

# Tsunami Efficiency due to Very Slow Earthquakes

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November 26, 2022

## Abstract

Often, tsunamis have been treated as a static problem. First studies demonstrated that for earthquake rupture velocities in the span of 1.5 km/s to 3 km/s, the kinematic and static part of the tsunami can be treated separately. The deformation generated by an earthquake is copied into the sea surface and then the tsunami is propagated. However, very slow earthquake rupture velocities in the span of 0.1 to 1 km/s have not been included into tsunami modeling. Here, we calculate tsunami efficiency, based on Kajiura's definition, for different models. We demonstrate that rupture velocity cannot be neglected for very slow events, i.e, rupture velocities slower than 0.5 km/s. We calculate a relation between Magnitude, Rupture Velocity and Tsunami Amplitude to the Efficiency of very slow tsunamigenic earthquakes. Megathrust earthquakes ( $M_w > 8.5$ ) with very slow rupture velocity amplify energy from 10 to 60 times larger than moderate to large earthquakes.

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## Tsunami Efficiency due to Very Slow Earthquakes

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### **Key Points:**

- We Studied the Tsunami Efficiency due to very slow earthquakes.
- Amplification of efficiency depends on directivity and rupture velocity.
- We calculated a relationship of Tsunami Efficiency as function of Rupture Velocity, Tsunami Velocity and Moment Magnitude.

## 15 **Abstract**

16 Often, tsunamis have been treated as a static problem. First studies demonstrated that for earthquake rupture velocities  
17 in the span of 1.5 km/s to 3 km/s, the kinematic and static part of the tsunami can be treated separately. The deformation  
18 generated by an earthquake is copied into the sea surface and then the tsunami is propagated. However, very slow  
19 earthquake rupture velocities in the span of 0.1 to 1 km/s have not been included into tsunami modeling. Here, we  
20 calculate tsunami efficiency, based on Kajiura's definition, for different models. We demonstrate that rupture velocity  
21 cannot be neglected for very slow events, i.e, rupture velocities slower than 0.5 km/s. We calculate a relation between  
22 Magnitude, Rupture Velocity and Tsunami Amplitude to the Efficiency of very slow tsunamigenic earthquakes.  
23 Megathrust earthquakes ( $M_w > 8.5$ ) with very slow rupture velocity amplify energy from 10 to 60 times larger than  
24 moderate to large earthquakes.

## 25 **1 Introduction**

26 The way tsunamis transfer energy into the ocean has been studied by several authors (Ward 1980, Tang et al. 2012,  
27 Dutykh and Dias 2009, Titov et. al 2016). Most of the time, the kinematic part is not considered into tsunami modeling.  
28 This was first proposed by Kajiura (1970). Kajiura studied this by separating the dynamic and the static part. He found  
29 out that if the rupture velocity is larger than the tsunami velocity, the kinematic effect of the rupture can be neglected  
30 and the tsunami is not affected by the temporal properties of the source.

31 Tsunami Earthquakes (Kanamori, 1970) are tsunamigenic earthquakes that release energy in a very low frequency  
32 content. These are events that present ruptures that propagate slower than regular tsunamigenic earthquakes, produce  
33 less shaking than expected and small seismic wave amplitudes. They do not generate large amplitude seismic waves,  
34 therefore, most of them are not felt by the population, and do not produce structural damage. The understanding of  
35 these types of earthquakes is still in debate, however, there are many hypotheses that explain their nature such as,  
36 rheological properties, horizontal coseismic contributions, non-linear effects of the crust deformation, slow velocity  
37 rupture, among others.

38 In 1992, the first tsunami earthquake ever recorded by broadband seismometers occurred and it was possible to infer  
39 source properties such as: seismic moment, rupture velocity, shear modulus, stress drop and main slip location  
40 (Kanamori, 1993; Satake, 1994; Geist, 2001; Kikuchi and Kanamori, 1993). Kanamori (1993), proposed a rupture  
41 propagating in a sediments-filled medium which would lead to a slow rupture velocity and it would also explain the  
42 rheological properties change.

43 Ma (2012) explained that it is possible to generate tsunamis from slow earthquakes changing the pore pressure as the  
44 earthquake occurs. In his work, simulations of dynamic pore pressure changes show that when the dynamic pore  
45 pressure increases, due to up-dip rupture propagation leads to widespread yielding within the wedge; increasing the  
46 seafloor displacement. Ma and Hirakawa (2013), also suggest that due to dynamic wedge failure, it is possible to  
47 generate scenarios with more deformation at the trench, a slow rupture velocity and less seismic moment in the fault  
48 plane.

