The Southern Hemisphere Sudden Stratospheric Warming in September 2019 and its predictions in S2S Models

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Abstract

A sudden stratospheric warming (SSW) happened in September 2019 in the Southern Hemisphere (SH) with winds at 10hPa, 60°S reaching their minimum value on September 18. The evolution, favorable conditions, and predictability for this SSW event are explored. The favorable conditions include easterly equatorial quasi biennial oscillation (QBO) winds at 10hPa, solar minimum, positive Indian Ocean Dipole (IOD) sea surface temperatures (SST), warm SST anomalies in the central Pacific, and a blocking high near the Antarctic Peninsula. The predictive limit to this SSW is around 18 days in some S2S models, and more than 50% of the ensemble members forecast the zonal wind deceleration in reforecasts initialized around 29 August. A vortex slowdown in evident in some initializations from around 22 August, while initializations later than 29 August capture the wave-like pattern in the troposphere. The ensemble spread in the magnitude of the vortex deceleration during the SSW is mainly explained by the ensemble spread in the magnitude of upward propagation of waves, with an underestimated tropospheric wave amplitude leading to a too-weak weakening of the vortex. The September 2019 SH SSW did not show a near-instantaneous downward impact on the tropospheric southern annular mode (SAM) in late September and early October 2019. The Australian drought and hot weather in September possibly associated with the positive IOD might have been exacerbated by the negative SAM in October and later months due to the weak stratospheric polar vortex. However, models tend to forecast a near-instantaneous tropospheric response to the SSW.

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16	Key Points:
17 18	• A SH SSW happened in September 2019, with westerly winds at 10 hPa, 50°S reversed on 16 September.
19 20	• This SH SSW appeared during several favorable conditions, including easterly QBO winds, solar minimum, positive IOD, and warm SST anomalies in the central Pacific.
21 22 23	• The predictive limit to this SSW is ~18 days in some S2S models, but models forecast a faster tropospheric response to the SSW.

24 Abstract

A sudden stratospheric warming (SSW) happened in September 2019 in the Southern 25 26 Hemisphere (SH) with winds at 10hPa, 60°S reaching their minimum value on September 18. 27 Using multiple datasets and real-time predictions from 11 subseasonal to seasonal (S2S) 28 models, the evolution, favorable conditions, and predictability for this SSW event are explored. 29 The September 2019 SSW happened during several favorable conditions, including easterly 30 equatorial quasi biennial oscillation (QBO) winds at 10hPa, solar minimum, positive Indian 31 Ocean Dipole (IOD) sea surface temperatures (SST), warm SST anomalies in the central Pacific, and a blocking high near the Antarctic Peninsula. With these favorable initial and 32 33 boundary conditions, the predictive limit to this SSW is around 18 days in some S2S models, 34 and more than 50% of the ensemble members forecast the zonal wind deceleration in 35 reforecasts initialized around 29 August. A vortex slowdown in evident in some initializations 36 from around 22 August, with a forecast-reanalysis pattern correlation <0.5, while 37 initializations later than 29 August capture the wave-like pattern in the troposphere and the 38 subsequent stratospheric evolution. The ensemble spread in the magnitude of the vortex 39 deceleration during the SSW is mainly explained by the ensemble spread in the magnitude of upward propagation of waves in the troposphere and in the stratosphere, with an 40 41 underestimated tropospheric wave amplitude leading to a too-weak weakening of the vortex. 42 The September 2019 SH SSW did not show a near-instantaneous downward impact on the 43 tropospheric southern annular mode (SAM) in late September and early October 2019. The 44 Australian drought and hot weather in September possibly associated with the positive IOD 45 might have been exacerbated by the negative SAM in October and later months due to the weak 46 stratospheric polar vortex. However, models tend to forecast a near-instantaneous tropospheric 47 response to the SSW.

48 Key words: Sub-seasonal to seasonal (S2S); Sudden stratospheric warming (SSW); Southern

- 49 Hemisphere (SH); predictability; September 2019
- 50

51 **1. Introduction**

52 Extreme stratospheric events such as sudden stratospheric warmings (SSWs) have 53 downward impacts that can reach down to the surface in both Northern (Baldwin & Dunkerton, 54 1999, 2001; Hitchcock & Shepherd, 2013; Domeisen et al., 2020a, 2020b; Rao et al., 2020) and Southern Hemispheres (Gillett & Thompson, 2003; Thompson et al., 2005; Polvani et al., 55 2011; Waugh et al., 2015; Lim et al., 2018) on both subseasonal and decadal timescales. The 56 57 stratospheric polar vortices in both hemispheres are strong westerly winds that encircle the 58 Poles and become strongest in the winter half of the year (Kodera & Kuroda, 2002; Waugh et 59 al., 2017). In the Northern Hemisphere (NH), midwinter SSWs occur six to seven times every 60 decade (Charlton & Polvani, 2007; Butler et al., 2015; White et al., 2019). Although generally 61 not as spectacular as SSW events in the NH, the polar stratosphere in the Southern Hemisphere 62 (SH) can also be disturbed (Allen et al., 2006; Lim et al., 2019; Rao & Ren, 2020). The NH 63 polar vortex is mainly perturbed during boreal midwinter and spring (Waugh et al., 2017), 64 while the SH polar vortex tends to be perturbed episodically during austral spring to early 65 summer (Randel, 1988; Kuroda & Kodera, 1998; Hio & Yoden, 2005). The SH stratospheric 66 polar vortex perturbation can superimpose on the seasonal evolution of the vortex, viewed as 67 an earlier or later transition from the wintertime circulation to the summertime circulation 68 (Byrne & Shepherd, 2018; Butler & Gerber, 2018; Lim et al., 2019).

69 Variability of upward-propagating planetary waves perturbs the stratospheric polar 70 vortex, which can couple with the troposphere and serve as a potential source of sub-seasonal 71 to seasonal (S2S) predictability of surface weather and climate (Baldwin et al., 2003; Charlton 72 et al., 2003; Byrne & Shepherd, 2018; Lim et al., 2018). For example, the negative stratospheric 73 annular mode associated with an SSW can cause sustained impacts on surface climate via 74 excitation of the negative tropospheric Southern or Northern Annular Modes (SAM or NAM) in both hemispheres (Baldwin & Dunkerton, 1999; Baldwin et al., 2003; Sigmond et al., 2013). 75 76 Since the stratosphere usually has a longer memory than the troposphere, a downwardpropagating signal from the stratosphere can be used to enhance predictability of surface 77 78 weather on the S2S timescale in both hemispheres (Sigmond et al., 2013; Tripathi et al., 2015, 79 2016).

