Leveraging geodetic GPS receivers for ionospheric scintillation science

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Abstract

We demonstrate scintillation analysis from a network of geodetic Global Positioning System (GPS) receivers which provide data at 1-second resolution. We introduce proxy phase (\$\sigma_{TEC}}) and amplitude (\$SNR_4\$) scintillation indices and validate them against the rate of change of TEC index (ROTI), and \$S_4\$. Additionally, we validate scintillation observations against a CASES scintillation receiver. We develop receiver dependent scintillation event thresholding using hardware-dependent noise variance. We analyze six-days adjacent to the 7-8 September 2017 geomagnetic storm, using 169 receivers covering magnetic latitudes between 15\$^\circ\$ and 65\$^\circ\$ in the American longitude sector. We leverage the available spatial sampling coverage to construct 2-D maps of scintillation and present episodic evolution of scintillation intensifications during the storm. We show that low-latitude and high-latitude scintillation morphology match well-established scintillation climatology patterns. At mid-latitudes, spatiotemporal evolution of scintillation partially agrees with known scintillation patterns. Additionally, the results reveal previously undocumented mid-latitude scintillation producing structures. The results provide an unprecedented view into the spatiotemporal development of scintillation-producing plasma irregularities and provide a resource to further exploit scintillation evolution at large spatial scales.

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¹⁰ Key Points:

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11	•	Scintillation analysis of 1 Hz geodetic receiver network covers 50 degree in lati-
12		tude over the American longitude sector.
13	•	Proxy scintillation indices are derived and validated.
14	•	An event analysis reveals unprecedented storm-time scintillation evolution over
15		the North American continent.

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16 Abstract

We demonstrate scintillation analysis from a network of geodetic Global Positioning Sys-17 tem (GPS) receivers which provide data at 1-second resolution. We introduce proxy phase 18 (σ_{TEC}) and amplitude (SNR_4) scintillation indices and validate them against the rate 19 of change of TEC index (ROTI), and S_4 . Additionally, we validate scintillation obser-20 vations against a CASES scintillation receiver. We develop receiver dependent scintil-21 lation event thresholding using hardware-dependent noise variance. We analyze six-days 22 adjacent to the 7-8 September 2017 geomagnetic storm, using 169 receivers covering mag-23 netic latitudes between 15° and 65° in the American longitude sector. We leverage the 24 available spatial sampling coverage to construct 2-D maps of scintillation and present 25 episodic evolution of scintillation intensifications during the storm. We show that low-26 latitude and high-latitude scintillation morphology match well-established scintillation 27 climatology patterns. At mid-latitudes, spatiotemporal evolution of scintillation partially 28 agrees with known scintillation patterns. Additionally, the results reveal previously un-29 documented mid-latitude scintillation producing structures. The results provide an un-30 precedented view into the spatiotemporal development of scintillation-producing plasma 31 irregularities and provide a resource to further exploit scintillation evolution at large spa-32 tial scales. 33

34 1 Introduction

The ionosphere significantly alters traversing radio signals at frequencies <3 GHz (P.531-35 14, 2019), which include Global Navigation Satellite Systems (GNSS) signals. In par-36 ticular, small-scale (<1 km) plasma density irregularities affect the L-band radio signals 37 by means of Fresnel diffraction, causing the signal's amplitude and phase to rapidly fluc-38 tuate in a stochastic manner. A theoretical description of the diffracting scatter has been 39 reviewed in detail (Yeh & Liu, 1982; Kintner et al., 2007; Privadarshi, 2015). On the other 40 hand, larger-scale plasma density irregularities (>1 km) impose fluctuations in received 41 phase only, by means of signal refraction. 42

Extensive surveys of occurrence and climatology of both phase and amplitude scin-43 tillation have been conducted (Aarons, 1982; Basu et al., 1988; Wernik et al., 2003; Al-44 fonsi et al., 2011; Jiao & Morton, 2015), primarily focusing on the low- and high-latitude 45 regions. It has often been considered that necessary conditions for ionospheric density 46 irregularities are confined to the equatorial and low-latitude regions (by Rayleigh-Taylor 47 Instability), and high-latitudes (convection-driven instabilities and particle precipitation). 48 The mid-latitude ionosphere has not generally been perceived as a possible space weather 49 threat for radio signal scintillation, with exceptions of equatorward expansion of high-50 latitude convection related dynamics (Kintner et al., 2007; Aarons, 1982). Even though 51 significant amplitude scintillation was observed from the upstate New York during a ge-52 omagnetic storm (Ledvina et al., 2002), such scintillation occurrence geolocation and tim-53 ing are not predicted by conventional scintillation models. For example, empirical scin-54 tillation models, wideband ionospheric scintillation model (WBMOD) (Secan et al., 1995, 55 1997) and the global ionospheric propagation model (GIM) (Béniguel, 2002), predict no 56 signal impairments at mid-latitudes. 57

The historical surveys and existing models arise from insufficient availability of ground-58 based infrastructure for ionospheric scintillation monitoring. Many of the existing scin-59 tillation receivers are located at low- and high-latitudes, only a few scintillation receivers 60 operate at mid-latitudes. Hence, a comprehensive analysis of ionospheric scintillation at 61 mid-latitudes, by means of conventional amplitude (S_4) and phase (σ_{ϕ}), has been im-62 possible. Generally, the scintillation receivers cover localized areas, hence the climato-63 logical statistics and occurrence timing is based on localized observations. We utilize a 64 1-Hz GNSS receiver network operated by UNAVCO, which covers an area from low- (15°) 65 magnetic latitude (MLAT)) to high-latitudes (65°MLAT) over North America with data 66

availability spanning back to 2011. Therefore the network analysis allows the creation
 of instantaneous 2-dimensional (2-D) maps of scintillation at unprecedented scales. The
 network consists of hundreds of receivers (~200 in 2011, ~850 in 2020), where the vast

⁷⁰ majority of the receivers monitor GPS signals only, thus we limit our study to the GPS.

Utilization of high-rate geodetic receivers is an attractive and potentially ground-71 breaking avenue for augmentation of GNSS scintillation science. Recently, several stud-72 ies demonstrated the capability of high-rate geodetic receivers on a single receiver com-73 parison cases with co-located ionospheric scintillation monitors (Juan et al., 2017; Nguyen 74 75 et al., 2019; Luo et al., 2020). The preliminary results were focused on the equatorial scintillation. Further, Luo et al. (2020) extended the capability to image amplitude scin-76 tillation over China. Contrary to the other studies, we do not attempt to calibrate the 77 values of our scintillation indices to S_4 and σ_{ϕ} , since we have no co-located ionospheric 78 scintillation monitors data available. We build upon the recent results, and present scin-79 tillation signal processing for a diverse receiver network, capable of imaging both, phase 80 and amplitude scintillation, and monitoring instantaneous scintillation patterns over a 81 large spatial area. The purpose of this work is to promote the utility of 1 Hz (hereafter 82 referred as high-rate) geodetic receivers for scintillation science; specifically, it is a di-83 agnostic tool that provides an unprecedented insight into small-scale dynamics of large-84 scale density features, such as storm time mid-latitude dynamics (Ledvina et al., 2002; 85 Mrak et al., 2020). 86

