Assessing historical variability of South Asian monsoon lows and depressions with an optimized tracking algorithm

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November 21, 2022

Abstract

Cyclonic low-pressure systems (LPS) produce abundant rainfall in South Asia, where they are traditionally categorized as monsoon lows, monsoon depressions, and more intense cyclonic storms. The India Meteorological Department (IMD) has tracked monsoon depressions for over a century, finding a large decline in their number in recent decades, but their methods have changed over time and do not include monsoon lows. This study presents a fast, objective algorithm for identifying monsoon LPS in high-resolution datasets. Variables and thresholds used in the algorithm are selected to best match a subjectively analyzed LPS dataset while minimizing disagreement between four atmospheric reanalyses in a training period. The streamfunction of the 850 hPa horizontal wind is found to be the best variable for tracking LPS; it is less noisy than vorticity and represents the complete non-divergent wind, even when flow is not geostrophic. Using this algorithm, LPS statistics are computed for five reanalyses, and none show a detectable trend in monsoon depression counts since 1979. Both the Japanese 55-year Reanalysis (JRA-55) and the IMD dataset show a step-like reduction in depression counts when they began using geostationary satellite data, in 1979 and 1982 respectively; the 1958-2018 linear trend in JRA-55, however, is smaller than in the IMD dataset and its error bar includes zero. There are more LPS in seasons with above-average monsoon rainfall and also in La Nin a years, but few other large-scale modes of interannual climate variability are found to modulate LPS counts, lifetimes, or track length consistently across all reanalyses.

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Key Points:

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¹² developed and applied to five reanalyses	
• Climatological statistics of the resulting track datasets are consistent ac	ross
¹⁴ reanalyses and comparable to two manually derived datasets	
• Uncertainty analyses show that previously reported trends in Indian mons	oon
depressions may be artifacts of changes in the observing network	

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17 Abstract

18 Cyclonic low-pressure systems (LPS) produce abundant rainfall in South Asia, where 19 they are traditionally categorized as monsoon lows, monsoon depressions, and more intense cyclonic storms. The India Meteorological Department (IMD) has tracked 20 monsoon depressions for over a century, finding a large decline in their number in 21 recent decades, but their methods have changed over time and do not include monsoon 22 lows. This study presents a fast, objective algorithm for identifying monsoon LPS in 23 high-resolution datasets. Variables and thresholds used in the algorithm are selected 24 to best match a subjectively analyzed LPS dataset while minimizing disagreement 25 between four atmospheric reanalyses in a training period. The streamfunction of the 26 850 hPa horizontal wind is found to be the best variable for tracking LPS; it is less 27 noisy than vorticity and represents the complete non-divergent wind, even when flow is 28 not geostrophic. Using this algorithm, LPS statistics are computed for five reanalyses, 29 and none show a detectable trend in monsoon depression counts since 1979. Both the 30 Japanese 55-year Reanalysis (JRA-55) and the IMD dataset show a step-like reduction 31 in depression counts when they began using geostationary satellite data, in 1979 and 32 1982 respectively; the 1958-2018 linear trend in JRA-55, however, is smaller than in 33 the IMD dataset and its error bar includes zero. There are more LPS in seasons with 34 above-average monsoon rainfall and also in La Niña years, but few other large-scale 35 modes of interannual climate variability are found to modulate LPS counts, lifetimes, 36 or track length consistently across all reanalyses. 37

³⁸ 1 Introduction

Cyclonic low pressure systems (LPS) are the dominant synoptic-scale phenomena 39 that bring rain to India and surrounding regions during the boreal summer monsoon 40 season. With outer diameters near 2,000 km, these monsoon LPS typically form over 41 the northern Bay of Bengal then propagate to the northwest over India during the 42 subsequent several days (Mooley, 1973; Godbole, 1977; Sikka, 1978). Although these 43 storms have weak surface winds of order 10 m s^{-1} , they produce abundant rainfall, 44 with precipitation rates peaking at 3-5 cm day⁻¹ in composite means and some storms 45 producing 10-50 cm of rain along their tracks (Sanders, 1984; Sikka, 2006; Boos et al., 46 47 2015; Hunt et al., 2016). Monsoon LPS make a large contribution to the total summer monsoon rainfall of continental South Asia (Yoon & Chen, 2005) and have produced 48 catastrophic floods there (Houze Jr et al., 2011). 49

Given the importance of monsoon LPS, there is great interest in studying the 50 variability of these storms. The India Meteorological Department (IMD) has kept 51 52 records on LPS since the late nineteenth century (India Meteorological Department, 2011). They traditionally categorized these storms by intensity, with the weakest 53 systems called monsoon lows (surface wind speeds less than 8.5 m s⁻¹ and mean sea 54 level pressure (MSLP) at least 2 hPa lower than surrounding regions), stronger systems 55 called monsoon depressions (wind speeds 8.5-13.5 m s⁻¹ and MSLP anomalies 4-8 56 hPa), and even stronger vortices called deep depressions and cyclonic storms (the use 57 of surface wind speed or surface pressure as a metric for categorization has varied over 58 time (India Meteorological Department, 2011)). The historical IMD dataset includes 59 only depressions and stronger storms, but Mooley and Shukla (1987) and Sikka (2006) 60 produced a separate dataset of both lows and depressions by manually identifying 61 LPS from hand-analyzed daily weather charts of the IMD. These datasets have been 62 used in numerous studies of variations in the number of monsoon LPS. For example, 63 the number of LPS forming each summer has been shown to be modulated by the El 64 Niño-Southern Oscillation (ENSO) (Hunt et al., 2016), the Pacific Decadal Oscillation 65 (PDO) (Vishnu et al., 2018), and the Indian Ocean Dipole (IOD) (Krishnan et al., 66 2011), all of which are also associated with interannual variations in the strength of 67 the mean Indian summer monsoon. 68

Based on the two track datasets just discussed, numerous studies have reported 69 70 a large decrease in the number of monsoon depressions forming each summer in recent 71 decades, together with an increase in the number of monsoon lows (Rajendra Kumar 72 & Dash, 2001; Prajeesh et al., 2013; Vishnu et al., 2016, and reference therein). When characterized as a linear trend, the decrease in depression counts amounts to a reduc-73 tion of around one per decade, from a mid-twentieth century value of about seven, 74 although much of the decrease occurred as a step-wise reduction in the early 1980s 75 (Vishnu et al., 2016). The years 2002, 2010, and 2012 contained the first summers, in 76 over a century of record-keeping by IMD, with no monsoon depressions. The reduction 77 in depression counts has been argued to be associated with a decrease in total summer 78 rainfall in east-central India, the region of highest LPS track density (Vishnu et al., 79 2016). A decrease in overall LPS activity, including that of both lows and depres-80 sions, has been projected for the coming century as global mean temperature increases 81 and the large-scale, seasonal mean monsoon circulation weakens (Sandeep et al., 2018; 82 Rastogi et al., 2018). This projected decrease is accompanied by a poleward shift in 83 the region of LPS genesis in next-century simulations using one global climate model 84 (Sandeep et al., 2018), but the connection of such greenhouse gas-forced changes to 85 past trends remains unclear, especially given the possible dominance of aerosol forcings 86 in historical trends of mean monsoon strength (Ramanathan et al., 2005; Bollasina & 87 Nigam, 2009). 88

The existence of a large trend in monsoon depression counts was questioned by 89 Cohen and Boos (2014), who showed that no such trend could be detected in two re-90 analyses when automated algorithms were used to track and classify low-level vorticity 91 and MSLP anomalies. Furthermore, Cohen and Boos (2014) found depression-strength 92 LPS in those reanalyses during the years when IMD recorded none (2002, 2010 and 93 2012), and showed that satellite scatterometer data validated the intensity of the peak 94 95 surface wind speeds near the centers of those particular storms. They also showed that there was no detectable trend in a satellite scatterometer record of synoptic-scale 96 wind events over the Bay of Bengal, although that record extended back to only 1987. 97 All of this raises numerous questions: are the two reanalyses examined by Cohen and 98 Boos (2014) reliable tools for assessing trends in monsoon LPS, especially given that 99 they extended back to only 1979, a few years before the step-wise reduction in IMD's 100 depression counts? Should we expect trends inferred from the IMD record of depres-101 sion counts to be unbiased, given the large changes since the late 19th century in the 102 observing network, in methods used by IMD for identifying and classifying LPS, and 103 possibly in practices used for creating the hand-drawn IMD weather charts? 104

All of this would seem to call for a reanalysis of monsoon LPS track datasets, 105 analogous to the large international efforts to improve track datasets of past tropical 106 cyclones (Landsea et al., 2008; Hagen et al., 2012; Landsea et al., 2014; Delgado et 107 al., 2018). This would be a massive undertaking, made more difficult by the fact 108 that IMD synoptic charts are not readily available and by the fact that monsoon 109 LPS have weak circulations compared to tropical cyclones. Furthermore, the wind 110 maxima of LPS are typically elevated a few kilometers above the surface (Godbole, 111 1977), rendering their identification and categorization using maps of MSLP even 112 more difficult. Here we take an alternate approach by devising an algorithm that 113 can identify LPS using elevated winds as well as surface conditions as represented in 114 five atmospheric reanalyses, including the most modern ones that represent climate 115 forcings and that extend back in time to the 1950s. This does not eliminate bias that 116 might be introduced by the temporal evolution of the observing network on which 117 those reanalyses are based, and, indeed, we demonstrate that step-wise changes in 118 depression counts coincide with dates on which geostationary satellite imagery begin 119 to be incorporated into the atmospheric state estimates. 120