80 On the interannual to decadal timescales, similar to the variation of the NH stratospheric 81 polar vortex, the SH stratospheric polar vortex can also be dynamically affected by the El Niño-82 Southern Oscillation (ENSO) (Hurwitz et al., 2011; Zubiaurre & Calvo, 2012; Rao & Ren, 83 2020), the quasi-biennial Oscillation (QBO) (Baldwin & Dunkerton, 1998; Naito, 2002), solar cycle (Kodera & Kuroda, 2002; Kuroda & Kodera, 2005), and ozone depletion (Polvani et al., 84 85 2011; Kidston et al., 2015; Waugh et al., 2015). In the SH the influence stemming from the 86 aforementioned forcings can weaken or strengthen the circumpolar jet, which in turn causes a meridional shift of the tropospheric jet and therefore variations in the tropospheric SAM 87 88 (Arblaster & Meehl 2006; Son et al., 2010). Therefore, ENSO, the QBO, solar cycle and ozone 89 depletion or recovery may provide some predictability for SH winds and the SAM especially 90 on longer timescales. In contrast, prediction on the S2S timescale depends not only on 91 boundary conditions, but also on initial conditions (Kistler et al., 2001; Tripathi et al., 2015).

92 Waugh et al. (1998) found that the prediction skill of the lower stratosphere (50 hPa) 93 for the SH vortex at a week lead time was comparable to the tropospheric prediction skill at a 94 3-day lead time when the SH vortex was undisturbed. Lahoz (1999) also found that the lower 95 stratosphere is more predictable than the middle troposphere in the UKMO unified forecasting 96 model, but the SH winters seem to be less predictable than NH winters (also see Figure 5 in 97 Kistler et al., 2001; Figure 2 in Gerber et al., 2012). In both the NH and SH, a decent 98 stratosphere in the forecasting model can enhance tropospheric prediction (Roff et al., 2011; 99 Gerber et al., 2012). In austral spring when SH stratosphere-troposphere coupling is strongest 100 (Thompson et al., 2005; Rao & Ren, 2020), the extended-range (or S2S) prediction skill in the troposphere and near surface may be enhanced with a well-resolved stratosphere (Roff et al., 101 102 2011; Son et al., 2013).

Major midwinter SSWs rarely occur in the SH (only one major midwinter SSW 103 104 occurred in the SH in 2002), largely because of weak land-sea contrast and small planetary 105 wave amplitudes in the SH (Ren & Cai, 2008; Chen et al., 2019; Rao & Ren, 2020). Only two 106 SSWs were observed in the SH, one in September 2002 (Allen et al., 2003; Tripathi et al., 107 2016), and the other in September 2019 (Yamazaki et al., 2020). Since the SH SSW was 108 observed in September 2002, there have been a large amount of reports for predictability of 109 this event (Simmons et al., 2005; Allen et al., 2006; Taguchi, 2018). Simmons et al. (2005) 110 compared three NH sudden warmings (January 1958, February 1979, and February 2003) and the September 2002 SH warming and found a similar level of forecast skill for those events in 111 112 the ECMWF model. Using a high-top version (upmost level: 0.005 hPa) of the Navy 113 Operational Global Atmospheric Prediction System (NOGAPS) model, Allen et al. (2006) also 114 explored predictability of the September 2002 SH warming. This unprecedented stratospheric warming event can be forecasted 6 days in advance, and the main features of the westerly 115 116 reversal, planetary wave pulse, and splitting of the polar vortex could be well forecasted in the NOGAPS initializations. Similar to some NH SSWs (e.g., January 2009, February 2018), the 117 118 September 2002 SH SSW experiences rapid wind decelerations (Taguchi, 2018; Rao et al. 2018, 119 2019a) and can only be forecasted less than two weeks in advance.

120 However, the prediction of the second SH warming event on record has not been explored, and the possible downward impact of this event on the SH surface weather is not vet 121 122 reported. Since the World Climate Research Program and the World Weather Research 123 Program initiated the S2S prediction project in 2013, three SSWs have been observed, 124 including the NH events in mid-February 2018 (Karpechko et al., 2018; Rao et al., 2018) and early January 2019 (Rao et al., 2019b, 2020), and the SH event in September 2019 (Yamazaki 125 126 et al., 2020). This study considers the predictability of the September 2019 SH event using the 127 real-time predictions from 11 S2S models. Different from the September 2002 vortex split 128 SSW, the September 2019 SSW was a vortex displacement event. We mainly focus on the 129 following two questions: (1) What were the main tropospheric and stratospheric drivers of the 130 September 2019 SH SSW? (2) How predictable is this event?

131 The structure of the paper is organized as follow. Following this section, section 2 132 introduces the S2S models and methods. Section 3 explores the evolution of the September 133 2019 SH SSW. Section 4 presents the favorable circulation conditions for the occurrence of an

134 SSW. Prediction of this SSW in multiple S2S models is shown in section 5. In this section, the

- possible surface impact of this SSW is also discussed. Finally, conclusions and a discussion
- are provided in section 6.

137 **2 S2S real-time predictions, data, and methods**

138 2.1 S2S models and real-time predictions

139 All real-time forecasts initialized in the month before the September 2019 SH SSW 140 event from the 11 models participating in the S2S project are used in this study. All the real-141 time predictions for those models are collected by the ECMWF 142 (https://confluence.ecmwf.int/display/S2S). The 11 S2S models are the Australian Bureau of 143 Meteorology (BOM), China Meteorological Administration Beijing Climate Centre (CMA-BCC), Environment and Climate Change Canada (ECCC), European Centre for Medium-range 144 145 Weather Forecasts (ECMWF), Hydro-Meteorological Centre of Russia (HMCR), Institute of Atmospheric Sciences and Climate National Research Council of Italy (ISAC-CNR), Japan 146 147 Meteorological Agency (JMA), Korea Meteorological Administration (KMA), Météo-148 France/Centre National de Recherche Meteorologiques (METEO), National Centers for 149 Environmental Prediction (NCEP), and United Kingdom Meteorological Office (UKMO). ECCC, HMCR, ISAC-CNR, JMA, and METEO are initialized once a week; BOM and 150 151 ECMWF are initialized twice weekly; CMA-BCC, KMA, NCEP, and UKMO are initialized 152 every day. Each initialization has multiple ensemble members, and the ensemble size is also different among models. The ensemble size for a particular initialization is 4 in three models 153 154 (CMA-BCC, KMA, UKMO), 16 in NCEP, 20 in HMCR, 21 in ECCC, 33 in BOM, 41 in ISAC-155 CNR, 50 in JMA, and 51 in two models (ECMWF, METEO). The integration time is also 156 different: about one month in three models (ECCC, ISAC-CNR: 32 days; JMA: 33 days), ~1.5 157 months in two models (NCEP: 44 days; ECWMF: 46 days), and two months in the remaining 158 six models (CMA-BCC, KMA, UKMO: 60 days; HMCR, METEO: 61 days; BOM: 62 days).

