Simultaneous UV Images and Particle Measurements of an Auroral Dawn Storm at Jupiter

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Abstract

We present Juno observations between 03:00 to 06:00 UT on day-of-year 86, 2017 that link electrons in Jupiter's polar magnetosphere to images of transient, enhanced UV emissions in Jupiter's dawn auroral region known as a dawn storm. Juno ranged between 42 deg N - 51 deg N in magnetic latitude and 7.8 - 5.8 jovian radii during this period. The UV enhancements consist of two separate, elongated structures which extend into the nightside, rotate with the planet, move to lower latitudes over time, and have high color ratios. The electrons mapping to these emissions exhibit sudden intensity depletions below ~10 keV coincident with intensity enhancements up to energies of ~1000 keV, consistent with the high color ratio observations. Electron pitch angle distributions are magnetic field aligned and bidirectional. These high latitude observations are a result of magnetospheric processes, likely plasma injections, that trigger the generation of 100s of keV electrons to produce these dawn emissions. Simultaneous UV Images and High-latitude Particle and Field Measurements
 During an Auroral Dawn Storm at Jupiter

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- 28 Key Points
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- 30 1. Juno concurrently observed UV emissions from a Jupiter dawn storm and high-latitude 31 plasma mapping to them at radial distances of $\sim 6 - 8 R_J$.
- 32
- 33 2. Electron distributions with energies from ~10–1000 keV carried a significant fraction
- 34 of the energy flux needed to produce the UV emissions.
- 35
- 36 3. Energetic ions, magnetic perturbations, whistler mode waves and bKOM radio
- 37 emissions were observed on field lines mapping to the dawn storm.
- 38
- 39 Abstract
- 40

41 We present multi-instrument Juno observations on day-of-year 86, 2017 that link particles 42 and fields in Jupiter's polar magnetosphere to transient UV emissions in Jupiter's northern

- 42 and fields in Jupiter's polar magnetosphere to transferr OV emissions in Jupiter's normer 43 auroral region known as *dawn storms*. Juno ranged from 42°N - 51°N in magnetic latitude
- 45 autoral region known as *dawn storms*. Juno ranged from 42 N 51 N in magnetic faitude 44 and 5.8 - 7.8 jovian radii (1 R_J = 71,492 km) during this period. These dawn storm
- 44 and 5.8 7.8 jovian radii (1 KJ = 71,492 km) during this period. These dawn storm 45 emissions consisted of two separate, elongated structures which extended into the nightside,
- 46 rotated with the planet, had enhanced brightness (up to at least 1.4 megaRayleigh) and high

47 color ratios. The color ratio is a proxy for the atmospheric penetration depth and therefore 48 the energy of the electrons that produce the UV emissions. Juno observed electrons and 49 ions on magnetic field lines mapping to these emissions. The electrons were primarily 50 field-aligned, bi-directional, and, at times, exhibited sudden intensity decreases below ~10 51 keV coincident with intensity enhancements up to energies of ~1000 keV, consistent with 52 the high color ratio observations. The more energetic electron distributions had 53 characteristic energies of $\sim 160 - 280$ keV and downward energy fluxes ($\sim 70 - 135$ mW/m²) 54 that were a significant fraction needed to produce the UV emissions for this event. 55 Magnetic field perturbations up to $\sim 0.7\%$ of the local magnetic field showing evidence of 56 upward and downward field-aligned currents, whistler mode waves, and broadband 57 kilometric radio emissions were also observed along Juno's trajectory during this 58 timeframe. These high latitude observations show similarities to those in the equatorial 59 magnetosphere associated with dynamics processes such as interchange events, plasma 60 injections, and/or tail reconnection.

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62 **1. Introduction**

63

64 The primary components of Jupiter's ultraviolet (UV) aurora are the main, outer, polar, and satellite emissions [see review by Grodent et al., 2015 for details]. These emissions are 65 66 produced primarily by precipitating electrons interacting with H₂ molecules in Jupiter's 67 upper atmosphere [e.g. Broadfoot et al., 1979] and can be used as a diagnostic of dynamics and structure in Jupiter's magnetosphere. A number of secondary, transient UV auroral 68 69 emissions have also been identified, many occurring in the dawn sector of Jupiter's auroral 70 region [e.g. Prangé et al., 1993; Gérard et al., 1994; Ballester et al., 1996; Clarke et al., 71 1998; Gustin et al. 2006; Radioti et al., 2008; Kimura et al., 2015; 2017; Yao et al. 2020; 72 Bonfond et al., 2021].

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74 Using UV images from the Faint Object Camera on the Hubble Space Telescope (HST), 75 Gérard et al., [1994] identified a bright, arc-like UV feature of ~6 megarayleighs (MR) in 76 Jupiter's northern auroral region that was in guasi-corotation with the planet. This feature 77 dimmed by more than an order of magnitude when it was observed again ~20 hours later, 78 suggesting a transient phenomenon that was attributed to large-scale variations in Jupiter's 79 magnetospheric current system. Clarke et al., [1998] identified bright, transient UV 80 emissions in the local dawn region near the expected location of Jupiter's main emission. These emissions, coined 'dawn storms', showed significant spreading in longitude and 81 82 remained near local dawn while other, dimmer, emissions co-rotated with the planet. Their 83 proximity to the main aurora suggested that these emissions were produced in Jupiter's 84 middle magnetosphere. Kimura et al., [2015] interpreted these dawn storms as being driven 85 by tail reconnection, bringing energetic particles from the outer and middle magnetosphere 86 to the inner magnetosphere within a timeframe of up to 2 planetary rotations. Gustin et al., 87 [2006] reported on dawn UV auroral brightenings of up to ~1.8 MR, approximately 4 times 88 brighter than the nominal main emission [Grodent et al., 2003]. These features had a 89 leading edge that was fixed in system III longitude (a system fixed with the corotationg 90 planet) whereas the trailing edge seemed to be organized by local time, with an extension 91 into the nightside of Jupiter's auroral region. UV spectral observations were used to infer the characteristic energies (up to $\sim 50 - 500$ keV), energy fluxes (5 - 90 mW m⁻²) and 92

93 current densities ($\sim 0.1 - 0.5 \ \mu A \ m^{-2}$) of the precipitating electrons responsible for 94 producing these emissions [Gustin et al., 2006]. These electrons were interpreted as being 95 accelerated by electric fields in regions of upward field-aligned currents.