This study builds on previous attempts to compile LPS track datasets from 121 reanalyses (Hurley & Boos, 2015; Praveen et al., 2015), but with greater attention 122 123 paid to the optimality of the tracking algorithm, to uncertainty characterization, to 124 separation of the datasets used for training and validation of the algorithm, and to application of the algorithm to a larger number of reanalyses and to more modern 125 reanalyses. Past efforts to track LPS in atmospheric reanalyses used the TRACK 126 algorithm (Hodges, 1995, 1998; Hurley & Boos, 2015), which runs serially and requires 127 degrading the underlying dataset to T42 spectral resolution (Thorncroft & Hodges, 128 2001; Manganello et al., 2019); both of those characteristics become problematic when 129 working with modern atmospheric state estimates which often have horizontal grid 130 spacings of 20-30 km. The algorithm we create for LPS identification builds on the 131 TempestExtremes software (Ullrich & Zarzycki, 2017) and is thus fast, objective, and 132 appropriate for high-resolution and variable grids. We hope to use this algorithm 133 in future work to track monsoon LPS in large ensembles of high-resolution output 134 from numerical weather prediction models and global climate models. In this study, 135 the main focus is on constructing the algorithm, demonstrating its fidelity compared 136 to existing, subjectively analyzed LPS datasets (Sikka, 2006), then examining the 137 historical variability of LPS tracks on interannual and longer time scales. 138

¹³⁹ 2 Data and methods

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2.1 Subjectively analyzed track datasets

We use two subjectively analyzed datasets of LPS tracks and intensities in the 141 northern Indian Ocean. The first was compiled by Sikka (2006) and Mooley and Shukla 142 (1987) and runs from 1888-2003, for the months of June through September. We 143 hereafter refer to this as the Sikka archive. As mentioned in the Introduction, the Sikka 144 archive is the only subjectively analyzed track dataset for South Asia that contains 145 both lows and depressions, and it was compiled by manually identifying minima in 146 maps of MSLP from the IMD and then classifying those minima by intensity. The 147 second dataset we use is the total number of depressions forming between June and 148 September from 1891-2019, as recorded by the IMD (http://www.rmcchennaieatlas 149 .tn.nic.in). We also use IMD best track data for depressions for 1982-2018. 150

151 2.2 Reanalyses

Five global atmospheric reanalyses are used for this study, with horizontal grid 152 spacings ranging from 0.25-1.25° and temporal resolutions ranging from hourly to 6-153 hourly (Table 1). The variables used are MSLP, surface wind and surface height, 154 and the 850 hPa horizontal wind and relative humidity. All of the reanalyses used 155 here assimilated both satellite and conventional (e.g. surface station and radiosonde) 156 observations that increased in number and type over time, with the greatest growth 157 seen in satellite observations. For example, ERA-Interim, produced by the European 158 Centre for Medium-Range Weather Forecasts (ECMWF), assimilated more than 10^6 159 daily observations in 1989 and almost 10^7 per day in 2010; the great majority of these, 160 by count, are from satellite, but surface and radiosonde observations from land and 161 ship-based stations are also included, with a reasonable count over South Asia (Dee 162 et al., 2011). 163

The most recent reanalysis from ECMWF, ERA5, incorporates newly reprocessed observations and input from more recent instruments that were not assimilated into ERA-Interim (Hersbach & Dee, 2016; Hersbach et al., 2019). Similarly, the Modern– Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2) (Gelaro et al., 2017) and the Climate Forecast System Reanalysis (CFSR) (Saha et al., 2010) both assimilate observations not included by their predecessors, with large increases in observation counts in recent decades. In MERRA-2, for example, the

number of assimilated aircraft observations increased gradually by a factor of about 171 four from the late 1990s to 2015, eventually becoming the dominant source of direct 172 173 measurements of upper-level winds, while large step-like increases in the number of assimilated satellite radiances occurred in 2002, 2008, and 2013 (McCarty et al., 2016). 174 Since we are interested in the large changes in monsoon depression counts seen in IMD 175 data in the late 1970s and early 1980s, we also use the Japanese 55-year Reanalysis 176 (JRA-55) (Ebita et al., 2011; Kobayashi et al., 2015) which extends back to 1958. Only 177 conventional observations were assimilated by JRA-55 before 1971, and the greatest 178 increase in the number of assimilated satellite observations occurred after 1979. 179

Some of the reanalyses we use include time-varying climate forcings that may 180 influence trends in LPS activity. For example, ERA5 incorporates the Coupled Model 181 Intercomparison Project 5 (CMIP5) radiative forcing, accounting in a self-consistent 182 manner for changing greenhouse gases, volcanic eruptions, sea surface temperarture 183 (SST), and sea-ice cover (Hersbach & Dee, 2016; Hersbach et al., 2019). This contrasts 184 with ERA-Interim, which imposes a simple linear trend in greenhouse gas concentra-185 tions and uses a succession of different SST and sea ice datasets with some temporal 186 discontinuities (Dee et al., 2011). CFSR incorporates time-evolving greenhouse gases, 187 aerosols, and solar variations, while JRA-55 includes time-varying greenhouse gases 188 but a two-dimensional monthly climatology of aerosol optical depth. MERRA-2 uses 189 a sophisticated assimilation of aerosol observations, together with prescribed increases 190 in carbon dioxide. 191

¹⁹² 2.3 Precipitation and SST data

We employ several additional datasets to create indices used in assessing in-193 terannual variations of LPS activity. Indian summer rainfall is obtained from the 194 Indian Institute of Tropical Meteorology (IITM; http://www.tropmet.res.in/Data 195 %20Archival-51-Page) and is used to identify pluvial and drought summer mon-196 soon years. The Oceanic Niño Index (ONI) is used as an ENSO indicator, and ob-197 tained from the Climate Prediction Center (https://origin.cpc.ncep.noaa.gov/ 198 products/analysis_monitoring/ensostuff/ONI_v5.php). Monthly mean SST from 199 the Hadley Centre Global Sea Ice and Sea Surface Temperature version 2 dataset 200 (HadISST2)(Rayner et al., 2003) is used to compute the Indian Ocean Dipole (IOD) 201 index; specifically, we use a normalized index represented by the anomalous SST dif-202 ference between the western $(10^{\circ}\text{S}-10^{\circ}\text{N}, 50^{\circ}-70^{\circ}\text{E})$ and eastern $(10^{\circ}\text{S}-\text{Equator}, 90^{\circ}-10^{\circ}\text{K})$ 203 110°E) Indian Ocean. 204

Table 1. Details of reanalysis data used in this study.

Dataset	Spatial resolution	Temporal resolution	Period	Source
Era-Interim	$\begin{array}{c} 0.75^{\circ} \times \ 0.75^{\circ} \\ 1.25^{\circ} \times \ 1.25^{\circ} \\ 0.5^{\circ} \times \ 0.5^{\circ} \\ 0.625^{\circ} \times \ 0.5^{\circ} \\ 0.25^{\circ} \times \ 0.25^{\circ} \end{array}$	6 hour	1979-2018	Dee et al. (2011)
JRA-55		6 hour	1958-2019	Ebita et al. (2011)
CFSR		6 hour	1979-2010	Saha et al. (2010)
MERRA-2		3 hour	1980-2019	Gelaro et al. (2017)
ERA5		1 hour	1979-2019	Hersbach et al. (2019)

205 2.4 TempestExtremes

An automated Lagrangian pointwise feature tracker, TempestExtremes, is used for extracting LPS track information from the reanalyses (Ullrich & Zarzycki, 2017). TempestExtremes has been used for tracking features including tropical cyclones, ex-

tratropical cyclones, and tropical easterly waves (Ullrich & Zarzycki, 2017; Chavas et 209 al., 2017; Zarzycki et al., 2017; Michaelis & Lackmann, 2019). The basic algorithm 210 211 uses the MapReduce technique, which operates in two stages: first, parallel identifica-212 tion of suitable candidates at each time step through application of thresholds and/or criteria that enforce a closed contour around the candidate points; second, stitching 213 of nearby candidates over successive time steps to develop object tracks, eliminating 214 candidates that do not exhibit behavior consistent with a transiting feature. Here, 215 we use the specific requirement that candidate points must be within 3 degrees of 216 each other on successive time points to be linked. If no points exist within 3 degrees 217 of an existing point in the succeeding 12 hour period, then the track is terminated. 218 The criteria for initial identification of suitable candidates explored in this work re-219 quire identifying features that are local minima or maxima, tagging only the strongest 220 candidate within 5 degrees great-circle distance, and testing for a closed contour in a 221 specified search variable of specified magnitude and within a specified distance. The 222 closed contour criterion is assessed via a depth-first search of grid points away from 223 the nodal feature, ensuring that all possible paths away from the feature reaching the 224 prescribed distance exhibit an increase (or decrease) in the search variable of sufficient 225 magnitude. One minor additional modification is made to remove LPS that may appear due to artifacts of the representation of high orography in reanalyses: we require 227 the maximum surface geopotential within 2° of the LPS center to be less than 8000 228 m^2s^{-2} for at least 24 cumulative hours of the LPS track. That is, LPS that spend 229 nearly their entire lifetime over elevated terrain are are not included in our dataset. 230

Features identified using the above procedure are initially classified as LPS. Monsoon depressions, which are strong LPS, are subsequently classified by requiring a closed contour magnitude of MSLP that is greater than or equal to 4 hPa and a maximum surface wind speed within 3° great-circle distance higher than 8.5 m s⁻¹ sustained for at least six hours along the track, similar to the IMD classification of depressions. LPS that do not satisfy these criteria are categorized as lows.

