A Spatially Variable Time Series of Sea Level Change Due to Artificial Water Impoundment

William Hawley¹, Carling Hay², Jerry Mitrovica³, and Robert Kopp⁴

¹Berkeley Seismology Lab ²Boston College ³Harvard University ⁴Rutgers University

November 23, 2022

Abstract

The artificial impoundment of water behind dams causes global mean sea level (GMSL) to fall as reservoirs fill, but also generates a local rise in sea level due to the increased mass in the reservoir and the crustal deformation this mass induces. To estimate spatiotemporal fluctuations in sea level due to water impoundment, we use a historical data set that includes 6,329 reservoirs completed between 1900 and 2011, as well as projections of 3,565 reservoirs that are expected to be completed by 2040. The GMSL change associated with the historical data (-0.2 mm yr-1 from 1900 - 2011) is consistent with previous studies, but the temporal and spatial resolution allows for local studies that were not previously possible, revealing that some locations experience a sea level rise of as much as 40 mm over less than a decade. Future construction of reservoirs through ~2040 is projected to cause a GMSL fall whose rate is comparable to that of the last century (-0.3 mm yr-1), but with a geographic distribution that will be distinct from the last century, including a rise in sea level in more coastal areas. The analysis of expected construction shows that significant impoundment near coastal communities in the coming decades could enhance the flooding risk already heightened by global sea level rise.

William B. Hawley^{1,2,+}, Carling C. Hay³, Jerry X. Mitrovica⁴, and Robert E. Kopp⁵

¹Department of Earth and Planetary Science, University of California, Berkeley, Berkeley, CA 94720, USA.

²Berkeley Seismological Laboratory, 215 McCone Hall, University of California, Berkeley, Berkeley, CA 94720, USA.

³Department of Earth and Environmental Sciences, Boston College, Chestnut Hill, MA 02467, USA.

⁴Department of Earth and Planetary Sciences, Harvard University, 20 Oxford Street, Cambridge, MA, 02138, USA.

⁵Department of Earth and Planetary Sciences and Rutgers Institute of Earth, Ocean, and Atmospheric Sciences, Rutgers University, New Brunswick, NJ 08901, USA

Corresponding author: William Hawley (whawley@ldeo.columbia.edu)

 $^+\mathrm{Now}$ at Lamont-Doherty Earth Observatory of Columbia University, 61 Rte. 9W, Palisades, NY 10964, USA.

Key Points:

• We present a spatially-resolved time series of sea level due to reservoir construction from 1900 – 2040.

- Some locations in the past century have experienced a reservoir-induced rise in sea level that exceeds 40 mm over less than a decade.
- Future dam construction will cause patterns in sea level change that are different from the past, and larger than current projections.

Abstract

The artificial impoundment of water behind dams causes global mean sea level (GMSL) to fall as reservoirs fill, but also generates a local rise in sea level due to the increased mass in the reservoir and the crustal deformation this mass induces. To estimate spatiotemporal fluctuations in sea level due to water impoundment, we use a historical data set that includes 6,329 reservoirs completed between 1900 and 2011, as well as projections of 3,565 reservoirs that are expected to be completed by 2040. The GMSL change associated with the historical data (-0.2 mm yr⁻¹ from 1900 – 2011) is consistent with previous studies, but the temporal and spatial resolution allows for local studies that were not previously possible, revealing that some locations experience a sea level rise of as much as 40 mm over less than a decade. Future construction of reservoirs through ~2040 is projected to cause a GMSL fall whose rate is comparable to that of the last century (-0.3 mm yr⁻¹), but with a geographic distribution that will be distinct from the last century, including a rise in sea level in more coastal areas. The analysis of expected construction shows that significant impoundment near coastal communities in the coming decades could enhance the flooding risk already heightened by global sea level rise.

Plain Language Summary

Filling a reservoir prevents that water from flowing back to the ocean, thus causing sea level to fall. But sea level does not change by the same amount everywhere: the mass of the water in the reservoir causes sea level to rise in locations near the reservoir, but fall in locations that are farther away. We use databases that include the locations and capacities of reservoirs to estimate how constructing reservoirs has changed sea level, and explore how these changes have varied in space and in time. We find that while constructing reservoirs since 1900 has caused sea level to fall on average, there are some locations that experience a dramatic sea level rise, as much as 40 mm, over a short period of time, usually only a few years. As more reservoirs are built, we expect this trend to continue. In fact, reservoirs that are currently being planned—if completed—will generate a sea level rise of a few millimeters in some low-lying coastal areas. This rise would be in addition to the rise in sea level from many other known factors.

1 Introduction

Global and regional changes in sea level are driven by a wide range of processes, including the redistribution of mass from melting glaciers and ice sheets, the continued adjustment of the solid Earth to ice mass changes during the last glacial period, steric expansion of ocean water, ocean circulation changes, and both natural and artificial changes in terrestrial water storage (Cazenave *et al*., 2018; Kopp *et al*., 2015). Proper characterization of each of these different components of the sea level budget is necessary for accurate projections of future changes in sea level. In this context, the role of terrestrial water storage remains underexplored and serves as the focus of the present study. We provide the most complete picture to date of the impact that artificially impounded water has had on sea level over the last century, resolved both spatially and temporally, and an estimate of future sea level changes due to projected reservoir construction.

Chao *et al.* (2008) use the International Commission on Large Dams World Register of Dams (WRD) (www.icold-cigb.org) database to construct an estimate of the global mean sea level (GMSL) change due to the construction of 29,484 reservoirs worldwide. They estimate the total volume of impounded water to be 10,800 km³, corresponding to a GMSL fall of ~0.55 mm yr⁻¹ in the half century prior to their publication. Sea level changes associated with the impoundment of water on land will be geographically variable (Fiedler and Conrad, 2010) and each reservoir will have a unique sea level "fingerprint," or gravitational, rotational, and deformational (GRD) response to mass redistribution (Gregory *et al.*, 2019). The redistribution of water from the ocean to the reservoir will (1) increase the gravitational attraction of the reservoir on the surrounding water and thus raise the local sea surface height, (2) induce a change in Earth's moment of

inertia, and (3) drive local crustal subsidence. Indeed, relative sea level (RSL) will rise within ~2000 km of a reservoir being filled, despite a drop in GMSL, and it will fall by increasing amounts at larger distances from the source of impoundment. The local signal, which can have a peak value an order of magnitude larger than the GMSL change associated with the impoundment, is primarily a result of processes (1) and (3). Calculating the global sea level pattern associated with water impoundment requires knowledge of both the size and location of a reservoir.

The WRD database adopted by Chao *et al.* (2008) includes the largest global tabulation of reservoirs and the most complete estimate of the total volume stored in those reservoirs. However, it does not provide locations for these reservoirs and thus cannot be used to generate maps of the associated sea level change. To compute such a map, Fiedler and Conrad (2010) adopt a dataset (Vörösmarty *et al.*, 1997) that includes the locations of 674 reservoirs currently built and scale their result upwards to match the GMSL cited by Chao *et al.* (2008). We extend their analysis in three ways. First, we make use of a much larger database of reservoirs. Second, we explore both the spatial and temporal patterns of RSL change associated with water impoundment. Finally, we project the signals into the future using a database of planned dam construction (Zarfl *et al.*, 2015).

2 Reservoir Databases

In the present study, we use two different databases of reservoir construction. For the time period 1900 - 2011, we use the Global Reservoir and Dam (GRanD) database (Lehner *et al.*, 2011), which aims to geospatially reference all reservoirs with a capacity of more than 0.1 km^3 . It contains 6,329 reservoirs that were completed after 1900 and reports their location, year of construction, and capacity (Fig. 1a). The total volume of these reservoirs is 5,979 km³, which is less than 8,300 km³, as reported in the WRD (Chao *et al.*, 2008). Time series of integrated water volume impoundment (and equivalent GMSL fall) for the GRanD database is shown in Fig. 2 (blue histogram). Although the GRanD database contains only ~72% of the volume reported in the WRD, we do not scale our total impounded water volume to match the WRD values because the primary purpose of this study is to estimate the spatial variability of sea level, and water impoundment not included in the GRanD database has an unknown geographical distribution.

Hosted file

image1.emf available at https://authorea.com/users/544749/articles/601814-a-spatiallyvariable-time-series-of-sea-level-change-due-to-artificial-water-impoundment

Figure 1. Map of reservoirs and their capacities. Global maps of reservoir locations, with the size of symbols corresponding to (log) capacity, and color corresponding to year of completion. Only larger reservoirs are plotted. (a) shows the GRanD database (Lehner*et al.*, 2011); (b) shows the database of Zarfl *et al.* (2015).

In the GRanD database, standard filling rates suggest that we can assume that the smaller reservoirs filled over the course of a year. However, the same is not true for the largest reservoirs in the database. In the case of the largest nine reservoirs, we use various records of the filling duration or average discharge rate (e.g., Loo, 2007) and assume a steady filling rate. For these nine largest reservoirs, the average volume added per year is approximately 23 km³. The 49 largest reservoirs in GRanD database have a capacity larger than 23 km³, and for all these reservoirs we adopt a filling rate of 23 km³ yr⁻¹. Each reservoir smaller than this is assumed to have filled the year the dam was completed. These filling rates are accounted for in the time series of Fig. 2.

For the future projections, we use a database compiled by Zarfl *et al*. (2015), which includes dams that will be built for hydropower and whose power generation capacity exceeds 1 MW (Fig. 1b). The dataset contains no direct information on the expected impounded volume of each reservoir; however, it lists the planned hydroelectric capacity. We use this value to estimate the impounded volume, following Grill *et al*. (2015):

 $V = (3.19 \text{ x } 10^6 \text{ m}^3 \text{MW}^{-1}) P (1)$

where V is the reservoir volume and P is the power generation capacity. Furthermore, because these dams

were not complete at the time of the publication of Zarfl *et al*. (2015), many do not have an estimated year of completion. Instead, 3,565 reservoirs are listed as being in one of two broad categories, either in the construction phase (n = 501, or 15% of the total) or the planning phase (n = 2894, or 85%). Using these categories, and the equation above, we estimate that the reservoirs under construction will impound 663 km³ and that those being planned will contribute an additional 1,500 km³. Adding these values to results for the GRanD database yields the time series of integrated water volume impoundment shown in the inset to Fig. 2, assuming, following Zarfl*et al.* (2015), that all dams will be completed by the year 2040 (blue histogram).