159 2.2 Data

160 The daily and monthly NCEP/NCAR (Kalnay et al., 1996) and JRA-55 (Kobayashi et 161 al., 2011) reanalyses are used in this study as the reference. Because the evolution of the 162 September 2019 SSW in the two reanalyses are nearly the same, only the NCEP/NCAR is shown as a verification. All forecasts from S2S models are interpolated to $2.5^{\circ} \times 2.5^{\circ}$ (latitude 163 164 \times longitude) horizontal resolution. To explore the potential predictability source of this SSW, favorable conditions are also analyzed with the following datasets: (1) the multivariate 165 Madden-Julian Oscillation (MJO) daily time series provided by the Australian Bureau of 166 Meteorology (http://www.bom.gov.au/climate/mjo); (2) the monthly mean time-series of the 167 168 ENSO index derived from the COBE sea surface temperature (SST) dataset compiled by the 169 Meteorological ESRL, Japanese Agency and redistributed by NOAA 170 (https://www.esrl.noaa.gov/psd/data/gridded/data.cobe.html); (3) the quasi biennial oscillation 171 (QBO) time series shared by Berlin Free University (https://www.geo.fu-172 berlin.de/en/met/ag/strat/produkte/qbo/index.html); (4) the combined 10.7cm solar flux by

- 173 NOAA (<u>ftp://ftp.ngdc.noaa.gov/STP/space-weather/solar-data/solar-features/solar-radio</u>) from
- 174 1979–2017 and by Natural Resources Canada (<u>https://www.spaceweather.gc.ca/solarflux/sx-</u>
- 175 <u>5-mavg-en.php</u>) from 2018–2019 to denote the 11-year solar cycle. Based on those datasets, it
- 176 will be shown that the observed warm SST anomalies in central Pacific, the cold SST anomalies
- 177 in the tropical East Indian Ocean, the easterly QBO at 10 hPa (QBO10), and the solar minimum
- in the 2019 austral winter are favorable conditions for the September 2019 SH SSW.
- 179 *2.3 Methods*

180 In the NH, SSW events are usually selected using a strict benchmark: a major SSW is defined if the zonal-mean zonal winds at 60°N and 10hPa reverse from westerlies to easterlies 181 182 (Charlton & Polvani, 2007; Butler et al., 2015), and the zonal mean temperature gradient 183 between 60°N and the North Pole reverses. Similar definition can be used for the SH SSW event, but with a less strict benchmark. A SH SSW event is identified when the zonal-mean 184 zonal winds at 60°S and 10hPa decrease to ≤ 20 m/s. Based on this threshold, the SSW onset 185 186 date at 10hPa is 16 September 2019. Note that zonal winds at 50°S, 10hPa reversed on 16 187 September16 2019 (Figure 1).

Because the eddy heat flux is proportional to the vertical component of the EP flux (F_z), we also calculate the eddy heat flux ([V'T']) at 500 hPa and 100 hPa to represent upward propagation of planetary waves from the middle and upper troposphere, respectively.

191 To assess the prediction of circulation patterns and to quantify model performance, the 192 pattern correlation coefficient (PCC) between forecasts and the reanalysis for height anomalies

193 (H) is utilized,
$$PCC(t) = \frac{\sum_{i=1}^{n} w(i) [H_{FC}(i,t) - \overline{H_{FC}(t)}] [H_{RE}(i,t) - \overline{H_{RE}(t)}]}{\sqrt{\sum_{i=1}^{n} w(i) [H_{FC}(i,t) - \overline{H_{FC}(t)}]^2} \sqrt{\sum_{i=1}^{n} w(i) [H_{RE}(i,t) - \overline{H_{RE}(t)}]^2}}$$
. In the PCC

- 194 formula, *t* denotes the forecast day *t* (*t* = 0 denotes the initial day), *i* is the spatial grid index, *n* 195 is the total number of spatial grid points in the southern extratropics (20–90°S). The subscript 196 "FC" denotes the forecast, and "RE" denotes the reanalysis. The overbars in the PCC formula 197 denote spatial averages, including the *w*(*i*) (cosine of latitude) weighting, $\overline{H(t)} =$ 198 $\frac{\sum_{i=1}^{n} w(i)H(i,t)}{\sum_{i=1}^{n} w(i)}$. The PCC is also employed to attribute the predictability source of the circulation
- 199 pattern for the September 2019 SH SSW.

200 To assess the probability of successful forecast in the multiple-member ensemble, the

- 201 SSW hit ratio is also used, $r = \frac{M}{N} \times 100\%$. *N* is the number of total ensemble members, and
- 202 *M* is the number of the members that forecast $[U]_{10hPa/60S}$ decelerated to < 20m/s on any day of
- 203 14-18 September 2019 (i.e., a maximum error of two days on both sides is allowed for the
- 204 deceleration timing).

205 **3 Observed evolution of the September 2019 SSW**

Evolutions of the Antarctic polar cap geopotential height at 10hPa ($[H]_{10hPa/SP}$), the polar cap temperature at 10hPa ($[T]_{10hPa/SP}$), and zonal-mean zonal wind at 60°S (50°S) and 10 208 hPa ($[U]_{10hPa/60S}$) from 17 August-16 October are shown in Figure 1. The climatological 209 southern circumpolar westerlies are ~80 m/s (Figure 1c), and the observed wind deceleration 210 amplitude in mid-September 2019 exceeded 60 m/s (80 m/s decelerating to below 20 m/s). In 211 contrast, the climatological northern circumpolar westerlies are \sim 30 m/s (Figures 1d, 1f), and 212 the observed maximum easterlies for some strong NH SSWs are ~-20 m/s (Rao et al., 2019a). 213 Therefore, the observed southern circumpolar zonal wind anomalies for the SH September 2019 SSW are even larger than some NH major SSWs (a deceleration of -60 m/s compared to 214 215 -50 m/s). The SSW onset date varies with the threshold, which does not affect the assessment 216 of predictions in S2S models if all models are evaluated with the same threshold. Comparing 217 the September 2002 SSW and the September 2019 SSW, the Antarctic polar cap height and 218 temperature (Figures 1a, 1b) are of similar values relative to their climatology, although the 219 circumpolar zonal wind at 60°S only for the former event reversed from westerlies to easterlies 220 (Figure 1c). However, the westerly winds at 50°S reversed to easterly winds on 16 September 221 2019 (Figure 1e). Following the two SSWs, a weak polar vortex persists until the final warming: 222 the westerlies in the post-SSW period bottomed-out below 20 m/s.

223 Figure 2 shows evolution of geopotential height, temperature and zonal wind during 224 the September 2019 SSW. Following the SSW onset, the maximum height anomalies at 10hPa 225 reached 2000 gpm in the Antarctic, while negative height anomalies occurred in the tropical 226 stratosphere (Figure 2a). Large positive height anomalies formed 20 days before the SSW onset 227 (from 27 August), and increased in the following >20 days (Figure 2b). After the onset, the 228 positive polar cap height anomalies (or negative SAM) in the stratosphere had a long lifetime 229 in the following months. The Antarctic troposphere was dominated by negative height 230 anomalies before onset, and the negative stratospheric SAM did not propagate downward 231 instantly after the SSW onset (Figure 2c). This might suggest that the tropospheric response to 232 SSW also depends on the preexisting tropospheric circulation conditions (Gerber et al., 2009; 233 Garfinkel et al., 2013; Hitchcock & Simpson, 2014; White et al., 2019). This SSW did not have 234 a rapid impact on the troposphere, although there was a short and weak pulse of a negative 235 SAM (9-15 October).

