# Wind filtering evidence of mesospheric short-period gravity waves revealed from all-sky images at King Sejong Station (62{degree sign}S, 59{degree sign}W)

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#### Abstract

We analyzed OH airglow images observed from an all-sky camera at King Sejong Station, Antarctica for the period of 2012–2016. Using M-transform method, 2D-power spectra of short period waves (< 1 hr) were obtained from 107 image sequences. The power spectral densities evidently show that the mesospheric wave activity is the strongest during winter. We also constructed climatological wind blocking diagrams using horizontal winds obtained from MERRA-2 for the altitudes of = 10–64 km, and from KSS meteor radar data for = 80–90 km. The wind blocking diagrams are negatively matched with the dominant propagating directions of the observed slow speed waves (< 30 m/s), providing the graphical evidence of wind filtering effects. However, there are significant eastward waves in winter and strong south-eastward waves in spring that are not blocked by the stratospheric winds. We speculate that these waves may be generated from the upper stratosphere or mesosphere.

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20	Key Points:
21	• M-transform analysis of all-sky OH airglow images observed for 5 years objectively
22	characterizes mesospheric short-period GW spectra.
23	• Monthly mean diagrams of wave directions and wind blockings clearly reveal wind
24	filtering effects on most of slow speed waves.
25	• However, eastward waves in winter and southeast waves in Oct. are not blocked by
26	stratospheric winds, suggesting their origins in the mesosphere.

#### 27 Abstract

28 We analyzed OH airglow images observed from an all-sky camera at King Sejong Station, 29 Antarctica for the period of 2012–2016. Using M-transform method, 2D-power spectra of 30 short period waves (< 1 hr) were obtained from 107 image sequences. The power spectral 31 densities evidently show that the mesospheric wave activity is the strongest during winter. 32 We also constructed climatological wind blocking diagrams using horizontal winds obtained from MERRA-2 for the altitudes of z=10-64 km, and from KSS meteor radar data for z=33 34 80-90 km. The wind blocking diagrams are negatively matched with the dominant 35 propagating directions of the observed slow speed waves (< 30 m/s), providing the graphical 36 evidence of wind filtering effects. However, there are significant eastward waves in winter and strong south-eastward waves in spring that are not blocked by the stratospheric winds. 37 We speculate that these waves may be generated from the upper stratosphere or mesosphere. 38

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## 40 **1 Introduction**

41 Dynamics in the mesosphere and lower thermosphere (MLT) region is substantially 42 influenced by the energy and momentum transport from the lower atmosphere through 43 vertically propagating atmospheric gravity waves (GWs). In the MLT region, momentum deposition due to GW breaking or dissipation in mid- to high-latitude regions induces 44 45 acceleration and deceleration of the zonal wind in each hemisphere and thus generates the pole-to-pole residual circulations across two hemispheres which makes cold summer and 46 47 warm winter mesopause at high latitudes (Lindzen, 1981; Fritts and Alexander, 2003; Becker, 48 2012). In general, GWs are ubiquitously generated due to orography, deep convection, wind shear, baroclinic instability, cold front, jet flow, and by other mechanisms (Fritts and 49 50 Alexander, 2003).

Various observational and modeling studies have revealed that GW activities are particularly intense over Southern Andes and Antarctic Peninsula called 'GW hot spot' region (Ern et al., 2004; Alexander and Teitelbaum, 2007; Baumgaertner and McDonald, 2007; Hindley et al., 2015). This region is well poised for generating GWs and even secondary GWs since it is in suitable orography and near the polar vortex (Preusse et al., 2002; Sato et al., 2009; de Wit et al., 2017; Becker and Vadas, 2018; Liu et al., 2019). Furthermore, intense wave activities have been reported in the mesosphere over King Sejong Station (KSS, 62°S,
58°W) located in the "GW hot spot" using meteor radar wind data (Lee et al., 2013).

The vertical propagation of GWs depends on the relationship between horizontal phase velocity of GWs and background horizontal wind. When horizontal phase velocity vectors of GWs become close to local horizontal wind vectors as GWs propagate vertically, they are absorbed in the mean flow or reflected back, and thus filtered out, as being called 'critical-level filtering' (Fritts and Alexander, 2003). This critical-level filtering is essential in understanding spectral properties of GWs observed in the MLT region.