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97 In July 2016, NASA's Juno mission [Bolton et al., 2017] was inserted into a 53-day polar 98 orbit around Jupiter. Juno is a spinning spacecraft with a ~30 s spin period. Its orbit and 99 suite of instruments provide an excellent platform to remotely image Jupiter's aurora [e.g. 100 Connerney et al., 2017a] while simultaneously measuring in situ the polar magnetospheric 101 environment that map to unique auroral emissions [e.g. Ebert et al., 2019; Gérard et al., 102 2019]. The Juno observations present a new opportunity to study the physics of dawn 103 storms at Jupiter. For instance, Bonfond et al. [2021] examined the complete process of 104 dawn storm evolution using global images of these events provided by Juno along with 105 their frequency. They found that dawn storms originate as small, short-lived emissions 106 followed a couple of hours later by the evolution from a linear to a more irregular arc in 107 the main emission in the midnight region which then rotates toward dawn. After 108 broadening and then splitting, these emissions are separated by a region absent of emissions 109 that fills in as the event progresses. The final stage produces equatorward-moving auroral 110 emissions associated with plasma injection signatures. Considered as a sequence, these 111 auroral features were interpreted as the signature of magnetotail reconfigurations, including 112 reconnection, plasma instabilities, dipolarization, and plasma injections. They also 113 determined that dawn storms are present in approximately half of the 8-hour long perijove 114 sequences during Juno's first 20 perijoves.

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116 Yao et al. [2020] combined in situ particle and field measurements in Jupiter's equatorial 117 magnetosphere from Juno with auroral observations from the HST. They found that auroral 118 dawn storm emissions were often observed in conjunction with auroral signatures 119 attributed to particle injections in the equatorial magnetosphere. They suggested that the 120 drivers of these emissions may be physically connected, with magnetic reconnection in the 121 dawn side magnetosphere being responsible for the auroral dawn storms and the 122 subsequent magnetic dipolarization producing the auroral injection signatures. 123 Swithenbank-Harris et al. [2021] also examined in-situ measurements from Juno in 124 Jupiter's equatorial dawn magnetosphere concurrent with an auroral dawn storm observed 125 by HST. Their analysis revealed a source region located at ~60 R_J with a component 126 rotating towards local noon and proton velocities to near corotation speeds. The source 127 region was characterized by enhanced densities of hot plasma, field-aligned energetic 128 protons and heavy ions, and a reversal in the azimuthal component of Jupiter's magnetic 129 field. They suggested that dawn storms result from the acceleration and heating of 130 magnetospheric plasma following reconnection at earlier local times.

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In this study, we present UV images and in situ particle and field observations in Jupiter's polar magnetosphere associated with a dawn storm in Jupiter's northern auroral region on day-of-year (DOY) 86, 2017, prior to Juno's 5th perijove (PJ5). We focus on the period from 03:00-05:00 UT when Juno ranged from 7.8 RJ to 5.8 RJ in jovicentric radial distance and 41.6° N to 50.9° N in magnetic latitude. This period is of high interest because the spacecraft simultaneously imaged the UV emissions it was magnetically connected to. This was the first time that Juno was at high latitude and on magnetic field lines connecting to 139 a dawn storm. Section 2 provides an overview of the Juno data sets and observing geometry 140 used in this study. UV brightness and color ratio images and polar magnetosphere particle 141 and field observations are presented in section 3. Section 4 provides an interpretation of 142 the observations. A summary and conclusions are presented in Section 5. These Juno 143 observations provide a unique opportunity to study particle and field features at high 144 latitude that directly map to transient UV emissions observed in Jupiter's dawn auroral 145 region.

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147 **2. Data Sets and Observing Geometry**

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149 We examine electron and ion observations from Juno's Jovian Auroral Distributions 150 Experiment Electron (JADE-E) and Ion (JADE-I) sensors [McComas et al., 2017] and Jupiter Energetic particle Detector Instrument (JEDI) [Mauk et al. 2017a], UV emissions 151 152 from Juno's Ultraviolet Spectrograph (UVS) [Gladstone et al., 2017], radio and plasma 153 wave observations from the Waves instrument [Kurth et al. 2017], and magnetic field 154 observations from the Magnetic Field Investigation (MAG) [Connerney et al. 2017b]. 155 JADE-E and JEDI measure electron fluxes in the energy range of 0.1 - 100 keV and 30 - 100156 1000 keV, respectively, along with their pitch angle distributions, with a time resolution 157 up to 1 s. JADE-I measures ions from 0.01 - 46 keV/charge (keV/q) over a mass per charge 158 (m/q) range of $\sim 1 - 64$ amu at a time resolution as high as 2 s. JEDI measures ions with 159 total energy from ~ 50 keV to well above 1 MeV (upper limit is species dependent), along 160 with their pitch angle distributions and can resolve oxygen and sulfur above ~ 400 keV. 161 UVS is an imaging spectrograph sensitive to wavelengths between 68 and 210 nm. It 162 observes Jupiter's northern and southern auroras for several hours bounding each Juno perijove pass from jovicentric distances of $\sim 1.3 - 7$ RJ. A scan mirror allows shifting the 163 164 field-of-view (FOV) of UVS by up to $\pm 30^{\circ}$ above or below the spacecraft spin plane. The 165 combination of Juno's spinning nature and UVS's scan mirror gives this instrument access to half the sky for any given spacecraft orientation. The UV brightness is determined by 166 167 integrating the emissions between 155 - 162 nm and then multiplying by 8.1 to estimate 168 the total H₂ emission between 75 - 198 nm [Gérard et al., 2019]. Waves measures the wave 169 magnetic and electric fields covering frequency ranges of 50 Hz - 20 kHz and 50 Hz - 40 170 MHz, respectively, at a time resolution of up to 1 s near Juno's perijove. MAG consists of 171 two independent sensor suites, each containing a tri-axial fluxgate magnetometer (FGM) 172 and a pair of imaging sensors. The FGMs simultaneously measure the magnetic field at a rate of 64 vector samples per second. We utilize 1 s magnetic field vector observations 173 174 from MAG to calculate the electron pitch angle distributions. See the instrument papers 175 cited above for more details.

