### Geometry and Evolution of Wrench Tectonics in the NE Lau Basin

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#### Abstract

The transition from subduction to transform motion along horizontal terminations of trenches is associated with tearing of the subducting slab and wrench tectonics in the overriding plate. One prominent example is the northern Tonga subduction zone, where the influence of wrench tectonics is indicated by abundant strike-slip faulting in the NE Lau back-arc basin. We explore the back-arc dynamics of this region for the first time through structural lineament analyses and kinematic analyses interpreted from ship-based multibeam bathymetry and Centroid-Moment Tensor data. Our results indicate two distinct sets of Riedel shear structures that are associated with a counter-clockwise rotation in the stress field. We propose that this rotation is driven by the collision of the previously unstudied Capricorn Seamount(s). The strain of this collision was accommodated by right-lateral slip along the adjacent crustal scale fault, known as the Fonualei Discontinuity, which segmented the fore-arc. Internal deformation of the northern tectonic block may have been enhanced by friction along the northern boundary imparting westward-directed stress. This study highlights the importance of non-rigid plate kinematics and extensive re-activation of pre-existing faults in this region. Importantly, these structures directly control the development of complex volcanic-compositional provinces, which are characterized by variably-oriented spreading centers, off-axis volcanic ridges, extensive lava flows, and point-source rear-arc volcanoes that sample a heterogenous mantle wedge, with sharp gradients and contrasts in composition and magmatic affinity. This study adds to our understanding of the influence of subduction-transform motions and terrane collisions on the structural and magmatic evolution of back-arcs.



## Geologic and Structural Evolution of the NE Lau Basin, Tonga: Morphotectonic Analysis and Classification of Structures using Shallow Seismicity

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- 17 shallow seismicity, fault kinematics, Riedel megashear, hydrothermal systems,

#### 18 Abstract

- 19 The transition from subduction to transform motion along horizontal terminations of trenches is
- 20 associated with tearing of the subducting slab and strike-slip tectonics in the overriding plate. One
- 21 prominent example is the northern Tonga subduction zone, where abundant strike-slip faulting in the
- 22 NE Lau back-arc basin is associated with transform motion along the northern plate boundary and
- asymmetric slab rollback. Here, we address the fundamental question: how does this subduction-
- transform motion influence the structural and magmatic evolution of the back-arc region? To answer
- 25 this, we undertake the first comprehensive study of the geology and geodynamics of this region 26 the undertake the first comprehensive study of the geology and geodynamics of this region
- through analyses of morphotectonics (remote-predictive geologic mapping) and fault kinematics
   interpreted from ship-based multibeam bathymetry and Centroid-Moment Tensor data. Our results
- highlight two unique features of the NE Lau Basin: (1) the occurrence of widely distributed off-axis
- volcanism, in contrast to typical ridge-centered back-arc volcanism, and (2) fault kinematics
- 30 dominated by shallow-crustal strike slip-faulting (rather than normal faulting) extending over ~120
- 31 km from the transform boundary. The orientations of these strike-slip faults are consistent with
- 32 reactivation of earlier-formed normal faults in a sinistral megashear zone. Notably, two distinct sets
- 33 of Riedel megashears are identified, indicating a recent counter-clockwise rotation of part of the
- 34 stress field in the back-arc region closest to the arc. Importantly, these structures directly control the
- 35 development of complex volcanic-compositional provinces, which are characterized by variably-

- 36 oriented spreading centers, off-axis volcanic ridges, extensive lava flows, and point-source rear-arc
- 37 volcanoes that sample a heterogenous mantle wedge, with sharp gradients and contrasts in
- 38 composition and magmatic affinity. This study adds to our understanding of the geologic and
- 39 structural evolution of modern backarc systems, including the association between subduction-
- 40 transform motions and the siting and style of seafloor volcanism.

### 41 **1** Introduction

42 Back-arc basins are extensional features formed behind subduction zones by progressive rifting of

- volcanic arcs until passive mantle upwelling creates new oceanic crust (Karig, 1970). Their initiation
   is triggered by processes of either hinge-rollback (Chase, 1978; Scholz and Campos, 1995), and/or
- is triggered by processes of either hinge-rollback (Chase, 1978; Scholz and Campos, 1995), and/or
  slab anchoring on the trenchward side of the upper plate (Heuret and Lallemand, 2005). Once back-
- 46 arc spreading is established, it may continue regardless of the motion of the overriding plate (Sdrolias
- 47 and Müller, 2006). Movement of the overriding plate relative to the arc and subduction zone leads to
- 48 a diverse arrangement of plates and deformation styles within the near-arc and back-arc environments
- (Heuret and Lallemand, 2005). Edge-driven kinematics are common along the boundaries of
   microplates, where microplate rotation is driven by the motion of the two larger plates the microplate
- 50 incroptates, where microptate rotation is driven by the motion of the two larger plates the microplate 51 is pinched between (Schouten et al., 1993). These microplate rotations can cause block rotations,
- 51 is principal between (Schoulen et al., 1995). These incroplate rotations can cause block rotations, 52 shearing, and further rift propagation (e.g., Easter Microplate: Neves et al., 2003). Hinge-rollback,
- 53 seamount subduction, microplate interactions, and variations in trench geometry and/or subduction
- 54 angle all influence the state of stress in the overriding plate, driving upper mantle flow and magmatic
- 55 upwelling, and the formation of structures that provide pathways for magma to reach the surface.
- 56 However, seismic data alone cannot fully resolve the types of faulting, and therefore the stress
- 57 regimes that lead to the emergence of these structures often remain enigmatic.
- 58 This paper focuses on the geological and structural evolution of the NE Lau Basin, which is one of
- 59 the most volcanically- and tectonically-active places on Earth (Embley et al., 2009; Rubin et al.,
- 60 2013; Embley and Rubin, 2018). New high-resolution multibeam bathymetry collected by the R/V
- 61 Falkor expedition FK171110 in 2017 and R/V Sonne expedition SO-263 in 2018 are compiled with
- 62 previously-collected bathymetry, and are used for morphotectonic analyses. Interpretations of this
- 63 data, together with seafloor samples and ground truthing, are used to create the first remote-
- 64 predictive geologic and structural maps of the region. The structures are further classified based on 65 shallow seismicity (Centroid Moment Tensors) to provide insight into the recent kinematic evolution
- 66 of the faulting. This analysis provides context for understanding the controls on the development of
- 67 large magmatic-hydrothermal systems across the study area
- 67 large magmatic-hydrothermal systems across the study area.

### 68 2 Tectonic Setting

- 69 The Tonga-Kermadec subduction zone in the western Pacific extends over 2000 km from New
- 70 Zealand to Fiji, where the Pacific Plate subducts westward beneath the Indo-Australian Plate (**Fig. 1**).
- 71 Collision of the Louisville Seamount Chain with the trench has segmented the subduction zone into
- the Tonga Trench in the north and the Kermadec Trench in the south. This collision induced
- 73 compression in the fore-arc and may have influenced where and when rifting in the back-arc occurred
- (Ruellan et al., 2003). Rifting of the Tonga Arc at 5.5–6 Ma formed the Lau Basin (Fig. 2), which
   evolved to mature seafloor spreading at ca. 5 Ma (Wiedicke and Collier, 1993; Taylor et al., 1996)
- evolved to mature seafloor spreading at ca. 5 Ma (Wiedicke and Collier, 1993; Taylor et al., 1996).
  As the Tonga Trench migrated eastward, arc volcanism shifted from the remnant Lau Ridge to form
- 70 As the Tonga Trench migrated eastward, arc volcanism snifted from the remnant Lau Ridge to form 77 the new Tofua Arc at 3.5 Ma (Tappin et al., 1994). In the southern part of the subduction zone, rifting
- 78 of the Kermadec Arc at ca. <2 Ma produced the Havre Trough (Wysoczanski et al., 2019).