Similarly, warm Antarctic temperature anomalies began to form on 27 August, then increased in the following >20 days (Figures 2d, 2e). The maximum warming was centered in the Antarctic stratospheric around 16 September (Figure 2d), and the warm anomalies persisted for >20 days in high latitudes (Figure 2e). Consistent with the polar cap height evolution, the Antarctic temperature anomalies also showed a downward propagation from the stratosphere to upper troposphere. The near surface temperature anomalies oscillated between positive and negative and did not show any preference to the sign (Figure 2f).

This minor SSW event was accompanied by a strong westerly deceleration, denoted by large easterly anomalies in the subpolar region (Figures 2g–2i). The maximum easterly anomalies reached ~60 m/s around 16 September (Figure 2g). Easterlies are also evident in the tropical stratosphere, which are associated with the easterly QBO10. Although easterlies were not observed at 60°S, they appeared at 50°S with the zonal wind transition on 16 September (Figure 2h). Negative easterly anomalies at 10hPa began to appear on 27 August but developed throughout the stratosphere (Figure 2i). Before the SSW onset, westerly anomalies appeared in the troposphere, which might obscure the downward impact of the September 2019 SSW. In summary, the September 2019 SH SSW was not observed to show any substantial impact on the troposphere in late September and early October 2019 after its onset, although it may have impacted Australian dry and wildfire conditions in November 2019 (Lim et al., 2019).

4 Possible predictability sources of the tropospheric and stratospheric circulation for the September 2019 SSW

256 *4.1 Stratospheric predictability sources*

257 The predictive limit for the 2019 major SSW event in the NH has been recently found 258 to be longer than the average, likely due to preceding tropical tropospheric and stratospheric 259 conditions that are favorable for a vortex weakening (Rao et al., 2020). Here, we examine 260 whether similar atmospheric conditions were present before the 2019 sudden warming in the 261 SH polar stratosphere, thus potentially contributed to enhanced predictability of this event. Figure 3 shows the austral winter-mean QBO10 index from Berlin Free University and the 262 austral winter solar flux at 10.7cm (SF10.7) from NOAA and Natural Resources Canada, as 263 264 well as the composite geopotential height patterns at 500 hPa in the following September. The 265 extremely weak Antarctic stratospheric polar vortex in September 2019 happened following the easterly QBO10 and solar minimum in the preceding austral winter (Figures 3a, 3b). It is 266 267 shown that the September 2002 SH SSW also appeared following the easterly QBO10 (Figure 268 3a). However, the September 2002 SSW appeared during the solar maximum, while the 269 September 2019 SSW appeared during the solar minimum.

270 To attribute the possible predictability sources of the stratospheric circulation pattern 271 in September 2019, the observed eddy height anomaly pattern at 10 hPa in September 2019 272 and the composite height patterns in September for easterly QBO10 phases and solar minima are also shown in Figure 3. Considering that the observed anomalies were much larger than 273 274 earlier and later sub-periods in Figure 1, it is more reasonable to take the entire September 275 mean than any sub-period. An anomalous wave-1-dominated height pattern was observed to 276 enhance the climatological waves (purple contours) in September 2019, favoring displacement 277 of the polar vortex toward the Antarctic Peninsula (Figure 3c). The positive extrema of the 278 wave-1 pattern were situated south of Australia, while the negative extrema were located over 279 the Antarctic Peninsula. Such a circulation anomaly pattern was likely explained by the easterly 280 QBO10 and the solar minimum (Figures 3d, 3e). As in the NH, the Holton-Tan relationship is also identified for the SH (Baldwin & Dunkerton, 1998) but optimized using equatorial wind 281 282 at lower pressure level (10 hPa here). The easterly QBO10 on average excites a positive height 283 center over the South Indian Ocean, with a PCC of 0.35 with the observed circulation pattern 284 in Figure 3c (Figure 3d). In contrast, the solar minimum on average excites a negative height center over South Atlantic Ocean, with a PCC of 0.45 (Figure 3e). 285

286 4.2 Tropospheric predictability sources

Tropical convection anomalies associated with the Madden-Julian Oscillation (MJO) have been shown be a major source for an improved predictability of the extratropics in both 289 hemispheres (Vitart, 2014; Garfinkel & Schwartz, 2017; Yang et al., 2017; Rao et al., 2020). 290 Now we explore the possibility that MJO-related convection anomalies contributed to the prediction skill of the 2019 SSW event in the SH. The MJO evolution (Wheeler & Hendon, 291 292 2004) during July-September 2019 and the associated convection activity denoted by the 293 outgoing longwave radiation (OLR) anomalies and the extratropical height anomalies in four 294 sub-periods are shown in Figure 4. The largest responses of the SH stratospheric polar vortex 295 to MJO appears ~30 days after the MJO phase 1 (Yang et al., 2017). However, the MJO 296 amplitude in August and early September 2019 was fairly weak (Figure 4a), and the OLR 297 anomalies over tropical Indian and Pacific Oceans were not well organized in the four sub-298 periods (Figures 4c–4f). The convection in the eastern tropical Indian Ocean is suppressed in 299 the four sub-periods (denoted by positive OLR anomalies), and the convection in the tropical 300 western Indian Ocean is weakly enhanced for all sub-periods (see the two green boxes over the 301 tropical Indian Ocean).

302 These stable convection anomalies are not associated with a typical MJO, but with the 303 local SST anomalies in the tropical Indian Ocean (Figure 4b). A moderate El Niño event 304 developed in the 2018/2019 boreal winter (Rao et al., 2019b), and gradually decayed in the following 2019 boreal summer (austral winter). Warm SST anomalies remained in the central 305 306 Pacific Niño4 region, which have been shown to more strongly affect the SH vortex than the 307 eastern Pacific ENSO (Hurwitz et al., 2011; Zubiaurre & Calvo, 2012; Rao & Ren, 2020). The 308 central Pacific warm SST anomalies appear to have led to an enhanced precipitation in western 309 tropical Pacific in the last 10 days before the SSW (Figure 4f). In the tropical Indian Ocean, a SST anomaly dipole (i.e., a positive IOD) was observed, which is consistent with the local 310 311 convection dipole in the four sub-periods. Therefore, it might be concluded that the 312 extratropical circulation pattern is more associated with the SST anomalies than the MJO. The 313 SST anomalies can persist for a much longer time than the MJO, and the extratropical 314 circulation pattern was persistent over the four sub-periods, especially the high anomaly center 315 over the Antarctic Peninsula and the low anomaly center over the South Indian Ocean (Figures 316 4c-4f). Those two centers are just located in the climatological ridge over the southeast Pacific and the climatological trough over the South Atlantic Ocean (purple contours in Figures 4c-317 318 4f).