49 The 1947 Earthquake in New Zealand is another evidence of very slow earthquakes. Bell et. al. (2014) identified two  
50 tsunami earthquakes in New Zealand, the 1947 Offshore Poverty Bay and the Tolaga Bay events. The rupture velocity  
51 for these earthquakes was estimated between 0.15 to 0.30 km/s. This work argues that the slow-rupture would be  
52 responsible for the large run-up heights (relative to the magnitude) for both events. The maximum observed run-ups  
53 for the Offshore Poverty Bay and for the Tolaga Bay events are 10 and 6 m respectively. A very large coda and very  
54 small amplitude are necessary to model local seismograms that recorded the events, that are explained by very slow  
55 rupture velocities ( $< 1$  km/s).

56 Todorovska and Trifunac (2001) studied the initial amplitude variation when the rupture velocity is included in a  
57 uniform source. They found that there exists a directivity wave focusing due to seafloor uplift oscillations coming  
58 faster behind other slowly developing waves when a tsunami propagates. The maximum amplification value occurs  
59 when the tsunami propagation velocity equals the earthquake rupture velocity. The uplifted segments travel at the  
60 same velocity as the uplifted water, and as the process evolves, the tsunami amplitude progressively increases due to  
61 constructive interference of the initial and subsequent waves created.  
62

63 Fuentes et al. (2018) studied the tsunami run-up behavior, considering variations on temporal source parameters such  
 64 as rise time and rupture velocity through the construction of a (1+1)-D analytical model. They found that rupture  
 65 velocities of the order of 0.1-0.5 km/s show run-up amplifications up to 5 times compared with the static case.  
 66 Williamson et al. (2019) studied the relationship between rupture kinematic properties and tsunami evolution. They  
 67 found that earthquake rupture velocity variations down to 1.5 km/s had a small effect on tsunami propagation.

68 Since it is known that very slow earthquake rupture can increase tsunami amplitudes and the run-up (Riquelme et. al.  
 69 2020 and Fuentes et. al 2020). We calculate tsunami energy efficiency when earthquakes present very slow earthquake  
 70 rupture velocity, as a function of moment magnitude, earthquake rupture and tsunami velocities. We also explain by  
 71 theoretical arguments the tsunami energy efficiency-behaviour under very-slow earthquake-rupture velocities.

## 72 **2 Methodology**

73 Miyoshi (1954) defined the tsunami efficiency as

$$74 \quad f = \frac{E_D}{E_S}$$

75 where  $E_D$  is the dynamic energy

76  $E_D = \rho g \int_0^T \int_S \zeta_t(x, y, t) \eta(x, y, t) dx dy dt$   $\zeta_t(x, y, t)$  is the seafloor deformation and  $\eta(x, y, t)$  is the wave  
 77 amplitude.

$$78 \quad E_S = \rho g \int_0^T \int_S \zeta_t(x, y, t) [h(x, y) - \zeta(x, y, t)] dx dy dt$$

79 where  $S$  is the source area,  $T$  the source duration,  $\rho$  is the water density and  $g$  the gravity acceleration. Kajiura (1970)  
 80 studied a different efficiency-like ratio as  $\frac{E_D}{E_{D_0}}$ .

81  $E_{D_0}$  is the same dynamic energy for an analytical reference model (figure 1). In this study, we will take the  
 82 corresponding value when rupture velocity is infinite.

83 In Kajiura (1970) model,  $T$  is the rise time, since there is no rupture velocity included. To extend this definition, we  
 84 employ the analytical solution of amplitude  $\eta(x, y, t)$  as function of  $V_r$  and  $c_0 =: \sqrt{gh}$  obtained from Fuentes et al.  
 85 (2020) to include the effect of the rupture. In the general case of a bilateral rupture composed by two segments  $L_1$  and  
 86  $L_2$ ,  $T$  is taken as the duration of the rupture process:  $T = \frac{\max(L_1, L_2)}{V_r} + t_R$ , where  $V_r$  is the rupture velocity and  $t_R$  the rise  
 87 time. Note that when  $V_r$  tend to infinity, one retrieves the same Kajiura's formula. Other observation is that depending  
 88 on the wave pattern of the initial condition,  $E_D$  does admit negative values.