3 Results

3.1 Temporal Variation in GMSL

Removing 5,979 km³ of water from 1900 to 2011 according to the GRanD database corresponds to a GMSL fall of 16.6 mm, or an average 0.15 mm yr⁻¹. As noted above, these values are 72% of the 23 mm and 0.21 mm yr⁻¹ reported in Chao *et al.* (2008). Impounding the 663 km³ (under construction) and 1,500 km³ (planned) from the Zarfl *et al.* (2015) database will cause a further globally averaged drop in sea level of 1.8 mm and 4.2 mm, respectively, for a total of 6 mm. Thus, over the period 2020 – 2040, the mean GMSL rate associated with water entering reservoirs will likely be -0.3 mm yr^{-1} , which is larger than the average rate that occurred over the past century.

The above numbers will increase when accounting for natural seepage of water into the land surrounding the reservoir. After a reservoir is built, water will slowly seep into the neighboring land. As this occurs, the flow of water into the reservoir continues to recharge it, but the water that seeps from the reservoir remains relatively localized and does not generally reach the ocean, adding to the total impounded water. While the rate of seepage depends on numerous local factors (*e.g.*, Harr, 1962), for simplicity we follow Chao *et al.*(2008) and use a single equation to estimate the seepage rate at each reservoir:

$V(t) = 0.05 V_0 t^{1/2} (2)$

where V_0 is the reported volume of the reservoir, t is the time in years since the water was impounded, and V(t) is the total amount of water that seeps by time t. According to this equation, seepage contributed an estimated 1,878 km³ of water by 2011, for a total impounded volume of 7,857 km³. This corresponds to a total GMSL drop of 21.8 mm from 1900 – 2011 (Fig. 2, red histogram in main frame and inset). By 2040, we estimate that this value will increase to 30.1 mm, assuming for the seepage term that every "under construction" reservoir is completed by 2025, and every "planned" reservoir is completed by 2035. (We make the assumption that, for example, every "planned" reservoir is constructed in the decade 2030 – 2039; assigning every "planned" reservoir to the year 2039 will result in a seepage rate that is too low. We choose a date in the middle of the decade to give the best sense of the GRD fingerprint including seepage.)

Analysis of the GRanD time series indicates that the GMSL time series is characterized by markedly different rates in three distinct time windows: from 1900 to 1949 the volume of impounded water rose gradually; from 1950 to 1979 the rate of water impoundment increased dramatically, and from 1980 to 2011, new construction slowed but seepage became increasingly significant. Predictions from the Zarfl *et al.*(2015) database show a further dramatic increase in water impoundment in the next two decades. Many of these reservoirs will be near the coast (Fig. 1b), so a complete characterization of the effect this impounded water will have on sea level will help refine coastal hazard assessment.

Hosted file

image2.emf available at https://authorea.com/users/544749/articles/601814-a-spatiallyvariable-time-series-of-sea-level-change-due-to-artificial-water-impoundment

Figure 2. Histogram of total volume of water impoundment. Main figure: Total integrated volume of water impounded as a function time based on the GRanD database without (blue) and with (red) seepage considered. Right axis shows the equivalent GMSL fall associated with the impoundment. Inset: Extension of both curves in the main figure to include projected water impoundments for reservoirs under construction

('Const.') and Planned. Seepage for the column labeled 'Const.' is estimated only from the GRanD database to the year 2025. Seepage for the column labeled 'Planned' is estimated from the GRanD database to the year 2035, plus ten years of seepage from those reservoirs in the "under construction" category.

3.2 Spatial Variation in Sea Level

For each of the 9,724 records in our combined database, we generate a gravitationally consistent prediction of the GRD fingerprint by solving a version of the so-called sea level equation (Farrell and Clark, 1976) that includes the feedback into sea level of impoundment-induced perturbations in the Earth's rotation vector and shoreline migration (Mitrovica and Milne, 2003), although the latter is negligible in the calculations performed here. The results are generated using the pseudo-spectral algorithm of Kendall *et al*. (2005) applied to a 1-D elastic Earth model in which an initial guess to the fingerprint is iteratively improved until convergence is reached. Three such iterations are generally sufficient to establish convergence. Mitrovica *et al.* (2011) have shown that our neglect of lateral variations in mantle density and elastic constants, which is motivated by the high computational requirements of 3-D simulations, introduces a small, 1% error in the fingerprints. We adopt the depth-varying elastic and density structure reported by the seismically inferred Preliminary Reference Earth Model (Dziewonski and Anderson, 1981) and the calculations are based on a spherical harmonic truncation at degree and order 512. Test calculations at tide gauge sites using a spherical harmonic truncation level of 1024 show negligible differences with those reported below.

An example GRD fingerprint is shown for Manicouagan Reservoir (the sixth largest in our dataset, with a capacity of 162 km³) in Fig. S1. The amplitude of the sea level rise close to the reservoir exceeds the GMSL changes associated with this impoundment by over an order of magnitude, and the zone of predicted sea level rise extends 2000 km from the location of the reservoir. In the far-field of the reservoir the predicted sea level fall reaches an amplitude $^{35\%}$ greater than the GMSL change. Moreover, the signal due to rotational effects is evident in the global fingerprint (note in Fig. S1 the decreased magnitude south of Australia and the increased magnitudes over eastern Asia and southern South America).

In the absence of significant shoreline migration, the computed GRD fingerprints add linearly. Taking advantage of this linearity, we have constructed a net sea level fingerprint for every calendar year, accounting for the various time series of reservoir impoundment and seepage rates. Fingerprints for a selected set of years are shown in Fig. 3. The full suite of annual fingerprints for the period 1900 - 2011 is available at doi.org/10.5281/zenodo.3751986. A comparison with the fingerprint of Fiedler and Conrad (2010) is shown in Fig. S2.

Hosted file

image3.emf available at https://authorea.com/users/544749/articles/601814-a-spatiallyvariable-time-series-of-sea-level-change-due-to-artificial-water-impoundment

Figure 3. Sea level GRD fingerprints over various time periods showing the relative sea level change as a result of reservoir construction including seepage. In each plot, the color bar is scaled to the maximum sea level fall. (a) Combined fingerprint of all reservoirs in the GRanD database (1900 - 2011), including seepage. (b) Fingerprint of all water impounded in the decade 1970 - 1979, a period of prolific dam construction. (c) Fingerprint of all water impounded during the year 1955.

The GRD fingerprints for the future construction from the database of Zarfl *et al*. (2015) are shown in Fig. 4. The global signal (Fig. 4a) shows a markedly different geographic distribution than the GRanD database (Fig. 3a), notably in northern South America and Southeast Asia.

Hosted file

image4.emf available at https://authorea.com/users/544749/articles/601814-a-spatiallyvariable-time-series-of-sea-level-change-due-to-artificial-water-impoundment

Figure 4. Relative sea level change computed using all reservoirs in the Zarfl *et al.* (2015) database, assumed to be completed by 2040, with seepage included as described in the text. (\mathbf{a}) Global GRD fingerprint signal.

Colors as in Fig. 3. (b) Detail of frame (a) in South America, showing sea level rise of a few mm along the west coast of Ecuador and Peru and around the mouth of the Amazon River in Brazil. Cool colors show sea level fall; warm colors show sea level rise. (c) Detail of frame (a) in Southeast Asia, indicating sea level rise of a few mm along the low-lying coasts of Bangladesh and Myanmar, and 1–2 mm along the Vietnamese coast along the Gulf of Tonkin.

4 Discussion

4.1 Tide Gauge Observations

Our time series provides a globally resolved estimate of the yearly change in sea level from 1900 - 2011 due to the construction of reservoirs. Tide gauges record local changes in sea level, which may show the effect of reservoir construction. Because of the variability in sea level recorded in tide gauge data, only the largest, most rapid changes will be visible. These are likely to be not the result of sustained building of reservoirs over time, but rather a tide gauge's proximity to a single large reservoir. To determine whether any of these changes are resolvable in the tide gauge record, we first calculate the maximum yearly rate of change predicted at each tide gauge site in the Permanent Service for Mean Sea Level (PSMSL) revised local reference (RLR) database (http://www.psmsl.org/data/obtaining/; Holgate *et al.*, 2013), shown in Fig. 5a. The largest predicted changes in sea level due to water impoundment are in northern Europe, Ghana, Malaysia, and the Saint Lawrence Seaway in Canada, all of which have tide gauges in close proximity (< 200 km) to the construction of a single large reservoir.

We begin by correcting the tide gauge data using two barotropic ocean circulation models (Piecuch *et al* ., 2019). These models predict sea surface height from surface wind stress and air pressure records from two forcing datasets, ERA-20C (Poli *et al* ., 2013) and NOAA-20CRv2 (Compo *et al* ., 2011), and extend throughout the 20^{th} century. At each tide gauge location, we subtract the annual average sea surface height derived in these models at each candidate tide gauge location from the raw tide gauge data to remove the atmospheric contribution from the sea level records. We then compare the atmospheric-corrected sea level time series to our predicted changes due to historical impoundment, focusing on locations where we predict that the largest water impoundment-induced signals occurred.

The largest predicted rates of change due to impoundment occur at two tide gauges in the St. Lawrence River in Canada, Baie-Comeau and Point-au-Père/Rimouski. The filling of the Manicouagan reservoir from 1968 – 1974 is predicted to have caused a sea level rise at these sites of almost 40 mm over six years (more than 6 mm yr⁻¹, which is more than 7 times the GMSL rise over this period, 0.8 mm yr⁻¹; Dangendorf *et al.*, 2019) The expected, observed, and corrected tide gauge records are shown in Fig. 5b–c. There is too much variability in the observations to confidently detect the signal associated with the construction of the Manicouagan reservoir in the tide gauge data. In any case, the St. Lawrence River is a controlled waterway, so the impoundment signal in the record may be muted or entirely absent. Other sites were either not in operation at the right time (*e.g.*, Stenungsund, Sweden; Tema, Ghana; and Cendering, Malaysia). Candidate tide gauge predictions and observations are shown in Fig. S3. A more complete characterization of sea level behavior, whether through using a variety of ocean reanalysis models (Chepurin *et al.*, 2014) or more sophisticated modeling techniques (Piecuch *et al.*, 2017), may resolve an impoundment signal in tide gauge data sets.