The combination of a positive IOD and a central Pacific El Niño-like forcing might explain the observed high anomalies (associated with the preceding blocking) extended from the Antarctic Peninsula to the South Atlantic Ocean. The role of antecedent blocking in forcing a SH vortex weakening has been reported (Allen et al., 2006; Wooling et al., 2010). For example, Allen et al. (2006) found that the blocking high over the South Ocean leads to enhanced upward wave flux, which forced the September 2002 SH SSW. The same forcing was also observed before the September 2019 SSW.

Figure 5 shows the time series of the austral winter-mean IOD index and Niño4 (5°S– 5°N, 160°E–150°W) index, as well as the composite tropospheric height patterns in September. The IOD index is the difference in SST anomalies between the IOD west (50–70°E, 10°S– 10°N) and east regions (90–110°E, 10–0°S). A typical positive IOD event appeared in the 2019

austral winter (Figure 5a), and warm SST anomalies remained in the central Pacific (Figure 330 331 5b). No positive IOD event was observed for the September 2002 SSW event, but warm SST 332 anomalies also prevailed in the central Pacific for the 2002 event (Figures 5a, 5b). The net 333 effect is that a wave-like pattern was observed in September 2019 (Figure 5c), although only 334 wave-1 propagated upward to disturb the polar vortex (Figure 3c). The high center near the 335 Antarctic Peninsula and the low center over the South Indian Ocean enhanced the 336 climatological waves (purple contours in Figure 5c, dominated by wave-1). The positive IOD 337 forces a wave train-like circumglobal pattern, with the high and low centers in phase with the observed height pattern in September 2019 (Figure 5d). The PPC between the height response 338 339 to IOD and the observed height pattern in the SH extratropics is 0.52, highlighting the role of 340 the IOD SST forcing. Similarly, warm SST anomalies in central Pacific also force a similar 341 circumglobal height pattern (Figure 5e). The highly similar response of the extratropical 342 troposphere to IOD and ENSO might indicate their entanglement, because most of the positive 343 IOD events during the austral winter happen in the El Niño decaying phase.

344 5 Prediction of the September 2019 SSW in S2S models

345 5.1 Prediction of the SSW hit ratio and zonal-mean zonal wind evolution

346 Figure 6 considers the success of each S2S model to forecast the SSW. Specifically, it 347 shows dates in which reforecasts are available (filled grid) and their ensemble size (number in 348 the filled grid). The color denotes the SSW hit ratio (i.e., the ratio between the ensemble 349 members that forecast the deceleration of $[U]_{10hPa/60S}$ to <20 m/s around 16 September 2019 and the total number of ensemble members). It is clear that the maximum predictive limit 350 351 exceeds 18 days in five models (i.e., ECMWF, JMA, KMA, NCEP, and UKMO) if at least a 352 hit ratio of 50% is required. Therefore, this minor SSW also seems to be more predictable than 353 most NH SSW events, perhaps due to the external forcings (easterly QBO10, solar minimum, 354 moderate central Pacific El Niño, positive IOD, and Antarctic Peninsula blocking) that were 355 favorable for a weak Antarctic stratospheric polar vortex. Rao et al. (2019b) reported that the January 2019 NH SSW also occurred under several favorable conditions: the easterly QBO at 356 357 50 hPa, the solar minimum, moderate El Niño, and MJO phases 4-6. The average predictive limit in S2S models for the January 2019 NH SSW is also ~18 days. The low-top S2S models 358 359 (BOM, CMA-BCC, ECCC, HMCR, and ISAC-CNR) usually have reduced skill in predicting SSW events due to their lack of a well-resolved stratosphere (e.g., Rao et al., 2019a, 2020; 360 361 Domeisen et al., 2020a, 2020b). These low-top models also failed to predict the September 362 2019 SH SSW event, as is evident from their relatively low hit ratio.

363 There are four common initializations within one month before the real SSW onset for all S2S model except JMA-22 August, 29 August, 5 September, and 12 September-while 364 365 forecasts initialized one day earlier are available for JMA. The predicted evolutions of 366 $[U]_{10hPa/60S}$ in the four initializations (color) for all S2S models are shown in Figure 7, with 367 JMA initializations shown from one day earlier. Zonal winds at 10 hPa are not provided by HMCR, so the evolution of zonal winds at 50 hPa is shown for this model. The ECCC model 368 369 fails to properly initialize the SH stratosphere in the lead-up to the September 2019 SSW 370 (Figure 7c), although the model has a reasonable initialization in the NH stratosphere during

the February 2018 and January 2019 NH SSWs (Rao et al., 2019b, 2020). Deceleration of the 371 westerly winds at 50 hPa is much smaller than the winds at 10 hPa, and the HMCR (Figure 7e) 372 shows a large prediction spread for the westerly wind around 16 September especially in the 373 374 two early forecast ensembles (in purple and green). Other models can well predict the strong 375 deceleration of the circumpolar winds before 16 September even in the two earlier forecast 376 ensembles, although most ensemble members in some models (e.g., BOM, ECCC, ISAC-CNR, JMA) fail to forecast the weak westerlies that decelerated to <20 m/s around 16 September, 377 378 consistent with the small hit ratio for those initializations in low-top models in Figure 6. In the 379 29 August initialization, some models (ECMWF, JMA, KMA, NCEP, UKMO) successfully predict the deceleration of the westerlies to <20 m/s (Figures 7d, 7g, 7h, 7j, 7k). These five 380 381 models have a high model top and a well-resolved stratosphere, which is consistent with 382 previous work that indicates an improved representation of the stratosphere adds some skill to 383 the SSW prediction (Roff et al., 2011; Son et al., 2013; Rao et al., 2019b).

384 5.2 Prediction of the persistent tropospheric circulation pattern

385 The wave-1 forcing that displaces the SH stratospheric polar vortex toward the 386 Antarctic Peninsula (Figure 3c) can be tracked to the troposphere. The tropospheric 387 circumglobal wave train-like anomaly pattern has been shown in Section 4 to be likely associated with the positive IOD and moderate warm SST anomalies in the central Pacific. The 388 prediction of the SH extratropical height anomalies at 500 hPa is shown in Figure 8 for the 389 390 multi-model ensemble mean (MME) initialized on 22 August, 29 August, 5 September, and 12 391 September, respectively. Based on the reanalysis, the height anomaly centers (especially the 392 high blocking over the Antarctic Peninsula and the anomalous low center over the South Indian 393 Ocean) show a long lifetime in different sub-periods before and during the SSW (shadings in 394 Figure 8). The earliest initialization on 22 August well forecasts the extratropical height pattern 395 during 31 August–4 September (Figure 8a; PCC = 0.8), including the Antarctic Peninsula 396 anomaly high and the South Indian Ocean anomaly low. The tropospheric predictive skill in 397 the 22 August initialization MME decreases rapidly in the following three sub-periods (Figures 398 8b-8d; PCC < 0.5). The predictive skill for this SSW onset in the 22 August initialization might 399 also originate from stratospheric predictability (i.e., QBO and solar cycle).

