Including the Temporal Dimension in the SECS Technique

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Abstract

The equivalent source method of Spherical Elementary Current Systems (SECS) has contributed valuable results for spatial magnetic interpolation purposes where no observations are available, as well as for modeling equivalent currents both in the ionosphere and in the subsurface, thus providing a separation between external and internal sources. It has been successfully applied to numerous Space Weather (SW) events, whereas some advantages have been reported over other techniques such as Fourier or Spherical (Cap) Harmonic Analysis. Although different modalities of SECS exist (either 1-D, 2-D or 3-D) depending on the number of space dimensions involved, the method provides a sequence of instantaneous pictures of the source current. We present an extension of SECS consisting in the introduction of a temporal dependence in the formulation based on a cubic B-splines expansion. The technique thus adds one dimension, becoming 4-D in general (e.g., 3D + t), and its application is envisaged for, though not restricted to, the analysis of past events including heterogeneous geomagnetic datasets, such as those containing gaps, different sampling rates or diverse data sources. A synthetic model based on the Space Weather Modeling Framework (SWMF) is used to show the efficacy of the extended scheme. We apply this method to characterize the current systems of past and significant SW events producing geomagnetically induced currents (GIC), which we exemplify with an outstanding geomagnetic sudden commencement (SC) occurred on March 24, 1991.

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11			
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13	Key Points:		
14 15	• The technique of Spherical Elementary Current Systems has been extended by including a temporal dependence based on cubic B-splines.		
16 17	• Improvement is achieved when dealing with heterogeneous datasets consisting of geomagnetic ground data sampled at diverse rates.		
18 19 20	• The method can be used to characterize the equivalent current systems of past and significant SW events.		

21 Abstract

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- valuable results for spatial magnetic interpolation purposes where no observations are available,
- as well as for modeling equivalent currents both in the ionosphere and in the subsurface, thus
- providing a separation between external and internal sources. It has been successfully applied to
- 26 numerous Space Weather (SW) events, whereas some advantages have been reported over other
- 27 techniques such as Fourier or Spherical (Cap) Harmonic Analysis. Although different modalities
- of SECS exist (either 1-D, 2-D or 3-D) depending on the number of space dimensions involved,
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- past events including heterogeneous geomagnetic datasets, such as those containing gaps,
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- 35 Modeling Framework (SWMF) is used to show the efficacy of the extended scheme. We apply
- this method to characterize the current systems of past and significant SW events producing
- 37 geomagnetically induced currents (GIC), which we exemplify with an outstanding geomagnetic
- sudden commencement (SC) occurred on March 24, 1991.

39 Plain Language Summary

40 Spherical Elementary Current Systems (SECS) is a mathematical technique that allocates an

- 41 electrical current system as the source of the geomagnetic perturbations typically recorded by
- 42 ground magnetometers. Such a current system is assumed to flow on a sheet at a certain
- 43 height/depth from the surface. Traditionally, SECS is a purely spatial technique, which is
- 44 appropriate if we rely on homogeneous time series at each observation site. Otherwise, the
- information is typically concentrated at certain timestamps and sparse at others, resulting in
- 46 uneven source currents being modeled. We have extended the traditional technique by including
- 47 a time expansion, thus allowing spatial information to be shared across time. This produces a
- 48 smoother, more realistic equivalent source current, as shown by comparing both techniques with
- 49 the results of a synthetic model. We have also applied the extended method to an outstanding 50 geometry (SC) accurred on March 24, 1001
- 50 geomagnetic sudden commencement (SC) occurred on March 24, 1991.

51 **1 Introduction**

Spherical Elementary Current Systems (SECS) is an equivalent source method that attempts to explain the observed ground magnetic variations in terms of its current sources, which are assumed to flow on current sheets at the Earth's ionosphere and, optionally, at the subsurface. The modeling current is constructed from the superposition of a number of divergence-free elementary currents (EC), $\vec{J_i}(I_i, \vec{r})$, flowing concentrically around the knots (poles) of a predefined network (Amm, 1997; Amm & Viljanen, 1999; Marsal et al., 2017).