We derive and validate alternative scintillation indices based on the signal-to-noise 87 ratio (SNR), and total electron content (TEC). Although each parameter is affected by 88 different physical phenomena (diffraction and refraction, respectively) (McCaffrey & Jay-89 achandran, 2019), we do not attempt to distinguish among them. We introduce and val-90 idate receiver hardware-dependent thresholding for the scintillation event decision. We 91 demonstrate that the introduced indices are linearly correlated with S_4 and ROTI, re-92 spectively. Comparison with a CASES scintillation receiver from Dallas, TX, further sup-03 ports the validity of the introduced indices. The scintillation events are used to produce instantaneous scintillation maps. We demonstrate the utility of the scintillation maps 95 for spatiotemporal analysis of evolving scintillation. We analyze six days worth of data 96 adjacent to 7-8 September 2017 geomagnetic storm. The low- and high-latitude portion 97 of the receiver network observed typical low- and high-latitude scintillation patterns. Ad-98 ditionally, the storm had a striking impact on the mid-latitude GPS receivers, exhibit-99 ing both amplitude and phase scintillations. 100

101 2 Methodology

We utilize publicly available data from the high-rate UNAVCO GPS receiver net-102 work, with available receiver distribution on 8 September 2017 depicted in Figure 1. There 103 is a heavy sampling bias toward the west coast of the continental United States (CONUS). 104 The total distribution of receiver hardware types on that day is presented in Figure 1b. 105 The receiver network covers area between $15^{\circ} \leq$ magnetic latitude (MLAT) and $\leq 65^{\circ}$. 106 Most of the receivers are placed within the contiguous US longitude span, whereas the 107 high-latitude coverage comes from the Alaskan sector. In this study, we define mid-latitudes 108 as the area between $30^{\circ} \leq \text{MLAT} \leq 60^{\circ}$ in the northern hemisphere. 109

Normally, scintillation studies use specialized GNSS scintillation receivers that pro-110 vide amplitude (or power) and carrier phase at sub-second (usually 50 Hz) data-rate. These 111 measurements are used to calculate σ_{Φ} , and S_4 scintillation indices. The high-rate geode-112 tic receivers do not provide signal amplitude, and the carrier phase is contaminated by 113 receiver imposed interference. We chose to utilize SNR provided by the Receiver Inde-114 pendent Exchange (RINEX) files, as a substitute for signal amplitude. SNR has an in-115 herently bigger variance than the amplitude, as it is influenced by the variance of broad-116 band noise. Additionally, SNR information provided by the RINEX files is by definition 117

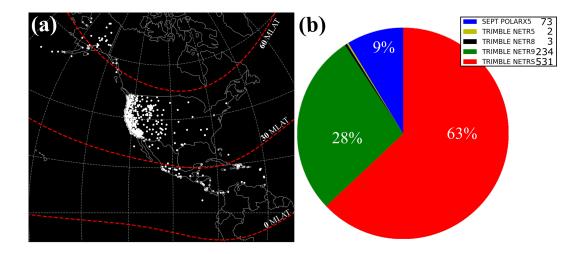


Figure 1. (a) Spatial distribution of available UNAVCO high-rate GPS receivers on 8 September 2017. (b) Receiver hardware type distribution on that day.

(computed) receiver dependent, and it is defined as an SNR of the demodulated carrier,
 namely the carrier-to-noise ratio. We build upon successful demonstrations of SNR uti lization for scintillation studies (Thompson et al., 2008; Rodrigues & Moraes, 2019), and
 we evaluate the behavior of scintillation indices for different receiver types.

We found an impediment with Trimble NETRS carrier phase measurements, which 122 is depicted in Figure 2. This example represents the general trend bound to this partic-123 ular receiver make, not to a specific receiver used in this Figure. The top panel shows 124 detrended L1CA (Δ L1) using a polynomial as a baseline, with units of carrier phase con-125 verted to range units. Panel (b) shows the L1CA high-pass filtered (0.1 Hz cutoff) δ L1. 126 This panel depicts numerous abrupt jumps in the carrier phase. The consequence of this 127 impairment is that we can't use conventional phase scintillation index σ_{Φ} as it is defined 128 on L1 carrier phase measurements. The peculiar nature of the carrier phase and its use 129 for scintillation studies has been discussed by Beach (2006). A carrier phase combina-130 tion of L2P and L1CA channels in the bottom two panels show the abrupt changes can-131 cel out. The resulting detrended phase combination Δ (L2P-L1CA) in panel (c), and its 132 high-pass filtered derivative show receiver hardware-independent carrier phase pertur-133 bations, which can be used for extracting ionosphere scintillation effects. Trimble NETRS 134 receivers comprise more than half of the UNAVCO receiver network, and thus we adopt 135 the use of carrier phase combination instead of the L1 carrier phase throughout the net-136 work. As we show in the next section, carrier phase combination is proportional to the 137 total electron content, and thus we utilize this property and the TEC as a measure of 138 phase fluctuations. As suggested by Beach (2006), the use of a TEC, that is, a weighted 139 carrier phase combination can eliminate the receiver imposed errors to phase measure-140 ments. 141

Measurements sampled at 1 Hz tend to undersample scintillations as the scintil-142 lation spectra extend to sub-second time scales. The Carrier phase is not an issue as the 143 power-law dependence shall continuously extend into a larger spatial scale (slower time 144 scale at the receiver). It has been demonstrated that a 1 Hz receiver and a co-located 145 scintillation receiver measure morphologically the same scintillation spectra above 0.5 146 Hz (Béniguel et al., 2009). On the other hand, amplitude scintillation spectra has a low-147 frequency cut-off (phase screen approximation) at the Fresnel scale r_F ($r_F = \sqrt{2\lambda Z}$, 148 Z being the distance between receiver and irregularity) (Kintner et al., 2007), which trans-149 lates into Fresnel frequency $f_F = r_F v_0$, where v_0 is the irregularity drift. Thus, a 1 150

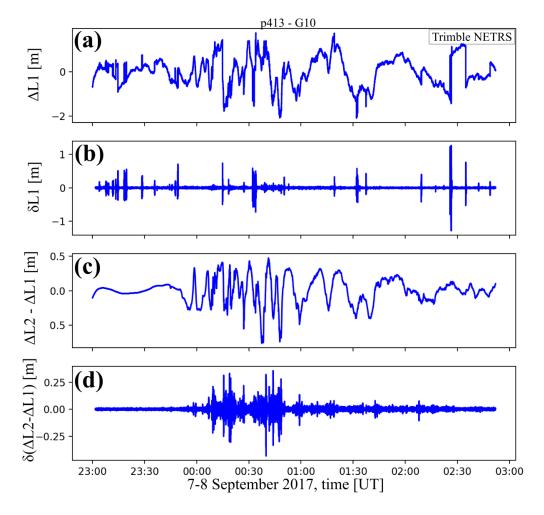


Figure 2. Carrier phase measurements (converted to range units) by the p413 receiver, measuring satellite G10, on 8 September 2017. (a) L1 detrended (Δ) carrier phase. (b) High passed filtered (δ) L1 carrier phase. (c) Differential carrier phase measurements L2-L1. (d) High-pass filtered differential carrier phase of L2-L1.