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177 Figure 1 shows Juno's trajectory on approach to PJ5 and its projection onto the planet using 178 the JRM09 internal magnetic field model [Connerney et al., 2018] and the magnetodisc 179 model of Connerney et al., [1981], where Z_{MAG} is along Jupiter's magnetic dipole axis and 180 ρ_{MAG} is the perpendicular distance from the magnetic dipole axis. M, or M-shell, 181 corresponds to a distance based on the predicted magnetic equator crossing distance for 182 any given magnetic field line. Juno's magnetic footpoint maps along Jupiter's northern 183 main auroral oval from ~02:00 – 06:30 UT on DOY 086, 2017. The periods of interest are 184 highlighted by the thick red, blue, and green lines. The blue line corresponds to an interval

when Juno made in situ measurements of particles, and fields while simultaneously 185 186 observing bright UV features near Jupiter's main emission. The red line identifies a period 187 when Juno made in-situ measurements on field lines mapping to the expected location of 188 the bright UV features under consideration here. The green line highlights when Juno was only remotely observing the bright UV auroral features. 189

190 Magnetic Coordinates North Pole Sys. III 8 In-Situ 6^LIn-Situ+Aurora Z_{MAG} [R_] Aurora 2 M = 10M = 20M=5 0 10 r_{MAG}[R_J] 15 20 5 0

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192 Figure 1: (Left) Juno's inbound trajectory (black line) in Jupiter's northern hemisphere 193 prior to perijove 5 on days-of-year 85 - 86, 2017. The trajectory is shown in a magnetic 194 coordinate system [Connerney et al., 1981; 2018]. Magnetic field lines, and the M-shells 195 that they map to, are shown in grey. (Right) Magnetic projection of Juno's trajectory onto 196 the 1-bar level of Jupiter's upper atmosphere in black with times indicated. Orange oval 197 denotes the statistical average position of Jupiter's main ultraviolet aurora [Bonfond et 198 al., 2012]. Thick red, blue, and green lines highlight the periods of interest along Juno's 199 trajectory. Dashed circles and lines are contours of constant jovicentric latitude and 200 system III longitude, respectively. Black ovals identify the location of the Io (outer) and 201 Europa (inner) auroral footprint paths. 202

203 3. UV Aurora and High-Latitude Magnetosphere Observations

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205 Figure 2 presents UV brightness (left column) and color ratio (right column) maps of 206 Jupiter's northern auroral region covering the period from 03:58 – 06:06 UT on DOY 86, 207 2017. Each map is a composite of 12 different images obtained over a ~6-minute interval, 208 each image having ~ 17 ms of integration time. The color ratio, defined as the ratio between 209 the integrated brightness from 158 to 162 nm and 126 to 130 nm, is used to estimate the 210 depth from which the UV emissions are generated and are a proxy for the characteristic 211 energy of the precipitating electrons that produce them. A larger color ratio is interpreted 212 as representing more energetic electrons that produce the UV emissions [e.g. Gérard and 213 Singh, 1982], with more energetic electrons penetrating deeper into the atmosphere [e.g. 214 Gérard et al., 2014].

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216 This study focuses on the bright UV emissions observed on the left side of Figure 2(a), 217 near the statistical average latitude of the main aurora, denoted by the white dashed ovals 218 [Bonfond et al., 2012]. These UV emissions consist of two bright, elongated features on 219 the dawn side of the auroral region, a leading spot near the terminator, and a trailing spot

220 on the night side. Figures 2(a) - 2(e) are a time series of the auroral images and show that



222 **Figure 2**: (a) – (e) Polar projections of ultraviolet (UV) brightness images in Jupiter's 223 northern auroral region on day-of-year 086, 2017 from 03:58 to 06:06 UT. (f) - (j) Color 224 ratio images for the same intervals as shown for the UV brightness. These images are in 225 chronological order. Red arrows in (a) - (e) point to the emissions studied here. Yellow 226 lines identify Juno's trajectory, the yellow stars identify Juno's footprint at the time when 227 the UV images were collected. The purple diamonds in each panel bound the latitude and 228 longitude for the UV emissions whose brightness and color ratio estimates are shown in 229 *Figure 3. Orange lines denote the day-night terminator, the Sun direction being the bottom* 230 of each image. The two white dashed lines represent the statistical average compressed 231 and expanded position of Jupiter's main ultraviolet (UV) aurora [Bonfond et al., 2012].

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these features travel in the direction of planetary rotation. The purple diamond symbols in
Figure 2 identify emissions that are tracked throughout this interval, at a System-III latitude
and longitude of 55.6° and 165.3°, respectively, for the leading spot and 56.8° and 174.8°,
respectively, for the trailing spot (see Figure 3).

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The image in Figure 2(f) indicates that the UV features under consideration have relatively high color ratios compared to the surrounding emissions. The color ratio for the nightside emission is greater than that for the emission near the terminator, suggesting that the nightside emissions are produced by more energetic electrons. Figures 2(f) - 2(i) show that the color ratio for the trailing spot remains relatively higher than the leading spot throughout most of the interval.