- 58 Knowing the ground magnetic effect of each unitary EC, $\vec{J}_i(1, \vec{r})$, and since the ground 59 magnetic signature depends linearly on the EC intensities, the target current is obtained by 60 inversion of the magnetic data, thus providing the EC scale factors I_i . The system to be solved is 61 thus
- 62

$$\mathbf{B} = \mathbf{T} \cdot \mathbf{I} \,, \tag{1}$$

where **B** is the $(m \times 1)$ column matrix containing the vector components of the observed ground

63

magnetic field at each station at time t, I is the $(p \times 1)$ column matrix of unknown current scale 64 factors corresponding to each pole, and **T** is the $(m \times p)$ transfer matrix relating unitary ECs with 65 their magnetic signature, which is constant for a given distribution of poles. Note that *m* is either 66 twice the number of observatories if only the horizontal magnetic field is considered, or three 67 times its number if the whole magnetic vector is considered, whereas p is the allocated number 68 of poles. The system is typically solved for I at each time step t by use of Singular Value 69 Decomposition (SVD). The modeled currents are generally two-dimensional; however, the name 70 71 of 2D SECS is generally reserved to the case when the source current is assumed to flow in the ionosphere, whereas the technique is called 3D SECS when current sources are additionally 72 placed in the subsurface. Once the equivalent source currents are obtained, the technique in 73 74 principle allows to evaluate the ground magnetic field at any point, which is useful for *spatial* 75 interpolation purposes (McLay & Beggan, 2010). Since, as noted by Fukushima (1969; 1976) or Kamide et al. (1981), ground magnetic 76

observations are basically transparent to the combination of field-aligned currents and the 77 corresponding potential (i.e., curl-free, mainly Pedersen) currents closing in the ionosphere, only 78 79 toroidal (i.e., divergence-free, mainly Hall) ionospheric currents are modeled (except for a small contribution arising from the non-radiality of field-aligned currents, which is negligible at high 80 latitudes). The above technique thus provides equivalent (rather than real) currents. Note that this 81 82 limitation can effectively be circumvented with satellite magnetic measurements, as these are sensitive to the entire system of currents. In this case, the total current is decomposed into a 83 superposition of curl-free and divergence-free ECs, and the corresponding current scale factors 84 are determined on the basis of the magnetic effect of both components at the satellite height. The 85 method normally used in this context is named 1D SECS (Vanhamäki et al., 2003; Juusola et al., 86 2006), as the currents are assumed to vary only in one direction (usually geographical or 87 geomagnetic latitude). 88

Although different modalities of SECS exist, depending on the number of space 89 dimensions involved, the method is limited to providing a sequence of instantaneous pictures of 90 the source currents. The extension presented in this article consists in introducing a temporal 91 dependence in the formulation based on a cubic B-splines expansion. This type of expansion has 92 been applied to other domains in order to determine the temporal dependence of the Gauss 93 coefficients defining the past (e.g. Korte & Constable, 2005; Pavón-Carrasco et al., 2014) or the 94 recent (e.g. Jackson et al., 2000; Talarn et al., 2017) secular variation of the internal Earth's 95 magnetic field. In our case, the SECS technique becomes 4-D in general (i.e., 3-D + t). Its 96 reliability is demonstrated in a first instance with the use of synthetic data; namely, the magnetic 97 output of a case study obtained with the Space Weather Modeling Framework (SWMF) is used 98 as an input for the 4D SECS method. The output of the latter is afterwards compared to the 99 100 current patterns obtained with the traditional spatial version of SECS for different timestamps. In a second instance, 4D SECS has been applied to an outstanding SW event occurred on 24 March 101 1991, which is remarkable due to its anomalous geomagnetic sudden commencement (SC) 102 characterized by an exceptionally large and sharp impulse in its initial part (Araki et al., 1997). 103 The results are consistent with known upper atmospheric processes. 104

105 The range of application of the new technique is extended to cases of heterogeneous 106 geomagnetic datasets which could hardly be treated otherwise, such as those containing gaps,

- different sampling rates or diverse data sources, especially common in the past decades before the gradual standardization of the geomagnetic observations worldwide. 107
- 108

109 **2 The 4D SECS method**

110 2.1 Cubic B-splines

Basis-splines (or simply B-splines) are functions in one variable that are commonly used to fit data (e.g., De Boor, 2001). We will focus on the so-called cardinal cubic B-splines, which are written as a linear combination of basis functions b_j , each consisting of four piecewise cubic polynomials defined between five equidistant knots (except in the borders). The coefficients of the linear combination or weights, α_j , are normally determined by some modality of least

squares fitting of the original data.

B-splines can be used to fit time series, such as I(t), the current scale factor at each pole:

118
$$I(t) = \sum_{j=1}^{n} \alpha_j b_j(t) , \qquad (2)$$

119 where n is the number of basis functions being used. A simple example is illustrated in Figure 1,

where the blue dots denote the sampled data, and the red curve in the upper part represents the B-

spline fitted curve, i.e., the superposition of the individual basis functions weighted by the

122 corresponding coefficients, $\alpha_j b_j(t)$, appearing below. In principle, the distance between 123 consecutive knots can be selected freely in terms of the desired time resolution of the fitted curve

provided the inter-knot frequency is lower than the sampling frequency of the original data to be

125 fitted (some restrictions to this will be discussed later).