Hz receiver with a Nyquist frequency at 0.5 Hz, oversamples only scintillation producing irregularities with effective drift velocity $v_0 \leq 180$ m/s (Rino, 1979). This calculation assumes isotropic irregularities at Z = 350 km, with v_0 perpendicular to the lineof-sight.

155 2.1 Data processing

¹⁵⁶ We utilize GPS data from RINEX files where we take SNR at L1 (f_1 =1575.42 MHz), ¹⁵⁷ and deduct slant TEC (sTEC) from carrier phases L1CA and L2P (f_2 =1227.6 MHz) ex-¹⁵⁸ pressed as ranges

$$sTEC = \frac{1}{40.3} \frac{f_1^2 f_2^2}{f_1^2 - f_2^2} (L_1 - L_2) 10^{-16} \ [TECu], \tag{1}$$

where cycle slips are accounted for with a method of Blewitt (1990), carrier phase ambiguities with a method from Coster et al. (1992), and 1 TECu=10¹⁶ electrons per meter squared. The sTEC is derived from the phase accumulation property that the phase advance is inversely proportional to f^2 and proportional to sTEC ($\delta L = \frac{40.3}{f^2} \delta sTEC$) (Mrak et al., 2018). The sTEC is then converted to vertical (hereafter referred as TEC) assum-

ing the thin shell ionosphere approximation via mapping function $F(\Theta)$ (Klobuchar, 1987)

$$F(\Theta) = \sqrt{1 - \cos^2(\Theta) \left(\frac{R_e}{R_e + h_{IPP}}\right)^2}$$
(2)

¹⁶⁵ via zero TEC method (Rideout & Coster, 2006). Here, we assume the height of the iono-¹⁶⁶ spheric piercing point (h_{IPP}) to be 350 km, R_e is the radius of the Earth, and Θ is the ¹⁶⁷ elevation angle. We apply a (6th order) high-pass filter, with 0.1 Hz cutoff frequency and ¹⁶⁸ Butterworth response (Fremouw et al., 1978) to the sTEC and SNR, obtaining δ TEC ¹⁶⁹ and δ SNR, respectively. Scintillation indices σ_{TEC} and SNR_4 are then computed with ¹⁷⁰ a running 60-seconds standard deviation filter, normally used to compute phase scintil-¹⁷¹ lation index σ_{ϕ} .

$$\sigma_{TEC} = \sqrt{\langle \delta TEC^2 \rangle - \langle \delta TEC \rangle^2} \tag{3}$$

$$SNR'_4 = \sqrt{\langle \delta SNR^2 \rangle} - \langle \delta SNR \rangle^2 \tag{4}$$

We further adjust low elevation measurements due to oblique angle propagation through the irregularity layer using the approach by (Spogli et al., 2009; Alfonsi et al., 2011). Then SNR_4 can be expressed as:

$$SNR_4 = SNR'_4 \cdot F(\Theta)^{0.9} \tag{5}$$

¹⁷⁵ Due to the problem with the carrier phase mentioned above, we compare the phase ¹⁷⁶ scintillation index σ_{TEC} against the rate of change of TEC (ROT) index (ROTI) sim-¹⁷⁷ ilar to the early work of Beach and Kintner (1999). We compute ROTI, utilizing a run-¹⁷⁸ ning 60-second standard deviation filter, as defined by (Pi et al., 1997),

$$ROTI = \sqrt{\langle ROT^2 \rangle - \langle ROT \rangle^2} \tag{6}$$

where the ROT is differential TEC computed at 1-second resolution. Furthermore, we estimate equivalent S_4 by utilizing the SNR measurements, building upon promising results of recent case studies (Rodrigues & Moraes, 2019; Luo et al., 2020). We convert SNR into linear units of intensity $I = 10^{SNR/10}$, then compute the index on running a 60-second window. Finally, we account for the elevation angle as introduced in Equation 5.

$$S_4 = \sqrt{\frac{\langle I^2 \rangle - \langle I \rangle^2}{\langle I \rangle^2}} \cdot F(\Theta)^{0.9}$$
(7)

Kintner et al. (2007) discussed signal Intensity – SNR relationship, where SNR is averaged over a period of 1 second. The exact formulation used by receiver types used in this study is unknown. On one hand, the introduced technique for S_4 estimation has been proven to correlate well with conventional S_4 computed directly from the signal intensity (Thompson et al., 2008; Rodrigues & Moraes, 2019; Luo et al., 2020). On the other hand, the primary drawback of using SNR is a fade smearing due to the temporal averaging, as demonstrated by Jiao et al. (2016).

2.2 Event definition

192

We have discussed data impairments and mitigation of geodetic receivers used in 193 the UNAVCO network. Here we discuss the "scintillation event" selection procedure, which 194 takes into account inherent noise level variability due to diverse receiver hardware se-195 lection. In the event selection criteria, we build upon Jiao and Morton (2015) statisti-196 cal survey, with additional receiver specific factor. Figure 3 presents a distribution of re-197 ceiver hardware set-ups and related histogram of noise levels of the introduced indices. 198 We chose to use a daily median value of phase σ_{TEC} , and amplitude SNR_4 scintillation 199 index, as a measure of the receiver noise floor. We compute the statistical distribution 200 of these levels in a full month of January 2018, excluding days with planetary K (Kp) 201 index $Kp \geq 4$ (14th and 24th of January). All receivers were located in the northern 202

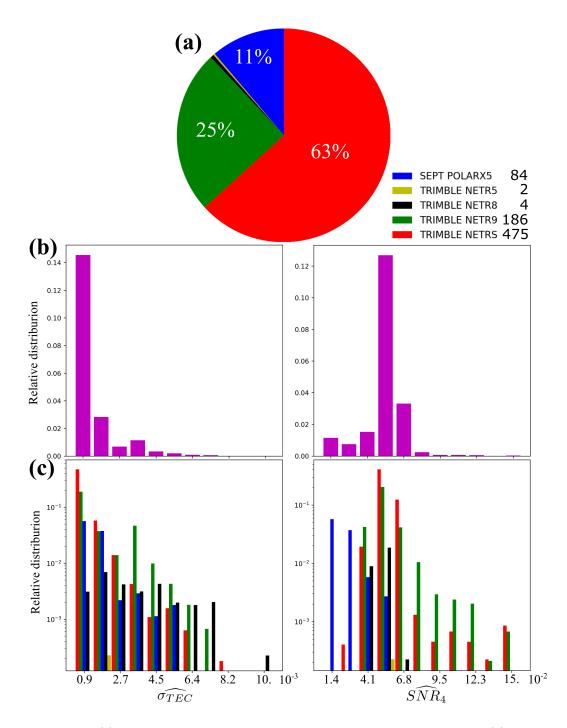


Figure 3. (a) Average receiver hardware availability-distribution in January 2018. (b) Histogram of daily median σ_{TEC} distribution (left), and daily median SNR_4 distribution (right) among all receivers in January 2018. (c) The same as panel (b), but for each hardware set-up.

