillation (QBO) has occurred.
15	• Large momentum fluxes from the Southern Hemisphere made a substantial con-
16	tribution to the $2019/20$ disruption.
17	• Increased equatorward momentum flux in climate model projections suggests QBO
18	disruptions may become more likely in future.

Corresponding author: James Anstey, james.anstey@canada.ca

19 Abstract

The quasi-biennial oscillation (QBO) of tropical stratospheric winds was disrupted 20 during the 2019/20 Northern Hemisphere winter. We show that this latest disruption 21 to the regular QBO cycling was similar in many respects to that seen in 2016, but ini-22 tiated by horizontal momentum transport from the Southern Hemisphere. The predictable 23 signal associated with the QBO's quasi-regular phase progression is lost during disrup-24 tions and the oscillation reemerges after a few months significantly shifted in phase from 25 what would be expected if it had progressed uninterrupted. We infer from an increased 26 wave-momentum flux into equatorial latitudes seen in climate model projections that dis-27 ruptions to the QBO are likely to become more common in future. Consequently it is 28 possible that in future the QBO could be a less reliable source of predictability on lead 29 times extending out to several years than it currently is. 30

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Plain Language Summary

The quasi-biennial oscillation (QBO) consists of a regular switching between east-32 ward and westward winds in the tropical stratosphere. The oscillation has persisted at 33 least since its discovery in the 1960s, over which time its period averages about 28 months 34 with some variability from cycle to cycle. Recently, during the Northern Hemisphere win-35 ters of 2015/16 and 2019/20, remarkable departures from this regular behaviour occurred 36 that have no precedent in the observational record. Both the 2015/16 and 2019/20 QBO 37 disruptions occurred when large horizontal fluxes of momentum intruded into the trop-38 ics from higher latitudes. Using climate model projections we find these horizontal fluxes 39 are likely to increase in future, suggesting an increased future likelihood of QBO disrup-40 tions and a concomitant loss in QBO predictability. 41

42 **1** Introduction

The quasi-biennial oscillation (QBO) consists of alternating layers of eastward and westward wind that gradually descend through the tropical stratosphere before dissipating near the tropopause (Baldwin et al., 2001; Tegtmeier et al., 2020). The oscillation period is somewhat variable, averaging about 28 months with a standard deviation of 3-4 months (Baldwin et al., 2001; Bushell et al., 2020). The QBO dominates stratospheric variability in the tropics while modulating variability in mid to high latitudes (Anstey

& Shepherd, 2014) and thereby provides a useful source of predictability on timescales 49 ranging from several months (seasonal) to several years ahead (Scaife, Athanassiadou, 50 et al., 2014). The established QBO fluid dynamical mechanism involves vertically prop-51 agating waves from the troposphere that accelerate the winds when they dissipate in the 52 tropical stratosphere (Baldwin et al., 2001), leading to descending layers of winds of op-53 posite sign (Fig. 1a). Opposing the downward progression is tropical upwelling (Dunkerton, 54 1997) from the Brewer-Dobson circulation (Butchart, 2014). It has been argued that hor-55 izontally propagating waves from the mid-latitudes into the tropics play a minor part 56 in the QBO's evolution (O'Sullivan, 1997), which is consistent with the QBO's remark-57 able cycle-to-cycle consistency and predictability extending out to a few years (Scaife, 58 Athanassiadou, et al., 2014). This highly predictable QBO signal is encapsulated by the 59 time evolution of the amplitudes of the leading two Empirical Orthogonal Functions (EOFs) 60 of zonal wind vertical structure, which capture $\sim 90\%$ of the month-to-month variabil-61 ity (Wallace et al., 1993). 62