400 The MME initialized on 29 August can reasonably forecast the tropospheric height patterns in all of the four successive sub-periods (Figures 8e–8h; PCC > 0.5), although the 401 402 magnitude of the eddy height anomalies is largely underestimated during 5–9, 14–10, and 15– 403 19 September (e.g., the high center: ~160 vs 60 gpm). The amplitude of the predicted wave 404 pattern also decreases with the forecast time for the 5 September initialization (Figures 8i-8k; 405 PCC ≥ 0.5), consistent with the high hit ratio in most models for this initialization (Figure 6). 406 Since the initialization time is much closer to the SSW onset date in the 12 September initialization MME, the amplitude and phase of the eddy height during 15–19 September are 407 408 forecasted correctly (Figure 81; PCC = 0.91). The MMEs for some high-top models (ECMWF, 409 KMA, JMA, NCEP, and ECMWF) and the remaining models are also compared. The pattern 410 correlation for high-top models are very similar to low-top models, but the height anomaly

- 411 magnitude in the high-top MME is better predicted than in the low-top MME (not shown for
- 412 succinctness).

413 5.3 Prediction of the wave forcing in forecasts

414 To test the contribution of the upward propagation of waves to the SSW predicative 415 skill, the scatter plot of the cumulative eddy heat flux by wave-1 (\propto -F_z in the SH) averaged in the 45-75°S latitude band at 500 hPa from 7-16 September (i.e., within ten days before the 416 417 SSW onset) versus the zonal mean winds at 60°S and 10 hPa (50 hPa for HMCR) during 16-418 20 September is shown in Figure 9a. Only the ensemble mean for the common initializations 419 and each model is shown. Note that negative eddy heat flux corresponds to upward EP flux in the SH, while positive eddy heat flux in the SH denotes weak wave activity from the 420 troposphere to the stratosphere. For the two earlier initializations, nearly all forecasting models 421 422 tend to underestimate the upward propagation of waves, explaining the much stronger 423 westerlies in forecasts (purple and green in Figure 9a). The zonal mean zonal wind in HMCR 424 seems to be outliers, because the wind at 50 hPa is shown for this model due to the 425 unavailability of the zonal wind at 10 hPa. The forecasted cumulative eddy heat flux and zonal winds in the 29 August initialization by ECMWF, JMA, KMA, and UKMO (#4, #7, #8, #11 in 426 427 green) are closer to observations than that forecasted by other models. In contrast, the upward 428 propagation of waves and the zonal mean zonal winds are forecasted by most models in the 5 429 September initializations (orange in Figure 9a), consistent with the high tropospheric predictive 430 skill in the MME (Figures 8i-8k). For the 12 September initialization (red in Figure 9a), the 431 reanalysis is used to complete the 10-day cumulative eddy heat flux (7–16 September), and the 432 bias relative to observations is fairly small, mainly reflecting the bias in the last five days (12-16 September). The correlation between the cumulative eddy heat flux bias (relative to 433 434 observations) and the zonal mean zonal wind bias (relative to observations) is 0.38, indicating 435 the importance of the tropospheric forcing for the SSW event and its predictability. The 436 relationship between the cumulative heat flux bias by wave-1 at 100 hPa and the zonal mean zonal wind bias at 10 hPa is also calculated (Figure 9b), and their correlation (0.85) becomes 437 438 much larger than in Figure 9a at a higher confidence level ($\alpha \approx 0.0$).

439 5.4 Impact of the September 2019 SSW on the near surface predictability

440 The prediction of the near surface temperature and precipitation anomalies in the 15 441 days following the SSW onset (i.e., 16-30 September) is shown in Figure 10 for two initializations. In observations, Australia was anomalously warm in late September 2019 442 (Figure 10e), and southeast Australia was dry (Figure 10j). Such a warm and dry Australian 443 pattern is often associated with a positive IOD and warm SST anomalies in central Pacific (Lim 444 445 et al., 2019; Figure 4b). The stratospheric anomalies during the SSW appears to have had little immediate impact on the troposphere, and no SAM-like signal propagated downward to the 446 447 troposphere in the following 15 days (shadings in Figures 10a, 10c). However, the MME forecasts show stronger easterly anomalies in the lower stratosphere than the reanalysis for the 448 449 two initializations (contours in Figures 10a, 10c). In other words, a more negative tropospheric 450 SAM is forecasted in MME, though not observed (Figures 10b, 10d).

The warm Australia during 16–30 September is well forecasted in the 29 August and 5 451 September initialization MMEs, and the PCC between the observation and forecasts for the 2-452 453 m temperature is 0.64 and 0.68 (Figures 10f, 10i). We do not associate the Australian warm 454 conditions in late September to the stratospheric event, because no negative SAM can be 455 tracked to the SSW in the observation (Figures 2c, 2i). In this case, it is suggested that the 456 predictability of the 2-m temperature on the S2S timescale can likely be attributed to the SST forcing in the neighboring oceans. However, part of Australia was predicted to be warmer than 457 458 observed (Figures 10g, 10i), likely due to a spurious negative tropospheric SAM resulting from 459 a stronger and faster downward propagation of the SSW in forecasts (Figures 10b, 10d).

460 The land precipitation is less predictable than the 2-m temperature in the SH, as in the 461 NH (Karpenko et al., 2018; Rao et al., 2020). The dry conditions in the south and east parts of of the 462 Australia, reminiscent rainfall pattern during positive IOD 463 (http://www.bom.gov.au/climate/iod/), is largely underestimated in S2S models (Figures 10k, 10m): there is no forecast skill in the 29 August initialization (PCC = -0.06) and low skill in 464 465 the 5 September initialization (PCC = 0.27). The predicted rainfall bias is largest in southeast Australia (Figures 10l, 10n), which can also be partially attributed to the forecasted 466 tropospheric SAM bias associate with a faster downward propagation of the SSW in forecasts 467 (Figures 10b, 10d). The negative tropospheric SAM was observed in October and November 468 469 2019 and the downward propagation of negative SAM appeared much later, well after the SSW 470 onset (not shown). The SAM affected Australian climate by inducing changes in surface temperatures and rainfall across southern and eastern parts of the continent (Gillett et al., 2006; 471 Hendon et al., 2007; Min et al., 2013; Lim & Hendon, 2015; Lim et al., 2019). Due to the 472 473 limited forecast duration of the S2S models, the prediction of surface impacts in October and 474 November 2019 (i.e. far beyond the SSW onset day) is not discussed.