hemisphere, where the total background TEC is the lowest on a yearly basis. Figure 3a 203 depicts average hardware distribution in the time period of this analysis. Figure 3b shows 204 a distribution of receiver noise levels among all available receivers. Figure 3c breaks down 205 the receiver noise levels for each receiver set-up. Overall, both $\widehat{\sigma_{TEC}}$ and SNR_4 have 206 a large spread with a factor of ~ 5 in σ_{TEC} , and a factor of ~ 10 in SNR_4 . While the de-207 gree of spread in σ_{TEC} is similar among different receiver set-ups, with the worst per-208 former being Trimble NETR8, Septentrio PolaRX5 is notably a receiver with the small-209 est noise level and variance in SNR. 210

To cope with receiver-dependent noise levels, we define a threshold parameter T_{χ}^{r} , for $\chi \in [\sigma_{TEC}, SNR_{4}]$, for each receiver r computed on a daily basis. The threshold values are defined as:

$$T_{\chi}^{r} = 2.5 \cdot \hat{\chi}^{r} \tag{8}$$

where a value of 2.5 is a fixed standoff distance from the receiver noise level $\hat{\chi}^r$. Although 214 this number is empirically chosen, as demonstrated below, this threshold effectively sep-215 arates real scintillation signal and noise. Scintillation events are then defined separately 216 for σ_{TEC} and SNR_4 as follows: Running median (60 s length) value of a scintillation 217 index has to continuously exceed the computed threshold Equation 8 for a minimum du-218 ration of 2 minutes. Additionally, multiple events with temporal separation shorter than 219 5 minutes are merged together. The introduction of the variable threshold value T_{ν}^{r} de-220 pending on the noise level is a modification from a fixed cutoff method used by Jiao and 221 Morton (2015), because a fixed threshold creates a bias between different types of receivers. 222

The event selection is pictorially demonstrated in Figure 4, using two examples with 223 measurements taken above 30° elevation angle. In Figure 4a, a system glitch, and an am-224 plitude scintillation event occurred within 30 minutes. The top panel shows a sudden 225 increase in SNR by 3 dB at 00:17 universal time (UT), followed by an event of increased 226 variance at 00:45-01:00 UT. The second panel shows the computed SNR_4 index in blue, 227 and its 1-minute running median in red. The black dashed line is the receiver noise level 228 $\widehat{SNR_4}^{wmok}$, and the magenta dashed line is the threshold level $T_{SNR_4}^{wmok}$. The bottom panel, 229 Figure 4, demonstrates the event selection result by the thick line. The increased SNR_4 230 due to the system glitch was rejected by the minimum length criterion. On the contrary, 231 the event selection procedure flagged elevated SNR_4 between 00:45 and 1:00 UT as a 232 scintillation event. 233

The second example in Figure 4b depicts a case of a longer phase scintillation event (labeled as "1") co-located with a TEC gradient at 0:40-1:30 UT. Additionally, there was a secondary, more subtle enhancement in the σ_{TEC} around 2:00 UT (marked as "2"). This scintillation event consists of a period where σ_{TEC} was below the threshold (in the middle of the event). This part was included by the minimum separation criterion, which merges individual scintillation events with a separation shorter than 5 minutes into a single event.

²⁴¹ 3 Case study

We analyze and validate the introduced indices and scintillation event selection on 242 an event study. We have processed six days' worth of data surrounding the 7-8 Septem-243 ber 2017 geomagnetic storm. The solar wind and geomagnetic indices for this period (5th 244 to 11th September 2017) are presented in Figure 5. The solar wind data show that two 245 consecutive shocks hit the magnetopause on the 7th of September, with a time separa-246 tion of about 24 hours. While the first shock arrived with a predominantly northward 247 interplanetary magnetic field (IMF), the latter one was accompanied by a strong (~ -30 nT) 248 southward IMF. The latter shock facilitated the enhancement of the ring current (SYM/H 249 index) and increased high-latitude geomagnetic activity measured by the auroral elec-250 trojet (AE) index. There were two episodic AE intensifications on 8th September; both 251 were related to storm development (negative excursion of the SYM/H). 252

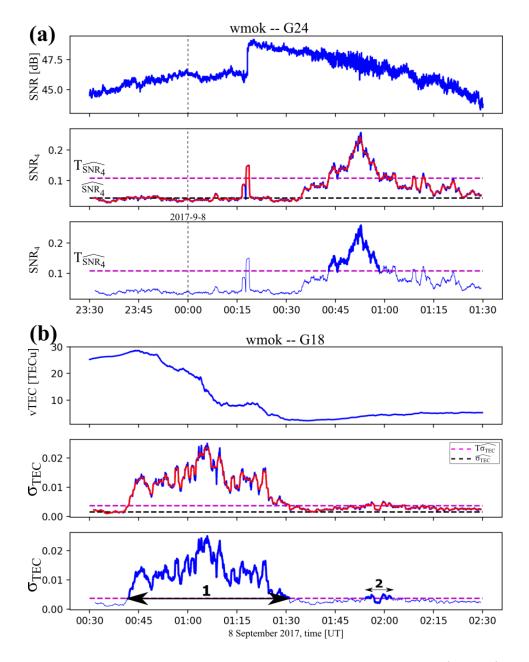


Figure 4. Scintillation event diagnostic. Dashed lines present threshold values (magenta), and receiver noise level (black). Scintillation events are bolstered in the bottom panel of each example. (a) Demonstration of system glitch rejection and amplitude scintillation event. (b) Demonstration of phase scintillation events. Enumerated are two identified events where the second one demonstrates the merging of multiple events separated for less than 5 minutes.

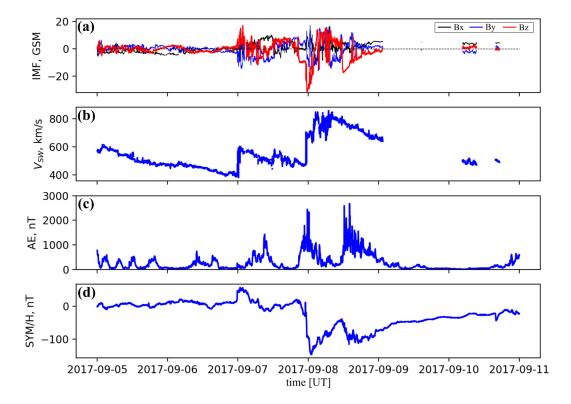


Figure 5. OMNIweb solar wind and geomagnetic indices from for the time period between 5-11 September 2017. (a) Interplanetary magnetic field components in Geocentric Solar Magnetospheric (GSM) coordinates. (b) Solar wind speed. (c) Auroral electrojet (AE) index. (d) Ring current strength proxy via SYM/H index.