126

127 **Figure 1**. B-splines fitting (red curve) of an observed data series (blue dots) as a function of

- time. The colored curves below represent the basis functions scaled by the appropriate
- 129 coefficients to adjust the data.

The basis functions b_i are determined as follows: for internal bases, continuity up to the 130 second derivative at each knot imposes 15 equations (5 knots \times 3 derivative orders) on the 16 131 polynomial coefficients (4 pieces × 4 coefficients/piece). We designate peripheral bases the 132 three external-most bases at each end of the data interval $(b_1, b_2, b_3; b_7, b_8, b_9$ in Figure 1). The 133 most external basis at each end $(b_1 \text{ or } b_9)$ simply consists of one polynomial piece between two 134 knots (knots #2 and #3 for b_1 in the example), and is determined by imposing the above 135 continuity conditions only on the internal knot (#3). The second basis (e.g., b_2) consists of two 136 pieces between three knots, and is determined by imposing the above continuity conditions on 137 the two internal knots (#3 and #4), while only continuity of the function is imposed on the 138 external knot (#2). The third basis (e.g., b_3) consists of three pieces between four knots, and is 139 determined by imposing the above conditions on the three internal knots (#3, #4 and #5), while 140 only continuity up to the first derivative is imposed on the external knot (#2). Further imposing 141 unit area of all the bases fully determines b_i . 142

143 2.2 SECS & B-splines

We can develop the elements of **I**, the matrix of current scale factors in (1), as a series ofB-spline functions in the time domain, as in (2):

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$$\begin{pmatrix} B_1(t) \\ \vdots \\ B_m(t) \end{pmatrix} = \begin{pmatrix} T_{11} & \cdots & T_{1p} \\ \vdots & \ddots & \vdots \\ T_{m1} & \cdots & T_{mp} \end{pmatrix} \cdot \begin{pmatrix} I_1(t) \\ \vdots \\ I_p(t) \end{pmatrix} = \begin{pmatrix} T_{11} & \cdots & T_{1p} \\ \vdots & \ddots & \vdots \\ T_{m1} & \cdots & T_{mp} \end{pmatrix} \cdot \begin{pmatrix} \sum_{j=1}^n \alpha_j^1 b_j(t) \\ \vdots \\ \sum_{j=1}^n \alpha_j^p b_j(t) \end{pmatrix}, \quad (3)$$

147 where the weights α_i^i of the basis functions are the unknowns. This yields:

$$\begin{pmatrix} B_{1}(t_{1}) \\ \vdots \\ B_{m}(t_{1}) \\ B_{1}(t_{2}) \\ \vdots \\ B_{m}(t_{l}) \end{pmatrix} = \begin{pmatrix} T_{11}b_{1}(t_{1}) & \cdots & T_{11}b_{n}(t_{1}) & T_{12}b_{1}(t_{1}) & \cdots & T_{1p}b_{n}(t_{1}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ T_{m1}b_{1}(t_{1}) & \cdots & T_{m1}b_{n}(t_{1}) & T_{m2}b_{1}(t_{1}) & \cdots & T_{mp}b_{n}(t_{1}) \\ T_{11}b_{1}(t_{2}) & \cdots & T_{11}b_{n}(t_{2}) & T_{12}b_{1}(t_{2}) & \cdots & T_{1p}b_{n}(t_{2}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ T_{m1}b_{1}(t_{l}) & \cdots & T_{m1}b_{n}(t_{l}) & T_{m2}b_{1}(t_{l}) & \cdots & T_{mp}b_{n}(t_{l}) \end{pmatrix} \cdot \begin{pmatrix} \alpha_{1}^{1} \\ \vdots \\ \alpha_{n}^{1} \\ \alpha_{1}^{2} \\ \vdots \\ \alpha_{n}^{p} \end{pmatrix}, (4)$$

149 which is written in compact form as $\mathbf{B} = \mathbf{A} \cdot \boldsymbol{\alpha}$ and is solved for the $(np \times 1)$ matrix $\boldsymbol{\alpha}$. Eq. (2)

then provides an analytic expression for the current scale factors as a function of time, $I_i(t)$, and therefore for the ECs, $\vec{J}_i(I_i(t), \vec{r})$, which, after superposition, give the analytic equivalent current

152 at each level (ionosphere and/or subsurface) as a function of space and time.