Hosted file

image5.emf available at https://authorea.com/users/544749/articles/601814-a-spatiallyvariable-time-series-of-sea-level-change-due-to-artificial-water-impoundment

Figure 5. Tide gauge predictions and observations. (a) Maximum yearly change at each PSMSL RLR tide gauge site. Six sites have a predicted a maximum yearly change of 5 mm or more. These six sites are distinguished by a larger symbol; also indicated are the tide gauge name, the maximum predicted yearly increase, and the year in which that maximum occurred. (b) Predicted sea level change due to reservoir construction (blue) versus RLR PSMSL observed (black dots) and atmospheric corrected sea level (Piecuch

et al., 2019; red points) at the Baie Comeau, Québec, Canada tide gauge, near the Manicouagan Reservoir. The reservoir was filled from 1970 to 1978. Units on the vertical axis are millimeters, but the absolute value is arbitrary. (c) As in (b), but for tide gauge in Point-au-Père, Québec, Canada.

4.2 Variability in Reservoir Storage

Seepage is not the only mechanism that can alter the mass of a reservoir after impoundment. An additional post-construction signal comes from variations in the amount of water impounded, either seasonally, or in long-term draw-down of the water. We assess both of these for a handful of the largest reservoirs. Records of lake levels can be difficult to obtain in a uniform manner, so we use changes in the surface height as observed by satellite altimetry, provided by the USDA Global Reservoir and Lake Elevation Database (htt-ps://ipad.fas.usda.gov/cropexplorer/global_reservoir/) to estimate the mass change. The satellites generally observed the largest reservoirs two to three times a month, beginning in 1992 and continuing through the study period. Because fluctuations in lake level are small over the ~10-day timescale between satellite observations, the behavior of the reservoir volume can be reasonably well constrained by this method. Dramatic increases of impounded water that happen over a shorter timescale are generally due to large precipitation events, in which case the signal from the reservoir is likely to be masked by a significant increase in groundwater and surface water unrelated to the reservoir itself.

From the surface area and volume provided for each reservoir in the GRanD database we calculate a mean depth. The altimetry data show changes in altitude, but not absolute altitude, so we cannot use these data to verify when the reservoir is full. Instead, we set the maximum datum from the altimetry as the mean depth of the reservoir, assuming that this represents a full reservoir. We then use every other altimetric data point to estimate the fractional change of the mean depth, and approximate this as the fractional change of the volume of the reservoir. We discuss both long-term drawdown and seasonal changes in water storage.

Lake Powell in the United States is an excellent target for detailed investigation. First, high-resolution data are available to verify the accuracy of the GRanD dataset. Second, satellite altimetry data show that Lake Powell is characterized by a decline in lake level of slightly more than 12 m between 2000 and 2011. Therefore, we can use the data set to investigate the effects of a long-term reduction in water storage, and whether the use of satellite altimetry is appropriate in determining storage changes in large reservoirs.

GRanD lists Lake Powell as the 43^{rd} largest reservoir in the database, with a capacity of 25.07 km³ and a surface area of 120.7 km². In contrast, the Bureau of Reclamation (BoR; part of the United States Department of the Interior), which manages Lake Powell and the Glen Canyon Dam that impounds it, lists the capacity as 32.3 km³ and the surface area as 688.9 km² (https://www.usbr.gov/uc/rm/crsp/gc/). These two sets of estimates produce very different values for the mean depth of the reservoir, 208 m and 47 m respectively. The 12 m decline shown in the satellite altimetry data, then, represents a reduction in impounded water of either 6% or 25%. The BoR also provide daily calculations of reservoir storage based on the observed elevation of the water level and bathymetric surveys. For the time period 2000 to 2011, these surveys (https://www.usbr.gov/rsvrWater/HistoricalApp.html) indicate that the elevation in Lake Powell decreased 13 m from 1122 m to 1109 m, and that storage in Lake Powell decreased from 27.1 km³ to 19.7 km³, a decrease of 27%. The satellite altimetry data are thus consistent with the water impoundment reported by the BoR, and additionally support the BoR-reported parameters for reservoir size, rather than the values in the GRanD database.

While a decline of 27% in the volume of the reservoir does contribute meaningfully to the GRD fingerprint through time, it is similar in magnitude—but opposite in sign—to the seepage we assume for Lake Powell (Fig. S4b). This time series indicates that significant, long-term drawdown in impoundment can change the magnitude of local sea level change associated with the unloading. However, for reservoirs that do not experience significant drawdown in storage, periods of low water levels will be characterized by a relatively small perturbation in local sea level, and will have a second-order effect on the global fingerprint of artificially impounded water.

Lake Guri, a reservoir in Venezuela with a volume of 135 km³, experiences seasonal variations in surface

height that routinely exceed 10 m, representing almost 30% of the reservoir's capacity as calculated from the GRanD-reported volume and surface area. We compare sea level predictions—those that do and do not include variability in Lake Guri—at the closest grid point to Lake Guri at in Fig. S4a. Note that this location is not on the coast; because the coast is at a greater distance, the impoundment signal will be smaller there. The perturbations in sea level in this case are on the order of a few millimeters, with higher sea level occurring when the reservoir is full, as expected. If the seasonality is due to precipitation, *i.e.*, a full reservoir occurs during a rainy season, then GRD effects associated with the higher level of impoundment could enhance the hazard of coastal flooding at precisely the time when increasing rain makes flooding more likely.

4.3 Future Changes in Sea Level

Our projections of future changes in sea level due to water impoundment are significantly larger than previous estimates. Following Rahmstorfet al., (2012), Kopp et al. (2014) use impoundment data from the WRD (Chao et al., 2008) and population projections from the United Nations Department of Economics and Social Affairs (2013) to derive a relationship between cumulative impoundment and population (see Supporting Information); their analysis implies a maximum additional water impoundment corresponding to an additional GMSL fall of 6 mm. We plot the future construction suggested by Zarfl et al. (2015) alongside this relationship in Fig. S5, and show that the extrapolation to 2040 based on Kopp et al. (2014) underestimates impoundment by 8.4 mm equivalent GMSL fall. There are uncertainties in our estimates based on the Zarfl et al. (2015) database. However, it is clear that the previously derived relationship between cumulative impoundment and world population is likely to significantly underestimate future artificial impoundment contributions to sea level changes.

Finally, we show in detail predictions for two areas that the Zarflet al. (2015) database indicates will experience significant increases in reservoir impoundment: Southeast Asia and southeastern Brazil (Fig. 4). These relatively low-elevation, highly populated areas near the coast will experience a sea level rise due to impoundment of as much as ~10 mm in the next two decades that will enhance the hazard associated with global mean sea level rise. Vitousek *et al*. (2017) suggest that in tropical areas, sea level rise of as little as 50 mm can double the coastal flooding hazard. Thus, major reservoir construction near such coasts could change the frequency of flooding in these populated areas.

5 Conclusions

We present an estimate of spatially and temporally resolved sea level change due to the impoundment of water in artificial reservoirs from 1900 to 2011, and a projection of sea level change to the year 2040 due to the same effects. For each year over the period 1900 - 2011, our predicted global GRD fingerprints are available by download from doi.org/10.5281/zenodo.3751986.

Our analysis of historical data (Lehner *et al.*, 2011) is consistent with previous studies (Chao *et al.*, 2008; Fiedler and Conrad, 2010) that have estimated that globally averaged sea level fell between 21 mm and 30 mm over the course of the twentieth century, representing a significant fraction of the sea level budget. Our spatial analysis is generally consistent with previous work (Fiedler and Conrad, 2010), but the spatiotemporal resolution of the predictions we present allows for comparison of predictions to records from specific tide gauge sites. Our estimate that reservoir impoundments could have raised sea level by as much as 40 mm should motivate such studies.

Our analysis of reservoirs that are in some form of planning or construction (Zarfl *et al.*, 2015) shows that the era of reservoir construction has not ceased, that this continued impoundment will contribute significantly to changes in sea level, and that the spatial pattern of sea level change will be different from that of the majority of the twentieth century. We show that current best projections may underestimate by nearly a centimeter the effect of water impoundment on GMSL in the next 20 years. It is difficult to accurately predict the sea level fingerprint of reservoirs without precise estimates of the volumetric capacity. Nonetheless, our calculations demonstrate that in areas characterized by a high density of reservoir construction, artificial impoundment is predicted to cause a local rise in sea level, which may change the hazard of coastal flooding. This suggests that an analysis of the local effects on sea level should be performed prior the impoundment of

large volumes of water in tropical areas of low elevation, including Southeast Asia and southeastern Brazil.

Finally, our study highlights the importance of establishing a comprehensive database of water impoundment that is volumetrically complete, and geospatially and temporally referenced. Artificial water impoundment is a crucial piece of the sea level budget (Cazenave*et al.*, 2018; Kopp *et al.*, 2014, 2015), and accurately accounting for its spatially and temporally varying contribution is imperative. The database would be important not only for the community concerned with the impacts of sea level rise, but also to a number of others, including those focused on assessments of global electricity generation (Zarfl *et al.*, 2015), the impact of river fragmentation on ecosystems (Grill *et al.*, 2015), and hazards related to reservoir-induced seismicity (*e.g.*, Gupta, 2002). In the absence of such a database, our analysis represents the most complete estimate to date of sea level change due to artificial impoundment of water from 1900 to 2040.

Acknowledgments, Samples, and Data

The authors wish to thank C. Zarfl for the database of future hydroelectric construction projects; and C. Piecuch, J. Fiedler, C. Conrad, and B. Chao for supplying their models that we use to contextualize our work. Satellite altimetry products courtesy of the USDA/NASA G-REALM program at https://ipad.fas.usda.gov/cropexplorer/global_reservoir/. Data for reservoirs maintained by the U.S. Bureau of Reclamation, and accessed via their website at https://www.usbr.gov. GRD Fingerprints for the years 1900 – 2011 are provided at doi.org/10.5281/zenodo.3751986. GMT was used to create many of the figures in this manuscript (Wessel*et al.*, 2013). Support for this work was provided by the NSF (DGE-1106400 to WBH; ICER-1663807 to REK) and NASA (NSSC17K0698 to JXM; 80NSSC17K0698 to REK). Harvard University also provided funding to JXM.

