# Improving data-driven global weather prediction using deep convolutional neural networks on a cubed sphere

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# Abstract

We present a significantly-improved data-driven global weather forecasting framework using a deep convolutional neural network (CNN) to forecast several basic atmospheric variables on a global grid. New developments in this framework include an offline volume-conservative mapping to a cubed-sphere grid, improvements to the CNN architecture, and the minimization of the loss function over multiple steps in a prediction sequence. The cubed-sphere remapping minimizes the distortion on the cube faces on which convolution operations are performed and provides natural boundary conditions for padding in the CNN. Our improved model produces weather forecasts that are indefinitely stable and produce realistic weather patterns at lead times of several weeks and longer. For short- to medium-range forecasting, our model significantly outperforms persistence, climatology, and a coarse-resolution dynamical numerical weather prediction (NWP) model. Unsurprisingly, our forecasts are worse than those from a high-resolution state-of-the-art operational NWP system. Our data-driven model is able to learn to forecast complex surface temperature patterns from few input atmospheric state variables. On annual time scales, our model produces a realistic seasonal cycle driven solely by the prescribed variation in top-of-atmosphere solar forcing. Although it currently does not compete with operational weather forecasting models, our data-driven CNN executes much faster than those models, suggesting that machine learning could prove to be a valuable tool for large-ensemble forecasting.

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6	Key Points:
7	• A convolutional neural net (CNN) is developed for global weather forecasts on the

cubed sphere • Our CNN produces skillful global forecasts of key atmospheric variables at lead 9

times up to 7 days 10 • Our CNN computes stable one-year simulations of realistic atmospheric states in 11

3 seconds 12

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We present a significantly-improved data-driven global weather forecasting framework 14 using a deep convolutional neural network (CNN) to forecast several basic atmospheric 15 variables on a global grid. New developments in this framework include an offline volume-16 conservative mapping to a cubed-sphere grid, improvements to the CNN architecture, 17 and the minimization of the loss function over multiple steps in a prediction sequence. 18 The cubed-sphere remapping minimizes the distortion on the cube faces on which con-19 volution operations are performed and provides natural boundary conditions for padding 20 in the CNN. Our improved model produces weather forecasts that are indefinitely sta-21 ble and produce realistic weather patterns at lead times of several weeks and longer. For 22 short- to medium-range forecasting, our model significantly outperforms persistence, cli-23 matology, and a coarse-resolution dynamical numerical weather prediction (NWP) model. 24 Unsurprisingly, our forecasts are worse than those from a high-resolution state-of-the-25 art operational NWP system. Our data-driven model is able to learn to forecast com-26 plex surface temperature patterns from few input atmospheric state variables. On an-27 nual time scales, our model produces a realistic seasonal cycle driven solely by the pre-28 scribed variation in top-of-atmosphere solar forcing. Although it currently does not com-29 pete with operational weather forecasting models, our data-driven CNN executes much 30 faster than those models, suggesting that machine learning could prove to be a valuable 31 tool for large-ensemble forecasting. 32

#### 33

# Plain Language Summary

Recent work has begun to explore building global weather prediction models us-34 ing only machine learning techniques trained on large amounts of atmospheric data. We 35 develop a vastly-improved machine-learning algorithm capable of operating like tradi-36 tional weather models and predicting several fundamental atmospheric variables, includ-37 ing near-surface temperature. While our model does not yet compete with the state-38 of-the-art in numerical weather prediction, it computes realistic forecasts that perform 39 well and execute extremely quickly, offering a potential avenue for future developments 40 in probabilistic weather forecasting. 41

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# 42 **1** Introduction

Though still in its infancy, the application of machine learning (ML) to various as-43 pects of weather forecasting is receiving increasing attention and yielding promising re-44 sults. Machine learning has been used in concert with output from numerical weather 45 prediction (NWP) models in attempts to improve forecasts. While neural networks (NN) 46 have been used for many years to post-process NWP output (e.g., Chapman, Subrama-47 nian, Delle Monache, Xie, & Ralph, 2019; Davò et al., 2016; Kuligowski & Barros, 1998), 48 recent advances in data and computation have also enabled successful ensemble prob-49 abilistic post-processing of NWP (Rasp & Lerch, 2018). Meanwhile, Rodrigues, Oliveira, 50 Cunha, and Netto (2018) demonstrated the ability of deep NNs to down-scale the out-51 put of general circulation models (GCMs) to higher horizontal resolution, and Scher and 52 Messori (2018) used NNs to estimate the uncertainty in weather forecasts. Deep NNs 53 have also been used to identify extreme weather and climate patterns in observed and 54 modeled atmospheric states (Kurth et al., 2019; Lagerquist, McGovern, & Gagne, 2019; 55 Liu et al., 2016), to predict extreme weather events (e.g., Herman & Schumacher, 2018), 56 and to provide operational guidance and risk assessment for severe weather (McGovern 57 et al., 2017). Larraondo, Renzullo, Inza, and Lozano (2019) developed deep NNs to ex-58 tract spatial patterns in precipitation from gridded atmospheric fields, while Chattopad-59 hyay, Nabizadeh, and Hassanzadeh (2020) showed that deep NNs can skillfully predict 60 extreme heat patterns several days ahead with relatively minimal input information. An-61 other machine-learning effort has focused on the improvement of physics parameteriza-62 tions in GCMs for both weather forecasting and climate prediction (Brenowitz & Brether-63 ton, 2018; Rasp, Pritchard, & Gentine, 2018). 64

With several decades of reliable weather data from satellite observations, widely 65 available open-source software for machine learning, and efficient graphics processing unit 66 (GPU) computing, recent studies have also begun to address the question of whether it 67 is possible to develop purely data-driven models to forecast the weather using advanced 68 ML algorithms such as deep learning, without explicitly enforcing the known physical 69 laws governing atmospheric dynamics and physics. Dueben and Bauer (2018) used deep 70 NNs trained on several years of reanalysis data to predict 500 hPa geopotential height 71 on the globe at relatively coarse 6-degree resolution, demonstrating the ability of ML to 72 produce modestly skillful atmospheric forecasts. Using convolutional neural networks (CNNs), 73 Scher (2018) and Scher and Messori (2019) trained an algorithm on simulations from a 74

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# simplified GCM that significantly outperformed baseline metrics and effectively captured the simplified-GCM dynamics.

Weyn, Durran, and Caruana (2019), hereafter WDC19, trained CNNs similar to 77 those of Scher (2018) and Scher and Messori (2019) with over 20 years of historical re-78 analysis data to produce forecasts of 500 hPa height and 300–700 hPa thickness over the 79 northern hemisphere. Their best CNN formulation was able to outperform a climato-80 logical benchmark for root-mean-squared error (RMSE) in the 500 hPa height field out 81 to about 5 days of forecast lead time. However, the WDC19 model was applied only to 82 the northern hemisphere on a latitude-longitude grid, and did not have appropriate bound-83 ary conditions at the north pole and the equator. In this study, we significantly improve 84 on several aspects of the previous best WDC19 model. Most notably, we use a volume-85 conservative mapping to project global data from latitude-longitude grids onto a cubed 86 sphere, and design CNNs which operate on the cube faces, improving upon similar tech-87 niques used for processing  $360^{\circ}$  imagery in the ML community (e.g., Li, Xu, Zhang, & 88 Callet, 2019). The cubed-sphere mapping helps minimize distortion for planar convo-89 lution algorithms while also providing closed boundary conditions for the edges of the 90 cube faces. We further improve upon the CNN encoder-decoder architecture used in WDC19 91 and employ sequence prediction techniques to improve forecasts at longer time scales. 92 Finally, surface-based atmospheric fields have been added to provide forecasts of surface 93 temperature, a parameter of great importance in operational forecasting. 94

The remainder of this paper is organized as follows. In Section 2 we detail our new CNN-based weather forecasting model. The data and data processing are described in Section 3. Results and evaluation of the model are presented in Section 4. Finally, conclusions and discussion are provided in Section 5.