65 Spectral properties of small-scale GWs in the MLT such as their wavelength, phase 66 speed, and propagating direction can be directly observed in airglow layers using optical imagers. Taylor et al. (1993) investigated properties of mesospheric short-period GWs 67 68 observed from airglow images and found that the propagation properties can be accounted for 69 by critical level filtering by background winds using a blocking diagram. The blocking 70 diagram illustrates spectral properties of upward propagating GWs that would not be detected 71 at airglow altitudes. However, the interpretation of observed propagation properties with the wind blocking diagram requires accurate and objective analysis of observed airglow images. 72 73 Analysis of airglow images has often been carried out through subjective image processes 74 that may vary depending on personal preferences of researchers. To overcome the 75 disadvantage of the subjective methods, Matsuda et al. (2014) developed a new analysis 76 method (hereafter, M-transform) to obtain power spectra as a function of the horizontal phase 77 speed and azimuth angle from a sequence of normalized airglow intensity images. Using the 78 M-transform, Matsuda et al. (2017) derived successfully characteristics of mesospheric GWs 79 from Antarctic Gravity Wave Instrument Network (ANGWIN) imagers at Syowa (69°S, 80 40°E), Halley (76°S, 27°W), Davis (69°S, 78°E), and McMurdo (78°S, 167°E).

81 In this study, we investigate wind filtering of GWs in airglow images observed at 82 King Sejong Station (62°S, 58°W, KSS) for 5 years (2012-2016) by applying the M-83 transform method. Kam et al. (2017) reported statistical distributions of individual wave 84 structures in the airglow images using the traditional subjective analysis method. The use of 85 M-transform method enables to obtain spectral properties from fainter wave signals than 86 before. We derived predominant propagating directions and magnitudes of short period GWs. 87 We also constructed wind blocking diagrams from the reanalysis wind data of middle atmosphere and meteor radar wind data measured at KSS. By comparing propagating 88 89 directions of observed GWs with wind blocking diagrams, we were able to show graphically

wind filtering effects on vertically propagating GWs and found that some of observed waveswere generated above the stratosphere.

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## 93 2 Data and Analysis

# 94 2.1 Airglow images observed with an all-sky camera

95 An all-sky camera (ASC) at KSS has been operated by Korea Polar Research Institute (KOPRI) since 2008. The KSS ASC consists of a fish-eye-lens with 180° 96 97 field of view, telecentric lens of two Plano-convex lens, two narrow- and one wide-98 band interference filters, multi-wavelength filter wheel (with OH Meinel bands, OI 99 557.7 nm, and OI 630.0 nm), and a  $1024 \times 1024$  CCD with  $2 \times 2$  binning. Detailed 100 specifications of the instrument and basic image pre-processing methods are described 101 in Kam et al. (2017). In this study, we analyzed OH Meinel bands images which were 102 observed with a band filter of 720 nm - 910 nm. The OH images are regarded to 103 reflect the OH airglow layer at an altitude of 87 km, and thus have a spatial resolution 104 of 1.17 km/pixel. The OH images were obtained with an exposure time of 20 sec at 105 sampling interval of 328 sec. This study utilized OH images observed from 2012 to 106 2016 since the instrument was upgraded in 2012. Because OH images are in higher 107 quality than other filter images and the OH layer is at the lowest altitude, we chose to 108 analyze only OH images to investigate wind filtering effect of middle atmosphere on 109 vertical propagation of GWs.

110 2.2 Utilizing M-transform

111 To investigate the morphology of wave activities, we utilized the M-transform 112 as the new method of spectral analysis for ASC image sequence data set (hereafter; 113 image-time window). The M-transform introduced by Matsuda et al. (2014) results in 114 spectral powers on horizontal phase speed domain. The M-transform has an advantage 115 of facilitating uniform products: 3-D distributions of power spectrum density as a 116 function of frequency, zonal wavenumber, and meridional wavenumber. The 3-D 117 spectrum was integrated in the frequency domain, resulting in a 2-D phase velocity distribution. According to Perwitasari et al. (2018), the M-transform can be utilized as 118 119 a user-friendly function that only requires image sequence data (normalized intensity