References

Cazenave, A., & WCRP Global Sea Level Budget Group (2018). Global sea-level budget 1993–present. Earth System Science Data ,10 (3), 1551–1590. https://doi.org/10.5194/essd-10-1551-2018

Chao, B. F., Wu, Y. H., & Li, Y. S. (2008). Impact of artificial reservoir water impoundment on global sea level. *Science*, 320 (5873), 212–214. https://doi.org/10.1126/science.1154580

Chepurin, G. A., Carton, J. A., & Leuliette, E. (2014). Sea level in ocean reanalyses and tide gauges. *Journal of Geophysical Research: Oceans*, 119 (1), 147–155. https://doi.org/10.1002/2013JC009365

Compo, G. P., Whitaker, J. S., Sardeshmukh, P. D., Matsui, N., Allan, R. J., Yin, X., et al. (2011). The Twentieth Century Reanalysis Project. *Quarterly Journal of the Royal Meteorological Society*, 137 (654), 1–28. https://doi.org/10.1002/qj.776

Dangendorf, S., Hay, C., Calafat, F. M., Marcos, M., Piecuch, C. G., Berk, K., & Jensen, J. (2019). Persistent acceleration in global sea-level rise since the 1960s. *Nature Climate Change*, 9 (9), 705–710. https://doi.org/10.1038/s41558-019-0531-8

Dziewonski, A. M., & Anderson, D. L. (1981). Preliminary reference Earth model. *Physics of the Earth and Planetary Interiors*, 25 (4), 297–356. https://doi.org/10.1016/0031-9201(81)90046-7

Fiedler, J. W., & Conrad, C. P. (2010). Spatial variability of sea level rise due to water impoundment behind dams. *Geophysical Research Letters*, 37 (12), 1–6. https://doi.org/10.1029/2010GL043462

Gregory, J. M., Griffies, S. M., Hughes, C. W., Lowe, J. A., Church, J. A., Fukimori, I., et al. (2019). Concepts and Terminology for Sea Level: Mean, Variability and Change, Both Local and Global. *Surveys in Geophysics*, 40 (6), 1251–1289. https://doi.org/10.1007/s10712-019-09525-z

Grill, G., Lehner, B., Lumsdon, A. E., MacDonald, G. K., Zarfl, C., & Reidy Liermann, C. (2015). An indexbased framework for assessing patterns and trends in river fragmentation and flow regulation by global dams at multiple scales. *Environmental Research Letters*, 10 (1), 015001. https://doi.org/10.1088/1748-9326/10/1/015001 Gupta, H. K. (2002). A review of recent studies of triggered earthquakes by artificial water reservoirs with special emphasis on earthquakes in Koyna, India. *Earth-Science Reviews*, 58 (3–4), 279–310. https://doi.org/10.1016/S0012-8252(02)00063-6

Harr, M. E. (Ed.). (1962). Groundwater and Seepage . New York: McGraw-Hill.

Holgate, S. J., Matthews, A., Woodworth, P. L., Rickards, L. J., Tamisiea, M. E., Bradshaw, E., et al. (2013). New Data Systems and Products at the Permanent Service for Mean Sea Level. *Journal of Coastal Research* , 288, 493–504. https://doi.org/10.2112/JCOASTRES-D-12-00175.1

Kendall, R. A., Mitrovica, J. X., & Milne, G. A. (2005). On post-glacial sea level - II. Numerical formulation and comparative results on spherically symmetric models. *Geophysical Journal International*, 161 (3), 679–706. https://doi.org/10.1111/j.1365-246X.2005.02553.x

Kopp, R. E., Horton, R. M., Little, C. M., Mitrovica, J. X., Oppenheimer, M., Rasmussen, D. J., et al. (2014). Probabilistic 21st and 22nd century sea-level projections at a global network of tide-gauge sites. *Earth's Future*, 2 (8), 383–406. https://doi.org/10.1002/2014ef000239

Kopp, R. E., Hay, C. C., Little, C. M., & Mitrovica, J. X. (2015). Geographic Variability of Sea-Level Change. Current Climate Change Reports, 1 (3), 192–204. https://doi.org/10.1007/s40641-015-0015-5

Lehner, B., Liermann, C. R., Revenga, C., Vörösmarty, C. J., Fekete, B. M., Crouzet, P., Döll, P., Endejan, M., & Frenken, K. (2011). Global Reservoir and Dam (GRanD) database. *European Environment*, (March), 12. https://doi.org/10.7927/H4HH6H08

Lehner, B., Liermann, C. R., Revenga, C., Vörösmarty, C. J., Fekete, B. M., Crouzet, P., Döll, P., Endejan, M., Frenken, K., et al. (2011). High-resolution mapping of the world's reservoirs and dams for sustainable river-flow management. *Frontiers in Ecology and the Environment*, 9 (9), 494–502. https://doi.org/10.1890/100125

Loo, T. (2007). Disturbing the Peace: Environmental Change and the Scales of Justice on a Northern River. Environmental History ,12 (4), 895–919. https://doi.org/10.1093/envhis/12.4.895

Mitrovica, J.X. & Milne, G.A. (2003). On post-glacial sea level: I. General theory. *Geophys. J. Int.*, 154, 253-267.

Mitrovica, J.X., Gomez, N., Morrow, E., Hay, C., Latychev, K., & Tamisiea, M.E. (2011). On the robustness of predictions of sea-level fingerprints. *Geophys. J. Int.*, 187, 729-742.

Piecuch, C. G., Calafat, F. M., Dangendorf, S., & Jordà, G. (2019). The Ability of Barotropic Models to Simulate Historical Mean Sea Level Changes from Coastal Tide Gauge Data . Surveys in Geophysics (Vol. 40). Springer Netherlands. https://doi.org/10.1007/s10712-019-09537-9

Piecuch, C. G., Huybers, P., & Tingley, M. P. (2017). Comparison of full and empirical Bayes approaches for inferring sea-level changes from tide-gauge data. *Journal of Geophysical Research: Oceans*, 122 (3), 2243–2258. https://doi.org/10.1002/2016JC012506

Poli, P., Hersbach, H., Tan, D., Dee, D., Thépaut, J.-N., Simmons, A., et al. (2013). The data assimilation system and initial performance evaluation of the ECMWF pilot reanalysis of the 20th-century assimilating surface observations only (ERA-20C). ERA Report series 14.

Rahmstorf, S., Perrette, M., & Vermeer, M. (2012). Testing the robustness of semi-empirical sea level projections. *Climate Dynamics*, 39 (3–4), 861–875. https://doi.org/10.1007/s00382-011-1226-7

Vitousek, S., Barnard, P. L., Fletcher, C. H., Frazer, N., Erikson, L., & Storlazzi, C. D. (2017). Doubling of coastal flooding frequency within decades due to sea-level rise. *Scientific Reports*, 7 (1), 1399. https://doi.org/10.1038/s41598-017-01362-7 Vörösmarty, C. J., Sharma, K. P., Fekete, B. M., Copeland, A. H., Holden, J., Marble, J., & Lough, J. A. (1997). The Storage and Aging of Continental Runoff in Large Reservoir Systems of the World. *Ambio*, 26 (4), 210–219.

Wessel, P., Smith, W. H. F., Scharroo, R., Luis, J., & Wobbe, F. (2013). Generic Mapping Tools: Improved Version Released. *Eos, Transactions American Geophysical Union*, 94 (45), 409–410. https://doi.org/10.1002/2013EO450001

United Nations, Department of Economic and Social Affairs, Population Division (2013). World Population Prospects: The 2012 Revision, Highlights and Advance Tables. Working Paper No. ESA/P/WP.228.

Zarfl, C., Lumsdon, A. E., Berlekamp, J., Tydecks, L., & Tockner, K. (2015). A global boom in hydropower dam construction. Aquatic Sciences , 77 (1), 161–170. https://doi.org/10.1007/s00027-014-0377-0

A Spatially Variable Time Series of Sea Level Change Due to Artificial Water Impoundment

3 William B. Hawley^{1,2,†}, Carling C. Hay³, Jerry X. Mitrovica⁴, and Robert E. Kopp⁵

¹Department of Earth and Planetary Science, University of California, Berkeley, Berkeley, CA
 94720, USA.

- ⁶ ²Berkeley Seismological Laboratory, 215 McCone Hall, University of California, Berkeley,
- 7 Berkeley, CA 94720, USA.
- ⁸ ³Department of Earth and Environmental Sciences, Boston College, Chestnut Hill, MA 02467,
 ⁹ USA.
- ⁴Department of Earth and Planetary Sciences, Harvard University, 20 Oxford Street, Cambridge,
 MA, 02138, USA.
- ⁵Department of Earth and Planetary Sciences and Rutgers Institute of Earth, Ocean, and
- 13 Atmospheric Sciences, Rutgers University, New Brunswick, NJ 08901, USA
- 14 Corresponding author: William Hawley (whawley@ldeo.columbia.edu)
- [†]Now at Lamont-Doherty Earth Observatory of Columbia University, 61 Rte. 9W, Palisades, NY
 10964, USA.

17 Key Points:

- We present a spatially-resolved time series of sea level due to reservoir construction from 1900 2040.
- Some locations in the past century have experienced a reservoir-induced rise in sea level that exceeds 40 mm over less than a decade.
- Future dam construction will cause patterns in sea level change that are different from the past, and larger than current projections.

24 Abstract

- 25 The artificial impoundment of water behind dams causes global mean sea level (GMSL) to fall
- as reservoirs fill, but also generates a local rise in sea level due to the increased mass in the
- 27 reservoir and the crustal deformation this mass induces. To estimate spatiotemporal fluctuations
- in sea level due to water impoundment, we use a historical data set that includes 6,329 reservoirs
- 29 completed between 1900 and 2011, as well as projections of 3,565 reservoirs that are expected to
- be completed by 2040. The GMSL change associated with the historical data (-0.2 mm yr^{-1} from 1900 2011) is consistent with previous studies, but the temporal and spatial resolution allows
- for local studies that were not previously possible, revealing that some locations experience a sea
- level rise of as much as 40 mm over less than a decade. Future construction of reservoirs through
- ~ 2040 is projected to cause a GMSL fall whose rate is comparable to that of the last century (-
- 0.3 mm yr^{-1}), but with a geographic distribution that will be distinct from the last century,
- 36 including a rise in sea level in more coastal areas. The analysis of expected construction shows
- that significant impoundment near coastal communities in the coming decades could enhance the
- 38 flooding risk already heightened by global sea level rise.

