

# A Middle Crustal Channel of Radial Anisotropy Beneath the Northeastern Basin and Range

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## Abstract

A challenge in interpreting the origins of seismic anisotropy in deformed continental crust is that composition and rheology vary with depth. We investigated anisotropy in the northeastern Basin and Range where prior studies found prevalent depth-averaged positive radial anisotropy ( $V_{sh} > V_{sv}$ ). This study focuses on depth-dependence of anisotropy and potentially distinct structures beneath three metamorphic core complexes (MCC's). Rayleigh and Love wave dispersion were measured using ambient noise interferometry and Bayesian Markov Chain Monte Carlo inversions for Vs structure were tested with several (an)isotropic parameterizations. Acceptable data fits with minimal introduction of anisotropy are achieved by models with anisotropy concentrated in the middle crust. The peak magnitude of anisotropy from the mean of the posterior distributions ranges from 3.5-5% and is concentrated at 8-20 km depth. Synthetic tests with one uniform layer of anisotropy best reproduce the regional mean results with 9% anisotropy at 6-22 km depth. Both magnitudes are feasible based on exhumed middle crustal rocks. The three MCC's exhibit ~5% higher isotropic upper crustal Vs, likely due to their anomalous levels of exhumation, but no distinctive (an)isotropic structures at deeper depths. Regionally pervasive middle crustal positive radial anisotropy is interpreted as a result of sub-horizontal foliation of mica-bearing rocks deformed near the top of the ductile deformation regime. Decreasing mica content with depth and more broadly distributed deformation at lower stress levels may explain diminished lower crustal anisotropy. Absence of distinctive deep crustal Vs beneath the MCC's suggests over-printing by ductile deformation since the middle Miocene.

1 **A Middle Crustal Channel of Radial Anisotropy Beneath the Northeastern Basin and**  
2 **Range**

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10 **Key Points**

- 11 ● Evidence for a channel of positive radial anisotropy with peak magnitude at depths of ~8-  
12 20 km throughout the study area
- 13 ● Absence of locally distinctive deep crustal  $V_S$  beneath core complexes suggests over-  
14 printing by middle Miocene regional ductile extension
- 15 ● Diminished anisotropy in the hotter lowermost crust may result from decreased mica  
16 abundance and a transition to more distributed strain

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39 **Abstract**

40 A challenge in interpreting the origins of seismic anisotropy in deformed continental crust is that  
41 composition and rheology vary with depth. We investigated anisotropy in the northeastern Basin  
42 and Range where prior studies found prevalent depth-averaged positive radial anisotropy ( $V_{SH} >$   
43  $V_{SV}$ ). This study focuses on depth-dependence of anisotropy and potentially distinct structures  
44 beneath three metamorphic core complexes (MCC's). Rayleigh and Love wave dispersion were  
45 measured using ambient noise interferometry and Bayesian Markov Chain Monte Carlo  
46 inversions for  $V_S$  structure were tested with several (an)isotropic parameterizations. Acceptable  
47 data fits with minimal introduction of anisotropy are achieved by models with anisotropy  
48 concentrated in the middle crust. The peak magnitude of anisotropy from the mean of the  
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52 rocks. The three MCC's exhibit ~5% higher isotropic upper crustal  $V_S$ , likely due to their  
53 anomalous levels of exhumation, but no distinctive (an)isotropic structures at deeper depths.  
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58 crustal  $V_S$  beneath the MCC's suggests over-printing by ductile deformation since the middle  
59 Miocene.

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61 **Plain Language Summary**

62 The northeastern Basin and Range is an area of Earth's crust that has been dramatically stretched  
63 and thinned by tectonic forces. Seismic anisotropy, or wave speed dependence on direction, can  
64 provide useful insights into the way in which such deformation organizes crustal structure over  
65 long periods of time. We used surface waves to identify discrepancies between horizontally and  
66 vertically polarized wave speeds. Anisotropy focused in the middle crust at ~8-20 km is found to  
67 best resolve the observed discrepancies. The results suggest that development and preservation  
68 of anisotropy is more effective in the middle crust compared to the lowermost crust. The  
69 transition with depth may be explained by increasingly high temperature in the lowermost crust  
70 that reduces the abundance of highly anisotropy mica minerals and promotes ductile flow that is  
71 distributed across larger volumes rather than localized shear zones. Additionally, we find that  
72 areas of exceptionally localized extension called metamorphic core complexes have middle-to-  
73 lower crustal seismic structure that is similar to the surrounding region despite their distinctive  
74 upper crustal structure. These structures formed early in the development of the Basin and  
75 Range, consequently we suggest that subsequent ductile deformation in the middle-to-lower crust  
76 largely over-printed their structural legacies.

77

78 **1. Introduction**

79 The central-to-northern Basin and Range province of the western U.S. Cordillera is an area of  
80 large magnitude extensional strain, with up to ~100% regional-scale crustal extension since the  
81 Eocene (Hamilton and Myers, 1966; Wernicke et al., 1988; McQuarrie and Wernicke, 2005;  
82 Colgan and Henry, 2009; Long, 2018). Embedded within this region of distributed deformation  
83 are localized zones of more extreme extension and exhumation recorded in metamorphic core  
84 complexes (MCC), which expose rocks that were deformed below the brittle-ductile transition  
85 and exhumed during the development of the Basin and Range (e.g., Crittenden et al., 1980;  
86 Whitney et al., 2013; Platt et al., 2015). Regional-scale extensional strain rate peaked in the  
87 middle Miocene (McQuarrie and Wernicke, 2005; Colgan and Henry, 2009). Slower but ongoing  
88 right-lateral transtensional deformation is identified by geodetic studies, with ~1 cm/year  
89 northwest-directed relative motion between the low-strain crustal blocks of the Sierra Nevada  
90 and Colorado Plateau located on either side of the central-to-northern Basin and Range (Bennett  
91 et al., 2003; Hammond and Thatcher, 2004). As a result of the well-constrained deformation over  
92 geological and contemporary time scales, the Basin and Range and its internal MCC's are useful  
93 places to study potential indicators of how subsurface strain is organized, such as seismic  
94 anisotropy.

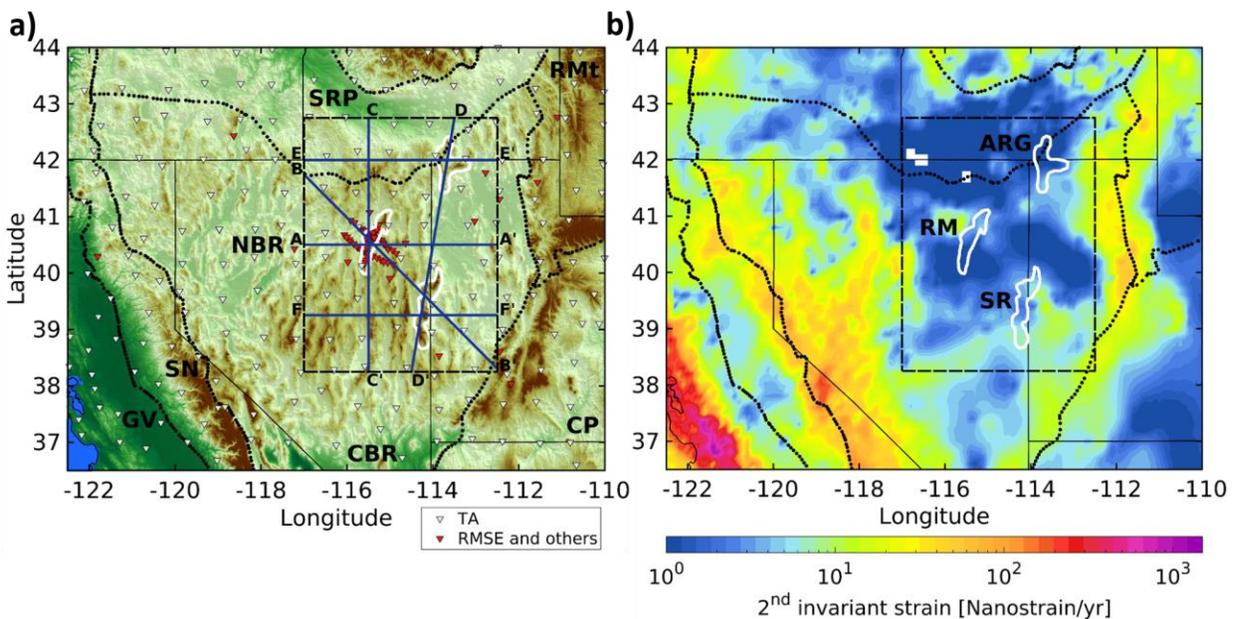
95 In this study, we investigate links between deformation recorded at the surface and the  
96 development of radial seismic anisotropy in extended continental crust. We focus on the  
97 northeastern Basin and Range surrounding three MCC's: the Ruby Mountains, Snake Range, and  
98 Albion-Raft River-Grouse Creek (Fig. 1). The distribution of crustal anisotropy is a subject of  
99 expanded investigation in recent years, in part due to the development of seismic noise  
100 interferometry methods that enable extraction of short-period surface wave measurements  
101 between pairs of seismographs (e.g., Shapiro and Campillo, 2004; Sabra et al., 2005). Inter-  
102 station noise interferometry is powerful for crustal imaging with dense and large aperture seismic  
103 arrays like the Transportable Array (TA) component of EarthScope's USArray, which provides  
104 excellent geographic distributions of short-period Rayleigh and Love wave paths compared to  
105 relying on earthquakes (e.g., Lin et al., 2008). This study focuses on radial anisotropy, which  
106 makes the simplifying assumption of transverse isotropy with a vertical symmetry axis to explain  
107 inconsistencies between Rayleigh and Love wave dispersion with independent horizontally and  
108 vertically polarized  $V_S$ , referred to as  $V_{SH}$  and  $V_{SV}$  (Babuska and Cara, 1991).

109 Prior investigation of radial anisotropy beneath the Basin and Range used TA data to find  
110 that positive radial anisotropy ( $V_{SH} > V_{SV}$ ) is prevalent in the crust and correlated with areas of  
111 extensional deformation (Moschetti et al., 2010a,b). Crustal radial anisotropy has been detected  
112 in other parts of the North American Cordillera including the southern California transform  
113 margin (Wang et al., 2018), the Rio Grande rift (Fu and Li, 2015), the Canadian Rockies (Dalton  
114 and Gaherty, 2013), and Alaska (Feng and Ritzwoller, 2019). Globally, crustal radial anisotropy  
115 has been identified in many continental areas including tectonically active and cratonic settings  
116 (e.g., Shapiro et al., 2004; Sherrington et al., 2004; Huang et al., 2010; Duret et al., 2010; Xie et  
117 al., 2013; Luo et al., 2013; Cheng et al., 2013; Harmon and Rychert, 2015; Dreiling et al., 2018;

118 Ojo et al., 2017; Lynner et al., 2018). The most conventional interpretation for its origin is the  
 119 strain-induced alignment of anisotropic crustal minerals forming an aggregate crystallographic  
 120 preferred orientation (CPO; Mainprice and Nicolas, 1989; Weiss et al., 1999). However, there  
 121 are plausible alternatives or additional contributions such as preferentially oriented fractures in  
 122 the shallow crust, sedimentary stratigraphy, and organization of partial melt or fluids that may be  
 123 prevalent in thick orogenic crust or magmatic systems (e.g., Leary et al., 1990; Backus, 1962;  
 124 Hacker et al., 2014; Matharu et al., 2014; Almqvist and Mainprice, 2017; Harmon and Rychert,  
 125 2015; Jaxybulatov et al., 2014; Jiang et al., 2018; Lynner et al., 2018). The thin crust of the  
 126 modern Basin and Range makes pervasive mid-crustal melting less likely compared to settings  
 127 such as the Tibetan plateau, which has about double the thickness of radiogenic heat-producing  
 128 crust (e.g., Hacker et al., 2014). Moschetti et al. (2010a) favor CPO as the most probable origin  
 129 of radial anisotropy in the highly extended middle and lower crust of the Basin and Range, and  
 130 laboratory measurements of exhumed rocks from the Basin and Range support the presence of  
 131 CPO-derived anisotropy and the approximate validity of transverse isotropy (Erdman et al.,  
 132 2013).

133 We further investigate radial anisotropy in the northeastern Basin and Range with  
 134 combined analysis of Rayleigh and Love waves extracted from TA data and a denser regional  
 135 array centered on the Ruby Mountains MCC (Fig. 1). Prior investigations using only the TA  
 136 lacked the seismograph density to identify potentially anomalous anisotropy beneath Ruby  
 137 Mountains MCC and focused on establishing the necessity of regionally prevalent anisotropy by  
 138 assuming a uniform distribution in the middle and lower crust (Moschetti et al., 2010a). This  
 139 study evaluates whether distinctive radial anisotropy exists beneath the Ruby Mountains or other  
 140 MCC's in the northeastern Basin and Range. We also evaluate depth dependence of radial  
 141 anisotropy to identify how depth-dependent composition and rheology may influence  
 142 development of crustal radial anisotropy.

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144

145 **Figure 1.** Maps of seismic data coverage and active regional deformation. (a) Broadband seismographs used for  
146 ambient noise cross correlations including the RMSE (red) and Transportable Array (TA) stations (white) used in  
147 the USANT model. Black dotted lines define geologic provinces from Fenneman, (1917): Colorado Plateau (CP),  
148 Great Valley (GV), northern Basin and Range (northern Basin and Range), central Basin and Range (CBR), Rocky  
149 Mountains (RMt), Sierra Nevada (SN), Snake River Plain (SRP). Black dashes delineate the focus area used in  
150 subsequent figures. Solid blue lines delineate surface trace of cross sections shown in Fig. 7. (b) Regional second  
151 invariant of strain rate estimated from inversion of GPS measurements (Kreemer et al., 2014). White outlines show  
152 metamorphic core complexes of the northern Basin and Range: Albion-Raft River-Grouse Creek (ARG), Ruby  
153 Mountains-East Humboldt (RM), Snake Range (SR).  
154

## 155 **2. Geologic and geodynamic setting**

156 Formation of the Basin and Range as a province of extensional deformation and intraplate  
157 magmatism began in the Paleogene and closely followed cessation of Mesozoic crustal  
158 shortening that culminated with the Sevier and Laramide orogenies (Coney and Harms, 1984).  
159 Western plate boundary re-organization including subduction of the Kula-Farallon and Pacific-  
160 Farallon ridges decreased subduction zone width and coincided with the transition from  
161 dominantly compressional to extensional deformation in the Cordilleran interior (Schellart et al.,  
162 2010). Diminished compressional stress and thick elevated continental crust gave rise to  
163 gravitational collapse in what became the Basin and Range (Coney and Harms, 1984; Dewey,  
164 1988; Rey et al., 2001). Post-orogenic collapse began with voluminous magmatism and localized  
165 extension sweeping from north to south in the Eocene and Oligocene, while regional scale  
166 extension dominantly occurred in the middle Miocene (Best and Christiansen, 1991; Wernicke  
167 and Snow, 1998; Colgan and Henry, 2009; Camp et al., 2015). Columbia River, Steens, and  
168 northern Nevada Rift basaltic volcanism (~15-17 Ma) were approximately coeval with Miocene  
169 acceleration of extension in the northern Basin and Range, suggesting that mantle upwelling  
170 further contributed to driving extensional collapse (Colgan and Henry, 2009; Camp et al., 2015).  
171 Continued growth of the San Andreas transform boundary since ~10 Ma was accompanied by an  
172 increasing component of right-lateral shear strain and concentration of strain near the boundaries  
173 of the Basin and Range compared to its interior (Wernicke and Snow, 1998; Colgan and Henry,  
174 2009). Slow contemporary strain rates (Fig. 1; Bennett et al., 2003; Hammond and Thatcher,  
175 2004; Kreemer et al., 2014) are consistent with minor amounts of slip on extensional faults in the  
176 north-central Basin and Range from the late Miocene through the Holocene (Pérouse and  
177 Wernicke, 2017).