as  $(I - \overline{I})/\overline{I}$  (=  $I'/\overline{I}$ ); I is the pixel intensity observed from airglow and  $\overline{I}$  is the 120 121 temporal averaged intensity for image-time window), with wave parameters (range 122 for horizontal wavelength, wave period, and phase speed) and the sampling information (time interval, image size, and resolution). In this study, we chose the 123 ranges for horizontal wavelengths of 10 - 100 km, wave periods of 15 - 60 min, and 124 125 phase speeds of 0 - 150 m/s. To select the image-time window of clear sky from 126 marginally cloudy images, we set the criteria of efficient grids on the unwarped (preprocessed) images as the region of interest in each image-time window for the 127 128 purpose of avoiding clouds and the galactic contamination due to the wide band nature of the OH filter. The criteria are as follows: the grid size for analyzing image 129 should be larger than  $150 \times 150 \text{ km}^2$  on the OH airglow layer, the duration time for 130 clear sky is over 1 hour on successive images, and the grid size is fixed in single 131 image-time window (each window has a different grid size). Conventionally, GW 132 133 studies with ASC images have been proceeded by analyzing specific images 134 containing visible wave structures. However, in this study, we analyzed all the images of clear sky in accordance with our criteria. During the 5 years, the ratio of analysis 135 136 time to the total ASC operated time is merely about 7% because of bad weather 137 condition at KSS. The total number of image-time windows for the M-transform analysis is 107 windows. As an example, Figures 1a and 1b show an  $I'_{OH}/\overline{I_{OH}}$  image 138 observed on the 30 June, 2014 and its 3-D power spectral density (hereafter; PSD) on 139 140 the phase velocity domain from M-transform, respectively. Predominant wave crests 141 seem to align NW-SE in Figure 1a, and the maximum power spectra on the horizontal phase velocity domain stand out in the third quadrant (indicating SW propagation in 142 143 accordance of the visible crest alignment) with speed range of 20-50 m/s in Figure 1b.





Figure 1. Example of OH airglow images for (a)  $I'_{OH}/\overline{I_{OH}}$  from one image at 01:45:25LT 30 June, 2014 and (b) power spectra on phase velocity domain of corresponding image-time

147 window (23:43:17LT 29 June ~ 04:46:55LT 30 June, 2014) and the over-plotted navy line

148 represents a wind blocking diagram.

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# 2.3 Wind data from KSS meteor radar and MERRA-2

151 To construct wind blocking diagrams, stratospheric winds and mesospheric winds were obtained from the Modern-Era Retrospective analysis for Research and 152 153 Applications, version-2 (MERRA-2) (Gelaro et al., 2017) and the meteor radar (MR) 154 located at KSS, respectively. Since March 2007, KSS MR has been operating in the 155 all-sky interferometer mode with a peak power of 12 kW (8 kW before 2012) using a 156 center frequency 33.2 MHz, and the configurations of KSS MR are detailed in Lee et 157 al. (2013). The KSS MR provides mesospheric horizontal winds in the altitude range 158 of 80 - 100 km with height and time resolutions of 2 km and 1 hour, respectively. The 159 MERRA-2 database is a global atmospheric reanalysis dataset produced by the NASA 160 Global Modeling and Assimilation Office. The MERRA-2 provides data 4-times a 161 day (00:00, 06:00, 12:00, and 18:00 UTC) with horizontal spacing of  $1.25^{\circ} \times 1.25^{\circ}$ and 42 vertical pressure levels corresponding to the range of 0 - 64 km altitude. The 162 horizontal winds of MERRA-2 were selected around the location of KSS within  $\pm$  5° 163 164 in longitude and latitude, and were daily averaged for the day of specific image-time 165 window.

166 We adopted a method of Taylor et al. (1993) to construct wind blocking diagrams by combining the horizontal wind profiles of MERRA-2 at altitudes of 10-167 64 km and MR winds at 80-90 km. The lower boundary (i.e., the altitude where GWs 168 are emitted) was set at 10 km because tropospheric winds are not strong enough and 169 170 GWs can be generated near the tropopause. The upper boundary of 90 km was chosen 171 by considering a full-width at half maximum of OH airglow layer of 8 km (Baker and Stair, 1988). There is a data gap between the wind measured altitude of MERRA-2 172 173 and MR over KSS, from 64 km to 80 km. An example of wind blocking diagram is 174 over-plotted in Figure 1b with a navy line in polar coordinate  $(r, \theta)$  where r and  $\theta$ 175 represent the maximum blocking wind in unit of m/s and the azimuthal angle, 176 respectively.

