Prevailing Conditions for Dynamic Triggering in intraplate and plate-boundary regions of the USA

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Abstract

To facilitate identification of conditions that lead to the dynamic triggering of seismic events as catalogs of these events keep growing, we applied a machine-learning algorithm (decision tree) to a published data set of known instances of dynamically triggered seismic tremor in central California. To investigate the possible universality of our findings and to further test the algorithm, we also applied it to new observations, presented here, of potentially dynamically triggered seismic activity in three intraplate regions: Raton Basin (CO), Yellowstone, and central Utah. We report potential tremor or local earthquake signals from here during the propagation of surface waves from the 2012 M8.6 Sumatra earthquake. These surface waves also triggered seismic activity along the western boundary of the North American plate and did not trigger seismic activity in the central and eastern USA. We report additional potential dynamic triggering in the three aforementioned intraplate regions from an investigation of seismograms from 37 additional large earthquakes, recorded between 2004 to 2017.

Our findings show that transient stresses generated by surface waves from large earthquakes and arriving from favorable directions generally lead to triggered tremor in seismically, volcanically, and hydrothermally active regions like central California and possibly Yellowstone. These stresses do not appear to be decisive factors for the potentially dynamically triggered local earthquakes reported for the Raton Basin and central Utah, while surface waves' incidence angles do appear to be important there.

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35 Key Points:

36 1. New detections of possible dynamically triggered tremor and earthquakes in Yellowstone,

37 Utah, and the Raton Basin, Colorado.

38 2. For local earthquake triggering peak stress is *not* decisive while for triggering tremor it39 needs to exceed a threshold.

40 3. Machine learning and visualization identify surface waves' incidence angles as an
41 important factor for dynamic triggering.

42

43 **1. Introduction**

Far-field surface waves of large magnitude earthquakes can dynamically trigger seismic
events such as small, local earthquakes (*Prejean et al.*, 2004) and tectonic tremor (*Peng and Gomberg*, 2010). Figure 1 shows two examples of such events. Dynamic triggering of seismic

47 events has been reported for peak stress perturbation estimates of a mere 1 or 2 kPa, (*Peng and* 48 *Gomberg*, 2010; *Brodsky and van der Elst*, 2014). As triggered seismic events might occur 49 only while the cumulative stress at a fault approaches its pre-slip state, a quantitative 50 observation of triggered seismic events may provide useful information on the state of stress on 51 the fault (*Peng and Gomberg*, 2010; *Kato et al.*, 2013). Analyses of triggered seismic events 52 also contribute information and insight on other factors that contribute to triggering and 53 nucleation processes and mechanics in general.

54 Triggered tremor and triggered earthquakes have been observed at plate boundaries and 55 major faulting systems world-wide. Along the western boundary of the North American Plate, 56 many studies have reported dynamic triggering of local earthquakes (Velasco et al., 2008; 57 Aiken and Peng, 2014; Brodsky and van der Elst, 2014; Hill and Prejean, 2015) and tectonic 58 tremor (Gomberg et al., 2008; Peng et al., 2009; Rubinstein et al., 2009; Chao et al., 2012; 59 Gomberg and Prejean, 2013; Aiken and Peng, 2014; Chao et al., 2017). Fewer studies reported 60 triggered seismic events in the continental interior of the United States (*Prejean et al.*, 2004; 61 Freed, 2005; Van der Elst et al., 2013; Velasco et al., 2016). Within the intraplate interior of 62 North America, the geothermally, volcanically, and seismically active region around 63 Yellowstone National Park experienced dynamic triggering following the 2002 Denali 64 earthquake (Husen et al., 2004), as did the Wasatch Fault zone in Utah (Pankow et al., 2004). 65 Van der Elst et al. (2013) report delayed dynamic triggering of local earthquakes in regions of 66 anthropogenic seismicity such as the Raton Basin, Oklahoma, and Snyder, Texas, for three 67 days following the 2011 M_w 9.1 Tohoku, the 2010 M_w 8.8 Chile earthquakes. Velasco et al. 68 (2016) also found triggered earthquakes in Texas as well as the Coso region in California, respectively, following the same two earthquakes. Velasco et al. (2016) expanded their search 69

by using an automatic approach for detecting triggered seismicity in the conterminous United States with USArray, as did Cerda et al. (2011) and Linville et al. (2014), for example. Machine-learning algorithms can also expand event searches to cover bigger data sets (*Ramirez and Meyer*, 2011; *Lecun et al.*, 2015; *Tang et al.*, 2020). The number of dynamically triggered events reported in the literature keeps growing (*Canitano et al.*, 2019), suggesting that their quantity and pervasiveness can be expanded and exploited to study the conditions necessary for such triggering in a new, scalable manner.

77 In this study, we expand the diversity of reported dynamically triggered seismicity by 78 exploring additional activity in the continental, intraplate interior of the United States and we 79 show that a decision-tree machine-learning algorithm is well suited for determining the 80 conditions that prevail during dynamic triggering from ever increasing catalogs of triggered 81 events. To do the former we first interactively investigated all broadband seismograms of the 82 2012 M_w 8.6 Sumatra earthquake recorded in the USA. Secondly, we investigated 83 seismograms of thirty-eight $M_w > 7$ earthquakes recorded in three intraplate regions found in 84 step one to contain signals from triggered seismicity in records of the 2012 M_w 8.6 Sumatra 85 earthquake. To investigate the ability of a machine-learning algorithm to identify prevailing 86 conditions during dynamic triggering, we introduce and apply a decision-tree algorithm to our 87 new observations of intraplate dynamic triggering, as well as to a known dataset of triggered 88 tremor in California (Chao et al., 2012). Finally, we discuss to what extent peak dynamic stress 89 estimates, as well as other attributes, are decisive factors for triggering tremor and/or 90 earthquakes.

91

92 2. Data mining for potentially triggered seismic events: Data and Methods

93 2.1 The 2012 M_w 8.6 Sumatra earthquake

94 The 11 April 2012 M_w8.6 Sumatra earthquake is the largest magnitude strike-slip 95 earthquake recorded to date (Meng et al., 2012) and it radiated large-amplitude Love waves 96 with one of four radiation maxima oriented towards USArray (*Rösler and Van der Lee*, 2020). 97 As Love waves hold considerable dynamic triggering potential (Peng and Gomberg, 2010; Hill, 98 2012; Bansal et al., 2016, 2018; Chao and Obara, 2016; Johnson and Bürgmann, 2016; Kundu 99 et al., 2016; Chao and Yu, 2018; Castro et al., 2015), we searched for signals in USArray and 100 other US data from potentially dynamically triggered intraplate seismic events that occurred 101 during the passage of surface waves from the 11 April 2012 M_w 8.6 Sumatra earthquake. Love 102 waves can temporarily enhance shear stress on faults they propagate across. Van der Elst et al. 103 (2013) examined dynamic triggering by this earthquake's surface waves in regions of 104 anthropogenic seismicity and in two locations found an elevated number of local earthquakes 105 during post-teleseismic-earthquake days. However, this elevated number was much smaller 106 than that found following the 2011 M_w 9.1 Tohoku, the 2010 M_w 8.8 Chile earthquakes, which 107 had stronger Rayleigh waves. It may be unlikely that Love waves are a primary cause of 108 triggering seismic activity in regions with little tectonic activity. Here we are interested in the 109 possible triggering of tectonic events and choose the 2012 $M_w 8.6$ Sumatra earthquake, with its 110 high-amplitude Love waves, to begin testing of this hypothesis.

- 111
- 112 **2.2 USArray data processing**

During the 2012 M_w8.6 Sumatra earthquake all seismic components of EarthScope's USArray (http://www.usarray.org) were in place: The Transportable Array (TA), the Flexible Array (FA), the Reference Network, as well as cooperating regional networks such as the 116 University of Utah Regional Seismic Network (UU). The TA has been operating since 2004, 117 migrating from west to east across the United States at a snail's pace before leaping to Alaska, 118 where it is currently deployed. The TA, equipped with three-component broadband stations 119 separated by an approximate 70 km, is a large-scale seismic network. The FA consists of 120 similar broadband stations that were deployed in smaller regions in more flexible geometries 121 for limited durations by individual research teams. Here we included data from USArray and 122 other permanent and temporary seismic networks, such as the ANSS, that were recording in the 123 USA during the first greater decade (hereafter called a dodecade) of EarthScope (See 124 "Acknowledgements and Data" for details).

125 For the 2012 M_w 8.6 Sumatra earthquake, we downloaded all available broadband 126 seismograms recorded in the USA via IRIS DS (Incorporated Research Institutions for 127 Seismology, Data Services) (see "Acknowledgements and Data" for details). The downloaded 128 waveforms start 60 minutes before and end 180 minutes after the origin times of the earthquake. 129 The waveforms were loaded into and examined in CrazyTremor (section 2.4) in different 130 frequency bands. The waveforms were filtered with a 2-8 Hz band-pass filter when searching 131 for triggered tremor and triggered earthquakes. Frequency content above 8 Hz is not available 132 or reliable for all stations, which have different instrumentation and sampling rates. 133 Waveforms without high-frequency signals from local earthquakes or tremor were removed. 134 The remaining waveforms were converted to ground velocity, by deconvolving with the 135 instrument response.

