### An assessment of the temporal variability in the annual cycle of daily Antarctic sea ice in the NCAR Community Earth System Model, Version 2: A comparison of the historical runs with observations

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#### Abstract

Understanding the variability of Antarctic sea ice is an ongoing challenge given the limitations of observed data. Coupled climate model simulations present the opportunity to examine this variability in Antarctic sea ice. Here, the daily sea ice extent simulated by the newly-released National Center for Atmospheric Research Community Earth System Model Version 2 (CESM2) for the historical period (1979-2014), is compared to the satellite-observed daily sea ice extent for the same period. The comparisons are made using a newly-developed suite of statistical metrics that estimates the variability of the sea ice extent on timescales ranging from the long-term decadal to the short term, intra-day scales. Assessed are the annual cycle, trend, day-to-day change, and the volatility, a new statistic that estimates the variability at the daily scale. Results show that the trend in observed daily sea ice is dominated by sub-decadal variability with a weak positive linear trend superimposed. The CESM2 simulates this sub-decadal variability with a strong negative linear trend superimposed. The CESM2's annual cycle is similar in amplitude to the observed, a key difference being the timing of ice advance and retreat. The sea ice begins it advance later, reaches its maximum later and begins retreat later in the CESM2. This is confirmed by the day-to-day change. Apparent in all of the sea ice regions, this behavior suggests the influence of the semi-annual oscillation of the circumpolar trough. The volatility, which is associated with smaller scale dynamics such as storms, is smaller in the CESM2 than observed.

# An assessment of the temporal variability in the annual cycle of daily Antarctic sea ice in the NCAR Community Earth System Model, Version 2: A comparison of the historical runs with observations

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#### Key Points:

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10	•	Antarctic sea ice extent variability is dominated by sub-decadal variability and
11		that is well represented in the CESM2 simulations.
12	•	The CESM2 simulates an annual cycle of sea ice extent that is comparable in size
13		to that observed but begins its advance and retreat later.
14	•	The later retreat of sea ice in the CESM2 is potentially related to its simulation
15		of the semi-annual oscillation of the circumpolar trough.

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#### 16 Abstract

Understanding the variability of Antarctic sea ice is an ongoing challenge given the lim-17 itations of observed data. Coupled climate model simulations present the opportunity 18 to examine this variability in Antarctic sea ice. Here, the daily sea ice extent simulated 19 by the newly-released National Center for Atmospheric Research Community Earth Sys-20 tem Model Version 2 (CESM2) for the historical period (1979-2014), is compared to the 21 satellite-observed daily sea ice extent for the same period. The comparisons are made 22 using a newly-developed suite of statistical metrics that estimates the variability of the 23 sea ice extent on timescales ranging from the long-term decadal to the short term, intra-24 day scales. Assessed are the annual cycle, trend, day-to-day change, and the volatility, 25 a new statistic that estimates the variability at the daily scale. Results show that the 26 trend in observed daily sea ice is dominated by sub-decadal variability with a weak pos-27 itive linear trend superimposed. The CESM2 simulates this sub-decadal variability with 28 a strong negative linear trend superimposed. The CESM2's annual cycle is similar in am-29 plitude to the observed, a key difference being the timing of ice advance and retreat. The 30 sea ice begins it advance later, reaches its maximum later and begins retreat later in the 31 CESM2. This is confirmed by the day-to-day change. Apparent in all of the sea ice re-32 gions, this behavior suggests the influence of the semi-annual oscillation of the circum-33 polar trough. The volatility, which is associated with smaller scale dynamics such as storms, 34 is smaller in the CESM2 than observed. 35

#### <sup>36</sup> Plain Language Summary

Antarctic sea ice is strongly variable in space and in time. Lack of observed data 37 makes it difficult to determine what causes this variability and limits our ability to un-38 derstand the variability and to project how it might change in the future. Climate mod-39 els give the opportunity to study the sea ice and to project change. We compare the sea 40 ice simulations produced by the National Center for Atmospheric Research (NCAR) Com-41 munity Earth System Model Version 2 (CESM2) with satellite-observed data for the years 42 1979-2014. We examine the annual cycle, trend, day to day change in sea ice and the volatil-43 ity, a new statistic that estimates the variability at the daily scale. We show that the 44 CESM2 is able to simulate the sub-decadal variability apparent in the observed sea ice 45 but not the weak, positive, linear trend. The CESM2 also simulates an annual cycle of 46 similar amplitude to that observed but the ice starts growing later and retreating later 47 in the CESM2 than is observed. The timing difference in the annual cycle is common 48 to all of the sea ice regions around Antarctica, which suggests that it might be because 49 of a circum-Antarctic atmospheric circulation feature called the circumpolar trough. 50

#### 51 **1** Introduction

Each year, the total Antarctic sea ice extent (SIE) grows for approximately 225 days 52 to its maximum at the end of winter and retreats for 140 days to its minimum at the end 53 of summer (Handcock & Raphael, 2019), describing what is arguably the most pronounced 54 annual cycle on earth. Embedded within this regularity are regional and temporal vari-55 ations (e.g., Stammerjohn et al., 2012; Raphael & Hobbs, 2014; Hobbs et al., 2016) that 56 have significance for the Antarctic and global climate. However, aspects of its large scale 57 variability while closely observed, are still not well understood. These include the pos-58 itive trend in SIE that occurred over the satellite era until 2016 when anomalously early 59 retreat of the sea ice led to record low SIE which continued in subsequent years (*Cita*-60 *tions*). There is a critical need for long term data within which to place such variabil-61 ity into context and to provide a basis for projecting future sea ice variability because 62 of the important role that Antarctic sea ice plays in our closely coupled climate system. 63 In the absence of such long term data, coupled climate model simulations present the 64 opportunity to examine this variability in Antarctic sea ice and also to project future 65

sea ice climate. The models have had some success in simulating the climate. For ex-66 ample, in their analysis of CMIP5 coupled climate models Holmes et al. (2019) have iden-67 tified one model that exhibits realistic behavior. This model is able to match observa-68 tions of sea ice drift. They use this to argue that the existing climate models are sophis-69 ticated enough to represent aspects of Antarctic sea ice correctly. However, while this 70 is a significant step forward, coupled climate models have had limited success in simu-71 lating correctly fundamental aspects of the observed annual cycle and the long term trend. 72 An assessment of the coupled climate models that were contributed to the fifth phase 73 of the Coupled Model Intercomparison Project (CMIP5) found that many of the mod-74 els had an annual SIE cycle that differed markedly from that observed over the last 30 75 years (Turner et al., 2013). The majority of models had a SIE that was too small at the 76 minimum in February, while several of the models exhibited much smaller SIE than ob-77 served at the September maximum. All of the models had a negative trend in SIE since 78 the mid-twentieth century (contrary to observed) (Turner et al., 2013). For the same suite 79 of models Roach et al. (2018) found that the sea ice concentration (SIC) from which the 80 SIE is calculated was not well represented, for example, being too loose and low-concentration 81 all year. They attribute this to the sea ice thermodynamics used in the models. Antarc-82 tic sea ice is intimately tied to the Antarctic climate and these biases in simulated sea 83 ice affect the simulated climate (Bracegirdle et al., 2015). Therefore the inability of the 84 models to simulate historical sea ice correctly limits the confidence that we might have 85 in their projections of future climate. 86