The storm was particularly intriguing, as it severely perturbed the ionosphere at 253 the longitude sector of northern America, and thus it was well sampled by the UNAVCO 254 GPS network. The ionosphere exhibited several distinct perturbations at this local time 255 sector, in the form of equatorial plasma bubbles (EPBs), severe auroral activity, and mul-256 tiple TEC gradients at mid-latitudes (over CONUS). Impulsive perturbations have been 257 reported (Aa et al., 2019; Zakharenkova & Cherniak, 2020; Mrak et al., 2020), but small-258 scale density irregularity distribution remains unknown, due to the lack of scintillation 259 receivers. Severe space weather impacts on GNSS over the contiguous U.S. have been 260 presented (Yang et al., 2020), however, associated scintillation or small-scale irregular-261 ities have not been yet reported. We take advantage of the UNAVCO GPS receivers and 262 demonstrate the scintillation processing for this event. 263

264

3.1 Evaluation of scintillation indices and event selection

We analyze scintillation indices computed from a subset of six-receivers during the 265 time frame of the 7-8 September storm main phase. The chosen receivers are listed in 266 Table 1, and we focus only on the three most affected lines-of-sight for each of the re-267 ceivers. The corresponding trajectories of ionospheric pierce points (IPPs) at 350 km al-268 titude are depicted in Figure 6 for the period shown in Figure 7. Derived TEC and scin-269 tillation indices for these receivers are presented in Figure 7. Receivers are chosen in a 270 way to cover a large span in longitude and latitude and to present time-series plots of 271 the three most frequent hardware set-ups. 272

Rx name	GLON	GLAT	receiver model	SV (blue, orange, magenta)
CN12	-76.7	18 40.6 15.7 37.1 48.4 34.7	Trimble NETR9	G18, G21, G26
HDIL	-89.3		Septentrio PolaRx5	G18, G21, G32
OXUM	-96.5		Trimble NETR9	G16, G22, G26
P209	-122.1		Trimble NETRS	G10, G14, G32
P413	-120.1		Trimble NETRS	G10, G14, G32
WMOK	-98.8		Septentrio PolaRx5	G18, G31, G32

 Table 1.
 A subset of receivers (Rx) – satellites (SV) links under examination. Locations are given in Geographic Longitude (GLON) and Geographic Latitude (GLAT).

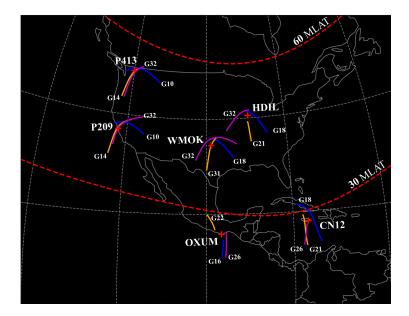


Figure 6. Locations of receivers from Table 1, with trajectories of ionospheric piercing points from the chosen satellites.

Each panel in Figure 7 consists of computed TEC (Eq. 1), ROTI and SNR-derived 273 S_4 , σ_{TEC} and SNR_4 . All presented observations were masked with a 30° elevation cut-274 off. The TEC plots show dramatic TEC perturbations, some exceeding 10 TECu at time 275 scales of a few minutes. In general, ROTI values correlate well with these gradients, re-276 vealing the existence of irregularities with temporal scales in the order of one second. A 277 comparison between ROTI and σ_{TEC} indicates a linear correlation, showing no morpho-278 logical differences regardless of the receiver type, and intensity of irregularities. While 279 most of the receivers observed elevated TEC perturbations by virtue of both indices, the 280 event threshold $T_{\sigma_{TEC}} \approx 0.01$ (translates to $\widehat{\sigma_{TEC}} \approx 4 \cdot 10^{-3}$) for all receivers, regard-281 less the receiver type. 282

The bottom two panels of each receiver in Figure 7 serve as a comparison between amplitude scintillation indices. Like in the TEC case, the indices are visually well correlated but show strikingly different variance (noise level) among different receivers. A large span in the thresholds T_{SNR_4} is expected based on the preliminary quiet day analysis (Figure 3). In the given example, Septentrio PolaRx5 receivers (*wmok* and *hdil*) have the threshold level a factor of ~2 smaller than Trimble receivers. We find the introduced SNR_4 index has a bigger dynamic range, due to the fact it is not normalized.

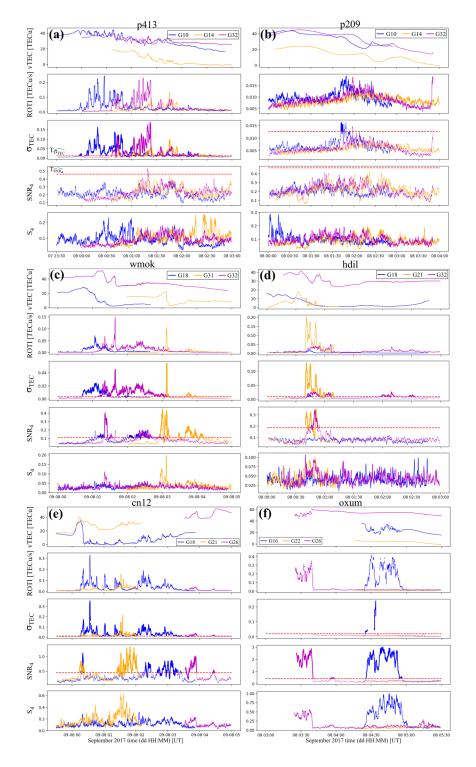


Figure 7. Example measurements from three receivers, introduced in Figure 6. Each panel consist of (top to bottom) estimated vertical TEC (vTEC), ROTI, σ_{TEC} , SNR_4 , and S_4 . Each receiver represents different hardware set-up, presented in Table 1. Red dashed lines are associated the thresholds T_{χ}^r .

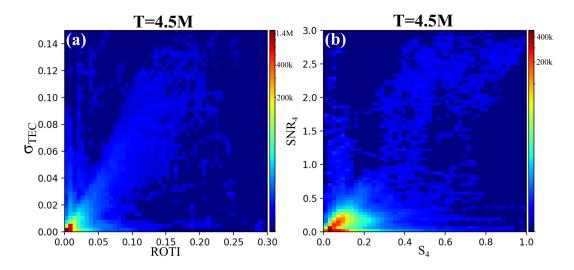


Figure 8. 2D histogram showing direct comparison between conventional and introduced scintillation indices, using data of 6 selected receivers from Table 1. The number of points is 4.5 million as shown in the title. (a) Comparison between ROTI and σ_{TEC} . (b) Comparison between S_4 and SNR_4 .