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# 2 The DLWP model

As in WDC19, which introduced our Deep Learning Weather Prediction (DLWP) model, the model presented herein uses deep convolutional neural networks (CNNs) for globally-gridded weather prediction. A global weather prediction model must be given an initial multi-dimensional atmospheric state  $\mathbf{x}(t)$  and yield the state of the atmosphere at a future time,  $\mathbf{x}(t+\Delta t)$ . To step the model forward in time, the predicted state must include all of the features of the input state. Dynamical models of the atmosphere com-

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pute tendencies of physical variables determined by equations of motion and physical parameterizations and then integrate forward in time. Following the methodology of WDC19, DLWP directly maps  $\mathbf{x}(t)$  to an estimate of its future state  $\mathbf{y}(t+\Delta t)$  by learning from historical observations of the weather. By feeding the predicted atmospheric state back as inputs to the model, DLWP algorithms can be iteratively propagated forward without explicitly using a numerical time-stepping scheme. As detailed in section 2.3, DLWP uses a much larger time step than allowed for numerical stability in typical GCMs.

This new work presents multiple significant improvements to the core DLWP model framework, which are detailed in turn in the following subsections. First, our model is adapted to operate on global data re-mapped to a cubed-sphere grid representation. Second, we use an improved neural network architecture based on the U-Net. Finally, we use sequence prediction techniques to improve DLWP for forecasts on medium-range and longer time scales.

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#### 2.1 The cubed sphere in DWLP

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# 2.1.1 Description of the grid

One of the most natural coordinate systems for indexing data on the spherical Earth 121 is a latitude-longitude grid, but this system has singularities at the north and south poles 122 that makes it difficult to use CNNs on this grid. A truncated expansion in spherical har-123 monic functions (Durran, 2010) provides one elegant way to eliminate the polar singu-124 larities when approximating data on the sphere, but this representation, while poten-125 tially useful for deep learning on spherical data (Cohen, Geiger, Koehler, & Welling, 2018), 126 is inherently non-local and therefore not intuitive for applying CNNs to the gridded at-127 mosphere. To preserve spatial locality we approximate data on the globe using the equian-128 gular gnomomic cubed sphere (Ronchi, Iacono, & Paolucci, 1996). This projection has 129 been shown to give more uniformly-sized grid cells than the alternative gnomomic equidis-130 tant projection and to also produce better solutions to finite-difference (Ronchi et al., 131 1996) and discontinuous Galerkin approximations (Nair, Thomas, & Loft, 2005) to par-132 tial differential equations on the sphere. The cubed sphere is used for state-of-the-art 133 numerical weather prediction such as in the FV3 dynamical core of the National Oceanic 134 and Atmospheric Administration's Global Forecast System model (Harris & Lin, 2013). 135

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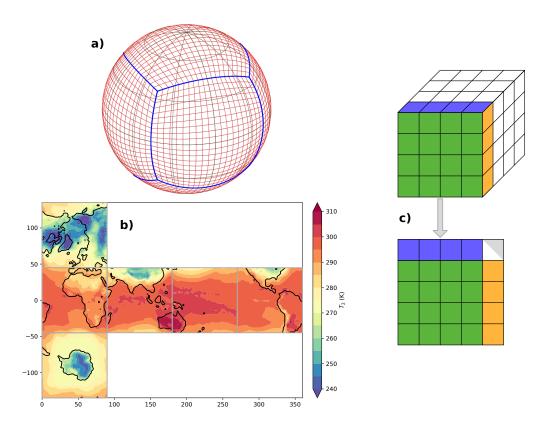


Figure 1. a) The gnonomic equiangular cube sphere grid with 20×20 grid cells on each face, reproduced with permission from Purser and Tong (2017). Blue lines show the boundaries between faces; gray lines show latitudes and longitudes. b) Example 2-m temperature map for 00 UTC 5 Jan 2018 on the flattened cubed sphere. Gray lines outline individual faces of the cube. c) Points drawn for padding the green face with upper (blue) and right (orange) boundary conditions, after Eder et al. (2019). The resulting flattened grid following the arrow shows that the corner point is ambiguous. If the green points are an equatorial face, then the ambiguous corner is assigned the same value as the rightmost polar (blue) point.

The gnonomic cubed-sphere geometry is illustrated in Fig. 1a. As an example, the field of air temperature 2 m above ground level is displayed on the six flattened cube faces in Fig. 1b. Note that the construction of the cube faces ensures that each face has natural approximate boundary conditions provided by data in neighboring faces.

In our DLWP application, all of the remapping between latitude-longitude coor-140 dinates and the the cubed-sphere grid is performed offline in the data pre-processing and 141 post-processing pipeline. We use the Tempest-Remap library (Ullrich, Devendran, & Jo-142 hansen, 2016; Ullrich & Taylor, 2015) to perform both forward and inverse globally mass-143 conservative remapping. Transforming from the latitude-longitude grid to the cubed sphere 144 yields a three-dimensional horizontal spatial grid where the first dimension indexes the 145 six cube faces, and the other two dimensions provide an x-y-like indexing of the cells on 146 each face. We use a cubed sphere with 48 grid cells on the sides of each face, with an 147 effective resolution of about  $1.9^{\circ}$  near the equator. 148

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#### 2.1.2 The cubed sphere for convolutions in a CNN

Convolution operations within the DLWP CNN are performed individually on each 150 cube face, enabling us to use existing powerful software libraries for two-dimensional con-151 volutions optimized for GPU hardware. As an important consideration when applying 152 cubed-sphere CNNs to weather prediction, DLWP learns separate weights and biases for 153 the four faces centered on the equator and the two polar faces. Using one set of CNN 154 weights for the equatorial faces and another for the poles enables the algorithm to re-155 produce the dramatically different evolution of weather patterns across the cube faces 156 in those regions. While the weights and biases for the Arctic face are identical to those 157 for the Antarctic face, the construction of the cubed-sphere map shown in Fig. 1b re-158 sults in atmospheric motions that are clockwise in the Antarctic and counterclockwise 159 in the Arctic. To reflect the change in the sense of cyclonic motion between the two poles, 160 data on the Arctic face is flipped prior to applying each convolution operation, then flipped 161 back. 162

It is necessary to pad the edges of the grid when performing a 2-D convolution operation with a filter size greater than  $1 \times 1$  to avoid the loss of spatial dimensionality after the operation. We exploit this padding to create connections between the six faces by applying approximate boundary conditions from neighboring faces before each con-

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volution operation to maintain continuity across the edges of the cube. The padding is 167 illustrated in Fig. 1c. Padding points for the green (equatorial) face are drawn from the 168 neighboring blue (polar) and orange (equatorial) faces. This process leaves the corner 169 points ambiguous, a known issue for cube map convolutions (e.g., Eder et al., 2019). How-170 ever, even in our complex weather prediction problem, this ambiguity does not appear 171 to pose any problems for the CNN. On the equatorial faces, the ambiguous corners are 172 drawn from the data on the polar faces (blue points) to maintain west-east periodicity. 173 For efficiency, the corner points on the polar faces are filled using the same algorithm 174 (fill upper and lower buffer rows first, then fill the left and right rows), however, the up-175 per and lower sides are not meaningful distinctions on the polar faces, so the net effect 176 of the corner-filling is more arbitrary. We did not find evidence that this efficient but ar-177 bitrary approach caused any difficulties. 178

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# 2.1.3 Applications of CNNs on the sphere in the literature