In this current study we analyze the Antarctic sea ice simulated by the National 87 Center for Atmospheric Research (NCAR) Community Earth System Model Version 2 88 (CESM2) (Danabasoglu et al., 2020). CESM2 is a fully-coupled, community, global cli-89 mate model that provides state-of-the-art computer simulations of the Earth's past, present, 90 and future climate states. It is one of the coupled climate models that have contributed 91 to the sixth phase of the Coupled Model Intercomparison Project (CMIP6; Evring et al., 92 2016). Other studies have assessed other aspects of the CESM2 Antarctic climate, in-93 cluding the influence of new sea ice physics (Bailey et al., 2020) and variability and pre-94 dictability characteristics in the pre-industrial climate (Singh et al., 2020). Here we fo-95 cus on how this model's simulation of Antarctic sea ice compares with observations. Our 96 comparisons focus on the time period 1979 - 2014, which represents a subset of the his-97 torical runs which coincides with the bulk of the period of satellite record. We assess the 98 simulations using a suite of statistical metrics developed by Handcock and Raphael (2019) 99 that allow us to to look at the variability on timescales ranging from the long-term decadal 100 to the short term intra-day scales. We focus especially on the annual cycle and the trend, 101 the two most significant components of variability in Antarctic sea ice, and as mentioned 102 above, components which climate models have had difficulty reproducing. The data and 103 method are presented in Section 2, The results are presented and discussed in Section 104 3 and the work is summarized and conclusions are made in Section 4. 105

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#### 2 Data and Method

Here we use a subset of the CESM2 historical (1850 2014) simulations, 1979 2014, from ten ensemble members and compare it with satellite-observed sea ice data from the Bootstrap Sea Ice Concentrations from Nimbus-7 SMMR and DMSP SSM/I-SSMIS, Version 3 (Comiso, 2017; Peng et al., 2013; Meier et al., 2017) for the same period. The structural details of the CESM2 are elaborated upon in other papers in this CESM2 special collection (Danabasoglu et al., 2020) so are not discussed here.

Daily sea ice extent (SIE) for the CESM2 ensemble mean as well as for the individual ensemble members are compared with the daily SIE from the SSMI data. The SIE is calculated using the limit of the 15% SIC isoline. Thus, it is the sum of the area of every grid cell that is 15% or more covered with sea ice. The use of daily data here is new as previous model comparisons have typically used monthly averaged values. However, daily data has the potential to give much added information about the sea ice variability simulated by the model at a much finer temporal resolution. Also, much of the variability in contemporary Antarctic sea ice occurs at sub-monthly scales making the examination of daily data particularly useful. For simplicity, most of the discussion of the results focuses chiefly on the model ensemble means.

The components of variability of the SIE that are assessed are the annual cycle, 123 trend, day to day change and the *volatility*. Comparisons to the long term trends may 124 be challenging due to the role of internal variability (e.g., Polvani & Smith, 2013; Mahlstein 125 et al., 2013). However, looking across multiple ensemble members allows some insight 126 on whether the model can simulate a combination of external forcing and internal vari-127 ability that is comparable to observations. While the annual cycle and trend are the two 128 components most usually assessed, the day to day change and the volatility are new. This 129 is largely because most analyses have been conducted on monthly or seasonal averages. 130 The volatility is a new metric developed in Handcock and Raphael (2019). The sea ice 131 record on any given day is the sum of a number of components of variation the inter-132 annual variation, the annual cycle for that day, day to day variation and the volatility 133 (or statistical error) in the observed daily value. Normally that error is considered or rep-134 resented as a constant over time. However, here, we allow it to vary, explicitly represent-135 ing it as a calendar time varying component. We define it as the daily standard devi-136 ation which is the intra-day uncertainty in the sea ice extent. The volatility in observed 137 data is considered to be due largely to factors like the ephemeral dynamics effects of storms 138 at the ice edge and wave-ice interactions. Some, smaller, portion of it may be due also 139 to instrumentation and algorithm effects. 140

Antarctic sea ice distribution varies regionally, therefore our analyses examines the 141 total SIE as well as the regional SIE variability in order to get a comprehensive sense 142 of the model's performance. The sea ice regions used in this analysis were defined by Raphael 143 and Hobbs (2014) and are based on coherent spatial variability in the sea ice concentra-144 tion field. DuVivier et al. (2019) assesses the seasonal distribution of sea ice concentra-145 tion simulated by the CESM2. Their Figure 13 shows that the model does a credible job 146 of simulating the concentration of sea ice. Antarctic sea ice variability is closely tied to 147 the variability in sea level pressure (SLP) over the Southern Ocean. Using SLP, taken 148 from the ERA-Interim Reanalyses for the period 1979 - 2014, we make a preliminary di-149 agnosis of reason for the differences between the simulated and observed SIE. We com-150 pare the simulated SLP with the corresponding variable in the ERA-Interim dataset. 151

152 3 Results

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#### 3.1 Trend

It is common in climate science to represent variability at sub-decadal or longer timescales as linear functions of time. In this case the presence of a non-zero slope is evidence of change. Here we expand the representation to allow non-linear functions of time, specifically slowly changing curvilinear functions of time. This allows more flexible and realistic representations of change while retaining linear trends as a special case. Our trend is explicitly defined in equation (15) of Handcock and Raphael (2019). As we show below, this curvilinear trend captures variability at sub-decadal timescales.

Very few climate models that participated in the previous CMIPs have been able to simulate the observed positive linear trend in Antarctic SIE that occurred from 1979-2016 (e.g., Turner et al., 2013; Shu et al., 2015). One suggested reason for this discrepancy is the possibility that the processes underlying the increase in sea ice extent are not correctly represented in the models (e.g., Turner et al., 2013; Sigmond & Fyfe, 2014). Another is that the observed increase in sea ice extent might be due to natural variability rather than external forcing in the system and therefore, that the climate models do

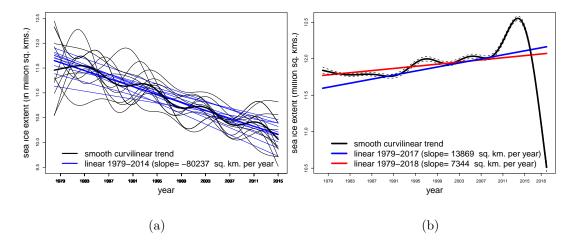


Figure 1. Observed and simulated trends in daily Antarctic sea ice extent represented in terms of the area of sea ice involved in the trend. a) Curvilinear (black) and linear (blue) trends simulated by the CESM2. Bold lines are the ensemble mean, thin lines are the individual ensemble members; b) Observed trends in daily Antarctic sea ice linear trend from 1979 2017 (blue), from 1979 2018 (red); curvilinear trend (black) with 95% pointwise confidence intervals (dashed black lines).

not simulate it is not necessarily a failure of the models (e.g., Polvani & Smith, 2013; 168 Mahlstein et al., 2013). Figure 1a, which shows change in SIE associated with the trend, 169 illustrates that as was the case for the majority of the CMIP5 models, this most recent 170 version of CESM2 simulates a pronounced negative linear trend (thick orange line). This 171 is true in the ensemble mean and also apparent in each ensemble member. However, Fig-172 ure 1b which shows the observed daily linear trend in total Antarctic SIE demonstrates 173 that this observed positive linear trend is quite weak and may be strongly influenced by 174 the record maxima which occurred from 2012 2014. Interestingly, Figure 1b also sug-175 gests that this level of variability of daily SIE is better represented as a curvilinear func-176 tion of time rather than a linear one, suggesting variability at sub-decadal timescales. 177 The linear trend does not provide a good characterization of the data because of these 178 sub-decadal variations. The CESM2 captures the sub-decadal variability (Figure 1a, black 179 lines), indeed the simulated version is much more pronounced than observed. The sub-180 decadal variability in the daily SIE in this analysis is consistent with that discussed by 181 Simpkins et al. (2013) in their analysis of changes in the magnitudes of the sea ice trends 182 in the Ross and Bellingshausen Seas. That the CESM2 is successful at capturing the sub-183 decadal variability in the SIE suggests that the model may be used for diagnosing the 184 mechanisms that force this nonlinear behavior. 185