- 79 The orientation of the modern Tonga Trench is north-easterly, with a sharp bend to a westerly
- 80 direction at the northern apex where there is a transition from subduction to transform motion
- 81 (Govers and Wortel, 2005). This boundary is referred to as a Subduction-Transform-Edge-Propagator
- 82 (STEP) boundary, associated with vertical tearing that causes a piece of the subducting plate to 83 remain at surface (Nijholt and Govers, 2015). Behind the arc, the modern Lau Basin displays a
- remain at surface (Nijholt and Govers, 2015). Behind the arc, the modern Lau Basin displays a
  tapering V-shape with a width of ~500 km in the north, narrowing to 200 km in the south where it
- merges with the Havre Trough at 26°S (**Fig. 2**). In the south, two plates (Tongan and Australian) are
- 86 separated by a single segmented spreading center. The number of spreading centers increases
- 87 northward, indicating increasing tectonic complexity (Sleeper and Martinez, 2016). The Niuafo'ou
- 88 Microplate in the north has been interpreted to occur between the Tongan and Australian plates,
- 89 although it is bounded by and contains numerous small spreading centers and propagating rifts, and
- so structurally might be even more complex (i.e., multiple microplates). There are likely several other
- 91 micro- or nano-plates in the northern basin with poorly defined (possibly diffuse) boundaries
- 92 (Zellmer and Taylor, 2001; Phillips, 2003; Conder and Wiens, 2011; Baxter et al., 2020).
- 93 Plate reconstructions by Sleeper and Martinez (2016) suggest that non-rigid plate behavior may be
- 94 important in this area, where plate boundaries can propagate and rotate, and experience intraplate
- 95 deformation. Notably, the NE Lau Basin is dominated by strike-slip faulting, revealed by analysis of
- 96 focal mechanisms of shallow crustal earthquakes (Hawkins, 1994; Baxter et al., 2020). Baxter et al.
- 97 (2020) describe these focal mechanisms across the entire Lau Basin, and interpret strike-slip faulting
- to result from re-activation of normal faults produced along spreading centers. In this study, we focus
- 99 on the structures of the NE Lau Basin in greater detail, complemented by morphotectonic analyses
- 100 (remote-predictive geologic mapping), in order to better understand the origin and evolution of
- strike-slip faulting and the possible influence on back-arc magmatic-hydrothermal processes.

### 102 **3 Data and Methods**

- 103 This study combines large hydro-acoustic datasets (multibeam bathymetry and backscatter) and
- seafloor sampling and observations from the past decade. Interpretation of this data (including
- 105 morphotectonic analyses) is used to generate a remote-predictive geological map of the study area.
- 106 This is critical for understanding the controls on the distribution of structural and geologic features.
- 107 Publicly available Centroid Moment Tensor (CMT) data is interpreted together with the orientations
- 108 of nearby structures to investigate fault kinematics.

### 109 **3.1 Bathymetric Data and Remote-Predictive Geologic Mapping**

- 110 Ship-based multibeam bathymetric data from the NE Lau Basin were collected during two R/V Kilo 111 Moana cruises in 2010 (KM1024; Rubin et al., 2010) and 2011 (KM1129; Martinez et al., 2013), and 112 are supplemented here with new data from R/V Falkor cruise FK171110 in 2017 (Rubin et al., 2018), 113 and R/V Sonne cruise SO-263 in 2018 (Tonga Rift: Haase et al., 2018). The R/V Kilo Moana and 114 R/V Sonne are equipped with Kongsberg EM 122 multibeam echo sounders with operating 115 frequencies of 12 kHz, and the R/V Falkor is equipped with a Kongsberg EM 302 multibeam echo sounder with an operating frequency of 30 kHz. The combined surveyed area is 40,760 km<sup>2</sup>, covering 116 117 73% of the map area (Fig. 3, Supplementary Fig. S1). The raw multi-beam data were cleaned and 118 gridded at cell sizes of 30 to 50 m by the various shipboard scientific parties. The data were compiled 119 together with the 2019 GEBCO grid (GEBCO Compilation Group, 2019) and reprocessed using the 120 "Terrain Texture Shading" (TTS) technique developed by Brown (2014) as an interpretive tool in 121 applied geomatics to reveal subtle surface and structural features that can be directly correlated with 122 seafloor geomorphology (e.g., Augustin et al., 2016; Anderson et al. 2016; 2017). Hydroacoustic
  - 3

- backscatter data was also collected during the SO-263 and FK171110 cruises. The intensity of the
- backscatter signal is an important indicator for the nature of the seafloor, where strong signal returns
- 125 indicate hard substrates (such as young lava flows), whereas signals become attenuated with
- 126 increasing sediment cover. Variations in the seafloor rugosity and slope also affect the backscatter
- 127 signal.
- 128 The morphology of the seafloor is characterized by several important factors derived from the
- bathymetry, including slope, rugosity (or vector ruggedness measure), and aspect, which were
- explored using the Benthic Terrain Modeler (BTM) 3.0 add on in ArcGIS v.10.6 (Fig. 3). The
- distribution of these morphologic features was interpreted together with backscatter data to define the
- 132 classification scheme for a remote-predictive geological map, following the criteria outlined by
- Anderson et al. (2016; 2017) and Klischies et al. (2019). Lithologies were then ascribed to these units based on available data from ROV sampling, TV grabs (visually aided ship-based scoop sampling).
- based on available data from ROV sampling, TV grabs (visually aided ship-based scoop sampling), and wax coring from the SO-263 cruise and a compilation of data from literature (Supplementary)
- Fig. S1). In addition to these morphological/lithological units, fully georeferenced measurements of
- 137 structural features, including faults, volcanic ridges, and lineaments are interpreted and digitized.
- 138 Relative ages of the mapped units were established from overlapping and cross-cutting relationships
- 139 (e.g., if one lava flow onlaps another, or one structure displaces another), and morphological and
- 140 backscatter evidence of young volcanic flow features and sediment cover.
- 141 Further "ground-truthing" of the map legend was provided by seafloor observations during ROV
- 142 dives; seventeen dives were with the MARUM ROV Quest 4000 during the 2018 SO-263 cruise
- 143 (Haase et al., 2018), and seven dives from the TN234 cruise using WHOI ROV Jason-2 (five dives at
- 144 West Mata and two dives on the southern NELSC; Resing et al., 2009). The SO-263 QUEST 4000
- 145 dives included one that transected the wall of the graben in the NE map area that hosts the Niua
- volcano (Escarpment A), one that transected the northern Tonga forearc, six dives at vent sites at the
- 147 Niua arc volcano, six dives at various locations around Niuatahi volcano, and two dives at the
- southern NELSC near the Maka seamount and its summit hydrothermal vent site (Haase et al., 2018).

### 149 **3.2** Shallow Seismicity and Fault Kinematics

- 150 Seismic data was collected from the Global Centroid Moment Tensor (GCMT) project
- 151 (www.globalcmt.org; accessed September 2018) over the study area, filtering for shallow (≤25 km)
- 152 earthquakes limited to the upper plate and excluding CMTs that may be associated with the down-
- 153 going slab. All CMTs included in the dataset (n = 174) are large magnitude with Mw > 5.0, and
- 154 includes values for the strike, dip, and rake of two possible focal planes. To determine the correct
- 155 focal plane solution, each CMT was interpreted in the context of the dominant lineament orientation
- 156 (e.g., **Supplementary Fig. S2**), plotted using the ArcBeachball tool (v.2.2). This technique has been
- 157 used since scientists first started recording seismic moments (e.g., McKenzie, 1969) and is described 158 in detail by Baxter et al. (2020). This data was used for interpretation of fault kinematics and is
- supplemented by interpretations of offset features where cross-cutting relationships exist.
- 2. Supportentienten of interpretations of officer features where cross eating featureship.

### 160 4 Results: Geological and Structural Features of the NE Lau Basin

- 161 Most of the prior work towards understanding the geology of the Lau Basin has been on regional-
- scale studies of the crustal evolution and shallow seismicity (e.g., Sleeper and Martinez, 2016; Baxter
- et al., 2020; Stewart et al., in press). Higher-resolution studies have focused on the southern basin
- along the Eastern and Central Lau Spreading Centers, where crustal accretion resembles mid-ocean
- ridges. This contrasts the processes of crustal accretion in the NE Lau Basin, where a diffuse system
- 166 of back-arc extension, short-lived rifts, spreading centers, jumping ridge crests, and point-source

167 volcanism dominate (Taylor et al., 1996; Embley et al., 2009). In the study area, there are five

168 distinct crustal types: Lau back-arc crust, Lau rear-arc crust, Tofua arc crust, paleo-arc crust, and

169 Pacific Plate crust (undifferentiated), as shown in **Fig. 4**. Based on detailed morphotectonic analyses

and additional ground-truthing data, we present new remote-predictive geological and structural

- 171 maps of the NE Lau Basin at high-resolution (Figs. 5–7; Supplementary Table S1), which highlight
- the wide variety of rock types, extensive volcanism, and complex structural fabrics resulting from the
- 173 dynamic evolution of the area.