Applying standard two-dimensional convolution operations within CNNs on faces 180 of a cubed sphere is not unprecedented, as there are a number of examples of "cube map" 181 convolutions applied to  $360^{\circ}$  images and videos within the computer science literature 182 (Li et al., 2019; Monroy, Lutz, Chalasani, & Smolic, 2018; Ruder, Dosovitskiy, & Brox, 183 2018). Notably, Cheng et al. (2018) use a CNN applied to a cube map, along with padding 184 the cube faces, to produce saliency maps from 360° images and videos, demonstrating 185 that it is able to propagate saliency maps across edges of the cube map and outperform 186 CNNs applied to standard equirectangular grids. Boomsma and Frellsen (2017) apply 187 a CNN with a cube map representation to classification of three-dimensional molecular 188 models, likewise showing an improvement in performance over an equirectangular CNN. 189 To the best of our knowledge, our DLWP on the cubed sphere represents the first ap-190 plication of CNNs on a cubed-sphere grid for a multi-dimensional regression problem and 191 the first application of a volume-conservative cubed-sphere mapping for deep learning, 192 and additionally offers the novel contribution of unique weights learned for the equato-193 rial and polar faces. 194

There are also many other methods of sampling data on a sphere within CNNs that may have good performance in a data-driven weather prediction model. Cohen et al. (2018) developed convolution algorithms using spherical harmonics for rotation-invariant classification tasks. While elegantly suited for spherical data, regression of weather patterns

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requires fixed polar orientation of the globe and preservation of local interactions, which 199 are not inherently accounted for in this method. Other studies have proposed several meth-200 ods of applying convolutions on icosohedral grids, which promise to have less distortion 201 than the cubed sphere (Jiang et al., 2019; Lee, Jeong, Yun, Cho, & Yoon, 2019; Zhang, 202 Liwicki, Smith, & Cipolla, 2019); however, these methods require complex adaptation 203 of existing CNN software libraries and are likewise unproven for regression tasks. Finally, 204 Coors, Condurache, and Geiger (2018) and Eder et al. (2019) propose alterations of con-205 volution kernels that can be directly applied to equirectangular data but which account 206 for the mapping between a latitude-longitude grid and the sphere. Coors et al. (2018) 207 show that their method performs marginally better than a CNN on the cubed sphere. 208 While these techniques may be promising for future work, our choice of CNNs on a cubed 209 sphere is motivated by physical constraints of the atmospheric system and by the suc-210 cessful application of cubed-sphere grids in operational NWP models; as will be discussed 211 in section 4, it appears to perform very well. 212

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# 2.2 CNN architecture

DLWP uses a fully-convolutional neural network to map the state of the atmosphere 214 from one time step to the next. In simplistic terms, convolution operations within a CNN 215 learn a prescribed number of  $R \times S$  stencils, or "filters," that are translated across an 216 image (in this case a 2-D atmospheric field), producing an output image of each  $R \times S$ 217 input image area multiplied by the filters. The filter weights are learned by gradient back-218 propagation during training of the CNN. Filters often extract certain types of features 219 from the input images, such as edges or recognizable patterns. By also representing spatially-220 localized interactions – that is, individual grid points in the output are only determined 221 by the neighboring grid points within the convolutional stencil – convolution operations 222 are ideally-suited for recognizing spatial features in maps of the atmosphere and captur-223 ing localized advection. Nevertheless, large-scale processes are inherently accounted for 224 by the sharing of convolutional filters across the input domain. It is also worth noting 225 that a fully-convolutional architecture has a relatively low number of trainable param-226 eters: our DLWP CNN has about 700,000 parameters (see Table 1), while by compar-227 ison, a neural network which fully connects all input features to all output features would 228 have 18 billion parameters, an untenable number. 229

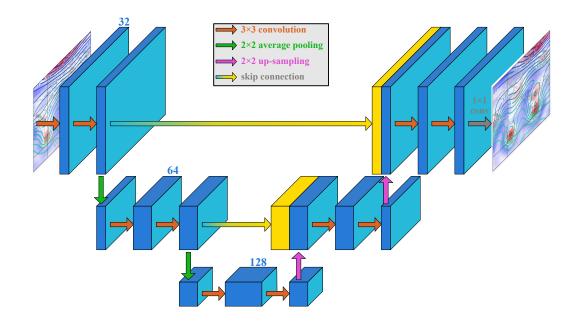


Figure 2. Schematic illustrating the architecture of our DLWP CNN based on the U-Net architecture. Each red arrow represents a 2-D convolution operating on each cube sphere face. Green and purple arrows indicate average-pooling and up-sampling operations, respectively. The blue-to-yellow lines represent skip connections, whereby the blue state is copied exactly to the yellow state vector and concatenated to the new blue state vector along the channels dimension. The final gray arrow is a  $1 \times 1$  convolution. The blue numbers indicate the number of convolutional filters (channels) at each stage of the network (channel width is to scale).

The specific CNN architecture used herein is modeled on the popular U-Net archi-230 tecture (Ronneberger, Fischer, & Brox, 2015), a variation on traditional encoder-decoder 231 networks (Baldi, 2012) that has shown good success in image segmentation tasks. Lar-232 raondo et al. (2019) tested several auto-encoder CNNs for the task of diagnosing pre-233 cipitation from geopotential height fields in reanalysis data and found the U-Net to per-234 form best. Figure 2 shows our CNN architecture schematically. Each blue rectangle rep-235 resents a state tensor at a stage of the CNN, as tabulated in Table 1. In an encoder-decoder 236 network, the first few convolutional operations (represented by red arrows in Fig. 2) in 237 the network are followed by spatial pooling operations (green arrows) which reduce the 238 spatial dimensionality of the state by a factor of 2 in both horizontal coordinates by tak-239 ing an average value within each  $2 \times 2$  sub-grid. By applying convolution operations with 240 the same filter size  $(3 \times 3)$  on states with progressively coarser spatial resolution, the 241 CNN is able to learn filters representing larger-scale atmospheric patterns. The last few 242 layers of the CNN are a mirrored up-sampling process (shown by purple arrows), whereby 243 each spatial point of the image is copied to a  $2 \times 2$  sub-grid, doubling the spatial dimen-244 sionality until the final convolutional operation yields an output state with the same di-245 mensions as the input. This encoding-decoding process results in a loss of some spatial 246 information, possibly resulting in a CNN prediction that is overly smoothed. To miti-247 gate this, the U-Net concatenates the tensor state of the CNN at each encoding step to 248 the tensor state immediately following each up-sampling operation in the decoding phase, 249 thus allowing high-resolution information to flow freely through the network. The com-250 bination of multi-scale interactions from the encoder-decoder architecture and the skipped 251 connections in the U-Net make this CNN architecture apply suited for the complex, multi-252 scale weather prediction task. 253

Each convolution operation in the DLWP CNN, except for the final output layer, is followed by the application a nonlinear activation function R(x), in our case a modified leaky rectified linear unit (ReLU), to each value x in the state tensor such that

$$R(x) = \begin{cases} 0.1x & x \le 0\\ x & 0 \le x \le 10\\ 10 & x \ge 10, \end{cases}$$
(1)

Models which did not have the cap in R(x) at large positive values tended to produce physically unrealistic states after several weeks of forecast integration. The DLWP CNN

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is trained using the efficient Adam version of stochastic gradient descent optimization
(Kingma & Ba, 2014), with a default learning rate of 10<sup>-3</sup>, and using mean-squared-error
loss. To ensure that a suitable loss minimization is obtained, we train for a minimum
of 100 epochs followed by early stopping conditioned on the validation set loss. If no new
validation loss minimum is observed within 50 epochs, training stops and the model weights
which yielded the smallest validation loss are restored.

The DLWP CNNs are built using the open-source Keras library for Python (Chollet & Others, 2015) with Google's TensorFlow backend (Abadi & Others, 2015). We also acknowledge the open-source xarray project (Hoyer & Hamman, 2017) for much of the data processing pipeline. The code for this project will be available upon publication at github.com/jweyn/DLWP-CS.