Another striking observation is enhanced amplitude scintillation, as four of the re-290 ceivers were located within CONUS; Washington state (p413), Oklahoma (wmok), Illi-291 nois (hdil), and California (p209). Less strikingly, receivers at lower latitudes; Jamaica 292 (cn12) and Mexico (oxum), were as well subject to enhanced scintillation. Specifically, 293 receiver oxum recorded extreme amplitude scintillation with $S_4 \ge 0.5$, accompanied by 294 numerous loss-of-locks, which prevented the calculation of σ_{TEC} index. Phase scintil-295 lation was co-located with amplitude scintillation at all instances. Receiver p413 mea-296 sured only increased phase scintillation, indicating it got affected by different kinds of 297 ionospheric structures. Lastly, enhanced amplitude scintillation is well correlated with 298 phase scintillation σ_{TEC} and ROTI, generally co-located with the steep TEC gradients. 299

The correlation between ROTI and σ_{TEC} observed with all receivers is not surprising. As we introduced in the previous section via Eq.1, they should be linearly correlated. The advantages of σ_{TEC} over ROTI are a deterministic amplitude and phase response by the prescribed filtering operation and a straightforward connection with the TEC power spectral density (PSD_{TEC})

$$\sigma_{TEC}^2 = 2 \int_{0.1}^{\infty} PSD_{TEC}(f) \, df, \qquad (9)$$

following the morphology derived for phase scintillation (Secan et al., 1995; Béniguel et 305 al., 2009), where the low limit in the integration is a chosen frequency cut-off at 0.1 Hz. 306 Correlation between SNR_4 and σ_{TEC} does exists from cases of measured amplitude scin-307 tillation. The latter observation is also expected as several studies demonstrated corre-308 lation and casual relationship between ROTI and S_4 (Carrano et al., 2019; Yang & Liu, 309 2016; Liu & Radicella, 2019). Correlation deviation in this formalism is thought to be 310 due to viewing geometry and irregularity drift velocity (Carrano et al., 2019; Liu & Radi-311 cella, 2019). 312

The importance of the hardware-dependent scintillation event classification is bolstered by means of large scale statistical relationships; σ_{TEC} – ROTI, and SNR_4 – S_4 . A mutual comparison between the indices is presented as 2D histograms in Figure 8. The histograms encompass data from the receivers in Table 1 on a period of 48 hours (7th and 8th September 2017), with a total ~4.5 million data points. The histograms show the second, uncorrelated group along both axes. The latter group exists due to receiver impairments such as cycle slips, and processing artifacts.

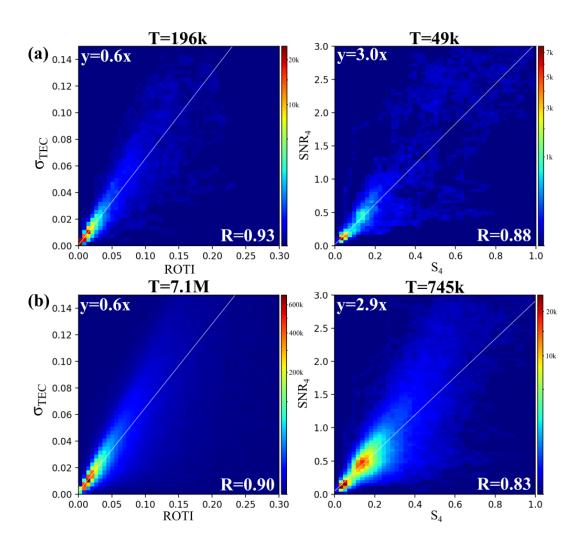


Figure 9. The same format as in Figure 8 but only using data points above the thresholds in equation 8. (a) Using the six selected receivers from Table 1. (b) All selected 169 receivers depicted in Figure 1. The number of points \mathbf{T} is shown in the title. White fiducial line fit to the data with equation in the top left corner. Correlation coefficient R between selected indices is given in the bottom right corner.

We then apply the scintillation event decision criteria and re-do the correlation anal-321 ysis in Figure 9. Panels (a) show the results from the same data set as in Figure 8. The 322 criteria effectively rejected the uncorrelated groups, and the results show a linear rela-323 tionship between both σ_{TEC} -ROTI, and SNR_4 and S_4 . Linear correlation with a high 324 correlation coefficient indicates that the introduced scintillation indices are adequate sub-325 stitutes for ROTI and S_4 . The bottom panel (b) presents analysis taken from all receivers 326 in Figure 11 processed in this case study. The linear correlation line is plotted on each 327 panel, including the line parameters, and the correlation coefficient R. We find the cor-328 relation coefficient between σ_{TEC} and ROTI R=0.9, and $SNR_4 - S_4$ R=0.83. 329

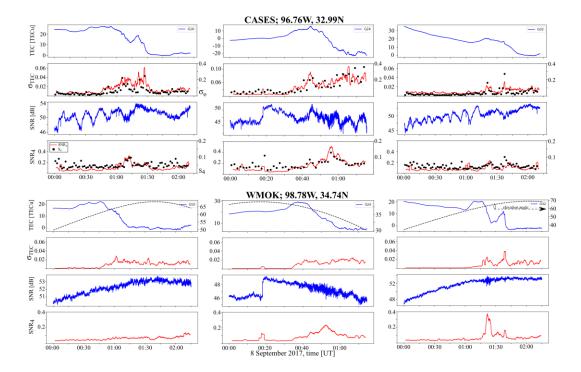


Figure 10. Comparison between observations taken by CASES (top) receiver from Dallas, TX, and wmok receiver from Oklahoma (bottom). Each row consists of three frames of data from G10, G24 and G32 satellites. Top row (CASES) has additional scintillation indices (σ_{ϕ} , S_4) in the second and bottom panels (respectively). Red lines represent the introduced (σ_{TEC} , SNR_4) scintillation indices.

3.2 Comparison against CASES scintillation receiver

330

We compare measurements from the wmok receiver located in Oklahoma with a 331 Connected Autonomous Space Environment Sensor (CASES) scintillation receiver (Crowley 332 et al., 2011) deployed at UT Dallas, TX. The receivers were spaced ~ 240 km apart. As 333 the UT Dallas receiver was operating only until 2:30 UT on the 8th of September, we 334 utilize data recorded in this period. The CASES receivers sample carrier phase and sig-335 nal intensity of the L1CA channel at 100 Hz resolution, and output scintillation indices 336 σ_{ϕ} and S_4 every 100 seconds, whereby the. Thereby, the rate deviates from the conven-337 tional approach (60 seconds), which we adopted in this work. For a purpose of this com-338 parison only, we used the same 100 seconds standard deviation window for the *wmok* re-339 ceiver. CASES receivers provide estimates of the TEC and SNR at 1-second rate. 340

We analyze observations from three satellite links, where we computed the intro-341 duced scintillation indices (σ_{TEC} , SNR_4) at a 100-second rate for *wmok* receiver, which 342 we compare with the CASES-provided σ_{ϕ} and S_4 . The comparison is presented in Fig-343 ure 10, where the top row consists of frames with observations taken by the CASES re-344 ceiver, and the bottom row of the *wmok*. All observations were masked with 30° eleva-345 tion angle cutoff, the elevation angle is depicted alongside the *wmok*-TEC plots. Esti-346 mated TEC is plotted in the top panel, whereas the derived σ_{TEC} is in the second panel. 347 It is plotted side-by-side with the CASES-provided phase scintillation index σ_{ϕ} . All three 348 lines-of-sight show that σ_{TEC} follows the trend and dynamics of σ_{ϕ} . The bottom two 349 panels show the SNR in the third panel, whereas the SNR_4 and S_4 indices are in the 350 last panel. The latter amplitude scintillation indices have large variance owing to the re-351 ceiver's performance, as indicated by large variations in the SNR panels. The SNR_4 in-352

dex was derived from the SNR and follows the trend of the S_4 which is provided as a standard CASES output.