An LPS tracked using four different search variables is shown in Figure 1. The 237 feature is tracked successfully for all four variables in both ERA-Interim and JRA-55, 238 despite differences in spatial resolution between these datasets. There are differences 239 in the track length compared to the Sikka archive. Visually, tracking performed with 240 streamfunction, geopotential, and MSLP matches the Sikka track well, whereas track-241 ing performed using vorticity does not, producing a disjointed track in both reanalyses. 242 We systematically evaluate the performance of different tracking variables in Section 243 3. 244

2.5 Skill metric

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To assess the agreement between LPS tracks obtained from our training datasets 246 (the reanalyses) and the reference dataset (the Sikka archive), an event-matching al-247 gorithm is employed. Tracks are considered matched between two or more datasets 248 when their points lie within 3° great-circle distance of each other for at least one day 249 in their lifetime. The degree of match between tracks in the training and reference 250 datasets is first quantified in terms of a hit ratio and false alarm ratio . The hit ratio 251 is the fraction of matches in the reference dataset also detected in a training dataset. 252 The false alarm ratio is the fraction of features in a training dataset without a match 253 in the reference dataset. 254

We also use the Critical Success Index (CSI) (Di Luca et al., 2015) to assess algorithm skill. This index accounts for both matches and non-matches using a single skill score,

$$CSI(dataset, reference) = \frac{\langle matches \rangle}{\langle matches \rangle + \langle non-matches \rangle}.$$
 (1)

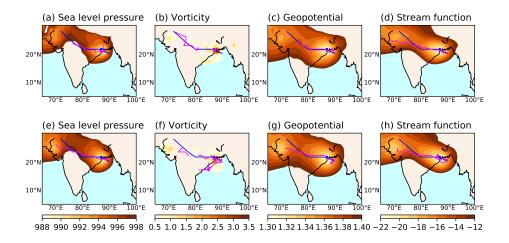


Figure 1. An illustration of LPS tracking using different search variables. The shaded region is (a, e) mean sea level pressure in hPa, (b, f) vorticity at 850 hPa in 10^{-4} s⁻¹, (c, g) geopotential at 850 hPa in 10^4 m²s⁻² and (d, h) streamfunction at 850 hPa in 10^6 m²s⁻¹ on 26–July–2003 00:00 UTC, corresponding to the point of maximum strength during the lifetime of an LPS. The LPS genesis point and track obtained using the given search variable are shown as the magenta dot and line, respectively. The blue dot and line are the Sikka archive LPS genesis point and track, respectively. The top panel shows results from ERA–Interim and the bottom panel from JRA-55.

Here (matches) is the count of matches between a training dataset and the reference
dataset, and (non-matches) is the average count of non-matches in the training and
reference datasets.

Since the reference dataset (the Sikka archive) may contain errors, it is inadequate
 to simply choose a tracking algorithm that maximizes the CSI for this single reference
 dataset. Hence, we also consider the degree to which a track is represented similarly
 across all reanalyses. We create a combined CSI that weights agreement between all
 of the reanalyses with agreement between the reanalyses and the Sikka archive,

$$\mathrm{CSI}_{combined} = \frac{\mathrm{CSI}_{EJCM} + \frac{\mathrm{CSI}_{ES} + \mathrm{CSI}_{JS} + \mathrm{CSI}_{CS} + \mathrm{CSI}_{MS}}{4}}{2}.$$
 (2)

Here CSI_{EJCM} is the CSI among all four reanalyses—namely, considering (matches) 266 to be the count of LPS common in all four reanalyses and (non-matches) to be the 267 average of non-matches among all four reanalyses. In the latter case, we define a non-268 match as occurring in a particular reanalysis when the LPS detected in that reanalysis 269 is not identified in at least one other reanalysis. The terms CSI_{ES} , CSI_{JS} , CSI_{CS} 270 and CSI_{MS} are the four CSI values of the four individual reanalyses (ERA-Interim, 271 JRA-55, CFSR, and MERRA-2, respectively) compared with the Sikka archive. The 272 combined CSI is employed to rank the performance of each tracking algorithm. 273

²⁷⁴ 3 An optimized tracking algorithm

Since monsoon lows and depressions have weaker intensities than classic tropical
cyclones, it has been a challenge to detect and classify these LPS in reanalyses. A
variety of methods have been used for this task, with relatively low levels of agreement
between the resulting track datasets. For example, Hurley and Boos (2015) and Hunt

et al. (2016) both identified LPS as cyclonic extrema of lower tropospheric relative 279 vorticity having concurrent negative anomalies of MSLP relative to a 21-day mean. 280 281 Those studies used only ERA-Interim data. Praveen et al. (2015) identified LPS in both ERA-Interim and MERRA with a detection algorithm designed to mimic the 282 manual identification of LPS performed by IMD, thus using only MSLP. Even when 283 mimicking the traditional detection methodology, Praveen et al. (2015) obtained only 284 modest correspondence with the Sikka archive: correlation coefficients for interannual 285 variations of monsoon LPS counts were 0.4 and 0.5 for ERA-Interim and MERRA, 286 respectively, referenced to the Sikka archive. All of the above studies chose thresholds 287 (e.g. a 2 hPa MSLP anomaly) for their detection algorithms based on some combination 288 of physical understanding and traditional identification methods, with little systematic 280 assessment of those thresholds. 290

291

3.1 Candidate variables and thresholds

Here we assess multiple candidate variables and detection thresholds to obtain a 292 tracking algorithm that is more nearly optimal across multiple reanalyses. Although it 293 is possible that every reanalysis and every particular configuration of an atmospheric model will have a unique geophysical variable and set of thresholds that allow LPS 295 identification to best match traditional methods (e.g. those used by IMD), retuning 296 tracking algorithms in this way is undesirable from the perspectives of both practi-297 cality and scientific understanding. So we perform a sensitivity analysis using a set 298 of candidate variables, with ranges of corresponding thresholds and the skill metric 299 defined above (the CSI). 300

We include MSLP and the 850 hPa relative vorticity (ζ) in this set of candidate 301 variables because these have previously been used for tracking monsoon LPS and, more 302 generally, tropical cyclones (for a relevant history see Bengtsson et al. (1982), Broccoli 303 and Manabe (1990), and Appendix B of Ullrich and Zarzycki (2017)) Drawbacks exist 304 for both of those variables, with peak values of vorticity depending on the horizontal 305 resolution of the underlying dataset, and MSLP being only an indirect indicator of the 306 circulation several kilometers above the surface, where monsoon LPS typically have 307 strongest winds. For this reason, we also consider the 850 hPa geopotential, which 308 provides the geostrophic circulation closer to the level of strongest winds. Additionally, 309 we consider the streamfunction (ψ) of the horizontal wind; through the relation $\nabla^2 \psi$ 310 $= \zeta$, it has an exact relation to the relative vorticity but is much smoother than that 311 variable. The geopotential and MSLP are similarly related to the vorticity only under 312 conditions of low Rossby number, and monsoon depressions can easily achieve Rossby 313 numbers of 2 (Boos et al., 2015). A practical challenge exists when computing ψ on 314 a level of a vertical coordinate system that intersects the ground, because boundary 315 conditions must be imposed on that intersection when inverting the winds (or vorticity) 316 to obtain the streamfunction. Some reanalyses (e.g. ERA-Interim) extrapolate winds 317 beneath Earth's surface, and we choose to replace those extrapolated values with zero 318 prior to inverting ζ to obtain ψ . More discussion of issues involved in calculating 319 the streamfunction is provided in Appendix A. In summary, the set of variables used 320 to create candidate tracking algorithms are MSLP, 850 hPa relative vorticity, 850 321 hPa geopotential, and 850 hPa streamfunction (see also Table 2). We later test the 322 sensitivity of the chosen geophysical variable to the choice of vertical level. 323

Detection of LPS involves using TempestExtremes to locate minima of MSLP, geopotential, or streamfunction, or maxima of vorticity, then testing whether that extremum is surrounded by a closed contour of the same field within a specified radius. Use of the closed contour criterion reduces the sensitivity of the method to resolution and furthermore resembles traditional methods, as discussed above. See Ullrich and Zarzycki (2017) for details on how TempestExtremes implements the closed contour criterion. We test eight closed contour magnitudes and two radii for identifying extrema, with the closed contour magnitudes and radius together essentially specifying a minimum radial gradient that must exist for the extremum to be classified as an LPS. We use radii of 5° and 10° of great circle distance, with the eight closed contour magnitudes for each candidate variable shown in Table 2. This approach is analogous that used by Zarzycki and Ullrich (2017) in developing optimal criteria for identification of tropical cyclones using TempestExtremes.

Table 2. Variables and closed contour magnitudes used for detecting low pressure systems.