In February 2016 the usual QBO cycling was disrupted (Newman et al., 2016; Os-63 prey et al., 2016; Dunkerton, 2016; Coy et al., 2017) for the first time since its discov-64 erv in the early 1960s (Ebdon & Veryard, 1961; Reed et al., 1961). A shallow layer of 65 westward winds appeared at 40 hPa within a decaying eastward QBO phase (Fig. 1a). 66 Anomalous westward acceleration resulted from unusually large horizontal fluxes of wave-67 momentum from the Northern Hemisphere (NH) (Osprey et al., 2016; Coy et al., 2017), 68 likely related to the occurrence of a very large El Niño event (Dunkerton, 2016; Barton 69 & McCormack, 2017). Conditions in the subtropics contributed to focusing the wave ac-70 tivity into the QBO jet (Hitchcock et al., 2018; Watanabe et al., 2018). Failures by mod-71 els to predict the disruption (Osprey et al., 2016) are consistent with it originating in 72 the extratropics since predictability timescales are shorter there than in the tropics. The 73 abnormal westward winds at 40 hPa subsequently strengthened, descended, and the QBO 74 returned to its usual cycling by early 2017, roughly a year after the disruption began (Fig. 1a). 75

A second QBO disruption began in December 2019, only four years after the previous event and without a strong El Niño being present. This paper makes an initial examination of the origin and evolution of this recent event by comparing the two disruptions using physical and statistical metrics. We then use future projections from climate models to assess whether QBO disruptions could potentially be an emerging signal of climate change.



Figure 1. (a) Twice daily radiosonde zonal wind observed at Singapore $(1.4^{\circ} \text{ N}, 104^{\circ} \text{ E}, \text{ sta$ $tion id 48698})$. Lapse-rate tropopause determined from the radiosonde temperatures is shown as a black line. Missing radiosonde data are filled in with MERRA-2 interpolated to the location of Singapore. (b) The percent variance explained by principal components (PCs) 1 and 2 combined (light orange shading) and PCs 3 (dark orange) and 4 (blue) as a function of time based on the monthly averaged Singapore zonal wind profiles (1976–2020) from 100–10 hPa. The EOF calculation was based on monthly averaged winds limited to 1976–2014 to avoid the two disruptions. The red vertical lines bracket September 2019. (c) Singapore QBO phase as a function of time in units scaled so that each 2π is one QBO cycle. The upper red line is fitted to the the phase from January 1976 through December 2014. The lower red line is fitted from August 2016 through December 2019. The shading about the upper red line denotes plus or minus one standard deviation. (For the complete time series going back to January 1976, see Fig. S1.)

$\mathbf{2}$ 2 Methods

Our characterization of the QBO disruption is based on a tropical rawinsonde station (Singapore, 1°N, 104°E) and global gridded analysis fields from the ERA5 reanalysis (Hersbach et al., 2020).

Daily and monthly averages of the zonal wind component are constructed from the twice daily meteorological Singapore soundings (Durre et al., 2016). The vertical structure (100–10 hPa) of the QBO is decomposed into a set of Empirical Orthogonal Functions [EOFs (Wallace et al., 1993; Dunkerton, 2016)] based on the monthly-mean zonal wind from Jan 1976–Dec 2014. The monthly winds from Jan 2013–Sep 2020 are then projected onto the first four leading EOFs as the Principal Components (PCs) and the relative variance explained by each of the PCs calculated for each month.

The ERA5 reanalysis combines a global atmospheric model with surface, aircraft, 93 and satellite observations from 1979–present (Hersbach et al., 2020). ERA5 gridded me-94 teorological fields on model levels at 6-hourly frequency and 2° horizontal resolution are 95 used to calculate contributions to the zonal-mean zonal momentum budget due to wave 96 forcing, quantified by the Eliassen-Palm (EP) flux, and advection (Andrews et al., 1987). 97 The 6-hourly results are averaged to daily means for plotting. ERA5 model levels have 98 ~ 0.5 km vertical resolution in the lower stratosphere and are exactly pressure levels from 99 71 hPa upward (https://www.ecmwf.int/en/forecasts/documentation-and-support/ 100 137-model-levels). Model level data are used because reanalysis output on the stan-101 dard available pressure levels have insufficient vertical resolution for accurate calcula-102 tion of vertical wind shear and other vertical gradients involved in the momentum bud-103 get calculations. 104