178 Within the northern Basin and Range are three MCCs: the Ruby Mountains-East  
179 Humboldt Range, Snake Range, and Albion-Raft River-Grouse Creek Mountains (Fig. 1). This  
180 study benefits from data collected by the recent Ruby Mountains Seismic Experiment (RMSE),  
181 which provides exceptionally dense, ~5-10 km spacing, broadband seismograph coverage of the  
182 Ruby Mountains (Fig. 1; Litherland and Klemperer, 2017). The northern Ruby Mountains  
183 expose Proterozoic to Paleozoic metasedimentary rocks of the miogeocline that were intruded by  
184 Mesozoic to early Cenozoic plutons, buried during crustal shortening of the Sevier Orogeny, and  
185 then subjected to multiple phases of exhumation beginning in the late Cretaceous (Hodges et al.,  
186 1992; MacCready et al., 1997; Sullivan and Snoke, 2007). The southern Ruby Mountains expose

187 unmetamorphosed Paleozoic sedimentary rocks that have not been buried below their  
188 stratigraphic depths (Colgan et al., 2010). Intrusion of the Harrison Pass pluton into the transition  
189 between the southern and northern Ruby Mountains occurred at ~36 Ma during an Eocene to  
190 Oligocene period of ductile shear deformation in the middle crust (Barnes et al., 2001;  
191 MacCready et al., 1997). Exhumation and extension in the southern Ruby Mountains were  
192 concentrated in the middle Miocene from ~17-10 Ma (Colgan et al., 2010; Haines and van der  
193 Pluijm, 2010).

194 The Snake Range and Albion-Raft River-Grouse Creek (ARG) MCCs are included in the  
195 study area, but data coverage in these regions are mainly provided by the TA seismographs  
196 spaced ~70 km apart (Fig. 1, Supplementary Information S1, T1). The Snake Range MCC  
197 exposes Proterozoic to Cenozoic strata and records up to ~450% extension of the brittle upper  
198 crust (Lee et al., 1987). Metamorphism and ductile deformation of the deeply exhumed footwall  
199 dominantly occurred from the Oligocene to early Miocene, ~35-20 Ma, followed by fault-driven  
200 exhumation to within ~3 km of the surface in the middle Miocene, ~17 Ma (Miller et al., 1999;  
201 Gébelin et al., 2011). In the ARG, outcrops expose Archean to Cenozoic stratigraphic units  
202 (Compton et al., 1977), and metamorphism of gneiss domes there dominantly occurred in the  
203 Oligocene, ~34-25 Ma (Egger et al., 2003; Konstantinou et al., 2013). The ARG exposes strata  
204 exhumed from ~10 km greater depth than in the surrounding region, however much of the  
205 exhumation was likely driven by locally pronounced thermal weakening of the crust and ascent  
206 of granitic diapirs during the Oligocene (Konstantinou et al., 2013). A later phase of fault-driven  
207 Miocene exhumation from ~15-7 Ma led to the surface exposures of the ARG MCC (Wells et al.,  
208 2000; Egger et al., 2003).

209 Modern lithospheric structure of the northern Basin and Range is characterized by high  
210 heat flow, thin continental mantle lithosphere, and a low-relief Moho interface defining an  
211 average crustal thickness of ~30-35 km (Hasterok and Chapman, 2007; Klemperer et al., 1986;  
212 Zandt et al., 1995; Lowry and Pérez-Gussinyé, 2011; Gilbert et al., 2012; Schmandt et al., 2015).  
213 Contemporary heat flow in the northern Basin and Range has an estimated median of 79 mW/m<sup>2</sup>,  
214 which is consistent with steady-state thermal lithospheric thickness of ~75 km (Hasterok and  
215 Chapman, 2007). Teleseismic imaging with P-to-S and S-to-P converted waves indicates a sharp  
216 lithosphere-asthenosphere boundary at similar or shallower depths of ~55-75 km, and the  
217 sharpness and amplitude of the interface, along with temperature estimates from seismic  
218 tomography, suggest it may be defined by partial melt at the base of the lithosphere (Levander  
219 and Miller, 2012; Lekić and Fischer, 2014; Hansen et al., 2015). Controlled source seismic  
220 reflection studies show steeply dipping normal faults in the upper crust, ≤6-8 km, transitioning  
221 to prevalent sub-horizontal layering in the middle and lower crust underlain by lower reflectivity  
222 mantle lithosphere (e.g., Klemperer et al., 1986; McCarthy, 1986; Hauser et al., 1987; Holbrook  
223 et al., 1991; Stoerzel and Smithson, 1998). Fine-scale deep crustal layering illuminated by high  
224 frequency reflections may be due to a combination of ductile extension accommodated by  
225 localized shear zones and intrusion of mafic sills during the late Eocene through Miocene  
226 magmatic flare-up in the Basin and Range (Klemperer et al., 1986; Gans, 1987; McCarthy and

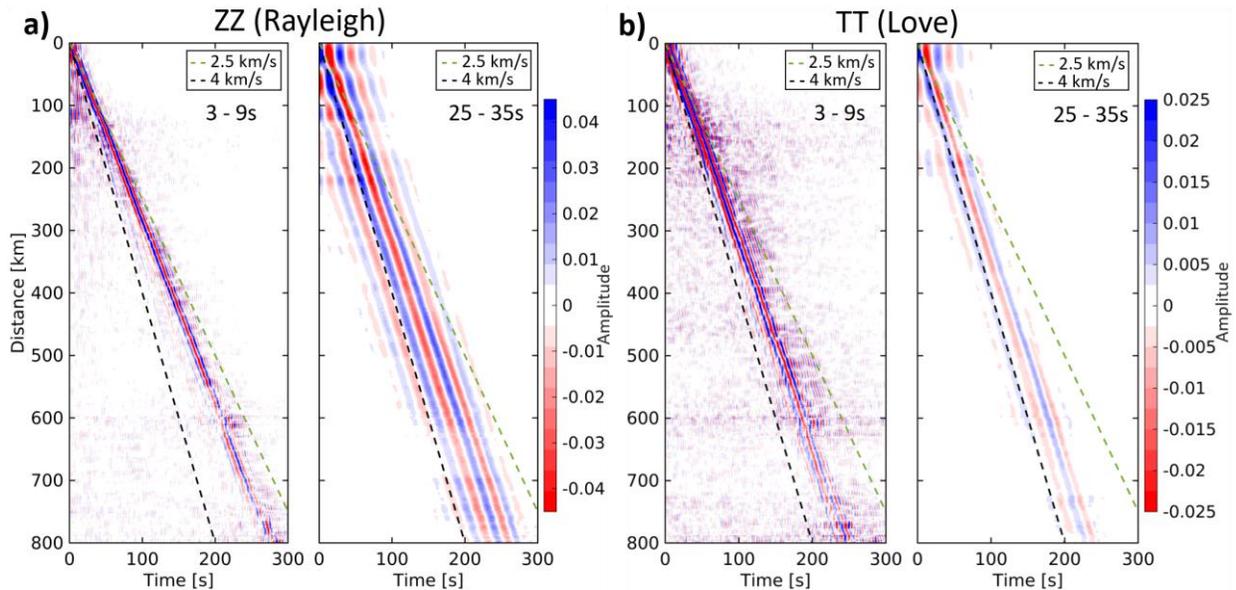
227 Thompson, 1988; Valasek et al., 1989; Holbrook et al., 1991). Regional ductile flow in the  
 228 middle-to-lower crust during and after the middle Miocene phase of regional extension is likely  
 229 based on the low-relief Moho surface, estimated modern Moho temperatures of ~600-800 °C,  
 230 and decoupling of azimuthal anisotropy in the crust and mantle (Klemperer et al., 1986; Gans,  
 231 1987; Block and Royden, 1990; Schutt et al., 2018; Lin et al., 2011).

232

### 233 3. Data and Methods

#### 234 3.1 Data

235 Continuous three-component (3-C) broadband seismic data were collected from the RMSE  
 236 (Litherland and Klemperer, 2017) and surrounding permanent network stations (Fig. 1;  
 237 Supplementary Information T1). Using inter-station measurements of surface wave propagation  
 238 extracted from empirical Green’s functions estimated using ambient noise interferometry we  
 239 obtain Rayleigh and Love wave data (Fig. 2; Bensen et al., 2007). Prior to the RMSE the TA,  
 240 deployed from ~2006–2008, provided the best broadband coverage of the study area in the  
 241 northern Basin and Range with ~70 km spacing. The RMSE deployed 50 3-C broadband  
 242 seismometers ~5–10 km apart along three transects across the Ruby Mountains between 2010–  
 243 2012, thereby providing opportunities for improved resolution of regional crustal structure.



244

245 **Figure 2.** Stacked noise correlations from the RMSE and regional seismographs. (a) Stacked time versus distance  
 246 image of 3260 vertical component (ZZ) inter-station noise cross correlations recorded over ~18 months for the  
 247 RMSE and exterior stations (red triangles in Figure 1). Correlations bandpass filtered between 3-9 s and 25-35 s  
 248 period are shown in the left and right panels, respectively. Longer periods propagate at higher velocities as expected  
 249 for dispersive Rayleigh waves. (b) same as (a), but TT component correlations are plotted to show Love waves.

## 250 **3.2 Phase velocities**

251 Inter-station Rayleigh and Love wave dispersion measurements from two different time periods  
252 were used to invert for radially anisotropic  $V_S$  structure. Rayleigh and Love wave dispersion  
253 measurements were made with the vertical (ZZ) and transverse (TT) noise cross-correlation  
254 functions, respectively (Fig. 2). Inter-station dispersion measurements from Ekström, (2017)  
255 were used for the TA time period 2005-2008. New noise cross-correlations functions were  
256 calculated for the RMSE deployment from 2010-2012 (Fig. 2). To better merge the RMSE and  
257 TA time period measurements, inter-station noise cross-correlation functions were calculated for  
258 the RMSE and a set of 26 azimuthally distributed permanent seismographs operating between  
259 2010-2012 (Fig. 1 and Supplementary Information S1). We followed Bensen et al., (2007) to  
260 process the new noise cross-correlation measurements, with the slight modification of using half-  
261 overlapping 4-hour, rather than daily, time windows (e.g., Seats et al., 2012). Rayleigh and Love  
262 wave phase velocities were estimated at 5-30 s periods using frequency-time analysis (Bensen et  
263 al., 2007; Lin et al., 2008). Phase velocities from Ekström, (2017) were calculated using Aki's  
264 spectral formulation (Ekström et al., 2009), which produces results that are consistent with  
265 frequency-time analysis (Tsai and Moschetti, 2010). Three types of quality control were applied  
266 to the new dispersion measurements to ensure that: Rayleigh or Love wave signal-to-noise ratio  
267 is  $>6$ , phase velocity is between 2-5 km/s, and the inter-station distance is  $>2$  wavelengths. Inter-  
268 station phase velocities were inverted for phase velocity maps for periods at 5-30 s for Rayleigh  
269 waves and 6-30 s for Love waves using a damped least-squares inversion and great circle ray  
270 paths following Ekström, (2017). RMSE measurements with misfits beyond 2 standard  
271 deviations were removed and the inversion was repeated once more (Supplementary Information  
272 S2).

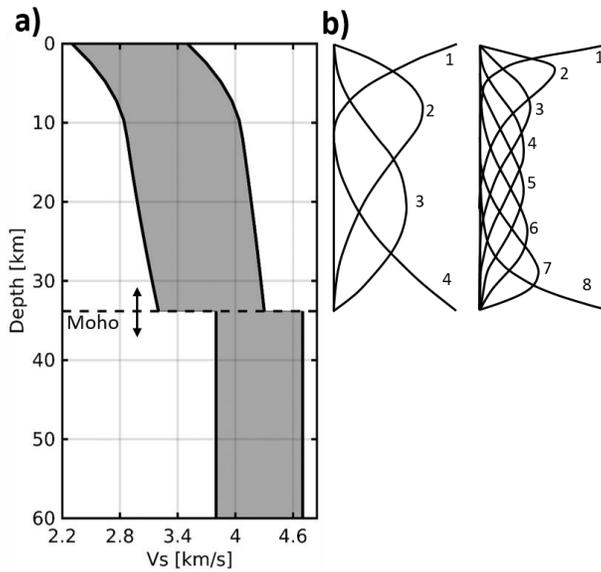
## 273 **3.3 Anisotropic $V_S$ inversion**

274 Models of  $V_S$  structure as a function of depth were estimated at each geographic location using a  
275 Bayesian Markov chain Monte Carlo (BMCMC) inversion (Shen et al., 2012). Each  $V_S$  model is  
276 parameterized by a set of spline functions in the crust and a single layer in the upper mantle, and  
277 the number of splines in the crust and the assumption of isotropy or radial anisotropy were varied  
278 in different inversion cases described below (Fig. 3; Supplementary Information S3). Uniform  
279 prior distributions were assumed for the values of the spline coefficients. The range of  $V_S$  models  
280 permitted by the prior distribution is shown in Figure 3. Forward calculations of Rayleigh and  
281 Love dispersion curves were performed using the Computer Programs in Seismology software  
282 package (Herrmann, 2013).  $V_P$  and density needed for forward modelling were derived from the  
283 empirical scaling relationships of Brocher, (2005) for the crust. In the upper mantle, we use  
284 relative scalings from Panning & Romanowicz, (2006) based on the PREM model. Goodness of  
285 fit between predicted and observed dispersion curves was calculated with a standard chi-squared  
286 ( $\chi^2$ ) misfit,  $\chi^2 = \sum((\text{obs} - \text{pred})^2 / \sigma^2)$ , using phase velocity uncertainties,  $\sigma$ , (Supplementary  
287 Information T2) from Jiang et al., (2018). Each 1D inversion was run for 1.5 million iterations  
288 and model selection is guided by the Metropolis - Hastings algorithm (Hastings, 1970;

289 Mosegaard and Tarantola, 1995). Because the  $\chi^2$  values of the best models vary spatially within  
 290 the study area, the best 800 models are chosen to represent the posterior distribution. The mean  
 291 of the posterior distribution at each geographic point is shown as the final result on a regular  
 292  $0.25^\circ$  grid.

293 To validate the necessity of seismic anisotropy in the crust and test the depth-dependence  
 294 of radial anisotropy we constructed five different BMMC inversion parameterizations (Fig. 4).  
 295 The five cases are: 1) isotropic crust (4 splines) and mantle; 2) isotropic crust (4 splines),  
 296 anisotropic mantle; 3) isotropic crust (8 splines), anisotropic mantle; 4) anisotropic crust (4  
 297 splines), anisotropic mantle; 5) anisotropic middle crust (middle 2 of 4 splines), anisotropic  
 298 mantle (Figs. 3 and 4). In each case the upper mantle layer extends to 100 km depth. PREM  
 299  $V_p/V_s$  and density are assumed at depths greater than the local Moho (Dziewonski and  
 300 Anderson, 1981). Given the maximum period of 30 s used in this study, there is negligible  
 301 sensitivity to structure at  $>100$  km depth.  $V_{SH}$  and  $V_{SV}$  are independent in inversion cases that  
 302 consider anisotropy. The resulting isotropic  $V_S$  models were estimated using Voigt averaging,  $V_S$   
 303  $= \sqrt{((2V_{SV}^2 + V_{SH}^2) / 3)}$  and radial anisotropy was calculated post-inversion where, radial  
 304 anisotropy  $= 100(V_{SH} - V_{SV}) / V_S$ .