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# 2.3 Sequence prediction

Drawing from knowledge of current NWP model frameworks, it may seem intuitive to train a CNN to produce the best possible single-step forecast from a given atmospheric state, i.e., to minimize some error function  $J[\mathbf{x}(t + \Delta t), \mathbf{y}(t + \Delta t)]$ , where  $\mathbf{y}(t + \Delta t)$  is the model prediction from the prior atmospheric state  $\mathbf{x}(t)$ , and  $\mathbf{x}(t + \Delta t)$  is the correct evolved state from the training data. In practice this can yield a model that performs well for short-range forecasts but diverges from reality (or even blows up to unrealistic physical values) for longer-range predictions (e.g., McGibbon & Bretherton, 2019). This is because there are no constraints on the CNN, physical or mathematical, that would prevent it from diverging from reality when its prediction fed back in as inputs no longer resembles an atmospheric state in the training data. In order to nudge the DLWP model towards learning to predict longer-term weather and improve its long-term stability, we train the model to minimize error on multiple iterated predictive steps using a multi-timestep loss function similar to the strategies in Brenowitz and Bretherton (2018) and McGibbon and Bretherton (2019). The predicted state  $\mathbf{y}$  is an iterative sequence mapped by the DLWP CNN, denoted M, such that

$$\mathbf{y}(t+n\Delta t) = \begin{cases} M(\mathbf{x}(t)) & n=1\\ M(\mathbf{y}[t+(n-1)\Delta t]) & n \ge 2, \end{cases}$$
(2)

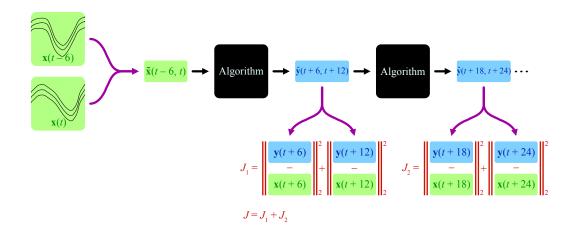


Figure 3. Schematic of the time stepping and loss function computation in the DLWP model. Input fields at times t - 6 h and t, represented by illustrative contour lines, are concatenated in the channels dimension (purple arrows) and then fed into the CNN algorithm. The algorithm yields a prediction  $\tilde{\mathbf{y}}$  (blue) for times t + 6 and t + 12, which can then be fed back into the algorithm to predict the next two steps, and so on. Verifying data are known at each time (green), from which the mean squared error loss (J, denoted as the squared  $L^2$  norm) is computed; the total loss is the sum of the losses for two consecutive iterations through the algorithm.

and n = 1, 2, ...N indexes the number of forward steps. The loss function minimized over T time steps may be written

$$J_{\text{total}} = \sum_{n=1}^{T} \alpha_n J \left[ \mathbf{x}(t + n\Delta t), \mathbf{y}(t + n\Delta t) \right], \qquad (3)$$

where  $\alpha_n$  is an arbitrary prescribed weight. The user-specified parameter T is a tunable hyperparameter for the model framework. Because the CNN training time scales linearly with T as a result of (2), we choose T = 2 for computational efficiency. Our tests also gave some indication that using large values of T can produce a model that makes overly smooth predictions tending towards climatology. The weights  $\alpha$  are also adjustable should one choose to train a model that performs better on the earlier or later iteration steps of the model, but for simplicity we choose  $\alpha_1 = \alpha_2 = 1$ .

Informed by the results of WDC19, we find that the CNN performs better when its input includes two time steps and it is tasked with predicting two output time steps. This can be represented by replacing the state vectors  $\mathbf{x}$  and  $\mathbf{y}$  in (2) and (3) with modified vectors  $\tilde{\mathbf{x}}$  and  $\tilde{\mathbf{y}}$ , where  $\tilde{\mathbf{x}}(t-\Delta\tau,t)$  and  $\tilde{\mathbf{y}}(t-\Delta\tau,t)$  replace  $\mathbf{x}(t)$  and  $\mathbf{y}(t)$ , respectively. As in WDC19, we choose  $\Delta\tau = 6$  h and  $\Delta t = 12$  h, in which case the model

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steps forward in 12-h intervals, while predicting the atmospheric variables with a temporal resolution of 6 h, implying that the loss function (3) is minimized over a 24-hour
forecast, equally weighting every 6-h snapshot of the evolving atmospheric state. A schematic
of the time-stepping procedure and the computation of the total loss function is shown
in Fig. 3.

# 285 **3 Data**

The historical atmospheric data for DLWP is the European Centre for Medium-286 Range Weather Forecasts (ECMWF) ReAnalysis version 5 (ERA5, C3S, 2017). The data 287 were retrieved through the Copernicus Climate Change Service (C3S) and re-gridded to 288 a global 2-degree latitude-longitude grid through the Meteorological Archival and Re-289 trieval System (MARS) toolkit. Data from 1979–2018 were retrieved every 3 hours. Note 290 that while the ERA5 data were independently retrieved and processed, WeatherBench 291 (Rasp et al., 2020) also uses the same ERA5 data, so our results can be readily compared 292 with those from other models using WeatherBench data. Since the time resolution for 293 the DLWP model is 6 h, there are samples in the data that only contain 00Z, 06Z, 12Z, 294 and 18Z data and others which only have 03Z, 09Z, 15Z, and 21Z data. All data are re-295 gridded to a cubed sphere with 48 points on each side of the cube faces, which corresponds 296 to roughly 1.9° grid spacing in latitude and longitude in the center of the equatorial faces. 297

Data from 2017–2018 were set aside for the test set used in final model performance evaluation. We used the time periods from 1979–2012 (about 100,000 samples) for model training and 2013–2016 (about 12,000 samples) for model validation. Distinct periods for training, validation, and testing were selected to avoid including in the evaluation data times that have high correlation with neighboring times in the training data. We assume that any climatological shifts in weather-pattern evolution over the 1979–2018 period are negligible.

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#### 3.1 Evolving variables and prescribed fields

There are four two-dimensional input-output fields in the model: geopotential height at 500 hPa ( $Z_{500}$ ) and at 1000 hPa ( $Z_{1000}$ ), 300–700-hPa geopotential thickness ( $\tau_{300-700}$ ), and 2-meter temperature ( $T_2$ ). The geopotential height fields are vital to identifying the structure of mid-latitude weather systems, while  $\tau_{300-700}$ , which is dynamically related

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to mid-tropospheric temperatures, provides information about the growth and decay of 310 weather systems (WDC19). We include 2-m temperature as an impacts-based variable, 311 which is vital for prediction of surface weather impacts such as heat waves, cold spells, 312 and drought. Moreover, over the ocean the 2-m temperature is strongly influenced by 313 the sea-surface temperatures (SST), so its prediction is essentially a proxy prediction of 314 SST. Because the inputs to our DLWP model are all atmospheric variables, such proxy 315 predictions are likely to contain errors that might be ameliorated by the inclusion of ap-316 propriate oceanic variables in a more advanced version of the model. Each variable is 317 scaled by removing its global climatological mean and dividing by its global mean stan-318 dard deviation. By scaling with the global mean we retain local spatial differences in vari-319 ability, ensuring that the CNN loss function appropriately weights regions of high vari-320 ability. Since the CNN predicts scaled variables, an inverse scaling is applied to the model's 321 output to forecast dimensional atmospheric variables, which are used for model evalu-322 ation. 323