For model projections we use simulations from the SPARC (Stratosphere-troposphere 105 Processes And their Role in Climate) QBO initiative (QBOi) (Butchart et al., 2018; Anstey 106 et al., 2020) and from phase six of the Coupled Model Intercomparison Project (CMIP6) 107 (Eyring et al., 2016). From the QBOi multi-model ensemble we use present-day, dou-108 bled CO₂, and quadrupled CO₂ timeslice simulations from 11 atmospheric general cir-109 culation models that simulate QBOs (Bushell et al., 2020; Richter et al., 2020). From 110 the CMIP6 multi-model ensemble we use the historical and SSP5-8.5 scenarios from 10 111 coupled (Earth system) models that provided EP-flux diagnostics for both scenarios, which 112 were: CanESM5, CESM2, CESM2-WACCM, GFDL-CM4, GFDL-ESM4, HadGEM3-113

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GC31-LL, INM-CM4-8, MIROC6, MRI-ESM2-0, UKESM1-0-LL. One realization was
 used per model.

¹¹⁶ 3 Disruptions to regular QBO cycling

The characteristic QBO descending eastward and westward wind pattern disinte-117 grated in 2019/20, with Singapore rawinsonde observations showing unexpected west-118 ward winds appearing near 40 hPa along with an atypical ascending layer of eastward 119 winds (Fig. 1a). The small vertical scale of this ascending eastward layer is unique in the 120 record. A decomposition of the QBO winds into EOFs (Sec. 2) quantifies this unusual 121 vertical structure (Fig. 1b). The first two EOFs (encompassing the largest scale down-122 ward propagating structure of the QBO) typically explain over 90% of the vertical struc-123 ture variance but their values drop drastically to $\sim 20\%$ by May 2020 as the higher or-124 der, smaller scale, EOFs 3 and 4 grow in amplitude. This extreme 2019/20 decrease in 125 the variance explained by EOFs 1 and 2 greatly exceeds the decrease to 60% associated 126 with the 2015/16 disruption. 127

The overall rate of phase change of the QBO had been remarkably stable before 128 the 2015/16 disruption (Fig. 1c). Constant QBO phase progression represented by the 129 upper red line in Fig. 1c provides a reasonably accurate representation of the true phase 130 from 1976 until the 2015/16 disruption with a standard deviation of the phase until then 131 of $\sim 45^{\circ}$. The 2015/16 disruption resulted in a retrogressed phase shift of $\sim 135^{\circ}$, well 132 outside this standard deviation. The lower red line denotes the post-disruption constant 133 phase progression prediction, but this again failed in early 2020 when the QBO phase 134 rapidly increased by $\sim 135^{\circ}$ - coincidentally, returning close to the original phase that 135 would have been expected based on the historical phase progression (upper red line). 136

¹³⁷ 4 Canonical model vs. meridional wave fluxes

For both disruptions, strong wave-forcing by meridional momentum transport (meridional EP-flux; Sec. 2) initiated an eastward-to-westward transition of the zonal-mean zonal winds in the lower stratosphere, around 40 hPa (Fig. 2). The canonical model of the QBO model explains the oscillation as resulting from a feedback between the zonal-mean zonal wind and vertical momentum transport (Lindzen & Holton, 1968; Holton & Lindzen, 1972). Momentum deposition by upward-propagating waves causes wind vertical shear zones to descend even as the Brewer-Dobson circulation moves the entire tropical stratosphere

upward (Dunkerton, 1997). Beginning in June and lasting until September 2019, west-145 ward forcing by meridional momentum transport at 50 hPa was large compared to the 146 net forcing from vertical momentum transport and vertical advection (Fig. 2a). In the 147 context of the 1979–2020 ERA5 record this forcing was extremely large over all QBO al-148 titudes below the descending westward shear zone (between 70 and 20 hPa; red line and 149 grey shading in Fig. 2c). In the canonical QBO model, waves deposit momentum in the 150 zonal-mean flow over narrow altitude ranges where they encounter strong vertical shears. 151 However during July–September 2019 strong deposition occurred over all altitudes of the 152 QBO eastward phase, including those well below the descending westward shear zone 153 (Fig. 2c). 154