The matrix **B** contains all the available information, i.e., magnetic field components at each point of space and time. Its dimensions would be $(ml \times 1)$ if there were *m* observations per timestamp, t_k (k = 1, ... l); however, in general the effective number of observations at a given time is smaller than *m*, since not all of the magnetometers provide an observation at time t_k (either because of their reduced sampling rate or because there are gaps in the data). If we denote $m_{ef}l$ the actual length of **B**, then the new transfer matrix **A** has dimensions ($m_{ef}l \times np$). Note

that, whereas the number of unknowns was considerably larger than the number of equations in

the spatial SECS technique, now it is not necessarily the case, as the ratio unknowns/equations

depends on the selected temporal resolution of the basis splines, i.e., on the ratio n/l. A is

inverted at once by use of any suitable inversion technique, either (truncated) singular value
 decomposition (SVD) or regularized least squares (RLS); however, we warn that the large size of

A may cause memory problems in some computation systems, especially when a substantial

number of observation points is considered, in which case it may be necessary to reduce either

166 the time span (l) of the data, or the splines inter-knot frequency (n).

RLS is achieved by minimizing the quantity

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 $r = |\mathbf{B} - \mathbf{A} \cdot \boldsymbol{\alpha}|^2 + \lambda \boldsymbol{\alpha}^{\mathrm{T}} \cdot \boldsymbol{\phi} \cdot \boldsymbol{\alpha},$

where $\mathbf{\Phi}$ is the regularization matrix and $\lambda \ge 0$ is a Lagrange multiplier which allocates the desired weight to the regularization (note that, even if least squares is used for overdetermined

desired weight to the regularization (note that, even if least squares is used for overdetermined problems, the regularization allows a solution of the underdetermined problem, i.e., the case with

more unknowns than equations). Equating to zero the partial derivatives of r with respect to α

and re-arranging, we get

$$\boldsymbol{\alpha} = (\mathbf{A}^{\mathrm{T}} \cdot \mathbf{A} + \lambda \boldsymbol{\phi})^{-1} \cdot \mathbf{A}^{\mathrm{T}} \cdot \mathbf{B} \,. \tag{6}$$

(5)

We have assayed different types of regularization, but one that gives results in good 175 agreement with the traditional technique of SECS relies on the penalization of $|\alpha|$ (and 176 177 consequently of |I(t)|, in virtue of equation (2)), which consists of taking $\mathbf{\Phi}$ as the $(np \times np)$ identity matrix. This has a parallelism with the method of SVD used in spatial SECS since, as 178 noted by Amm & Viljanen (1999), the latter also minimizes |I|. In general, the greater the value 179 of λ , the smoother the spatiotemporal variation of the equivalent current, but the looser 180 adjustment of the magnetic observations. The issue of the election of λ in RLS is thus equivalent 181 to that of the election of ε , the truncation parameter of SVD, in that a trade-off between 182 smoothness and data fitting is to be sought. 183

184 **3 Results and discussion**

In order to show the strengths and weaknesses of 4D SECS, a comparison has been
 carried out with the spatial modality for a synthetic and a real magnetic field.

187 3.1 A synthetic case

In a first instance, the Space Weather Modeling Framework (SWMF) (Tóth et al., 2005)
 has been used to generate a synthetic though realistic magnetic input for the SECS technique.

The SWMF is an integrated framework for physics based simulations of the Sun-Earth system, from solar corona to terrestrial atmosphere (Tóth et al., 2005). It is comprised of different computational modules, each of which focuses on a different aspect of the system. In this study, the block adaptive tree solar-wind Roe-upwind scheme (BATSRUS) was used as part of the SWMF to calculate the plasma conditions in the global magnetosphere (Tóth et al., 2012), the Rice convection model was used to couple the ring current to the magnetosphere (Toffoletto et al., 2003), and the Ridley Ionospheric model was used for the ionospheric electrodynamics

(Ridley et al., 2004). A more detailed description of these modules can be found in Ngwira et al. 197

(2014). For the simulation performed in this study, a magnetospheric grid of approximately 1 198 million cells was used, with a minimum cell size of 1/4 Earth radii. 199

In order to calculate surface magnetic field values at different locations across the globe, 200 the Biot-Savart integral is applied to each of the current systems in the different modules that 201 make up SWMF. These models utilize different grids and grid coordinates systems, so in order to 202 preclude computational anomalies that may arise from transformations at the poles, no site North 203 of 82° latitude was specified for magnetic field outputs in this study. To drive our simulation, we 204 used solar wind conditions for the SW event which occurred on 31 March 2001. 1-minute solar 205 wind data at 1 AU including velocity, magnetic field, density and temperature were taken from 206 the NASA Omniweb repository (https://omniweb.gsfc.nasa.gov/). These data were linearly 207 interpolated to 5 s temporal resolution, and small-scale synthetic noise was added to the new 208 time-series. This random noise added at each point of the time-series was proportional to the 209 standard deviation of a binned 2-minute window around that point. 210

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The comparison between the spatial and 4D SECS modalities is carried out in four steps:

- 1- The SWMF magnetic output is calculated over time steps of 5 s on a dense virtual 212 network of observatories homogeneously distributed over the northern hemisphere 213 (NH). Namely, nearly 4,000 virtual observatories have been placed between 214 geographic latitudes 0° and 82° N, which implies separations ca. 2.5° between 215 contiguous points. The magnetic field contributed by the modeled Hall current has 216 been used as an input to spatial 3D SECS, whose output gives the equivalent current 217 \vec{J}_{eq} . The equivalent current function (ECF) ψ is afterwards calculated according to 218 $\vec{J}_{eq} = \hat{r} \times \vec{\nabla} \psi$, which is taken as our reference. 219
- 2- The same SWMF magnetics is now calculated on the location of the real current 220 network of observatories; namely, a total of 118 real observatories have been used 221 over the NH (Figure 2). The ECF obtained after applying spatial SECS to the SWMF 222 output allows assessing at what extent our *real* observatory network is capable of 223 reproducing the *ideal* large-scale current pattern obtained in step 1. 224
- 3- Same as step 2, but instead of using a constant time step of 5 s as an input to spatial 225 SECS, a different sampling rate has been used in each observatory in order to 226 simulate the various cadences of magnetic recording. In practice, this consisted in 227 removing a series of timestamps of magnetic data at certain locations (see Figure 2). 228 The ECF has been obtained at a cadence of 5 s, i.e., the cadence corresponding to the 229 highest sampling frequency. 230
- 4- Same as step 3, but using 4D SECS instead of spatial 3D SECS. 231

The results of the synthetic case have been obtained for the time interval 01:03:00 -232 01:10:00 UT, 31 March 2001, corresponding to the disturbed period after the SC of the 233 234 mentioned SW event. Figure 3 shows patterns of the ECF over the NH for two consecutive timestamps (01:06:00 UT, left column; 01:06:05 UT, right column) corresponding to steps 1 235 (upper row) through 4 (lower row). The corresponding movies for the whole 7-minute interval 236

can be found in the supporting material, movies S1 through S4. The left column reflects a time 237 for which a good spatial coverage exists (all points in Figure 2), while the right one is valid for a 238

time with a sparse coverage; namely, only observatories in North America and Japan are

239 assumed to provide data because of its higher sampling rate (red dots only). Note that, because 240

- this is a synthetic test, the number of available observatories and corresponding sampling rates 241
- does not necessarily reflect the real situation in March 2001. Nevertheless, the depicted scenario 242
- is quite representative of the circumstances existing in the decade of 2000's. 243

Concerning the details of the SECS technique, we have applied the 3D modality in steps 244 1, 2 and 3, with the height of the ionospheric currents assumed to flow at 110 km and the 245 subsurface currents flowing at a depth of 100 km, as in Curto et al. (2018), Marsal et al. (2017) 246 and McLay & Beggan (2010). On the other hand, following the precepts of Amm & Viljanen 247 (1999), the grid spacing for the poles of the ECs has been set to about one third of the average 248 spacing between virtual (step 1) or real (steps 2, 3 and 4) observatories. This has given rise to a 249 regular distribution of ca. 38,000 poles covering the NH in step 1. Since the distribution of real 250 observatories is not uniform (see Figure 2), the grid of poles is irregular in steps 2, 3 and 4 (in 251 this case, the same distribution of poles has been used as in Marsal et al., 2017, Figure 5). 252 Concerning step 4, the interval between consecutive knots of the spline functions has been 253 chosen to be 15 s; this figure lies between the highest and the smallest sampling rates of the 254 magnetic observations, and determines the time resolution of the 4D SECS output. In this 255 respect, previous knowledge of the largest frequencies of the target phenomena to be modeled 256 257 may be useful, as the corresponding Nyquist frequency can be used as an upper bound for the inter-knot frequency of the spline expansion. 258

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Figure 2. Location of the 118 real magnetic stations used in steps 2, 3 and 4. (a) NH map, (b) zoom on the North American sector, (c) zoom on the North European sector. Red, green, black, orange and grey dots denote 5 s, 10 s, 20 s, 30 s and 60 s sampling rates (steps 3 and 4), respectively.

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We have used SVD to invert **A** in eq. (4). After some tests, the associated ε parameter has been chosen to be 0.02 throughout, that is, those singular values below 0.02 times the largest one have been neglected. This provides a reasonable trade-off between smoothness of the equivalent currents and data fitting. We note that, in this case, the RLS technique with a Lagrange multiplier $\lambda = 10^{-6} \text{ nT}^2 \text{A}^{-2}$ for 4D SECS gives results which are comparable to the SVD with $\varepsilon = 0.02$.