Search variable	Closed contour magnitudes
Mean sea level pressure (Pa)	25, 50, 75, 100, 125, 150, 175, 200
Geopotential at 850 hPa (m^2s^{-2})	25, 50, 75, 100, 125, 150, 175, 200
Streamfunction at 850 hPa $(10^5 m^2s^{-1})$	5.0, 7.5, 10.0, 12.5, 15.0, 17.5, 20.0, 25.0
Relative Vorticity at 850 hPa $(10^{-5} s^{-1})$	2.5, 3.0, 3.5, 4.0, 4.5, 5.0, 5.5, 6.0

We additionally desire a criterion for distinguishing LPS from "heat lows", which 337 are non-precipitating low pressure systems trapped in the lower troposphere (Ramage, 338 1971; Rácz & Smith, 1999). Heat lows frequently form over northwestern India dur-339 ing summer and over central India before monsoon onset there; they fulfill all the 340 traditional kinematic criteria for LPS discussed in the Introduction, but seem to be 341 traditionally excluded from LPS datasets by some implicit criteria that we suspect 342 involves their geographic location or moisture content. We initially attempted to use 343 a precipitable water criterion to distinguish heat lows from traditional LPS, but rec-344 ognized that the increase in precipitable water expected in a warming climate might 345 create spurious trends in LPS counts. One alternative would be to require a minimum 346 precipitation rate to distinguish heat lows from LPS, motivated by the fact that most 347 interest in LPS exists because of their heavy precipitation. But precipitation rates 348 have large variance on short time and space scales, and are also subject to trends in 349 a warming climate. So we opt to distinguish LPS from heat lows using the 850 hPa 350 relative humidity (RH), averaged within 3° of the LPS center. Eight RH thresholds 351 ranging from 55% to 90%, with an interval of 5%, are used in the candidate tracking 352 algorithms. The RH is required to exceed these thresholds for a cumulative period of 353 at least one day over the disturbance lifetime to be considered an LPS, otherwise it 354 is categorized as a heat low. This choice thus includes systems in our LPS dataset 355 that spend much of their lifetimes as non-precipitating, low-RH disturbances but that 356 achieve high lower-tropospheric RH for at least one day. 357

358

3.2 Assessing candidate tracking schemes

Using the above sets of candidate variables, closed contour magnitudes, radii, 359 and RH criteria, we use TemepstExtremes to identify LPS in four reanalyses (ERA-360 Interim, JRA-55, CFSR, and MERRA-2; see Table 1) for the training period of 1990– 361 2003. This 14-year training period is chosen to overlap with the Sikka archive, which 362 ends in 2003, while leaving a substantial period for verification (1979-1989). A total of 363 2,048 track datasets are thus created (4 reanalyses \times 4 candidate variables \times 8 closed 364 contour magnitudes \times 2 radii \times 8 RH thresholds). The maximum surface wind speed 365 within 3° of the center is used as the maximum sustained surface wind speed of a 366 storm at a time step, and is used later to classify disturbances as lows and depressions. 367 The land-sea ratio of the grid point at the center of each LPS is used for region-wise 368 categorization, with storms treated as being over land when this ratio is higher than 369 0.5. The TempestExtremes commands for tracking LPS using these criteria is provided 370 in Appendix B. 371

We perform a sensitivity analysis by ranking the 512 tracking algorithms, for each 372 373 of the four reanalyses, by the combined CSI (Figure 2). As described in the previous 374 section, the combined CSI is a weighted average of the agreement of each reanalysis track dataset with the Sikka archive and the agreement between all reanalyses. The 375 top 31 algorithms by this ranking all use the 850 hPa streamfunction. The top-ranked 376 algorithm requires a disturbance to have an 850 hPa streamfunction that increases by 377 1.25×10^6 from the center minimum within a radius of 10° , while achieving an 850 hPa 378 RH of at least 85% for at least one day. The second-best variable for tracking LPS is 379 the 850 hPa geopotential (closed contour magnitude of $125 \text{ m}^2 \text{ s}^{-2}$ and RH higher than 380 85%). The lower ranking (32 out of 512) of the geopotential comes mainly from greater 381 disagreement between reanalysis tracks, i.e. a smaller value of CSI_{EJCM} in Equation 382 2, and algorithms based on geopotential are only slightly less skillful than those based 383 on streamfunction (Figure 2). Since streamfunction is not included in most reanalyses 384 and must be computed prior to running the tracking algorithm, the geopotential is 385 a viable alternative for LPS tracking that requires only a slight compromise in skill. 386 Algorithms based on MSLP have lower skill, with the highest rank of 133 (out of 512); 387 the lower rank comes mainly from greater disagreement between reanalyses but with 388 some contribution from disagreement with the Sikka archive (not shown). The least 389 skillful algorithms all use the 850 hPa vorticity, with the highest rank of 359 out of 390 512. The vorticity-based algorithms produce track datasets that disagree most strongly 391 between reanalyses and that differ most with the Sikka archive. This is notable given 392 the number of past studies that have used vorticity or potential vorticity to track 393 synoptic-scale monsoon disturbances in Asia, Africa, and Australia (Hurley & Boos, 394 2015; Hunt et al., 2016; Thorncroft & Hodges, 2001; Berry et al., 2012). Variables 395 and thresholds for the top-five ranked algorithm and top algorithm of each searching 396 variable are depicted in Table S1. 397

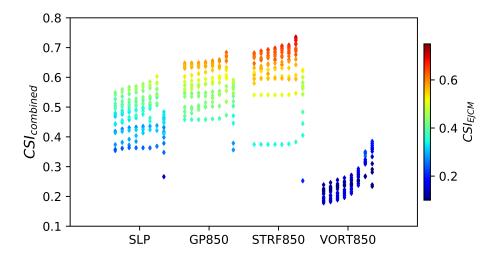


Figure 2. Illustration of the greater skill of 850 hPa streamfunction in detecting LPS. Each diamond marks the combined Critical Success Index (defined in text) for one combination of closed contour magnitude, radius, and RH threshold. Shading represents consistency of the algorithm across reanalyses. The tested variables were mean sea level pressure (SLP), 850 hPa geopotential (GP850), streamfunction of the 850 hPa horizontal wind (STRF850), and 850 hPa relative vorticity (VORT850). Each column within a variable represents one RH threshold, from 55% (left) to 90% (right) with an interval of 5%.

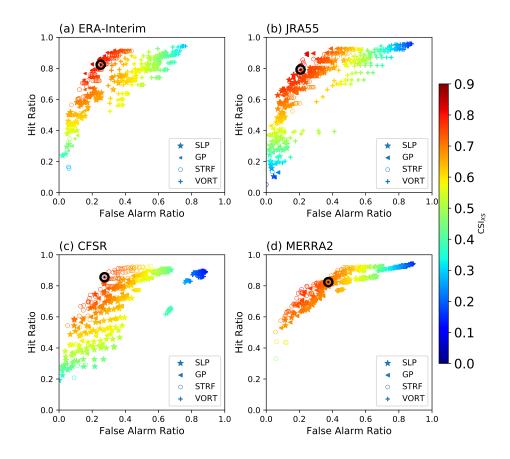


Figure 3. Hit ratio vs. false alarm ratio (see text for definition) with respect to the Sikka archive for all LPS algorithms, shaded by CSI_{XS} for: (a) ERA-Interim, (b) JRA-55, (c) CFSR, and (d) MERRA-2. The black circle represents the selected optimal algorithm (top-ranked by the combined CSI).

Although the consistency of an algorithm across reanalyses constitutes a large 398 part of its skill score, the top-ranking algorithm also captures more than 80% of LPS in 399 the Sikka archive, our reference dataset. The fraction of LPS in the Sikka archive that 400 are detected in reanalyses (the hit ratio) is plotted against the fraction of LPS detected 401 in reanalyses that do not exist in the Sikka archive (the false alarm ratio) in Figure 3. 402 Tracking algorithms using the 850 hPa streamfunction and 850 hPa geopotential have 403 higher CSI values with higher hit ratios and lower false alarm ratios in all reanalyses. 404 In most reanalyses, algorithms based on MSLP have smaller hit ratios than those based 405 on streamfunction or geopotential. Vorticity-based algorithms have lower CSI values 406 mainly due to higher false alarm ratios in all reanalyses. The top-ranked algorithm by 407 the combined CSI (marked by black circles in Figure 3) compares well with the Sikka 408 dataset in all reanalyses, with hit ratios of about 0.8 and false alarm ratios around 0.3. 409

We also compute the skill scores outside the training period (in the validation period of 1979–1989), finding that there is little change in the level of agreement between each reanalysis and the Sikka archive; the CSI of ERA-Interim, JRA-55, CFSR and MERRA-2 are 0.79, 0.80, 0.79 and 0.71, respectively, in the training period and 0.78, 0.78, 0.77 and 0.72, respectively, in the validation period. Remarkably,
ERA5, which was not used for training, has a higher CSI (0.83), a higher hit ratio,
and a lower false alarm ratio than the other reanalyses. This is true despite the fact
that ERA5 has hourly, 0.27° resolution while the reanalyses used for algorithm training
have grid spacings coarser by factors of 2-6.

For completeness, we also checked whether combining MSLP and 850 hPa vor-419 ticity might improve the tracking algorithm, since previous studies used such com-420 binations of variables (Hurley & Boos, 2015; Hunt et al., 2016). Algorithms using 421 422 a combination of MSLP and vorticity had CSI values around 0.6, similar to those 423 based on MSLP alone. Furthermore, combining streamfunction and vorticity did not noticeably improve the skill scores. Finally, we tested whether the skill score would 424 improve by using a variable from a different vertical level. Using the streamfunction 425 of horizontal wind at 1000 hPa, 700 hPa, and 500 hPa yielded CSI values lower than 426 those obtained for the 850 hPa streamfunction. 427

⁴²⁸ Using the top-ranked algorithm, we track LPS in all available years of all reanal-⁴²⁹ yses (Table 1). This includes ERA5, which was not used for training.