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Figure 3 shows a time series of the maximum brightness and color ratio for the UV 245 emissions at the latitudes and longitudes specified within the purple diamond symbols in 246 247 Figure 2, along with their uncertainties. We present a value from each image shown in 248 Figure 2. The maximum brightness at the locations specified for the leading and trailing 249 spots were 1170 ± 290 kilorayleighs (kR) and 1380 ± 300 kR, respectively, at the beginning of the interval and 660 ± 240 kR and 100 ± 100 kR, respectively, at the end of the interval. 250 The maximum color ratio for the leading spot ranged between 5.7 - 8.5 within the region 251 252 of interest. The maximum color ratio within the trailing spot at the beginning of the interval 253 was significantly higher compared to the leading spot and remained relatively enhanced 254 except for the last image. This indicates that electrons producing the trailing spot were 255 more energetic than those producing the leading spot. The brightness and color ratio within 256 both the leading and trailing spots showed a reduction in magnitude as the dawn storm 257 progressed.



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 259 Figure 3: (a) Maximum (max) UV brightness and (b) max color ratio within the latitudes and longitudes within the region bound by the purple diamond symbols in Figure 2..

262 Figure 4 presents UV aurora and polar magnetosphere electron, ion, and magnetic field 263 observations from DOY 086, 2017. The UV brightness (Figure 4a) and color ratio (Figure 4b) images were obtained from observations collected between 03:58 and 04:04 UT, a 264 period when Juno's magnetic footprint mapped to near the trailing spot auroral features 265 266 highlighted in the purple boxes. The magnetospheric observations (Figures 4c - 4i) cover 267 the time range from 03:00 - 05:00 UT. During this timeframe, Juno moved toward Jupiter from 7.8 to 5.8 RJ and to higher northern magnetic latitudes from 42°N to 51°N, and its 268 269 magnetic footprint mapped near the predicted location of the main emission and the bright 270 UV emissions highlighted in Figures 2. The vertical purple rectangular box highlights 271 particle and field observations that coincide with the timing of the auroral observations in 272 Figures 4a and 4b.

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Figure 4(c) shows an energy versus time spectrogram of differential energy flux for 0.1 - 1000 keV electrons based on combined JEDI and JADE-E observations. The JEDI observations (> 100 keV) have a 30 s time resolution throughout this period while the JADE-E observations have a resolution of 1 s from 03:00 -03:30 UT and a 30 s resolution from 03:30 - 05:00 UT. The transition between the JADE and JEDI data presented here is at 100 keV.

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281 The electron distributions show variations in both differential energy flux and energy. The 282 most notable features are the depletions in low-energy electrons observed between $\sim 03:11$ 283 -03:12, 03:18 - 03:26, and 03:53 - 04:25 UT. During these times, the minimum energy 284 of the electrons increases from $< \sim 200 \text{ eV}$ to $\geq 10 \text{ keV}$, the bulk of their differential energy 285 flux distributions being at energies > 100 keV, with their maximum energy exceeding 500 286 keV. A closer inspection of the JEDI data from $\sim 03:18 - 03:26$ and 04:00 - 04:15 UT 287 shows an enhanced electron flux just above 160 keV in the electron energy spectrogram. 288 This signature is consistent with the presence of penetrating (> \sim 1 MeV) electrons. The 289 interval between 03:53 - 04:25 UT contains the period where the electrons map to near the 290 dawn UV emissions highlighted in Figures 4(a) and 4(b), the increase in electron energy 291 being consistent with the high UV color ratio observations.

11/10/21 4:53 PM

293 The pitch angle distributions for the $\sim 30 \text{ keV} - 1 \text{ MeV}$ electrons in Figure 4(d) are primarily 294 between 0 - 30° and 150 - 180° , indicating that the electrons are mostly field-aligned and 295 bi-directional at Juno's location, traveling both towards (downward) and away (upward) 296 from Jupiter. The pitch angles for the 0.1 - 100 keV electrons in Figure 4(e) are also 297 primarily field-aligned and bi-directional, both during times when the low-energy electrons 298 are prominent and when they are depleted. The loss cone at Juno's radial distance during 299 this timeframe ranged from $\sim 2.5^{\circ} - 4^{\circ}$, which is below the pitch angle resolution for JEDI 300 and JADE.

301

The 45 - 1000 keV proton and 0.01 - 46 keV/q ion differential energy flux distributions in Figure 4(f) and 4(g), respectively, display similar features as the electrons. Depletions in the low energy ions are observed during similar times as the low energy electrons. At times, the bulk of the ion distributions are at energies above 50 keV. The pitch angle distributions for the protons in Figure 4(f) (not shown) provide evidence for field-aligned, downgoing beams between ~03:20 - 03:30 UT and 04:12 - 04:14 UT.

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309 Figures 4(h) and 4(i) show magnetic field perturbations and magnitudes, respectively, for

the radial (B_r), meridional (B_θ), and azimuthal (B_ϕ) components of Jupiter's magnetic field in a spherical coordinate system. The magnetic field perturbations are calculated by

taking the 1 s magnetic field observations and subtracting the ambient field predicted by

313 JRM09 [Connerney et al. 2018] with the latest Jupiter magnetodisc model [Connerney et

al. 2020]. During this timeframe, MAG was operating in a range which corresponds to a

315 quantization step size of 0.128nT, indicating that the perturbations recorded here (max

 ~ 27 nT) are well resolved. The periods with the largest perturbations occur at $\sim 03:28$ –

317 03:30 UT and ~04:13 – 04:15 UT. Based on the perturbations in B_{ϕ} and assuming that all

318 large scale perturbations are associated with a source region, it seems like Juno first 319 crossed a downward field-aligned current region (decreasing δB_{φ}) followed by an upward

field-aligned current region (increasing δB_{φ}) in both periods mentioned above. The

magnetic field magnitude during this interval ranged from ~1500 nT to ~3700 nT. The

magnetic field magnitude during this interval ranged from ~1500 iff to ~5700 f maximum perturbations recorded here are up to ~0.7% of the ambient field.