Figure 3. North Pole-centered azimuthal equidistant projection maps showing the ionospheric 275 ECF for different simulations on the occasion of two consecutive timestamps (01:06:00, left 276 column; 01:06:05, right column) of the post-SC phase of the March 31, 2001 SW event. A DP2 277 pattern can be recognized. The ECF patterns have been obtained with the SECS technique using 278 synthetic magnetic data from the SWMF, whose simulations have been driven with solar wind 279 data arising from the mentioned event. Panels a and b correspond to step 1 (3D SECS for high 280 spatiotemporal density of magnetic observations); panels c and d correspond to step 2 (3D SECS 281 for high temporal density but realistic spatial density); panels e and f correspond to step 3 (3D 282 SECS for realistic spatiotemporal density); panels g and h correspond to step 4 (4D SECS for 283 realistic spatiotemporal density). Black and white arrows in the observatory locations represent 284 observed and modeled horizontal fields, respectively. Currents flow clockwise 285 (counterclockwise) around positive (negative) patches of the ECF. The gap around the North 286 Pole in panel **a** is aimed at preventing computational anomalies associated with the SWMF. 287 Local noon is at the top. 288

289 3.2 Discussion of the synthetic case

A visual comparison of the first two rows of Figure 3 evidences that the realistic, irregular distribution of ground magnetometers is sufficient to reproduce the large scale pattern of the ionospheric ECF treated here (an argument that cannot be extended to smaller scales), consisting of a DP2 pattern (Disturbance of Polar origin with twin vortices). Despite this ability, differences arise where observations are scarcer, i.e., in the Siberian and the North Atlantic sectors.

In order to numerically quantify the similarity between two ECF patterns, we have used
the performance parameter defined in Marsal & Torta (2019) (see also Marsal, 2015; Torta et al.,
2017; Bailey et al., 2018; Ingham & Rodger, 2018; Blake et al., 2018):

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$$P = 1 - \frac{RMSE_{ECFm,ECFo}}{\sigma_{ECFm}} = 1 - \sqrt{\frac{(ECF_m - ECF_o)^2}{\overline{ECF_m}^2 - \overline{ECF_m}^2}},$$
 (7)

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301 where ECF_m and ECF_o denote the two spatial functions to be compared at a given time, playing ECF_m the role of *model* and ECF_o the role of *objective*; $RMSE_{ECFm,ECFo}$ stands for the root mean 302 square error between them; the bar on top a variable indicates its mean; and σ_{ECFm} represents the 303 standard deviation of ECF_m . The RMSE is thus contextualized by the intrinsic spatial variability 304 of the model ECF, whose role is assumed either by the pattern of step 1 or that of step 2. P is a 305 dimensionless skill score whose maximum value is 1 for a perfect matching between both 306 patterns and has no lower bound. Table 1 shows the values of P for different pairs of ECFs. 307 308 Namely, the first two numerical rows correspond to comparisons taken at time 01:06:00 UT (i.e., concerning different patterns of the left column of Figure 3), while the last two rows correspond 309 to comparisons taken at time 01:06:05 UT (i.e., concerning different patterns of the right column 310 of Figure 3). For purposes of the comparison, the spatial functions ECF_m and ECF_o are defined in 311 312 a geographic grid with spacing 1° latitude x 5° longitude on a spherical cap over the NH between 25° and 83° latitude. 313

As a proof of the above, the value of P when comparing steps 1 and 2 are found to be 314 around 0.5 at both timestamps, reflecting a rather good resemblance. On the other hand, the ECF 315 pattern for step 2 at 01:06:00 UT is the same as that of step 3 (panels c and e in Figure 3), as all 316 the magnetometers provide a magnetic value at the entire minute (reason by which the 317 corresponding entry P = 1 is obtained in Table 1). However, the differences between those same 318 steps are noticeable at 01:06:05 UT (panels **d** and **f** in Figure 3), when only a reduced subset of 319 the ground stations (red dots in Figure 2) provides a measurement to generate the pattern of step 320 3. This results in P = 0.25, which evidences a weak point of the traditional spatial SECS. In 321 contrast, the time expansion used in 4D SECS allows the transfer of information across time, 322 323 resulting in steps 2 and 4 being reasonably similar at 01:06:05 UT (panels **d** and **h**), with P rising to 0.83, whereas P decreases slightly (from 1 to 0.88) at 01:06:00 UT (panels c and g) due to the 324 smoothing effect of the spline expansion and the ε (or λ) damping parameter. 325

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Table 1. Skill Score *P* Assessing the Similarity Between Different Pairs of ECF Patterns

Defined in Section 3.1. The ECF Assuming the Role of *Model (Objective)* is Displayed in the

Header of Each Row (Column). The First (Last) Two Rows Correspond to Timestamp 01:06:00