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#### 178 **3 Results and Discussions**

## 179 3.1 Seasonal characteristics of short period GWs

The sum of power spectral density (total PSD) of each image-time window, as 180 181 shown in Figure 1b, can represent the short period GW activity. In order to examine a 182 seasonal variation of GW activities, we computed monthly averaged total PSD over 183 the observed years, as presented in Figure 2a. The maximum wave activity occurs in 184 Austral winter (June), as noted in the previous study (Kam et al., 2017). The standard 185 deviation of the monthly mean total PSD is also maximized in June, implying high 186 variability of the wave activities. Previous observations over Antarctic Peninsula have 187 reported the intense wave activities during winter in the mesosphere (Espy et al., 188 2006), the stratosphere (Jiang et al., 2003; Baumgaertner and McDonald, 2007; Sato 189 et al., 2012; Hoffmann et al., 2013, 2017) and the thermosphere (Park et al., 2014). 190 The coincidence of the seasonal characteristics from the stratosphere to thermosphere 191 suggests that observed short period waves in OH airglow images be upward 192 propagating GWs generated from typical sources in the troposphere. However, the 193 tropospheric GWs and other waves, including planetary waves and tides, may cause 194 the observed wave activities in the upper atmosphere, not just by direct propagation 195 but by secondary wave generation after their break-up on the way. Recently, using a 196 high-resolution global circulation model, Becker and Vadas (2018) suggested that 197 secondary GWs are well generated during winter from body force of breaking primary 198 waves in the stratosphere and lower mesosphere around 60°S.

199 Before to investigate propagation directions of observed waves in terms of 200 wind filtering, we examined the phase speed distribution from the M-transform analysis, as presented in Figure 2b. The phase speed represents the apparent 201 202 horizontal propagation speed of waves in the sequence of observed images. The 203 observed waves have dominantly slow speeds, as can be seen in Figure 2b. In order to 204 investigate the seasonal variation of propagating directions, we divided the observed 205 waves into three groups according to their phase speeds: slow waves for 0 - 30 m/s, 206 medium waves for 30 - 50 m/s and fast waves for 50 - 100 m/s. The slow, medium and fast waves are 52%, 29%, and 19% of all the observed waves. 207



Figure 2. (a) Monthly means of total power spectral densities (black dot) and their standard deviations (dotted lines) of observed waves at KSS, and (b) histograms of total spectral powers as a function of phase speed. Note that the unit of total power is  $(I'/\bar{I})^2$ .

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# 213 3.2 Graphical evidence of the wind filtering effect

From the five year observations we computed monthly mean PSDs as a 214 215 function of propagating azimuth angles for slow, medium and fast wave groups. The 216 results are plotted on the plane of month vs azimuth for each wave group, as shown in 217 Figures 3a, 3b, and 3c. Also plotted are the contours of wind blocking directions. The 218 dashed and solid contours are wind blocking directions for the lower boundaries of 10 219 km and 50 km, respectively. The number on the contour indicates the maximum wind 220 speed toward that direction in the mean wind profile of that month. An upward 221 propagating GW from the troposphere with a phase speed slower than the contour 222 number would meet the critical layer where the phase speed is equal to the 223 background wind and thus is expected to be blocked (either reflected downward or

224 absorbed) and not propagated up to the mesospheric OH airglow layer that the ASC observes. On the other hand, GWs with phase speeds faster than the contour number 225 would not meet the critical layer, and thus are not filtered out. It is evident from 226 227 Figure 3a that the slow waves are well confined by the wind blocking contours, 228 especially dominant westward waves in winter (May-Aug) and lack of westward 229 waves in fall (Feb and Mar) and spring (Oct). The medium speed waves are also 230 fairly well matched with the wind blocking contours, except the southeastward waves 231 in Oct. However, the fast waves are not confined by the wind blocking contours, 232 especially in winter. The fast waves are not expected to be blocked because they are 233 faster than the maximum winds in the middle atmosphere. Therefore, Figure 3a, 3b 234 and 3c demonstrate wind blocking features in the observed mesospheric waves, 235 providing the graphical evidence for the filtering effect.



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Figure 3. (a) Monthly mean power spectral densities of waves with horizontal phase speeds of 0- 30 m/s on the month – azimuth direction plane. Over-plotted are monthly mean wind blocking diagrams with the lower boundary altitudes of 50 km (purple solid contour) and of 10 km (navy dashed contour). (b) Same as in (a) except for phase speeds of 30 - 50 m/s. (c) Same as in (a) except for phase speeds faster than 50 m/s. (d) A polar plot of PSDs with wind

blocking diagrams of the 10 km (navy line) and 50 km (purple line) lower boundaries at thenight of Oct. 5, 2013.

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245 Comparison of wave directionalities from airglow images with wind blocking 246 diagrams has been reported in previous studies (Taylor et al., 1993; Medeiros et al., 247 2003; Essien et al., 2018), but the diagram like Figure 3 is a new approach to 248 demonstrate the wind filtering effect. The dominant westward mesospheric GWs have 249 also been reported from imaging observations near KSS in the Antarctic Peninsula, 250 such as Rothera station (67°S, 68°W) (Espy et al., 2006) and Comandante Ferraz 251 station (62°S, 58°W) (Bageston et al., 2009). It is widely accepted that the dominance 252 of westward propagating GWs in winter are due to wind filtering by the strong 253 eastward stratospheric polar jet. However, the reason for propagating directions in fall 254 and spring has not been examined in the Antarctic region.