475 **6 Summary and discussion**

476 There have only been two recorded SH SSWs: one in September 2002 when the circumpolar westerlies reversed to easterlies, and the other in September 2019 without a zonal 477 478 wind reversal at 60°S and 10 hPa. Even though the circumpolar westerlies at 60°S did not reverse in September 2019, the westerlies at 50°S did indeed reverse and the observed Antarctic 479 480 polar cap height and temperature anomalies during the September 2019 SSW are comparable 481 to the September 2002 SSW. The September 2002 SSW has been widely reported in literature, but the September 2019 event and its predictability have not been yet. Using the real-time 482 multivariate MJO daily time series, the COBE SST dataset, the QBO observations, the solar 483 484 flux at 10.7cm, the reanalysis dataset, and real-time forecasts from 11 S2S forecasting models, 485 several aspects of the September 2019 SH SSW are analyzed, including the observed evolution of this SSW, the favorable conditions for the tropospheric and stratosphere circulation patterns 486 487 during this SSW, and the predictability of this SSW. The main findings are as follows.

488i. The deceleration of $[U]_{10hPa/60S}$ and the wind anomalies for the September 2019 SH489SSW are even larger than some NH SSWs. This might imply that the cumulative wave490flux needed for a SH SSW is much larger than an average SSW event in the NH, because491the climatological SH night jet is much stronger than the NH counterpart (>80 m/s vs

492 ~30 m/s at 10hPa). Using a strict definition of SSW as in the NH, the September 2019 493 SSW would not be identified as a major SSW. We adopt here a less strict definition to 494 identify a SH SSW (e.g., threshold: 20 m/s for $[U]_{10hPa/60S}$ vs 0 m/s for $[U]_{10hPa/60N}$), though the zero wind definition applied at 50°S would also classify this SSW as major. 495 496 ii. Similar to some NH SSWs occurring under favorable conditions, the September 2019 497 SSW happened during the easterly OBO10, the solar minimum, the positive IOD, 498 moderate warm SST anomalies in the central Pacific, and the extratropical blocking 499 over Antarctic Peninsula. The MJO amplitude before the SSW onset is weak, and 500 contribution by the MJO-related convection anomalies to the weakening of the polar vortex is unlikely. Local warm SST anomalies over the western tropical Indian Ocean 501 and central Pacific led to locally enhanced tropical convection. The composite analysis 502 503 shows that easterly QBO10 excites a high anomaly center over South Indian Ocean at 504 10 hPa, and the solar minimum induces a low anomaly center over South Atlantic Ocean, both of which are nearly in phase with the observed wave-1-like pattern in 505 September 2019. Composites of the positive IOD and warm SST in the central Pacific 506 507 corresponds to a circumpolar wave train-like circulation pattern at 500 hPa, highly 508 resembling the observed anomaly pattern in September 2019. Namely, a ridge developed in the subpolar Southeast Pacific, which constructively interfered with the 509 climatological planetary waves. 510

- iii. With those favorable initial and boundary conditions for S2S models, the predictive
 limit to the September 2019 SH SSW is >18 days in the high-top forecasting models.
 The SSW hit ratio in a common early initialization around 29 August even exceeds 50%
 in those models with a decently-resolved stratosphere. The zonal-mean westerlies in
 this initialization and in later ones evolve essentially as in observations.
- iv. The long-lived tropospheric precursors (e.g., the high anomaly center associated with
 blocking over the Antarctic Peninsula, and the low anomaly center over the South
 Indian Ocean) before this SSW are forecasted to different degrees of success during
 four focused sub-periods. The early initialization MME around 22 August has a low
 predictive skill for the tropospheric anomaly pattern a few days before the SSW onset
 (PCC < 0.5). The initializations later than 29 August capture the wave-like pattern in
 the MME, although the wave amplitude is underestimated.
- v. Inter-forecast spread in the westerly winds during the SSW onset is correlated with the
 inter-forecast spread in the cumulative upward propagation of waves from the
 troposphere to the stratosphere. The weaker-than-observed upward E-P flux in models
 for early initializations results from the underestimated wave magnitude in the
 troposphere, and in turn leads to a too-weak weakening of the vortex.
- vi. The September 2019 SH SSW did not show an instant downward impact on the
 tropospheric SAM after its onset in late September and early October 2019. Therefore,
 the Australian drought and hot weather possibly initialized by the positive IOD in
 September 2019 may have been exacerbated by the negative SAM in late October and
 following months associated with the preexisting weak stratospheric polar vortex (Lim
 et al., 2019). However, the MME forecasts a stronger stratosphere-troposphere coupling

and an instant impact of the SSW on the near surface weather.

535 The long lead-time predictability of the September 2019 SH SSW is reminiscent of the 536 similarly long-leads at which the January 2019 NH SSW was predicted: both were forecasted 537 at lead times of ~20 days. The January 2019 NH SSW was also preceded by favorable initial 538 and boundary conditions: the easterly QBO at 50 hPa, the solar minimum, moderate El Niño, 539 and MJO phases 4-6 (Rao et al., 2019b, 2020). Similar to the January 2019 NH event, the 540 September 2019 SH event is also a vortex displacement event, which has been shown to be 541 better forecasted (on average) than a vortex split SSW (Rao et al., 2019a, 2019b; Taguchi, 2018, 542 2020). Although the predictability of SSWs in the NH has recently been reported widely 543 (Karpechko, 2018; Karpechko et al., 2018; Taguchi, 2018, 2020; Rao et al., 2019a, 2019b, 544 2020; Domeisen et al., 2020a, 2020b), this study enriches literature about the predictability of 545 SSWs in the SH. Our results also further confirm that the predictive skill of forecasting models 546 for some SSWs can exceed two weeks if the initial and boundary conditions are favorable for 547 occurrence of a weak stratospheric polar vortex.

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781 Figures and captions

783 Figure 1. (a) Day-by-day evolutions of the area-mean geopotential height in the Antarctic 784 stratosphere (poleward of 65°S) at 10 hPa from 17 August to 16 October for each year (1948-2019). The dark gray curves are the years without SSW events, and the light shading mark the 785 value ranges in those years. The two SSW events in 2002 and 2019 are highlighted in colors. 786 787 The black curve is the climatology. (b) As in (a) but for the area-mean temperature at 10 hPa. 788 (c) As in (a), but for the zonal-mean zonal wind at 10 hPa and 60°S. (d) Day-by-day evolutions 789 of the zonal-mean zonal wind at 10 hPa and 60°N from 1 December to 1 March for each 790 northern winter (1948/49–2018/19). The two most recent SSWs (February 2018 and January 2019) in the Northern Hemisphere are also highlighted in colors. (e, f) As in (c, d) but for the 791 792 zonal-mean zonal winds at 10 hPa and 50°S/N.