### 174 4.1 Lau Back-Arc Crust: Rifts and Spreading Centers

175 The spreading centers in the study area include the three arms of the Mangatolou Triple Junction

176 (MTJ; formerly the "Kings Triple Junction"), the North-East Lau Spreading Center (NELSC), and

the northern half of the Fonualei Rift and Spreading Center (FRSC). The spreading centers primarily

erupt basalt, with lesser amounts of basaltic andesite, andesite, rhyolite, and boninite, with distinct
geochemical signatures along each spreading center (Falloon et al., 2007; Tian et al., 2011; Escrig et

al., 2012; Rubin et al., 2018; Haase et al., 2018). Sampling of the MTJ has revealed a diverse suite of

- 181 lithologies, spanning the compositional range from basalt to andesite to dacite (Nilsson et al., 1989;
- Falloon et al., 1992; Hawkins, 1995; Langmuir et al., 2006). The NELSC has a mixed geochemical
- 183 signature of OIB and N-MORB, in addition to subtle arc-like affinities (Keller et al., 2008; Zhang et
- al., 2018). Along the southern MTJ arm and the FRSC, IAB signatures are most abundant, with

185 boninitic signatures in the central FRSC associated with magmas captured from the volcanic front

186 (Escrig et al., 2012). Despite the proximity of these spreading centers (~30 km), there is a distinct

187 compositional change between them (e.g., Keller et al., 2008). Therefore, these spreading centers are

188 mapped as separate geological assemblages, each consisting of several units (Fig. 5).

### 189 4.1.1 Mangatolou Triple Junction (MTJ) and Western Rift Zone Assemblages

190 The MTJ is a ridge-ridge-ridge triple junction with each of the three spreading segments displaying 191 distinct morphologies (Fig. 5). The MTJ assemblage consists of a neovolcanic zone along the center 192 of each segment, flanked by older crust that is variably faulted or ridge-like. The western arm (MTJ-193 W) is oriented ENE, accommodating N-S extension in the basin, and is considered to be a failed rift 194 (Phillips, 2003). This arm has a narrow neovolcanic zone (up to 3 km wide) within a flat axial valley 195 and is heavily faulted, with structures that are oriented ENE to E-W (Fig. 7d-e). The southern arm 196 (MTJ-S) consists of two spreading segments that are oriented N-S, with an axial valley containing a 197 neovolcanic zone that is up to 5 km wide. This neovolcanic zone is dominated by sheet flows and 198 small fissures. Distal volcanic ridges along the flanks of the MTJ-S extend up to 40 km to the west of 199 the spreading center and are steeper and have more relief than ridges along the other arms. In the 200 northern part of the MTJ-S arm, the ridges are cross-cut by E-W trending faults associated with the 201 MTJ-W arm. The southern part of the MTJ-S arm is dominated by N-trending structures (Fig. 7a) 202 with minor NNW-trending structures in the south (Fig. 7i). The northern MTJ arm (MTJ-N) is a 203 single NNE- to NE-trending segment with a broad axial valley up to 18 km wide, which contains the 204 neovolcanic zone and is bounded by steep faults. The northern and southern ends of the segment 205 consist of small volcanic ridges up to ~180 m tall. The central part of the segment has higher relief 206 with a broad shield-like morphology, and a subtle axial graben up to  $\sim 2$  km wide. Intense off-axis 207 volcanism occurs along a large ridge in the north (centered at 15°18'S, 174°25'W), which is up to 208 1300 m tall and 17.5 km long, and an area with large, low-relief cratered volcanoes to the NW of the

209 triple junction (centered at 15°30'S, 174°57'W).

210 To the west of the MTJ-N arm is an area of rifting with inward-dipping faults and no associated

211 neovolcanic zone, which we refer to as the Western Rift assemblage (Fig. 5). In the north, the crust is

212 heavily faulted with overlapping zig-zag structures that that trend NNE, NE, and ENE (Fig. 7b–d). In

213 the south, the crust is dominated by younger volcanic flows with morphologies characteristic of sheet

flows with collapse features and lesser amounts of pillow flows. Volcanic flows become more

- 215 common to the west towards the subaerial Niuafo'ou volcanic island, located outside the study area.
- 216 Cross-cutting the dominant NE-fabric of this area are WNW- and NW-trending volcanic ridges and
- faults (**Fig. 7g-h**). The area has not been previously described or sampled, and therefore the lithology
- is unknown.

### 219 4.1.2 Northeast Lau Spreading Center (NELSC) Assemblage

220 The NELSC assemblage follows a NE-oriented spreading center that consists of four segments and

- displays a gently sigmoidal shape (Fig. 5). The northernmost segment is characterized by a 40-km
   long axial valley resembling a slow-spreading MOR morphology, while the remaining segments in
- the south are characterized by axial ridges that are  $\sim$ 15 km in length resembling fast-spreading MOR
- 224 morphologies. The neovolcanic zone is irregular, between 3.4 and 9.7 km wide. The neovolcanic
- 225 zone of the northernmost segment consists of elongate hummocky lava flows and mounds. There is a
- 226 gradual progression southward towards a more flat-topped axial volcanic ridge morphology with
- point-source volcanic cones. Flat-topped volcanic cones occur near the ends of the NELSC. The
- southernmost segment is the most magmatically-robust, with axial volcanic ridge highs reaching
- $\sim 1260$  m above the surrounding seafloor, with large volcanic cones on each termination of the ridge
- (Maka and Tafu). Proximal to the neovolcanic zone is older, faulted crust, followed by distal heavily sedimented ridges. These ridges are symmetrical, indicating a volcanic rather than structural origin.
- 231 Several large volcanic ridges up to 1570 m tall and 24 km long occur distal to the spreading center,
- notably to the east of the southern NELSC (centered at 15°24'S, 174°08'W) and to the west of the
- northern NELSC (centered at 14°55′S, 174°15′W). The structures along this spreading segment are
- dominantly NNE- and NE-trending (**Fig. 7b–c**), with distal N-trending structures associated with
- volcanic ridges on the SW and NE sides of the spreading center (Fig. 7a).

### 237 4.1.3 Fonualei Rift and Spreading Center (FRSC) Assemblage

238 The FRSC overlaps with the MTJ-S and is oriented N-S to accommodate E-W extension between the 239 Niuafo'ou and Tongan microplates (Fig. 5; Sleeper and Martinez, 2016). The FRSC consists of at 240 least six overlapping, left-stepping segments that become progressively closer to the arc towards the 241 south, described by Sleeper et al. (2016). The study area includes the three northern segments, which 242 are  $\sim 12-27$  km long and are characterized by axial valleys containing a neovolcanic zone up to  $\sim 15$ 243 km wide. Narrow axial volcanic ridges are surrounded by smooth, featureless seafloor that may be 244 sheet flows or volcaniclastic sediment derived from the nearby volcanic arc. The axial valleys are 245 bounded by steep-sided faults, but unlike other spreading segments in the study area, off-axis faulting 246 is not laterally continuous. The valley flanks are dominated by irregular volcanic ridges and

- 247 numerous small volcanic cones. To the south of the map area, the axial valleys become less
- 248 pronounced and there is a transition towards axial ridge morphologies. Based on the width of this
- assemblage (20–30 km) and the inferred spreading rate (26 mm yr<sup>-1</sup>; Sleeper and Martinez, 2016) at the second se
- the northern extent of the FRSC, rifting began between 0.8 and 1.1 Ma. In the north there is an area of robust volcanic activity characterized by coalescing volcanic cones and ridges, as well as a large
- volcanic ridge, 29-km-long and 1350-m-tall, extending towards the MTJ-N (centered at 15°41′S,
- 174°38'W). The transition between the FRSC and the MTJ-N is difficult to distinguish. The
- structures along the FRSC are dominantly N-trending (Fig. 7a), with minor NNE- and NNW-
- trending structures at the northern termination (Fig. 7b and i).