We also examine the simulated trend by region (Figure 2). Figure 2b shows the en-186 semble mean simulated trends. The curvilinearity apparent in the total SIE is also noted 187 regionally. The largest changes are in the Ross, Weddell and King Hakon sectors, fol-188 lowed by East Antarctica and the Amundsen-Bellingshausen (ABS) sectors. It is inter-189 esting to note that the timing of the subdecadal variation is not synchronous in some 190 regions, a fact best illustrated by the Ross and Weddell Sea sectors (Figure 2a&b). This 191 dipole of variability between the Weddell and Ross sectors is reminiscent of the Antarc-192 tic Dipole, the leading mode of interannual variability in Antarctic sea ice (e.g., Yuan 193 & Martinson, 2000, 2001; Holland et al., 2005). Given that these two sectors contribute 194 most to the total SIE, such lack of synchronicity would have a significant effect on the 195

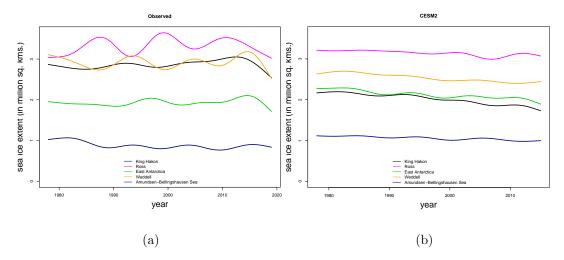


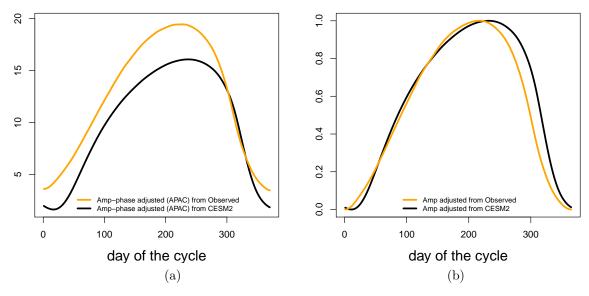
Figure 2. Regional observed and simulated trends in daily Antarctic sea ice extent. a) Observed trends; b) Trends simulated by the CESM2. Regions are Amundsen-Bellingshausen sector (dark blue), East Antarctica (green), Weddell Sea (orange), King Hakon VII (black); Ross Sea (magenta)

trend in total SIE. Regionally, the CESM2 captures the range of the trends in terms of 196 the area of sea ice involved. As is observed, the simulated ABS sector has the smallest 197 effect while the Ross Sea sector has the largest in terms of the area of sea ice. The trend 198 in the King Hakon sector is weaker and now comparable to the neighboring East Antarc-199 tica sector. The curvilinearity in the time-series is apparent at the regional scale but weaker 200 in general than observed. A good proportion of this is due to averaging the curvilinear-201 ity of the ensemble members, however, calculations of the average variance of the curvi-202 linearity of ensemble members shows that the Ross, Weddell and Amundsen-Bellingshausen 203 sectors have lower variance than the observed, while the King Hakon and East Antarc-204 tica exhibit more. 205

#### 3.2 Annual cycle

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Here we compare the amplitude (the difference between the maximum and min-207 imum extents), and phase (the timing of the advance and retreat) of the observed, daily 208 annual cycle of SIE with that simulated by CESM2. The amplitude and phase are the 209 two key characteristics of the annual cycle of sea ice. The traditional way of calculat-210 ing the annual cycle is to take the average SIE for each day of the year. However, an an-211 nual cycle produced in this fashion does not include the effect of the day preceding nor 212 the day following the averaged day, therefore it disguises the fact that the phase may be 213 changing slowly and that the amplitude and shape of the annual cycle might vary. Given 214 these limitations we calculate an annual cycle that is adjusted for amplitude and phase. 215 The mathematical detail of the calculations is specified in Handcock and Raphael (2019), 216 Section 3.1. It assumes that the amplitude varies annually while the phase, which is the 217 timing of advance and retreat of the ice, varies continuously. In this way, the annual cy-218 cle is not constrained to be a fixed (in time) cyclical pattern. Instead, the amplitude and 219 shape of the cycle are allowed to vary, as would occur naturally. The outcome, averaged 220 over the dataset period, is shown in Figure 3a and presents a more thorough if nuanced 221 description of the annual cycle than the traditional daily climatology. For clarity, Fig-222 ure 3 shows only the ensemble mean and the observed cycles. On the horizontal axis is 223 the day of the cycle not the day of year. Day 1, which is the average day on which the 224



**Figure 3.** Observed and simulated annual cycles. a) Amplitude and phase adjusted annual cycles; b) Amplitude adjusted only annual cycles. CESM (black lines), Observed (orange lines). On the horizontal axis is day of cycle day 0 is Julian Day 50. On the vertical axis is sea ice extent in millions of square kilometers.

sea ice stops retreating and begins to advance is Julian day 50. Figure 3a shows that the 225 simulated SIE is much smaller than the observed, especially at sea ice minimum and max-226 imum. This result is similar to what was found in previous studies (e.g., Turner et al., 227 2013). Moreover, it shows clearly that the sea ice minimum in the model occurs after 228 ice has begun its advance in the observed cycle and there are small differences during 229 the retreat phase of the ice. Given that the annual cycle in the model is starting later 230 and from a lower minimum it is possible that the model is simulating an amplitude, i.e 231 a difference between the SIE at maximum and minimum, that is within range of that 232 observed. 233

To examine more closely the similarity in amplitude and differences in timing shown 234 on Figure 3a, we calculate an amplitude-adjusted annual cycle which standardizes the 235 variation in amplitude while allowing variation in phase. Details of its calculation are 236 also specified in Handcock and Raphael (2019), Section 3.1. Figure 3b shows that there 237 are phase differences between the CESM2 and the observed annual cycles, most obvi-238 ously in the retreat period. In the advance period, the sea ice in CESM2 begins advanc-239 ing some days later than the observed but catches up quickly and the rate of advance 240 appears to be more or less the same for most of the growth phase of the ice. There is 241 however, a clear difference in phase for the latter part of the ice cycle. During this time, 242 the observed sea ice begins to retreat at day of cycle 215 (Julian Day 266), 12 days ear-243 lier than the CESM2 ensemble mean simulations. To put this in recent context, the anoma-244 lously early retreat of sea ice in 2016 began approximately three weeks before the me-245 dian retreat onset. This points to the benefit of using daily data, as these differences would 246 not be adequately resolved using monthly means. 247

This difference in phase is also seen in Figure 3a but not as clearly as that effect is dominated by the apparent amplitude difference. The amplitude-only adjusted annual cycles are also examined for each region (Figure 5). While there are regional differences in the shape and length of the annual cycle which may be interesting to explore, they all have in common the phase difference seen in the total SIE. That is, sea ice begins to retreat later in the model than observed in each of the regions. The sea ice regions are

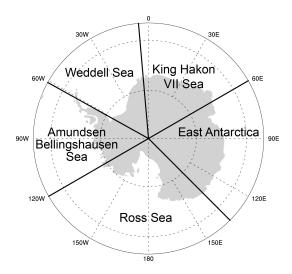


Figure 4. Sea Ice Regions around Antarctica. Based on Raphael and Hobbs (2014).