The general trend in the scintillation indices (red lines) is morphologically similar 355 between both receivers. While the middle frames, monitoring satellite G24, show almost 356 identical trends in both σ_{TEC} and SNR_4 , the other links have a distinct difference. The 357 difference originates in different electron density structure as measured by the TEC. No-358 table differences can be observed between satellites G10 and G32, where both receiver 359 measured double-slope gradients but at the opposite links. The difference can be ascribed 360 to the 240 km distance between the receivers. Nevertheless, both receivers measured in-361 creased amplitude scintillation in the plateau region between the density gradients. This 362 was measured by the CASES receiver in the G10 link at ~ 1.15 UT, and by the *wmok* 363 at about the same time. It should be noted that despite that increased variance in raw 364 SNR data, namely amplitude scintillation, is clearly observed, the value of the scintil-365 lation index S_4 is below 0.2 usually used as a scintillation detection threshold (Jiao & 366 Morton, 2015; Béniguel et al., 2009). 367

In aggregate, the CASES scintillation receiver provides independent validation for the introduced phase scintillation index substitute, σ_{TEC} that follows the trend of σ_{ϕ} . Additionally, CASES derived amplitude scintillation index S_4 measured instances of elevated amplitude scintillation, which were also indicated by the SNR-derived SNR_4 . In comparison with the closest UNAVCO receiver, it is found that both receivers measured the same scintillating structures, both with phase σ_{TEC} and amplitude SNR_4 scintillation indices.

375 **3.3** Scintillation maps and event analysis

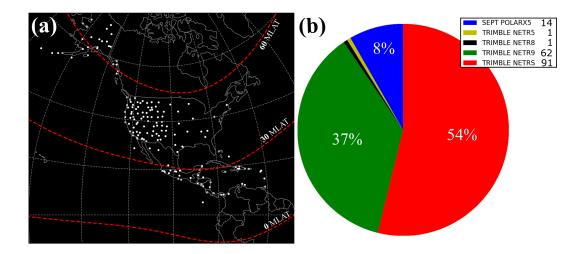


Figure 11. Same format as Figure 1, with spatial distribution of receivers chosen (total of 169) to produce irregularity maps in this case study.

The spatiotemporal evolution of the scintillation indices during the storm is obtained by virtue of scintillation maps from chosen receivers presented in Figure 11. A total number of 169 receivers were selected based on the criteria to reduce receiver density to 1 receiver per 2°GLON × 2°GLAT bin. The total number and specific receiver hardware contribution to the subset of the receiver network is presented in Figure 11b. We present the irregularity maps in Figure 12, covering the 7-8 September storm at a time resolution of 1 hour. Irregularity maps consist of σ_{TEC} and SNR_4 indices, which

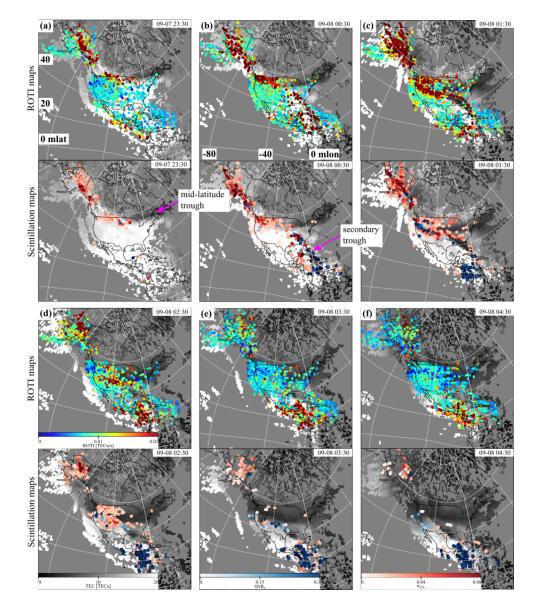


Figure 12. ROTI and scintillation maps for a time period of the storm main phase. Each epoch panel consists of two vertically stacked maps; ROTI on top, and the Scintillation indices in the bottom map. The ROTI and scintillation indices are overlaid on top of the TEC maps. Grid lines are in geomagnetic coordinates.

are compared to the ROTI maps at each epoch. Each frame consists of data points collected in the first 5 minutes after the image time-stamp.

The maps in Figure 12 show how well the regions of elevated ROTI correlate with 385 scintillation occurrence. Each epoch consists of two maps, where ROTI maps are the top 386 panel, and the scintillation maps are the bottom one. All measurements are mapped to 387 350 km latitude and superposed on top TEC maps. While we plot all the ROTI obser-388 vations, the scintillation indices underwent the classification process described in Sec-389 tion 2.2. At the beginning of the storm's main phase in Figure 12a, the area with high 390 ROTI correlated with phase scintillation σ_{TEC} . The disturbance lied within a storm en-391 hanced density plume (area of enhanced TEC), extending from the central US up to north-392

ern Alaska. In the next frame (panel b), the morphology of scintillation at the poleward portion of the CONUS remained unchanged, while a meridional density depletion (seen in the TEC map) over the eastern US hosted another disturbance with elevated ROTI and both amplitude and phase scintillation. For the evolution of the TEC depletion, we refer readers to recent storm studies (Mrak et al., 2020; Zakharenkova & Cherniak, 2020).

An hour later in Figure 12c the maps show a change in scintillation morphology 398 in the vicinity of the mid-latitude trough (marked in a) located near the US-Canada bor-399 der. There were two distinct, meridionally separated scintillation regions; one poleward 400 in the mid-latitude trough (within the auroral oval), and the other one equatorward of 401 the this trough. The scintillation elongated along the secondary density trough persisted 402 through 4:30 UT. Additionally, there were numerous instances of observed amplitude scin-403 tillation within the secondary density trough. These observations were previously de-404 scribed in time-series plots of receiver p413 in Figure 7d. The scintillation lingered over 405 the CONUS area for at least another hour (panel d), before they began to dissipate away. 406

Another region of intense amplitude scintillation emerged at about 1:30 UT (Fig-407 ure 12c), located within the high density area near 20° MLAT, just west of 0° magnetic 408 longitude meridian. Amplitude scintillation, accompanied with phase scintillation (in con-409 junction with elevated ROTI) persisted until the end of the event analysis at 4:30 UT. 410 The scintillation observations were located deep within the high density (the equatorial 411 ionization anomaly) area. Considering the timing aspect of it (pre-midnight local time) 412 strongly suggests the scintillation was a consequence of equatorial plasma bubbles (e.g., 413 Béniguel et al., 2009). At the same time, high-latitude activity was strictly confined within 414 the auroral oval, over the Alaskan sector. 415