136

137 **2.3** Criteria for identifying triggered tremor and triggered earthquakes

138 Signals from triggered earthquakes are similar to signals from small local earthquakes and 139 have visible P and S wave energy at frequencies above 5 Hz. To identify P and S waves, we 140 examined three-component seismograms. In this paper, earthquake signals are only considered 141 as potentially triggered when they occur during the propagation of the surface wave train and 142 have a statistical probability of occurring during that time window of 3% or less. We consider 143 an earthquake as possibly triggered (Aiken and Peng, 2014) if: (1) the earthquake occurs during 144 the propagation of Love and Rayleigh wave trains, (2) the earthquake signal has elevated power within the passband between 2 and 8 Hz; (3) the earthquake signal shows clear P- and 145 146 S-waves (Figure 1b); (4) the signals come from local earthquakes rather than teleseismic 147 aftershocks, (5) there is little to no local activity within 24 hours before the examined time 148 window.

Bursts of triggered tremor occur during surface wave trains and can last for 5 to 30 minutes. To identify possibly triggered tremor, we use the following criteria (*Chao and Yu*, 2018): (1) tremor occurs during the propagation of Love and Rayleigh wave trains, (2) tremor has dominant frequencies between 2 and 8 Hz; (3) tremor looks like a series of bursts, with a similar modulating frequency as that of the coeval surface waves (Figure 1a); (4) the tremor is either recorded by at least two stations within a 50 km of epicentral distance (*Chao et al.*, 2019) or has been activated by more than one large teleseismic earthquakes.

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157 2.4 Initial identification with CrazyTremor

158 Initial separation of waveforms with signals that meet the criteria outlined in section 2.3 159 from those without such signals was carried out through visual inspection using CrazyTremor 160 (*Chao and Yu*, 2018), which was developed specifically to facilitate finding dynamically 161 triggered tremor and earthquakes. After loading SAC files into the CrazyTremor GUI (Figure 162 2), all seismograms were filtered (2-8 Hz band-passed) and compared with surface waves in 163 the broad-band seismogram. The three components of each seismograms were examined at the 164 same time and viewed as time series, envelopes, and/or spectrograms, to assist in the 165 identification of stations with triggered signals. Next, we used the tagging function of 166 CrazyTremor to reject stations with no signals from triggered events and only kept stations 167 with signals of potentially triggered events. Finally, we sorted the filtered seismograms by 168 increasing distance from the teleseismic earthquake, to confirm that the identified signals came 169 from a local event rather than the sane source area as the teleseismic earthquake.

170

171 **2.5 Earthquakes Investigated**

172 In addition to interactively examining seismograms of the 2012 M_w 8.6 Sumatra 173 earthquake (Figure 3) from over one thousand seismic stations, we examined seismograms 174 from a subset of stations for 37 additional earthquakes with $M_w > 7.0$ (Table 1), and one, 175 slightly smaller foreshock. The subsets of stations were selected to be in three intraplate 176 regions where surface waves from the 2012 M_w 8.6 Sumatra earthquake possibly triggered 177 tremor or a local earthquake. The selected earthquakes not only had moment magnitudes (M_w) 178 greater than 7.0, they also had event depths less than 100 km, and were at least 10° away (Chao 179 and Obara, 2016) from the investigated station locations. Between 2004 and 2017, 175 180 earthquakes matched these criteria. For each location, we estimated the surface wave 181 amplitudes generated by the large earthquake's surface waves using a magnitude-distance 182 relationship (Chao et al., 2013), and rejected earthquakes with estimated ground velocity 183 amplitudes below 0.1 *mm/s*. We use the ground velocity to estimate the associated change in 184 shear stress σ as

$$\sigma = \mu \dot{u} / U$$

185 , where μ is the shear modulus, \dot{u} is the surface-wave ground velocity, U is the surface 186 wave's group velocity, and u/U approximates half the deviatoric strain, (*Chao and Obara*, 187 2016). Using $\mu = 35$ GPa as a representative shear modulus for the crust and U = 3.5 km/s as a 188 representative average group velocity, we estimate the peak shear stress in kPa to equal $10^4 \times$ 189 A, where A is the ground velocity in m/s. This implies that the 37 additional earthquakes we 190 selected (Figure 4) were estimated to cause dynamic stress changes that exceeded 1 kPa. We 191 then investigated whether these earthquakes' surface waves triggered seismicity in the three 192 identified locations. We list all selected earthquakes in Table 1 and number them for easy 193 reference. The 2012 Sumatra earthquake is earthquake #21. Earthquake #30 had a smaller M_w 194 6.5 foreshock (not listed in Table 1) whose surface waves also potentially triggered a local 195 earthquake in central Utah.

196

197 **3. Data mining for potentially triggered seismic events: Results**

198 **3.1 Observations of potentially triggered seismic events following the 2012 M**_w 8.6

199 Sumatra earthquake: Overview and western plate boundary

After visual examination in CrazyTremor (*Chao and Yu*, 2018) of radial, transverse and vertical components of 1,021 seismograms of the 2012 Sumatra earthquake (Figure 3), we rejected 617 seismograms because they exhibited either no high-frequency energy in the surface wave window or contained data gaps, calibrations, mass centerings, instrument- or site-specific signals, or other non-tectonic signals (*Marcillo and McCarthy*, 2020). Next, we 205 visually inspected the surface-wave windows in the remaining 404 candidate seismograms for 206 this earthquake in one or more frequency bands (i.e., 2-8 Hz band-pass or 5 Hz high-pass filter), 207 using Seismic Analysis Code (SAC) (Goldstein et al., 2005). We identified signals as from 208 local earthquakes or from tremor if they met the pertinent criteria outlined in the previous 209 section. Of these 404 seismograms, 47 candidates had signals that met the criteria for being 210 from potentially dynamically triggered events. The rest of the seismograms contained some 211 type of high-frequency signal or noise in the surface window that neither qualified as a tremor 212 nor as an earthquake signal. Thirty-six out of these 47 candidates were observed along the 213 western plate boundary (Figure 3, Table S1), where triggered events had previously been 214 observed for other teleseismic earthquakes (Peng et al., 2009; Chao et al., 2012; Castro et al., 215 2015 and *Castro et al.*, 2017).

216

217 3.2 Intraplate triggering following the 2012 M_w 8.6 Sumatra earthquake: western USA

From detailed inspections of the remaining eleven candidates for newly discovered dynamic triggering east of the plate boundary, we rejected a further five. These rejections are based on instrument- or site-specific noise, including frequent occurrence of similar signals before and after the surface wave window in regions that are seismically relatively quiescent. One of the six remaining signals represents a possibly dynamically triggered local earthquake (Figure 3) in central Utah (station SRU).

Four of the six signals represent a dynamically triggered earthquake (Figure 3) in Colorado (stations SDCO, T25A, Q24A, and S22A). Van der Elst et al. (2013) included this detection at station T25A in his three-day catalog of seismicity that followed the 11 April 2012 M_w 8.6 Sumatra earthquake. Because of its longer deployment, we selected SDCO as a representative station for searching for dynamically triggered earthquakes during surface wavetrains from additional large earthquakes (section 3.4).

230 The final one of the six remaining signals possibly represents dynamically triggered 231 tremor (Figure 3) in Yellowstone (station H17A). However, several signals with comparable 232 time progressions and bandwidth were recorded by the station in the hours leading up to the 233 Sumatra earthquake, while other stations about 10-20 km north of H17A did not record the 234 signal, suggesting a possibly shallow source. In addition to being tectonically active, 235 Yellowstone is also volcanically and hydrothermally active (Huang et al., 2015, 236 Vandemeulebrouck et al., 2013; Hurwitz et al., 2014). Station H17A is located within the 237 Yellowstone Caldera.

238

3.3 Absence of triggering following the 2012 M_w 8.6 Sumatra earthquake: Central and Eastern USA

241 The seismograms of the 2012 Sumatra earthquake that we examined were recorded at a 242 dense collection of seismic stations (Figure 3), including a Midwestern swath of TA and FA 243 stations. At this time, the largest distance between two neighboring stations in the USA, away 244 from TA and FA stations, was around 200 km. Each seismogram recorded in the central and 245 eastern USA (CEUS) was inspected in different frequency bands, and all were rejected as not 246 having recorded dynamically triggered seismicity. Likewise, Van der Elst et al. (2013) 247 examined dynamic triggering by this earthquake's surface waves in regions of anthropogenic 248 seismicity and found virtually no triggering in the CEUS, including at sites of anthropogenic 249 seismicity in Texas, Arkansas, and Ohio. However, they did find a moderate surge in seismic 250 activity in a wastewater injection area in Oklahoma (near stations V34A and V35A) and in Colorado (near stations SDCO and T25A) during the days that followed the Sumatra earthquake. *Bockholt et al.* (2014) report finding neither ambient nor triggered tremor around the Reelfoot Fault in northern Tennessee during surface wave propagation from 11 large additional earthquakes. Our analysis further confirms the absence of dynamic triggering of tectonic seismic activity across all of the CEUS during the passage of surface waves from the 2012 M_w 8.6 Sumatra earthquake.