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Figure 4: (a) UV brightness and (b) color ratio images from 03:58 – 04:04 UT on DOY 326 327 86, 2017. (c) Energy versus time differential energy flux spectrograms for 0.1–1000 keV 328 electrons. Electron pitch angle distributions for (d) 30 – 1000 keV and (e) 0.1 – 100 keV 329 electrons, respectively. Electrons with pitch angles of 0° and 180° are moving toward 330 (downward) and away from (upward) Jupiter, respectively. (f) 45 – 1000 keV proton and 331 $(g) \sim 0.01 - 46 \text{ keV/q}$ ion differential energy flux spectrograms, respectively. (h) magnetic 332 field perturbations and (i) magnitudes, respectively. The purple boxes identify the UV 333 emission and color ratio features that Juno is mapping to and the corresponding

magnetospheric observations. Data gaps are denoted by grey pixels. Juno's jovicentric
distance (R_J), magnetic latitude (MLAT), magnetic local time (MLT), and M-shell (M), are
provided.

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338 Figure 5 displays the plasma wave electric and magnetic spectral density for the time range 339 shown in Figure 4. The electric field frequencies ranged from $\sim 50 - 200,000$ Hz and the 340 magnetic field frequencies from 50 - 20000 Hz. The emissions just above the electron 341 cyclotron frequency (f_{ce}) (white line) in the top panel are broadband kilometric radio 342 emissions (bKOM). The bKOM is a free-space mode wave generated by the cyclotron 343 maser instability (CMI) at frequencies above fce. The bKOM appear to be more intense 344 when electrons having keV energies are present. Multi-instrument studies with JADE and 345 Waves when Juno crossed hectometric (HOM) radio sources show that 5-10 keV electrons are able to produce this radiation via CMI [e.g. Louarn et al., 2017, 2018]. Studies of non-346 347 Io-related decametric (non-Io-DAM) emissions estimated the energy of resonant electrons 348 to be in the range of several keV [Imai et al., 2017]. Analogous to HOM and non-Io-DAM 349 radiation, the bKOM resonant energy may also be several keV, which is consistent with 350 the enhanced electron energy observations in Figure 4. More details of these emissions 351 during PJ5 can be found in Imai et al. [2019].

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353 The emission below the f_{ce} are whistler mode auroral hiss with the bulk of the wave energy 354 below 1 kHz. These waves typically propagate along magnetic field lines. Intensification 355 near 03:30 and 04:15 UT correspond to the regions of currents identified in Figure 4(h). 356 When their direction of propagation can be determined (between $\sim 03:23 - 03:25$ UT), these 357 whistler mode waves appear to be upward propagating and are likely generated at altitudes 358 below the spacecraft. This technique uses orthogonally oriented B and E sensors (as they 359 are on Juno) to measure the phase between signals in these two sensors. This can provide 360 information on whether the propagation is parallel or anti-parallel to the magnetic 361 field. Details of this technique can be found in Kolmasova et al. [2018].



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Figure 5: Time series of plasma wave electric (top) and magnetic (bottom) field spectral
density from 03:00 – 05:00 UT on day of year 086, 2017. The white line in the top panel
denotes the electron cyclotron frequency (f_{ce}). Juno's jovicentric distance (R_J), system III
longitude (LON_{III}), jovigraphic latitude (Lat), magnetic latitude (MLat_{JRM09}), magnetic
local time (MLT), phase angle relative to Io, and M-shell (M) are provided. The purple
box identifies the same interval as highlighted in Figure 4.

370

371 Figure 6 combines JADE-E and JEDI observations to examine the differential intensity versus energy distributions, or energy spectra, of 0.1 - 1000 keV electrons for selected 372 373 intervals between 03:00 – 05:00 UT on DOY 086, 2017. We highlight periods where the 374 low energy electrons are and are not depleted and when the magnetic field perturbations 375 are largest. The electron energy spectra are separated into field-aligned distributions with 376 pitch angles between $0 - 15^{\circ}$ (downward – electrons moving towards Jupiter) and between 377 165 – 180° (upward – electrons moving towards Jupiter). We only select JADE intervals for times when JADE-E has full pitch angle coverage. 378

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380 Figure 6a shows the energy spectra during a time when the electron intensities peak at ~ 1 381 - 2 keV, have a power-law distribution above those energies, and show little difference 382 between the upward and downward intensities. Figures 6(b) - (d) highlight intervals where 383 the low energy electron intensities are depleted and the high energy electrons are enhanced. 384 Note the 1 - 2 order of magnitude increase in the 100 - 1000 keV electron intensities 385 compared to Figure 6(a). Figure 6(c) shows energy spectra within the time interval when 386 Juno was mapping to near the dawn storm UV emissions in Figure 4(a) - (b). Figure 6(d)387 shows energy spectra during the time when Juno observes the largest magnetic field

perturbations during this interval, noting the possible upward versus downward intensity asymmetry between 1 - 50 keV and 100 - 1000 keV. The upward electrons above 100 keV have higher intensities compared to the downward electrons, indicating that further acceleration may be occurring below Juno's altitude.