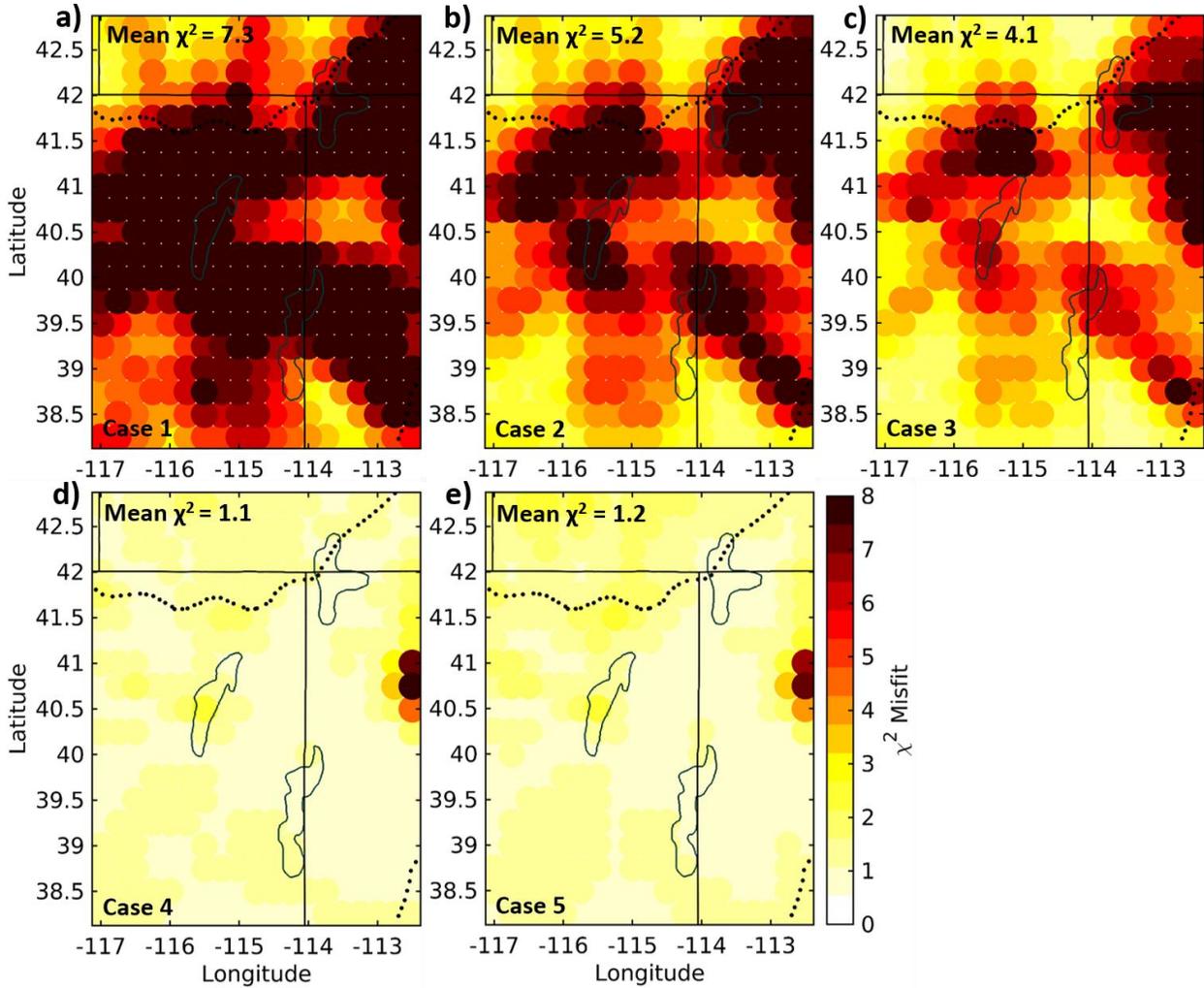
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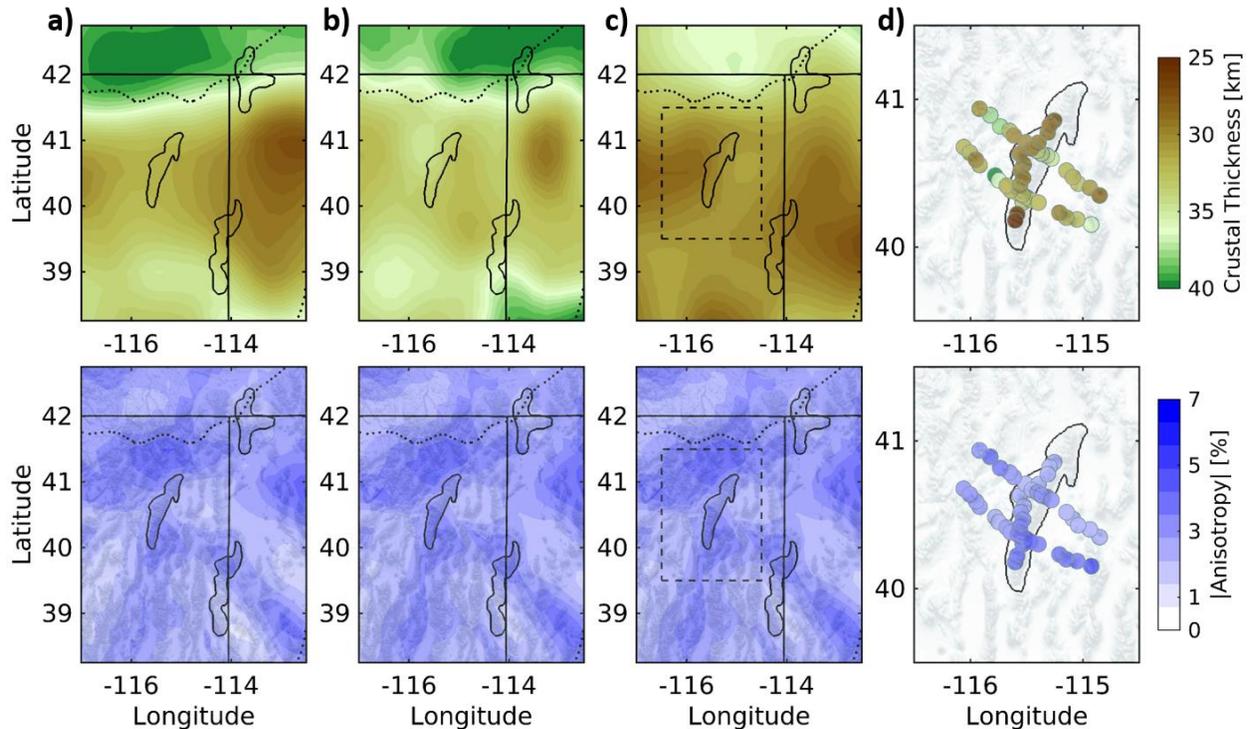
307 **Figure 3.** Prior model space range and b-spline parameterization of crustal  $V_S$ . (a) The range of  $V_S$  spanned by the  
 308 prior distribution is shaded in the grey corridor. The example is shown with the regional mean Moho depth. (b)  
 309 Parameterizations with 4 or 8 b-splines, which allow smoothly varying crustal  $V_S$  with a modest number of  
 310 parameters compared to using discrete layers. In the different parameterization cases described in section 3.3 some,  
 311 all, or none of the b-splines in the crust are allowed to be radially anisotropic.

312



313  
 314 **Figure 4.** Data misfit maps for different inversion parameterizations. a-e) Chi-squared ( $\chi^2$ ) misfit maps for the five  
 315 parameterization cases described in section 3.3. All maps correspond to inversions using the crustal thickness model  
 316 of Schmandt et al., (2015). Regional mean  $\chi^2$  misfits are given in the upper left portion of each map. Maps in a-c  
 317 correspond to inversions assuming isotropic  $V_s$  in the crust and exhibit high  $\chi^2$  misfits. Maps in d & e allow  
 318 anisotropy in the entire crust and middle crust, respectively, and achieve similarly low regional mean  $\chi^2$  misfits.  
 319

320 Each of the five inversion parameterization cases were run using three different regional  
 321 crustal thickness models (Fig. 5; Schmandt et al., 2015; Buehler and Shearer, 2017; Shen and  
 322 Ritzwoller, 2016), and an interpreted local crustal thickness model calculated below each station  
 323 within the RMSE (Fig. 5; Litherland and Klemperer, 2017). The motivation for testing the  
 324 different crustal thickness models is to determine if the strength and pattern of radial anisotropy  
 325 are dependent on the choice of crust thickness model. Only subtle variations were found in the  
 326 radially anisotropic structure as a result of different crustal thickness models (Fig. 5;  
 327 Supplementary Information S4 and S5). So, we primarily present results using the crust thickness  
 328 model of Schmandt et al., (2015) which contains measurements from both RMSE and TA data.



329  
 330 **Figure 5.** Effects of crust thickness models on estimates of crustal radial anisotropy. a) The top panel shows the  
 331 crust thickness model of Schmandt et al., (2015) and the bottom panel shows the depth-integrated absolute value of  
 332 radial anisotropy from inversion cases 4 in which anisotropy is allowed in all 4 crustal b-splines. b,c) Similar to (a)  
 333 but showing results using the crustal thickness models of Buehler and Shearer, (2017) and (c) Shen and Ritzwoller,  
 334 (2016), respectively. (d) Similar to a-c except local crustal thickness results from Litherland and Klemperer, (2017)  
 335 are only available beneath stations from the RMSE array. Dashed lines in (c) demarcate the area shown in (d).  
 336 Distribution and magnitude of anisotropy are similar regardless of the choice of crust thickness model.  
 337

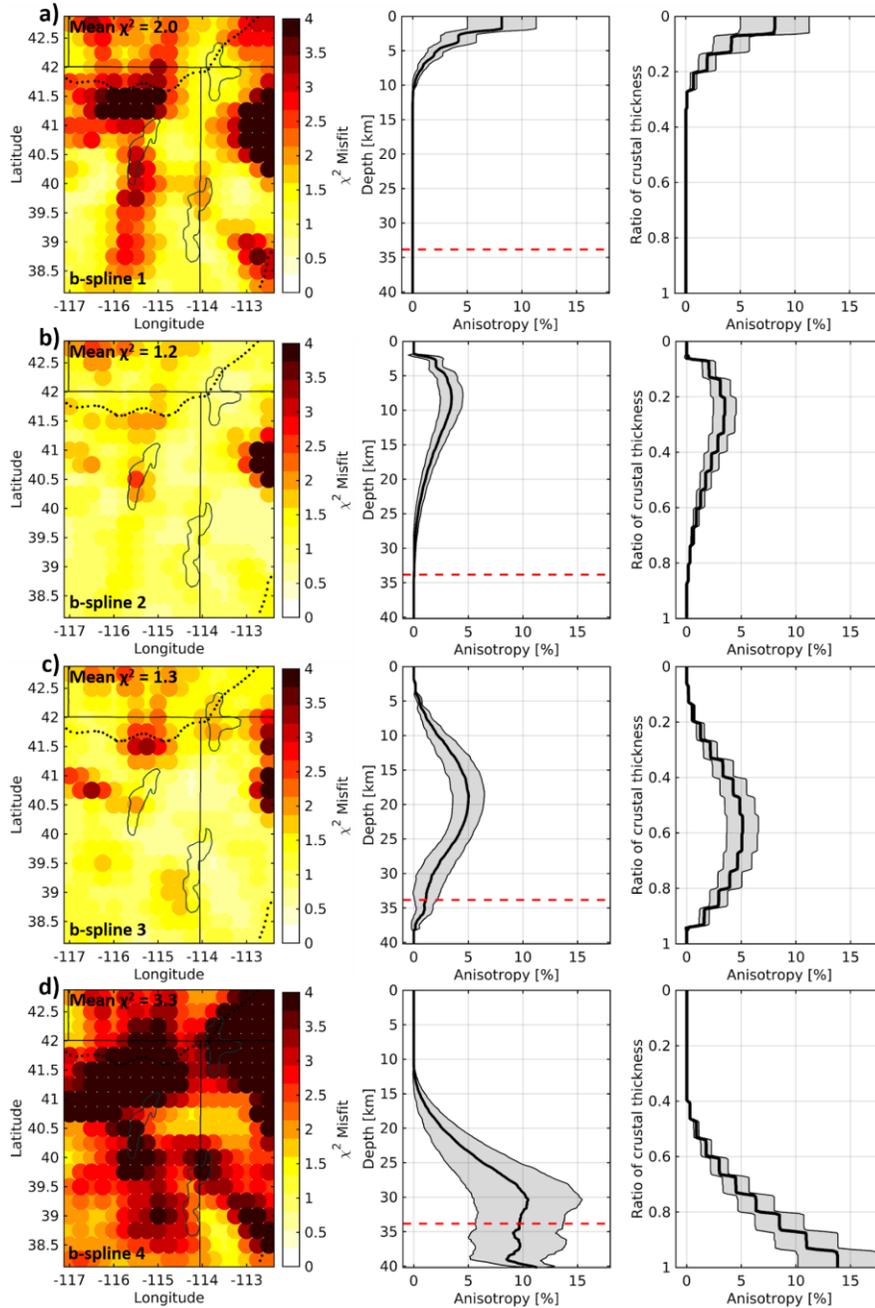
## 338 4. Results

### 339 4.1 Regional mean misfit and radial anisotropy

340 The five model parameterization cases provide insight into the importance of crustal radial  
 341 anisotropy and its depth dependence. Assuming isotropy in the crust (cases 1-3) results in large  
 342 regional mean  $\chi^2$  misfits of  $\sim 4-7$  (Fig. 4). Compared to the fully isotropic crust and mantle in  
 343 case 1, parametrization allowing upper mantle radial anisotropy (case 2), reduces the regional  
 344 mean  $\chi^2$  misfit from 7.3 to 5.2. Case 3 explores whether doubling the isotropic parameters in the  
 345 crust can explain the Rayleigh-Love discrepancy without introducing crustal anisotropy. This  
 346 approach with 8 isotropic b-splines slightly reduces the regional mean  $\chi^2$  misfit from 5.2 to 4.1.  
 347 Introduction of radial anisotropy throughout the crust (case 4) and anisotropy focused in the  
 348 middle crust (case 5) result in superior regional mean  $\chi^2$  misfits of  $\sim 1$  (Fig. 4; Supplementary  
 349 Information S4). Persistently high mean  $\chi^2$  misfits located on the eastern edge of the study  
 350 region are coincident with, and likely influenced by, the deep ( $\sim 3$  km in this location) Great Salt  
 351 Lake basin structure (Mikulich and Smith, 1974).

352 To further evaluate the depth dependence of radial anisotropy, additional tests were  
353 performed allowing the mantle and only a single crustal b-spline to be radially anisotropic in  
354 each test. Individually introducing radial anisotropy for either b-spline 2 or 3 also achieves low  
355 regional mean  $\chi^2$  misfits of 1.2 and 1.3, respectively (Fig. 6). Higher mean misfits of 2 and 3.3  
356 were found when radial anisotropy was only allowed for b-spline 1 and 4, respectively. In these  
357 cases of only allowing radial anisotropy for the uppermost or lowermost b-spline, larger peak  
358 amplitudes of anisotropy were required, up to ~10-15%. Thus, crustal radial anisotropy is  
359 necessary to adequately fit the Rayleigh and Love wave dispersion measurements and it is  
360 possible to achieve similarly good fit to the data using only middle crustal radial anisotropy with  
361 a peak magnitude of ~4-5%. Prior studies show that assuming uniform radial anisotropy through  
362 the entire crust or confining it to the middle and lower crust, are alternative parameterization  
363 approaches that can achieve regional mean  $\chi^2$  misfits of ~1 (e.g., Xie et al., 2015; Moschetti et  
364 al., 2010a; Supplementary Information S6). These approaches are attractive for only requiring  
365 one anisotropic parameter, however the tests conducted here demonstrate that just one  
366 anisotropic parameter is equally effective if it is isolated to middle crustal depths (Fig. 6;  
367 Supplementary Information S6).

368 The depth of the regional mean peak radial anisotropy varies from 8-20 km for the  
369 parameterizations tested here that achieve regional mean  $\chi^2$  misfits of ~1. The shallowest peak  
370 and smallest magnitude, 8 km & 3.5%, is found if only b-spline 2 is anisotropic. The deepest  
371 peak depth and larger magnitude, 20 km & 5%, are found if only b-spline 3 is anisotropic.  
372 Among parameterizations allowing multiple anisotropic b-splines the peak depth and magnitude  
373 are 11 km & 5%, respectively, if all 4 b-splines are anisotropic (case 4) and 14 km & 3.5% if just  
374 b-splines 2 & 3 are anisotropic (case 5). The larger peak magnitude that occurs when all 4 b-  
375 splines are anisotropic is related to the introduction of negative anisotropy in much of the  
376 regional the upper crust and more sporadically in the lower crust.



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**Figure 6.** Misfit maps and anisotropic depth profiles for tests with anisotropy in one isolated crustal b-spline. a) Left panel shows the regional mean  $\chi^2$  misfit map if anisotropy is only allowed for b-spline 1. The crustal b-spline that is allowed to be anisotropic is labeled in the lower left corner of the map and the regional mean  $\chi^2$  misfit is labeled in the upper left corner of the map. Middle panel shows the resulting radial anisotropy profile including the mean (black line) and 1 standard deviation corridor (grey) of the posterior distribution. Right panel also shows the radial anisotropy depth profile but with depth normalized to local crustal thickness. All results shown in this figure correspond to inversions assuming the regional crust thickness model of Schmandt et al., (2015). Regional mean  $\chi^2$  misfits are given in the upper left portion of each map. b-d) Similar to as but showing results for tests allowing anisotropy individually in b-splines 2-4, respectively. Note that individually allowing radial anisotropy for b-splines 2 and 3 fits the data better than for splines 1 and 4, while requiring smaller magnitudes of anisotropy.