257

3.4 Observations of potential dynamic triggering following 37 additional large earthquakes.

260 We examined seismograms recorded at the three intraplate locations represented by 261 stations H17A, SRU, and SDCO, from 37 additional large earthquakes (see section 2.5). With 262 some of these earthquakes being recorded by a subset of the stations (H17A, SRU, and SDCO), 263 we obtained 97 additional seismograms to examine. Within these 97 seismograms and for 7 of 264 the 38 earthquakes in total, we found possible dynamically triggered events recorded within the 265 surface-wave arrival window (Figures S2-S9). We also examined seismograms at nearby 266 stations for each newly found potentially triggered event. Using observations of the same local 267 events at nearby stations and through picking P and S wave arrival times in CrazyTremor, we 268 were able to locate 8 local events (Figure 5). We estimated peak dynamic shear stress from the 269 vertical- and transverse-component ground-velocity seismograms of all 38 earthquakes and 270 plotted them versus back-azimuth in Figure 6. In the following sub-sections, we discuss these 271 new detections (Figures 7 and 8) in detail for each of the three intraplate locations.

272

273 **3.5 Observations of triggered tremor in Yellowstone (H17A station)**

274 Station H17A station in Yellowstone National Park recorded tremor signals potentially 275 triggered by four of the 28 earthquakes for which H17A data were available (Table 3): #20 276 (Figure 1), #21 (Figure 3), #12 (Figure S1), and #37 (Figure S2). The tremor signals for 277 earthquake #20 (20 March 2012 M_w7.5 Mexico) are clear. No tremor-like signals occur within 278 several hours before and after the surface wave window. Tremor signals detected in the surface 279 wave windows of earthquakes #12, #21, and #37 are accompanied by comparable tremor-like 280 signals in several hours before and after the windows. Moreover, the tremor signals were not 281 recorded by stations 10-20 km north of H17A, suggesting a shallow, relatively local and 282 possibly non-tectonic source for the tremor. Peak dynamic stresses estimated from H17A's 283 vertical- and transverse-component recordings of the surface waves from earthquake #20 are 284 above 20 kPa, as is also the case for earthquake #37 and two earthquakes that did not trigger 285 tremor in Yellowstone. The majority of the 24 recorded, non-triggering earthquakes produced 286 peak dynamic stress estimates under 10 kPa. Figure 6 shows that surface waves from all four 287 earthquakes arrived from either the NW or the SE, while non-triggering surface waves arrived 288 from these and additional SE azimuths. Estimated peak stresses and other attributes for H17A 289 are provided in Table S2 for all earthquakes.

290

291 **3.6 Observations of triggered earthquakes in central Utah (SRU station)**

Station SRU in central Utah recorded 34 of the 38 examined earthquakes and its seismograms show signals of local earthquakes that were potentially triggered by surface waves from the following seven large earthquakes (Table 4): #21 (Figure 3), #24 (Figure S7), #8 (Figure S3), #9 (Figure S4), #10 (Figure S5), #13 (Figure S6), and #30 (Figure S8). Interestingly, peak dynamic stresses inferred from these SRU recordings do not differ substantially from the distribution of peak dynamic stresses inferred from non-triggeringteleseismic earthquakes.

299 We searched for potentially triggered local earthquake signals in seismograms from 300 earthquake #21 (Figure 7) recorded at stations within about 100 km from SRU station (Table 4). 301 We observed signals from this local earthquake at seven nearby stations: TMU, CVRU, BCE, 302 PNSU, ROA, DCM and ARGU, and located its epicenter (Figure 5) using CrazyTremor. Like 303 those from #21, surface waves from earthquake #24 also triggered a local earthquake in the 304 Raton Basin (see next section). At the time of earthquake #24, noise levels at H17A 305 (Yellowstone) were too high to detect triggered seismicity signals. The local, SRU-recorded 306 earthquake potentially triggered by earthquake #24 was also recorded by nearby stations 307 ARGU, DCM and PNSU. Also, during surface wave propagation from earthquakes #8, #9, #10, 308 and #13, signals that could be from local earthquakes were recorded at station SRU. However, 309 other earthquake signals and earthquake-like signals were recorded within hours before and 310 after the surface wave window. The local earthquake potentially triggered by earthquake #9 311 was recorded at SRU and 7 nearby stations (P14A, Q14A, P16A, Q16A, P17A, R17A and 312 Q18A) and we picked P and S wave arrivals in these 8 records to locate the epicenter of this 313 local earthquake (Figure 5). Potentially triggered earthquake signals were recorded at 7 nearby 314 stations (TMU, O18A, O16A, P18A, ROA, P17A, DBD) from earthquake #10. The local 315 earthquake potentially triggered by earthquake #13 occurs late w.r.t. the surface wave window. 316 We picked P and S wave arrivals at 14 nearby stations (stations SRU, Q16A, P18A, S18A, 317 P19A, O19A, DUG, Q20A, R20A, O20A, S20A, N20A, N21A, N22A and R24A) to locate the 318 epicenter of this local earthquake (Figure 5).

319 Earthquake #30 presents an interesting case as it may have triggered a local earthquake in 320 central Utah not only during passage of surface waves from earthquake #30, but also, and with 321 higher magnitudes, during the S-wave arrival and during the surface wave window for a $M_w 6.5$ 322 foreshock, as well as 2 hours earlier and 3 hours later, yielding 5 local earthquakes in 6 hours of 323 recording. The amplitudes of these earthquakes local earthquakes suggest that they have 324 magnitudes roughly between 1.0 and 2.0. Background seismicity rates obtained from the 325 USGS (earthquake.usgs.gov) in this part of central Utah, combined with the Gutenberg-Richter 326 relationship between earthquake frequency and magnitude, suggests that there should be about 327 10 earthquakes with magnitudes between 1 and 2 per week. This rate translates to about one 328 such earthquake per 18 hours, definitely raising our 5 earthquakes in 6 hours as anomalous, 329 with three of these as possibly triggered by teleseismic earthquake #30 and its M_w 6.5 330 foreshock.

331 Station SRU is located at the San Rafael Swell (Delaney and Gartner, 1997) in central 332 Utah, about 100 km east of a roughly north-south oriented belt of seismicity, the Levan 333 segment of the Wasatch Fault, and about 50 km south of a more east-west oriented lineament of 334 seismicity. Quarry blasts reported by the USGS predominantly occur in the northern part of 335 Utah, more than 50 km from station SRU. However, SRU is about ~20 SE of the 336 Cleveland-Lloyd Dinosaur Quarry, an excavation site and open-air museum for dinosaur 337 fossils and therefore an unlikely site for strong or frequent blasts. The detected SRU signals 338 typically have strong S waves and no preference for the time of day or night at which they 339 occur, further arguing against an anthropogenic source for the signals. During the past 20 years, 340 the USGS reported about 3000 earthquakes with magnitudes between 2.0 and 3.0 located 341 within a 50-km radius from SRU, which translates to about one such earthquake per week.

Therefore, the odds of finding such an earthquake within a ~2000 s long surface wave train by chance are about 0.3 %, which translates to 3% for earthquakes with magnitudes comparable to the ones we detected ($1 < M_w < 2$). The strongest earthquake in this area had a magnitude of 4.2 during the dodecade spanned by our study (https://earthquake.usgs.gov/). Prior to this, earthquakes were reported to have been dynamically triggered on the Wasatch Fault by surface waves from the 2002 Denali fault earthquake (*Pankow et al.*, 2004).

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349 **3.7 Observations of triggered earthquakes in Colorado (SDCO station)**

Three local earthquakes recorded by station SDCO in Colorado were potentially dynamically triggered, respectively, by teleseismic earthquakes: #21 (Figure 3), #23 (Figure S9) and #24 (Figure 1). Earthquakes #21 and #24 also appear to have triggered local earthquakes in central Utah. The inferred peak dynamic stresses for these recordings (Table S2), again do not differ substantially from the distribution of peak dynamic stresses inferred from surface waves that did not trigger a local earthquake.