39 Plain Language Summary

Filling a reservoir prevents that water from flowing back to the ocean, thus causing sea level to 40 fall. But sea level does not change by the same amount everywhere: the mass of the water in the 41 42 reservoir causes sea level to rise in locations near the reservoir, but fall in locations that are farther away. We use databases that include the locations and capacities of reservoirs to estimate 43 how constructing reservoirs has changed sea level, and explore how these changes have varied in 44 45 space and in time. We find that while constructing reservoirs since 1900 has caused sea level to fall on average, there are some locations that experience a dramatic sea level rise, as much as 40 46 mm, over a short period of time, usually only a few years. As more reservoirs are built, we 47 expect this trend to continue. In fact, reservoirs that are currently being planned—if completed— 48 will generate a sea level rise of a few millimeters in some low-lying coastal areas. This rise 49 would be in addition to the rise in sea level from many other known factors. 50

51 **1 Introduction**

Global and regional changes in sea level are driven by a wide range of processes. 52 including the redistribution of mass from melting glaciers and ice sheets, the continued 53 adjustment of the solid Earth to ice mass changes during the last glacial period, steric expansion 54 of ocean water, ocean circulation changes, and both natural and artificial changes in terrestrial 55 water storage (Cazenave et al., 2018; Kopp et al., 2015). Proper characterization of each of these 56 different components of the sea level budget is necessary for accurate projections of future 57 changes in sea level. In this context, the role of terrestrial water storage remains underexplored 58 and serves as the focus of the present study. We provide the most complete picture to date of the 59 impact that artificially impounded water has had on sea level over the last century, resolved both 60 spatially and temporally, and an estimate of future sea level changes due to projected reservoir 61 construction. 62

63 Chao *et al.* (2008) use the International Commission on Large Dams World Register of 64 Dams (WRD) (www.icold-cigb.org) database to construct an estimate of the global mean sea 65 level (GMSL) change due to the construction of 29,484 reservoirs worldwide. They estimate the 66 total volume of impounded water to be 10,800 km³, corresponding to a GMSL fall of ~0.55 mm

vr⁻¹ in the half century prior to their publication. Sea level changes associated with the 67 impoundment of water on land will be geographically variable (Fiedler and Conrad, 2010) and 68 each reservoir will have a unique sea level "fingerprint," or gravitational, rotational, and 69 70 deformational (GRD) response to mass redistribution (Gregory et al., 2019). The redistribution of water from the ocean to the reservoir will (1) increase the gravitational attraction of the 71 72 reservoir on the surrounding water and thus raise the local sea surface height, (2) induce a 73 change in Earth's moment of inertia, and (3) drive local crustal subsidence. Indeed, relative sea 74 level (RSL) will rise within ~2000 km of a reservoir being filled, despite a drop in GMSL, and it will fall by increasing amounts at larger distances from the source of impoundment. The local 75 76 signal, which can have a peak value an order of magnitude larger than the GMSL change associated with the impoundment, is primarily a result of processes (1) and (3). Calculating the 77 global sea level pattern associated with water impoundment requires knowledge of both the size 78 79 and location of a reservoir.

The WRD database adopted by Chao et al. (2008) includes the largest global tabulation 80 of reservoirs and the most complete estimate of the total volume stored in those reservoirs. 81 However, it does not provide locations for these reservoirs and thus cannot be used to generate 82 maps of the associated sea level change. To compute such a map, Fiedler and Conrad (2010) 83 84 adopt a dataset (Vörösmarty et al., 1997) that includes the locations of 674 reservoirs currently built and scale their result upwards to match the GMSL cited by Chao et al. (2008). We extend 85 their analysis in three ways. First, we make use of a much larger database of reservoirs. Second, 86 we explore both the spatial and temporal patterns of RSL change associated with water 87 impoundment. Finally, we project the signals into the future using a database of planned dam 88 construction (Zarfl et al., 2015). 89

90 2 Reservoir Databases

In the present study, we use two different databases of reservoir construction. For the 91 time period 1900 – 2011, we use the Global Reservoir and Dam (GRanD) database (Lehner et 92 93 al., 2011), which aims to geospatially reference all reservoirs with a capacity of more than 0.1 km³. It contains 6,329 reservoirs that were completed after 1900 and reports their location, year 94 of construction, and capacity (Fig. 1a). The total volume of these reservoirs is 5,979 km³, which 95 is less than 8,300 km³, as reported in the WRD (Chao et al., 2008). Time series of integrated 96 97 water volume impoundment (and equivalent GMSL fall) for the GRanD database is shown in Fig. 2 (blue histogram). Although the GRanD database contains only ~72% of the volume 98 99 reported in the WRD, we do not scale our total impounded water volume to match the WRD values because the primary purpose of this study is to estimate the spatial variability of sea level, 100 and water impoundment not included in the GRanD database has an unknown geographical 101 distribution. 102



103

Figure 1. Map of reservoirs and their capacities. Global maps of reservoir locations, with the size of symbols corresponding to (log) capacity, and color corresponding to year of completion. Only larger reservoirs are plotted. (a) shows the GRanD database (Lehner *et al.*, 2011); (b) shows the database of Zarfl *et al.* (2015).

108

In the GRanD database, standard filling rates suggest that we can assume that the smaller 109 reservoirs filled over the course of a year. However, the same is not true for the largest reservoirs 110 in the database. In the case of the largest nine reservoirs, we use various records of the filling 111 duration or average discharge rate (e.g., Loo, 2007) and assume a steady filling rate. For these 112 113 nine largest reservoirs, the average volume added per year is approximately 23 km³. The 49 largest reservoirs in GRanD database have a capacity larger than 23 km³, and for all these 114 reservoirs we adopt a filling rate of 23 km³ yr⁻¹. Each reservoir smaller than this is assumed to 115 have filled the year the dam was completed. These filling rates are accounted for in the time 116 117 series of Fig. 2.

For the future projections, we use a database compiled by Zarfl *et al.* (2015), which 118 includes dams that will be built for hydropower and whose power generation capacity exceeds 1 119 MW (Fig. 1b). The dataset contains no direct information on the expected impounded volume of 120 121 each reservoir; however, it lists the planned hydroelectric capacity. We use this value to estimate the impounded volume, following Grill et al. (2015): 122

 $V = (3.19 \text{ x} 10^6 \text{ m}^3 \text{ MW}^{-1}) P$ 123 (1)

where V is the reservoir volume and P is the power generation capacity. Furthermore, because 124

these dams were not complete at the time of the publication of Zarfl et al. (2015), many do not 125 126 have an estimated year of completion. Instead, 3,565 reservoirs are listed as being in one of two

broad categories, either in the construction phase (n = 501, or 15% of the total) or the planning 127

128 phase (n = 2894, or 85%). Using these categories, and the equation above, we estimate that the

reservoirs under construction will impound 663 km³ and that those being planned will contribute 129

an additional 1,500 km³. Adding these values to results for the GRanD database yields the time 130

series of integrated water volume impoundment shown in the inset to Fig. 2, assuming, following 131

Zarfl et al. (2015), that all dams will be completed by the year 2040 (blue histogram). 132

3 Results 133

134

3.1 Temporal Variation in GMSL

Removing 5,979 km³ of water from 1900 to 2011 according to the GRanD database 135 corresponds to a GMSL fall of 16.6 mm, or an average 0.15 mm yr⁻¹. As noted above, these 136 values are 72% of the 23 mm and 0.21 mm yr⁻¹ reported in Chao et al. (2008). Impounding the 137 663 km³ (under construction) and 1,500 km³ (planned) from the Zarfl et al. (2015) database will 138 cause a further globally averaged drop in sea level of 1.8 mm and 4.2 mm, respectively, for a 139 total of 6 mm. Thus, over the period 2020 - 2040, the mean GMSL rate associated with water 140 entering reservoirs will likely be -0.3 mm yr⁻¹, which is larger than the average rate that occurred 141 over the past century. 142

The above numbers will increase when accounting for natural seepage of water into the 143 land surrounding the reservoir. After a reservoir is built, water will slowly seep into the 144 neighboring land. As this occurs, the flow of water into the reservoir continues to recharge it, but 145 146 the water that seeps from the reservoir remains relatively localized and does not generally reach the ocean, adding to the total impounded water. While the rate of seepage depends on numerous 147 local factors (e.g., Harr, 1962), for simplicity we follow Chao et al. (2008) and use a single 148 equation to estimate the seepage rate at each reservoir: 149

$$V(t) = 0.05 \ V_0 \ t^{1/2}$$

(2)

where V_0 is the reported volume of the reservoir, t is the time in years since the water was 151 impounded, and V(t) is the total amount of water that seeps by time t. According to this equation, 152 seepage contributed an estimated 1,878 km³ of water by 2011, for a total impounded volume of 153 7,857 km³. This corresponds to a total GMSL drop of 21.8 mm from 1900 – 2011 (Fig. 2, red 154 histogram in main frame and inset). By 2040, we estimate that this value will increase to 30.1 155 mm, assuming for the seepage term that every "under construction" reservoir is completed by 156 2025, and every "planned" reservoir is completed by 2035. (We make the assumption that, for 157 example, every "planned" reservoir is constructed in the decade 2030 - 2039; assigning every 158 "planned" reservoir to the year 2039 will result in a seepage rate that is too low. We choose a 159 date in the middle of the decade to give the best sense of the GRD fingerprint including seepage.) 160

Analysis of the GRanD time series indicates that the GMSL time series is characterized 161 by markedly different rates in three distinct time windows: from 1900 to 1949 the volume of 162 impounded water rose gradually; from 1950 to 1979 the rate of water impoundment increased 163 dramatically, and from 1980 to 2011, new construction slowed but seepage became increasingly 164 significant. Predictions from the Zarfl et al. (2015) database show a further dramatic increase in 165 water impoundment in the next two decades. Many of these reservoirs will be near the coast (Fig. 166 1b), so a complete characterization of the effect this impounded water will have on sea level will 167 help refine coastal hazard assessment. 168



Cumulative Volume Impounded

169

Figure 2. Histogram of total volume of water impoundment. Main figure: Total
 integrated volume of water impounded as a function time based on the GRanD database

without (blue) and with (red) seepage considered. Right axis shows the equivalent GMSL
fall associated with the impoundment. Inset: Extension of both curves in the main figure
to include projected water impoundments for reservoirs under construction ('Const.') and
Planned. Seepage for the column labeled 'Const.' is estimated only from the GRanD
database to the year 2025. Seepage for the column labeled 'Planned' is estimated from
the GRanD database to the year 2035, plus ten years of seepage from those reservoirs in
the "under construction" category.