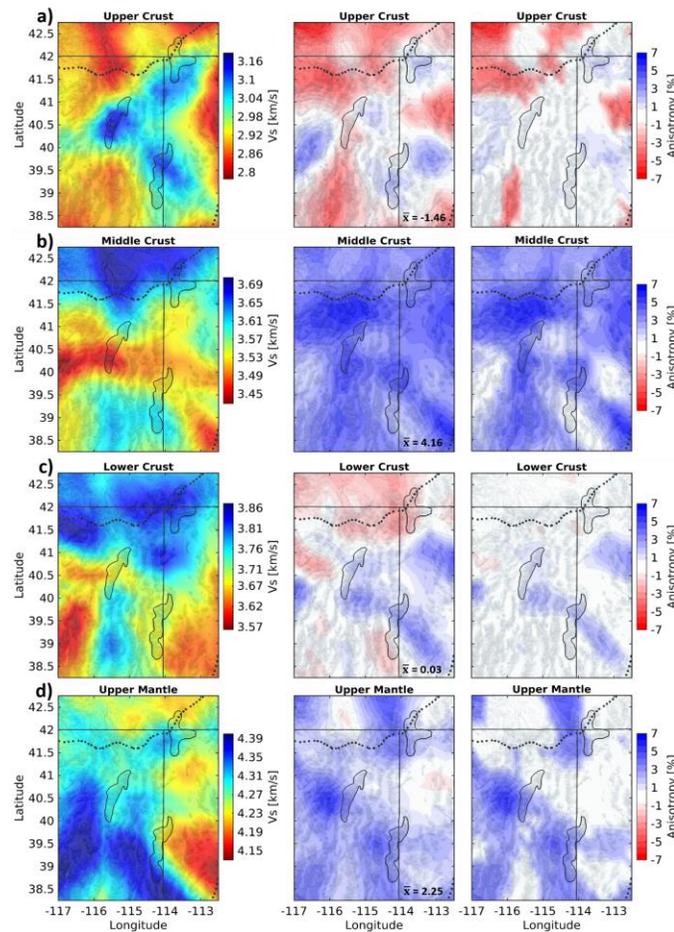
## 389 4.2 Variations in isotropic and anisotropic structure

390 Considering the broad depth sensitivity of surface waves we discuss the main results at 4  
391 depth ranges: upper crust, middle crust, lower crust, and upper mantle (Fig. 7). The upper crust  
392 is set to extend from 0 - 5 km, where the first b-spline depth range dominates and the shortest  
393 period phase velocities in the inversion (6 s) have concentrated sensitivity. The depth extents of  
394 the middle and lower crust are determined by evenly splitting the remaining crust thickness.  
395 Since the major patterns in isotropic  $V_S$  variations remained consistent through the different  
396 radial anisotropy parameterization cases (Supplementary Information S7), we focus on  
397 describing inversion results from case 4 in which radial anisotropy was allowed at all crustal and  
398 upper mantle depths. The plotted results represent the mean isotropic  $V_S$  and anisotropy of the  
399 posterior distribution from the BMCC inversions for the region. To help identify where  
400 anisotropy may not be necessary to provide a similarly good fit to the data we also provide plots  
401 that show only areas where the absolute value of radial anisotropy has a statistical significance  
402 greater than one standard deviation of the posterior distribution (Fig. 7, 8; Supplementary  
403 Information S7 and S8-S10). In the upper crust negative radial anisotropy is more commonly  
404 observed than positive radial anisotropy, and in many areas its significance exceeds one standard  
405 deviation of the posterior distribution. The prevalence of upper crustal negative radial anisotropy  
406 is consistent with some prior studies suggesting the presence of vertical to sub-vertical cracks at  
407 low confining pressures (e.g., Crampin, 1994; Xie et al., 2013; Xie et al., 2017; Shirzad and  
408 Shomali, 2014). The middle crust shows only positive radial anisotropy and its significance is  
409 characteristically greater than one standard deviation of the posterior. In contrast, the lower crust  
410 shows areas of negative anisotropy but the significance of these measurements is typically  
411 smaller than one standard deviation of the posterior (Fig. 7).

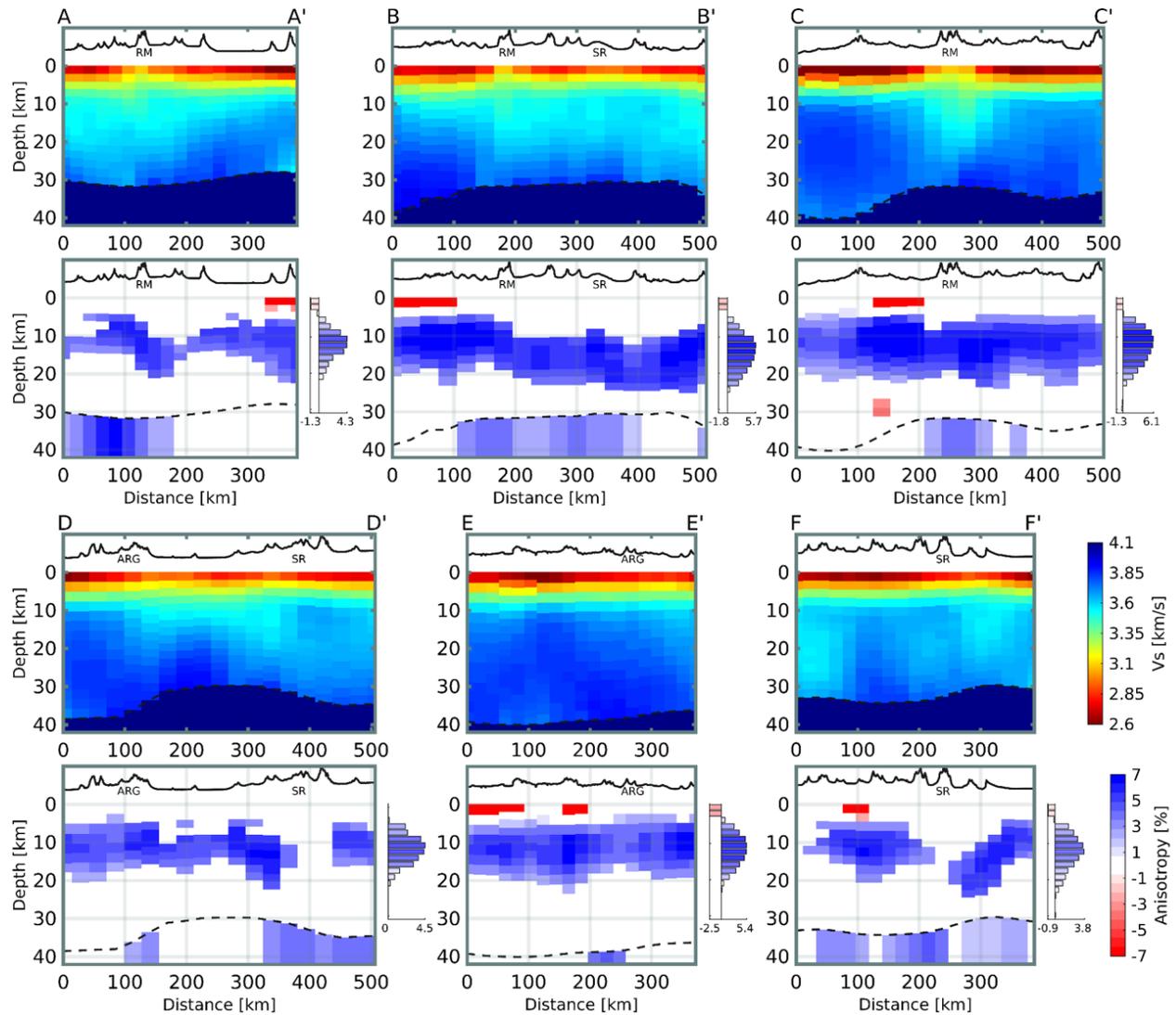
412 Distinctive  $V_S$  structure beneath the three MCC's is identified for isotropic  $V_S$  in the  
413 upper crust, but the MCC's do not appear distinctive in radial anisotropy or middle-to-lower  
414 crustal isotropic  $V_S$  (Fig. 7 & Fig. 8). At upper crustal depths the three MCC's exhibit isotropic  
415  $V_S$  that is ~5-7% higher than the regional mean (Fig. 7). In the middle crust the most prominent  
416 isotropic  $V_S$  features are relatively high  $V_S$  (+3-5%) beneath the Snake River Plain and relatively  
417 low  $V_S$  (-2 to -4%) in a ~west-east trending corridor that crosses the Ruby Mountains MCC but  
418 extends across the study area (Fig. 7). In a North-South cross-section the low  $V_S$  in the middle  
419 crust is co-located with the Ruby Mountains MCC (Fig. 8), but the map views show this is a  
420 larger feature almost orthogonal to the strike of the Ruby Mountains (Fig. 7). In the lower crust,  
421 the Snake River Plain is underlain by relatively high  $V_S$  (+4-6%) that extends southward across  
422 the physiographic boundary with the Basin and Range (Fig. 7). At upper mantle depths the  
423 highest  $V_S$  is found in the southwest portion of the study area toward the center of the Basin and  
424 Range, and the lowest  $V_S$  is found near the northwestern edge of the Colorado Plateau (Fig. 7).  
425 The patterns of isotropic  $V_S$  variations in the crust are consistent with prior tomography studies  
426 using TA data (e.g., Moschetti et al., 2010a,b; Schmandt et al., 2015; Shen and Ritzwoller,  
427 2016). Radial anisotropy cross-sections highlight the widespread positive radial anisotropy (+3-  
428 5%) that forms a channel at middle crustal depths (Fig. 8). In general, the magnitude and depth

429 of radial anisotropy do not abruptly change near the MCC's. However, there is one notable local  
 430 disruption of the middle crustal positive radial anisotropy channel near the Snake Range MCC  
 431 (Fig. 8d,f).

432 Perhaps the most important new result from this study is the evidence suggesting depth-  
 433 dependent radial anisotropy in the form of a regional middle-crustal channel of positive radial  
 434 anisotropy (~3-5%). From a reductionist perspective it is informative that the parameterization  
 435 tests show the Rayleigh-Love discrepancy can be adequately resolved by only introducing  
 436 positive radial anisotropy in the middle crust (b-splines 2 and/or 3). Additionally, a peak  
 437 magnitude of radial anisotropy of ~4% is sufficient if radial anisotropy is restricted to b-spline 2  
 438 or depths of ~5-15 km, whereas greater magnitudes of up to 10-15% are needed to explain the  
 439 Rayleigh-Love discrepancy if radial anisotropy is only allowed deeper or shallower (Fig. 6).



440 **Figure 7.** Depth averaged isotropic  $V_S$  and radial anisotropy maps for the upper crust, middle crust, lower crust, and  
 441 upper mantle. (a) Depth averaged isotropic  $V_S$  and radial anisotropy of the upper crust. Left panel shows isotropic  
 442 velocity. Middle panel shows radial anisotropy results. The depth averaged mean radial anisotropy of the map area ( $\bar{x}$ )  
 443 is given in the lower right corner. Right panel shows only results that have an absolute value of radial anisotropy  
 444 with a statistical significance greater than one standard deviation of the posterior distribution. The upper crust maps  
 445 average results between 0 and 5 km while the extent of depth averaging of the middle and lower crust is determined  
 446 by evenly splitting the remaining thickness between 5 km and the Moho at each inversion point. (b-d) Same as (a)  
 447 but for the middle and lower crust and upper mantle, respectively. All results shown in this figure are from inversion  
 448 case 4 and correspond to inversions assuming the regional crust thickness model of Schmandt et al., (2015).  
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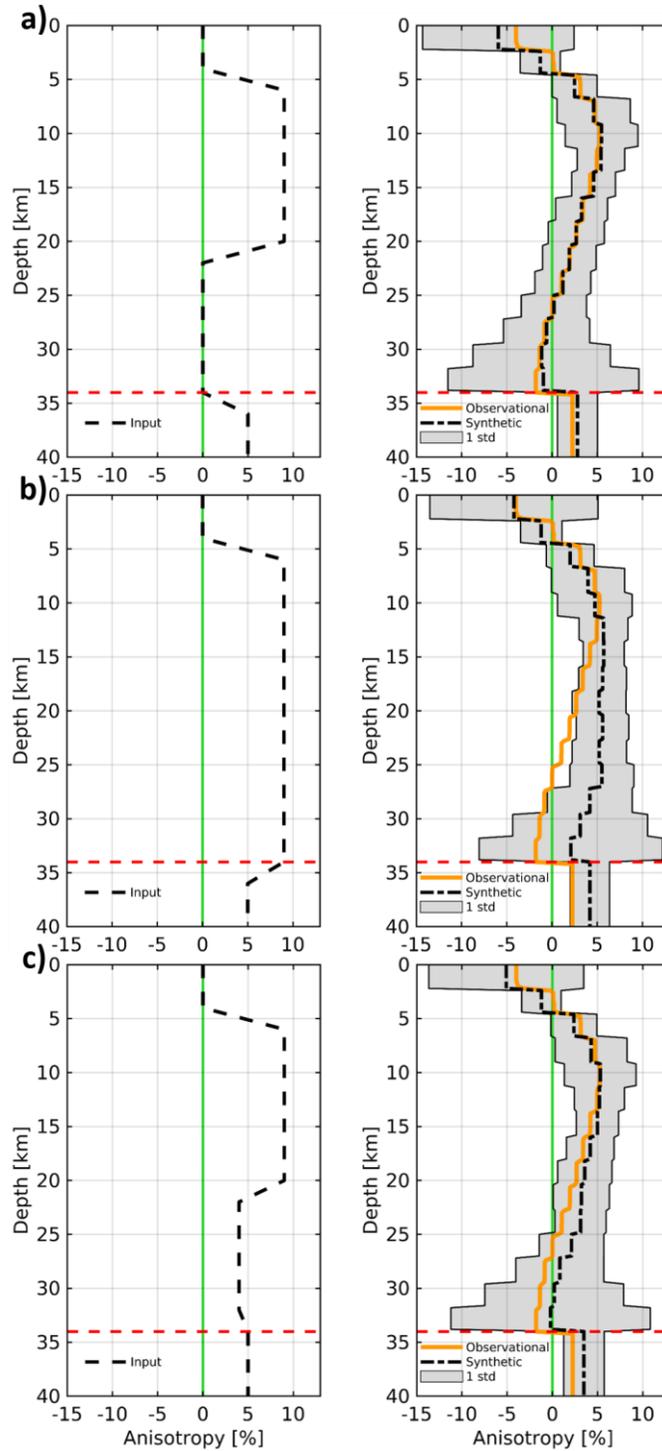


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 451 **Figure 8.** Cross sections (see figure 1) showing isotropic  $V_s$  and anisotropy results from inversion case 4 using the  
 452 crustal thickness (dashed line) model of Schmandt et al., (2015). Bar charts right of anisotropy cross sections show  
 453 average anisotropy profiles with depth for each cross section. Anisotropy minima and maxima are labeled on the x  
 454 axis of each profile and colors correspond to anisotropy color bar. The radial anisotropy cross-sections (lower  
 455 panels) in a-f show only results that have an absolute value of radial anisotropy with a statistical significance greater  
 456 than one standard deviation of the posterior distribution. Topography is exaggerated 3 times in the profiles at the top  
 457 of each panel.

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### 464 **4.3 Synthetic resolution tests**

465 Resolution tests using synthetic dispersion curves generated from known  $V_s$  models confirm that  
466 a middle crustal channel of radial anisotropy is resolvable and provide insight into the optimal  
467 depth range and magnitude of anisotropy for matching the observational results. The synthetic  $V_s$   
468 model posterior that best matches the regional mean structures includes 9% radial anisotropy  
469 from 6-22 km depth and 5% radial anisotropy in the upper mantle (Fig. 9a). A test with 9% radial  
470 anisotropy extending from 6 km to the Moho does not match the diminishing radial anisotropy  
471 with depth found in the inversion results based on observational data (Fig. 9b). A test with  
472 weaker lower crustal radial anisotropy of 4% is also consistent with the regional mean from the  
473 observational results (Fig. 9c). Therefore, although the magnitude of anisotropy in the lower  
474 crust is not as strong as it is in the middle crust, the dispersion data cannot discriminate whether  
475 lower crustal radial anisotropy is somewhat weaker than that of the middle crust or absent  
476 entirely.