356 Station SDCO is at the eastern edge of the Colorado Plateau and by the northern branch of 357 the Rio Grande Rift. The region around SDCO is not particularly seismically active. The 358 closest known earthquakes to SDCO are a pair of 2003 M~3 earthquakes, 25 km SE of the 359 station (https://earthquake.usgs.gov/). However, the station is about 80 km northwest of the 360 Raton Basin, which has experienced an increase in seismic activity and wastewater injection 361 over the past two decades (Nakai et al., 2017; Yeck et al., 2016; Van der Elst et al., 2013) 362 For earthquake #21, we observed potentially triggered earthquake signals at 7 nearby 363 stations (SDCO, T25A, Q24A, S22A, XTOCO, HGTCO and LVTCO) and used them in

364 CrazyTremor to locate the epicenter of this local earthquake (Figure 8).

365 For earthquake #21 (Figure 5), the local earthquake signals in Utah arrive about 5,430 366 seconds after the origin time of earthquake #21 while in Colorado they arrive about 200 367 seconds later, which indicates that the surface waves from earthquake #21 triggered a local 368 earthquake earlier in Utah than in Colorado. Figure 3 shows that these surface waves 369 propagated roughly from northwest to southeast in the western US and would have indeed 370 needed about 200 s to travel from station SRU in Utah to station SDCO in Colorado. This 371 evidence suggests that these earthquakes in Utah and Colorado are indeed dynamically 372 triggered, by the same component of the wavefield, rather than coincident earthquakes.

Earthquake #23 produced the local earthquake signal with the largest amplitude and observed by the most nearby stations. It was observed at 17 stations (SDCO, T25A, S22A, Q24A, ANMO, TASL, TASM, KSCO, MVCO, ISCO, AMTX, MSTX, CBKS, OGNE, SRU, MNTX and WMOK). Using *P* and *S* wave picks in CrazyTremor we located the epicenter of this earthquake to be in the Raton Basin (Figure 5). The local earthquake potentially triggered by teleseismic earthquake #24 was observed only at SDCO with an order of magnitude lower amplitudes than for earthquake #23 and relatively late in the surface wave train.

380

4. Testing of triggering threshold with a decision-tree algorithm

382 4.1 Motivation

Despite searching systematically through 1) over a thousand continent-wide seismograms from one earthquake (#21) and 2) hundreds of seismograms recorded in three particularly interesting intraplate regions from the 38 largest earthquakes of the dodecade spanned by our study, we found little evidence for dynamic triggering of tectonic tremor and earthquakes. Even in the three intraplate regions where we did detect potentially triggered seismicity, there 388 are no obvious patterns as to when triggering occurs and when it does not. Meanwhile, our 389 study is not alone in attempts to detect intraplate triggering (Velasco et al., 2008, Bockholt et 390 al., 2014, Van der Elst et al., 2013, Velasco et al., 2016) and detections of dynamically 391 triggered seismicity at plate boundaries continue to accumulate (*Canitano et al.*, 2019). In the 392 second part of this paper we therefore explore the benefits of utilizing a machine learning 393 algorithm to 1) help detect the prevailing conditions under which dynamic triggering occurs, 2) 394 formalize the process of inferring these conditions and quantify possible detection thresholds, 395 3) prepare for larger volumes of plate boundary data on dynamic triggering that might 396 overwhelm researchers, and 4) prepare for investigating a larger number of factors that may or 397 may not contribute to creating the conditions for triggering. We choose to do so with a decision 398 tree algorithm. This algorithm takes as input the attributes of all of our detections as well as the 399 labels that indicate whether dynamic triggering occurred or not. Even though we have referred 400 to our intraplate detections as being *potentially* dynamically triggered, for evaluating the 401 algorithm we assume that they were indeed dynamically triggered. As attributes we provide the 402 algorithm with values of familiar attributes, such as peak stress estimates from peak ground 403 velocity and information about solid-earth tides, as well as with time of the day, and back 404 azimuth patterns.

405

406 **4.2 Theory of decision-tree**

The decision tree is a machine-learning algorithm, which can also be used as a prediction method once enough data are available (*Mitchell*, 1997; *Saxena*, 2017). A decision tree can be applied to learn decisive attributes related to binary outcomes, for example which seismograms did record dynamically triggered local seismic events, and which did not. These decisions are 411 made based on a set of attribute values. Consider the set of seismograms, X which are412 observed at a particular seismic station:

$$X = \{ \overrightarrow{x_1}, \dots \overrightarrow{x_n} \}$$

413 Where we can split X into $X = X_{\theta} \cup X_{I}$, where X_{θ} is the subset of seismograms with no signals 414 from triggered seismicity and X_{I} is the subset of seismograms with signals from triggered 415 seismicity. Each seismogram \vec{x} is represented by a *k*-tuple of attribute values a_{i}

$$\vec{x} = \langle a_1, ..., a_k \rangle$$

416 Then the decision-tree algorithm is designed to first select the attribute that corresponds 417 most decisively with distinguishing the two groups of seismograms: those that recorded 418 triggered events and those that did not. It then places this "best" attribute as well as its decisive 419 threshold at the root of the tree (top of Figure 9a), splitting X into "left" and "right" subsets 420 (branches) according to the attribute value. The algorithm then proceeds to calculate the next 421 most decisive attribute for each subset. We repeat the procedure until the two groups within X422 are entirely separated. To begin, the entire training set is placed at the root of the tree. The order 423 in which attributes are placed in the tree is determined by an "entropy" minimization-based 424 statistical approach (Mitchell, 1997). The "entropy" represents the level of blending of 425 members from the two sets at a particular node in the decision tree system. For each node in the 426 tree, the quantity that is minimized with respect to the most decisive attribute's threshold value 427 is a weighted sum of the entropies calculated for the left and right sides of the tree.

428 An "entropy" value *E* is calculated as follows:

$$E = -P_0 \log(P_0) - P_1 \log(P_1)$$

429 where P_l is the probability of drawing an $\vec{x} \in X_l$ from the subset on the left or right. 430 Clearly E = 0 if the subset contains elements form only one X_l and E is maximized when X_{θ} and 431 X_1 are equally represented. The algorithm minimizes the total entropy and so chooses the 432 attribute and its threshold that best splits the set. In the following we apply this algorithm to 433 seismograms from the 38 earthquakes we investigated data from, separately for each distinct 434 region of potential triggering: tremor in Yellowstone and local earthquakes in central Utah and 435 the Raton Basin. Because these intraplate data sets contain relatively few examples of dynamic 436 triggering, we also apply the algorithm to a plate boundary data set of dynamic triggering 437 collected in central California (Chao et al. 2012) (Figure 9). We evaluate and assign values for 438 the following set of attributes to each seismogram: PGVZ (estimated peak stress inferred from 439 the peak ground velocity estimated from vertical component seismograms), PGVT (estimated 440 peak stress inferred from the peak ground velocity estimated from transverse component 441 seismograms), TOD (local time of the day of surface wave arrival normalized to 0 being 442 around midnight and 1 being around midday), TIDE (vertical ground velocity resulting from 443 solid-Earth tides computed with the method of Milbert (2015), BAZ180 (back azimuth relative to 150°, and its 180° counterpart, $baz 180 = cos^2(b-150)$, where b is the back azimuth), and 444 445 BAZ90 (back azimuth relative to 150° , and its 90° counterparts, $baz90 = cos^{2}(2(b-150))$). We 446 estimated a reference back azimuth of 150° based on Figures 6 and 9b. As a quality-control 447 measure, we also calculated MGVZ and MGVT, where the M stands for root-mean-square 448 (RMS) of estimated stresses. The MGV values are reasonably well correlated with the peak 449 stress estimates.

450

451 4.3 Decision-tree results on prevailing conditions for dynamic triggering of tremor in
452 Yellowstone.

453 We remind the reader that station H17A recorded 28 of the 38 selected teleseismic 454 earthquakes. We detected tremor in the seismogram from earthquake #20, and potentially in 455 seismograms from earthquakes #12, #21, and #37. Although this tremor occurred during the 456 propagation of these earthquakes' surface waves, we can neither be sure that it was 457 dynamically triggered nor that it is tectonic in origin. Regardless, we applied our decision tree 458 algorithm to investigate whether conditions existed for these four earthquakes (positive 459 examples) that were different than for the other 24 (negative examples). The resulting tree is 460 shown in Figure 10.

The first split in the tree removes 20 of 24 negative examples from the rest because they had PGVT values below 11.6 kPa, suggesting that stresses imposed by Love waves play a decisive role in triggering tremor here, whichever its nature. At the next node in the tree, the algorithm cleanly separates the remaining 4 negative examples from the 4 positive examples by noting that surface waves for the positive examples arrive within 12° from the maxima of a 4-lobed back-azimuthal pattern, while the negative examples are associated with more diverse back azimuths that more than 12° away from the lobes' maxima (Figure 6).

468

469 4.4 Decision-tree results on prevailing conditions for dynamic triggering of local 470 earthquakes in central Utah

471 Station SRU recorded 34 of the 38 teleseismic earthquakes. We detected local earthquake
472 signals in seismograms from earthquakes #21, #24, #8, #9, #10, #13, and #30. For the latter
473 five earthquake-like signals were also observed outside of the teleseismic surface wave
474 window.