⁴³⁰ **3.3** Are non-matches real systems?

We now check whether the "false alarms"-LPS identified in reanalyses by our 431 top-ranking algorithm but missing in the Sikka archive—exist due to some error or 432 artifact in the tracking algorithm. We do this by comparing composites of the struc-433 tures of reanalysis LPS that match those in the Sikka archive with composites of those 434 missing from the Sikka archive. We do this separately for monsoon lows and monsoon 435 depressions, since the implications of a false alarm are different when the LPS is a 436 weak LPS compared to a strong one. We furthermore only include a reanalysis LPS in 437 our composites of false alarms when it is completely missing from the Sikka archive, as 438 opposed to when it is categorized differently (e.g. here we ignore LPS that are classified as a depression in a reanalysis but a low in the Sikka archive). These composites are 440 made using ERA5, since that reanalysis was not used in tuning the tracking algorithm. 441 There are 57 lows and 10 depressions in ERA5 that are missing from the Sikka archive. 442 Composites are created by averaging, in a storm-centered reference frame, the three 443 time steps having the largest central MSLP anomaly. 444

The composites of lows and depressions have structures consistent with those 445 seen in prior studies (Godbole, 1977; Hurley & Boos, 2015), and these exhibit rel-446 447 atively little differences between matches and non-matches (Figure 4). The LPS all consist of a column of cyclonic potential vorticity (PV) that extends from the sur-448 face to the upper troposphere, with primary maxima near 500 hPa and secondary 449 peaks around 850 hPa. The composite relative vorticity is more bottom-heavy, peak-450 ing near 800 hPa. Both the PV and relative vorticity tilt slightly westward with 451 height and are stronger in depressions than in lows, as expected. For lows, the non-452 matches (i.e. those present in ERA5 but missing from the Sikka archive) are weaker 453 than the matches, perhaps because the 850 hPa streamfunction in ERA5 represents 454 weaker systems than were contained in the MSLP maps on which the Sikka archive 455 was based, or perhaps because our tracking algorithm was better able to detect weak 456 systems than the subjective analysis used by the Sikka archive. There is no clear dif-457 ference between the composites of matching and non-matching depressions, with any 458 quantitative differences in magnitude likely not significant considering the low number 450 (10) of non-matches. Comparisons of composites of winds, relative humidities, and 460 temperatures yielded similar results (not shown). 461

We furthermore obtained the daily MSLP charts from the IMD, which are thought to be similar to those on which the Sikka archive was based, and manually inspected these to search for the ten depressions present in ERA5 but missing in the Sikka

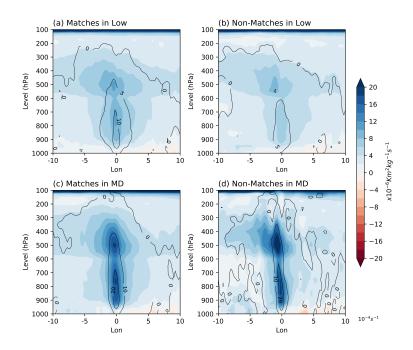


Figure 4. Composite of the vertical cross-section of potential vorticity (shaded) and relative vorticity (contours), through the central longitude of the system, for (a) matches in lows, (b) non-matches in lows, (c) matches in depressions and (d) non-matches in depressions.

archive. At the times and locations of all ten of these missing depressions, we found
LPS-like features in the pressure charts, with three of the charts clearly showing disturbances marked on the charts as depressions or a more intense category of LPS.
We conclude that the ten additional depressions in ERA5 are real and were somehow
missed when the Sikka archive was created.

470 4 Assessing the LPS climatology in reanalyses

We now examine the climatological mean distributions of genesis density, track
 density, disturbance lifetime, and track length, with the goal of assessing whether the
 overall statistics of disturbances identified in reanalyses agree with the well-known
 statistics of monsoon LPS.

4.1 Genesis

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The boreal summer (June-September) distributions of genesis frequency for all
LPS are broadly similar among all reanalyses and the Sikka archive (Figure 5). The
latter has genesis more concentrated over the northern Bay of Bengal, but with a total
number of LPS—14 per summer—similar to that in most of the reanalyses. The total
count is higher in MERRA-2 and CFSR, around 18 per summer.

There is general agreement amongst the reanalyses, and between the reanalyses and the Sikka archive, regarding the partitioning of LPS into lows and depressions, the rate of genesis over land compared to that over ocean, and the seasonal cycle of

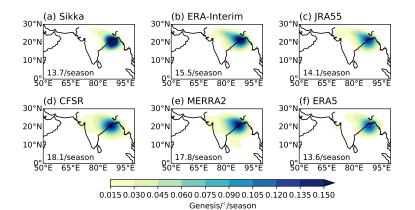


Figure 5. Genesis density of LPS for the period of 1980–2003 in the Sikka archive and reanalyses. Kernel density estimates are used to calculate the genesis density. Numbers in the bottom-left corner represent the mean number of LPS in a season for the period.

genesis (Figure 6). The most notable outlier is MERRA-2 which, unlike the other four
 reanalyses and the Sikka archive, has more depressions than lows.

Consistent with the spatial distributions of genesis shown in Figure 5, most 486 reanalyses also represent a larger fraction of LPS forming over land, compared to 487 the Sikka archive (Figure 6). ERA5 has the fewest LPS of all the reanalyses, though 488 the difference is relatively small, and the ERA5 total count is an almost exact match 489 to the Sikka archive. The match with the Sikka archive is notable because ERA5 490 was not included in the algorithm's training dataset. All the reanalyses also capture 491 the greater frequency of LPS in the middle of summer, although ERA5 shows slightly 492 greater frequency in July while all other reanalyses and the Sikka archive show greatest 493 frequency in August. This seems to be an improvement over previous reanalysis-based 494 tracking algorithms, which showed genesis occuring more frequently in June than in 495 August in ERA-Interim (Hurley & Boos, 2015). 496

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4.2 Track density and lifetime of LPS

All the reanalyses show a similar track density distribution to that seen in the Sikka archive, although the reanalyses extend further westward toward northwestern India (Figure 7). The highest track density over land is found in MERRA-2; that reanalysis also has the highest number of days with an LPS present, which is due to both the high genesis frequency and high LPS lifetime in MERRA-2 (Figure 8).

Lifetimes are generally longer in the reanalyses, with the longest found in ERA5, 503 which has LPS lasting one to two days longer than the Sikka archive. The distributions 504 of lifetimes for all LPS are more strongly skewed in the reanalyses than in the Sikka 505 archive, with the median lifetime being almost a full day longer than the mean lifetime 506 (Figure 8a). Track lengths (in great circle distance between start and end points) are 507 similar between the reanalyses and Sikka archive, implying a slower translation speed 508 in the reanalyses: 2.3 m s⁻¹ in the Sikka archive and 1.6 m s⁻¹ - 1.91 m s⁻¹ in the 509 reanalyses. In all datasets, depressions have longer tracks and lifetimes than lows, and 510 tracks and lifetimes are longer over ocean than over land. 511

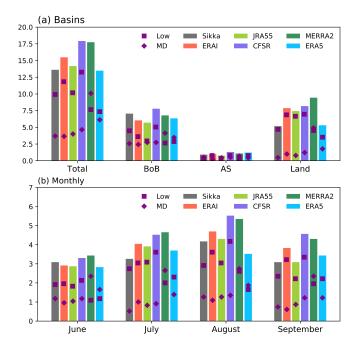


Figure 6. (a) The summer monsoon season (JJAS) climatology, for the Sikka archive and reanalyses, of the number LPS formed over the north Indian Ocean basin and subregions of the Bay of Bengal (BoB), Arabian Sea (AS), and Indian land mass (Land). (b) Climatological monthly variation of LPS. monsoon lows and monsoon depressions are represented as squares and diamonds respectively. The period of analysis is 1980–2003.

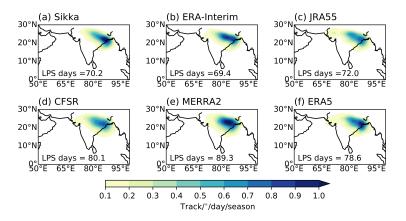


Figure 7. Track density of LPS for the period of 1980-2003 in the Sikka and reanalyses. Kernel density estimates are used to calculate the track density of LPS. Numbers in the bottom-left corner represent the mean number of days in which LPS are present in a season for the period.