477  
 478 **Figure 9.** Synthetic resolution tests. (a) Left panel shows resolution test input (dashed line) of 9% radial anisotropy  
 479 from 6-22 km and 5% in the upper mantle. Right panel shows resulting mean radial anisotropy model (dash-dotted  
 480 line) from the forward calculation and one sigma corridor (shaded gray region) of the modeled posterior distribution.  
 481 Dark green line shows observed mean model from inversion case 4. (b) Same as (a) but with 9% radial anisotropy  
 482 throughout the crust as input. (c) Same as (b) but with 4% radial anisotropy in the lower crust, 22 km to 34 km.  
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#### 484 **4.4 Uncertainties due to modeling assumptions**

485 Perhaps the most important source of uncertainty in the results lies in the validity of the radial  
486 anisotropy assumption. In this study, transverse isotropy (referred to as hexagonal symmetry in  
487 crystallography) with a vertical symmetry axis is assumed. This assumption is approximately  
488 valid for many deformed crustal rock samples (Erdman et al., 2013; Brownlee et al., 2017) and is  
489 common in studies seeking to explore seismic anisotropy via the Rayleigh-Love discrepancy. In  
490 some studies, this is also referred to as ‘apparent radial anisotropy’ (e.g., Xie et al., 2015; Xie et  
491 al., 2017, Feng et al., 2019). However, different forms of anisotropy and spatial variations in the  
492 tilt of the symmetry axis are likely to be present based on common crustal lithologies (Tatham et  
493 al., 2008; Ward et al., 2012; Erdman et al., 2013; Brownlee et al., 2017; Almqvist and Mainprice,  
494 2017). Allowing for more complex forms of anisotropy, such as an oriented hexagonal or  
495 orthorhombic tensor would come with the tradeoff of estimating a greater number of model  
496 parameters, and prior results find that our study area is relatively well-suited to the simpler  
497 assumption of transverse isotropy. Xie et al., (2015) inverted surface wave dispersion and  
498 ellipticity measurements allowing for hexagonal anisotropy with a spatially variable tilt axis, and  
499 found that dip angles of the symmetry axis are relatively small,  $\sim 15\text{-}25^\circ$ , in the northeastern  
500 Basin and range compared to the western U.S. average,  $\sim 25\text{-}30^\circ$ . This would cause our estimates  
501 of radial anisotropy to be slight underestimates compared to the oriented elastic tensor approach  
502 of Xie et al., (2015). The simpler approach adopted here allows for efficient testing of several  
503 parameterizations that provide new insights into the depth dependence of radial anisotropy.

504 Another source of modeling uncertainty is the assumption of an empirical  $V_P/V_S$  scaling  
505 (Brocher, 2005), which could bias the radial anisotropy results especially in cases of strongly  
506 anomalous  $V_P/V_S$  that might be associated with deep sedimentary basins or the alpha-beta quartz  
507 transition in thick continental crust (Gao and Lekić, 2018). In the absence of strong constraints  
508 on  $V_P$  across the study area we consider the empirical  $V_P/V_S$  scaling relationship a reasonable  
509 assumption. Future studies incorporating additional measurements such as Rayleigh wave  
510 ellipticity (e.g., Lin et al., 2014; Gao and Lekić, 2018) and P wave reflectivity from ambient  
511 noise or coda autocorrelation (e.g., Gorbatov et al., 2012; Tibuleac and von Seggern, 2012;  
512 Delph et al., 2019) offer opportunities to better mitigate tradeoffs between  $V_P/V_S$  and crustal  
513 radial anisotropy.

### 514 **5. Discussion**

#### 515 **5.1 Upper Mantle**

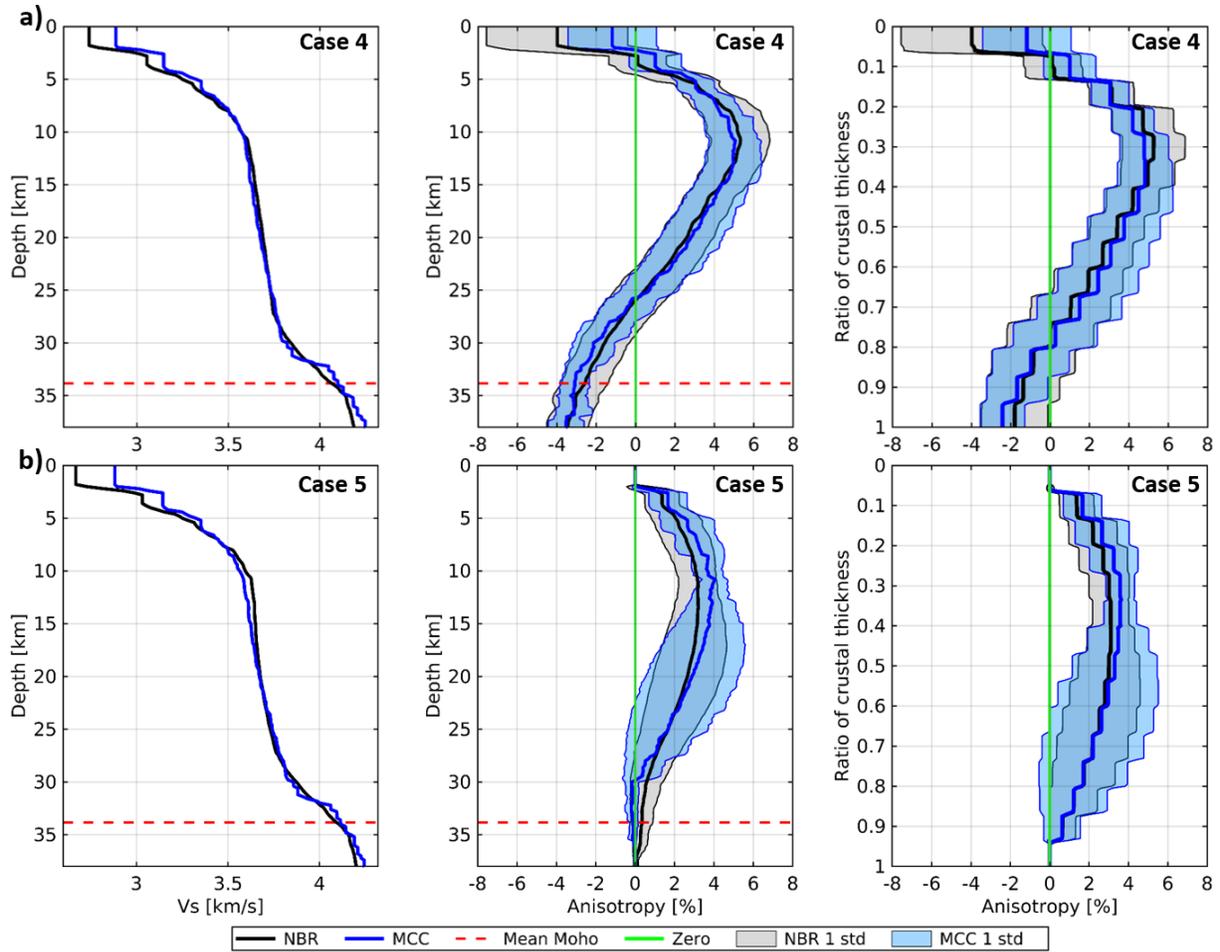
516 The surface wave period range used here (5-30s) is most sensitive to crustal structure, but due to  
517 tradeoffs between lower crust and upper mantle structure it is worth noting that the isotropic and  
518 anisotropic upper mantle results from this study are consistent with previous studies  
519 incorporating longer period measurements. Relatively high isotropic  $V_S$ ,  $\sim 4.3\text{-}4.4$  km/s, in the  
520 uppermost mantle of the southwest portion of the study region agrees with prior  $V_S$  tomography  
521 incorporating longer period surface waves and receiver functions (Shen and Ritzwoller, 2016)

522 and appears to be correlated with positive radial anisotropy in the same region (Fig. 7). The  
523 results presented here also confirm that positive radial anisotropy of ~2-5% is widespread in the  
524 uppermost mantle beneath the Basin and Range as found by recent long period waveform  
525 tomography (Yuan et al., 2014; Zhu et al., 2017; Clouzet et al., 2018).

## 526 **5.2 Links between MCC's and $V_S$ structure**

527 The anomalous degree of exhumation and extension evident at the surface in MCC's motivates  
528 inquiry into how MCC formation is manifested in sub-surface  $V_S$  structure. The three MCC's in  
529 the study area are closely correlated with relatively high  $V_S$ , + 4-7%, in the upper crust (Fig. 7).  
530 Continental crustal  $V_S$  generally increases with depth (Christiansen and Mooney, 1995; Laske et  
531 al., 2013; Tesauro et al., 2014; Shen et al., 2016) and in these locations crustal rocks have been  
532 exhumed from the middle-to-lower crust to the surface. We therefore interpret these relatively  
533 high  $V_S$  regions to be a simple consequence of the locally anomalous exhumation (Fig. 7a).  
534 Comparison of the average  $V_S$  structure beneath the three MCC's with the average across the  
535 study area further shows the distinctly higher  $V_S$  in the upper crust (Fig. 10). In contrast, middle-  
536 to-lower crustal  $V_S$  and radial anisotropy depth profiles averaged beneath the three MCC's are  
537 strikingly similar to those averaged across the study area (Fig. 10). This similarity suggests that  
538 either MCC formation had little effect on deep crustal structure ( $V_S$  and anisotropy) or that the  
539 effect of MCC formation on deep crustal structure has been overprinted.

540 Models of MCC formation, particularly for rapidly exhumed MCC's, predict locally sub-  
541 vertical flow lines associated with anomalous levels of exhumation and partial melting of the  
542 middle crust (Rey et al., 2009a,b). In the majority of the region surrounding MCC's sub-  
543 horizontal strain in the ductile crust is expected to dominate and supply the crustal mass  
544 necessary to balance rapid exhumation (Tirel et al., 2008; Wu et al., 2015, 2016). Sub-vertical  
545 strain organization in a transverse isotropy (or hexagonal symmetry) paradigm would likely  
546 produce a negative radial anisotropy signal locally beneath the MCC's, or at least diminish the  
547 regionally prevalent positive radial anisotropy due to spatial averaging of complex structural  
548 transitions (e.g., Okaya et al., 2018). However, we generally do not find distinctly weaker or  
549 negative radial anisotropy beneath the three MCC's. Instead, they generally exhibit positive  
550 radial anisotropy in the middle crust and weaker radial anisotropy in the lower crust, similar to  
551 the surrounding region. The 70-km spacing of the TA may limit detection of local  $V_S$  variations  
552 in the middle-to-lower crust beneath the Snake Range and ARG, but the dense ~5-10 km spacing  
553 of the RMSE array is capable of resolving distinctive local  $V_S$  structure if it exists beneath the  
554 Ruby Mountains. Additionally, we note that the available seismic sampling is sufficient to detect  
555 locally higher upper crustal isotropic  $V_S$  associated with all three MCC's. To explain the absence  
556 of distinctive structure ( $V_S$  and anisotropy) in the middle-to-lower crust, we suggest that ductile  
557 deformation promoted by a hot geotherm during and after middle Miocene regional scale  
558 extension of the Basin and Range effectively homogenized deep crustal  $V_S$  structure near the  
559 MCC's.



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**Figure 10.** Comparison of  $V_S$  structure beneath MCC's and the surrounding region. (a) Left panel shows mean isotropic  $V_S$  profiles of the regional northern Basin and Range (black lines) and subset MCC's (blue lines). Notice high  $V_S$  in the upper crust of the MCC profile relative to the northern Basin and Range. Center panel shows mean crustal radial anisotropy depth profiles of the regional northern Basin and Range (black lines) and subset MCC's (blue lines) from inversion cases 4. Shaded gray and blue regions are 1 sigma corridors of the northern Basin and Range and subset MCC's, respectively. Notice similarity in magnitude and distribution between the northern Basin and Range and MCC profiles. There are relatively few profiles that extend to depths greater than 35 km and therefore the number of measurements included in the mean profile decreases with increasing depth. In the absence of depth averaging the  $\sim 5\%$  peak magnitude of anisotropy observed here surpasses the depth averaged middle crust mean radial anisotropy of the map area,  $\bar{x} = 4.16\%$ , as reported in Fig 7b. Right panel is same as center panel but normalized to crustal thickness. Notice largely isotropic behavior of lower crust relative to the middle crust. (b) Same as (a) but for inversion case 5. Anisotropy peaks in the middle crust in inversion cases 4 and 5 demonstrating similarity in the depth distribution of anisotropy.

### 580 **5.3 Concentration of anisotropy in a middle crustal channel**

581 Prior studies established the presence of positive radial anisotropy in the Basin and Range crust  
582 (Moschetti et al., 2010a; Xie et al., 2015). One of the main goals of this study is to evaluate  
583 potential depth dependence of radial anisotropy to provide insight regarding the deformation  
584 regimes and compositions that are most likely to contribute to the development of large-scale  
585 crustal radial anisotropy. The results from several different inversion parameterization tests  
586 provide evidence that the Rayleigh-Love discrepancy in the northeastern Basin and Range is  
587 most simply addressed by a channel of positive radial anisotropy in the middle crust from ~6-22  
588 km depth (Fig. 9 & 10). By simplicity we mean that radial anisotropy is only required in a subset  
589 of the crust and that a relatively small magnitude of anisotropy is sufficient to simultaneously fit  
590 the Rayleigh and Love wave dispersion data (Fig. 4 & 5).

591 Below we consider potential reasons why radial anisotropy may be focused at middle  
592 crustal depths by discussing the potential roles of depth-dependent crustal composition and  
593 rheology. Mineral composition is a key consideration because it controls the potential magnitude  
594 of CPO development and predicts how a particular strain orientation would manifest itself in  
595 measurements of seismic radial anisotropy (e.g., Ward et al., 2012; Erdman et al., 2013). A  
596 conventional perspective is that the middle crust has an intermediate bulk composition largely  
597 containing amphibolite facies rocks and the lower crust has a mafic-to-intermediate bulk  
598 composition largely containing granulite facies rocks (Rudnick and Fountain, 1995). However,  
599 the prevalence of relatively mafic lower continental crust remains a subject of debate (Hacker et  
600 al., 2015). Rheology is expected to vary with depth from an elastic upper crust that hosts  
601 frictional fault-controlled deformation to a time-dependent ductile middle-to-lower crust that  
602 hosts flow within shear zones or distributed throughout larger volumes (e.g., Kohlstedt et al.,  
603 1995; Bürgmann and Dresen, 2008; Thatcher and Pollitz, 2008). Composition and rheology are  
604 used here as a framework for discussion but they are not independent. They are strongly linked  
605 by depth-dependent temperature and pressure conditions that change the relevant constitutive  
606 relationships and determine the stability of specific minerals.

607 From a compositional perspective, studies of seismic anisotropy in the continental crust  
608 often highlight the potential importance of CPO in mica-rich foliated metamorphic rocks because  
609 they are abundant and single crystal mica is one of the most anisotropic crustal minerals (Weiss  
610 et al., 1999; Lloyd et al., 2009). Hexagonal symmetry (or transverse isotropy) is a valid  
611 assumption for single crystal mica and it remains an effective approximation for many bulk rock  
612 samples with abundant mica (e.g., Nishizawa and Yoshino, 2001; Lloyd et al., 2009; Bostock  
613 and Christensen, 2012; Erdman et al., 2013; Brownlee et al., 2017). Amphibole is another  
614 common crustal mineral with potential to contribute to spatially-averaged crustal seismic  
615 anisotropy (Tatham et al., 2008; Brownlee et al., 2017). However, single crystal amphiboles are  
616 much less anisotropic than micas, and amphibole-rich rocks commonly exhibit a component of  
617 orthorhombic symmetry (Brownlee et al., 2017) which would not be accurately represented with  
618 radial anisotropy. Quartz, in aggregate, is not likely to develop strong CPO in high strain  
619 environments (Rahl and Skemer, 2016) but it can destructively interfere with bulk anisotropy in

620 lithologies with mica or amphibole (Ward et al., 2012). Mica-bearing metamorphic rocks are  
621 generally abundant in the middle crust and rock samples exhumed from the Ruby Mountains  
622 MCC exhibit ~4-19%  $V_S$  anisotropy that is positively correlated with mica content (Erdman et  
623 al., 2013).

624 We suggest that mica-bearing metamorphic rocks with a sub-horizontal foliation (sub-  
625 vertical slow-axis symmetry) are a viable explanation for the observed middle crustal positive  
626 radial anisotropy signal. Geodynamic models of regional-scale extension including core complex  
627 development (Wu et al., 2015, 2016; Tirel et al., 2008) and seismic reflection imaging support  
628 the prevalence of sub-horizontal fabrics in the middle crust due to low-angle detachment faults  
629 and shear zones (Klemperer et al., 1986; McCarthy, 1986; Hauser et al., 1987; Holbrook et al.,  
630 1991; Valasek et al., 1989; Stoerzel and Smithson, 1998). Weaker radial anisotropy in the lower  
631 crust is consistent with the interpretation that mica-bearing metamorphic rocks are a major  
632 contributor to the middle crustal channel of positive radial anisotropy. This is because higher  
633 temperatures (>600-700° C) approaching the Moho would lead to diminished abundance of  
634 hydrous phases like micas in granulite facies lower crust (e.g., Mahan, 2006).