We also input three additional prescribed fields: top-of-atmosphere incoming so-324 lar radiation (insolation), a land-sea mask, and topographic height. These fields are not 325 part of the model's output. Insolation is incorporated to give the model information about 326 the diurnal and annual cycles, which are particularly important for predicting 2-m tem-327 perature. The land-sea mask is zero over ocean, one over land, and varies proportion-328 ately between 0 and 1 in coastal cells according to the fraction of their area covered by 329 land. The topographic height is from ECMWF data regridded to the 2-degree latitude-330 longitude grid using the MARS toolkit. In validation, adding these prescribed fields im-331 proved the performance of the model. 332

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# 3.2 Benchmarks

Benchmarks are necessary to contextualize the performance of DLWP. These benchmarks were inspired in part to facilitate comparisons with the aforementioned WeatherBench dataset (Rasp et al., 2020):

1. climatology calculated relative to daily means from 1979–2010

<sup>338</sup> 2. persistence

3. a T42 spectral resolution version of the ECMWF Integrated Forecast System (IFS)
 model. This is a fully-dynamical atmospheric model with 62 vertical levels and

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an approximate horizontal resolution of 2.8° in latitude and longitude near the equator and is thus slightly coarser than our DLWP model. The model was initialized with the same ERA5 data as our model, but on a coarser grid. Data were available for forecast lead times up to 7 days. The forecasts were initialized weekly within 2017–2018, with the first forecast at 00 UTC 1 Jan 2017, for a total of 105 forecasts. In subsequent weeks the initialization time alternates between 00 and 12 UTC.

- 4. a T63 spectral resolution version of the IFS model. Unlike the T42 IFS this ver-348 sion has 137 vertical levels and an approximate horizontal resolution of 1.9° in lat-349 itude and longitude near the equator, thus being closer in horizontal resolution 350 to our DLWP model. Also unlike the T42 IFS, this model was initialized with ECMWF 351 analysis data and is coupled to an ocean wave model. As a result of the difference 352 between initialization and verification data, this model has a noticeable error at 353 early lead times. The T63 IFS was initialized at the same times as the T42 IFS. 354 but forecast lead times up to 10 days were available. 355
- 5. the operational subseasonal-to-seasonal (S2S) version of the ECMWF IFS. This 356 is likewise a fully-dynamical model, with a fine horizontal resolution of 16 km that 357 increases to 31-km after forecast lead times of 15 days. This model is fully-coupled 358 to ocean and sea ice models, targeting predictions for time scales of 2 weeks to 2 359 months. This model is available as an ensemble but only the control forecast is 360 evaluated. Like the T63 IFS model, some error at early lead times is produced 361 by minor differences between the initialization and verification data. The S2S model, 362 2018 version, is available twice weekly starting 00 UTC 1 Jan 2017 through 31 Dec 363 2017, and 1 Jan 2018 through 31 Dec 2018, for a total of 210 forecasts. All fore-364 casts are initialized at 00 UTC, on dates of 1 Jan, 4 Jan, 8 Jan, and so on. 365

The ECMWF S2S model should produce better forecasts than our DLWP model because 366 it makes predictions using many variables, many vertical levels, and high horizontal res-367 olution. The T42 and T63 IFS models also include many variables and vertical levels, 368 but are not at higher horizontal resolution than our model. An additional cautionary 369 note applies for these IFS models: they use the same physics parameterizations for pro-370 cesses including radiation, convection, and boundary layer turbulence as the operational 371 high-resolution IFS model run by ECMWF for medium-range forecasts. Such param-372 eterizations are specifically tuned to perform well for the high-resolution operational model 373

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and therefore cannot be expected to perform well within the lower-resolution IFS simulations.

To mitigate differences in model grids, all of the forecasts and the verifying ERA5 376 data were forward mapped from the latitude-longitude resolution at which they are pro-377 vided to the cubed sphere and then inverse mapped back to the regular  $2^{\circ}$  latitude-longitude 378 grid on which the forecasts are verified. This allows a uniform comparison to our DLWP 379 model which operates on the cubed-sphere grid. In comparison to the alternative of map-380 ping the IFS data directly to the 2° latitude-longitude grid, the scores of the IFS mod-381 els are slightly improved by our procedure. Our DLWP model is also initialized at the 382 same times as the S2S operational model for direct comparison. Despite slightly differ-383 ent initialization times for the T42 and T63 IFS models, because we average at least 100 384 forecasts across all seasons for each model, the sample is representative of the average 385 distribution of forecasts across the test set. 386

# 387 4 Results

In the following section, we detail the spatially- and temporally-averaged error in DLWP and the benchmark forecasts as a function of forecast lead time. We then examine the structure of several key forecast fields in an example 4-week forecast. Finally, to evaluate the long-term behavior of our forecasts, we consider the evolution of 500-hPa geopotential from a free-running one-year forecast.

#### 393

# 4.1 Globally averaged forecast error

The DLWP model and the benchmarks are evaluated using two key measures for forecast accuracy, both of which are used to assess the performance of state-of-the-art operational NWP models (Vitart, 2004). The root-mean-squared error (RMSE) of a forecast vector  $\mathbf{f}(t)$  at some time t is defined as

$$RMSE = \sqrt{\left(\mathbf{f}(t) - \mathbf{o}(t)\right)^2},\tag{4}$$

where  $\mathbf{o}(t)$  is the observed state, the overbar denotes a spatial average, and the dot product of a vector with itself is denoted as the square of the vector. The RMSE gives a good point-by-point metric of the accuracy of a forecast. The other metric used for evalua-

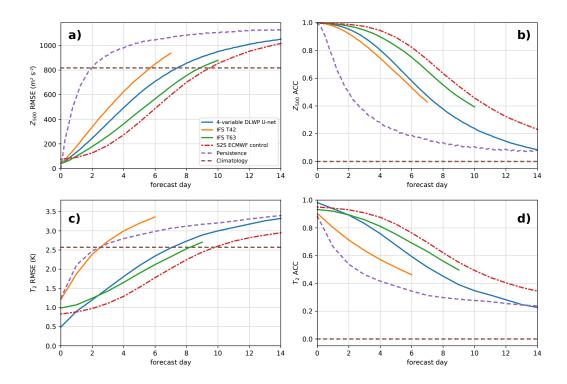


Figure 4. Forecast error for DLWP (blue lines) and all of the benchmarks, as labeled, as a function of forecast lead time during 2017–2018. The error is globally-averaged and area-weighted in latitude. a) Root-mean-squared error in  $Z_{500}$ . b) Anomaly correlation coefficient in  $Z_{500}$ . c) Root-mean-squared error in daily-averaged  $T_2$ . d) Anomaly correlation coefficient in daily-averaged  $T_2$ . For daily averages, the value for day 0 is the average of hours 0, 6, 12, and 18. Other days follow suit. Errors at lead times up to 2 days, especially in  $T_2$ , from the IFS T42, IFS T63, and ECMWF S2S models are in part due to gridding differences and, for the IFS T63 and ECMWF models, different initialization data from the ERA5 verification, and are therefore not directly comparable to the DLWP errors.

tion is the anomaly correlation coefficient (ACC), defined as

$$ACC = \frac{\overline{(\mathbf{f}(t) - \mathbf{c}(t)) \cdot (\mathbf{o}(t) - \mathbf{c}(t))}}{\sqrt{(\mathbf{f}(t) - \mathbf{c}(t))^2} \ \overline{(\mathbf{o}(t) - \mathbf{c}(t))^2}},$$
(5)

where  $\mathbf{c}(t)$  is the climatological value for the verification time (daily climatological values are used herein). A perfect forecast has an ACC score of 1, while a score of 0 indicates a forecast with no skill relative to climatology. Where the RMSE penalizes large differences in actual forecast state, the ACC penalizes incorrect patterns of anomalies and is agnostic to the magnitude of the anomalies. In particular, the ACC may be used to determine whether the forecasts capture meaningful patterns of spatial variations or simply produce smooth states resembling climatology.