### 256 4.1.4 NW Ridge Assemblage

- 257 Finally, an enigmatic area consisting of variably oriented volcanic ridges that are not clearly
- associated with a spreading center occur in the NW part of the map area, referred to here as the NW
- 259 Ridge Assemblage (Fig. 5). Most of the ridges are sub-parallel and oriented NNE to NE (Fig. 7b-c),
- 260 with a major ridge trending and WNW to NNW (Figs. 7h–i) rising to a height of 760 m. This major
- ridge may be an anticline (highlighted in **Fig. 5**). The areas between the ridges is interpreted to be
- sediment, although backscatter data is lacking in this area and young lava flows may be present. In
- the north, the ridges are cut by a N-trending rift that extends into the paleo-arc and appears to be
- heavily sedimented. The southern contact between this unit and the NW Rift assemblage is poorly defined due to a lack of high-resolution multibeam and backscatter data and may be gradational. The
- 266 lithology of this assemblage is unknown because it has not yet been sampled.

### 267 4.2 Lau Rear-Arc Crust

- 268 Volcanism that occurs between the active arc front and the spreading centers is referred to as rear-arc
- volcanism, which is associated with more siliceous lithologies than the back-arc (e.g., Embley and
- 270 Rubin, 2018). In this region, rear-arc volcanism consists of the Mata volcanoes and the Niuatahi
- volcano and related features (Fig. 5).

### 272 4.2.1 Mata Assemblage

- 273 The Mata volcano assemblage occurs in the NE part of the study and consists of nine elongate en
- echelon volcanic ridges composed of boninitic pyroclastic material and lava flows, surrounded by
- lava flows (**Fig. 5**; Resing et al., 2011a; Rubin and Embley, 2012). These volcanoes have been
- actively erupting over the last 2 Ma, with older occurrences extending into the fore-arc region
- (Falloon et al., 2008; Rubin and Embley, 2016; Chadwick et al., 2019). The southern volcanoes,
- West and East Mata, are 1400–1700 m tall and are elongate along an ENE-trend (**Fig. 7d**). The West Mata volcano is one of only two places in the world where deep-sea submarine eruptions have been
- witnessed (Resing et al., 2011b). The Mata volcanoes to the north (Taha, Ua, Tolu, Fa, Nima, Ono,
- Fitu) are smaller (900–1300 m tall) and are variably elongate in ENE- and E-directions.

### 282 4.2.2 Niuatahi Assemblage

- 283 South of the Mata volcanoes is the Niuatahi assemblage, characterized by the 15-km wide Niuatahi
- 284 dacite volcano (formerly "Volcano O"), that rises ~1340 m above the surrounding seafloor with a 9-
- 285 km-wide nested caldera (**Fig. 5**). This volcano is cross-cut by a regional N-trending structure (**Fig.**
- 286 7a), with E-W extension indicated by short gaps in the caldera walls in the north and south (Baker et
- al., 2019). Along this regional structure in the south-central part of the caldera is a 465-m-tall
- resurgent volcanic cone called Motutahi, which is associated with dacite flows (Park et al., 2015) and
- active venting (Kim et al., 2009). Niuatahi is surrounded by dacite lava flows that extend  $\sim 60$  km north and northeastward over an area of  $\sim 640$  km<sup>2</sup>, described by Embley and Rubin (2018).
- Backscatter signatures indicate at least two ages of flows, with the most recent flows displaying a
- 292 very high backscatter signal. The chemistry of the flows varies according to location, which Embley
- and Rubin (2018) use to define three distinct flows, indicating that the eruptions originate from a
- fissure rather than simply from the Niuatahi volcano. At the map scale here, we group the young
- flows as a single unit. Lower-than-expected viscosities of these Si-rich lavas are attributed to high
- 296 magmatic water contents, CO2 contents, and/or high eruptive temperatures (Embley and Rubin,
- 2018). These dacite flows surround irregularly shaped topographic features, which we interpret to be
- 298 older constructional volcanic features that represent a central fissure system.

#### 299 4.3 Tofua Arc Crust

300 In the study area, the active Tofua arc trends  $\sim 18-23^{\circ}$  in the south and  $\sim 5-8^{\circ}$  in the north (Fig. 5). The Tofua arc assemblage consists of four units: large arc volcanos (including Volcano L, Volcano 301 302 K, Niuatoputapu, Tafahi, Curacoa, and other unnamed volcanoes), the Niua volcanic complex, 303 smaller volcanic edifices, and lava flows. The northern portion of the arc has not been sampled 304 extensively; however, samples collected during the SO-263 cruise ranged from trachyandesite to 305 basalt (Haase et al., 2018). This is consistent with sampling along the arc segment adjacent to the 306 FRSC, which is dominantly basaltic andesite (Keller et al., 2008; Sleeper, 2017). The Tofua arc 307 volcanoes are dominantly submarine stratovolcanoes that decrease in size northwards towards the 308 termination of the arc. Many of these volcanoes have large interior caldera structures. Submarine 309 volcanoes K and L, and subaerial volcano Niuatoputapu are all apparently inactive. No historical 310 eruptions have been reported at subaerial Tafahi volcano, but the youthful morphology suggests 311 recent (Holocene) activity (Taylor and Ewart, 1997). A recent eruption at the submarine Curacoa 312 volcano was reported in December 1979 (Global Volcanism Program, 2013). At the northern 313 termination of the arc, the submarine Niua volcanic complex (formerly "Volcano P") has a distinct 314 morphology consisting of numerous overlapping cones and a strongly tectonized appearance. This 315 large complex appears roughly rectangular in plan view, up to 25 km long and 13 km wide, and rises 316 to depths of ~2000 m above the surrounding seafloor. Small ( $\leq 100$  m tall), dome-like topographic 317 features that are irregularly distributed at the top of the Niua complex are interpreted to be small 318 volcanic edifices. Profuse venting of S-rich magmatic fluids at the Niua North vent site indicate that 319 it is volcanically active (Rubin et al., 2018; Haase et al., 2018). Niua is the only place along the 320 Tofua arc in the map area that has confirmed hydrothermal venting (Niua South vent field; Arculus et 321 al., 2004). Surrounding the northernmost arc volcanoes are young lava flows that are identified by 322 high backscatter signatures. We interpret these flows to be genetically related to the Tofua arc 323 volcanoes based on proximity; however, these flows have not been sampled so this relationship is 324 unconfirmed.

### 325 4.4 Vitiaz Paleo-Arc Crust

326 The Vitiaz paleo-arc crust occurs in the northernmost part of the map area along the STEP boundary 327 and is characterized by a bulging morphology that is heavily tectonized (Fig. 5). This forms the 328 Paleo-Arc assemblage, which includes the paleo-fore-arc as the morphology does not allow easy 329 distinction. Few samples from this area have been collected, mainly consisting of adakite and 330 boninite (Falloon et al., 2008; Price et al., 2016). The youngest unit in this assemblage consists of 331 youthful-looking volcanic cones and ridges, forming in areas adjacent to active back-arc volcanism. 332 This area may be capturing magmatism from the back-arc. Backscatter data in these areas is lacking 333 and samples have not been collected. The dominant unit in this assemblage consists of faulted old 334 paleo-arc crust, which contains a high density of structures and includes structures of every 335 orientation (Fig. 7). Finally, an area of intensely faulted terrane to the west of the Mata volcanoes is 336 interpreted to be a unit of detached paleo-arc crust. The structural fabric of this block is dominated by 337 WNW-, NW- and NNW-trending fabrics, similar to the paleo-arc crust on the opposite side of the 338 NELSC (Figs. 7g-i), and distinct from adjacent assemblages. However, these structural orientations 339 may have been modified during opening of the NELSC, and so the origin remains uncertain. This 340 displaced unit does not show evidence of recent volcanism.

#### 341 4.5 Clastic Cover Assemblage

Clastic sediments are ubiquitous throughout the basin (**Fig. 5**), forming a cover sequence on top of the basement crust. This assemblage is divided into two units based on morphology: a rippled

- 344 volcaniclastic sediment apron that extends from the volcanic arc, and a smooth featureless plain that
- 345 is likely a combination of pelagic and volcaniclastic sediment. The contact between these two units is
- 346 gradational, and the contacts with other units tends to be sharp and can be distinguished by the very
- 347 low backscatter signature. These units onlap other volcanic units in the map area and are therefore
- 348 interpreted to be the youngest assemblage in the area.