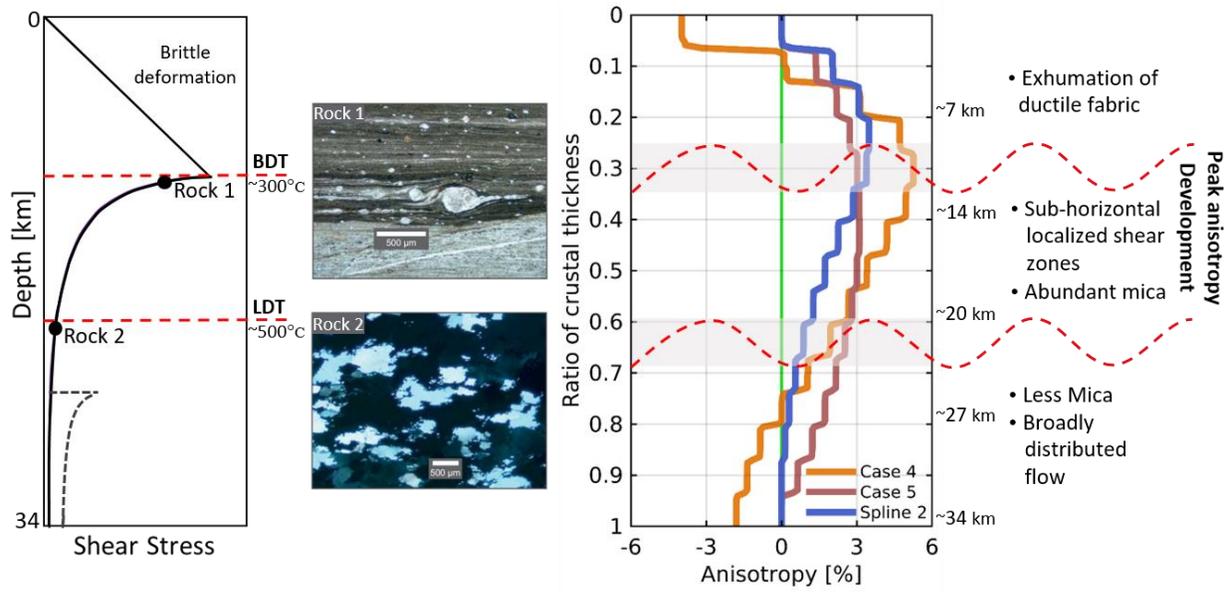
635 Rheological variations with depth may also contribute to the depth-dependent radial  
636 anisotropy in the study area. At geological time scales ductile flow is expected in the middle and  
637 lower crust of the Miocene-to-present Basin and Range (e.g., Thatcher and Pollitz, 2008; Tirel et  
638 al., 2008). However, decreasing shear stress and effective viscosity with depth, and increasing  
639 temperature with depth, could alter the potential for generation of large-scale seismic anisotropy.  
640 Onset of dislocation creep at lower stress conditions in the hotter lower crust favors larger  
641 dynamically recrystallized grain sizes and more distributed deformation, whereas onset of creep  
642 at higher stress conditions in the middle crust favors grain size reduction that leads to weakening  
643 and strain localization (Stipp and Tullis, 2003; Behr and Platt, 2011; Cooper et al., 2017). To  
644 first order, textures, fabrics and compositions of middle and lower crustal rocks obtained from  
645 Basin and Range MCC's reflect this transition (Fig. 11; Cooper et al., 2017). Cooper et al.,  
646 (2017) identified two major rheological boundaries in Basin and Range MCC's, the brittle-  
647 ductile transition (BDT) and a deeper temperature-dependent boundary referred to as the  
648 localized-distributed transition (LDT). In this context, we suggest that positive radial anisotropy  
649 may be more effectively generated in localized shear zones closer to the top of the ductile  
650 deformation region in the middle crust. A regional median heat flux of  $79 \text{ mWm}^{-2}$  (Hasterok and  
651 Chapman, 2007) and thermal conductivity between  $2.2\text{-}3.3 \text{ Wm}^{-1}\text{K}^{-1}$  (Whittington et al., 2009)  
652 corresponds to a geothermal gradient range of  $\sim 25\text{-}35^\circ\text{C/km}$ . Taking the  $\sim 300^\circ\text{C}$  isotherm as a  
653 proxy (e.g., Cooper et al., 2017) we estimate a modern BDT depth range of  $\sim 9\text{-}12 \text{ km}$  (Fig. 11).  
654 Results indicating that the mid crustal channel of anisotropy extends above the estimated BDT,  
655  $\sim 6 \text{ km}$  depth, suggests preservation of anisotropy in rocks that were deformed below the BDT  
656 and have subsequently been exhumed. Decaying strength of anisotropy in the lower crust may  
657 reflect the gradual LDT below which deformation is distributed across larger volumes and  
658 recrystallization is more rapid. The  $\sim 500^\circ \text{C}$  temperature of the inferred LDT in the Basin and  
659 Range is somewhat cooler than the petrologic transition to relatively mica-poor granulite facies,

660 ~600-700° C. The similar depths of such boundaries would not likely be resolvable with  
661 dispersion data alone. Therefore, it is not feasible, based on depth alone, to determine if the  
662 rheological or compositional transition has a more important influence on radial anisotropy.

663 The history of magmatism in the Basin and Range is another important factor in  
664 evaluating the potential compositional and rheological origins of depth-dependent radial  
665 anisotropy. Substantial influx of mafic melt into the lower crust is expected during the  
666 voluminous Eocene-Miocene ignimbrite flare-up (e.g., Gans, 1987; Best and Christiansen, 1991).  
667 This event likely had long lasting consequences on crustal composition and rheology. Following  
668 flat-slab subduction during the Laramide orogeny the regional lithosphere was likely cooler and  
669 contained more abundant hydrous minerals (Humphreys et al., 2003), but subsequent heating and  
670 flux of melt through the lithosphere would have dehydrated the lower crust and promoted a more  
671 mafic bulk composition (Gans, 1987). A dry lower crust in the contemporary Basin and Range is  
672 consistent with a scenario in which decreasing mica content in the lower crust leads to  
673 decreasing radial anisotropy.

674 Mafic intrusions would have competing effects on lower crustal rheology through  
675 thermal weakening that decays with time superimposed on long-term addition of primitive basalt  
676 or cumulate compositions that are more viscous than typical intermediate composition crust (e.g.,  
677 Schutt et al., 2018). Seismic reflectivity of the Basin and Range crust peaks in the middle crust  
678 but weaker sub-horizontal reflectors are still common in the lower crust and are frequently  
679 attributed to mafic intrusions (Holbrook et al., 1991; Klemperer et al., 1986; McCarthy, 1986). A  
680 more mafic lower crust following Miocene opening of the Basin and Range would complicate  
681 the possibility of a regionally extensive LDT. Expanding on this idea, the deeply exhumed rocks  
682 that Cooper et al., (2017) used to define the LDT may preferentially represent zones of weakness  
683 during MCC formation rather than modern regionally-averaged rheology. Sill-like intrusions are  
684 interpreted to contribute to strong positive radial anisotropy in active magmatic systems as a  
685 result of shape-preferred orientation (SPO) due to large  $V_S$  contrasts between partially molten  
686 and sub-solidus crustal rocks (Jaxybulatov et al., 2014; Harmon and Rychert, 2015; Jiang et al.,  
687 2018; Lynner et al., 2018). However, crystallized basaltic sills embedded in an intermediate to  
688 mafic lower crust may not have large enough velocity contrasts for SPO to cause detectable  
689 radial anisotropy (Schmandt et al., 2019). For example, strong positive radial anisotropy, ~12%,  
690 is found beneath Yellowstone caldera but older calderas beneath the Snake River Plain are  
691 underlain by relatively isotropic crust (Jiang et al., 2018).

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 699 **Figure 11.** Synthesis of results. Left panel shows typical crustal strength profile and approximate depth ranges at  
 700 which the brittle to ductile transition (BDT) and localized distributed transition (LDT) occur (dashed red line) in the  
 701 Basin and Range. Possible mafic addition to the lower crust is represented with a step in the lower crust (black  
 702 dashed line). Approximate temperatures of the BDT and LDT are labeled and are adopted from Cooper et al.,  
 703 (2017). Center shows microphotographs (originally from Platt et al., (2015) but also used in Cooper et al., (2017)) of  
 704 representative middle (Rock 1) and lower (Rock 2) crustal rocks exhumed from the RMCC. Depth and stress  
 705 environments from which the rocks were exhumed are labeled on crustal strength profile. As temperature increases  
 706 and viscosity decreases with depth mica is lost, grains grow larger and distributed deformation diminishes  
 707 anisotropy producing layering fabrics. Right panel shows study are mean anisotropy distribution with depth  
 708 normalized to crustal thickness for inversion cases 4 and 5, and the inversion that allows only b-spline 2 to be  
 709 anisotropic. Approximate depth ranges are labeled every 0.2 ratio of crustal thickness. Approximate depth ranges of  
 710 peak anisotropy development, preservation after exhumation, and loss with increasing depth as discussed in the text  
 711 are labeled to the right of center panel.  
 712

## 713 6. Conclusion

714 Rayleigh and Love wave dispersion measurements were inverted for radially anisotropic  $V_S$   
 715 structure of the crust and uppermost mantle beneath an area of the northeastern Basin and Range  
 716 including three MCC's. Tests of several parameterizations provided new evidence that positive  
 717 radial anisotropy is strongest at depths of ~8-20 km across the region. The three MCC's have  
 718 distinctive high isotropic  $V_S$  in the upper crust, but they do not interrupt the regional channel of  
 719 radial anisotropy focused in the middle crust. Sub-horizontal foliation (sub-vertical slow axis  
 720 symmetry) of mica-bearing lithologies in ductile shear zones and detachments is a viable origin  
 721 for the positive radial anisotropy focused in the middle crust. The decay of radial anisotropy with  
 722 depth in the lower crust could result from decreased mica abundance as high temperatures and  
 723 influx of mantle melts since the Oligocene favor a dry and increasingly mafic mean composition.  
 724 Rheological transition to more broadly distributed viscous deformation at lower crustal high  
 725 temperatures may also contribute to diminishing anisotropy with depth. The absence of  
 726 distinctive radial anisotropy beneath the three MCC's suggests that anisotropy generated during  
 727 peak metamorphism, which generally occurred in the Oligocene, was subsequently overprinted

728 by regionally pervasive extensional deformation of the ductile crust during and after the middle  
729 Miocene. The results motivate further investigation of the depth dependence of crustal  
730 anisotropy in other areas of continental deformation to gain a global perspective on the relative  
731 importance among potential compositional and rheological contributions to crustal anisotropy.  
732

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743

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**A Middle Crustal Channel of Radial Anisotropy Beneath the Northeastern Basin and Range**