179 3.2 Spatial Variation in Sea Level

For each of the 9,724 records in our combined database, we generate a gravitationally 180 consistent prediction of the GRD fingerprint by solving a version of the so-called sea level 181 equation (Farrell and Clark, 1976) that includes the feedback into sea level of impoundment-182 induced perturbations in the Earth's rotation vector and shoreline migration (Mitrovica and 183 184 Milne, 2003), although the latter is negligible in the calculations performed here. The results are generated using the pseudo-spectral algorithm of Kendall *et al.* (2005) applied to a 1-D elastic 185 Earth model in which an initial guess to the fingerprint is iteratively improved until convergence 186 187 is reached. Three such iterations are generally sufficient to establish convergence. Mitrovica et al. (2011) have shown that our neglect of lateral variations in mantle density and elastic 188 constants, which is motivated by the high computational requirements of 3-D simulations, 189 190 introduces a small, 1% error in the fingerprints. We adopt the depth-varying elastic and density structure reported by the seismically inferred Preliminary Reference Earth Model (Dziewonski 191 and Anderson, 1981) and the calculations are based on a spherical harmonic truncation at degree 192 193 and order 512. Test calculations at tide gauge sites using a spherical harmonic truncation level of 1024 show negligible differences with those reported below. 194

195 An example GRD fingerprint is shown for Manicouagan Reservoir (the sixth largest in our dataset, with a capacity of 162 km³) in Fig. S1. The amplitude of the sea level rise close to 196 the reservoir exceeds the GMSL changes associated with this impoundment by over an order of 197 magnitude, and the zone of predicted sea level rise extends ~2000 km from the location of the 198 reservoir. In the far-field of the reservoir the predicted sea level fall reaches an amplitude ~35% 199 greater than the GMSL change. Moreover, the signal due to rotational effects is evident in the 200 global fingerprint (note in Fig. S1 the decreased magnitude south of Australia and the increased 201 magnitudes over eastern Asia and southern South America). 202

In the absence of significant shoreline migration, the computed GRD fingerprints add linearly. Taking advantage of this linearity, we have constructed a net sea level fingerprint for every calendar year, accounting for the various time series of reservoir impoundment and seepage rates. Fingerprints for a selected set of years are shown in Fig. 3. The full suite of annual fingerprints for the period 1900 – 2011 is available at doi.org/10.5281/zenodo.3751986. A comparison with the fingerprint of Fiedler and Conrad (2010) is shown in Fig. S2.



209

Figure 3. Sea level GRD fingerprints over various time periods showing the relative sea level change as a result of reservoir construction including seepage. In each plot, the color bar is scaled to the maximum sea level fall. (a) Combined fingerprint of all reservoirs in the GRanD database (1900 – 2011), including seepage. (b) Fingerprint of all water impounded in the decade 1970 – 1979, a period of prolific dam construction. (c) Fingerprint of all water impounded during the year 1955.

The GRD fingerprints for the future construction from the database of Zarfl *et al.* (2015) are shown in Fig. 4. The global signal (Fig. 4a) shows a markedly different geographic distribution than the GRanD database (Fig. 3a), notably in northern South America and

219 Southeast Asia.



220

Figure 4. Relative sea level change computed using all reservoirs in the Zarfl et al. (2015) 221 database, assumed to be completed by 2040, with seepage included as described in the text. (a) 222 Global GRD fingerprint signal. Colors as in Fig. 3. (b) Detail of frame (a) in South America, 223 showing sea level rise of a few mm along the west coast of Ecuador and Peru and around the 224 mouth of the Amazon River in Brazil. Cool colors show sea level fall; warm colors show sea 225 level rise. (c) Detail of frame (a) in Southeast Asia, indicating sea level rise of a few mm along 226 the low-lying coasts of Bangladesh and Myanmar, and 1–2 mm along the Vietnamese coast 227 along the Gulf of Tonkin. 228

229 4 Discussion

230 4.1 *Tide Gauge Observations*

Our time series provides a globally resolved estimate of the yearly change in sea level from 1900 – 2011 due to the construction of reservoirs. Tide gauges record local changes in sea level, which may show the effect of reservoir construction. Because of the variability in sea level recorded in tide gauge data, only the largest, most rapid changes will be visible. These are likely to be not the result of sustained building of reservoirs over time, but rather a tide gauge's proximity to a single large reservoir. To determine whether any of these changes are resolvable

in the tide gauge record, we first calculate the maximum yearly rate of change predicted at each

tide gauge site in the Permanent Service for Mean Sea Level (PSMSL) revised local reference

(RLR) database (http://www.psmsl.org/data/obtaining/; Holgate *et al.*, 2013), shown in Fig. 5a.
 The largest predicted changes in sea level due to water impoundment are in northern Europe.

Ghana, Malaysia, and the Saint Lawrence Seaway in Canada, all of which have tide gauges in

close proximity (< 200 km) to the construction of a single large reservoir.

We begin by correcting the tide gauge data using two barotropic ocean circulation models 243 (Piecuch et al., 2019). These models predict sea surface height from surface wind stress and air 244 pressure records from two forcing datasets, ERA-20C (Poli et al., 2013) and NOAA-20CRv2 245 (Compo et al., 2011), and extend throughout the 20th century. At each tide gauge location, we 246 247 subtract the annual average sea surface height derived in these models at each candidate tide gauge location from the raw tide gauge data to remove the atmospheric contribution from the sea 248 level records. We then compare the atmospheric-corrected sea level time series to our predicted 249 changes due to historical impoundment, focusing on locations where we predict that the largest 250 water impoundment-induced signals occurred. 251

The largest predicted rates of change due to impoundment occur at two tide gauges in the 252 St. Lawrence River in Canada, Baie-Comeau and Point-au-Père/Rimouski. The filling of the 253 Manicouagan reservoir from 1968 – 1974 is predicted to have caused a sea level rise at these 254 sites of almost 40 mm over six years (more than 6 mm yr⁻¹, which is more than 7 times the 255 GMSL rise over this period, 0.8 mm yr⁻¹; Dangendorf et al., 2019) The expected, observed, and 256 corrected tide gauge records are shown in Fig. 5b-c. There is too much variability in the 257 observations to confidently detect the signal associated with the construction of the Manicouagan 258 reservoir in the tide gauge data. In any case, the St. Lawrence River is a controlled waterway, so 259 the impoundment signal in the record may be muted or entirely absent. Other sites were either 260 not in operation at the right time (e.g., Stenungsund, Sweden; Tema, Ghana; and Cendering, 261 262 Malaysia). Candidate tide gauge predictions and observations are shown in Fig. S3. A more complete characterization of sea level behavior, whether through using a variety of ocean 263 reanalysis models (Chepurin et al., 2014) or more sophisticated modeling techniques (Piecuch et 264 al., 2017), may resolve an impoundment signal in tide gauge data sets. 265









278 4.2 Variability in Reservoir Storage

279 Seepage is not the only mechanism that can alter the mass of a reservoir after 280 impoundment. An additional post-construction signal comes from variations in the amount of water impounded, either seasonally, or in long-term draw-down of the water. We assess both of

these for a handful of the largest reservoirs. Records of lake levels can be difficult to obtain in a

uniform manner, so we use changes in the surface height as observed by satellite altimetry,

284 provided by the USDA Global Reservoir and Lake Elevation Database

285 (https://ipad.fas.usda.gov/cropexplorer/global_reservoir/) to estimate the mass change. The

satellites generally observed the largest reservoirs two to three times a month, beginning in 1992 and continuing through the study period. Because fluctuations in lake level are small over the

and continuing through the study period. Because fluctuations in lake level are small over the \sim 10-day timescale between satellite observations, the behavior of the reservoir volume can be

reasonably well constrained by this method. Dramatic increases of impounded water that happen

290 over a shorter timescale are generally due to large precipitation events, in which case the signal

from the reservoir is likely to be masked by a significant increase in groundwater and surface

water unrelated to the reservoir itself.

From the surface area and volume provided for each reservoir in the GRanD database we calculate a mean depth. The altimetry data show changes in altitude, but not absolute altitude, so we cannot use these data to verify when the reservoir is full. Instead, we set the maximum datum from the altimetry as the mean depth of the reservoir, assuming that this represents a full reservoir. We then use every other altimetric data point to estimate the fractional change of the mean depth, and approximate this as the fractional change of the volume of the reservoir. We discuss both long-term drawdown and seasonal changes in water storage.

Lake Powell in the United States is an excellent target for detailed investigation. First, high-resolution data are available to verify the accuracy of the GRanD dataset. Second, satellite altimetry data show that Lake Powell is characterized by a decline in lake level of slightly more than 12 m between 2000 and 2011. Therefore, we can use the data set to investigate the effects of a long-term reduction in water storage, and whether the use of satellite altimetry is appropriate in determining storage changes in large reservoirs.

GRanD lists Lake Powell as the 43rd largest reservoir in the database, with a capacity of 306 25.07 km³ and a surface area of 120.7 km². In contrast, the Bureau of Reclamation (BoR; part of 307 the United States Department of the Interior), which manages Lake Powell and the Glen Canyon 308 Dam that impounds it, lists the capacity as 32.3 km³ and the surface area as 688.9 km² 309 (https://www.usbr.gov/uc/rm/crsp/gc/). These two sets of estimates produce very different values 310 for the mean depth of the reservoir, 208 m and 47 m respectively. The 12 m decline shown in the 311 satellite altimetry data, then, represents a reduction in impounded water of either 6% or 25%. 312 The BoR also provide daily calculations of reservoir storage based on the observed elevation of 313 the water level and bathymetric surveys. For the time period 2000 to 2011, these surveys 314 315 (https://www.usbr.gov/rsvrWater/HistoricalApp.html) indicate that the elevation in Lake Powell decreased 13 m from 1122 m to 1109 m, and that storage in Lake Powell decreased from 27.1 316 km³ to 19.7 km³, a decrease of 27%. The satellite altimetry data are thus consistent with the 317 water impoundment reported by the BoR, and additionally support the BoR-reported parameters 318 for reservoir size, rather than the values in the GRanD database. 319

While a decline of 27% in the volume of the reservoir does contribute meaningfully to the GRD fingerprint through time, it is similar in magnitude—but opposite in sign—to the seepage we assume for Lake Powell (Fig. S4b). This time series indicates that significant, longterm drawdown in impoundment can change the magnitude of local sea level change associated with the unloading. However, for reservoirs that do not experience significant drawdown in storage, periods of low water levels will be characterized by a relatively small perturbation in

- local sea level, and will have a second-order effect on the global fingerprint of artificially
- 327 impounded water.