The local earthquake potentially triggered by earthquake #8 was observed only in data from station SRU. Because its signals were not observed at other stations, we did not include earthquake #8's seismogram in the decision tree algorithm. We applied our decision tree algorithm to investigate whether conditions existed for the remaining 6 earthquakes (positive examples) that were different than for the other 27 (negative examples). The resulting tree is shown in part in Figure 10 and the complete tree is shown in Figure S10.

481 The first split in the tree removes 8 negative examples from the 33 total examples based 482 on them having peak stresses above 6 kPa as estimated from Rayleigh waves ("vertical 483 stresses") than the 6 positive and 19 of the negative examples. Of the latter, 6 more negative 484 examples are separated out based on their surface-wave arrival azimuths being more than 21° 485 away from the NW-SE axis along which the positive and remaining 13 negative examples' 486 surface waves arrive. The tree subsequently separates out another couple of small batches of 487 negative examples before it loses the ability to keep the positive examples together, further 488 splitting them in subsequent nodes. Moreover, the excluded example (#8) has a back azimuth 489 65° from the reference azimuth. Including example #8 in the algorithm uses different attributes 490 at different nodes in the tree to split off small batches of negative examples, also without 491 keeping the positive ones together. This decision tree result shows that the positive examples 492 do not share attributes, such as estimates of peak stress, that distinguish them from negative 493 examples.

The most interesting finding is then perhaps that peak or RMS stress estimates are not helpful for characterizing under which conditions local earthquakes are triggered here. This implies that either prevailing conditions for dynamic earthquake triggering are independent of dynamic stresses or our detections are of coincident local earthquakes rather than of dynamically triggered local earthquakes. As discussed in section 3.6, the background seismicity implies a 3% chance of a local earthquake occurring in a surface wave window, which would provide us with about 1 detection for 33 earthquakes, yet we detected 2 clear examples and 5 additional possible examples of triggering. We also deem it unlikely that our detections represent quarry blasts or other anthropogenic events, given that stone-producing quarries are far, and the TOD attribute did not factor into the decision tree as a prevailing factor.

505

506 4.5 Decision-tree results on prevailing conditions for dynamic triggering of local 507 earthquakes in the Raton Basin, Colorado

508 Station SDCO recorded all 38 teleseismic earthquakes. We detected local earthquake 509 signals in seismograms from earthquakes #21, #23, and #24, where the latter was observed 510 only in data from station SDCO. Because this signal was not observed at other stations, we did 511 not include #24 in the decision tree algorithm. With only 2 positive examples it is not possible 512 to determine statistical significance. However, we note that the 2 positive examples both 513 occurred in 2012 and share a relatively nightly surface wave arrival time as well as a tidal 514 extremum (~ 0 vertical velocity) and have opposite back azimuths that outline the same back 515 azimuth axis as the positive examples in central Utah. The decision tree algorithm (Figure 10 516 and S11) used the latter to remove 27 of the 35 negative examples from the set based on their 517 back azimuths being more than 5° away from the preferred axis. However, 8 negative examples 518 also fall along the preferred axis, and the example that was excluded (#24) is 8° away from it. 519 Peak or RMS stress estimates do not enter into the decision tree, again implying that prevailing 520 conditions for dynamic earthquake triggering are independent of dynamic stresses here.

23

521

4.6 Decision-tree results on prevailing conditions for dynamic triggering or tremor in central California

524 While the decision tree algorithm revealed interesting notions and successfully examined 525 prevailing conditions for the detections in the three afore-discussed intraplate regions, 526 dynamically triggered activity there is not sufficiently frequent to draw authoritative 527 conclusions. Therefore, we also applied the algorithm to a larger data set of dynamically 528 triggered tremor observed in Parkfield, central California, where the San Andreas Fault marks 529 a historic segment of the western North-American plate boundary. The observed tremor was 530 dynamically triggered by surface waves from 42 teleseismic earthquakes that occurred 531 between 2001 and 2010 (Table S1 of Chao et al., 2012, see "Data and Resource" for detail 532 table link). Of these 42 earthquakes (Table S1), 12 dynamically triggered tremor in central 533 California. Previous studies (Chao et al., 2012; Peng at al., 2009, Kano et al., 2018) suggested 534 that station PKD can be used as an indicator station for detecting triggered tremor, so we use 535 this station to calculate attributes for all 42 earthquakes. We used the same set of attributes as 536 used above, which includes dynamic stresses estimated from the vertical and transverse 537 components of seismograms recorded at station PKD as well as other potentially relevant 538 attributes: the solid-earth tide, back azimuth, and the time of day. The resulting tree is shown in 539 Figure 9.

The first split in the tree separates all 12 positive examples from the vast majority (26) of negative ones based on the positive examples all having peak "transverse stress" (PGVT) values over 1.7 kPa. Nine of the 12 positive examples also have peak "vertical stress" (PGVZ) values over 3 kPa. This shows a strong correlation between peak dynamic stress estimates and 544 dynamic triggering of tremor in central California. No other attributes were needed for the 545 decision tree's determination of these prevailing conditions, with the exception of the TOD 546 attribute which separates the remaining 3 positive examples from the 4 negative examples. 547 Both these subsets have high PGVT values, on the low end of the range, and low PGVZ values, 548 on the high end of the range. This separation by TOD value shows that the 4 negative examples 549 occurred during local day time and the positive examples occurred during local night times. 550 Combined with the observation that these negative examples have stress attribute values close 551 to the thresholds, this might suggest that higher noise levels during the day (Marcillo and 552 *McCarthy*, 2020) may preclude the observation of modest amounts of dynamically triggered 553 tremor, that would have been detectable at night. In addition, the positive and negative 554 examples in this stress range are just within and just outside of, respectively, a 15° angle from 555 the azimuthal axes of a four-lobed pattern (Figure 9), with a similar orientation as that for 556 Yellowstone. If we had not included the TOD attribute, or used the actual strike of the San 557 Andres Fault (*Eaton et al.*, 1970) as a reference back azimuth, the decision tree algorithm 558 would have used the associated BAZ90 attribute value to separate the positive and negative 559 sets in this intermediate stress range.

In short, the decision tree results show that transverse-component estimates of peak dynamic stress is the most decisive factor as to whether seismograms in the central California dataset contain triggered tremor. Prevailing conditions for dynamic triggering of tremor here include peak stresses exceeding about 1.5 kPa. When peak stresses just merely exceed that threshold, secondary factors like surface wave angle of incidence and time of day of the detection also seem to matter. Back azimuths favorable for triggering form a 4-lobed pattern aligned with the San Andreas Fault, which is an important observation previously recognizedand explained by Hill et al. (2013).

- 568
- 569 4.7 Overall Decision-Tree Results

570 Our application of the decision tree algorithm to the larger tremor data set from central 571 California (*Chao et al.*, 2012) shows that the algorithm has powerful potential for revealing the 572 most decisive conditions for dynamically triggering tremor in a particular region. Decision tree 573 algorithms will be particularly useful as both our attribute sets and data sets of detections of 574 dynamically triggered seismicity continue to grow. We note that California data sets contained 575 over a dozen (negative) examples with peak stresses less than 1 kPa, while merely a couple of 576 intraplate examples associate with such relatively low peak stresses on account of the different 577 data selection criteria used. Including more such "low-stress" data, from more moderate 578 earthquakes, for the intraplate regions might paint a clearer picture of the nature of the 579 conditions that lead to dynamic triggering there. The main results of applying the algorithm to 580 current data from one plate-boundary and three intraplate regions are as follows.

581 For potentially dynamically triggered local earthquakes in both central Utah and the 582 Raton Basin it is possible that triggering is facilitated when surface waves arrive from two 583 favorable back azimuths, 180° apart, though our detections are nowhere near numerous enough 584 to claim statistical significance. Back azimuth might also contribute to triggering tremor in 585 Yellowstone and central California, as a secondary factor after dynamic stresses, and include 586 back azimuths at right angles from the NW-SE axis identified for triggering local earthquakes. 587 High stresses imposed by Love waves appear to be decisive for triggering *tremor* in both 588 the Yellowstone region and Central California (Figures. 9 and 10), which is consistent with

26

589 much of the literature on dynamic triggering. However, the triggering threshold appears to be 590 an order of magnitude higher for Yellowstone than for central California. Meanwhile, our 591 analysis of potentially dynamically triggered local *earthquakes* in Colorado and Utah shows 592 that these earthquakes occur independently of peak stress values estimated from surface waves.