Similar features are evident for the 2015/16 disruption (Fig. 2b,d) but with differ-155 ent timing. Strong forcing by meridional momentum transport at 50 hPa began in Novem-156 ber 2015 and persisted through early February 2016 when westward winds emerged near 157 40 hPa (Fig. 2b). This forcing was exceptional in the context of the 1979–2020 record 158 and it occurred within a deep eastward QBO phase (Fig. 2d). A shallow layer of west-159 ward wind shear centered at 50 hPa, that appeared in November 2015 and strengthened 160 over the next 3 months (Fig. S2b), is clearly visible in the December–February average 161 vertical profile (Fig. 2d, black line). In the July-September 2019 average, the beginning 162 of a similar shear anomaly is just barely discernible as an indent in the wind profile near 163 50 hPa (Fig. 2c, black line). A shallow region of weak westward shear appeared near 60 164 hPa in September, subsequently strengthening and expanding upward during October-165 December (Fig. S2a) to resemble the wind shear seen at these same altitudes in December– 166 February 2015/16 (Fig. S2b). Sustained westward forcing by meridional momentum trans-167 port continued at 40–50 hPa during October–December 2019 although it was weaker than 168 in July–September (Fig. S2c,e) and unexceptional in the record (not shown). Strong west-169 ward forcing at 40 hPa due to vertical advection also occurred over this period (Fig. S2c; 170 Sec. 5), associated with eastward wind shear that had strengthened at this altitude dur-171 ing July–September (Fig. S2a). Consequently the eastward winds near 40 hPa contin-172 ued to weaken over this period (Fig. S2c) until westward winds emerged in late Decem-173 ber 2019. 174

The 2019/20 QBO disruption thus resembles the 2015/16 event in that meridional momentum fluxes, neglected in the canonical QBO model, became anomalously strong and weakened the QBO eastward phase in the lowermost stratosphere, leading approx-

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Figure 2. (a,b) Time series of ERA5 equatorial forcing tendency due to meridional EP-flux convergence (red) and the sum of vertical EP-flux convergence and vertical advection (grey) superimposed on the altitude vs. time progression of zonal-mean zonal wind (5 m s⁻¹ contours, eastward red, westward blue) for (a) April 2019 to October 2020 and (b) June 2015 to December 2016. (c,d) Vertical profiles of meridional EP-flux divergence (red) and zonal-mean zonal wind (black) averaged over (c) July to September 2019 and (d) December 2015 to February 2016; the averaging periods are bracketed by vertical dashed green lines in (a,b). Grey shading shows the 5%–95% range (median dashed) of meridional EP-flux convergence over the 1979–2020 period for (c) July–September (JAS) and (d) December–February (DJF). All panels use ERA5 daily data, 4° S–4° N average, smoothed in (a,b) with a Gaussian-weighted running mean using $\sigma=2$ days ($\sigma=10$ days) for wind (tendencies). (For the full momentum budget, see Fig. S2.)

imately three months later to the emergence of a shallow westward layer near 40 hPa. 178 In both events this forcing occurred near the bottom of the eastward QBO phase, well 179 below the descending westward phase above. The peak wind speed reached in the shal-180 low westward layer was similar in both cases, being -21 m s^{-1} in 2019/20 and -19 m s^{-1} 181 in 2015/16 (Fig. 2a,b). The two events differed in the timing of the strongest forcing by 182 meridionally propagating waves: during Southern Hemisphere (SH) winter for the 2019/20 183 disruption, and during NH winter for the 2015/16 disruption. Forcing strengths also dif-184 fered: peak forcing was stronger in 2015/16 (Fig. 2a and 2b, red lines) but concentrated 185 over a shorter period from when the QBO eastward phase began its decay to when the 186 40 hPa westward layer emerged. At 50 hPa the time-integrated forcing from June to De-187 cember 2019 was roughly 30% larger than that from October 2015 to February 2016 ($-17~{\rm m~s^{-1}}$ 188 and -13 m s^{-1} , respectively) but was spread over a longer period (Fig. S3). 189