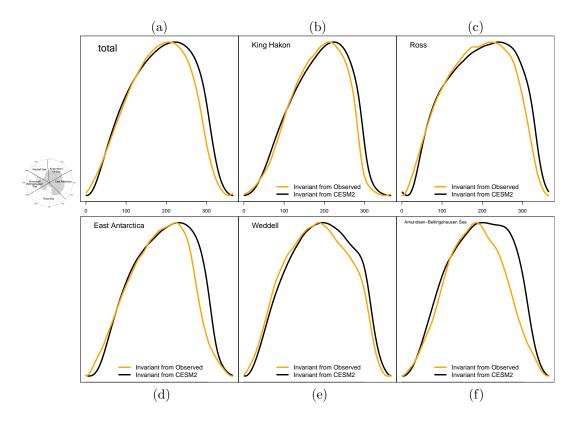
shown in Figure 4. That the difference in phase is consistent in all of the regions around
the continent suggests that it is due to a large-scale rather than regional mechanism. A
potential agent is the semi-annual oscillation (SAO) of the circumpolar trough (CPT).
Earlier studies suggest that the SAO modulates the advance and retreat of the ice because it influences the location of the westerly and easterly surface winds which in turn
promote or limit the spread of the ice (e.g., Enomoto & Ohmura, 1990; Stammerjohn
et al., 2003). This is explored below.

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#### 3.3 Day to day change in SIE

The simulated day to day change in SIE has not been compared with observed data 262 before. However, as Figure 6a shows, it can yield information that explains the differ-263 ences that exist in the annual cycles. As shown by the ensemble mean, the simulations 264 capture the general shape of the day to day changes in ice but there are important dif-265 ferences. SIE in the CESM2 starts advancing later, from a lower value, achieves its peak 266 growth rate earlier, and has a maximum growth rate that is higher than the observed. 267 Once its peak growth rate is achieved, it continues to grow more slowly for the rest of 268 its advance. It achieves its maximum later and begins retreat later, achieving a rate of 269 retreat that is faster and later in the cycle than is observed. The day to day change is 270 consistent with the annual cycles shown in Figure 3, especially with the phase differences 271 seen in Figure 3b. Additionally, it suggests that the very low minimum achieved by the 272 CESM2 is related to the high, late stage, maximum decay rate. 273

Regionally, the day to day changes display grossly similar characteristics to the to-274 tal SIE but there are some differences (Figure 6b - f). While the exact timing of the start 275 of retreat varies by region, the sea ice retreat begins later in CESM2 in each region. The 276 maximum rate of retreat also occurs later in CESM2; this is most pronounced in the East 277 Antarctica sector, least, in the Weddell Sea. The Weddell Sea sector is most similar to 278 the observed while the King Hakon sector is the most different; its growth and retreat 279 rates are lower than observed. The East Antarctica and Ross sectors are in phase with 280 the observed but have later and greater maximum rate of decrease. Overall the regional 281 day to day changes are consistent with the regional phase differences seen in the amplitude-282 only adjusted annual cycles in Figure 5. 283

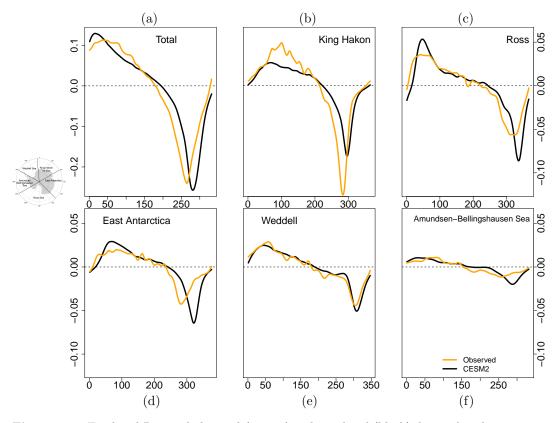


**Figure 5.** Regional observed and simulated invariant annual cycles. a) Total sea ice extent. b) King Hakon VII, c) Ross Sea, d) East Antarctica, e) Weddell Sea, f) Amundsen-Bellingshausen Sea. On the horizontal axis is day of cycle day 0 is Julian Day 50. On the vertical axis is sea ice extent in millions of square kilometers.

#### 3.4 Volatility

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The sea ice volatility, the daily standard deviation in the sea ice simulated by the 285 coupled climate models, has not been evaluated before. However, as shown in Figure 7, 286 the volatility can be responsible for fluctuations at the ice edge on the order of 40,000 287  $50,000 \text{ km}^2$  which, while small compared to the total SIE, becomes significant at the re-288 gional scale and when compared to the size of the sea ice grid box. Overall, the volatil-289 ity of CESM2 is lower than the observed by approximately  $20,000 \text{ km}^2$  per day. The volatil-290 ity in the observed data is lowest during the early stages of ice advance, large at SIE max-291 imum and achieves a second, larger maximum later in the cycle, during the days of fastest 292 sea ice retreat. By comparison, the CESM2 volatility increases early during ice advance, 203 maintains a steady state for most of the year and like the observed, experiences a large 294 maximum late in the ice cycle. The peak in volatility late in the cycle must be related 295 to the maximum decay rate in the ice since in both the observed and the ensemble mean 296 it occurs at approximately the same time as the peak rates of decay shown in Figure 6. 297 Regionally (Figure 7), volatility is usually lower in CESM2 except during retreat in the 298 ABS and East Antarctica. The late cycle increase in volatility occurs in all of the regions, 299 except the ABS, and coincides with the time of maximum decay. 300



**Figure 6.** Total and Regional observed (orange) and simulated (black) day to day change in Antarctic sea ice. a) Total sea ice extent. b) King Hakon VII, c) Ross Sea, d) East Antarctica, e) Weddell Sea, f) Amundsen-Bellingshausen Sea. On the horizontal axis is day of cycle day 0 is Julian Day 50. On the vertical axis is sea ice extent in millions of square kilometers.

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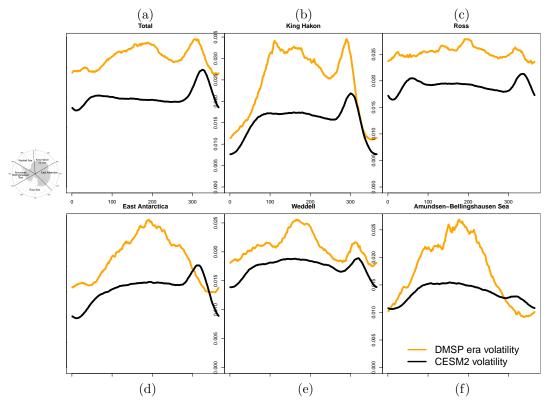
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The volatility in the observed data is considered to be due mainly to the dynamic effects of storms, ocean circulation (eddies) and wave-ice interaction at the ice edge. Stammerjohn et al. (2003) suggest that dynamics rather than thermodynamics initiate and dominate anomalies along the ice edge. There is a peak in storm activity in the southern winter (e.g., Carleton, 1979; Simmonds & Keay, 2000). These storms cause fluctuations at the sea ice edge rather than within the pack where the sea ice concentration is at or close to 100%. Therefore, the apparent cycle in volatility may be due to the effect of storms at the ice edge. The lower volatility exhibited by the CESM2 during most of the growth stage of the ice, suggests that dynamic forcing of ice fluctuation at the ice edge in the CESM2 is smaller than observed. This can happen if the processes that drive high frequency variability inherent in features such as storms and ocean eddies, are deficient in the model, which is a likely consequence of the relatively coarse model resolution (of about 1 degree in latitude and longitude).