255 3.3 Waves of possible mesospheric origin

256 Although the wind blocking diagrams explain the observed mesospheric wavs 257 fairly well in terms of filtering effects, there are significant disparities of observed 258 directions to the wind blocking contours. Most notable is the southeastward (SE) 259 GWs in Oct, which invade the blocking contours. Specifically, in Figure 3a there are 260 significant SE slow waves in Oct crossing the 30 m/s contours of the 10 km lower 261 boundary, but not those of the 50 km lower boundary. This may mean the SE waves 262 started above the altitude of 50 km, implying mesospheric origin. The SE waves in 263 Oct appear also in the medium and fast speed wave groups. If the SE waves in all three groups are of the same origin, which is reasonable assumption, they are all 264 265 generated in the mesosphere.

Since the wave and wind directions in the above analysis are all monthly averaged, tropospheric origin waves may occasionally propagate to the OH layer if they encountered favorable wind profiles that vary by tides or planetary waves. To examine this occasional possibility, we plotted wave PSD and blocking diagram for one example night (Oct. 5, 2013), as in Figure 3d. Over-plotted are wind blocking contours for the 10 km lower boundary (navy) and for the 50 km lower boundary (purple). There are significant SE waves inside the 10 km contour, but outside the 50 273 274

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km contour. These SE waves should have been blocked by the stratospheric winds, if they are originated from the troposphere. Therefore, at least, at this particular night the observed SE waves started above 50 km, strongly suggesting mesospheric origin.

276 Another exception of wind blocking diagram analysis is that there are 277 significant activities of eastward waves during winter as seen in Figure 3a and 3b. 278 Any tropospheric eastward waves are supposed to be blocked tightly by the strong 279 winter eastward jet in the stratosphere. Since the eastward winter jet is strong and 280 robust, especially in June and July, the observed eastward waves in winter are likely 281 of mesospheric origin. Furthermore, the individual power spectral diagrams, such as Figure 1b and Supporting information 1, show nearly isotropic propagating patterns in 282 283 winter. Vadas et al. (2003) suggested that secondary GWs generated from body force 284 of breaking primary waves can propagate in all directions. Recently, Becker and 285 Vadas (2018) suggested that the secondary waves are well generated during winter 286 from body force of breaking primary waves in the stratosphere and lower mesosphere 287 around 60°S. Thus, the eastward wave components observed in winter may thus 288 originate from breaking of primary waves in the stratosphere. Alternatively, they may 289 be of tropospheric origin from distant regions, since Kogure et al. (2018) observed 290 enhancement of GW energy over Syowa in August, and attributed them to GW 291 refraction from various latitudes. However, Matsuda et al. (2017) compared 292 individual PSDs with the blocking diagrams over Syowa, Halley, and McMurdo, and 293 found the effect of wind filtering. They also noted the discrepancy between wave 294 propagating directions and wind blocking diagrams over Davis, and speculated that it 295 might be due to secondary GWs above the stratosphere with temporal variation. 296 However, the SE waves in October remain unfit to the secondary wave scenario 297 because they have predominant direction.

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# 299 4 Summary and Conclusions

We analyzed all-sky OH airglow images observed at KSS for 5 years (2012-2016) using the M-transform method. The M-transform of the OH images results in magnitudes and propagating directions of short period (<1 hr) GWs. The magnitude of GWs shows clear seasonal variation, with strong activities in mid-winter. In order to understand the seasonal 304 directionality of mesospheric GWs, we constructed climatological wind blocking diagrams 305 from MERRA-2 (10-64 km) and KSS MR (80-90 km) wind data. The predominant directions 306 of observed waves with slow speeds (0-30 m/s) are clearly anti-correlated with wind blocking 307 directions, providing graphical evidence of wind filtering effects on mesospheric waves 308 observed with KSS ASC. However, we note significant eastward wave activities in winter 309 despite the strong eastward wind blocking in the middle atmosphere. The nearly isotropic 310 nature of waves during winter, including the unanticipated eastward waves, are consistent 311 with the secondary wave theory, in which the secondary waves are generated from breaking 312 of primary waves in the upper stratosphere and mesosphere. We also noted that strong south-313 eastward GWs in spring might be generated above the altitude of ~50 km, but not fit to the 314 secondary wave theory because of their predominant directionality.

315

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