(01:06:05) UT. Step 1: 3D SECS, High Spatiotemporal Density; Step 2: 3D SECS, Low Spatial,

High Temporal Density; Step 3: 3D SECS, Low Spatiotemporal Density; Step 4: 4D SECS, Low

333 Spatiotemporal Density.

4D SECS

	Step 2	Step 3	Step 4
Step 1	0.50	0.50	0.52
Step 2	-	1	0.88
Step 1	0.51	0.34	0.52
Step 2	-	0.25	0.83

335 3.3 A real case

For illustrative purposes, 4D SECS has been applied to an outstanding space weather event occurred on 24 March 1991, which is remarkable due to its anomalous SC characterized by an exceptionally large and sharp impulse in its initial part (Araki et al., 1997; Araki, 2014). The steep rise of geomagnetic disturbance associated with this SC produced some of the largest Geomagnetically Induced Currents (GIC) ever measured both in the United States (Kappenman, 2003) at mid-latitude locations, and in the Finnish power system (Viljanen & Pirjola, 1994).

Because we are mainly interested in the equivalent current of the ionospheric segment 342 343 (mostly Hall currents), the magnetospheric contribution has been removed from the ground magnetic observations by the technique described in Piersanti & Villante (2016). In brief, the 344 latter consists in the determination, based on the Tsyganenko & Sitnov (2005) model, of the 345 magnetospheric current systems that mostly contribute to the observed magnetic field at a 346 geostationary orbit. The magnetic field from such currents is then identified with the DL 347 (Disturbance Low-latitude) field, and subtracted from the ground data according to the same 348 349 model. An estimation of the contribution from field-aligned currents is also provided. After all, this technique provides the column matrix **B** in equation (4), which is solved for α , allowing the 350 computation of the ionospheric equivalent or DP (Disturbance of Polar origin) current $\vec{J}_{eq}^{DP}(\vec{r},t)$. 351

We have used the available geomagnetic data in the NH associated with this event (see 352 353 Figure 4), comprising 1 s, 5 s, 10 s, 20 s and 1-min datasets, most having multiple gaps presumably caused by saturation in the magnetic records. The inversion of matrix **A** in equation 354 (4) has been carried out by use of SVD with $\varepsilon = 0.07$, though similar results have been obtained 355 using RLS with $\lambda = 10^{-6.5}$ nT²A⁻². The interval between consecutive time knots in the spline 356 expansion has been set to 10 s in this case. Note that this is again a compromise period within the 357 sampling rates; moreover, this interval is consistent with the frequencies reported by Araki et al. 358 (1997), who refers to pulses of the ionospheric currents between 10 and 20 s duration for this 359 case study. 360



- **Figure 4.** Location of the 73 geomagnetic stations used in the real case study. Blue, red, green, black and grey dots denote 1 s, 5 s, 10 s, 20 s, and 1-min sampling rates, respectively. 362
- 363

4D SECS

The 4D SECS technique has been run for the time interval 03:41:30 – 03:44:20 UT, and the results for some selected timestamps are presented in Figure 5. The upper panels show the NH large-scale ECF patterns, while the lower ones show smaller-scale patterns in the North-European sector. The corresponding videos are available as supporting material (movies S5 and S6). 3D SECS has also been applied for comparison purposes (movies S7 and S8).

The sparsity of ground magnetometers and the abundance of magnetic data sampled at low rates for such a fast SC event difficult a detailed analysis of the ongoing electrodynamic processes in the upper atmosphere. A comprehensive discussion of such a complex event is, besides, out of our reach; however, the 4D SECS technique allows distinguishing some recognizable ECF patterns:

- 1- The positive (clockwise) current vortex over North-America at 03:42:45 UT, along 374 with the minimum in the morning sector (Figure 5, panel \mathbf{a}), is consistent with a DP_{pi} 375 (preliminary impulse) pattern. The centers of both patches are not symmetrical with 376 respect to the noon-midnight meridian, but they are rotated counterclockwise, 377 378 consistent with a compressional pulse of the solar wind in the afternoon magnetosphere. This is in line with the diagnosis made by Araki et al. (1997) and 379 references therein (see their Figure 10), but differs in ~40 s from the first DP pulse in 380 their Figure 9 (about 03:42:05 UT as appearing in Kakioka observatory, KAK). 381
- Panel b is consistent with a substorm DP1 pattern (e.g., Akasofu, 2015) at 03:43:05
 UT, with a strong westward current channel at nighttime auroral latitudes, though this
 hypothesis cannot be completely corroborated by magnetic observations in the
 dayside high latitudes. Note the significant extent of the equivalent currents towards
 mid-latitudes, whose magnetic effects are superposed to the DL field (not included
 here), giving rise to the intense variations recorded in ground stations.
- 388
 3- Panel c is consistent with a westward auroral electrojet in the early morning sector during a somewhat later time of the storm initial phase (03:44:20 UT), revealing equivalent currents on the order of 1 kA/km in the peak of the channel. Panel d shows the contemporaneous subsurface ECF, which is opposite to the ionospheric one and somewhat weaker, as expected.