Lastly, we analyze total scintillation occurrence during six days adjacent to the storm 416 under investigation. We present scintillation occurrence as a function of time in Figure 13. 417 We took the same data as used to create the instantaneous maps of scintillation discussed 418 above, and reduced the spatial dimensions into normalized scintillation parameters. The 419 ROTI time-series plot in panel (a) represents the median $(\langle \cdot \rangle)$ value of ROTI at a 420 given epoch. On the contrary, the sole use of this statistical moment would not compre-421 hensively represent the scintillation occurrence due to the fact the total number of scin-422 tillation events varies with time as defined in Section 2.2. Therefore, we multiply the me-423 dian value of a scintillation index with the total number of events \mathbf{N} recorded at a given 424 epoch. Thus, the total parameter encompasses information about (median) scintillation intensity and spatial spread of it. Resulting normalized phase scintillation $\langle \sigma_{TEC} \rangle$ 426 $\cdot N$, and amplitude scintillation $\langle SNR_4 \rangle \cdot N$ are plotted in the bottom two panels 427 (respectively). 428

The phase scintillation occurrence in Figure 13b has three distinct peaks (enumer-429 ated I – III), which correlate well with auroral electrojet (AE index) intensifications in 430 the background (thin blue line). The correlation is expected as the AE is a proxy mea-431 sure of auroral activity which is well established as the predominant source of phase scin-432 tillation at high-latitudes. The second (II) increase in σ_{TEC} , however, is disproportional 433 to the other two instances (I and II). This increase occurred in conjunction with the ex-434 treme increase in amplitude scintillation SNR_4 , as shown in the bottom panel Figure 13c. 435 is event occurred at the dip of the geomagnetic storm, indicated by the negative deflec-436 tion in SYM/H index (shown in the bottom panel). Finally, this storm-time increase cor-437 relates well with elevated ROTI, however, the other two instances of elevated phase scin-438 tillation index σ_{TEC} do not show a contemporary increase in the ROTI. This is due to 439 the fact that only a localized area of the receiver network coverage experienced the scin-440 441 tillation, hence the median operator did not pick up the increases in ROTI, which did not undergo the scintillation event classification decision process. 442

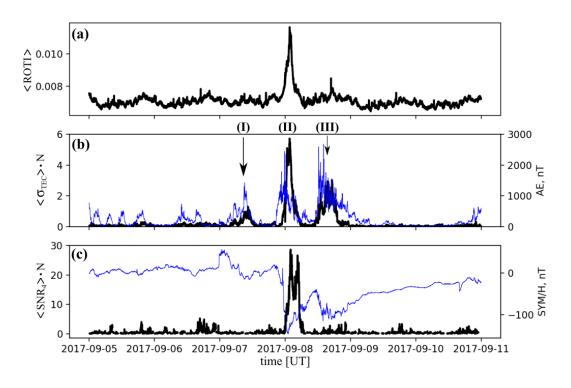


Figure 13. Scintillation indices time-series plots from all available receivers, for the time period of surrounding the 7-8 geomagnetic storm. (a) ROTI time series represented by instantaneous median (< · >). (b) Median σ_{TEC} value normalized by total number of events N (< $\sigma_{TEC} > \cdot N$). (c) Median SNR_4 value normalized by total number of instantaneous scintillation events N (< $SNR_4 > \cdot N$).

443 4 Summary and conclusions

We have introduced an alternative method to obtain ionospheric scintillation in-444 dices from geodetic GPS receivers with a 1-Hz data rate. We have discussed limitations 445 imposed by opportunistic data source, which has limited temporal sampling range. We 446 have introduced and demonstrated the efficiency of a hardware-dependent scintillation 447 event classification by virtue of direct comparison with ROTI and SNR-derived S_4 . We 448 showed that the introduced amplitude scintillation SNR_4 index is more sensitive to weak 449 events (cf. Figure 7) than the S_4 , due to the fact the SNR_4 is not normalized. The in-450 troduced phase scintillation index σ_{TEC} shows a linear correlation with ROTI, with a 451 correlation coefficient of 0.90. Another property of the introduced scintillation indices 452 is equal signal processing treatment, where the filtering function has a deterministic im-453 pulse response, in contrary to the ROT and ROTI. 454

The introduced processing was applied to the UNAVCO GPS dataset, we leveraged 455 its large spatial coverage to produce scintillation maps. The most profound virtue of large 456 spatial coverage is the ability to examine scintillation evolution at a continental scale cov-457 ering the longitude sector of the CONUS. We demonstrated the potential importance 458 of the data product with a case study of the 7-8 September 2017 storm. The results re-459 veal episodic scintillation occurrence and spatiotemporal evolution in an area covering 460 over 50°MLAT, considered to be primarily within the mid-latitude ionosphere. Six days 461 of scintillation observations adjacent to the 8 September storm shows a good correlation 462 between increased auroral activity and the phase scintillation index σ_{TEC} . A dispropor-463 tional increase in both phase and amplitude scintillation was observed during the storm 464

main phase. The spatiotemporal evolution of the scintillation geolocation was analyzed 465 by virtue of scintillation maps. Namely, high latitude scintillation was predominantly 466 confined to the area within the auroral oval and in the vicinity of the trough equator-467 ward boundary, characterized by an elevated phase scintillation index. Because this region lacks amplitude scintillation, the elevated phase scintillation index is likely due to 469 phase variations associated with fast-moving density structures. Low latitude scintilla-470 tion was predominantly confined within the equatorial ionization anomaly at the pre-471 midnight local time sector, consisting of both elevated amplitude and phase scintillation, 472 a morphology of Fresnel scatter. Lastly, additional large scale density trough was located 473 predominantly at mid-latitudes and was associated with elevated amplitude and phase 474 scintillation. The latter finding is a novel observation, made available by the utilization 475 of geodetic receivers. 476

The presented case of storm time spatial evolution of GPS scintillation at mid-latitudes 477 shed a new light on past observations of scintillation from upstate New York (Ledvina 478 et al., 2002). Additionally, the promising results of scintillation event classification and 479 demonstrated utility of scintillation maps allow a comprehensive retrospective analysis 480 of thus far ignored scintillation occurrence at mid-latitudes. The data availability goes 481 back to 2011, as a statistical survey is currently underway. While scintillation occurrence 482 at low- and high-latitudes agree well with established scintillation climatology (Kintner 483 et al., 2007; Aarons, 1982; Basu et al., 1988; Secan et al., 1995, 1997; Béniguel et al., 2009), 484 the preliminary results from receivers at mid-latitudes partially agree with historical mor-485 phology of mid-latitude scintillation (Kintner et al., 2007; Aarons, 1982). That is, an in-486 crease of phase scintillation is predominantly due to TEC gradients in the vicinity of the 487 trough equatorward boundary, and increased plasma convection within the trough. The results of the presented case study indicate there are other mid-latitude mechanisms pro-489 ducing both amplitude and phase scintillation. The climatology and controlling param-490 eters of these events are mysterious, as it appears they occur during large storms (Ledvina 491 et al., 2002; Aa et al., 2019; Zakharenkova & Cherniak, 2020). Leveraging 1-Hz geode-492 tic receivers, such as the one operated by UNAVCO, could be utilized to gain insight into 493 the mid-latitude scintillation phenomenon through a comprehensive retrospective anal-494 vsis. 495

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