593

594 **5. Discussion**

595 In a search for seismic events, possibly triggered by Love waves from a powerful 596 teleseismic earthquake, in all of the conterminous United States, we confirmed the notion that 597 seismic events are predominantly triggered in regions of high tectonic and seismic activity (the 598 westernmost boundary of the North American tectonic plate). Within USArray data from 599 earthquake #21 (2012 M_w 8.6 Sumatra), we did not find signals of triggered seismic events in 600 the central and eastern USA. Consistent with these end-member findings of lots of triggered 601 activity along the west coast and little in tectonically stable North America, we found a small 602 number of seismic events, possibly triggered by earthquake #21 and other teleseismic 603 earthquakes, in three locations in the western-US interior that are less seismically active than 604 the westernmost plate boundary. Specifically, we newly detected up to four potentially 605 triggered tremor bursts in Yellowstone, up to seven potentially triggered earthquakes in Utah, 606 as well as three potentially triggered earthquakes in the Raton Basin, Colorado from an 607 examination of seismograms from 38 large teleseismic earthquakes (Table 1). A decision-tree 608 algorithm determined stress thresholds of 1.7 and 11.6 kPa for triggering tremor in central 609 California and Yellowstone, respectively. While the California tremor is likely tectonic in 610 nature, the Yellowstone tremor may not be. The California threshold is consistent with prior 611 reports of stresses for which triggering has been reported (*Peng and Gomberg*, 2010; *Brodsky* *and van der Elst*, 2014). Hill et al. (2013) suggest that, specifically for the San Andreas Fault near Parkfield, CA, Rayleigh waves modulate tremor via pore pressure fluctuations, but that the fault slip associated with the tremor is caused by SH and Love waves polarized largely perpendicular or parallel to the San Andreas Fault. Figure 9 confirms this notion and shows that the back azimuths for earthquakes that triggered tremor are either somewhat aligned or at right angles with the San Andreas Fault's strike.

618 Dynamically triggered events are hard to detect in raw seismograms, their identification 619 can be negatively affected by various types of noise, including anthropogenic seismic noise 620 (Diaz et al., 2017; Marcillo and McCarthy, 2020) and instrumental quirks or adjustments, such 621 as mass centerings or calibrations, or might coincide with, large earthquakes' surface waves, 622 rather than be triggered by them. For example, upon first examination, we observed two 623 candidate triggered earthquakes in Minnesota after earthquake #21. A subsequent closer 624 inspection did not reject the candidate triggered events since the signals shared characteristics 625 with triggered earthquake signals. However, after inspection of hours and days of seismograms 626 before and after the earthquake, we rejected both candidates because a multitude of similar 627 signals, possibly from anthropogenic events, implied a high likelihood for one of these events 628 coinciding with the earthquake's surface waves by chance. Through the use of visual 629 inspection in addition to timing- and frequency-based selection criteria for these seismic 630 phenomena, our search yielded numerous false positives, illustrating the challenge posed by 631 moving from *ad-hoc* observations of dynamic triggering to a systematic search that also 632 includes a catalog of teleseismic events that did not dynamically trigger other events, even 633 when large stress variations were supplied.

| 634 | Table 1 presents the 38 teleseismic earthquakes used in our study, up to 11 of which | | | | | | |
|-----|--|--|--|--|--|--|--|
| 635 | produced potentially triggered events in three western intraplate regions. Our observations, | | | | | | |
| 636 | analyses, and decision-tree results confirm the greater likelihood for triggered tremor from | | | | | | |
| 637 | high dynamic stress surface waves, as reported in the literature. Our results also indicate that | | | | | | |
| 638 | triggered earthquakes are not positively correlated with high dynamic stress surface waves, in | | | | | | |
| 639 | agreement with (Wang et al., 2018). On the contrary, our analysis shows that back-azimuth | | | | | | |
| 640 | appears to be an important factor, for both earthquakes and tremor, in whether dynamic | | | | | | |
| 641 | triggering occurs or not. A large number of surface waves (Table S2) with favorable | | | | | | |
| 642 | back-azimuths (Figure 6), are not associated with triggering, which argues for future | | | | | | |
| 643 | multi-attribute analyses, including stress values estimated at depth within the crust, all | | | | | | |
| 644 | components of the dynamic stress tensors from largely coeval Love and Rayleigh waves, and | | | | | | |
| 645 | how dynamic stress tensors translate to stress quantities other than peak stress estimates that | | | | | | |
| 646 | matter to faulting. | | | | | | |
| 647 | The application of a decision-tree machine-learning algorithm to an existing and a new | | | | | | |
| 648 | data set of likely triggered events has provided us with several insights: | | | | | | |
| 649 | 1. Prevailing conditions for triggering tremor in central California and Yellowstone | | | | | | |
| 650 | decisively include peak stresses estimated from Love waves, | | | | | | |
| 651 | 2. The stress threshold for triggering tremor in central California is just under 2 | | | | | | |
| 652 | kPa, | | | | | | |
| 653 | 3. The stress threshold for triggering tremor in Yellowstone is just over 10 kPa, | | | | | | |
| 654 | 4. The arrival azimuth of surface waves appears to be important in whether surface | | | | | | |
| 655 | waves can trigger local tremor or earthquakes, | | | | | | |
| 656 | 5. Peak dynamic stress values do not appear to be important for triggering local | | | | | | |

657 earthquakes, at least in Utah and Colorado,

6. To unleash the full power of decision tree algorithms, more data are needed that
provide examples of both the occurrence *and* absence of dynamic triggering
under different conditions at plate boundaries and in intraplate regions.

661

662 **6.** Conclusions

663 Reports about dynamically triggered seismic events are regularly published in the 664 professional literature (Freed, 2005; Gonzalez-Huizar et al., 2012; Aiken and Peng, 2014; Yao 665 et al., 2015; Johnson et al., 2015; Bansal et al., 2016; Bansal et al., 2018; Opris et al., 2018; 666 Prejean and Hill, 2018; Wang et al., 2018), yet many aspects about the physical mechanisms 667 leading to such triggering remain elusive. Documenting instances of dynamically triggered 668 seismic events and the conditions under which they occur and not occur provide us with data to 669 illuminate some of these aspects. In this paper we approached this challenge from multiple 670 different perspectives:

 We used a decision tree algorithm and rose diagrams (Figures 6, 9, and 10) to determine that the back-azimuth of surface waves could be an important factor in dynamic triggering.
 The algorithm further showed that dynamic stresses from Love waves are, as expected by practitioners, the most important attribute for triggering tectonic tremor in central California and Yellowstone.

676 3. We examined each seismogram recorded anywhere in the conterminous US of the 11 April 677 $2012 M_w 8.6$ Sumatra earthquake as to whether a dynamically triggered seismic event was 678 recorded. We did not find any such events in the central and eastern USA. However, we 679 found several dozens of records of dynamically triggered events along the western edge of680 the North American Plate, which align with previous reports in the literature.

- 4. Dynamically triggered tremor was newly detected in the Yellowstone hotspot region,
 which could be hydrothermal in origin, and dynamically triggered earthquakes were newly
 detected in central Utah and southeastern Colorado, near the Raton Basin.
- 5. Our experiments with automating such detections have so far been thwarted by
 instrumental quirks and adjustments, anthropogenic noise, and/or signal-generated noise.
 We detected a sizable number of "false triggers" during the examinations discussed in this
 paper. A "false trigger" is a seismic record that looks like a record of tremor or an
 earthquake but is rather a record of one of the above-listed noise signals.
- 6. We examined seismograms from 37 additional worldwide earthquakes that were recorded
 near Yellowstone, central Utah, and the Raton Basin. This examination identified
 additional possibly dynamically triggered seismic events in these three regions.
- 692 7. Application of the aforementioned decision tree further revealed that peak dynamic stresses
 693 estimated from teleseismic surface waves does not appear to correlate with whether or not a
 694 local earthquake is triggered.

695

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703 All seismic data were downloaded through the IRIS Wilber 3 system 704 (http://ds.iris.edu/wilber3/find event) or IRIS Web Services, including the following seismic 705 networks (http://ds.iris.edu/mda): (1) the AZ (ANZA; UC San Diego, 1982); (2) the TA 706 (Transportable Array; IRIS, 2003); (3) the US (USNSN, Albuquerque, 1990); (4) the IU 707 (GSN; Albuquerque, 1988); (5) the BK (BDSN; NCEDC, 2014); (6) the CI (SCSN; California, 708 1926); (7) the XI (SPREE; Van der Lee et al., 2011); (8) the II (GSN; SIO, 1986); (9) the NN 709 (Nevada, 1971); (10) the UO (U. of Oregon; UOPNSN, 1990); (11) the UW (PNSN, 1963); (12) 710 the YW (FACES; Brudzinski and Allen, 2007); (13) the Z9 (SESAME; Fischer et al., 2010); 711 (14) the YX (NE-NV BB; Klemperer and Miller, 2010); (15) the XQ (FAME; Levander, 2007); 712 (16) the 7A (MAGIC; Long and Wiita, 2013); (17) the XU (CAFE; Malone et al., 2006); (18) 713 the XR (SIEDCAR; Pulliam et al., 2008); (19) the XO (OIINK; Pavlis and Gilbert, 2011); (20) 714 the XT (IDOR; Russo ,2011); (21) the UU (UURSN; Utah, 1962). 715 The ANSS (Advanced National Seismic System) earthquake catalog can be accessed at

- 716 <u>https://earthquake.usgs.gov/data/comcat/</u>. Solid tide data can be accessed at
- 717 <u>http://geodesyworld.github.io/SOFTS/solid.htm.</u>
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953

954 Figure Captions

955 Figure 1. Examples of dynamic triggering of triggered tremor (a) and triggered earthquakes (b) 956 during the surface wave trains of earthquakes #20 (20 March 2012 M_w 7.5 Mexico) and #24 (05 957 September 2012 M_w 7.6 Costa Rica), respectively. From bottom to top: Raw, 958 vertical-component seismogram; Same as in bottom frame but zoomed in to the 959 window; Spectrogram pink-highlighted time of the band-passed zoomed-in, 960 vertical-component seismogram, the band's corner frequencies are 2 and 8 Hz; the top three 961 frames are band-passed vertical-, north-, and east-component seismograms of the zoomed-in 962 time window. Y-axis units are in m/s for band-passed waveforms and counts for raw data.