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393 The scatter in the JADE intensities in Figures 6(b) - (c) are due to the low energy plasma 394 being tenuous and variable and the measured signal being at or near the one-count level of 395 the instrument. The JADE and JEDI electron spectra show agreement within up to a factor 396 of 2 at overlapping energies. The intensity increases in the JADE-E spectra between $\sim 20 - 20$ 397 100 keV is likely due to residual background signal not being completely removed from 398 the observations [see Allegrini et al. 2020a for details]. Additional factors may include the 399 different temporal resolution for the JADE and JEDI observations presented here and 400 differences in field-of-view and angular resolution between the two instruments as 401 described in Allegrini et al. [2020a]. The distinct bump in intensities observed in all plots 402 between $\sim 150 - 500$ keV is due to a JEDI instrument artifact where energetic electrons can 403 begin passing completely through the solid state detectors (SSDs) at ~420 keV, meaning 404 all their energy is not properly captured and instead these particles give false counts around 405 and above about 160 keV. These local peaks can be thought about as the integrated count 406 rate of electrons above 420 keV that escaped detection of their full energy by JEDI [see 407 Mauk et al., 2017c for details]. This feature was partially corrected by redistributing the 408 intensities to their expected energy following the approach outlined in Mauk et al. 2017c. 409



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411 **Figure 6**: (a) – (d) Intensity versus energy for 0.1 - 1000 keV electrons in Jupiter's polar 412 magnetosphere. Measurements are from the JADE-E and JEDI instruments on Juno. Black 413 and red symbols represent electron intensities with pitch angles of $0 - 15^{\circ}$ (downward) and 414 $165 - 180^{\circ}$ (upward), respectively. The JADE observations in (a) – (b) and (c) – (d) have 1 415 second and 30 second resolution, respectively, while the JEDI observations have 30 second 416 resolution throughout. The intensities from each instrument are averaged over the time 417 interval specified in each plot.

418

Table 1 provides estimates for the characteristic energy and energy flux of the electron
distributions in Figure 6. Both quantities are calculated using methods described in several
recent Juno studies [e.g. Mauk et al. 2017b, Clark et al. 2018, Allegrini et al. 2020a]. The

422 characteristic energy reflects the average energy of the electron distributions while the 423 energy flux is a measure of the electron power per unit area. We use JADE observations 424 from 0.1 - 50 keV and JEDI observations from 50 - 1000 keV in the calculation. The 425 characteristic energy for the upward and downward electron distributions in Figure 6(a)426 were 24 and 19 keV, respectively, while their energy flux was 9 - 10 mW m⁻². The 427 characteristic energy and energy flux associated with the distributions in Figures 6(b) - (d), 428 where the low energy electrons are depleted and high energy electron intensities are 429 enhanced, were a factor of 5-20 larger. In particular, the downward energy fluxes in these 430 intervals contain significant fraction of the values required to produce the dawn storm UV 431 emissions identified in Figures 2 - 4, assuming a factor of 10 conversion between energy flux and UV brightness (1 mW m⁻² ~ 10 kR) [e.g. Grodent et al., 2001], though we note 432 that neither JADE or JEDI are able to resolve the loss cone at the altitude of these 433 434 observations.

435

Table 1 : Characteristic Energy and Energy Flux for the Electron Distributions in Figure 6.				
Time Interval on DOY 86,	Characteristic Energy [keV]		Energy Flux [mW m ⁻²]	
2017 (UT)	Upward	Downward	Upward	Downward
JADE: 03:11:11 – 03:11:12	24	19	9	10
JEDI: 03:11:15				
JADE: 03:24:09 – 03:24:13	235	212	179	134
JEDI: 03:24:15				
JADE: 04:04:56	283	247	51	66
JEDI: 04:04:45 - 04:05:15				
JADE: 04:12:26 – 04:13:26	221	163	137	69
JEDI: 04:12:45 – 04:13:15				

436

437

438 **4.** Discussion

439

440 We present in situ and remote sensing observations from Juno that connect electrons, ions, 441 magnetic field, and plasma waves in Jupiter's northern polar magnetosphere to transient 442 UV emissions near Jupiter's northern main aurora. The transient UV emissions, consisting 443 of two separate, elongated structures with high color ratios, were observed in the dawn 444 region near the main aurora, extended into the nightside, and rotated to the dayside, 445 suggesting that the generation process of these UV emissions was located in the middle 446 magnetosphere [e.g. Clarke et al., 1998], and moving in the direction of Jupiter's rotation.

447

448 These UV emissions have similar characteristics as the UV brightenings described by 449 Gustin et al., [2006] (high color ratios, leading edge traveling in the direction of planetary 450 rotation, trailing edge extending into the nightside), features that are often attributed to 451 dawn storms. According to Bonfond et al. [2021], dawn storms originate near midnight 452 and are initially fixed in magnetic local time. The UV emissions then brighten, their color 453 ratios increasing, as they move towards dawn and are observed to corotate with the planet. 454 Kimura et al., [2017] noted that after onset, dawn storms expand in latitude and longitude, 455 have a rapid increase in total UV power, and produce emissions equatorward of the main 456 auroral oval, during the peak phase of the storm. The UV emissions presented here are 457 consistent with several of these dawn storm features. It was indeed identified as such in 458 Bonfond et al. [2021] and was followed by another dawn storm starting at 07:33 UT on the 459 same day. These dawn storm emissions had a peak UV brightness of at least 1.4 MR and 460 the emissions dimmed as they rotated to Jupiter's dayside. The color ratios show a similar 461 trend, peaking between ~ 30 and ~ 70 for the leading and trailing emissions, respectively, 462 and reducing significantly as the UV emissions rotated towards the dayside. This suggests 463 that both the energy flux and energy of the electrons producing these emissions were also 464 decreasing. Both the UV brightness and color ratio remained enhanced compared to the 465 surrounding UV emissions for the period examined here.

466

467 The electron distributions presented here show several distinct characteristics. At times, 468 the bulk of the electron intensities reside between $\sim 1 - 100$ keV, extend to as low as ~ 100 469 - 200 eV and are field-aligned. We interpret these observations as plasma sheet electrons 470 with high latitude mirror points. During other times, the electron intensities are depleted at 471 energies below < 10 keV, are enhanced at energies of 100s keV, and are also field-aligned. 472 The signature of energetic populations measured simultaneously with depleted lower 473 energies is often associated with the interchange process, whereby flux tube bundles of 474 energized particles (perhaps moving inward and gaining energy by the conservation of the 475 first adiabatic invariant, μ) displace colder plasma in the region. The ions have similar 476 energy distributions as the electrons and at concurrent times. These cold and hot electron 477 and ion populations are interspersed and likely reflect a large-scale dynamic process in 478 Jupiter's equatorial magnetosphere at this time, where ambient plasma in Jupiter's middle 479 magnetosphere is accelerated, heated, and transported to high latitude. For example, 480 Swithenbank-Harris et al. [2021] reported enhanced hot plasma densities at ~ 60 RJ, 481 including field-aligned protons and heavy ions, along with reversals of the azimuthal 482 magnetic field, in the equatorial dawn magnetosphere during the dawn storm observed by 483 HST on July 13, 2016. They attribute the heating and acceleration of the equatorial plasma 484 to processes associated with magnetic reconnection and/or disruption of the azimuthal 485 current. Similar processes may be responsible for accelerating the electrons and ions for 486 the dawn storm reported here, prior to them being transported to high latitude.