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#### 3.5 The Potential role of the Semi-annual Oscillation

Integrating the information given by the comparison of the annual cycles, the day to day mean and the volatility we see that the CESM2 simulates an annual cycle with amplitude similar to that observed but with a retreat phase that begins later in the cycle. We also see that the simulated maximum decay rate is greater, occurs later in the



**Figure 7.** Regional observed (orange) and simulated (black) volatility in Antarctic sea ice. a) Total sea ice extent. b) King Hakon VII, c) Ross Sea, d) East Antarctica, e) Weddell Sea, f) Amundsen-Bellingshausen Sea. On the horizontal axis is day of cycle day 0 is Julian Day 50. On the vertical axis is sea ice extent in millions of square kilometers.

cycle, and is associated with the late peak in volatility. We address now a factor that 319 moderates the timing or phase of the annual cycle, the semi-annual oscillation (SAO). 320 Although it has not been fully quantified, a number of studies suggest that the timing 321 of advance and retreat of Antarctic sea ice is moderated by the SAO (Enomoto & Ohmura, 322 1990; Simmonds, 2003; Stammerjohn et al., 2003; Simmonds et al., 2005). An important 323 characteristic of the southern hemisphere atmospheric circulation, the SAO is associated 324 with more than 50% of the variability in SLP (Van Loon & Rogers, 1984; Taschetto et 325 al., 2007). It is expressed by the bi-annual changes in location and intensity of the cir-326 cumpolar trough (CPT). As described in van Loon (1967), the CPT contracts, deepens 327 and moves south in March and September and expands, weakens and moves north in June 328 and December. Similar accompanying fluctuations of the tropospheric temperature gra-329 dients, geopotential heights, SLP and winds at middle and high latitudes in the SH oc-330 cur. The changing wind directions associated with the meridional shift in the CPT in 331 spring is thought to create divergence in the ice pack causing a reduction in sea ice con-332 centration and priming the pack for rapid break up by wind and ocean late in the an-333 nual cycle (December) (Enomoto & Ohmura, 1990). Stammerjohn et al. (2003) show that 334 the timing of the north/south migration of the CPT influences the timing of sea-ice ad-335 vance and retreat via wind-driven sea-ice drift. A lucid discussion of the SAO and its 336 influence on Antarctic sea ice can be found in Eavrs et al. (2019). 337

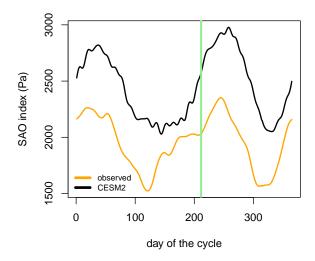


Figure 8. Semi-annual Oscillation Index: Observed (orange) and simulated (black) zonal mean SLP difference between latitudes 50S and 65S. The green line marks the observed day of onset of sea ice retreat. On the horizontal axis is day of cycle day 0 is Julian Day 50. On the vertical axis is the zonal mean sea level pressure difference in Pa.

An in depth evaluation of SAO simulated by the CESM2 within the context of sea 338 ice variability is beyond the scope of this paper. However, given the hypothesized link 339 between the SAO and the timing of sea ice advance and retreat, and its potential for ex-340 planation, we examined how well the CESM2 simulates the SAO, using the zonal mean 341 SLP difference between latitudes 50S and 65S. It is a measure of the strength of the winds 342 between those latitudes such that a large, positive value indicates stronger westerlies, 343 and the intensity of the CPT (Hurrell & Van Loon, 1994; Meehl et al., 1998; Taschetto 344 et al., 2007). CESM2 (Figure 8: black line) simulates a well-defined SAO index which 345 is different from the observed in two ways; it is always larger, indicating stronger winds 346 and a deeper CPT, and it is offset in time so that the minimum and maximum merid-347 ional pressure gradients are achieved later in the year than observed. This means that 348 the simulated CPT begins shifting southwards later, reaching its southernmost location 349 and greatest intensity later than the observed CPT. The significance of this temporal 350 offset to the timing of ice retreat becomes clearer in Figure 9a and b where the day to 351 day changes in SIE are overlaid on the observed and simulated SAO indices along with 352 the times of onset of retreat. The later retreat of ice in the CESM2 is tied to the slower 353 southward movement of the CPT. 354

355

#### 4 Summary and Conclusions

This study is an evaluation of the satellite-era variability in Antarctic sea ice ex-356 tent simulated by the CESM2, using some newly developed metrics from Handcock and 357 Raphael (2019). These metrics examine the variability from the long term trends to the 358 intra-day, giving a detailed picture of the temporal variability of Antarctic sea ice ex-359 tent simulated by the model. This complements work that has assessed other aspects 360 of the Antarctic climate in pre-industrial control conditions (Singh et al., 2020). Here, 361 we are able to explicitly diagnose differences between the model and observed, which may 362 be used to give a sense of what elements of the model need more development. Over the 363 historical period the trend in observed daily sea ice is dominated by a curvilinear interannual component with a weak positive linear trend superimposed. As was the case for 365 the majority of the CMIP5 models, CESM2 simulates a strong negative trend in SIE and 366 therefore is still in contrast to the observations, a difference which might be due to nat-367

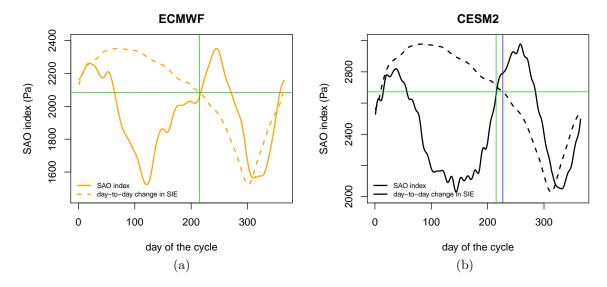


Figure 9. Observed (a) and simulated (b) day to day change and corresponding SAO index. The green line marks the observed day of onset of sea ice retreat. The blue line marks the simulated day of onset of sea ice retreat. On the horizontal axis is day of cycle: day 0 is Julian Day 50. On the vertical axis is the zonal sea level pressure difference in Pa.

ural variability rather than a model deficiency. However, very importantly, the CESM2
captures the inter-annual component or sub-decadal variability in both the total SIE and
the individual sea ice sectors. This suggests that the CESM2 could be used to evaluate/diagnose
the factors contributing to this trend.