¹⁹⁰ 5 Role of Southern Hemisphere in 2019/20 disruption

Rossby waves propagate upward and equatorward from their extratropical source 191 regions, but the tropical stratosphere is usually shielded from their incursions by a re-192 gion of westward or weak eastward zonal wind in the subtropical stratosphere. This was 193 the case near 20 hPa in July–September 2019 when the wind near 20° S was very strongly 194 westward compared to the other years between 1979–2020 (Fig. 3a). In contrast, near 195 70 hPa the SH subtropical winds were very strongly eastward compared to other years 196 (Fig. 3b). Consequently, this allowed for an exceptionally large northward wave-momentum 197 flux (meridional EP-flux) from 20°S to the equator at 50 hPa (Fig. 3c). Since Rossby 198 waves cannot propagate into westward summer hemisphere winds, this caused large west-199 ward momentum flux convergence at the equator and corresponding westward tendency 200 (Fig. 2a,c). 201

Similarly, during the previous disruption equatorward wave propagation was in-202 hibited during December-February 2015/16 by a westward NH subtropical barrier at higher 203 altitudes (Fig. 3d) but was favoured by NH subtropical winds at lower altitudes that were 204 very strongly eastward compared to other years (Fig. 3e), allowing an anomalously large 205 equatorward wave-momentum flux at 50 hPa (Fig. 3f). In contrast the equatorward flux 206 from the NH in December–February 2019/20 was unremarkable, close to the median value 207 for 1979–2020 (Fig. 3f), indicating the importance of SH forcing for the 2019/20 event. 208 Both disruptions occurred when subtropical winds favoured equatorward Rossby wave 209

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Figure 3. Meridional profiles of ERA5 (a,b) zonal-mean zonal wind and (c) meridional EPflux, averaged over July–September (JAS) at the indicated pressure levels. (d,e,f) As (a,b,c) but averaged over December–February (DJF). The most recent (red) and previous (black) disruption years are highlighted in each panel. Grey shading shows the 5%–95% range (median dashed) over the 1979–2020 period for each variable at the indicated level and months.

propagation at the lowermost altitudes of the QBO but not at higher altitudes. This explains why meridional momentum flux convergence did not occur primarily at higher altitudes and accelerate the downward progression of the westward equatorial shear zones there (Fig. 2c,d).

The SH winter of 2019 was unusual in that a rare minor sudden stratospheric warm-214 ing (SSW) occurred, beginning in late August (Rao et al., 2020; Shen et al., 2020). The 215 timing of the warming roughly coincided with large westward forcing by meridional EP-216 flux in August and September (Fig. 2a). Concurrently, a large increase in tropical up-217 welling occurred, most likely due to the anomalous meridional overturning circulation 218 associated with the SSW (Baldwin et al., 2020), leading to increased vertical advection 219 at the equator near 40 hPa in September 2019 that contributed to the deceleration of 220 the eastward QBO phase at that level (Fig. S2c). The displacement of the SH polar vor-221 tex during the minor warming may also have contributed to a subtropical corridor of east-222 ward winds at 40–50 hPa over South America enabling synoptic-scale wave propagation 223 toward the equator in late August / early September, in a manner similar to that doc-224 umented for the 2015/16 disruption (Lin et al., 2019). However, further investigation will 225

be required to determine whether or not the occurrence of the minor warming was essential to the 2019/20 QBO disruption. In any case, large equatorward meridional momentum fluxes, whatever their proximate causes, were a common feature of both disruptions.

²³⁰ 6 Climate change

While the 2015/16 disruption could reasonably be judged as a "once in 50-year event" 231 a second disruption in a relatively short time raises the question of possible climate-change 232 connections. In a warming climate the quasi-regular QBO cycling breaks down in some 233 model projections but, in general, uncertainties in the representation of small scale grav-234 ity waves in models leads to a wide spread in QBO projections (Richter et al., 2020) and 235 hence any projected changes in occurrences of disruptions cannot be considered reliable. 236 On the other hand, in all multi-model QBO projections, there is an overall weakening 237 of the oscillation in the lower stratosphere (Kawatani & Hamilton, 2013; Richter et al., 238 2020; Butchart et al., 2020), attributed to the well established speeding-up of the Brewer-239 Dobson circulation (tropical upwelling) in models in response to climate change (Kawatani 240 & Hamilton, 2013; Butchart, 2014). A weaker QBO with eastward phase persisting longer 241 in the lower stratosphere due to a faster Brewer-Dobson circulation is more likely to be 242 vulnerable to the effects of extra-tropical wave fluxes penetrating the equatorial latitudes. 243