Let us first examine the globally-averaged forecast errors in the 500-hPa height field, 401 which are plotted as a function of forecast lead time up to two weeks in Fig. 4. As noted 402 in Section 3.2, these errors are averaged over more than 100 forecast initialization times 403 in the test set (2017–2018). The RMSE in  $Z_{500}$  (Fig. 4a) shows that DLWP significantly 404 outperforms persistence at all lead times, climatology out to more than 7 days, and the 405 T42 IFS at all available lead times. On the other hand, DLWP is outperformed by the 406 T63 IFS and the operational S2S, the latter of which has errors that are lower than cli-407 matology out to more than 9 days. It is not particularly surprising that the S2S and even 408 the T63 IFS perform well because  $Z_{500}$  is not difficult to forecast with a state-of-the-art 409 dynamical NWP model. The best DLWP model in WDC19 beat climatology up to a lead 410 time of about 5 days (WDC19, Fig. 6). Measured by the lead time up to which a model 411 beats climatology, our improved DLWP model (7-day lead time) has approximately halved 412 the forecast skill deficit relative to state-of-the-art operational models (9-day lead time). 413

The ACC scores for  $Z_{500}$  (Fig 4b) give rankings similar to those from the RMSE 414 scores for the DLWP model relative to the benchmarks, with the exception of climatol-415 ogy which, by definition, has a score of zero. The forecast horizon for an ACC score of 416 0.5 is more than 2 days longer for the operational S2S model than our DLWP model, with 417 the value for DLWP dropping below the 0.5 threshold just shy of 7 days and the S2S reach-418 ing that mark at 9.5 days. DLWP has a smaller advantage over the T42 IFS in the ACC 419 score, but still comfortably outperforms persistence forecasts. There is also slightly larger 420 separation between the DLWP model and the T63 IFS in the ACC score, with the lat-421 ter having the advantage. Nevertheless, the good ACC score for DLWP indicates that 422

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# it is producing spatial weather patterns with reasonable disturbance amplitudes rather than overly smooth forecasts approximating climatology.

Shifting our focus to the 2-m temperature metrics shown in Fig. 4c,d, we again see 425 a fairly similar performance ranking among the models. First, we note that, in order to 426 match the available products from the operational S2S dataset, the errors are calculated 427 for daily mean  $T_2$ . While this means that the results in Fig. 4c,d do not measure the abil-428 ity of DLWP to capture the diurnal temperature cycle, we show in the supplemental ma-429 terial (Fig. S1) that DLWP does indeed predict correct diurnal temperature changes. Er-430 rors at early lead times in the IFS and S2S models are to a some extent due to differ-431 ences in the initialization data (T63 IFS, S2S) or model grids (T42 IFS), which are pro-432 nounced in a highly spatially-variable field such as  $T_2$ . As measured by the RMSE (Fig 4c), 433 DLWP clearly outperforms persistence and the coarse-resolution T42 IFS; the DLWP-434 model errors remain lower than those of climatology until the 7-day mark. As was the 435 case for  $Z_{500}$ , the T63 IFS performs slightly better than the DLWP model, beating cli-436 matology up to the 8-day mark. The RMSE of the best model, the ECMWF S2S, re-437 mains lower than climatology until 10 days out. In terms of ACC scores (Fig 4d), all of 438 the models perform notably better than persistence and retain good forecast skill rel-439 ative to climatology. The relative ranking of model skill again has the DLWP model clearly 440 beating the T42 IFS, but performing worse than the T63 IFS and the operational S2S 441 model. The DLWP model exceeds the 0.5 skill threshold out to 7 days while the S2S model 442 does so out to 10 days. 443

The variation in the performance of each of the preceding models over all forecast 444 samples in the test set is illustrated in Fig. 5, in which the  $Z_{500}$  RMSE for each individ-445 ual forecast is plotted as a function of lead time, along with reference curves that ap-446 pear in Fig. 4a: the average RMSE for each model and the RMSE for persistence and 447 climatology. The overall spread in forecast performance about the average is roughly sim-448 ilar for all models. The RMSE for the worst forecasts from our DLWP model exceeds 449 climatology at roughly 5 days. This is better than the 3.5-day loss of skill for the worst 450 forecasts from the IFS T42, but worse than the corresponding values of about 6 days for 451 both the IFS T63 and the S2S ECMWF control. 452

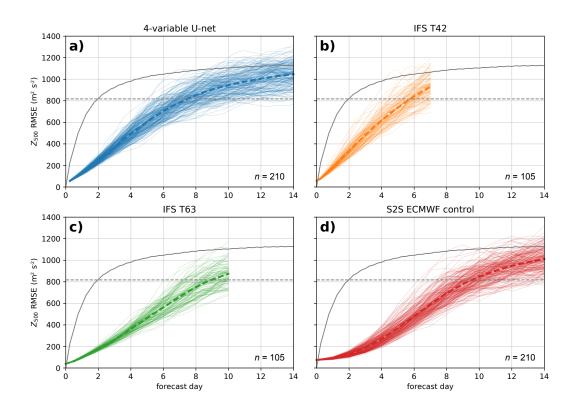


Figure 5. Forecast root-mean-squared-error in  $Z_{500}$  for a) DLWP, b) the T42 IFS, c) the T63 IFS, and d) the ECMWF S2S models, as a function of forecast lead time. Light, thin lines indicate the RMSE of each individual forecast within the test set (2017–2018), with the number of forecasts indicated in the bottom right of each panel. Thick dashed lines are the mean of all forecasts. Also plotted are the climatology (gray dashed) and persistence (gray solid) forecast errors. Means from each model and the climatology and persistence benchmarks are the same as in Fig. 4a.

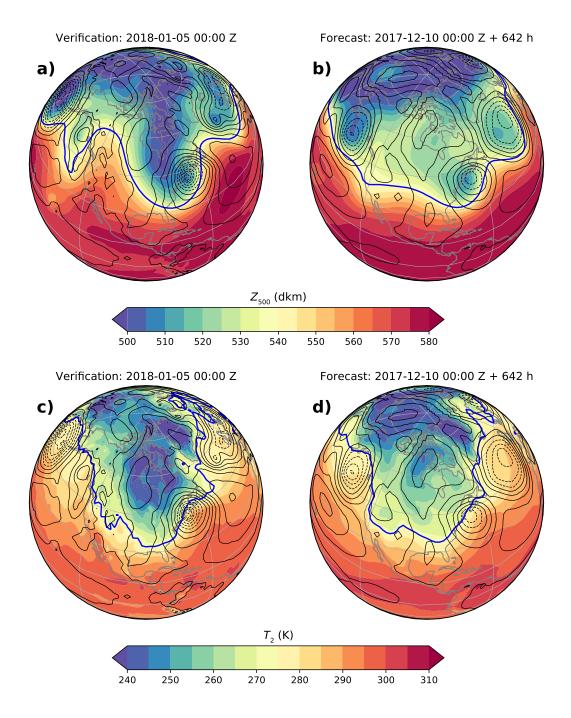


Figure 6. Example observed atmospheric state for an active weather pattern with a strong cyclone off the eastern US (a,c) and a similar example DLWP forecast state (b,d). The forecast is 642 h out initialized 00 UTC 10 Dec 2017 and valid 18 UTC 5 Jan 2018, while the observation is for 00 UTC 5 Jan 2018. a,b) The color shading is  $Z_{500}$ , with  $Z_{1000}$  in the black contours (contoured every 100 m with negative contours dashed). The 540-dam  $Z_{500}$  line is shown in blue. c,d)  $T_2$  is in the shaded color, with the 0°C isotherm in the blue line. Black contours are as in a,b). Note that the slightly jagged contour lines near the pole in b,d) are the result of a loss of information when mapping back from the cubed sphere to the latitude-longitude grid.