With respect to the annual cycle, the total SIE at time of maximum simulated by 372 the CESM2 is lower than recorded. This low value might be attributed in part to the 373 strong and consistent negative trend in sea ice simulated by the model. It is also clear 374 that sea ice in the model begins growing from a smaller minimum and thus might never 375 reach the size of the observed at the time of maximum. However, if the amplitude is cal-376 culated as the difference between the minimum and maximum SIE, the CESM2 does pro-377 duce an annual cycle with similar amplitude to that observed. The key difference between 378 the simulated and observed annual cycles is the timing of ice retreat. The CESM2 reaches 379 its SIE maximum later and begins its retreat later than observed and this is apparent 380 in both the total and the regional SIE. 381

This difference in the annual cycles is echoed in the day-to-day change, a variable that has not been examined before since most analyses focus on the monthly and seasonal SIE. Here, the day-to-day change is consistent with and might be considered a proxy for the large scale elements of the annual cycle (advance/retreat), while adding precision with respect to the exact timing of advance and retreat. While the rates of change are generally similar (except for the peak rate of retreat in the CESM2 which is much larger), sea ice begins its advance and retreat later in the CESM2.

A potential contributor to this phase difference is the simulated semi-annual os-389 cillation (SAO). An initial evaluation of the SAO index shows that the meridional gra-390 dient of pressure simulated by the CESM2 is larger and the maximum (and minimum) 391 of this gradient occur later in the cycle than observed. It is suggested that this is due 392 to a deeper, slower moving CPT. The influence of the SAO on sea ice variability has long 393 been a subject of study (e.g., Van Den Broeke, 2000). These differences between the CESM2 394 and the observed data present an opportunity to examine closely, this important atmo-395 spheric mechanism and its role in the Antarctic sea ice climate. 396

A final aspect of variability compared is the daily standard deviation, named here, 397 the volatility (Handcock & Raphael, 2019). In general, this component of variability is 398 lower in the CESM2 than observed. Also missing is the slow but clear growth in volatil-399 ity to a maximum near the time of the sea ice maximum. However, the CESM2 captures 400 the peak volatility associated with the very rapid rate of decay late in the ice cycle that 401 is also apparent in the observed data. As mid-winter sea ice variability is associated with 402 the smaller scale dynamics such as storms (e.g., Stammerjohn et al., 2003), ocean ed-403 dies and wave-ice interaction at the ice edge it may be that the model is not simulat-404 ing these processes well, something that is common across the CMIP models. We note 405 also that the observed sea ice grid size at 25km x 25km is much smaller than that of the 406 CESM2's (1 degree) thus might be expected to exhibit more daily volatility than the CESM 407 which is a 1 degree model. 408

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The CESM2 model output used in this study is available at the NCAR Digital Asset Services Hub (DASH; https://data.ucar.edu) The Bootstrap Sea Ice Concentration data are available at the National Snow and Ice Data Center. Bootstrap Version 3 concentration fields (Comiso, 2017) from the "NOAA/NSIDC Climate Data Record of Passive Microwave Sea Ice Concentration, Version 3". The ERAI reanalysis data are available from ECMWF at http://apps.ecmwf.int/datasets/data/interim\_full\_daily

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Figure 01a.

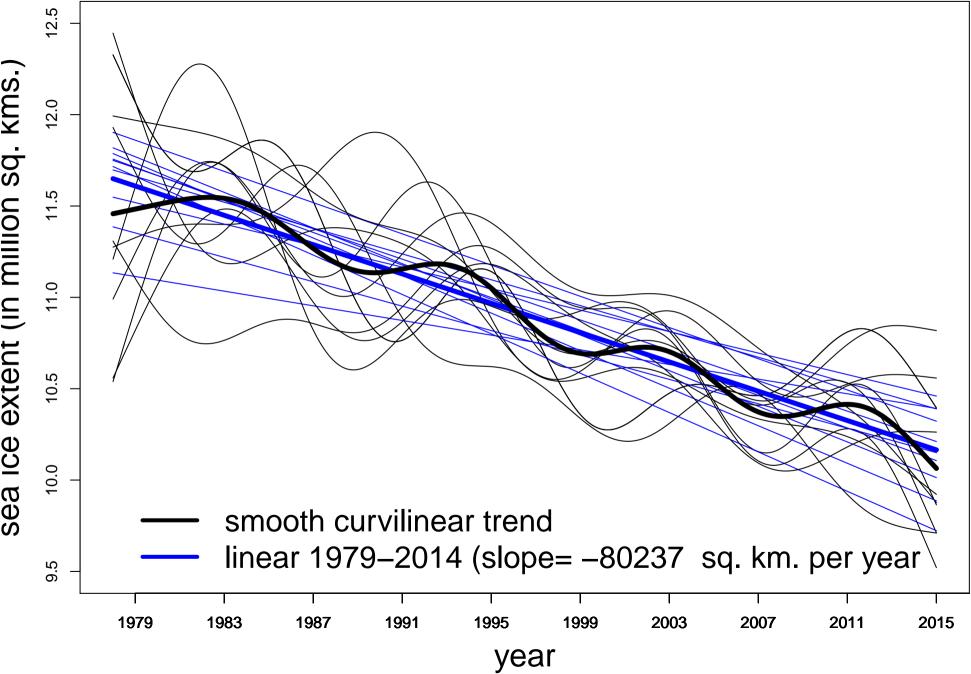


Figure 01b.

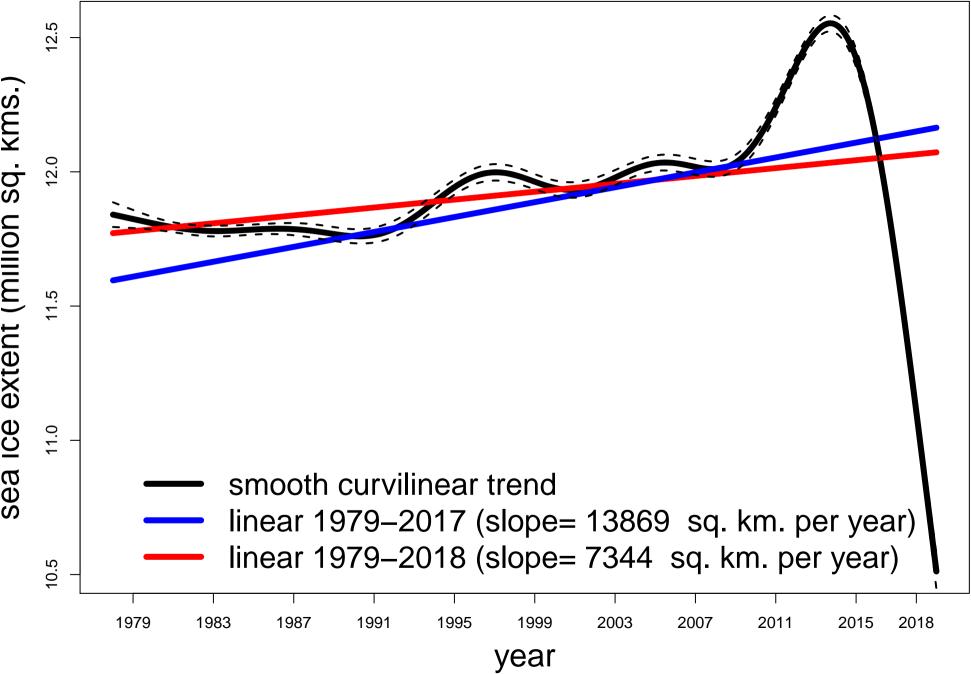


Figure 02a.