963

964 Figure 2. Zoomed-out (a) and zoomed-in (b) snapshots of CrazyTremor, a MATLAB 965 GUI-based software package for finding and locating triggered events (Chao and Yu, 2018). 966 Seismograms shown from 8 nearby stations in Utah include seismic waves from the 11 April 967 2012 $M_w 8.6$ Sumatra earthquake and a local, potentially triggered earthquake. Seismograms 968 are from the University of Utah Regional Seismic Network, which was virtually part of 969 USArray. Frames, clockwise from top left: CrazyTremor menu determining which type of 970 seismogram data are shown in the top center frame; seismograms from multiple seismic 971 stations during the same time window (station names are indicated to the top right of this frame; 972 the user can pick P and S arrival times in this window with their mouse); map of stations

973 (triangles) and local-earthquake epicenter (black star); Map parameter menu; Figure and data
974 loading menu; Raw data from one station (SRU); Menu for determining which type of
975 seismogram data are shown in bottom center frame. Local triggered earthquakes appear on the
976 2–8 Hz band-pass filtered seismograms at ~5420 seconds. The bottom panel shows a
977 seismogram of station SRU (red triangle).

978

Figure 3. Top: Map of USArray and affiliated stations (triangles) that recorded earthquake #21
(11 April 2012 M_w8.6 Sumatra). Green-colored stations recorded signals from triggered events,
all other-colored stations did not. Bottom: Seismogram panels with the same layout as in
Figure 1, showing data from each of the three intraplate regions where we report potentially
triggered local events: tremor in Yellowstone (left), and earthquakes in Utah (center) and the
Raton Basin, Colorado (right).

985

Figure 4. Map of epicenters of 38 large triggering earthquakes (green stars) and stations (triangles) whose data we examined for triggered events. Blue stations recorded at least one potentially triggered earthquake. The red station (H17A) recorded at least one potentially triggered tremor. Nearby yellow stations did not show signals from triggered events above the noise level. Epicenters with red outlines are associated with potential triggering in at least one of three intraplate locations: Yellowstone, Utah, and the Raton Basin, Colorado.

993 Figure 5. Map of earthquake (grey circles) from 2004 to 2017 in Yellowstone, Utah, and 994 Colorado from the USGS (<u>https://earthquake.usgs.gov/</u>). The size of the grey circles depends 995 on the magnitude of the earthquake. Red triangles are stations for which we detected at least

| 996 | one potentially triggered local event. Green stars represent epicenters of the local earthquake |
|-----|---|
| 997 | potentially triggered by surface waves from the teleseismic earthquakes in Table 3 and 4. |
| 998 | |

Figure 6. Distribution of studied events, as a function of back azimuth. Top: Yellowstone, represented by station H17A; Middle: central Utah, represented by station SRU; Bottom: Raton Basin, Colorado, represented by station SDCO. Each bar represents a large global earthquake, with bar length proportional to the estimated peak dynamic stress (in kPa) inferred from Rayleigh waves (left) and Love waves (right), and bar color representing whether a local event was likely triggered (blue & green), maybe triggered (cyan), or not triggered (red & orange).

1006

Figure 7. Seismograms of earthquake #21 (11 April 2012 Mw8.6 Sumatra). The layout is the same as in Figure 1, except the top three frames are replaced by a multi-frame panel that represents band-passed vertical component seismograms from a group of nearby stations that all recorded a potentially triggered earthquake in central Utah.

1011

1012**Figure 8.** Seismograms of possible triggered earthquakes in Colorado following the 11 April10132012 M_w 8.6 Sumatra earthquake. Blue seismograms and the spectrogram show the repetitive1014station SDCO and black seismograms are represented records from nearby stations.1015Seismograms of earthquake #21 (11 April 2012 M_w 8.6 Sumatra). The layout is the same as in1016Figure 7. The seismograms shown are from a group of stations that all recorded a potentially1017triggered earthquake in the Raton Basin, Colorado.

1019 Figure 9. Results from the decision-tree algorithm described in the text applied to a known 1020 distribution of global earthquakes whose surface waves either did or did not trigger local 1021 tremor in central California (Chao et al., 2012) (a) and the distribution of these earthquakes as 1022 a function of azimuth (b). Bar length and color as in Figure 6. Decision-tree attribute names "PGVZ", "PGVT", and "TOD" are defined in the text. The branches' "entropy" is labeled "E", 1023 1024 a value of 0 means that all members of that branch belong to the same class and a value near 1 1025 means that the members of that branch are about evenly split between two classes: triggered 1026 (green numbers) and not triggered (red numbers).

1027

1028 Figure 10. Decision-tree results for the three intraplate regions: Yellowstone, represented by

1029 station H17A (a), central Utah, represented by station SRU (b) and the Raton Basin, Colorado,

1030 represented by station SDCO (c). Complete trees for the latter two, including less-decisive

1031 branches, are provided in Figures S10 and S11. "Entropy", colored numbers, and attribute

- 1032 names are as in Figure 9. Additional decision-tree attribute names "BAZ90", "BAZ180", and
- 1033 "TIDE" are defined in the text.

Figure 1.

(a) quake#20



(b) quake#24

Figure 2.

(a) Tirggered earthquake in Utah following the 2012/04/11 Mw8.6 event



(b) Zoom-in seismograms of the triggered earthquake



Figure 3.



Figure 4.



Figure 5.



Figure 6.





Figure 7.

quake#21









- 3.5 - 3.0 - 2.5 - 2.0 - 1.5 - 1.0 - 0.5 Figure 8.

quake#21





Figure 9.





Figure 10.



Table 1.

Origin times and hypocenters of 38 earthquakes with $M_w >= 7.0$, along with whether their surface waves potentially triggered tremor in Yellowstone (H17A) or local earthquakes in central Utah (SRU) or the Raton Basin (SDCO). Yes: a local triggered event was identified; Maybe: a potentially triggered event was identified; **Yes/Maybe** (bold): this label was used in the decision tree algorithm; x: no events were identified; N/A: no data available.

| # | Date & | Longitude | Latitude | Depth | Mu | H17A | SRU | SDCO |
|----|-------------|-----------|----------|----------|--------|--------|-------|-------|
| π | origin time | (°) | (°) | (km) | 101 00 | tremor | quake | quake |
| 1 | 2004/12/23 | 1 (1 25 | 40.01 | 27.5 | 0.1 | | | |
| 1. | 14:59:30.9 | 161.25 | -49.91 | 27.5 | 8.1 | N/A | Х | X |
| | 2004/12/26 | 01.00 | 2.00 | | 0.0 | | | |
| 2. | 01:01:09.0 | 94.26 | 3.09 | 28.6 | 9.0 | N/A | Х | X |
| 2 | 2005/03/28 | | 1.67 | 25.9 | 9.6 | | | |
| 3. | 16:10:31.5 | 97.07 | 1.67 2 | 25.8 | 8.6 | N/A | N/A | Х |
| 4 | 2006/04/20 | | | 12.0 7.6 | | | | |
| 4. | 23:25:17.6 | 167.05 | 60.89 | 12.0 | 7.6 | N/A | Х | X |
| ~ | 2006/05/03 | 172 47 | 20.20 | (7.9 | | | | |
| э. | 15:27:03.7 | -1/3.4/ | -20.39 | 67.8 | 8.0 | N/A | N/A | X |
| | 2006/11/15 | | 46.71 | 13.5 8 | 8.3 | N/A | x | X |
| 6. | 11:15:08.0 | 154.33 | | | | | | |
| 7. | 2007/01/13 | 154.0 | 46.17 | 12.0 | 0.1 | | | |
| | 04:23:48.1 | 154.8 | 40.1/ 12 | 12.0 0.1 | IN/A | X | X | |
| 8. | 2007/04/01 | 156.34 | -7.79 | 14.1 | 8.1 | N/A | Maybe | Х |