453

# 4.2 A typical forecast state at 4-week lead time

Unlike our earlier DLWP models which represent the northern hemisphere using 454 cylindrical geometry (WDC19), the current DLWP model is a true global model. It is 455 therefore possible to generate arbitrarily long free-running forecasts from a single initial 456 state without suffering from lateral-boundary-condition errors at the equator or the poles. 457 Every one of the four-week forecasts initialized twice weekly in the two-year test set (210 458 total forecasts) was free from instabilities and the amplification of spurious perturbations. 459 This is remarkable considering the difficulties that have been previously encountered in 460 creating stable models of atmospheric flows from purely data-driven techniques (Dueben 461 & Bauer, 2018) or with data-driven algorithms inserted as parameterizations in GCMs 462 (e.g., Brenowitz & Bretherton, 2018). 463

A detailed analysis of the performance of the DLWP model forecasts will be the 464 focus of a subsequent paper. In this and the following subsection, we provide a brief as-465 sessment of the realism of the forecast fields at multi-week lead times. We selected 00 466 UTC 5 Jan 2018 as an interesting wintertime reference state with high-amplitude per-467 turbations over the North American region. As shown by the  $Z_{500}$  and  $Z_{1000}$  fields in 468 Fig. 6a, there is a deep trough and a strong surface cyclone off the east coast of the United 469 States. A cold-air outbreak is apparent in the 2-m temperature field beneath the trough 470 (Fig. 6c). We saw in the previous section that DLWP produced modestly skillful spa-471 tial patterns (good ACC scores) in forecasts up to two weeks. How well can DLWP re-472 produce a strong surface cyclone such as that in Fig. 6a,c in forecasts at lead times of 473 two weeks or longer? 474

To answer this question, the full two years of forecasts from the test set were ex-475 amined to find the single forecast at lead times greater than 2 weeks having the lowest 476 RMS difference with respect to the reference-state  $Z_{1000}$  field over the North American 477 sector. The closest match (minimum RMS difference) was the 642-h forecast initialized 478 at 00 UTC 10 Dec 2017 and verifying at 18 UTC 5 Jan 2018, 18 hours after the time of 479 the observed state. As might be expected from the selection criteria, features common 480 to both the forecast and the reference state in the are apparent in the  $Z_{1000}$  field, includ-481 ing the cyclone off the east coast of the US. The forecast cyclone is weaker than observed, 482 but is still accompanied by a realistic warm sector in the 2-m temperature field (Fig. 6d). 483 Over the eastern US, warmer temperatures would be expected at 18 UTC than at the 484

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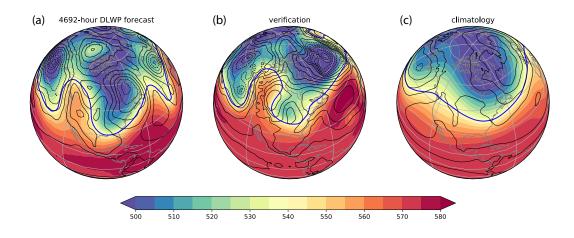


Figure 7. As in Fig. 6a,b for (a) a 4692-h forecast from DLWP for 12 UTC on January 15, 2018, (b) the corresponding verification, and (c) the climatology for January 15.

 $_{485}$  00 UTC time of the reference-state. Allowing for this difference, the  $T_2$  fields compare reasonably well. Deterministic forecasts have no skill at lead times of 26.75 days, so the quality of the match is serendipitous—indeed lead times shorter than this, but still longer than two weeks, did not produce better matches.

The amplitudes of the troughs and ridges in the forecast  $Z_{500}$  field (Fig. 6b) are 489 considerably weaker than those in the reference state (Fig. 6a), and in contrast to the 490 verification, the surface low in the forecast is associated with a cutoff low at 500 hPa. 491 Because our search for a match to the observed state focused exclusively on the surface 492 pressure-distribution in a localized region, rather than minimizing the difference between 493 all four variables defining the atmospheric state in the DLWP model, (Fig. 6) does not 494 provide a clear characterization of the behavior of troughs and ridges in our forecasts. 495 An example of large-amplitude troughs and ridges generated by the DLWP model is given 496 in the next section. 497

498

# 4.3 A free-running one-year forecast

In this section we consider the behavior of a free-running one-year forecast initialized from data at the two times 18 UTC July 3, 2017 and 00 UTC July 4, 2017. The evolution of the  $Z_{500}$  and  $Z_{1000}$  fields during this one-year forecast is shown by the animation in the Supplemental Material, Movie S1. A snapshot from that animation is shown at a forecast lead time of 195.5 days in Fig. 7. At the beginning of the forecast, in July,

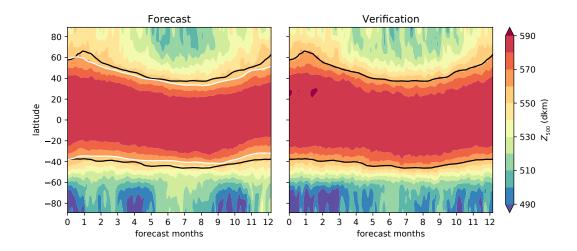


Figure 8. Zonal-mean  $Z_{500}$  as a function of forecast lead time for a) a DLWP forecast initialized in July and b) the verifying observations. A running mean of 3 days has been applied to smooth the data in the colored contours. The black (white) lines are the 560-dam line from the verification (forecast) with a 15-day centered running mean smoothing.

- <sup>504</sup> northern-hemisphere synoptic-scale disturbances are relatively weak. The model correctly <sup>505</sup> develops active wintertime weather systems in response to the seasonal changes in top-<sup>506</sup> of-atmosphere insolation, and at the time shown, has produced a pronounced ridge over <sup>507</sup> the west coast together with a deep trough to the east (Fig. 7a). As shown in Movie S1, <sup>508</sup> the surface low over eastern Canada in Fig. 7a underwent a relatively classical develop-<sup>509</sup> ment beginning near the Gulf Coast at forecast hour 4620 in a region of diffluent upper-<sup>510</sup> level  $Z_{500}$  contours downstream of the axis of the 500-hPa trough.
- By coincidence, there is also a blocking ridge over the west coast at the January 15, 2018 verification time (Fig. 7b). Consistent with the tendency of the DLWP model to modestly underestimate the strength of mid-latitude synoptic-scale features, the blocking ridge in the verification is somewhat stronger than the west-coast ridges typically generated by our model. Finally, it should be emphasized that while the weather patterns produced by the DLWP model tend to be somewhat lower amplitude than reality, they are nowhere near as smooth as climatology (Fig. 7c).
- Figure 8 shows the zonal-mean 500-hPa geopotential as a function of time and latitude from this 1-year DLWP simulation along with the corresponding zonal-mean field from the ERA5 reanalysis. The DLWP model is clearly able to capture the basic struc-

ture of the annual cycle, with lower values of  $Z_{500}$  near the north pole during the win-521 ter months followed by a subsequent increases during spring and the onset of the next 522 summer, in approximate agreement with the true annual cycle. The true progression of 523 the annual cycle in mid-latitude  $Z_{500}$  is indicated by the 15-day running mean of the 560-524 dam geopotential height contour in the ERA5 dataset (black line) in both panels. The 525 15-day running mean of the 560-dam contour in the DLWP forecast is indicated by the 526 white lines in Fig. 8a. After the first two weeks, the location of the 560-dam contour is 527 biased equatorward, although particularly in the northern hemisphere, the north-south 528 seasonal displacement of 560-dam contour in the DWLP forecast does follow that of the 529 ERA5 data reasonably well. Also evident in Fig. 8 is the weaker temporal variability in 530 the weather in the DLWP model compared to the observations, as indicated by the re-531 duced waviness of contour lines in the latitude band  $20-60^{\circ}$ . 532

Similar annual cycles in  $Z_{500}$  were generated by additional forecasts initialized in different months of the year (not shown). Thus, while the annual cycle in these free-running DLWP forecasts is certainly not perfect, it is impressive that the model remains stable and produces an approximately correctly response to the seasonal changes in the topof-atmosphere insolation.