512 5 Interannual and long term variations

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5.1 Interannual correlations between datasets

The interannual variability of seasonal total counts of LPS, lows, and depressions has a similar magnitude across reanalyses and the Sikka archive, but these variations

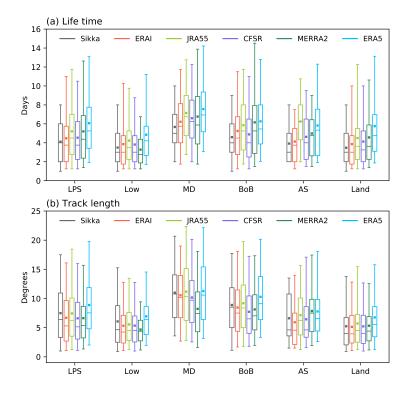


Figure 8. Box-and-whisker plots of (a) lifetime (b) track length of LPS in Sikka archive and all reanalyses. The horizontal line within the boxes indicates the median, boundaries of the boxes indicate the 25^{th} and 75^{th} percentile, the whiskers indicate the 5^{th} and 95^{th} percentile values, and the solid square represents the mean value. The period of analysis is 1980–2003.

exhibit low to modest correlation between data products (Figure 9a). The correlations 516 between different reanalyses of seasonal LPS counts range from about 0.5 to 0.75, 517 higher than the 95% confidence level of 0.34 for this sample size, with the two ECMWF 518 reanalyses being most strongly correlated. The dataset having the weakest correlations 519 with all others is the Sikka archive; the LPS dataset of Hurley and Boos (2015) also 520 showed little interannual correlation with the Sikka archive. This might arise due 521 to differences in the geophysical observations on which each dataset is based, on the 522 variables used for tracking, and on other methodological details. In particular, LPS in 523 the Sikka archive were identified through manual analysis of surface pressure charts, 524 which were in turn obtained through manual analysis of station observations; such 525 subjective methods might introduce random and/or systematic errors (e.g., a bias 526 toward identifying LPS over land). 527

Some brief statistical modeling illustrates the effect of such errors on interannual 528 correlations. We state in Section 3.3 that 67 LPS are present in ERA5 but not in the 529 Sikka archive; these non-matching storms reduce the interannual correlation between 530 those two datasets. We test the sensitivity of the interannual correlations to miss-531 ing storms by removing random LPS from the Sikka archive between 1979 and 2003, 532 adding the same number of "false alarm" LPS to random years in that archive, then 533 recalculating the interannual correlation with the original Sikka archive. Removing 534 67 random storms (20% of the archive) and adding the same number of false alarms 535

degrades the correlation coefficient from 1.0 to an average of 0.62 (with a 95% con-536 537 fidence interval of 0.36-0.81, empirically sampled from 1,000 iterations). Increasing 538 the fraction of randomly replaced storms to 30% of those in the Sikka archive further degrades the correlation coefficients, in this statistical model, to the range of 0.1-0.2539 seen for correlations between the Sikka archive and most reanalyses. Thus, the rel-540 atively low interannual correlation each reanalysis has with our reference dataset is 541 consistent with the hit ratios and false alarm ratios seen in Figure 3. We note that the 542 interannual correlation between the Sikka archive and ERA–Interim (0.53) is higher 543 than reported by Praveen et al. (2015) (0.2 to 0.4); those authors detected LPS using 544 surface pressure, which we show in Section 3.2 produces worse skill than detectors 545 based on streamfunction (which was used in the tracking algorithm examined here). 546

Interannual correlations between datasets are weaker for the individual categories 547 of lows and depressions (Figures 9b, c). These lower correlation values are likely related 548 to differences in the categorization of lows and depressions in the Sikka archive and 549 reanalyses. Even though the reanalyses capture more than 80% of LPS in the Sikka 550 archive, one in three depressions in the Sikka archive are categorized as a low in the 551 reanalyses and vice-versa. Yet there is clearly some agreement: all datasets, including 552 the Sikka archive, capture the high number of depressions in 2006 (Figure 9d), which 553 coincides with an Indian Ocean Dipole event (Krishnan et al., 2011). 554

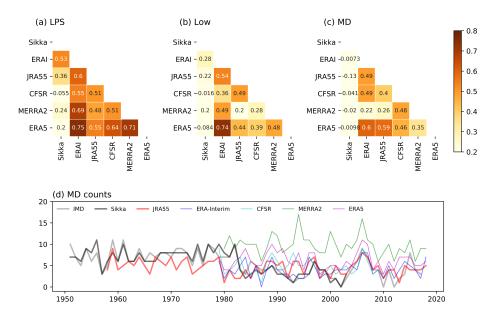
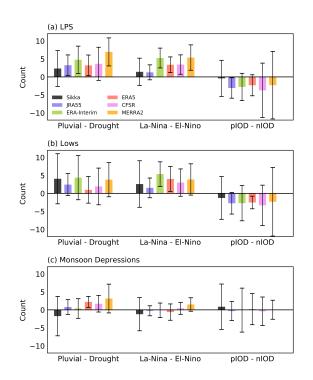


Figure 9. Interannual correlation of the number of Indian summer (JJAS) (a) monsoon low-pressure systems (b) lows and (c) monsoon depressions between the datasets includes Sikka archive and the reanalyses during 1980-2003, the years in which all LPS dataset available. (d) Year to year variation in the number of monsoon depression in all renalysis datasets, Sikka archive and from IMD.

555 5.2 Relation to interannual climate modes

Given the large contribution of LPS to India's total summer rainfall (Yoon & Chen, 2005), some studies have explored whether interannual variations in LPS activ-

ity are associated with interannual variations in total Indian summer rainfall (Sikka, 558 559 2006; Krishnamurthy & Ajayamohan, 2010). We build on this by analyzing how LPS 560 count, mean lifetime, and track length vary between pluvial and drought years in the Sikka archive and reanalyses. We define "pluvial" years as years when seasonal total 561 rainfall is more than one standard deviation above the mean, and "drought" years as 562 those when rainfall is more than one standard deviation below the mean. LPS counts 563 are significantly higher in pluvial than drought years in four out of five reanalyses (Fig-564 ure 10a), but there are no significant changes in lifetime and track length in four of five 565 reanalyses (Figure S1a and S2a). Although the Sikka archive shows no change in LPS 566 counts between pluvial and drought years between 1979 and 2003, Krishnamurthy and 567 Ajayamohan (2010) performed the same analysis of the Sikka archive for 1901-2003 568 and found a higher number of LPS and a higher number of days with LPS conditions 569 in pluvial compared to drought years. When examining how counts of the individual 570 categories of lows and depressions change between pluvial and drought years, most 571 reanalyses and the Sikka archive show no detectable signal (Figure 10b, c). 572



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Figure 10. Difference of (a) LPS, (b) lows and (c) monsoon depression mean counts in pluvial-drought summer monsoon years, La Niña-El Niño years, and positive-negative Indian Ocean Dipole years. The vertical lines represent the 95% confidence interval for the difference in the mean counts. Analysis of each dataset set includes all available period of that datsets (see Table 1)

Interannual variation of the Indian summer monsoon is highly linked to ENSO, with an increased propensity for drought years in the warm phase of ENSO (El Niño) and pluvial years in its cold phase (La Niña). We find that LPS counts are higher in La Niña years than El Niño years (Figure 10a), and the differences are significant in all datasets except the Sikka archive and JRA-55. When assessing ENSO-related

variations in lifetimes and track lengths, the only detectable signal is in ERA-Interim 578 and ERA5, which exhibit LPS lifetimes that are higher in El Niño years than La Niña 579 580 years (contrasting with their lower average counts in those years; Figure S1a). The 581 fact that a signal is sometimes detected in only one or two out of six datasets shows that it may be important to reexamine results from prior studies that relied on a 582 single dataset. For example, Krishnamurthy and Ajayamohan (2010) used only the 583 Sikka archive when showing that LPS activity is roughly equal in El Niño and La Niña 584 years. Hunt et al. (2016) relied on only ERA-Interim when finding that depression 585 activity is 16% higher in El Niño than La Niña years. 586

Finally, we examine covariations of LPS with the Indian Ocean Dipole (IOD), 587 an SST pattern associated with variations in Indian summer monsoon circulation and 588 rainfall (Saji et al., 1999; Webster et al., 1999). Krishnan et al. (2011) found that 589 depressions have higher track lengths in positive IOD years, and Hunt et al. (2016) 590 found that depression lifetime is 12% higher in positive IOD years. We find that only 591 one reanalysis (JRA-55) shows a change in LPS counts between positive and negative 592 IOD years, and another reanalysis (CFSR) shows longer depression lifetimes (Figure 593 S1c and S2c). For all other datasets, the 95% confidence interval on the IOD-related 594 changes in counts, lifetimes, and track lengths includes zero. 595

596 5.3 Trends in LPS

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5.3.1 Linear trend analysis

Based on the Sikka archive and the IMD dataset of depression counts, previous 598 studies discussed an apparent increase in the number of lows and a decrease in the 599 number of depressions forming each summer (Jadhav & Munot, 2009; Prajeesh et al., 600 2013; Vishnu et al., 2016, and references therein). However, Cohen and Boos (2014) 601 questioned the existence of a decrease in the number of depressions in the past 40 602 years, based on their finding that no trend in depression counts could be detected in 603 ERA-Interim and on their discovery of depressions in that reanalysis that were missing 604 in the IMD dataset. Here we examine whether a trend in the number of LPS overall, 605 or in the number of lows or depressions, can be detected in any of the track datasets 606 created using our tracking algorithm. We first assess the period since 1979, since four 607 of our reanalyses start in that year, then compare to results starting in 1958 (for which 608 only JRA-55, the Sikka archive, and the IMD dataset provide values). 609

Consistent with previous studies, the Sikka archive shows no trend in the seasonal
 counts of all LPS since 1979, together with an increasing trend in lows and a decreasing
 trend in depressions (Figure 11). Any decreasing trend in depressions in the IMD
 dataset is weaker and has an error bar that includes zero. None of the reanalyses show
 any appreciable trend in lows or depressions.