Lake Guri, a reservoir in Venezuela with a volume of 135 km³, experiences seasonal 328 variations in surface height that routinely exceed 10 m, representing almost 30% of the 329 reservoir's capacity as calculated from the GRanD-reported volume and surface area. We 330 331 compare sea level predictions-those that do and do not include variability in Lake Guri-at the closest grid point to Lake Guri at in Fig. S4a. Note that this location is not on the coast; because 332 the coast is at a greater distance, the impoundment signal will be smaller there. The perturbations 333 in sea level in this case are on the order of a few millimeters, with higher sea level occurring 334 when the reservoir is full, as expected. If the seasonality is due to precipitation, *i.e.*, a full 335 reservoir occurs during a rainy season, then GRD effects associated with the higher level of 336 337 impoundment could enhance the hazard of coastal flooding at precisely the time when increasing rain makes flooding more likely. 338

339 4.3 Future Changes in Sea Level

340 Our projections of future changes in sea level due to water impoundment are significantly larger than previous estimates. Following Rahmstorf et al., (2012), Kopp et al. (2014) use 341 impoundment data from the WRD (Chao et al., 2008) and population projections from the 342 United Nations Department of Economics and Social Affairs (2013) to derive a relationship 343 between cumulative impoundment and population (see Supporting Information); their analysis 344 implies a maximum additional water impoundment corresponding to an additional GMSL fall of 345 346 6 mm. We plot the future construction suggested by Zarfl et al. (2015) alongside this relationship in Fig. S5, and show that the extrapolation to 2040 based on Kopp et al. (2014) underestimates 347 impoundment by 8.4 mm equivalent GMSL fall. There are uncertainties in our estimates based 348 on the Zarfl et al. (2015) database. However, it is clear that the previously derived relationship 349 between cumulative impoundment and world population is likely to significantly underestimate 350 future artificial impoundment contributions to sea level changes. 351

Finally, we show in detail predictions for two areas that the Zarfl et al. (2015) database 352 indicates will experience significant increases in reservoir impoundment: Southeast Asia and 353 southeastern Brazil (Fig. 4). These relatively low-elevation, highly populated areas near the coast 354 will experience a sea level rise due to impoundment of as much as ~10 mm in the next two 355 decades that will enhance the hazard associated with global mean sea level rise. Vitousek et al. 356 (2017) suggest that in tropical areas, sea level rise of as little as 50 mm can double the coastal 357 flooding hazard. Thus, major reservoir construction near such coasts could change the frequency 358 of flooding in these populated areas. 359

360 **5 Conclusions**

We present an estimate of spatially and temporally resolved sea level change due to the impoundment of water in artificial reservoirs from 1900 to 2011, and a projection of sea level change to the year 2040 due to the same effects. For each year over the period 1900 – 2011, our predicted global GRD fingerprints are available by download from

365 doi.org/10.5281/zenodo.3751986.

Our analysis of historical data (Lehner *et al.*, 2011) is consistent with previous studies (Chao *et al.*, 2008; Fiedler and Conrad, 2010) that have estimated that globally averaged sea level fell between 21 mm and 30 mm over the course of the twentieth century, representing a 369 significant fraction of the sea level budget. Our spatial analysis is generally consistent with 370 previous work (Fiedler and Conrad, 2010), but the spatio-temporal resolution of the predictions

we present allows for comparison of predictions to records from specific tide gauge sites. Our

estimate that reservoir impoundments could have raised sea level by as much as 40 mm should

373 motivate such studies.

374 Our analysis of reservoirs that are in some form of planning or construction (Zarfl et al., 2015) shows that the era of reservoir construction has not ceased, that this continued 375 impoundment will contribute significantly to changes in sea level, and that the spatial pattern of 376 sea level change will be different from that of the majority of the twentieth century. We show 377 that current best projections may underestimate by nearly a centimeter the effect of water 378 impoundment on GMSL in the next 20 years. It is difficult to accurately predict the sea level 379 380 fingerprint of reservoirs without precise estimates of the volumetric capacity. Nonetheless, our calculations demonstrate that in areas characterized by a high density of reservoir construction, 381 artificial impoundment is predicted to cause a local rise in sea level, which may change the 382 hazard of coastal flooding. This suggests that an analysis of the local effects on sea level should 383 be performed prior the impoundment of large volumes of water in tropical areas of low elevation, 384 including Southeast Asia and southeastern Brazil. 385

Finally, our study highlights the importance of establishing a comprehensive database of 386 water impoundment that is volumetrically complete, and geospatially and temporally referenced. 387 Artificial water impoundment is a crucial piece of the sea level budget (Cazenave *et al.*, 2018; 388 Kopp et al., 2014, 2015), and accurately accounting for its spatially and temporally varying 389 contribution is imperative. The database would be important not only for the community 390 concerned with the impacts of sea level rise, but also to a number of others, including those 391 focused on assessments of global electricity generation (Zarfl et al., 2015), the impact of river 392 fragmentation on ecosystems (Grill et al., 2015), and hazards related to reservoir-induced 393 seismicity (e.g., Gupta, 2002). In the absence of such a database, our analysis represents the most 394 395 complete estimate to date of sea level change due to artificial impoundment of water from 1900 to 2040. 396

397 Acknowledgments, Samples, and Data

The authors wish to thank C. Zarfl for the database of future hydroelectric construction 398 projects; and C. Piecuch, J. Fiedler, C. Conrad, and B. Chao for supplying their models that we 399 use to contextualize our work. Satellite altimetry products courtesy of the USDA/NASA G-400 REALM program at https://ipad.fas.usda.gov/cropexplorer/global reservoir/. Data for reservoirs 401 maintained by the U.S. Bureau of Reclamation, and accessed via their website at 402 https://www.usbr.gov. GRD Fingerprints for the years 1900 – 2011 are provided at 403 doi.org/10.5281/zenodo.3751986. GMT was used to create many of the figures in this 404 manuscript (Wessel et al., 2013). Support for this work was provided by the NSF (DGE-1106400 405

406 to WBH; ICER-1663807 to REK) and NASA (NSSC17K0698 to JXM; 80NSSC17K0698 to 407 PEK) Harvard University also provided funding to IXM

407 REK). Harvard University also provided funding to JXM.

408 **References**

409 Cazenave, A., & WCRP Global Sea Level Budget Group (2018). Global sea-level budget 1993–

410 present. *Earth System Science Data*, *10*(3), 1551–1590. https://doi.org/10.5194/essd-10-1551-

411 2018

- Chao, B. F., Wu, Y. H., & Li, Y. S. (2008). Impact of artificial reservoir water impoundment on
 global sea level. *Science*, *320*(5873), 212–214. https://doi.org/10.1126/science.1154580
- 414 Chepurin, G. A., Carton, J. A., & Leuliette, E. (2014). Sea level in ocean reanalyses and tide
- 415 gauges. Journal of Geophysical Research: Oceans, 119(1), 147–155.
- 416 https://doi.org/10.1002/2013JC009365
- 417 Compo, G. P., Whitaker, J. S., Sardeshmukh, P. D., Matsui, N., Allan, R. J., Yin, X., et al.
- 418 (2011). The Twentieth Century Reanalysis Project. *Quarterly Journal of the Royal*
- 419 Meteorological Society, 137(654), 1–28. https://doi.org/10.1002/qj.776
- 420 Dangendorf, S., Hay, C., Calafat, F. M., Marcos, M., Piecuch, C. G., Berk, K., & Jensen, J.
- 421 (2019). Persistent acceleration in global sea-level rise since the 1960s. *Nature Climate Change*,
- 422 9(9), 705–710. https://doi.org/10.1038/s41558-019-0531-8
- 423 Dziewonski, A. M., & Anderson, D. L. (1981). Preliminary reference Earth model. *Physics of the*
- *Earth and Planetary Interiors*, *25*(4), 297–356. https://doi.org/10.1016/0031-9201(81)90046-7
- Fiedler, J. W., & Conrad, C. P. (2010). Spatial variability of sea level rise due to water
- 426 impoundment behind dams. *Geophysical Research Letters*, 37(12), 1–6.
- 427 https://doi.org/10.1029/2010GL043462
- 428 Gregory, J. M., Griffies, S. M., Hughes, C. W., Lowe, J. A., Church, J. A., Fukimori, I., et al.
- 429 (2019). Concepts and Terminology for Sea Level: Mean, Variability and Change, Both Local and 430 Global. *Surveys in Geophysics*, 40(6), 1251–1289. https://doi.org/10.1007/s10712-019-09525-z
- 431 Grill, G., Lehner, B., Lumsdon, A. E., MacDonald, G. K., Zarfl, C., & Reidy Liermann, C.
- 432 (2015). An index-based framework for assessing patterns and trends in river fragmentation and
- 433 flow regulation by global dams at multiple scales. *Environmental Research Letters*, 10(1),
- 434 015001. https://doi.org/10.1088/1748-9326/10/1/015001
- 435 Gupta, H. K. (2002). A review of recent studies of triggered earthquakes by artificial water
- 436 reservoirs with special emphasis on earthquakes in Koyna, India. Earth-Science Reviews, 58(3-
- 437 4), 279–310. https://doi.org/10.1016/S0012-8252(02)00063-6
- 438 Harr, M. E. (Ed.). (1962). Groundwater and Seepage. New York: McGraw-Hill.
- 439 Holgate, S. J., Matthews, A., Woodworth, P. L., Rickards, L. J., Tamisiea, M. E., Bradshaw, E.,
- et al. (2013). New Data Systems and Products at the Permanent Service for Mean Sea Level.
- Journal of Coastal Research, 288, 493–504. https://doi.org/10.2112/JCOASTRES-D-12-00175.1
- 442 Kendall, R. A., Mitrovica, J. X., & Milne, G. A. (2005). On post-glacial sea level II. Numerical
- formulation and comparative results on spherically symmetric models. *Geophysical Journal*
- 444 International, 161(3), 679–706. https://doi.org/10.1111/j.1365-246X.2005.02553.x
- 445 Kopp, R. E., Horton, R. M., Little, C. M., Mitrovica, J. X., Oppenheimer, M., Rasmussen, D. J.,
- et al. (2014). Probabilistic 21st and 22nd century sea-level projections at a global network of
- tide-gauge sites. *Earth's Future*, 2(8), 383–406. https://doi.org/10.1002/2014ef000239
- 448 Kopp, R. E., Hay, C. C., Little, C. M., & Mitrovica, J. X. (2015). Geographic Variability of Sea-
- 449 Level Change. *Current Climate Change Reports*, *1*(3), 192–204. https://doi.org/10.1007/s40641-450 015-0015-5