### 349 4.6 Structural Features

- 350 A total of 5,892 major normal fault segments (>100 m throw), 12,071 minor normal fault segments
- 351 (< 100 m throw), 7,420 volcanic ridge segments, and 10,624 lineament segments were interpreted
- and digitized from the hydroacoustic data (Fig. 6). The distribution of structures according to
   orientation is shown in Figure 7 (larger maps are available in the Supplementary Material: Figs. S4
- to **S12**), with dominant orientations trending N ( $0-10^\circ$ ; n = 2959) and NE ( $30-40^\circ$ ; n = 3033).
- 355 Cross-cutting relationships between the structures is evident in areas where there is a measurable
- 356 offset of one structure (i.e., it is cut by another structure). Cross-cutting relationships are most
- pronounced in highly tectonized areas, namely, the W Rift zone and the Paleo-Arc crust (**Fig. 7**).
- 358 Cross-cutting relationships between structures with similar orientations (e.g., N- and NNE-trending)
- 359 were not observed, instead these features tend to form zig-zag faults. In general, the structures that
- 360 are the oldest are NNW-, NW-, and WNW-trending, as they are cross-cut by structures of many
- 361 orientations (including N-, NNE-, NE-, and E- trending structures) and in turn do not cross-cut any
- 362 structures. The youngest structures are N-, W-, and ENE-trending and these structures are cross-cut
- 363 only by themselves. A summary of relative cross-cutting relationships of the major structures in the 364 map area is outlined in Table 1. In addition, the direction of the offset provides information about the
- 364 map area is outlined in Table 1. In addition, the direction of the offset provides information about the 365 shear sense for each structure, with R-lateral offsets of the NNE- and N-trending structures, and L-
- 366 lateral offsets of the E-, ENE-, and W-trending structures (Table 1).

### 367 4.6.1 Escarpments

- There is a distinct three-tiered down-dropped basin topography across the NE Lau Basin (Fig. 3). 368 369 The borders of these tiers are defined by large escarpments with throws of up to 1500 m, which are 370 some of the most striking features in the basin (Figs. 5 and 7a). A large escarpment in the NE map 371 area ("Escarpment A" in Fig. 5) forms the wall of the ~NNW-trending basin that hosts the Mata 372 volcanoes and the Niua arc volcano. This escarpment is ~30 km long in the map area and has a 373 maximum throw of 890 m. The dip of this escarpment is variable across its length, averaging 36° to 374 the NNE. One dredge sample from this escarpment was dated at 2.03±0.11 Ma (K-Ar dating; Falloon 375 et al., 2007), although the timing of formation of this escarpment is difficult to constrain due to its 376 association with recent volcanic flows (Fig. 5). Another ~62-km-long escarpment with a maximum 377 throw of 1070 m occurs at the southern termination of the NELSC ("Escarpment B" in Fig. 5) 378 trending ~ENE towards the arc. The average dip is 24° to the NW. Connected to this escarpment in 379 the south is a ~N trending escarpment ("Escarpment C" in Fig. 5) that becomes NNE-trending with 380 increasing latitude. This escarpment extends ~37 km before it is interrupted by the MTJ-N at 381 15°19'S. It continues on the opposite side for another ~38 km. This part of the escarpment appears to 382 be interrupted by a detachment fault at 15°07'S (mapped as a core complex in Fig. 5), characterized 383 by NNW-trending corrugations, although there is a high degree of uncertainty in the identification of 384 this feature. This escarpment appears to comprise multiple stepping faults in places, but in general it 385 has a maximum throw of 1095 m, dipping ~24° to the E and NE. It is intersected by an NNW-386 trending escarpment ("Escarpment D" in Fig. 5) that forms the western boundary of the MTJ-N. This 387 escarpment has a maximum throw of 1085 m, decreasing in size southwards towards normally-
- faulted terrain of the MTJ. Using a cutoff throw of 500 m to define this escarpment, it has a length of

389 ~23 km, and dips of 22° to the SE. These dip angles are likely an underestimate of the true dips due

- to erosional processes over time, indicated by mass wasting features at the base of some of the
- 391 escarpments.

### 392 5 Results: Shallow Structures (CMTs) and Fault Kinematics

A total of 174 shallow CMTs ( $\leq$ 25) km occur within the map area. Of these, the focal planes of 125

394 CMTs are interpreted according to their location and proximity to known structural and tectonic

- features, classified according to orientation in **Figures 7**, **8** and **S4** as "beach balls," colored
- according to interpreted focal plane orientation. The focal planes of 47 CMTs trend 351–10°, 35
   CMTs trend 11–30°, 6 CMTs trend 31–50°, 5 CMTs trend 51–70°, 6 CMTs trend 71–90°, 10 CMTs
- 397 CM1s trend  $11-30^\circ$ , 0 CM1s trend  $31-30^\circ$ , 3 CM1s trend  $31-70^\circ$ , 6 CM1s trend  $71-90^\circ$ , 10 CM1s trend  $271-290^\circ$ , 9 CMTs trend  $291-310^\circ$ , and 7 CMTs trend  $331-350^\circ$ . Fault planes were plotted as
- system 2/1-290°, 9 Civit's trend 291-310°, and 7 Civit's trend 331-350°. Fault planes were plotted as stereonets to check for consistency in the groupings (**Supplementary Fig. S3**). The fault plane
- 400 solutions of the remaining 49 CMTs could not be determined (**Fig. 8**).
- 401 Shallow normal earthquakes comprise 10% of the CMTs with known focal plane solutions, but these
- 402 events are restricted to the FRSC in the south of the map area (n = 8; Fig. 7a), as well as the northern
- 403 tip of the NELSC (n = 4; Fig. 7b, c). However, extensional rift-parallel horst-and-graben fault
- 404 patterns are common across the map area, including within neovolcanic zones and off-axis terrains
- 405 along the main spreading centers (**Fig. 7a**). Extensional structural fabrics in the NE Lau basin are
- 406 characterized by two main structural trends. The first trend is parallel to sub-parallel with the NELSC 407 and MTJ-N (NNE- to NE-trending; **Fig. 7b. c**). This trend follows the orientation of the Tofua arc
- and MTJ-N (NNE- to NE-trending; Fig. 7b, c). This trend follows the orientation of the Tofua arc
  between 15°30'S and 17°35'S (southern part of the map area; Figs. 2 and 5). The second trend is
- 409 parallel to sub-parallel with the MTJ-S and FRSC (N-trending; Fig. 7a). This trend follows the
- 410 orientation of the Tofua arc north of 15°30'S (northern part of the map area; Figs. 2 and 5).
- 411 Subordinate structures are orthogonal to these trends but also display normal faulting and extensional
- features, including the NE- and ENE-trending large volcanic ridges near the southern NELSC and
- 413 within the Mata volcanic group (Figs. 5 and 7c,d). The lack of normal faults in the CMT data likely
- 414 indicate that extension in the basin is simply not producing high-magnitude (Mw > 5) earthquakes.
- 415 This may indicate that crustal accretion is dominated by magmatic extension driven by dike injection
- 416 rather than tectonic extension driven by brittle faulting (e.g., Buck et al., 2005; Ito and Behn, 2008;
- 417 Anderson et al., 2017).
- 418 The CMT data indicate that strike-slip and oblique-slip faulting is widespread across the study area
- 419 comprising 90% of the interpreted events. This has been described by earlier authors (Hawkins,
- 420 1994; Baxter et al., 2020), but here we provide higher-resolution interpretations of the orientation and
- 421 shear sense interpreted from these CMTs, supported by data of offset features (described in Section
- 422 **3.2**). The main N- and NNE-trending structures (along with minor NNW-trending structures) display
- 423 a right-lateral shear sense (**Fig. 7a, b, i**), accounting for 73% of shallow strike-slip CMT solutions in
- 424 the area. The N-trending structures are parallel to the FRSC and the MTJ-S, while the NNE-trending
- 425 structures are parallel to the NELSC. In contrast, NE-trending structures do not display strike-slip 426 motion; instead, these structures may be associated with pure low-magnitude normal faulting with no
- 427 oblique motion. Subordinate NE-, ENE-, E-, W-, NNW-trending structures display a left-lateral shear
- 428 sense (**Fig. 7d–g**), accounting for 27% of the shallow strike-slip CMT solutions. The left-lateral
- 429 CMTs occur near the STEP boundary (n = 7), likely caused by slip between the northern microplates
- 430 and the Pacific Plate, and farther to the south along the W Rift, MTJ-W, and northern FRSC (n = 19).
- 431 Dip-slip faults also occur along the STEP boundary east of the NELSC, trending NE, E, W, and 432 WNW (n = 12; Fig. 7c, e-g).