A reliable feature of the QBOi projections (see Sec. 2 for details) used by Richter 244 et al. (2020) is the SH weakening and NH reversal of the climatological westward winds 245 at the edges of the QBO during winter in response to a doubling and quadrupling of CO_2 246 amounts (Fig. 4a). This reduces the shielding of the QBO from the effects of the Rossby 247 waves propagating from the winter hemisphere (O'Sullivan, 1997) and consequently there 248 is an increase, on average, in the wave momentum flux into the tropics at all levels above 249 60 hPa (Fig. 4b). For $4 \times CO_2$ the highest percentage increase in momentum flux is 51% 250 at 40 hPa compared to 29% (28%) at 20 (40) hPa for $2 \times CO_2$. For the SH the max-251 imum percentage increases again occur at 40 and 20 hPa for 4 \times CO₂ (33%) and 2 \times 252 CO_2 (25%), respectively. 253

Changes seen in state-of-the-art climate model projections used for the latest IPCC
assessment (Eyring et al., 2016) between the historical period 1961–2000 and 2061–2100
under the Shared Socioeconomic Pathways (Gidden et al., 2019) (SSP) 5-8.5 (Fig. 4c and

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Figure 4. Projected changes in subtropical zonal-mean zonal wind and meridional EP-flux.
(a) Present-day (red), doubled CO₂ (light blue) and quadrupled CO₂ (dark blue) vertical profiles of subtropical zonal-mean zonal wind for the NH during winter (solid; averaged December–
February, 10° -15° N) and the SH during winter (dashed; averaged July–September, 10° -15° S) for QBOi models. Filled circles indicate differences between present and future model ensembles that are significant at 95% (large circles) and 90% (small circles), based on a Student's t-test.
(b) As (a) but for equatorward-directed EP-flux component (southward for the NH, northward for the SH). (c,d) As (a,b) but historical (red) and SSP5-8.5 scenario (dark blue) simulations by CMIP6 models.

d) agree remarkably well with the QBOi projected weakening and reversal of the west-257 ward wind at the edge of the tropics (cf., Fig. 4a and c) and the projected increase of 258 wave momentum entering the tropics (cf., Fig. 4b and d). Similar agreement was also 259 obtained for the SSP3-7.0 scenario but because only a limited number of models uploaded 260 momentum flux diagnostics the results are not included here. Differences between the 261 QBOi and CMIP6 projections largely occur above 20 hPa where, for example, the CMIP6 262 results show no increase in the momentum flux which is possibly due to additional changes 263 in stratospheric ozone in the CMIP6 models not included in the idealised QBOi simu-264 lations. However a detailed analysis of the differences between the two multi model en-265 sembles is quite beyond the scope of the present study. For the SSP5-8.5 scenario the 266 greatest percentage increase in the momentum flux was at 50 hPa (40 hPa is not included 267 in the output levels for the CMIP6 data) with 49% and 58% increases in the NH and SH 268 respectively, consistent with the QBOi projections. The interannual variability (stan-269 dard deviation) of the monthly mean fluxes also increased, on average, in the CMIP6 pro-270 jections and combined with the increase in the mean this implies a greater proportion 271 of winters are likely to have sufficiently anomalous fluxes to disrupt the QBO. Using this 272 novel approach of examining the more reliable response to climate change of the wave 273 momentum fluxes rather than the simulated QBOs per se, plus the already established 274 speeding up of the Brewer-Dobson circulation (Butchart, 2014) and weakening of QBO 275 amplitudes (Kawatani & Hamilton, 2013; Richter et al., 2020; Butchart et al., 2020), en-276 ables us to infer with some confidence that QBO disruptions are likely to become more 277 common due a changing climate. 278

279 7 Conclusions

The quasi-biennial oscillation has been disrupted again for only the second time since its discovery. Both disruptions occurred near 40 hPa and were initiated by historically large forcing from extratropical waves. The 2019/20 event differs in that the largest wave disturbances originated from the SH rather than the NH, no strong El Niño event was present, and an eastward jet subsequently emerged above the shallow westward layer.