# 538 5 Discussion and Conclusions

In this paper, we have extended our previous CNN-based DLWP model (WDC19), 539 which predicted the evolution of northern-hemisphere 500-hPa geopotential height and 540 300–700-hPa thickness, to a full global forecast and added a pair of additional forecast 541 fields (1000-hPa geopotential height and 2-meter temperature) along with three addi-542 tional prescribed inputs (top of the atmosphere insolation, a land-sea mask and topo-543 graphic height). We also made three important improvements to the model architecture: 544 (1) global data are represented on the cubed sphere for which 2-D convolutions can be 545 naturally computed on the cube faces, (2) an additional convolutional layer is employed 546 before each average-pooling or up-sampling step along with U-net skip connections, and 547 (3) a multi-time-step loss function is used to improve the stability and accuracy of long-548 term forecasts. Free-running one-year forecasts are stable and provide realistic charac-549 terizations of atmospheric states, albeit with modest reductions in the amplitude of strong 550 features compared to those in observed weather systems. 551

This new DLWP model clearly outperforms a coarse-resolution T42 configuration 552 of the ECMWF IFS dynamical model, both with respect to global averaged RMS error 553 and the anomaly correlation coefficient. The T42 IFS model forecasts three-dimensional 554 fields of horizontal velocity and temperature on 62 vertical levels along with the surface 555 pressure. Not counting six other fully 3D prognostic fields related to moisture, clouds 556 and precipitation, or other 3D diagnostic fields like the vertical velocity, the T42 IFS fore-557 cast steps forward 187 spherical shells of data. In contrast our DLWP model steps for-558 ward 8 spherical shells of data (four variables at two time levels) in 12-hour steps with 559 6-hour temporal resolution. Despite our model having higher horizontal resolution (roughly 560  $1.9 \times 1.9^{\circ}$  in latitude and longitude) than the T42 IFS (at  $2.8 \times 2.8^{\circ}$ ), it is surprising 561 that the full-physics model is inferior to our DLWP model forecasts of a relatively smooth, 562 dynamically-driven field such as the 500-hPa height. It is also interesting that the DLWP 563 model considerably outperforms the T42 IFS forecasts of 2-m temperature. On one hand 564 this might be expected because 2-m temperature should be a difficult field for the T42 565 IFS to capture due to its coarse resolution and its use of physical parameterizations op-566 timized for much finer grid cells. But on the other hand, the DLWP model had to over-567 come a substantial challenge to learn a single set of convolutional filters capable of dis-568 tinguishing between land and ocean and effectively parameterizing the near-surface con-569 ditions leading to vastly different diurnal temperature regimes in summer and winter. 570 The economy of the DLWP approach, which uses just four input variables and three pre-571 scribed fields, may be contrasted with the formulations in operational NWP and climate 572 models where 2-m temperature is diagnosed using complex physical parameterizations 573 for radiation, boundary-layer turbulence, and land- and ocean-surface interactions, all 574 of which must be highly tuned for the model. 575

The T63 implementation of the IFS model, with a horizontal resolution similar to that of our cube-sphere grids, does clearly outperform our DLWP model. It should be noted that the T63 IFS model improves on the T42 implementation not only through the use of higher horizontal resolution, but also by increasing the number of vertical levels to 137. Unsurprisingly, the very high resolution operational S2S model also outperforms our DLWP model and the T63 IFS.

Although our DLWP model lags the performance of a high-resolution operational NWP model by about 2–3 days of forecast lead time relative to climatology, it does have one significant advantage: computational speed. After a one-time computational cost

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of 2–3 days for training on a single NVidia Tesla V100 GPU, our DLWP model can pro-585 duce a global four-week forecast in less than two tenths of a second. At this speed one 586 could generate a 1000-member ensemble of one-month forecasts in about three minutes. 587 In contrast, the full dynamical IFS model at approximately equivalent T63 horizontal 588 resolution, run albeit somewhat inefficiently on a 36-core computing node, requires nearly 589 24 minutes to produce a single four-week forecast, or about 16 days for the same 1000-590 member ensemble forecast. Operationally, ECMWF, despite vast supercomputing resources, 591 runs two-month-long S2S model forecasts twice weekly with 51 ensemble members. While 592 DLWP models are likely to grow in complexity and require more computation as they 593 strive for better accuracy through the addition of more atmospheric variables at higher 594 spatial and temporal resolution, they appear to hold great promise as a way of achiev-595 ing the combination of speed and performance needed for very-large-ensemble weather 596 forecasting. In fact, recent work by Scher and Messori (2020) has demonstrated that it 597 is possible to use even simple techniques to produce ensembles of deep-learning-based 598 weather forecasts with good reliability characteristics. We are currently investigating the 599 development of ensembles of DLWP for probabilistic weather prediction. 600

# 601 Acronyms

- <sup>602</sup> ACC anomaly correlation coefficient
- 603 CNN convolutional neural network
- 604 **DLWP** Deep Learning Weather Prediction
- 605 **ECMWF** European Centre for Medium-range Weather Forecasting
- 606 ERA5 ECMWF ReAnalysis version 5
- 607 GCM general circulation model
- 608 ML machine learning
- <sup>609</sup> NN neural network
- 610 **NWP** numerical weather prediction
- 611 **ReLU** rectified linear unit
- 612 **RMSE** root-mean-squared error

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Table 1. CNN architecture for DLWP as a sequence of operations on layers. The parameter v represents the number of input fields, t represents the number of input time steps, and c represents the number of auxiliary prescribed inputs. The layer names (except for the suffix "CubeSphere") correspond to the names in the Keras library. The Concatenate layers append the states numbered in parentheses to the output of the previous layer.

Layer	Filters	Filter size	Output shape <sup><math>a</math></sup>	Trainable params <sup><math>b</math></sup>
input			(6, 48, 48, vt + c)	
Conv2D–CubeSphere	32	$3 \times 3$	(6, 48, 48, 32)	6,976
Conv2D–CubeSphere $(1)$	32	$3 \times 3$	(6, 48, 48, 32)	18,496
AveragePooling2D		$2 \times 2$	(6, 24, 24, 32)	
Conv2D–CubeSphere	64	$3 \times 3$	(6, 24, 24, 64)	36,992
Conv2D–CubeSphere $(2)$	64	$3 \times 3$	(6, 24, 24, 64)	73,856
AveragePooling2D		$2 \times 2$	(6, 12, 12, 64)	
Conv2D–CubeSphere	128	$3 \times 3$	(6, 12, 12, 128)	147,712
Conv2D–CubeSphere	64	$3 \times 3$	(6, 12, 12, 64)	147,584
UpSampling2D		$2 \times 2$	(6, 24, 24, 64)	
Concatenate $(2)$			(6, 24, 24, 128)	
Conv2D–CubeSphere	64	$3 \times 3$	(6, 24, 24, 64)	147,584
Conv2D–CubeSphere	32	$3 \times 3$	(6, 24, 24, 32)	36,928
UpSampling2D		$2 \times 2$	(6, 48, 48, 32)	
Concatenate $(1)$			(6, 48, 48, 64)	
Conv2D–CubeSphere	32	$3 \times 3$	(6,  48,  48,  32)	36,928
Conv2D–CubeSphere	32	$3 \times 3$	(6, 48, 48, 32)	18,496
Conv2D–CubeSphere	vt	$1 \times 1$	(6,  48,  48,  vt)	528

<sup>*a*</sup>Output shape is (face, y, x, channels).

<sup>b</sup>Number of learned parameters for t = 2, v = 4, c = 4. Total is 672,080.