89 Then, we numerically compute the tsunami efficiency associated with a uniform wave amplitude for two different  
 90 types of ruptures: Unilateral and Bilateral. For these ruptures, we calculate the dynamic and static energy as defined  
 91 by Kajiura for  $V_r = 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1, 1.5$  and  $2$  km/s, using magnitudes of  $6.0, 6.5, 7.0, 7.5,$   
 92  $8, 8.5, 9, 9.5$  and different depths  $2, 4, 6, 8, 10$  km emulating bathymetric depths around the globe.

93 We use the scaling law of Blaser et al. (2010) to associate a magnitude with the fault size and thus, to calculate dynamic  
 94 and static energy for each model.

95 We also perform a few tests without causality (or no directivity) to show that the classical tsunami approximation in  
 96 terms of maximum run-up height tends to the static case. These tests are key to prove that the amplification not just  
 97 depends on the slowness of the source, but the earthquake directivity plays a key role on the amplification. We model  
 98 the run-up because this is a static parameter which depends on the source; size, spatial and temporal complexity,

99 directivity, bathymetry; and its maximum value is only referred to a spatial point R (x,y) and the end of the tsunami  
100 propagation, therefore at the ends of the tsunami process the run-up it is allow us to infer the energy distribution.

### 101 **3 Results**

102 Here, we show the results for a 4 km depth ocean ( $c=0.198$  km/s). Full results are in the supplementary material for 6,  
103 8, 10 km depth. We calculated the ratio  $\frac{E_D}{E_{D_0}}$  defined by the extended definition of efficiency formula (Table 1 and  
104 Table 2).

105 The tsunami velocity  $c_0$  in a 4 km depth bathymetry is 0.198 km/s. The maximum augmentation is observed when the  
106 earthquake rupture velocity is close to the tsunami velocity. This effect, of course, increases as the magnitude  
107 increases.

108 We observe a larger augmentation in the case of the unilateral rupture. This is because the rupture is longer, therefore,  
109 the tsunami has more time to amplify its energy until it reaches the edges of the fault (Fuentes et. al. 2020). The starting  
110 point splits the coupling energy according to how much earthquake area is available to break. Same results apply for  
111 the cases of 6, 8, and 10 km depth (see supplementary material).

112 For a Mw 9.5 earthquake, the effect of the magnitude predominates over the type of rupture. However, for both cases  
113 the augmentation is larger when  $V_r = c_0$ .

114 To verify that directivity, it is necessary to explain the mechanism behind the physics of very slow-rupture tsunamis.  
115 We create 20 heterogenous ( Andrews 1980, 1981) earthquake sources without directivity. We generate a source with  
116 rupture starting points, i.e different hypocenters, each one of them has a rupture velocity of 0.2 km/ s in a 4 km depth  
117 ocean. The hypocenters are distributed along the rupture area with no causality, in this way we partially eliminate the  
118 effect of directivity. Obviously, as many starting rupture points we include, hypothetically, directivity would be totally  
119 eliminated when infinite of these “hypocenters” are acting together.

120 We perform these tests in a simple bathymetry including 20 heterogeneous sources. To eliminate the effect of  
121 directivity we model a group of 5 scenarios with 12, 24, 48, 72 and 100 “hypocenters”; setting a simple bathymetry  
122 of a 4 km ocean depth and 222 km from the trench to the coast with an inclination of  $1.032^\circ$  (figure S1) . These  
123 hypocenters are randomly located in the source.

124 Tsunami simulations were modeled with non-linear Boussinesq equations in order to take into account dispersive  
125 effects, by using the tsunami simulation code JAGURS (Baba et al. 2017), which also allows to onsider effects of  
126 elastic deformation of the seafloor caused by the weight of the water column, variations in the seawater density along  
127 a vertical profile.

128 The temporal evolution of the source is constructed as follows: 1. The inclusion of a temporal description of the slip  
129 distribution, i.e, the kinematic rupture process. 2. Using Okada’s equation (Okada, 1985) and horizontal contributions  
130 (Tanioka and Satake (1996)) to calculate the seafloor deformation for each time step. Therefore, at every time step,  
131 the static deformation is transferred to the sea surface respecting the points inside the rupture front activation,  
132 mimicking an active tsunami generation

133 The results show that the run-up in these cases tends to become similar to the heterogeneous static case . This occurs  
134 because the scenarios do not have enough area to develop directivity, when we add hypocenters to the source, the  
135 effect of directivity becomes lower and tends to the static case.