Figure 6 is aimed at showing an example of the performance of 4D SECS at a given location. Namely, Kakioka observatory (KAK), with 1-s records, has been selected to ease comparison with Figure 9 of Araki et al. (1997). The three panels show the different components of the magnetic field vector in the time interval 03:41:30 – 03:44:20 UT. The green line of each panel shows the field variations as they were recorded in KAK (note the arbitrary baseline); the blue line represents the field being used as an input to 4-D SECS at that particular location, which in turn corresponds to the DP field obtained from the method of Piersanti & Villante 408 (2016) after removal of the magnetospheric contribution; finally, the red line shows the 4-D
 409 SECS output field, i.e., the magnetic field resulting from the ECF pattern given by our method.

Firstly, some differences in strength are seen between the input DP field (blue line) and 410 the one predicted by Araki et al. (1997) in their Figure 9, though both waveforms are similar. 411 Differences could partly arise from the deficient coverage of satellite data in 1991, on which the 412 method of Piersanti & Villante (2016) relies. Secondly, the input (blue) and output (red) data 413 reasonably resemble each other, especially for the X (North) and Z (vertically downward) 414 components. Differences arise from a) the separation between consecutive knots in the spline 415 temporal expansion, which is tenfold the sampling period in KAK; and b) the ε damping 416 parameter, which is necessary to avoid unreliable values outside the observation points. 417

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422 corresponds to the recorded magnetic field; the blue line represents the DP field obtained by the 423 method of Piersanti & Villante (2016) after removal of the magnetospheric contribution, which is

424 used as an input to 4-D SECS; the red line is the 4D-SECS modeled magnetic field.

425 4 Conclusions

The temporal dimension has been included in the SECS technique by means of a spline expansion of the current scale factors that provide the spatial variation of the equivalent currents, thus converting the generally 3-D technique into 3-D + t or, shortly, 4-D. Specifically, the coefficients of the time-dependent spline basis functions are adjusted to fit the ground magnetic

430 data spanning a pre-defined time window. This allows sharing information across the different

time frames of the referred window, thus minimizing the impact of the lack of data samples at

432 certain observation points. Including such a time expansion into spatial SECS has been shown to
 433 confer advantages in terms of reliability and temporal continuity of the target equivalent currents

to be modeled.

We emphasize that this technique is useful in cases when diverse geomagnetic sampling 435 rates are combined, or when gaps (i.e., lack of data samples) are present in the records used as an 436 input to SECS. Otherwise, the traditional spatial technique is recommended. A practical 437 shortcoming of 4D SECS is its computationally expensive algorithm, a fact that may force one to 438 either reduce the size of the time window used as an input for data inversion, or to optimize the 439 inter-knot frequency of the spline expansion. A previous analysis of the largest expected 440 frequencies of the phenomena under study can be useful in this context in order to avoid 441 unnecessary knots. Problems of insufficient memory may occur nevertheless, in which case it is 442 advisable to implement an algorithm capable of dividing the desired time window into 443 subintervals manageable to the processor in question. 444

445 Applications of this technique include the retrospective analysis of the ionospheric sources of GIC, especially those from past and remarkable SW events, when the precepts of 446 geomagnetic observation were far from standardized, and thus different sampling frequencies 447 were common throughout. This has been implemented to the 24 March 1991 anomalous SC 448 event. Although the amount of data associated with the analyzed event is scarce, the results are 449 rather consistent with well-known SC current patterns. The modeled ionospheric currents alone 450 are shown to be especially significant even at mid-latitudes. Another possible application of 4D 451 SECS is the spatiotemporal interpolation of geomagnetic data, i.e., the estimation of the 452 magnetic field vector at any point of space (provided it is surrounded by ground magnetometers), 453 and time within the input time window. 454

Future lines include modeling the space-time evolution of the equivalent current flowing at the magnetopause in occasion of severe SC events, as this will help to characterize the main sources of GIC at middle and low latitudes. The use of 4D SECS to handle heterogeneous geomagnetic datasets consisting in the combination of contemporaneous data sources (such as ground and satellite measurements) is another potential field to be scrutinized.

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- 489 During the revision process, the relevant data used to attain the manuscript conclusions will be
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- 491 manuscript is hypothetically accepted, these data will be deposited into an institutional repository
- 492 (fulfilling FAIR policy).

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