Vishnu et al. (2016) noted that the trend in depressions is not linear, but consists 615 mainly of a large reduction around 1980, which lies at the beginning of the records 616 discussed in the previous paragraph. Since JRA-55 is the only reanalysis with data 617 prior to 1979, we compute the trend in depressions for the more extended period 618 starting from 1958 in JRA-55, and compare this with trends from the IMD and Sikka 619 datasets (Figure 11). The IMD and Sikka datasets show depression counts decreas-620 ing at a rate of -0.096 year⁻¹ and -0.14 year⁻¹, respectively (with 95% confidence 621 intervals of ± 0.032 year⁻¹ and ± 0.043 year⁻¹; Figure 11, and see the time series in 622 Figure 9d). The long-term decrease in depressions in the Sikka archive is opposed by 623 a long-term increase in lows, resulting in no trend in total LPS. Any trend in JRA-55 624 is substantially smaller than in the Sikka or IMD datasets and is not significant at 625 the 95% confidence level (Figure 11); the JRA-55 trend is -0.021 year⁻¹ with a 95% 626 confidence interval of ± 0.024 year⁻¹). No statistically significant trend is seen in the 627 total number of LPS forming each summer in JRA-55. 628

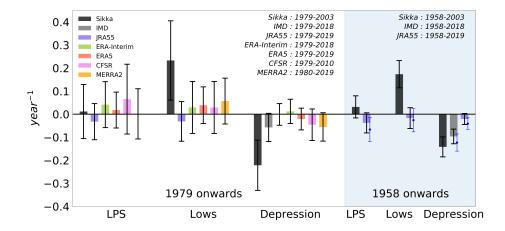


Figure 11. Linear trends in LPS, lows, and depression in the Sikka archive and reanlyses. The white shaded region shows trends from 1979 onwards, while gray shaded region shows trends for 1958 onwards. Error bars represent the 95% confidence interval for these trends. Blue dots and error bars represent the trend and 95% confidence interval, respectively, for the extended season of May to October. The 95% confidence intervals assume a normal distribution and thus are 1.96 times the standard error.

Although the Indian summer monsoon season is commonly defined as occurring 629 June-September, with the Sikka archive available for only those months, it is possible 630 that the results of our trend analysis would change if we used an extended season of 631 May-October. Indeed, Xavier et al. (2007) argued that the primary effect of ENSO 632 on Indian rainfall occurs via its influence on the duration of the rainy season, with La 633 Niña events allowing it to extend into May and October. Including May and October 634 in our trend analysis for the period starting in 1958 yields an increase in the magnitude 635 of the depression count trends found in JRA-55 and the IMD dataset, with the JRA-55 trend becoming significant at the 95% confidence level (Figure 11). The total number 637 of LPS forming in this extended summer season in JRA-55 also shows a decreasing 638 trend that is significant at the 95% confidence level, but there is no discernible trend in 639 lows in JRA-55. We also repeated the trend assessment, using the longer May-October 640 season, for all reanalyses for the shorter period starting in 1979, with little change in 641 the results: only ERA-Interim LPS show an increasing trend significant at the 95%642 confidence level. 643

We also analyze storm count trends using multiple detection algorithms, in order 644 to explore the influence of parametric and structural uncertainty in the algorithm on 645 our trend assessment. Specifically, we examine LPS counts obtained using the top five 646 streamfunction-based algorithms, the top three geopotential height-based algorithms, 647 and the top three MSLP-based algorithms. No algorithms applied to any reanalysis 648 show a significant trend starting in 1979 (Figure S3). However, two of the nine algo-649 rithms applied to JRA-55 show a statistically significant decrease in LPS from 1958, 650 and one of the nine shows a significant decrease in depression counts in that period. 651 All of these trends are of similar magnitude to the those found in JRA-55 with our 652 primary algorithm (Figure 11). 653

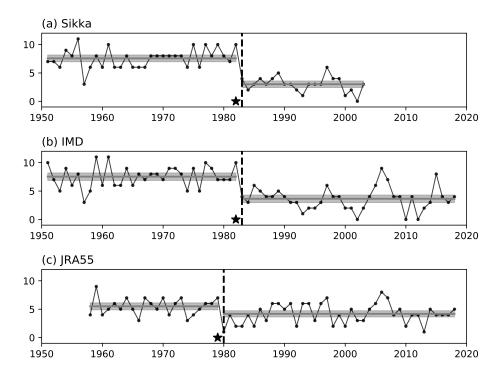


Figure 12. Illustration of a shift in depression count around 1980. Year-to-year variation of depression count (black line) in (a) Sikka archive, (b) IMD and (c) JRA-55. The vertical dash line is the mean shift in the depression count using binary change point detection. The star symbol represents the introduction of the geostationary satellites in the respective dataset. The horizontal grey line shows the annual mean value of depression count in the given epoch, and shading shows the 95% confidence interval of the mean value.

5.3.2 Change point detection

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Like any reanalysis, JRA-55 assimilated data from an observational network that 655 evolved over time, and we wish to consider whether this might affect any detected 656 trends. For example, satellite data first started to be assimilated by JRA-55 around 657 1980, and there is a large reduction in depression counts in JRA-55 in that year 658 (Figure 9d). We calculate the year and magnitude of a single long-term shift in the 659 summer mean depression count using a binary change point detection method (Truong 660 et al., 2019), and find that the mean depression count undergoes a systematic reduction 661 in the early 1980s all datasets: 1983 in IMD and the Sikka archive and 1980 in JRA-55 662 (Figure 12). The shift in the mean values is larger in the IMD dataset (decreasing from 663 $7.5\pm0.7~{\rm year^{-1}}$ to $3.6\pm0.7~{\rm year^{-1}}$) and the Sikka archive (decreasing from 7.6 ± 0.6 664 year⁻¹ to 3.0 ± 0.6 year⁻¹) and smallest in JRA-55 (decreasing from 5.5 ± 0.6 year⁻¹ to 665 4.2 ± 0.5 year⁻¹). The shifts in all three data sets are statistically significant at the 95% 666 confidence level. The shift in JRA-55 in 1980 is contemporaneous with the introduction 667 of geostationary satellites observations to that reanalysis system in 1979 (Ebita et al., 668 2011), the date marked by the star in Figure 12c. Similarly, the IMD started using 669 Indian geostationary satellite data in 1982, which is contemporaneous with the 1983 670 shift in depression counts in both the Sikka archive and the IMD dataset (recall that 671 the Sikka archive was constructed by analyzing MSLP maps obtained from the IMD). 672

This suggests that the shift, and by association the linear trends discussed above, might be an artifact of changes in observational data sources. Formal attribution of these early-1980's shifts is beyond the scope of this manuscript, but these results suggest that further study is warranted.

6 **Summary and conclusions**

Synoptic-scale monsoon LPS produce abundant rainfall over South Asia, making 678 the identification of LPS in estimates of past and future atmospheric states an impor-679 tant task. Yet previous methods for tracking LPS have relied on subjective or auto-680 mated methods not systematically assessed for skill or optimality (Mooley & Shukla, 681 1987; Sikka, 2006; Praveen et al., 2015; Hurley & Boos, 2015; Hunt et al., 2016). For 682 example, multiple previous LPS datasets were based entirely on MSLP, even though 683 684 LPS are known to have peak intensities several kilometers above the surface (Godbole, 1977). These issues become especially salient when examining multi-decadal trends in 685 LPS activity, because unintentional changes in a subjective method or trends in the 686 observing network on which an underlying dataset is based could bias an analyzed 687 trend. 688

This study builds on previous literature by introducing a fast and objective track-689 ing algorithm able to identify monsoon LPS in high-resolution datasets. The method 690 is based on the feature tracking capabilities of the TempestExtremes package. A sensi-691 tivity analysis was performed to choose an optimal algorithm using multiple reanalyses 692 of various spatial and temporal resolutions. A total of 512 algorithms (defined by dif-693 ferent search variables and values for the closed contour criteria) are applied to four 694 reanalyses for the training period of 1990-2003. Based on a skill score, the CSI, that 695 compares the reanalyses with each other and with the Sikka archive (our reference 696 dataset), the optimal algorithm was found to use the 850 hPa streamfunction. The 697 LPS identified with this algorithm in reanalyses are found to match more than 80% of 698 LPS in the Sikka archive. The reanalyses track datasets also contain LPS not present 699 in the Sikka archive. For instance, the ERA5 dataset includes 57 lows and 10 depres-700 sions that are entirely missing in the Sikka archive. Composites of these LPS and the 701 LPS present in the Sikka archive show similar dynamical structures, so we conclude 702 that the algorithm correctly captures LPS in the atmospheric states represented by 703 the reanalyses. 704

Characteristics of the LPS, including distributions of genesis frequency, track 705 density, intensity, lifetime, and track length, are consistent across all reanalyses and 706 are similar to results from the Sikka archive. The new reanalysis track datasets also 707 reproduce previously reported monthly and basin-wise climatological variations of LPS 708 characteristics. On interannual time scales, LPS counts in the reanalyses have weak 709 correlation with the Sikka archive. This result may be due, in part, to LPS that are 710 missing from the Sikka archive but that exist in most of the reanalyses. The better 711 correspondence between the track datasets based on five different reanalyses, with 712 horizontal resolutions ranging from 0.25° to 1.25° , gives confidence that the algorithm 713 can consistently capture LPS in datasets with different resolutions. 714

Our examination of interannual variations in LPS genesis frequency, track length, 715 and lifetimes illustrate the importance of assessing signals in multiple datasets. For 716 the period starting in 1979, we find significantly higher LPS counts in pluvial years 717 compared to drought years in four out of five reanalyses, in agreement with the longer 718 period (1901-2003) analysis of Krishnamurthy and Ajayamohan (2010). We also find 719 significantly higher LPS counts in La Niña vears relative to El Niño vears, again in 720 four of five reanalyses and consistent with Krishnamurthy and Ajavamohan (2010). 721 Associations between LPS counts and the Indian Ocean Dipole are detected in only 722 one reanalysis, despite the fact that Krishnan et al. (2011) and Hunt et al. (2016) 723

reported enhanced depression activity in positive IOD years. The higher depression
 activity in El Niño years reported by Hunt et al. (2016) was also not seen in any of
 the reanalyses we examined.