487

488 The characteristic energy and energy flux of the hot electrons reported here are between a 489 factor of 5-20 larger than the cold distributions. The characteristic hot downward electron 490 energies of $\sim 160 - 250$ keV provide further evidence that dawn storms are produced by 491 energetic, 100s of keV, electrons. This is consistent with the high color ratio observations 492 for this event and the long-standing interpretation based on electron energy estimates 493 derived from color ratios of remotely sensed UV emissions from Jupiter's auroral region 494 [e.g., Gustin et al., 2006]. The hot electron distributions contain a significant fraction of 495 the energy flux required to produce the UV brightness of at least $\sim 0.5 - 1.4$ MR for this 496 dawn storm, even at Juno's radial distance of $6 - 8 R_J$, with further enhancements possible 497 closer to the planet. Since neither JADE nor JEDI can resolve the loss cone at the radial 498 distance of these observations, however, we cannot make a definitive statement about the 499 electron distributions that are precipitating into the atmosphere to produce these dawn 500 storm emissions.

501

502 The upward to downward asymmetry in intensity, characteristic energy, and energy flux 503 observed in some of the electron energy spectra presented above indicate that further 504 acceleration is occurring below Juno's altitude during these events. The wave observations 505 during this period suggest that whistler mode auroral hiss and broadband kilometric 506 (bKOM) radio emissions are present at and/or below Juno's altitude. Previous studies have 507 shown whistler mode waves to be associated with electron beams and which could further 508 energize and pitch-angle scatter the electrons [e.g., Elliott et al. 2018; Sulaiman et al., 2020]. 509 According to Imai et al. [2019], the intensity of bKOM radio emissions for this northern 510 pass is positively correlated with the UV brightness and color ratio within radio source 511 footprints. These positive correlations imply the existence of particles to wave energy 512 transport (i.e. some of the weakly relativistic electron energy converts into the bKOM wave 513 energy) along the common magnetic field lines between the bKOM radio sources and the 514 UV emissions.

515

The significant magnetic field perturbations observed at $\sim 03:28 - 03:30$ UT, and especially at $\sim 04:13 - 04:15$ UT, coupled with the field-aligned and bi-directional nature of the electron pitch angle distributions, provide evidence that field aligned currents are also connected with these events. These perturbations are up to 0.7% of the ambient field at Juno's location, a similar percentage as the magnetic perturbations measured closer to the planet driven by field-aligned currents associated with Jupiter's main aurora [Kotsiaros et al., 2019].

523

524 One candidate for producing these auroral emissions is plasma injections [e.g., Mauk et al., 525 1997], where hot, tenuous plasma is radially transported planetward while cold, dense 526 plasma is transported outward [e.g., Dumont et al., 2014]. Plasma injections are thought to 527 be produced by processes related to interchange instability [Thorne et al., 1997; Mauk et al., 1999] and/or tail reconnection [e.g., Krupp et al., 1998; Louarn et al., 2014; Gray et al., 528 529 2016; Kimura et al., 2017]. Mauk et al., [2002] proposed two mechanisms for how plasma 530 injections can produce auroral emissions at Jupiter. The first is electron scattering by 531 magnetospheric waves that modify the electron pitch angle distribution by scattering 532 electrons into the loss cone. Simulations by Dumont et al., [2018] suggested that electron 533 pitch angle scattering by whistler mode waves could reproduce the auroral signatures 534 associated with plasma injections. The second mechanism involves a current driven along 535 the pressure gradient between the injected plasma and the surrounding plasma, which must 536 close at the planet. These currents interact with plasma near the planet to produce 537 downward accelerated electrons and auroral emissions that map to the trailing edge of the 538 injected hot plasma distribution that's rotating with Jupiter. The electron distributions 539 associated with the dawn storm emissions studied here were energetic (100s of keV), field-540 aligned, and bi-directional at Juno's location (6 - 8 R) jovicentric distance, $40 - 50^{\circ}\text{N}$ 541 magnetic latitude), providing evidence that currents were flowing both towards and away 542 from Jupiter or electrons were accelerated toward Jupiter and mirrored back. These 543 observations are more consistent with the second mechanism being the driver of these 544 emissions, even though these are not necessarily mutually exclusive. The presence of 545 whistler mode auroral hiss at and below Juno's altitude may provide a mechanism for electrons being further energized and scattered into the loss cone as they travel from Juno's 546 547 location to Jupiter atmosphere. 548

549 More recently, Yao et al. [2020] analyzed HST observations of Jupiter's auroral region and 550 found several instances where auroral emissions associated with dawn storms and with 551 injection events were observed at the same time. Examination of in-situ measurements 552 from Juno during one of these intervals revealed evidence of magnetic reconnection in the 553 pre-dawn sector of the equatorial magnetosphere, followed by signatures of magnetic 554 dipolarization a few hours later. The interpretation of these combined observations was 555 that magnetic reconnection and the resulting reconfiguration of the field lines inward of the 556 X-line in the dawn magnetosphere was responsible for the dawn storms and the regions of 557 magnetic dipolarization that co-rotated with the planet were associated with plasma 558 injections that eventually produced the auroral injection emissions. They suggested a 559 physical connection for these two types of transient auroral emissions with magnetic 560 perturbations being a common feature of both processes. We note that magnetic 561 perturbations were also observed at high latitude during times when Juno was mapping to near the dawn storm studied here, providing further evidence that field line reconfiguration 562 563 may be associated with these events.