### 136 **4 Discussion and Conclusions**

137 A plausible way to produce large tsunamis near the trench would be with a change in the pore pressure. This would  
138 increase the deformation (Ma, 2012). Ma and Nie (2019) showed that an inelastic rupture for the Tohoku 2011 event  
139 would augment the deformation, then the slip values found by several authors would not be necessary to produce such  
140 a large deformation on the seafloor. The 1896 Sanriku earthquake also presents some features that might think this

141 earthquake was caused by additional deformation in the prism (Tanioka and Seno 2001) .In this case, is not necessary  
 142 to add more slip at the source, but with more displaced material in the trench it was observed that in three mareographs  
 143 Hanasaki, Choshi and Ayukawa, fitted accurately their amplitude with the synthetics mareographs created from  
 144 additional deformation. This earthquake would be another example of slow rupture due to inelasticity.

145 Inelastic deformation can cause slow rupture velocity because it is an energy sink. This would be distributed as heat  
 146 which would be related to the low frequency content of the slow component in tsunamigenic earthquakes. The  
 147 reduction of rupture velocity depends on how strong the inelastic deformation is. In the northern part of the 2004  
 148 Sumatra earthquake, there could have been a lot of inelastic deformation due to the presence of rich sediments, which  
 149 may explain the intriguing observations. At the Bengal Bay, the intensities were very low (III-IV) but the tsunami was  
 150 large (Lay et al. 2005). Another explanation of such slow rupture for this event would be the 90° E ridge, this would  
 151 be a structural barrier that may result in slow rupture (Gahalut et. al., 2010).

152 It has been observed in the Tohoku 2011 earthquake and the Illapel earthquakes a slow rupture behavior towards the  
 153 trench, the rupture velocity for the first case was slow as 1.5 km/s (Lay et al 2011) and for the second one 1.8 km/s.

154 The pore pressure can change dynamically during earthquake rupture if there is a change in mean normal stress. So,  
 155 in subduction zones, up-dip rupture propagation can increase pore pressure significantly in the overriding wedge  
 156 leading to a larger deformation not necessarily with more slip in the rupture.

157 An evidence of inelastic slow rupture is the Kaikoura earthquake in its Papatea fault segment (Diederichs et. al., 2019).  
 158 Back projection models do not reconcile the observations obtained in the field and differential lidar. It seems that there  
 159 exists a slow component not observed by this technique. Therefore an open discussion arises: what zones in the world  
 160 due to rheological properties are prone to have slow rupture velocities?. Sedimentary wedges with low shear modulus  
 161 are potentially the ones that can present an inelastic slow rupture, however this is still in debate. Under unique  
 162 conditions, the ocean depth ( $h$ ) would produce the tsunami velocity  $c_0$  which would couple with rupture velocity, this  
 163 would increase the tsunami and run-up amplitudes.

164 As it was proven by Riquelme et al. (2020) and Fuentes et al. (2020), the tsunami amplitudes augment when the  
 165 rupture velocity combined with the directivity effect are acting together. Also the largest effect is found when the  
 166 rupture velocity is equal to the tsunami velocity. The efficiency  $\frac{E_D}{E_{D_0}}$  augments when very slow rupture are included.

167 In the classical tsunami formulation, the rupture velocity was not taken into account because earthquakes were meant  
 168 to be fast enough to avoid it. However the scenarios with random hypocenters explain that both effects are necessary  
 169 to increase the run-up in these cases.

170 We have proven that the effect of amplitude augmentation is related to directivity and not just to deformation, the  
 171 heterogeneous sources with no causality in the rupture show that without directivity but the same deformation of a  
 172 Mw 9.0 earthquake will not increase the tsunami amplitude. The results are that for an earthquake with no directivity  
 173 there is no augmentation either in the amplitude or the run-up. In fact, this scenario is equivalent to the static case.

174 The ratio between dynamic energy ( $E_D$ ) and dynamic energy with infinite rupture velocity ( $E_{D_0}$ ) explains how large  
 175 the amplification is due to slow rupture velocity. When the rupture velocity is between 0.2 to 0.3 km/s associated to  
 176 any magnitude, the amplitude amplification appears, the maximum amplification occurs as expected when the  
 177 earthquake rupture velocity is equal to the tsunami velocity.