#### 433 6 Discussion: Mechanisms of Strike-Slip Faulting

434 Previous authors have suggested that the strike-slip motion in the NE Lau Basin results from strain 435 induced by the transform motion along the northern STEP boundary and asymmetric slab rollback 436 (e.g., Hawkins, 1994; Baxter et al., 2020). This interpretation is supported by the close association of strike-slip CMTs along the boundary, extending southward to ~16°S where strike-slip CMT solutions 437 438 terminate abruptly. This model suggests that there is a major, regional-scale sinistral shear zone in 439 the NE Lau Basin with a width of approximately 120 km. Notably, there is no evidence for slip along 440 the inferred southern boundary of the shear zone, which instead is dominated by a diffuse zone of 441 seismicity. This consistent with other edge-driven microplate rotation models (e.g., Schouten, 1993). 442 On land, regional shear zones referred to as "megashears" have been interpreted to be induced by the 443 relative motion between two plates (e.g., Arthaud and Matte, 1977; Neev et al., 1982; Campbell and 444 Anderson, 2003) or in intra-plate settings where pre-existing weaknesses in the basement localize 445 shearing (e.g., Frisicale et al., 2010). In these strike-slip fault systems, the fracture patterns typically

follow specific geometries referred to as a Riedel shear zone, which can occur at different scales.

447 Here, we relate the distribution and orientation of right-lateral and left-lateral strike-slip faults within 448 the rigid block boundaries to typical Riedel megashear mechanisms, revealing two distinct sets of 449 structures (Figs. 9 and 10). The first set of Riedel megashears are dominated by NNE-trending R'-450 shears, with minor E-trending R-shears and NNW-trending P-shears (Fig. 9) The R'-shears are 451 oriented at a high angle ( $\sim 75^{\circ}$ ) counter-clockwise to the boundaries of the megashear zone, which trend ~95° following the orientation of the northern plate boundary. The R'-shears follow the main 452 453 trends along the NELSC and the W Rift zone and are sub-parallel to MTJ-N. Typically, R'-shears 454 may develop with or after R-shears (e.g., Atmaoui et al., 2006), which are oriented ~10-15° counter-455 clockwise and synthetic to the megashear zone boundaries. In the study area, R-shears are not 456 widespread, but are sub-parallel to MTJ-W, and closely align with the structural trend of the northern 457 Mata volcanoes and a well-developed strike-slip fault zone in the northwest map area. The P-shears are more difficult to identify but may be manifest as the WNW-trending fabrics in the southern and 458 459 northern parts of the map area. Within this configuration, compression associated with  $\sigma_1$  may 460 produce the large anticline associated with the NW Ridge Assemblage and may also result in bulging morphology of the paleo-arc crust. Extension associated with  $\sigma_3$  is associated with the large ENE-461 462 trending volcanic ridges at the southern end of the NELSC, as well as West and East Mata. The 463 orientation of these structures is oblique to the direction of hinge-rollback, suggesting that normal 464 back-arc spreading processes cannot account for all the extension in this area. These extensional 465 features are offset by the R-shears, creating an extensional duplex (Fig. 9). North of the FRSC, there 466 appears to be a cluster of NNE-trending seismicity associated with left-lateral fault motion, 467 contrasting the right-lateral motion of faults along other NNE-trending CMTs in the region (Fig. 9b). 468 Sleeper (2017) suggests that these strike-slip events are due to a zone of transferred lithosphere,

- although the regional kinematic control on this reversal is unclear. We therefore interpret the
- 470 southernmost *diffuse* boundary of the megashear zone to occur near Escarpment B (Figs. 5 and 9b).
- 471 The second set of Riedel megashears are similar but rotated  $\sim 8-12^{\circ}$  counter-clockwise relative to the
- 472 first set of shears (Fig. 10). These shears are dominated by N-trending R'-shears, with minor ENE-
- 473 trending R-shears and W-trending P-shears. The R'-shears follow the orientation of MTJ-S and
- 474 FRSC, the N-trending fissure system extending north of the Niuatahi volcano, and other N-trending
- 475 features in the area. The R-shears follow the trend of the West Mata and East Mata volcanoes and the
- 476 large volcanic ridge to the southwest of Niuatahi, apparently re-activating previous extensional
- 477 fabric. The megashear zone boundaries trend  $\sim 80^{\circ}$ , following the previous orientation of R-shears in
- 478 Model 1 (Fig. 9b), and sub-parallel to the orientation of Escarpment B in the south (dashed lines in

479 **Fig. 10**). P-shears are also poorly defined for this configuration, but match strike-slip faulting near

- 480 the northern and southern boundaries of the megashear zone. In this configuration, extension along  $\sigma_3$
- 481 is associated with NE-trending volcanic ridges across the map area, such as the western Mata
- 482 volcanoes, which are offset by R-shears. This extensional fabric is also parallel to the MTJ-N, the
- 483 West Rift zone, and the northern and southern terminations of the NELSC and may be enhancing
  - 484 spreading associated with hinge-rollback.

485 The differing distribution of fault populations from a typical Riedel shear zone (dominated by R-486 shears) is likely because R'-shears occur at an angle similar to that of faults produced by earlier back-487 arc extension. It is easier to reactivate these pre-existing faults as opposed to creating new ones as the 488 stress required for frictional sliding along pre-existing faults is much less than the fracture strength 489 (e.g., Byerlee, 1978). Similarly, as the stress-field rotated, pre-existing extensional faults were re-490 activated as R-shears. This effect has been observed in other back-arc basins (e.g., Manus Basin: 491 Martinez and Taylor, 1996; Morley et al., 2004; Maestro-González et al., 2008). Faults that have 492 undergone strike-slip reactivation tend to have zig-zag geometries with little throw. These 493 morphologies are common throughout the map area but are particularly well-defined in the western 494 part of the map area in the West Rift zone and the MTJ-W arm. Therefore, the observed strike-slip 495 kinematics in the study area support a rigid block model of lithospheric-scale Riedel (mega)shearing, 496 where shearing reactivates pre-existing extensional faults. This indicates that structures in megashear 497 zones may be predisposed to align themselves to the pre-existing fabrics in back-arc settings. Since 498 the tensional stresses in this region are accommodated by re-activation of normal faults as strike-slip 499 faults, other large-scale strike-slip features (such as large-scale drag features) do not manifest in the

500 map view of the area.

501 The occurrence of two different Riedel megashear geometries in the NE Lau Basin (Models 1 and 2;

502 Figs. 9b and 10b) suggests that the stress field has rotated counterclockwise over time. Structures

- 503 associated with both geometries are distributed across the map area; however, cross-cutting
- relationships indicate that Model 1 structures only cross-cut themselves, while Model 2 structures
- 505 cross-cut both themselves and Model 1 structures (**Table 1**), indicating that the Model 2 structures 506 formed later. In addition, the distribution of modern seismicity revealed by CMTs provides some
- 507 insight into the timing of Riedel megashear formation. Active seismicity associated with Model 1
- 508 megashears occurs mainly in the western portion of the map area (light blue beach balls in **Fig. 9b**),
- 509 while seismicity associated Model 2 megashears occurs in the central part of the map area closest to
- 510 the volcanic arc (green and pink beach balls in Fig. 10b). Therefore, we propose that Model 1 511 megashear structures formed first, encompassing the entire map area. This was followed by a change
- 511 in orientation of the stress field only in the area closest to the arc, forming Model 2 megashear
- 513 structures. Currently, both configurations remain active in the different regions of the study area.

514 In general, the geometry and kinematics of the back-arc structures support the idea of a megashear 515 zone, driven by the relative motion between the plates. Additional work is needed to understand the 516 geodynamic controls on the changing stress field, but it is notable that the R'-shears associated with 517 Model 1 are parallel to the orientation of the arc south of 15°30'S (Fig. 9), while the R'-shears 518 associated with Model 2 are parallel to the orientation of the arc north of 15°30'S (Fig. 10). This 519 suggests that changes in the orientation and magnitude of far-field stresses may have influenced the 520 kinematic regime for back-arc structures and caused segmentation of the volcanic arc, although the 521 nature of the far-field stresses that affected only the eastern part of the NE Lau basin remain

522 enigmatic.