564

The processes that accelerate the plasma associated with these dawn storms, both in the 565 566 equatorial magnetosphere and at high latitude near the planet, are not fully understood. The 567 observed electron distributions mapping to the dawn storm emissions that carry the largest 568 energy fluxes are broad in energy, ranging from 1 - 10s of keV up to 1000 keV, with significant intensity depletions below ~1 keV. Broad energy distributions are often 569 570 attributed to stochastic particle acceleration (as opposed to acceleration by electrostatic 571 potentials which show a mono-energetic peak in the intensity-energy distributions). 572 Stochastic acceleration appears to be significant in energizing electrons that produce UV emissions associated with Jupiter's diffuse [Li et al. 2017], main [Mauk et al. 2017a, 2020, 573 574 Allegrini et al. 2017, 2020a], polar [Ebert et al. 2017, 2019], and satellite footprint 575 [Allegrini et al. 2020b, Szalay et al. 2018, 2020] aurora. Proposed mechanisms include 576 dissipation of turbulent Alfvénic fluctuations [Saur et al. 2003], resonance with whistler 577 mode waves [Kurth et al. 2018, Elliott et al. 2018], electron Landau damping of kinetic 578 Alfvén waves [Saur et al. 2018], incompressible magnetic (Alfvénic) turbulence 579 [Gershman et al. 2019; Sulaiman et al., 2020] and magnetospheric ultra-low frequency (1 580 - 60 min) Alfvénic waves [Pan et al. 2021]. It is recommended that follow-up work focus 581 on whether stochastic or other processes are responsible for accelerating the electrons that 582 produce these dawn storm emissions.

583

584 **5. Summary and Conclusions**

585

586 We presented a multi-instrument analysis of a UV dawn storm observed in Jupiter's 587 northern auroral region prior to Juno perijove 5, sampling magnetic field lines at high-588 latitude while remotely observing UV auroral emissions. We combined in situ plasma, 589 energetic particle, magnetic field, and wave observations between 6 - 8 R_J in jovicentric 590 distance and $40 - 50^{\circ}$ N in magnetic latitude with images of UV brightness and color ratio. 591 The dawn storm UV emissions had brightness up to at least 1.4 MR and high color ratios 592 that indicated a deeper atmospheric penetration depth for the auroral-producing electrons 593 compared to those producing the surrounding UV emissions. Both the brightness and color 594 ratio of these emissions decreased with time as they rotated towards the dayside, indicating 595 that the energy flux and energy of the electrons producing them was also decreasing. The 596 electron distributions were field-aligned, bi-directional and variable in energy, providing 597 evidence for field aligned-currents. The electrons mostly likely producing the dawn storm 598 emissions had broad energy distributions between $\sim 10 - 1000$ keV, significant intensity 599 depletions below 10 keV, characteristic energies from $\sim 160 - 250$ keV and downward 600 energy fluxes from $\sim 70 - 130$ mW m⁻² at Juno's altitude. The ion distributions had similar 601 energy distributions at similar times as the electrons, suggesting that they are being 602 accelerated by similar processes. Magnetic perturbations as large as 27 nT, or ~0.7% of the 603 local magnetic field, were observed on field lines that mapped to the dawn storm with 604 variations in B_{ω} and B_{θ} providing evidence of upward and downward currents. Whistler 605 mode waves and broadband kilometric radio emissions were also observed. 606 607 Based on these observations, we conclude the following: 608 609 1. These dawn storm emissions were generated by processes that mapped to Jupiter's 610 nightside and then traveled in the direction of planetary rotation. 611 612 2. The high latitude energetic electron and ion populations measured simultaneously with depleted lower energies are similar to particle observations in the equatorial 613 614 magnetosphere associated with dynamic processes driven by interchange events, 615 plasma injections, and/or tail reconnection. 616 617 3. The electron distributions associated with these dawn storm emissions were field-618 aligned and had characteristic energies in the 100s of keV range and energies up to 619 at least 1 MeV. 620 621 4. The particles were energized prior to arriving at Juno's location, the electron 622 distributions already containing a significant fraction of the energy flux needed to produce the dawn storm UV emissions. 623 624 625 5. The magnetic field perturbations suggestive of upward and downward currents, combined with the field-aligned, bi-directional electrons, indicate that field-aligned 626 627 currents are associated the processes driving these dawn storm emissions. 628 629 6. Whistler mode waves may play a role in enhancing these dawn storm UV emissions 630 while the enhanced broadband kilometric radiation may be a result of one-way 631 energy transport from these dawn storm particles into waves. 632 633 634 Acknowledgements 635 636 This work was supported as a part of the work on the Jovian Auroral Distributions 637 Experiment (JADE) and Juno Ultraviolet Spectrograph (Juno UVS) on NASA's Juno 638 mission. The Jovian Energetic particle Detector Instrument (JEDI) work was funded by 639 NASA's New Frontiers Program for Juno via a subcontract with the Southwest Research

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- 645
- 646 The Juno data is available at NASA's Planetary Data System (<u>https://pds.nasa.gov</u>) and the 647 following DOIs. The JADE data used for this study is from dataset ID JNO-J/SW-JAD-3-648 CALIBRATED-V1.0 (doi: 10.17189/1519715), in particular the Version 02 and 03 files 649 from the ion time-of-flight and electron data, respectively. The JEDI data are from dataset 650 ID JNO-J-JED-3 CDR-V1.0. The MAG data are from dataset ID JNO-J-3-FGM-CAL-651 V1.0 found at https://doi.org/10.17189/1519711. The Juno Waves data are at 652 https://doi.org/10.17189/1519710. The UVS data are from dataset ID JNO-J-UVS-3-RDR-653 V1.0 which can be found at https://doi.org/10.17189/1518951.
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