#### Observed

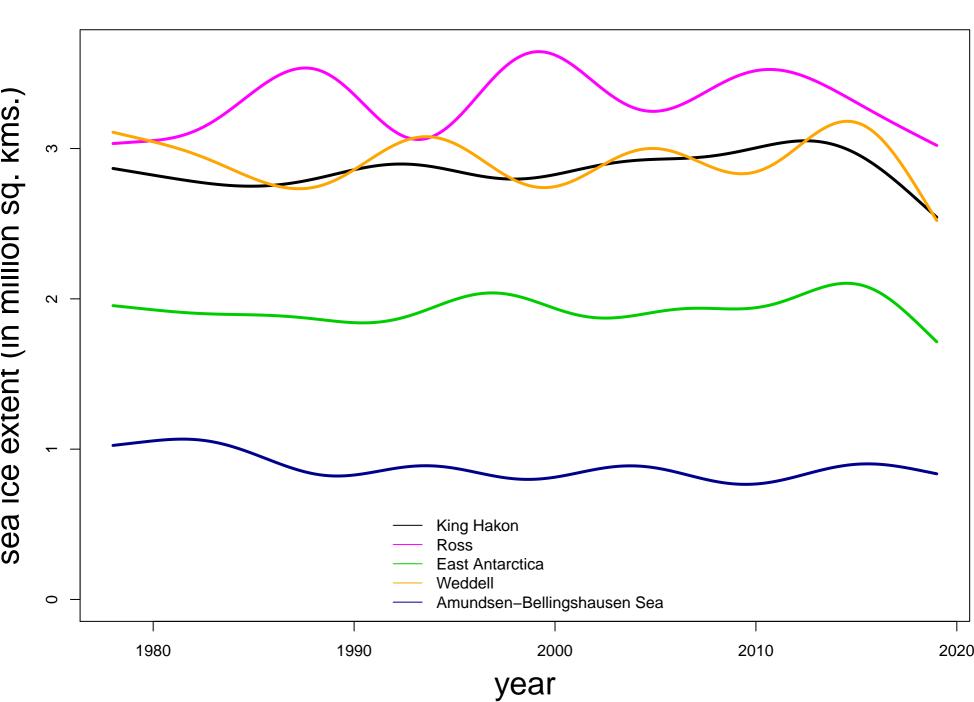


Figure 02b.



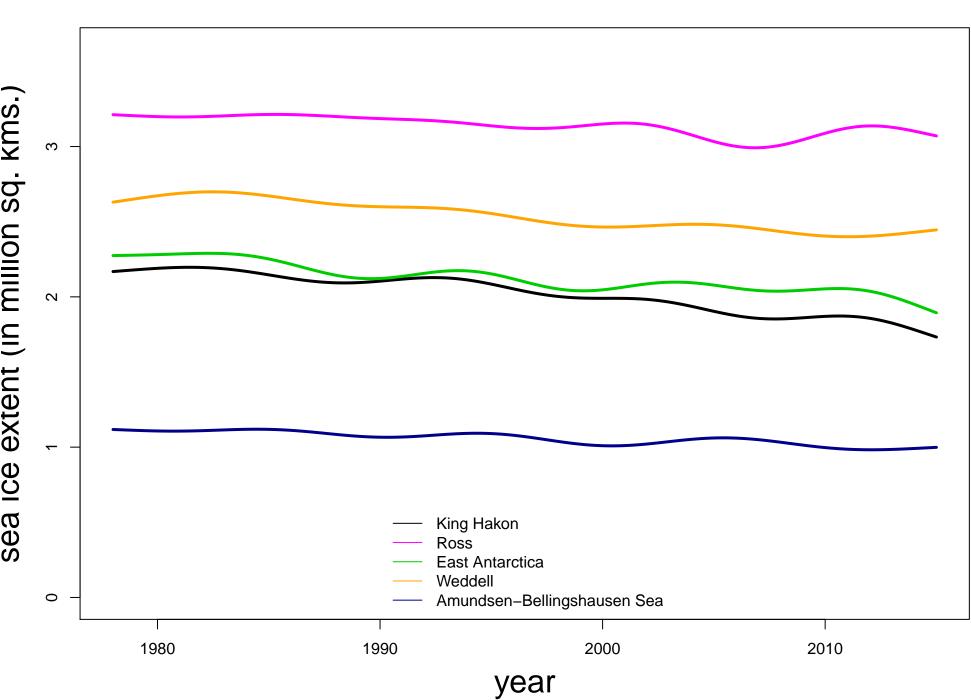


Figure 03.

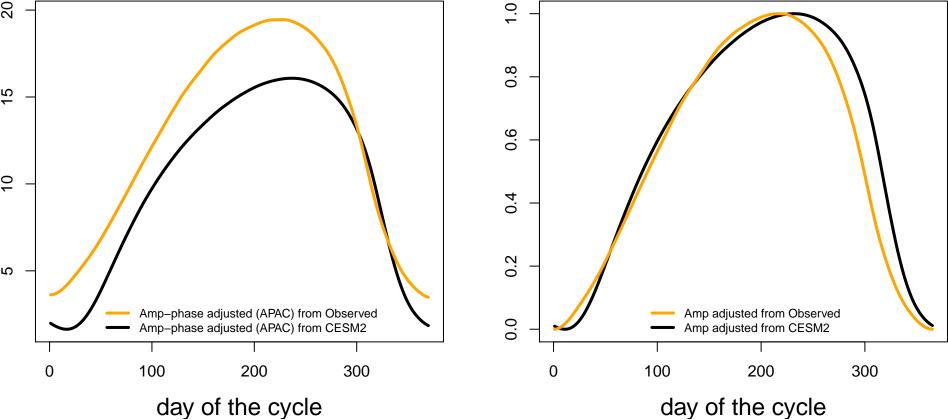


Figure 04.

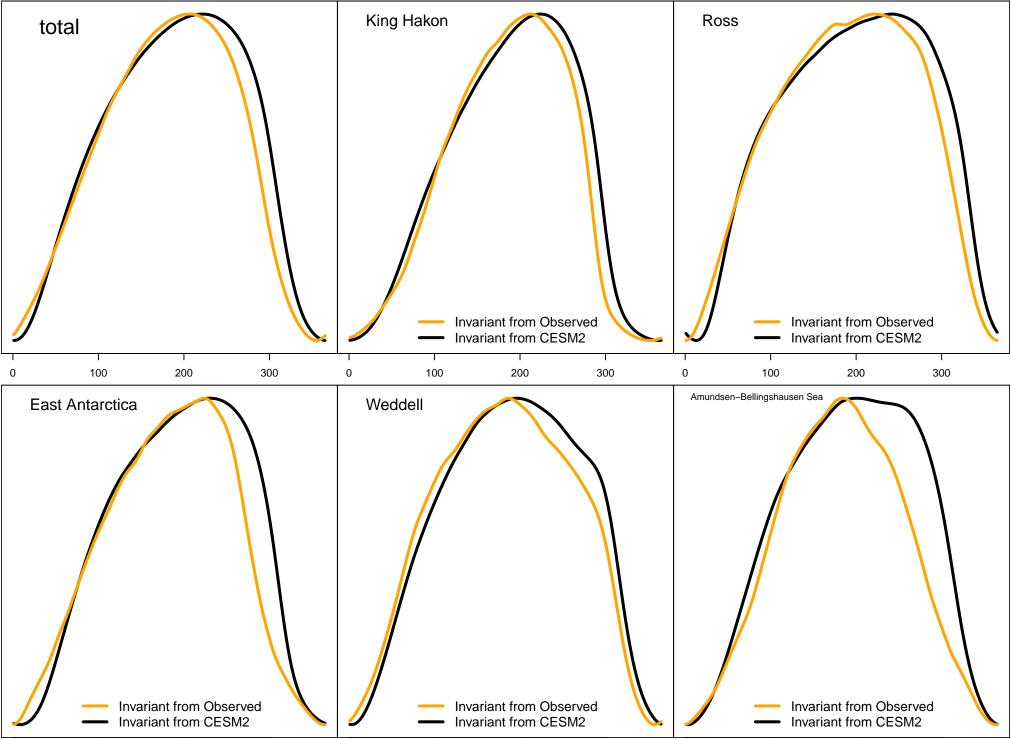


Figure 05.