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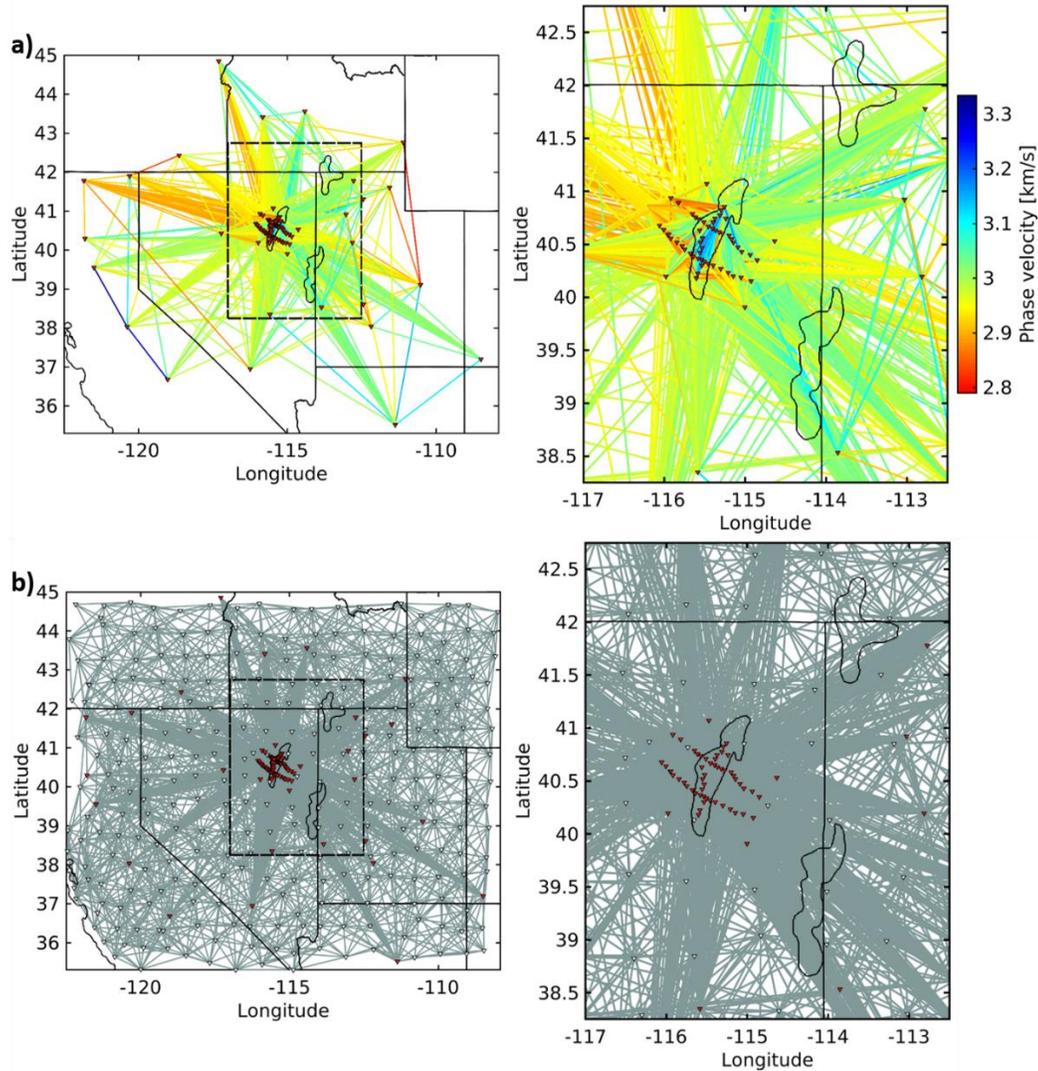
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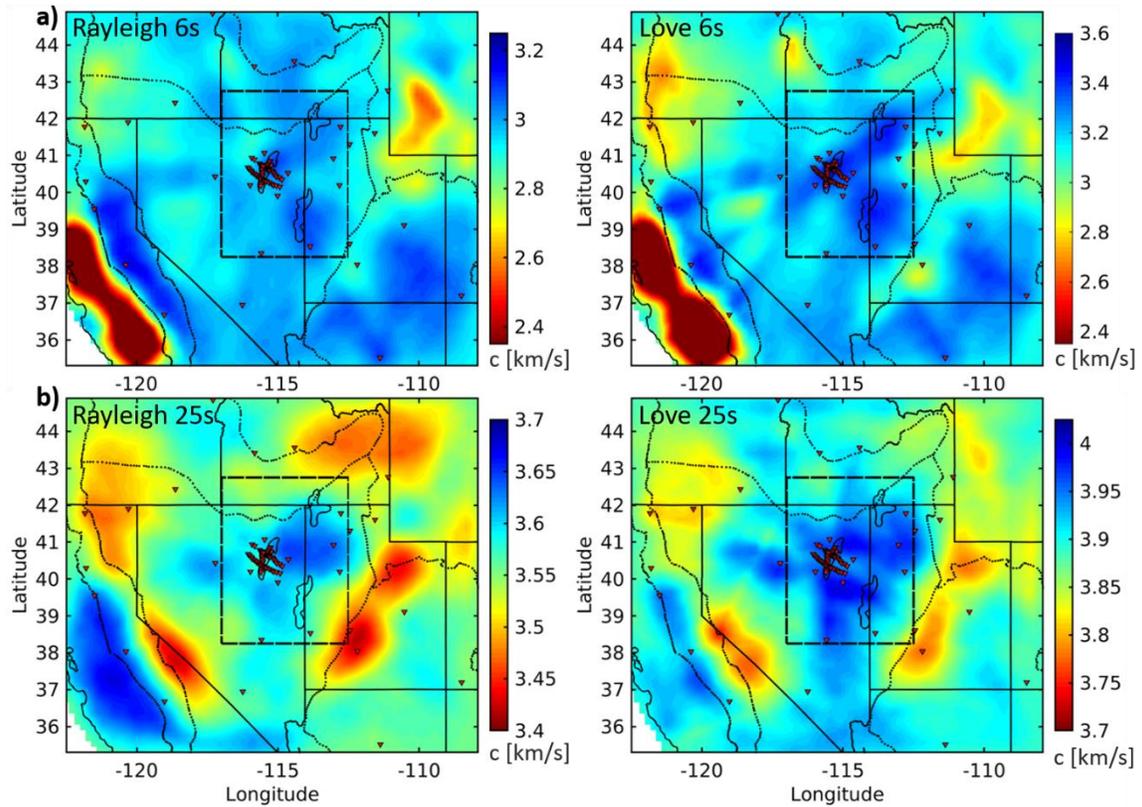
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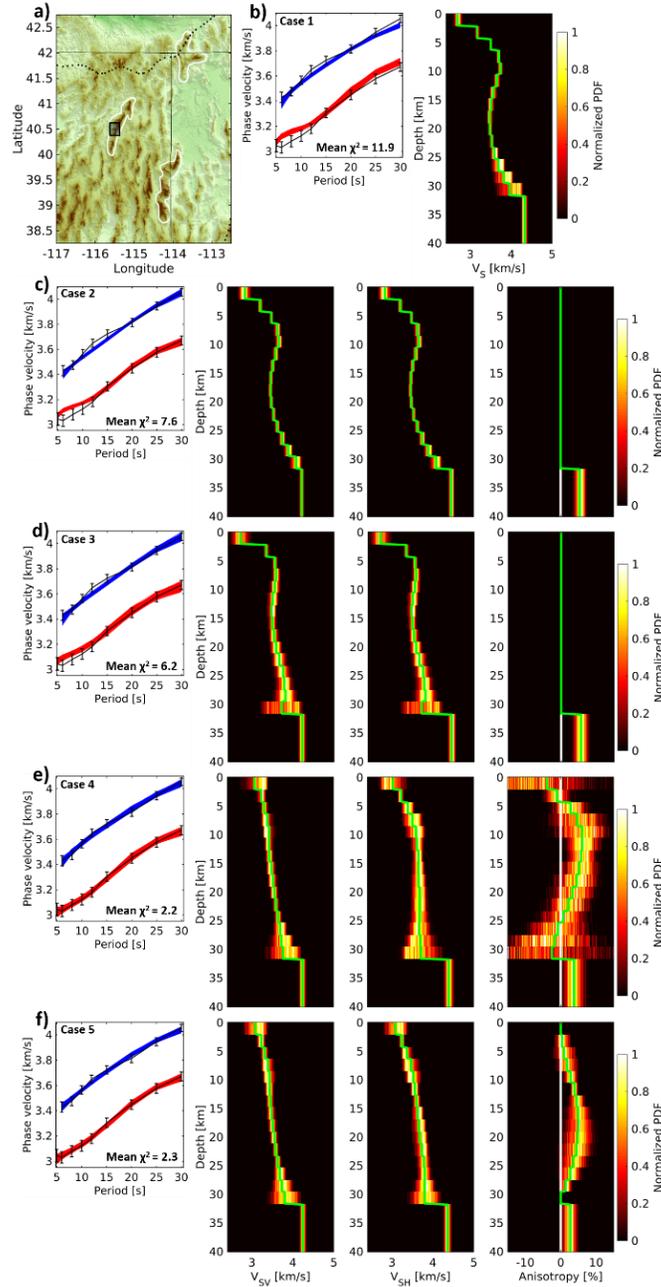
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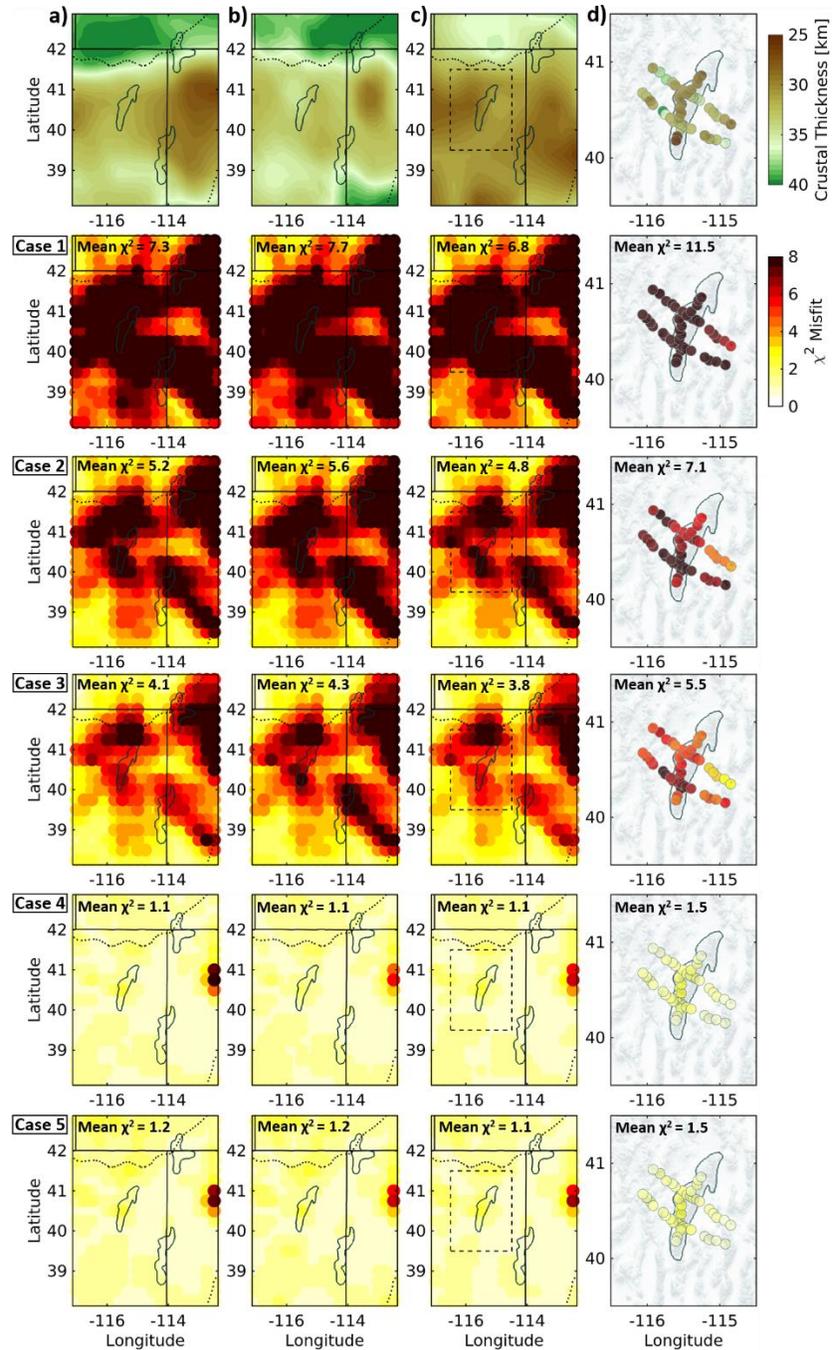
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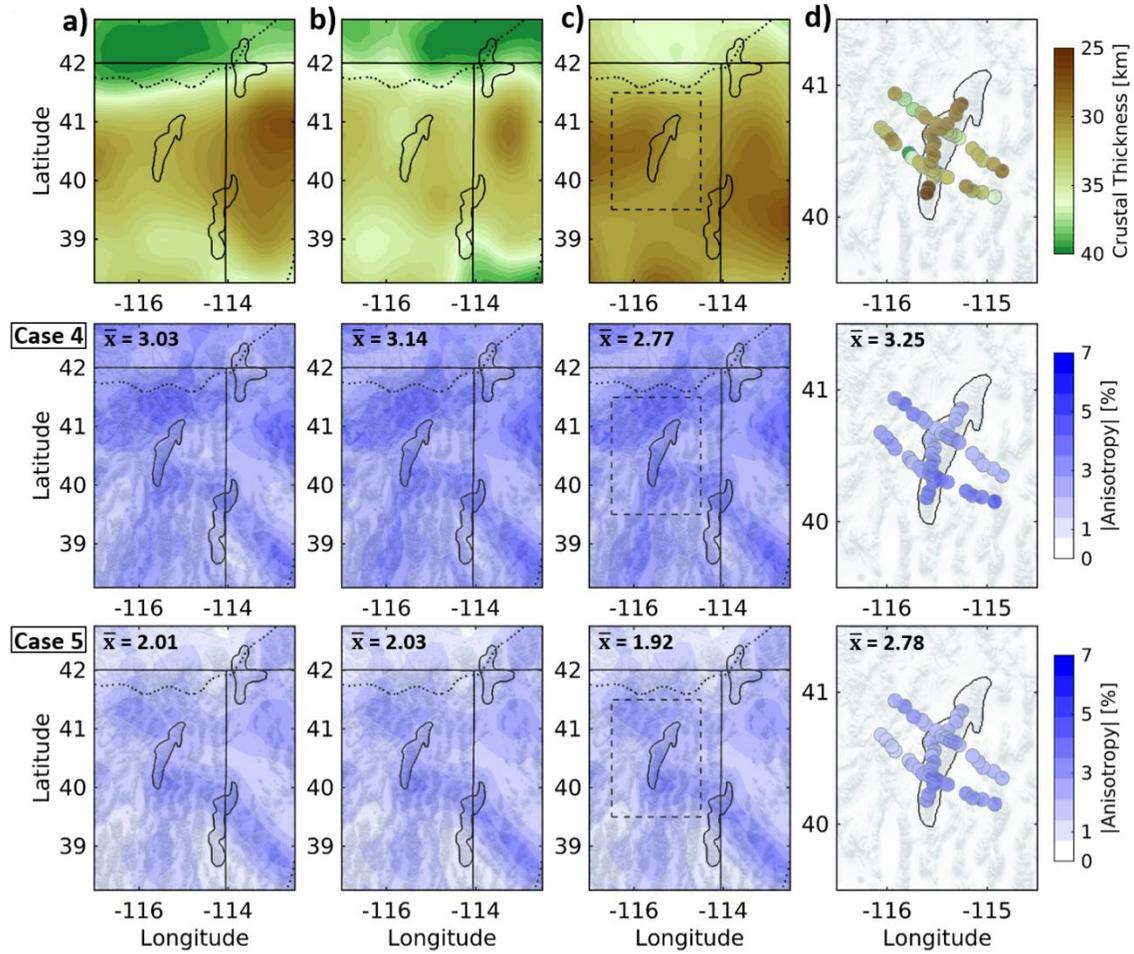
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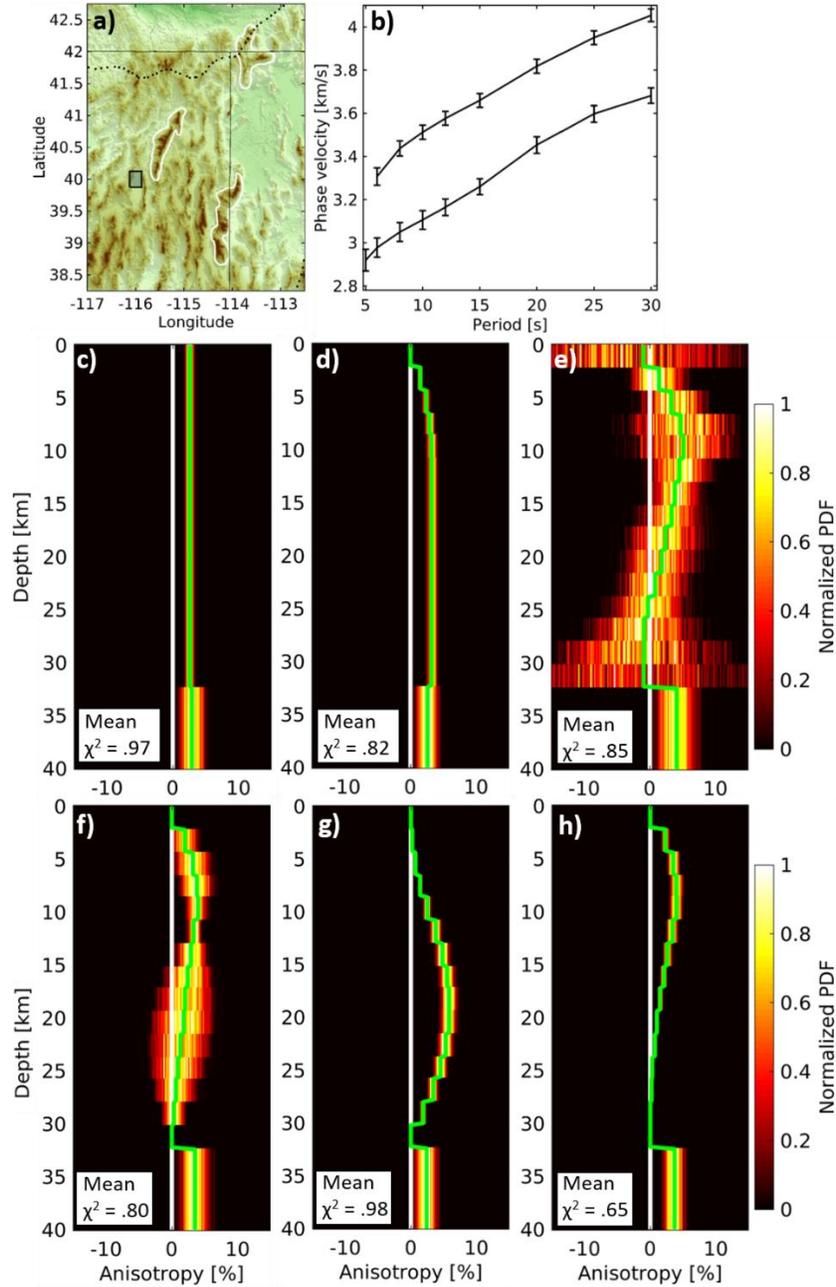
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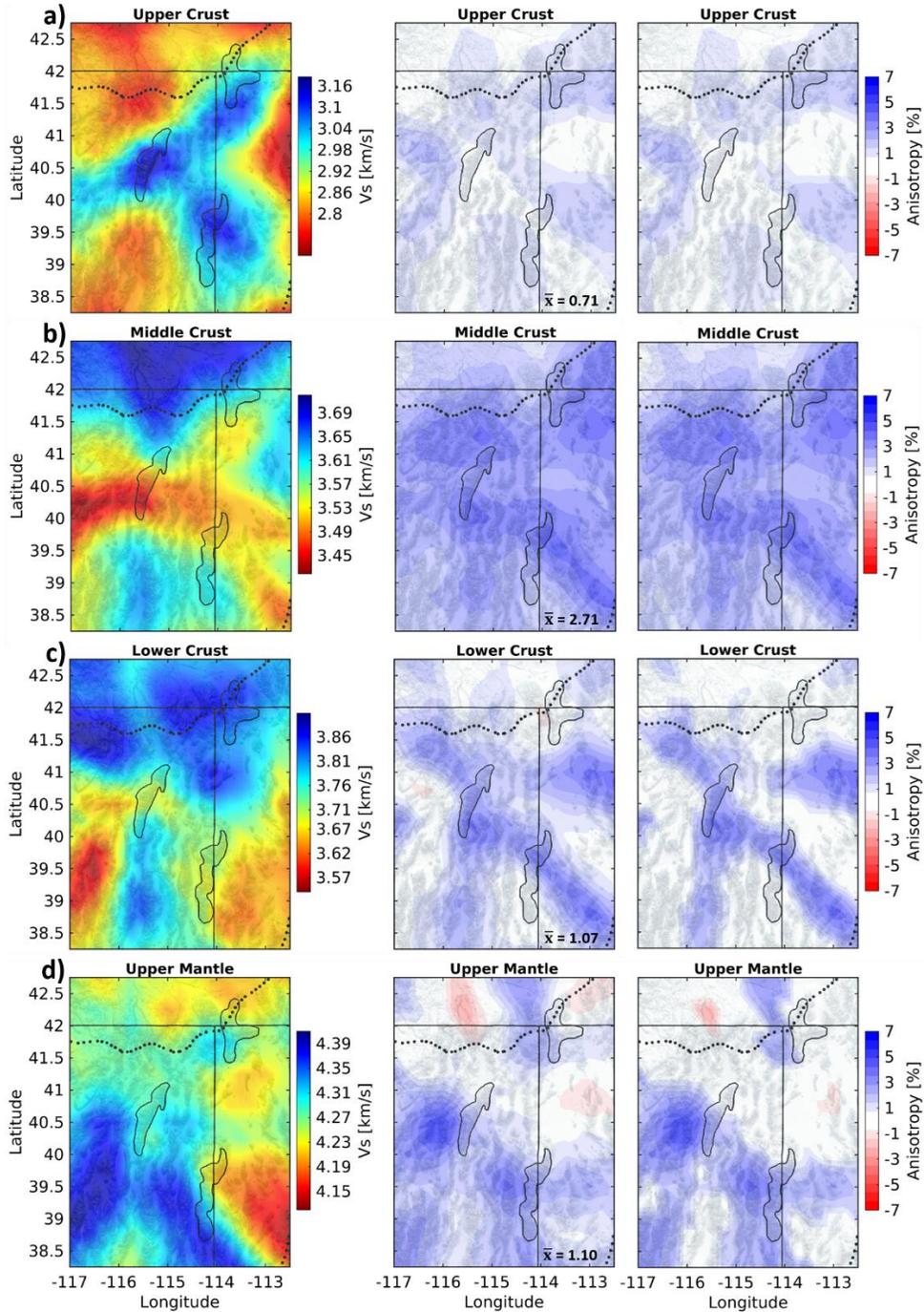
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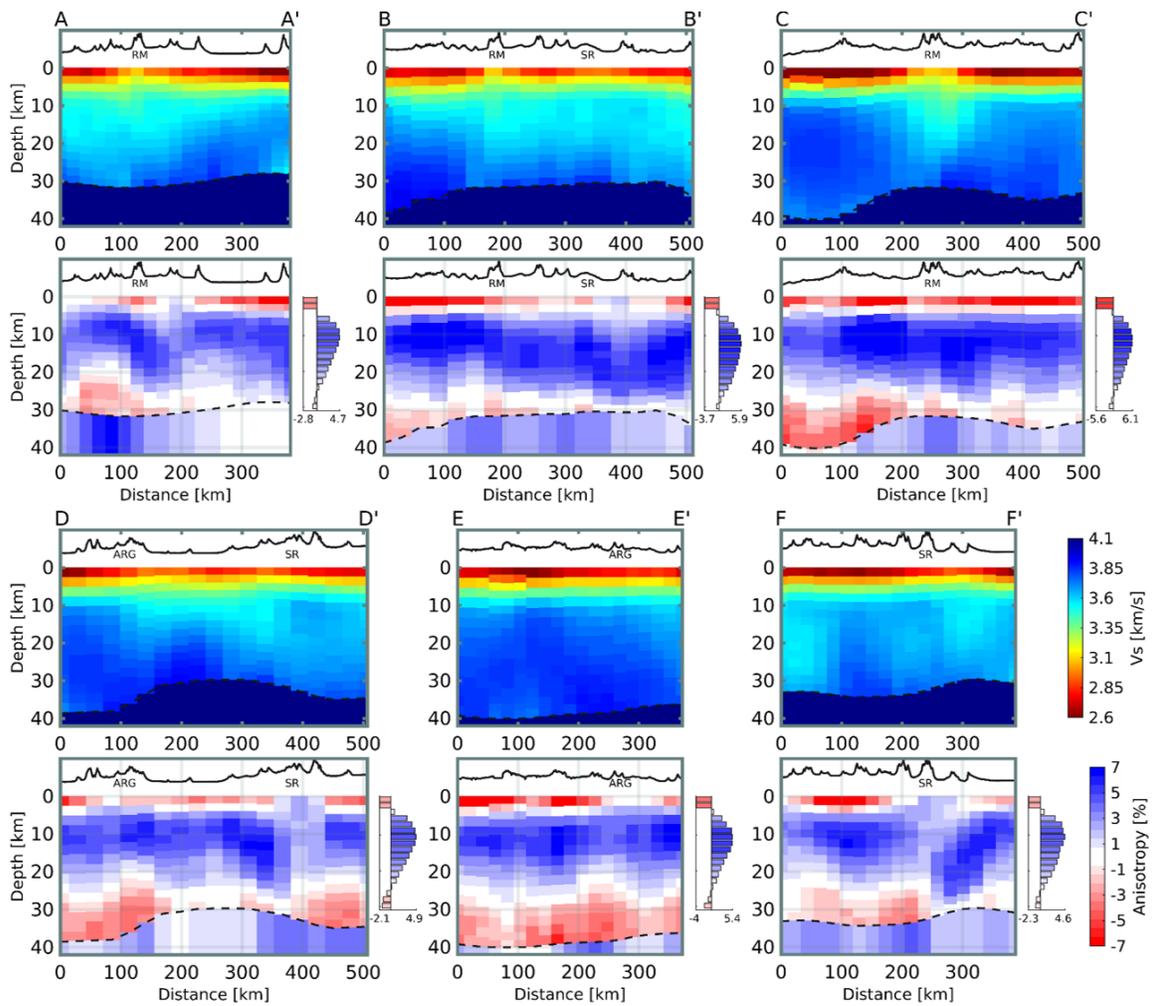
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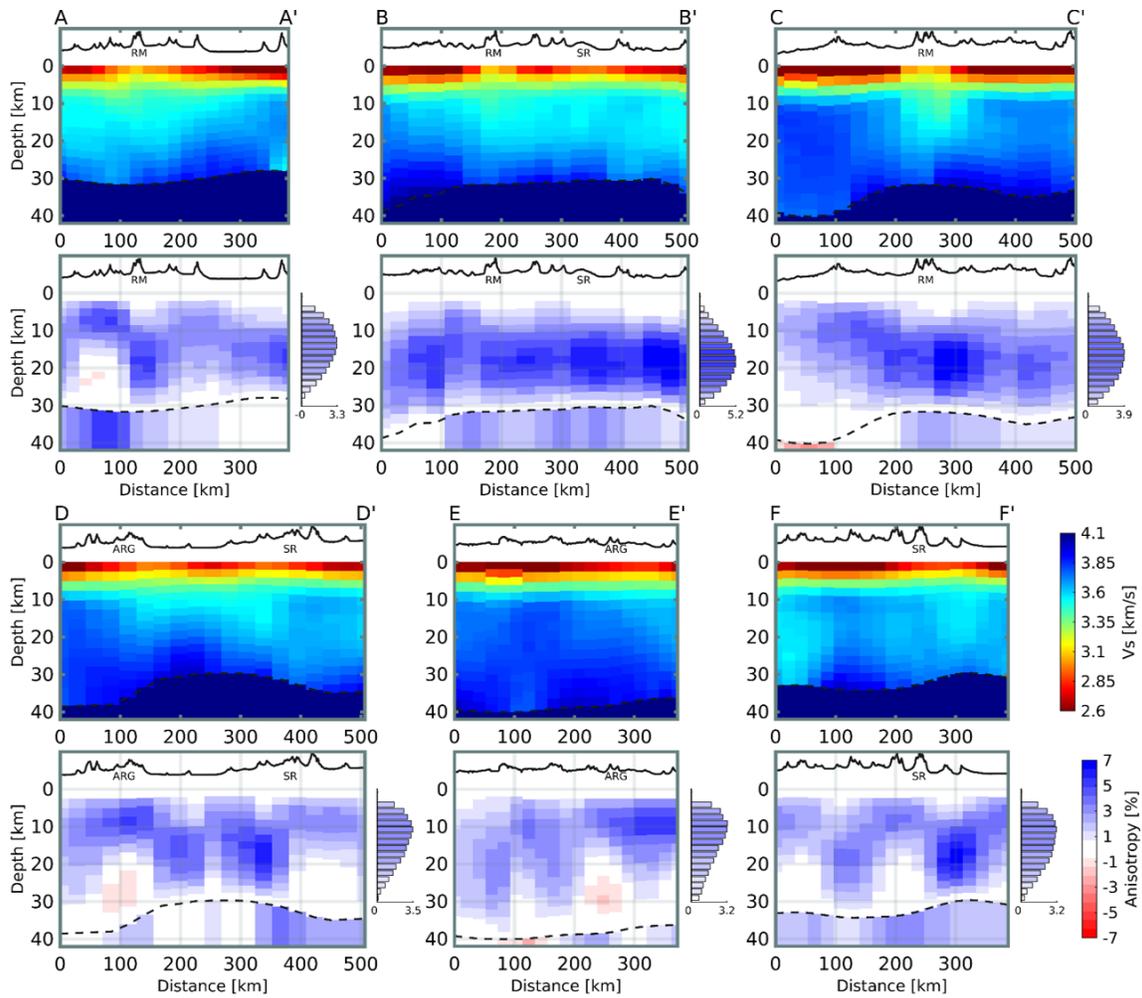
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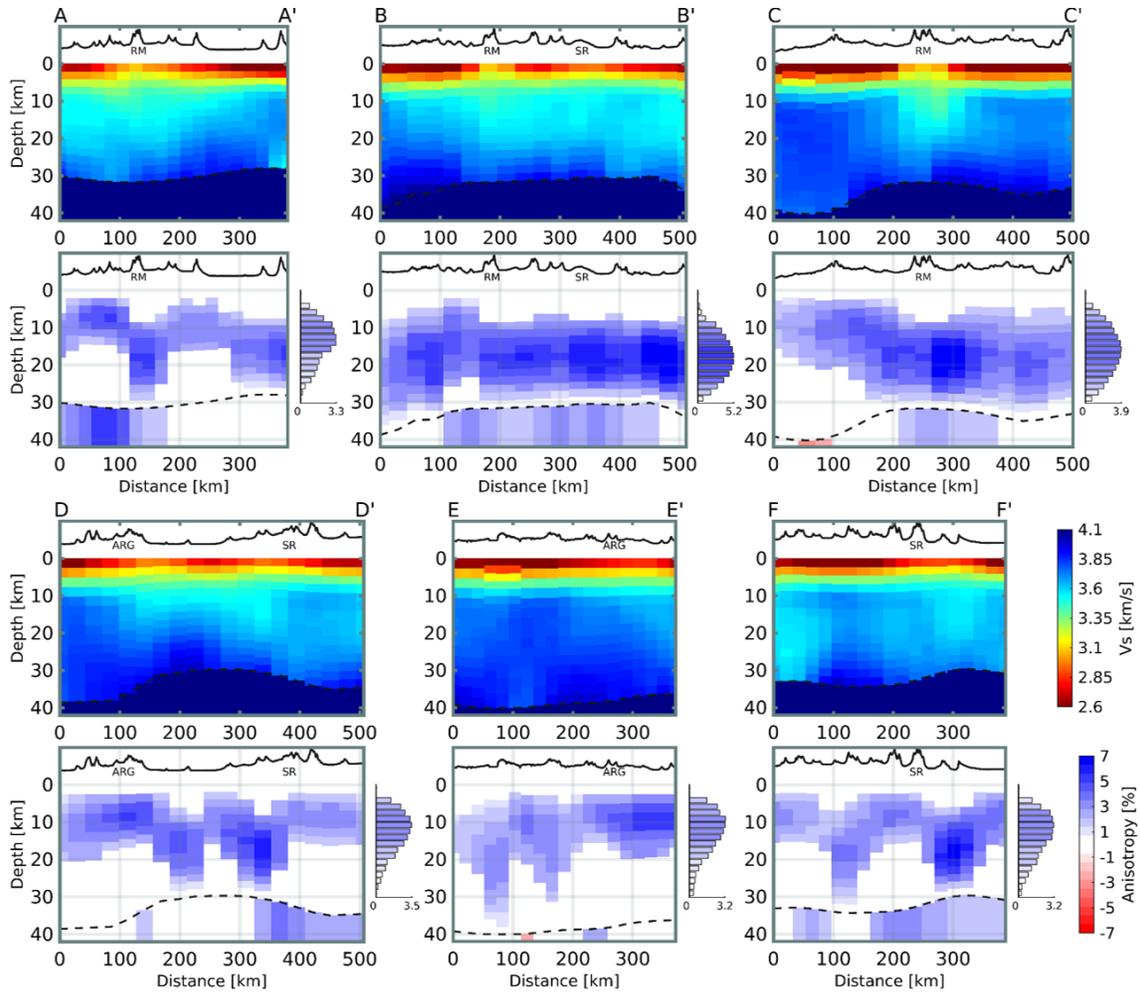
**Figure S7.** Same as Fig. 7 but for inversion case 5. Depth averaged isotropic  $V_s$  and radial anisotropy maps for the upper crust, middle crust, lower crust, and upper mantle. (a) Depth averaged isotropic  $V_s$  and radial anisotropy of the upper crust. Left panel shows isotropic velocity. Middle panel shows radial anisotropy results. The mean radial anisotropy of the map area ( $\bar{x}$ ) is given in the lower right corner. Right panel shows only reliable results that have an absolute value of radial anisotropy greater than one standard deviation of the posterior. The upper crust maps average results between 0 and 5 km while the extent of depth averaging of the middle and lower crust is determined by evenly splitting the remaining thickness between 5 km and the Moho at each inversion point. (b-d) Same as (a) but for the middle and lower crust and upper mantle, respectively. All results shown in this figure are from inversion case 5 and correspond to inversions assuming the regional crust thickness model of Schmandt et al., (2015).