### 523 7 Discussion: Links to Microplate Emergence

- 524 Much of our understanding of the emergence of microplates comes from studies in mid-ocean ridge
- 525 (MOR) settings where plate reorganizations are linked to the propagation of new rifts, forming the
- 526 boundaries of new microplates (e.g., Wiedicke and Habler, 1993). In contrast, few studies have
- 527 investigated how these processes operate in back-arc settings where rift propagation is due to
- subduction-related processes, and the thermal structure of the crust is affected by arc magmatism. 528
- 529 Processes such as asymmetric spreading, arc-ward ridge migration, basin-ward arc migration, and the 530 general short-lived nature of spreading centers are common in back-arc basins and less common in
- 531 MOR settings (e.g., Parson et al., 1990; Martinez and Taylor, 2003).
- 532 In the NE Lau Basin, re-orientation of the stress field is associated with a change in the orientation of
- 533 the extensional axis along a new northward trend. As the extensional axis changes, there is a
- 534 tendency for rifts to propagate outwards from the tips along the new extensional axis (e.g., CLSC:
- 535 Parson et al., 1990). This process may be quicker when propagation occurs in thinner crust and where
- 536 back-arc retreat is the greatest (Parson et al., 1990). This structural reconfiguration may have induced 537 the propagation of new rifts, such as FRSC segments, which are interpreted to be the youngest
- 538 features in the NE Lau Basin (Schmid et al., 2020). Simultaneous northward propagation of the
- 539 FRSC and southward propagation of the MTJ-S produce a zone where the spreading centers overlap
- 540 (Fig. 5), and we observe oblique and curved structural patterns that are characteristic of overlapping
- 541 spreading centers (e.g., North Fiji Basin: Ruellan et al., 1994). Within the map area, major N-
- 542 trending structures also appear to be extending northward and southward from both tips of the
- 543 NELSC, associated with V-shaped rift-tip development, and N-trending rifting is occurring along the
- 544 paleo-arc crust in the northern part of the map area (Fig. 5). These structures may reflect the early
- 545 stages of rift propagation, but higher-resolution magnetic data is needed to investigate the nature of
- 546 these features.
- 547 The re-orientation of the stress field has mainly affected the area closest to the arc, preserving the
- 548 original megashear zone configuration in the west where it remains seismically active (Fig. 9b). This
- 549 could indicate that the eastern portion of the NE Lau Basin may be in the nascent stages of nanoplate
- 550 emergence, proposed by Conder and Wiens (2011) as the separate "Niuatoputapu" plate. This is 551 supported by a drastic change in the structural fabric of the basin from west to east across Escarpment
- 552 C (Figs. 5–7). The fabric to the west of this escarpment is dominated by zig-zagging normal-faulted
- 553 terrane of the West Rift assemblage, and to the east of this escarpment are the N- and NNE-trending
- 554 volcanic ridges of the NW Ridge and NELSC assemblages. Like other back-arc micro- and
- 555 nanoplates, the precise boundaries of Niuatoputapu are difficult to define, and may remain only
- 556 partially separated, as is the case in the southern diffuse boundary of the Niuafo'ou microplate (Fig.
- 557 2; Sleeper and Martinez, 2016).

#### 558 8 **Discussion: Structural Controls on Off-Axis Magmatic-Hydrothermal Activity**

559 One of the most striking features of the NE Lau Basin is the decentralized nature of volcanism on the 560 seafloor, manifest by large off-axis volcanic ridges, hydrothermally-active rear-arc volcanoes, and 561 widespread lava flows (Fig. 5). Previous authors propose that the Mata rear-arc volcanoes are 562 controlled by small crustal tears linked to strike-slip faulting along the STEP boundary (Govers and 563 Wortel, 2005; Embley et al., 2009), but these authors do not provide an explanation for the variable 564 orientations of the Mata volcanoes, along with other enigmatic features such as the siting of the 565 Niuatahi volcano. The structural framework outlined here provides insight into the occurrence of

- 566
- many of these seafloor features. Notably, large off-axis volcanic ridges reflect areas of enhanced 567
- magmatism in the map area, and are aligned along several orientations: (1) NE-trending ridges,

569 volcanoes(Figs. 5 and 9a); (3) N-trending ridges, including the N FRSC Ridge and the subtle ridge 570 extending N of Niuatahi (Figs. 5 and 10a); (4) NNE-trending ridges, including the West Mata and 571 East Mata volcanoes and the SW Niuatahi Ridge (Figs. 5 and 10a). According to our models, the 572 NNE-trending features formed early associated with  $\sigma_3$  extensional structures in the Model 1 573 configuration (Fig. 9b). These structures were then reactivated as R-shears (Model 2 configuration), 574 along with the formation of the N-trending features during back-arc extension and subsequent re-575 activation as R'-shears during strike-slip faulting (Fig. 10b). In the most recent configuration, 576 extension also occurs along NE-trending structures associated with the new  $\sigma_3$  orientation. This 577 promotes enhanced magmatism along the southern NELSC and the MTJ-N, as well as rifting along 578 the W Rift zone. We also note that the large Niuatahi rear-arc volcano occurs at the intersection of N-579 trending and NNE-trending structures. These structures, which have not been previously described, 580 are underlain by linear magnetic anomalies (Austin, 2012), indicating that magma is exploiting these 581 structural pathways. Dacitic sheet flows at surface extend ~60 km northward from Niuatahi, 582 apparently following a N-trending fissure rather than erupting from the volcano itself (Embley and 583 Rubin, 2018). Within the Niuatahi caldera, hydrothermal vents are also aligned along this N-trending 584 regional structure (Fig. 5; Haase et al., 2018). Across the map area, hydrothermal vents are closely 585 associated with these regions of enhanced magmatism (Fig. 5). Continual re-activation of faults may 586 ensure that these pathways remain permeable despite precipitation of secondary hydrothermal 587 minerals. This complex structural configuration, combined with unusually high upper mantle 588 temperatures and ultrafast subduction rates (e.g., Regelous, 2008), provides the basis for diverse 589 lithologies and eruption styles in the basin, along with a range of style and composition of

590 hydrothermal venting (e.g., Embley and Rubin, 2018; Chadwick et al., 2019).

### 591 9 Conclusions

592 Compared to mid-ocean ridges, back-arc spreading centers are ephemeral features that evolve 593 dynamically in response to oblique convergence, subduction-zone collisions, and microplate 594 interactions. The NE Lau Basin is characterized by extreme tectonic complexity, associated with fast 595 convergence rates, high upper mantle temperatures, and thin oceanic crust (Bevis, et al., 1995; 596 Conder and Wiens, 2006, Embley et al., 2018), producing diverse lithologies and eruption styles in 597 the rear-arc and back-arc regions (e.g., Embley and Rubin, 2018). This complexity is manifest as 598 seafloor fabrics with variably oriented structures. The observed structural patterns reflect the 599 interplay between extension associated with slab-rollback and strike-slip tectonics along the northern 600 STEP boundary, with shallow-crustal strike-slip faulting extending over ~120 km from the STEP 601 boundary. Two distinct sets of structures associated with Riedel shear mechanisms are described for 602 the first time, indicating a recent counter-clockwise rotation of the stress field that primarily affects 603 the region closest to the arc. The structural configurations highlight the importance of re-activation of 604 earlier-formed structures during rotation of the stress-field. The structures that formed and were re-605 activated in this megashear zone account for the orientations of many of the previously-enigmnatic 606 seafloor features observed, such as the Mata volcanoes and large off-axis volcanic ridges. This study 607 provides important constraints on the geologic and structural evolution of the NE Lau Basin, 608 including the tectonic controls on enhanced magmatism in rear-arc and off-axis regions, as well as 609 the distribution of hydrothermal vent sites in the region.

### 610 Supplementary Material

611 Supplementary material is provided in the electronic appendix associated with this publication.

### 612 Data Availability Statement

- 613 The following bathymetric datasets can be found in the Rolling Deck to Repository (R2R):
- 614 FK171110: <u>https://doi.org/10.7284/907642</u>; KM1024: <u>https://doi.org/10.7284/900840</u>; and KM1129:
- 615 <u>https://doi.org/10.7284/903993</u>. The SO-263 bathymetric dataset can be found in PANGAEA:
- 616 <u>https://doi.pangaea.de/10.1594/PANGAEA.892778</u>. The CMT dataset for this study can be found in
- 617 the Global Centroid-Moment-Tensor database by Dziewonski et al. (1981) and Ekström et al. (2012):
- 618 <u>www.globalcmt.org</u>.

### 619 **Conflict of Interest**

620 The authors declare that the research was conducted in the absence of any commercial or financial 621 relationships that could be construed as a potential conflict of interest.