| | 20:40:38.9 | | | | | | | |
|-----|------------|----------|--------|------|------------|-------|-------|---|
| 0 | 2007/08/15 | 77.04 | -13 73 | 77.0 | | | | |
| 9. | 23:41:57.9 | -//.04 | -13.75 | 33.8 | 8.0 | IN/A | мауре | Х |
| 10 | 2007/09/12 | 100.00 | 2 79 | 24.4 | 9 5 | NI/A | Mayba | V |
| 10. | 11:11:15.6 | 100.39 | -3.78 | 24.4 | 0.5 | N/A | Maybe | А |
| 11 | 2007/11/14 | 70.62 | 22.64 | 37.6 | 77 | v | v | V |
| 11. | 15:41:11.2 | -70.02 | -22.04 | 57.0 | 1.1 | Х | Х | Х |
| 12 | 2008/05/12 | 104 10 | 21 44 | 17.0 | 7.0 | Mayba | | |
| 12. | 06:28:40.4 | 104.10 | 31.44 | 12.0 | 7.9 | мауре | X | Х |
| 12 | 2009/05/28 | 07 17 | 16 5 | 20.0 | 73 | v | Mayba | V |
| 13. | 08:25:04.8 | -0/.1/ | 10.5 | 29.0 | 7.5 | Х | мауре | Х |
| 14 | 2009/09/29 | -171.97 | 15 13 | 18.5 | Q 1 | v | v | v |
| 14. | 17:48:26.8 | | -15.15 | 10.5 | 0.1 | | | |
| 15 | 2009/10/07 | 166.01 | -11.86 | 41.7 | 78 | v | v | v |
| 13. | 22:19:15.3 | 100.01 | -11.00 | 41.7 | 7.0 | | | Α |
| 16 | 2010/02/27 | 72 03 | 36.15 | 28.1 | 88 | v | v | v |
| 10. | 06:34:13.0 | -12.95 | -30.15 | 20.1 | 0.0 | | | Α |
| 17 | 2010/04/04 | 115 30 | 30 31 | 12.8 | 7.2 | v | v | v |
| 17. | 22:41:09.2 | -115.59 | 52.51 | 12.0 | 1.2 | х | Χ | А |
| 10 | 2011/03/11 | 142.05 | 27 50 | 20.0 | 0.1 | v | Y | v |
| 10. | 05:47:32.8 | 143.05 3 | 57.52 | 20.0 | 9.1 | Х | X | Х |
| 10 | 2011/06/24 | 171 77 | 52.00 | 74.0 | 72 | v | v | V |
| 19. | 03:09:51.5 | -171.77 | 32.09 | /4.2 | 1.5 | X | X | Х |

| 20. | 2012/03/20 | -98.39 | 16.6 | 15.4 | 7.5 | Yes | х | X |
|------|------------|--------------|--------|------|------|-------|-----|-----|
| 21. | 2012/04/11 | 92.82 | 2 35 | 45.6 | 86 | Mayha | Ves | Yes |
| | 08:39:31.4 | | | | | · · | | |
| 22. | 2012/04/12 | -112.76 | 28.57 | 15.8 | 7.0 | X | X | Х |
| | 07:16:04.6 | | | | | | | |
| 23. | 2012/08/27 | -89.17 | 12.02 | 12.0 | 7.3 | x | x | Ves |
| | 04:34:39.5 | | | | 1.00 | | ~ | 105 |
| 24 | 2012/09/05 | 95.64 | 10.00 | 29.7 | 7.6 | Y | Ves | Ves |
| 2-11 | 14:42:23.3 | 02104 | 10.00 | _>.1 | 7.0 | Α | 105 | 105 |
| 25. | 2012/10/28 | -132.06 | 52 61 | 12.0 | 7.8 | x | Y | Y |
| | 03:04:37.2 | | 52.01 | 12.0 | 7.0 | A | Α | Α |
| 26. | 2012/11/07 | -92 /3 | 14.11 | 21.3 | 7.4 | X | X | Х |
| | 16:35:56.3 | | | | | | | |
| 27. | 2013/01/05 | -134.97 | 55.69 | 13.8 | 7.5 | X | N/A | X |
| | 08:58:31.5 | | | | | | | |
| 28. | 2013/02/06 | 165.21 | -11.18 | 20.2 | 7.9 | x | x | x |
| | 01:12:55.0 | | | | | | | |
| 29 | 2014/04/01 | -70.81 | -19 70 | 21.6 | 8.1 | x | x | x |
| 27. | 23:47:31.5 | 70.01 | 17.70 | 21.0 | 0.1 | Α | Α | Α |
| 20 | 2014/04/03 | 7 0 (| 20.42 | 20 7 | | | | |
| 30. | 02:43:35.9 | -70.6 | -20.43 | 28.7 | 1.1 | X | | X |
| 31. | 2014/04/18 | -101.25 | 17.55 | 18.9 | 7.3 | х | N/A | Х |

| | 14:27:36.0 | | | | | | | |
|-----|------------|--------------|--------|----------|----------|-------|---|---|
| 20 | 2014/10/14 | 00 15 | 10.22 | 40.0 | | | | |
| 52. | 03:51:43.7 | -00.43 | 12.33 | 40.8 | 7.5 | Х | Х | Х |
| 22 | 2015/09/16 | 72.00 | 21.12 | 17 4 | 0.2 | | | |
| 55. | 22:55:22.9 | -72.09 | -31.13 | 17.4 | 8.3 | X | X | Х |
| 24 | 2016/04/16 | | | | | | | |
| 54. | 23:58:57.0 | -80.25 | -0.12 | 22.3 | 7.8 | Х | Х | Х |
| 25 | 2016/12/17 | 152 76 | 5 5 5 | 50.9 | 7.0 | | | |
| 55. | 10:51:56.3 | 155.70 | -3.33 | 52.8 | 7.9 | X | X | Х |
| 26 | 2017/07/17 | 160 79 | 54.12 | 22.2 | | | | |
| 30. | 23:34:57.7 | 109.78 | 34.15 | 25.2 | 1.1 | Х | Х | Х |
| 27 | 2017/09/08 | 04.62 | 15 24 | 50.2 | 0 7 | Mayba | v | V |
| 57. | 04:49:44.2 | -74.02 13.34 | 50.2 | 50.2 0.2 | 11109.00 | Χ | Λ | |
| 20 | 2017/09/19 | 08.62 | 19 51 | 507 | 7 1 | v | v | v |
| 30. | 18:14:47.1 | -98.02 | 10.31 | 32.1 | /.1 | Х | Х | Х |

Table 2. List of names of nearby station (right column) that recorded tremor in the

 Yellowstone region that was potentially triggered by some of the earthquakes from Table 1

 (left column).

| # | Date & origin time | Stations |
|-----|-----------------------|----------|
| 12. | 2008/05/12 06:28:40.4 | H17A |
| 20. | 2012/03/20 18:02:54.9 | H17A |
| 21. | 2012/04/11 08:39:31.4 | H17A |

| 37. | 2017/09/08 04:49:44.2 | H17A |
|-----|-----------------------|------|
| 1 | | |

Table 3. List of names of nearby station (right column) that recorded local earthquakes in central Utah that was potentially triggered by some of the earthquakes from Table 1 (left column).

| # | Date & origin time | Stations |
|-----|-----------------------|---|
| 8. | 2007/04/01 20:40:38.9 | SRU |
| 9. | 2007/08/15 23:41:57.9 | SRU, P14A, Q14A, P16A, Q16A, P17A, R17A, Q18A |
| 10. | 2007/09/12 11:11:15.6 | SRU, TMU, Q18A, Q16A, P18A, ROA, P17A, DBD |
| 13. | 2009/05/28 08:25:04.8 | SRU, Q16A, P18A, S18A, P19A, O19A, DUG, Q20A, |
| | | R20A, O20A, S20A, N20A, N21A, N22A, R24A |
| 21. | 2012/04/11 08:39:31.4 | SRU, TMU, CVRU, BCE, PNSU, ROA, DCM, ARGU |
| 24. | 2012/09/05 14:42:23.3 | SRU, ARGU, DCM, PNSU |
| 30. | 2014/04/02 02:42:25 0 | SRU, ARGU, CVRU, BCE, ROA, BCW, DCM, TMU, |
| | 2014/04/03 02:43:35.9 | EMU, SNO |

Table 4. List of names of nearby station (right column) that recorded local earthquakes in the

 Raton Basin, Colorado, that was potentially triggered by some of the earthquakes from Table

 1 (left column).

| # | Date & origin time | Stations |
|-----|---------------------------|---------------------------------------|
| 21 | 21. 2012/04/11 08:39:31.4 | SDCO, T25A, Q24A, S22A, XTOCO, HGTCO, |
| 21. | | LVTCO |
| | | SDCO, T25A, S22A, Q24A, ANMO, TASL, TASM, |
|-----|-----------------------|---|
| 23. | 2012/08/27 04:34:39.5 | KSCO, MVCO, ISCO, AMTX, MSTX, CBKS, OGNE, |
| | | MNTX, WMOK |
| 24. | 2012/09/05 14:42:23.3 | SDCO |