The high predictability of the QBO on 3–4 year timescales can potentially provide a source of long-term (seasonal to interanuual) predictive skill due to QBO teleconnections (Baldwin et al., 2001; Anstey & Shepherd, 2014; Scaife, Athanassiadou, et al., 2014; Scaife, Arribas, et al., 2014; Son et al., 2017; Gray et al., 2018; Mundhenk et al., 2018).

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When this predictability disintegrates, as occurred in the 2015/16 and 2019/20 disrup-289 tions, the accuracy – and hence value to society – of such forecasts may be reduced. Fol-290 lowing both disruptions the normal QBO cycling resumed, manifesting in 2020 as an east-291 ward jet emerging above the shallow westward layer, consistent with the standard QBO 292 paradigm (see Fig. S4) and auguring a return to the high predictability of the QBO un-293 til meteorological conditions once again favour disruption. By the end of 2020 the QBO 294 had returned to a typical eastward pattern, at a similar stage in its cycle as when the 295 chain of events leading to the disruption first began unfolding approximately a year and 296 a half earlier (Figs. 1a & 2a). 297

Whether disruptions themselves can be predicted more than ~ 1 month in advance 298 remains an open question (Watanabe et al., 2018). The 2015/16 disruption was not pre-299 dicted by operational seasonal forecasting systems (Osprey et al., 2016) and early indi-300 cations are that the same is true of the 2019/20 disruption, although models may per-301 form better at predicting the evolution of the disruption once it has begun (Watanabe 302 et al., 2018). Predicting the full "life cycle" of QBO disruptions could provide a strin-303 gent test of models. Such work will be aided by the availability of new Aeolus satellite 304 wind observations that will monitor the evolution of the QBO over the whole tropical 305 belt (Witschas et al., 2020). Inherently shorter predictability of disruptions (as contrasted 306 with the usual QBO) is consistent with their extratropical origins, since the extratrop-307 ics are less predictable than the tropics. 308

Under climate change, Rossby wave propagation into the low-latitude stratosphere 309 is expected to increase (Shepherd & McLandress, 2011) and we have shown this occurs 310 in model climate projections including those supporting the latest Intergovernmental Panel 311 on Climate Change (IPCC) assessment. Under increasing influence from the extratrop-312 ics, tropical stratospheric winds will likely become less predictable, leading to less skil-313 ful seasonal forecasts. Combined with an increasing Brewer-Dobson circulation (Butchart, 314 2014) and weakening QBO amplitudes (Kawatani & Hamilton, 2013; Richter et al., 2020; 315 Butchart et al., 2020), the prospect of QBO disruptions is likely to increase in a changed 316 climate. 317

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obtained from the CMIP6 international archive (https://esgf-index1.ceda.ac.uk/ 321 projects/cmip6-ceda/) and the QBOi multi-model archive at the UK Centre for En-322 vironmental Data Analysis (CEDA) (Butchart et al., 2018). NB was supported by the 323 Met Office Hadley Centre Programme funded by BEIS and Defra and the UK-China Re-324 search & Innovation Partnership Fund through the Met Office Climate Science for Ser-325 vice Partnership (CSSP) China as part of the Newton Fund. LC was supported by the 326 NASA Modeling and Analysis Program. PN was supported by the NASA Atmospheric 327 Composition Modeling and Analysis Program. SO was supported by the National Cen-328 tre for Atmospheric Science and UK NERC (NE/P006779/1, NE/N018001/1). CW was 329 funded by the Royal Society, University Research Fellowship (UF160545). TB was funded 330 by an EPSRC Doctoral Training Account. We thank Adam Scaife for updating us on 331 the UK Met Office Seasonal Forecasts for the 2019/20 winter. 332

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476 Supporting Information

Fig. S1 shows the full 1976–2020 progression of QBO phase using Singapore radiosonde winds, of which a subset is shown in Fig. 1c. The linear slope over most of the record indicates the usual high predictability of QBO phase. The 2015/16 and 2019/20 disruptions appear as abrupt deviations from the usual phase progression.