178 The ocean and the earth are weakly coupled due to the low water compressibility value, then it is still necessary to  
 179 have large earthquakes to produce tsunamis. Therefore, magnitude is a proxy of the size of the tsunami, slip  
 180 distribution a proxy of how large the amplitude and run-up would be in specific places in the near field; and directivity  
 181 and rupture velocity are a measure of how large amplification is expected towards one direction or another. Then,  
 182 large tsunamigenic earthquakes tend to produce larger amplitude amplification when they are slower, and small  
 183 earthquakes do not amplify as much as the large ones do, but they still amplify. This would be an example of slower  
 184 earthquakes getting larger amplifications than smaller ones ( Figure 3) . Recall, energy is proportional to the square  
 185 of the wave amplitudes, amplification process is controlled by other physical processes, which leads to, theoretically,  
 186 extreme tsunami efficiency, as Figure 3 shows, in a hypothetical very slow Mw 9.5 earthquake.

187 The amplification follows the tsunami physics, it is necessary to have large earthquakes ( $M_w > 7.5$ ) to produce  
 188 tsunamis. Small earthquakes, even with slow velocity rupture and directivity effects, are not capable of producing  
 189 large tsunamis. There is no coupling between tsunami velocity and earthquake rupture velocity when there is no  
 190 directivity from the earthquake rupture, then this feature occurs only when slow rupture and directivity are present.

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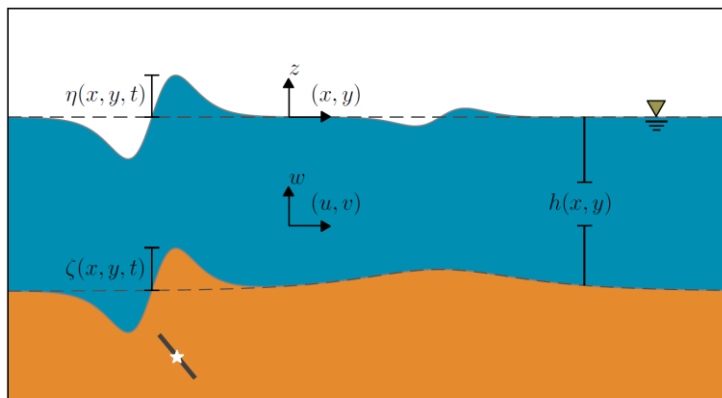
## 192 **Acknowledgments, Samples, and Data**

193 We thank Patricio Toledo who made suggestions to improve the manuscript. We thank Sebastian Arriola who  
 194 performed the tsunami acausal simulations . This work was partially supported by Programa de Riesgo Sismico of the  
 195 University of Chile.. Data of maximum tsunami amplitudes and run-up series and Data of Tsunami Efficiency versus  
 196 ratio of tsunami and earthquake rupture velocity are available at supplementary material and in the following link  
 197 <https://zenodo.org/record/3829100#.Xr7Gh2hKg2x>

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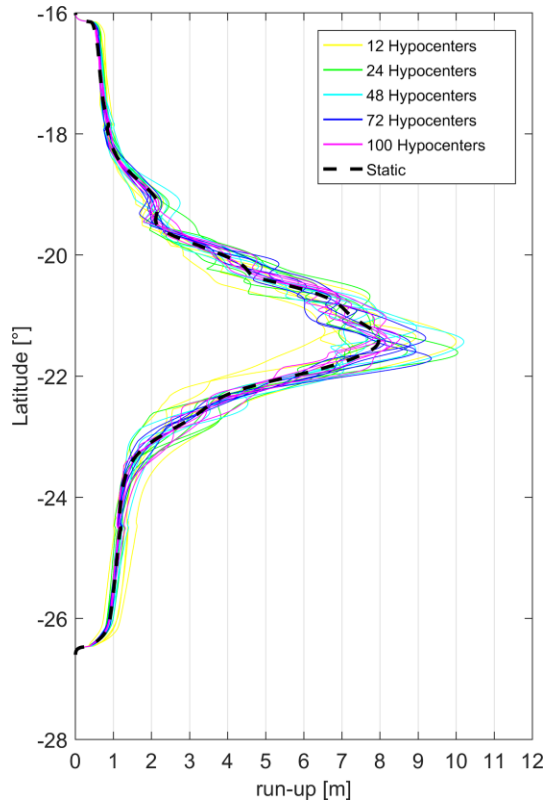
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278 **Figure 1.** Tsunami variables for analytical modelation.