**Figure S8.** Same as figure 8, but showing isotropic  $V_s$  and anisotropy cross sectional (see figure 1) results from inversion case 4 without any statistical culling. Bar charts right of anisotropy cross-sections show average anisotropy profiles with depth for each cross-section. Anisotropy minima and maxima are labeled on the x-axis of each profile and colors correspond to anisotropy color bar. All panels shown here use the crustal thickness (dashed line) model of Schmandt et al., (2015). Topography is exaggerated 3 times in the profiles at the top of each panel.



**Figure S9.** Same as figure 8, but showing isotropic  $V_s$  and anisotropy cross sectional (see figure 1) results from inversion case 5 without any statistical culling. Bar charts right of anisotropy cross-sections show average anisotropy profiles with depth for each cross-section. Anisotropy minima and maxima are labeled on the x-axis of each profile and colors correspond to anisotropy color bar. All panels shown here use the crustal thickness (dashed line) model of Schmandt et al., (2015). Topography is exaggerated 3 times in the profiles at the top of each panel.



**Figure S10.** Same as figure 8, but showing only isotropic  $V_s$  and anisotropy cross sectional (see figure 1) results from inversion case 5 that have an absolute value of radial anisotropy with a significance greater than one standard deviation of the posterior distribution. Bar charts right of anisotropy cross-sections show average anisotropy profiles with depth for each cross-section. Anisotropy minima and maxima are labeled on the x-axis of each profile and colors correspond to anisotropy color bar. All panels shown here use the crustal thickness (dashed line) model of Schmandt et al., (2015). Topography is exaggerated 3 times in the profiles at the top of each panel.

Seismic network	DOI
TA	<a href="https://doi.org/10.7914/SN/TA">https://doi.org/10.7914/SN/TA</a>
YX (RMSE)	<a href="https://doi.org/10.7914/SN/YX_2010">https://doi.org/10.7914/SN/YX_2010</a>
BK	<a href="https://doi.org/10.7932/BDSN">https://doi.org/10.7932/BDSN</a>
CI	<a href="https://doi.org/10.7914/SN/CI">https://doi.org/10.7914/SN/CI</a>
IW	<a href="https://doi.org/10.7914/SN/IW">https://doi.org/10.7914/SN/IW</a>
LB	N/A- <a href="http://www.fdsn.org/networks/detail/LB/">http://www.fdsn.org/networks/detail/LB/</a>
US	<a href="https://doi.org/10.7914/SN/US">https://doi.org/10.7914/SN/US</a>
UU	<a href="https://doi.org/10.7914/SN/UU">https://doi.org/10.7914/SN/UU</a>

**Table S1.** Summary of seismic networks used for this study.

Period	Rayleigh error	Love Error
5	.050	-
6	.045	.040
8	.044	.035
10	.043	.033
12	.038	.032
15	.037	.032
20	.038	.033
25	.039	.032
30	.036	.029

**Table S2.** Rayleigh and Love wave errors at periods 5-30 s following Jiang et al., (2018).