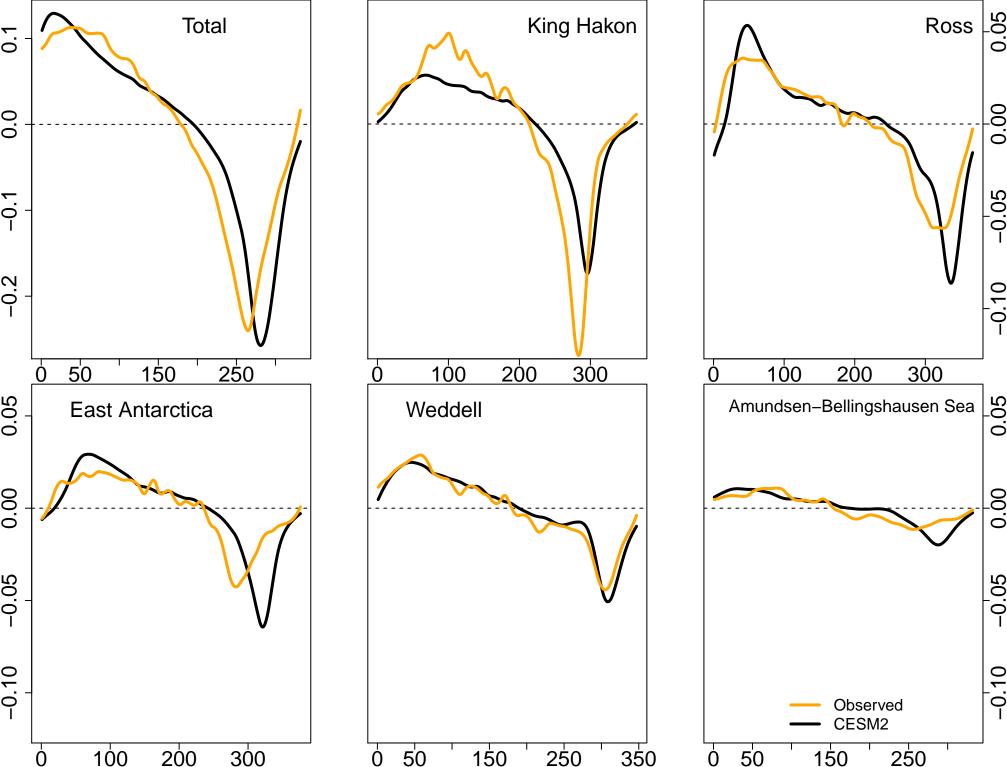


Figure 06.

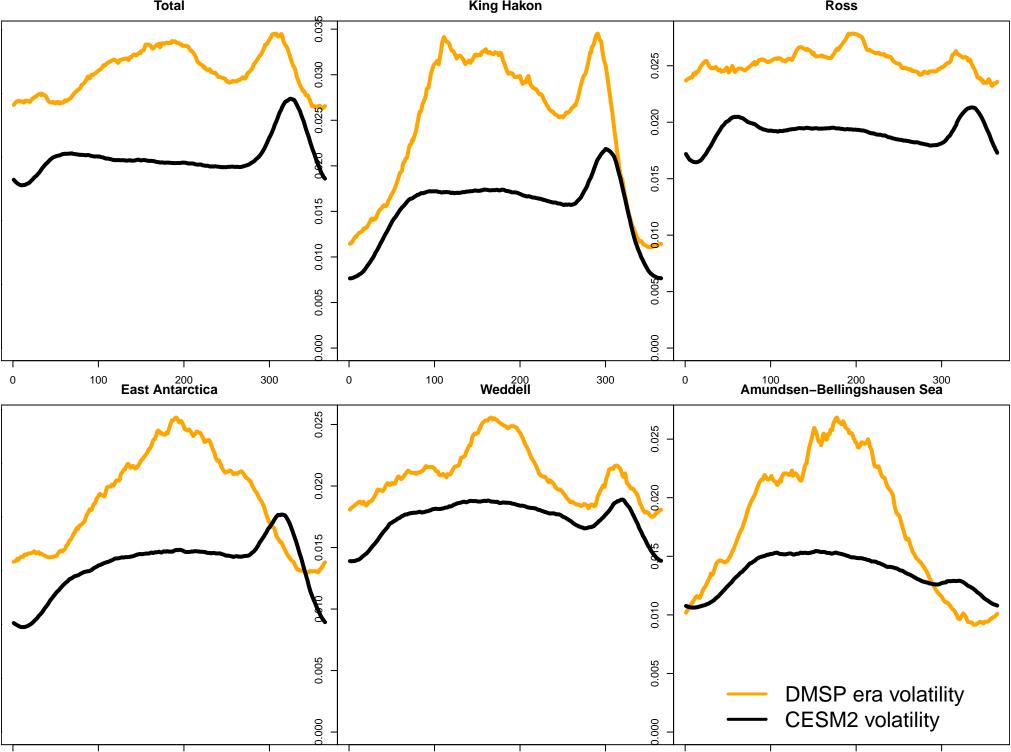


Figure 07.

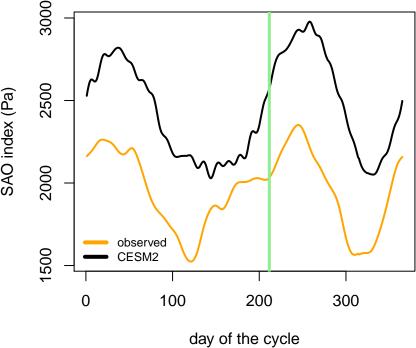
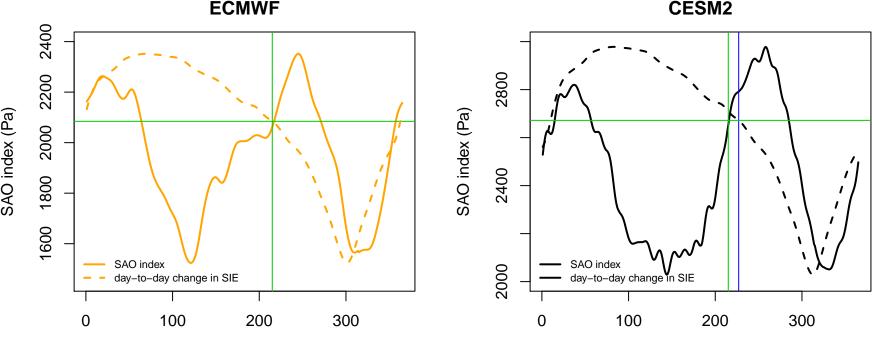


Figure 08.



day of the cycle

day of the cycle

Figure Regions.