- Lehner, B., Liermann, C. R., Revenga, C., Vörösmarty, C. J., Fekete, B. M., Crouzet, P., Döll, P.,
- Endejan, M., & Frenken, K. (2011). Global Reservoir and Dam (GRanD) database. *European Environment*, (March), 12. https://doi.org/10.7927/H4HH6H08
- Lehner, B., Liermann, C. R., Revenga, C., Vörösmarty, C. J., Fekete, B. M., Crouzet, P., Döll, P.,
- Endejan, M., Frenken, K., et al. (2011). High-resolution mapping of the world's reservoirs and
- 456 dams for sustainable river-flow management. *Frontiers in Ecology and the Environment*, 9(9),
- 457 494–502. https://doi.org/10.1890/100125
- Loo, T. (2007). Disturbing the Peace: Environmental Change and the Scales of Justice on a
- 459 Northern River. Environmental History, 12(4), 895–919. https://doi.org/10.1093/envhis/12.4.895
- Mitrovica, J.X. & Milne, G.A. (2003). On post-glacial sea level: I. General theory. *Geophys. J. Int.*, 154, 253-267.
- 462 Mitrovica, J.X., Gomez, N., Morrow, E., Hay, C., Latychev, K., & Tamisiea, M.E. (2011). On
- the robustness of predictions of sea-level fingerprints. *Geophys. J. Int.*, 187, 729-742.
- 464 Piecuch, C. G., Calafat, F. M., Dangendorf, S., & Jordà, G. (2019). *The Ability of Barotropic*
- 465 Models to Simulate Historical Mean Sea Level Changes from Coastal Tide Gauge Data. Surveys
- *in Geophysics* (Vol. 40). Springer Netherlands. https://doi.org/10.1007/s10712-019-09537-9
- Piecuch, C. G., Huybers, P., & Tingley, M. P. (2017). Comparison of full and empirical Bayes
 approaches for inferring sea-level changes from tide-gauge data. *Journal of Geophysical Research: Oceans*, *122*(3), 2243–2258. https://doi.org/10.1002/2016JC012506
- 470 Poli, P., Hersbach, H., Tan, D., Dee, D., Thépaut, J.-N., Simmons, A., et al. (2013). The data
- assimilation system and initial performance evaluation of the ECMWF pilot reanalysis of the
- 472 20th-century assimi- lating surface observations only (ERA-20C). ERA Report series 14.
- 473 Rahmstorf, S., Perrette, M., & Vermeer, M. (2012). Testing the robustness of semi-empirical sea
- 474 level projections. *Climate Dynamics*, *39*(3–4), 861–875. https://doi.org/10.1007/s00382-011475 1226-7
- 476 Vitousek, S., Barnard, P. L., Fletcher, C. H., Frazer, N., Erikson, L., & Storlazzi, C. D. (2017).
- Doubling of coastal flooding frequency within decades due to sea-level rise. *Scientific Reports*,
 7(1), 1399. https://doi.org/10.1038/s41598-017-01362-7
- 479 Vörösmarty, C. J., Sharma, K. P., Fekete, B. M., Copeland, A. H., Holden, J., Marble, J., &
- Lough, J. A. (1997). The Storage and Aging of Continental Runoff in Large Reservoir Systems
 of the World. *Ambio*, 26(4), 210–219.
- 482 Wessel, P., Smith, W. H. F., Scharroo, R., Luis, J., & Wobbe, F. (2013). Generic Mapping Tools:
- Improved Version Released. *Eos, Transactions American Geophysical Union*, *94*(45), 409–410.
 https://doi.org/10.1002/2013EO450001
- 485 United Nations, Department of Economic and Social Affairs, Population Division (2013). World
- 486 Population Prospects: The 2012 Revision, Highlights and Advance Tables. Working Paper No.
- 487 ESA/P/WP.228.
- Zarfl, C., Lumsdon, A. E., Berlekamp, J., Tydecks, L., & Tockner, K. (2015). A global boom in
- 489 hydropower dam construction. *Aquatic Sciences*, 77(1), 161–170.
- 490 https://doi.org/10.1007/s00027-014-0377-0

@AGUPUBLICATIONS

Earth's Future

Supporting Information for

A Spatially Variable Time Series of Sea Level Change Due to Artificial Water Impoundment

William B. Hawley^{1,2,+}, Carling C. Hay³, Jerry X. Mitrovica⁴, and Robert E. Kopp⁵

¹Department of Earth and Planetary Science, University of California, Berkeley, Berkeley, CA 94720, USA. ²Berkeley Seismological Laboratory, 215 McCone Hall, University of California, Berkeley, Berkeley, CA 94720, USA. ³Department of Earth and Environmental Sciences, Boston College, Chestnut Hill, MA 02467, USA. ⁴Department of Earth and Planetary Sciences, Harvard University, 20 Oxford Street, Cambridge, MA, 02138, USA. ⁵Department of Earth and Planetary Sciences and Rutgers Institute of Earth, Ocean, and Atmospheric Sciences, Rutgers University, New Brunswick, NJ 08901, USA

[†]Now at Lamont-Doherty Earth Observatory of Columbia University, 61 Rte. 9W, Palisades, NY 10964, USA.

Corresponding author: William Hawley (whawley@ldeo.columbia.edu)

Contents of this file

Text S1 Figures S1 to S5

Text S1.

The following figures provide additional context for the spatial and temporal variability of changes in sea level due to reservoir construction.

The calculated change in sea level due to the construction of a single reservoir is shown in Fig. S1. The Manicouagan reservoir, in Québec, Canada, is the sixth-largest reservoir in the GRanD database, with a nominal capacity of 141,850 x 10⁶ m³, which causes a GMSL fall of ~0.416 mm. The calculated far-field sea level fall for this reservoir is ~0.563 mm, or ~35% larger than the associated GMSL fall.

A comparison between the sea level changes presented in this study and those in Fiedler and Conrad (2010) is shown in Fig. S2. Because their model does not include any GMSL fall, we remove GMSL from our model for this comparison. We predict more sea level rise near where reservoirs are built, likely primarily because we use a database that incorporates ~25% more impounded water. We also show a correspondingly larger sea level fall in areas far from reservoir construction.

Many of the tide gauge locations that experienced large yearly changes did not have a tide gauge in operation at the right time. Stenungsung and Uddevalla, Sweden, began operation in 1962 and 2010, respectively, and did not capture the event in 1934. Instead, we show in Fig. S3a a nearby tide gauge in Smögen, Sweden that was in operation. The other two large predicted sea level change events in Tema, Ghana and Cendering, Malaysia are shown in Fig. S3b and S3c. Though the tide gauges there were in operation during the event, the data do not span enough time to identify a sea level change associated with reservoir construction.

Additionally, we use satellite altimetry from the USDA Global Reservoir and Lake Elevation Database (https://ipad.fas.usda.gov/cropexplorer/global_reservoir/) to estimate fluctuations in two large reservoirs in the GRanD database, shown in Fig. S4. We use these estimates to modify the global fingerprint time series, and plot the resulting sea level curves for the grid point closest to each reservoir, which is not along the coast in either case. We choose this point because it shows the largest signal.

Finally, we show in Fig. S5 a comparison of the predictions presented in this paper with other estimates of GMSL change. We compare the total impounded volume estimated in the GRanD database (Lehner *et al.*, 2011) with that of the WRD, used in Chao *et al.* (2008), to show that GRanD records 72% of the impoundment recorded in WRD, but the different tabulations show similar trends. Furthermore, following the approach of Kopp *et al.*, (2014) and Rahmstorf *et al.* (2012), we find the relationship between cumulative impoundment and world population assuming a sigmoidal model:

$$V(P) = a \operatorname{erf}\left(\frac{P-b}{c}\right) + d$$

where V is the impoundment, expressed in mm equivalent GMSL fall, P is global population, and a, b, c, and d are constants. Extrapolating to 2040 (~9.2 billion population), this relationship shows expected impoundment to be 33.3 mm equivalent GMSL fall, an increase of 1.4 mm from the WRD data point in 2010, 31.9 mm equivalent sea level fall. Extending the impoundment data to include the predictions from Zarfl *et al.* (2015) to 2040 shows that if all the reservoirs in that database are built, the GMSL fall by 2040 will reach 41.7 mm, exceeding the model by 8.4 mm. We caution that there are uncertainties inherent in using the Zarfl *et al.* (2015) that arise from (1) the possibility that not all of these reservoirs will be built, and (2) the fact that the database does not include the expected impounded volume, but rather power generation capacity, which we have used to estimate the volume following Grill *et al.* (2015). Nevertheless, our result shows that future impoundment will likely exceed the commonly used estimate from the sigmoidal model fit.



Figure S1. Sea level fingerprint of the Manicouagan Reservoir. (**a**) Global map. Maximum predicted sea level fall in the far-field is 0.563 mm, or ~35% larger than the GMSL fall estimate of 0.416 mm. (**b**) Regional map of the sea level fingerprint. Within much of the St. Lawrence seaway, sea level rise is in excess of 10 mm (thin black contour).



Figure S2. Comparison between our estimates and Fiedler and Conrad (2010). Both databases in this case are from 1900 to 2009. Positive values indicate where our model estimates more sea level rise than the Fiedler and Conrad (2010) model. Because the total volume of impounded water is different between the datasets, we have removed the GMSL change from our model for this figure.



Figure S3. Predicted sea level change due to reservoir construction (blue) versus RLR PSMSL observed (black dots) and corrected sea level data (Piecuch *et al.*, 2019; red points) at various tide gauges that were in operation during a period of time with significant predicted annual sea level change. (**a**) A tide gauge at Smögen, Sweden, near Stenungsund and Uddevalla, was in operation during the impoundment in 1934, though more distant from the reservoir. (**b**) A tide gauge at Tema, Ghana did not capture much data before or after reservoir construction. (**c**) A tide gauge at Cendering, Malaysia began operation just as the reservoir was completed.



Figure S4. The effect of variability of reservoir level on sea level curves at two reservoirs, (**a**) Guri in (7th largest in GRanD), and (**b**) Powell in the United States (43rd largest in GRanD). In both plots, the solid blue line shows the relative sea level change assuming the reservoir remains full; the red dashed line is computed using estimates of variability from satellite altimetry, which begins in the early 1990s. Sea level is shown for the closest grid point to the reservoir location.



Figure S5. Estimating future impoundment based on world population. Water impoundment is shown as a function of world population for the GRanD reservoir (Lehner *et al.*, 2011; red points), the dataset primarily used in this study, and for Chao *et al.* (2008; black points). Using population projections from the U.N. Department of Economics and social Affairs (2013), and adding the volume of impounded water reported in Zarfl *et al.* (2015) to Chao *et al.* (2008), we have plotted the estimated impoundment vs. population in 2030 and 2040 (purple points). An extrapolation, modeled after Kopp *et al.* (2014; blue dashed line), underestimates the 2040 impoundment by ~8.4 mm equivalent sea level fall.