Figure 2. (a) Latitude-temporal evolution of the zonal-mean geopotential height anomalies (units: gpm) at 10 hPa from 17 August to 16 October 2019. (b) Evolution of the zonal-mean geopotential height anomalies at 10 hPa for three latitudes. (c) Pressure-time evolution of the normalized Antarctic geopotential height anomalies. (d–f) As in (a–c), but for the zonal-mean temperature. (g–i) As in (a–c), but the zonal-mean zonal wind. Note that the Antarctic height anomalies in Figure 2c have been normalized by the daily deviation at each pressure level to enhance visualization. The full zonal-mean zonal winds are shown in Figure 2h.

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804 Figure 3. (a) Time series of the southern winter-mean QBO index at 10 hPa (units: m/s). The top and bottom reference lines show the thresholds ($\pm 10 \text{ m/s}$) for westerly and easterly phases. 805 and the middle reference line is the zero wind. (b) Time series of the southern winter-mean 806 807 solar flux at 10.7 cm with the climatology removed. The top and bottom reference lines show the thresholds (±1 standard deviation) for solar maxima and minima, and the middle reference 808 809 line is zero. (c) The geopotential height anomaly pattern at 10 hPa in September 2019. The purple contours show the zonal deviation of the climatological geopotential height in 810 September at ± 200 and ± 400 gpm. (d, e) The composite geopotential height anomaly pattern 811 812 at 10 hPa in September following easterly QBO and solar minima, respectively. The black 813 contours show the composite height anomalies at the 95% confidence level based on the 814 Student's *t*-test. The pattern correlation coefficient between the observed anomaly pattern in September 2019 and the composite anomaly pattern is also shown for easterly QBO at 10 hPa 815 and solar minima. 816



819 Figure 4. (a) Evolutions of the MJO amplitude and phase in July (blue), August (orange), and 820 September (red) 2019. (b) The SST anomaly pattern in the 2019 southern winter (June–August). (c-f) Spatial patterns of OLR anomalies (shadings, units: W/m²) and 200-hPa geopotential 821 822 height anomalies (contours, units: gpm; interval: 40) in four sub-periods. The purple contours 823 show the zonal deviation of the climatological geopotential height at ± 40 and ± 80 gpm. The 824 green box in Pacific marks the Niño4 region (5°S-5°N, 160-210°E), and the green boxes 825 (10°S–10°N, 50–70°E; 10°S–0°, 90–110°E) in the Indian Ocean mark the two key regions for 826 the IOD. 827



829 Figure 5. (a) Time series of the southern winter-mean IOD index (units: °C). The top and bottom reference lines show the thresholds (± 0.4 °C) for positive and negative IOD events, and 830 the middle reference line is zero. (b) Time series of the southern winter-mean Niño4 index 831 832 (units: °C). The top and bottom reference lines show the thresholds (±0.5 °C) for central Pacific El Niño and La Niña events, and the middle reference line is zero. (c) The geopotential height 833 834 anomaly pattern at 500 hPa in September 2019. The purple contours show the zonal deviation of the climatological geopotential height in September at ± 40 and ± 80 gpm. (d, e) The 835 composite geopotential height anomaly pattern at 500 hPa in September following positive 836 IOD and central Pacific El Niño events, respectively. The contours show the composite height 837 838 anomalies at the 95% confidence level. The pattern correlation coefficient between the observed anomaly pattern in September 2019 and the composite anomaly pattern is also shown 839 for positive IOD and central Pacific El Niño events. 840



843 Figure 6. Distribution of ensemble member size (the number in each grid cell) in real-time predictions for each initialization of the 11 S2S models from 17 August-17 September 2019. 844 The color shading denotes the hit ratio (units: %) of the ensemble members that forecast the 845 zonal-mean zonal wind at 60°N and 10 hPa falling below 20 m/s near 16 September 2019, with 846 a 2-day maximum error in timing allowed. Since the HMCR model does not provide 847 predictions at 10 hPa, the zonal mean zonal wind at 50 hPa is used to calculate the hit ratio. 848 849 The white unfilled grid denotes that the corresponding model did not initialize real-time predictions on those dates. 850



Figure 7. Real-time predictions of the zonal-mean zonal winds at 10 hPa and 60°S (units: m/s)
in the four common initializations (22, 29 August, and 5, 12 September 2019) by the 11 models.
The colors denote the initialization date. The gray dashed curves are the ensemble mean of the
forecasts initialized on the same date. The black curves are the reanalysis, shown for reference.
Note that JMA initialized its predictions one day earlier (21, 28 August, and 4, 11 September
2019; see Figure 6) than other models.



Figure 8. Eddy height anomalies (units: gpm) by zonal waves 1–3 at 500 hPa in the southern 861 862 extratropics during (first column) 31 August-4 September, (second column) 5-9 September, (third column) 10-14 September, and (last column) 15-19 September from the multi-model 863 864 ensemble mean (MME) initialized on (top row) 22 August, (second row) 29 August, (third row) 5 September, and (last row) 12 September. The contours show forecasts from the MME 865 (contour interval: 40, zero skipped), and the shadings are the reanalysis. The pattern correlation 866 of eddy height anomalies between forecasts and reanalysis is also printed on the top right of 867 each plot. 868



871 Figure 9. (a) Model-by-model scatterplot of the ensemble mean cumulative eddy heat flux bias by wave-1 at 500 hPa during 7–16 September, averaged in the 45–75°S latitude band versus 872 the zonal-mean zonal wind bias at 10 hPa and 60°S during 16–20 September. The color denotes 873 874 the initialization date, and the number marks the model. The correlation (and its significance level) between the cumulative eddy heat flux bias and zonal wind bias is also printed. (b) As 875 in (a) but for the scatterplot of the cumulative eddy heat flux bias at 100 hPa versus the zonal 876 877 wind bias at 10 hPa and 60°S. As an outlier, the ECCC model (#3) is excluded in the MME and correlation calcuation. MME0 = MME for all models except ECCC (#1, #2, #4-#11); 878 879 MME1 = MME for high-top models (#4, #7, #8, #10, #11); MME2 = MME for low-top models 880 (#1, #2, #5, #6, #9).



Figure 10. (a) Pressure-temporal evolutions of the zonal-mean zonal wind anomalies at 60°S 883 884 in the MME (excluding ECCC) initialized on 29 August. The reanalysis is shown in shadings as reference, and the forecasts are shown in contours. (b) The forecasted zonal-mean zonal 885 886 wind anomaly bias. (c, d) as in (a, b) but for the MME initialized on 5 September. (e) The 2-m temperature anomalies in the following two weeks (16-30 September) after the SSW onset 887 from the reanalysis. (f) Forecasted 2-m temperature anomalies in the MME initialized on 29 888 August. (g) The prediction bias for the 2-m temperature anomalies in the MME initialized on 889 890 29 August. (h, i) As in (f, g) but for the MME initialized on 5 September. (j–n) As in (e–i) but for the precipitation observation and forecasts. The pattern correlation between the observation 891 892 and forecasts is also show in the top right of the plot if applicable.