Past studies of long-term trends in the IMD and Sikka datasets have found in-727 creases in the number of lows and decreases in the number of depressions (Rajendra Ku-728 mar & Dash, 2001; Prajeesh et al., 2013; Vishnu et al., 2016). Here, however, we do not 729 detect statistically significant trends in summer counts of lows or depressions in any 730 731 reanalysis for the period from 1979 onwards (the Sikka archive has a strong decrease in depression counts and an increase in lows for that period). The JRA-55 reanaly-732 733 sis, which provides data starting in 1958, shows a statistically significant reduction in depression counts only when using an extended summer season (May-October), and 734 this trend is about one-quarter the magnitude of the trend seen in the IMD dataset 735 and Sikka archive. Furthermore, a binary change point detection analysis shows that 736 the long-term decrease is consistent with a step-wise reduction in depression counts 737 in the year following the introduction of geostationary satellite data into the datasets 738 underlying the IMD, Sikka, and JRA-55 products. This suggests the possibility that 739 no long-term reduction in depressions has occurred, and trends seen in existing data 740 products may be artifacts of change in the observing network; further analysis is war-741 ranted. 742

The new and objective LPS datasets developed here have been made publicly 743 available, together with the tracking algorithm, to allow their broad use in characteriz-744 ing LPS activity and understanding LPS dynamics (doi:10.5281/zenodo.XXXXX) /the 745 datasets will be finalized and uploaded, and a DOI obtained, after addressing reviewer 746 comments that may require modification of the datasets. These datasets and the track-747 ing algorithm may also be useful in assessing LPS activity in ensembles of global 748 climate models and in characterizing and correcting bias in forecasts made by numer-749 ical weather prediction models. The future release of new reanalysis data for years 750 preceding 1979, such as is expected for ERA5 (Hersbach & Dee, 2016), will also pro-751 vide new opportunities to reexamine long-term trends in LPS activity, especially since 752 753 those reanalyses include representations of historical climate forcings by greenhouse gas, aerosol, and land use changes. 754

755 Appendix A Boundary conditions for streamfunction inversion

A practical challenge exists when computing the streamfunction, ψ , of the hor-756 izontal wind, \vec{u} on a level of a vertical coordinate system that intersects the ground: 757 boundary conditions must be imposed on that intersection when inverting the winds 758 (or vorticity) to obtain ψ . That is, the uniqueness of the Helmholtz decomposition that 759 holds in a spherical domain without boundaries breaks down, and a class of harmonic 760 functions can be added to ψ while still allowing $\nabla^2 \psi$ to correctly represent the local ver-761 tical vorticity. Numerous ways of dealing with this nonuniqueness have been proposed 762 in the context of atmospheric and oceanic flow (Lynch, 1988). One method requires 763 the velocity potential, ϕ to vanish on the boundaries, minimizing the kinetic energy in 764 the divergent part of the flow (Sangster, 1960; Pedersen, 1971). Another method re-765 quires ψ to be constant along a boundary (Watterson, 2001); this is appropriate when 766 there is zero horizontal divergence along the boundary but is invalid in many cases 767 having large vertical motion along physical boundaries, such as up-welling in coastal 768 ocean regions (Li et al., 2006) or strong orographic ascent in the atmosphere. Lynch 769 (1989) proposed a three-component partitioning into nondivergent, irrotational, and 770 harmonic flow, while Li et al. (2006) made the two-component decomposition unique 771 by introducing a constraint to the inversion problem that implicitly determines the 772 boundary condition by minimizing the joint amplitude of ψ and ϕ . 773

774 775	Here we are concerned with domain boundaries created by the intersection of a pressure surface with topography, with the pressure surface lying at a sufficiently high
776	altitude that the boundaries surround relatively small holes in the otherwise global,
777	spherical domain. Unlike the regional atmospheric model problem in which ψ and
778	ϕ are obtained in a subdomain of global, nonzero atmospheric flow, we know that
779	no wind exists outside of our domain (i.e. beneath Earth's surface). We thus follow
780	the suggestion of Morse and Feshbach (1953) and set the total wind outside the do-
781	main boundaries to zero and invert \vec{u} to obtain unique distributions of ψ and ϕ in
782	the unbounded global domain. Some reanalyses (e.g. ERA-Interim) extrapolate winds
783	beneath Earths surface, so our choice involves replacing those extrapolated values with
784	$\vec{u} = 0$. This choice results in nonzero values of the nondivergent and irrotational wind
785	beneath Earths surface; these two components sum to zero in that region. This con-
786	trasts with methods that assumed nondivergent flow along the boundaries (Watterson,
787	2001), because we recognize that winds can horizontally converge along the topographic
788	boundary at the grid scale of the data; such convergence is common along the Himalaya
789	and Arakan mountains in the summer monsoon. An important point is that our choice
790	of \vec{u} beneath Earths surface, or equivalently of the boundary condition for ψ , has only
791	minor effects on our numerical identification of vortices because that choice alters ψ
792	only by addition of a function with zero curvature, and our identification algorithm
793	involves finding local minima (i.e. regions of positive curvature) in the discretized
794	streamfunction.

⁷⁹⁵ Appendix B LPS detection program

```
The command line syntax to obtain LPS tracks from a six-hourly dataset using
796
      for TempestExtremes is:
797
      #candidate searching; $infile is the netcdf file containing input variables.
798
799
      ./DetectNodes --in_data $infile --out $candidatefile
800
      --searchbymin "PSI" --mergedist 5.0
801
      --closedcontourcmd "PSI,12.5e5,10,0"
802
      --outputcmd "PSI,min,0;msl,min,3;RH,avg,3;zhi,max,2;lsm,max,0;
803
          _VECMAG(u10,v10),max,3;msl,minix,3.0"
804
```

```
#Sticting candidates to make track
```

```
./StitchNodes --in $candidatefile --out $outfile
```

```
--format "i,j,lon,lat,strf850,slp,rh,zhi,lsm,sp,minmslix"
```

--range 3.0 --minlength 5 --maxgap 2

```
--threshold "zhi,<=,8000.,4;rh,>=,85,4"
```

```
# Calculating some derived quantities using track data
810
      #$mslfile is the netcdf file containing mean sea level pressure and surface wind.
811
      ./NodeFileEditor --in_file $outfile --out_file $trackfile
812
      --in_data $mslfile
813
      --in_fmt "lon,lat,strf850,slp,rh,zhi,lsm,sp,minmslix"
814
      --calculate "deltaslp=max_closed_contour_delta(msl,10,minmslix);
815
          acepsl=eval_acepsl(msl,10.0);
816
          ace=eval_ace(u10,v10,3.0);
817
          pdi=eval_pdi(u10,v10,3.0);
818
          ike=eval_ike(u10,v10,3.0)"
819
      --out_fmt "lon,lat,strf850,slp,deltaslp,sp,rh,zhi,lsm,acepsl,ace,pdi,ike"
820
```

821 Acknowledgments

The authors gratefully acknowledge the support from the ESSO, MoES, Government of India to conduct this research, as a part of Monsoon Mission. This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Biological and Environmental Research, Climate and Environmental Sciences Division, Regional & Global Model Analysis Program, under Award Number DE-AC02-05CH11231. It used resources of the National Energy Research Scientific Computing

⁸²⁸ Center (NERSC), which is a DOE Office of Science User Facility.

The India Meteorological Department record of monsoon depression tracks was 829 downloaded from the IMD website at http://www.imd.gov.in. The Sikka archive 830 was obtained from Sikka (2006). The ERA-Interim dataset was downloaded from 831 the ECMWF website at https://apps.ecmwf.int/datasets/data/interim-full 832 -daily. The MERRA-2 dataset was downloaded from NASA's Goddard Earth Sci-833 ences Data and Information Services Center (GES DISC) website at https://disc 834 .gsfc.nasa.gov/datasets?keywords=\%22MERRA-2\%22&page=1&source=Models\%2FAnalyses\ 835 %20MERRA-2. The ERA5 dataset was obtained from the Copernicus Climate Change 836 Service Climate Data Store (CDS) website at https://cds.climate.copernicus.eu/ 837 cdsapp#!/home, accessed on 01-Mar-2019. The CFSR and JRA-55 reanalysis were ob-838 tained from the Research Data Archive that is maintained by the Computational and 839 Information Systems Laboratory at the National Center for Atmospheric Research 840 (NCAR). The data are available at http://rda.ucar.edu. The HadISST dataset was 841 downloaded from the website of NCAR at https://climatedataguide.ucar.edu/ 842 climate-data/sst-data-hadisst-v11. 843

The track datasets created in this work are available through the Zenodo repository, doi:10.5281/zenodo.XXXXX [the datasets will be finalized and uploaded, and a DOI

obtained, after addressing reviewer comments that may require modification of the datasets].

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