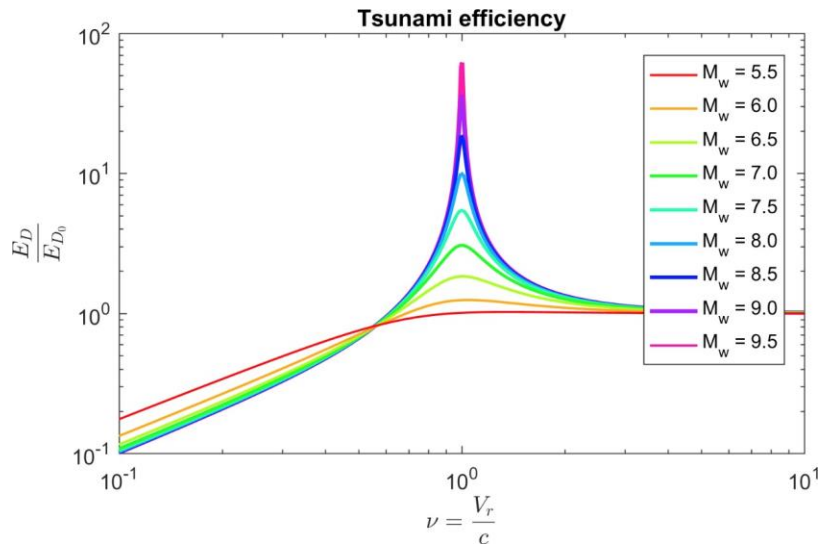




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280 Figure 2. Run-up for heterogeneous scenarios earthquakes, with random (no causality) rupture starting points. While  
 281 more random starting points the scenario tends to produce the same run-up that the static case scenario.

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284 Figure 3. Tsunami Energy Efficiency  $\frac{E_D}{E_{D_0}}$  as a function of  $V_r/c_0$ . Large earthquakes tend to become larger when  $V_r =$   
 285  $c_0$ . Small earthquakes do not amplify tsunami energy as much as large earthquakes.

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Unilateral ED/ED0	Rupture Velocity [km/s]												ED0
Magnitude	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.5	2.0	Infinite
6	0.507	0.703	0.630	0.583	0.545	0.524	0.499	0.498	0.482	0.475	0.449	0.436	2.13E+10
6.5	0.401	1.069	0.912	0.808	0.732	0.680	0.654	0.629	0.622	0.611	0.574	0.559	2.59E+11
7	0.310	1.562	1.164	0.950	0.852	0.795	0.754	0.709	0.681	0.664	0.622	0.606	3.22E+12
7.5	0.267	2.158	1.218	1.015	0.933	0.858	0.811	0.762	0.746	0.723	0.649	0.643	3.41E+13
8	0.229	3.264	1.294	1.027	0.890	0.862	0.825	0.781	0.769	0.757	0.698	0.668	3.43E+14
8.5	0.209	5.183	1.463	1.045	0.917	0.873	0.856	0.807	0.793	0.783	0.711	0.690	3.44E+15
9	0.131	8.236	1.301	1.005	0.878	0.833	0.780	0.754	0.740	0.724	0.701	0.682	3.39E+16
9.5	0.205	9.333	1.144	0.841	0.841	0.756	0.716	0.687	0.695	0.743	0.662	0.662	3.30E+17

288 Table 1. Tsunami Efficiency for different earthquake moment magnitudes for an Unilateral Rupture.

Bilateral ED/ED0	Rupture Velocity [km/s]												ED0
Magnitude	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.5	2.0	Infinite
6	0.669	0.699	0.693	0.689	0.681	0.666	0.681	0.680	0.671	0.668	0.668	0.664	2.03E+10
6.5	0.782	0.935	0.859	0.823	0.815	0.792	0.782	0.786	0.784	0.779	0.770	0.763	2.58E+11
7	0.692	1.311	1.077	0.982	0.931	0.892	0.865	0.857	0.858	0.827	0.815	0.805	3.16E+12
7.5	0.505	1.988	1.350	1.136	1.047	0.971	0.942	0.920	0.913	0.899	0.864	0.844	3.37E+13
8	0.437	3.043	1.590	1.241	1.083	1.032	0.994	0.958	0.945	0.922	0.879	0.868	3.42E+14
8.5	0.431	3.851	1.562	1.165	1.069	1.006	0.935	0.902	0.885	0.879	0.846	0.840	3.44E+15
9	0.272	4.923	1.640	1.085	1.012	1.015	0.989	0.909	0.912	0.922	0.877	0.850	3.39E+16
9.5	0.006	9.209	1.436	0.991	0.882	0.837	0.813	0.788	0.792	0.774	0.776	0.785	3.30E+17

289 Table 2. Tsunami Efficiency for different earthquake moment magnitudes for a Bilateral Rupture.

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