Fig. S2 compares the evolution of ERA5 zonal-mean zonal wind and its vertical shear 481 in the 2015/16 and 2019/20 disruption events (Fig. S2a,b) and details of the zonal-mean 482 zonal momentum budget at 41 hPa and 50 hPa (Fig. S2c-f). More precisely the pres-483 sure levels shown are 40.53 hPa and 49.60 hPa, the closest ERA5 levels to 40 and 50 hPa. 484 The resolved forcing terms are the meridional EP-flux component, vertical EP-flux com-485 ponent, meridional advection, and vertical advection. The "canonical model" forcing shown 486 in Fig. 2a,b (grey line) is the sum of the vertical EP-flux and vertical advection at 50 487 hPa as shown in Fig. S2e,f. The time axis in Fig. S2 places the "central date" of each 488 disruption at the same relative position on the axis for both disruptions. This is the time 489 at which ERA5 zonal-mean zonal winds turned westward at 40 hPa, determined as 21 490 December 2019 and 1 February 2016 for the two events. 491

Fig. S3 shows the time integral of forcing tendency due to meridional EP-flux for the two disruptions. The total forcing by horizontal wave-momentum flux provides an alternate metric for the "strength" of the disruption, complementary to the EOF-based metric shown in Fig. 1b.

Fig. S4 indicates the resumption of typical QBO behaviour following the 2019/20496 disruption. The westward wind layer at ~ 40 hPa inhibits upward-propagating tropi-497 cal waves that would otherwise force the descent of the overlying westward QBO phase, 498 which then stalls and is carried upward by the Brewer-Dobson circulation (Fig. 2a,b). 499 When the westward winds descend to ~ 70 hPa, the barrier to upward-propagating waves 500 with eastward phase speeds is removed. Following 2015/16 disruption this led to the re-501 sumption of eastward phase descent in April 2016. Following the 2019/20 disruption it 502 led to an eastward jet emerging in May 2020 at ~ 25 hPa forced by the vertical EP-flux 503 component (Fig. S4), of which $\sim 50\%$ is due to large-scale waves (k = 1-10; not shown), 504 consistent with radiative damping of Kelvin waves as they encounter eastward wind shear. 505



Figure S1. Singapore QBO phase as in Fig. 1c, but showing the full record since January 1976.



Figure S2. QBO disruptions as seen in the ERA5 reanalysis during (a,c,e) 2019/20 and (b,d,f) 2015/16. (a,b) Zonal-mean zonal wind (black contours; zero thick, westward dashed, 5 m s⁻¹ spacing) and its vertical shear (filled contours). (c,d) Zonal-mean zonal wind tendency due to eddy momentum transports and advection, and zonal-mean zonal wind (thick black line) at 41 hPa. (e,f) As (c,d), but at 50 hPa. Vertical green dashed lines mark the time when westward winds first emerge near 40 hPa for each disruption. Horizontal green dashed lines in (a,b) indicate 41 and 50 hPa, the altitudes shown in (c-f). All panels use ERA5 daily means, 4° S-4° N average, smoothed with a Gaussian-weighted running mean using (a,b) $\sigma=2$ days, (c-f) $\sigma=10$ days.



Figure S3. (a) Time-integrated zonal-mean zonal wind tendency (black) due to forcing by meridional EP-flux (red) for the 2019/20 disruption. Red curve is the same as in Fig. 2a (50 hPa, 4° S-4° N, daily ERA5 data smoothed with σ =10 day Gaussian-weighted running mean). The black curve is the time integral of the red curve. (b) As (a) but for the 2015/16 disruption. Red curve is the same as in Fig. 2b.



Figure S4. (a) Zonal-mean zonal wind (black contours; zero thick, westward dashed, 5 m s⁻¹ spacing) and wind tendency due to vertical EP-flux component (filled contours). (b) Zonal-mean zonal wind tendency due to eddy momentum transports and advection, and zonal-mean zonal wind (thick black line), at the altitude of emerging eastward winds. Vertical green dashed line marks the time when westward winds first emerge near 40 hPa. Horizontal green dashed line in (a) indicates the altitude shown in (b). All panels use daily ERA5 daily, 4° S-4° N average, smoothed with a Gaussian-weighted running mean using (a) σ =4 days, (b) σ =10 days.