### 622 Author Contributions

- 623 MOW Prepared all figures, drafted the main text, made equal contributions to the interpretation of the
- data, and supervised the M.Sc. thesis by CN-J. CN-J completed preliminary data collection, made
- 625 equal contributions to the interpretation of the data as part of an M.Sc. thesis. KHR secured funding
- 626 for FK171110 (chief scientist), contributed to bathymetric data collection, interpretation, and editing
- 627 of the drafts. KH secured funding for SO-263 (chief scientist), contributed to interpretation and
- 628 editing of drafts. MDH contributed to interpretations and did substantial editing of drafts. ATB
- 629 contributed to developing methodology for CMT interpretation, interpretation of data, and editing of
- 630 drafts. MSS Contributed to interpretations and editing of drafts.

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#### 920 Figures



921

**Figure 1.** Geographic location and tectonic features of the southwest Pacific modified from Hall (2002). Light grey areas represent the 2000 m isobaths, green arrows indicate convergence directions and rates (cm y<sup>-1</sup>) from Bevis et al. (1995), and blue lines represent spreading centers. CS = Capricorn Seamount(s), STEP = Subduction-Transform-Edge-Propagator boundary.



926

927 Figure 2. Tectonic features and regional bathymetry of the NE Lau Basin compiled from Rubin et al., 928 2010, Martinez et al., 2013, Rubin et al., 2018, Haase et al. (2018) and GEBCO compilation group (2019). Inferred microplate boundaries based on seismicity from Conder and Wiens (2011), GPS 929 930 velocities of Tonga relative to Australia from Phillips (2003) and spreading directions and rates (mm 931 yr<sup>-1</sup>) for the FSC from Pelletier et al. (2001), for the NWLSC and RR from Lupton et al. (2015) following Bird (2003), and for the CLSC, ELSC, FRSC, LETZ, MTJ, NELSC, and VFR from Sleeper 932 933 and Martinez (2016). CLNP = Central Lau Nano-Plate; CLSC = Central Lau Spreading Center; ELSC 934 = Eastern Lau Spreading Center; FD = Fonualei Discontinuity; FRSC = Fonualei Rift and Spreading 935 Center; FSC = Futuna Spreading Center; ILSC = Intermediate Lau Spreading Center; LETZ = Lau 936 Extensional Transform Zone; MTJ = Mangatolu Triple Junction; NELSC = North-East Lau Spreading Center; NWSC = North-West Lau Spreading Center; PR = Peggy Ridge; RR = Rochambeau Rifts; 937 938 VFR = Valu Fa Ridge.





Figure 3. Ship multibeam bathymetry and derived datasets from the NE Lau Basin: (a) ship multibeam
bathymetry from Rubin et al. (2010), Martinez et al. (2013), Rubin et al. (2018), and Haase et al. (2018),
overlain on the GEBCO 2019 regional bathymetric grid (GEBCO compilation group, 2019), processed
using Terrain Texture Shading (after Brown, 2014); (b) slope of the ship multibeam bathymetry
compilation; (c) rugosity (or vector ruggedness measure) of the ship multibeam bathymetry
compilation; and (d) aspect of the ship multibeam bathymetry compilation.









Figure 5. Remote-predicted geological map of the NE Lau basin interpreted from seafloor geomorphology and limited sampling (Fig. S1), overlain on a compilation of ship multibeam bathymetry (from Rubin et al., 2010; Martinez et al., 2013; Rubin et al., 2018; Haase et al., 2018) and the GEBCO 2019 regional bathymetric grid (GEBCO compilation group, 2019). Hydrothermal vent sites compiled from the FK171111 and SO-263 cruises (Rubin et al., 2018; Haase et al., 2018), InterRidge Vents Database v. 3.4 (Beaulieu and Szafranski, 2019), and Baker et al. (2019). Abbreviations as in Figure 2. Additional details on map units outlined in Supplementary Table S1.



Figure 6. Interpreted structural lineaments in the NE Lau basin overlain on a compilation of ship
multibeam bathymetry (from Rubin et al., 2010; Martinez et al., 2013; Rubin et al., 2018; Haase et al.,
2018): (a) by type, and (b) by orientation.



962

Figure 7. Interpreted structural lineaments, relative lineament densities (lineament km per km<sup>2</sup>), and 963 964 shallow (<25 km) CMT focal mechanisms from Harvard (www.globalcmt.org; Dziewonski et al., 965 1981; Ekström et al., 2012), classified according to interpreted focal plane solution: (a) N-trending (max. 0.57 km/km<sup>2</sup>), (b) NNE-trending (max. 1.25 km/km<sup>2</sup>), (c) NE-trending (max. 1.04 km/km<sup>2</sup>), (d) 966 ENE-trending (max. 0.64 km/km<sup>2</sup>), (e) E-trending (max. 0.57 km/km<sup>2</sup>), (f) W-trending (max. 0.24 967 km/km<sup>2</sup>), (g) WNW-trending (max. 0.29 km/km<sup>2</sup>), (h) NW-trending (max. 0.29 km/km<sup>2</sup>), (i) NNW-968 969 trending (max. 0.33 km/km<sup>2</sup>). Stereonets of CMTs grouped according to interpreted focal plane 970 solution shown in Supplementary Figure S3, and close-ups of each of the maps is shown in 971 Supplementary Figures S4 to S12. Abbreviations as in Figure 2.



972

**Figure 8.** A total of 174 CMTs with shallow depths ( $\leq 25$  km) occur within the map area (www.globalcmt.org; Dziewonski et al., 1981; Ekström et al., 2012). Of these, 125 CMT focal plane solutions are interpreted by association with the dominant orientation of the seafloor fabric (see **Supplementary Fig. S2**). The colors of the beachball represent the orientation of the focal plane solution outlined in the legend and shown in detail in **Figure 7**.



980 Figure 9. The first set of structures overlain on TTS-shaded bathymetry: (a) lineaments include NNE-981 trending (~18°), E-trending (~80°), and WNW-trending (~111°), and are associated with numerous 982 major structural and volcanic features in the map area (annotations as in Figure 2); (b) generalized 983 megashear mechanism for this set of structures (Model 1), with shear zone boundaries oriented  $\sim 98^{\circ}$ , 984 dominated by NNE-trending R'-shears (R-lateral) that are parallel to the southern Tofua Arc segments. 985 Extension associated with  $\sigma_3$  is offset by R-shears (L-lateral) and is also associated with large volcanic 986 ridges across the map. Active seismicity (CMTs) is mainly restricted to the western map area, 987 extending south to a diffuse boundary (dashed line).



988

989 Figure 10. The second structure set overlain on TTS-shaded bathymetry: (a) lineaments include N-990 trending ( $\sim 8^{\circ}$ ), ENE-trending ( $\sim 67^{\circ}$ ), and W-trending ( $\sim 97^{\circ}$ ), and are associated with numerous major 991 structural and volcanic features in the map area (annotations as in Figure 2); (b) generalized megashear 992 mechanism for this set of structures (Model 2), with shear zone boundaries rotated counter-clockwise 993 to ~80°, dominated by N-trending R'-shears (R-lateral) that are parallel to the northern Tofua Arc 994 segment. Extension associated with  $\sigma_3$  is offset by R-shears (L-lateral) and is also associated with large 995 volcanic ridges across the map. Active seismicity (CMTs) dominates the central map area closer to the 996 arc, extending south to a diffuse boundary (dashed line).



997 Tables

1000

998	Table 1. Cross-cutting relationships of structures according to orientation an	d interpreted	Riedel
999	association	-	

Structure by Orientation	Riedel Model	Cross-Cuts	Cross-Cut by	Shear Sense
NNE-trending	Model 1 R'-shear	Model 1 P-shear	Model 1 R-shear, Model 2 R-shear	R-lateral
E-trending	Model 1 R-shear	Model 1 R'-shear, Model 1 P-shear	None	L-lateral
WNW-trending	Model 1 P-shear	None	Model 1 R'-shear, Model 1 R-shear, Model 2 R'-shear	Unknown
N-trending	Model 2 R'-shear	Model 1 P-shear, Model 2 -P-shear	Model 2 P-shear	R-lateral
ENE-trending	Model 2 R-shear	Model 1 R'-shear, Model 1 P-shear, Model 2 P-shear	None	L-lateral
W-trending	Model 2 P-shear	Model 2 R'-shear	Model 2 R'-shear, Model 2 R-shear	L-lateral