## Light Limited Photosynthesis under Energy-Saving Film Decreases Eggplant Yield

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#### Abstract

Glasshouse films with adjustable light transmittance have the potential to reduce the high energy cost for greenhouse horticulture operations. Whether these films compromise the quantity and quality of light transmission for photosynthesis and crop yield, remains unclear. A "Smart Glass" film ULR-80 (SG) was applied to a high-tech greenhouse horticulture facility and two experimental trials were conducted by growing eggplant () using commercial vertical cultivation and management practices. SG blocked 85% of ultraviolet (UV), 58% of far-red, and 26% of red light, leading to an overall reduction of 19% in photosynthetically active radiation (PAR, 380 - 699 nm) and a 25% reduction in total season fruit yield. There was a 53% (season mean) reduction in short-wave radiation (385 nm to 2105 nm upward; 295 to 2685 nm downward) that generated a net reduction in heat load and water and nutrient consumption that improved energy and resource use efficiency. Eggplant adjusted to the altered SG light environment via decreased maximum light-saturated photosynthesis, which may have reduced source (leaf) to sink (fruit) carbon distribution, increased fruit abortion and decreased fruit yield, but did not affect nutritional quality. We conclude that SG increases energy and resource use efficiency, without affecting fruit quality, but the reduction in photosynthesis and eggplant yield is high. The solution is to re-engineer the SG to increase penetration of UV and PAR, while maintaining blockage of glasshouse heat gain.

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### 1 Light Limited Photosynthesis under Energy-Saving Film Decreases Eggplant Yield

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- 12 Corresponding author: David Tissue (<u>D.Tissue@westernsydney.edu.au</u>)
- 13 **Key Points:** (min 1 up to 3, each less than 140 characters without acronyms)
- Smart Glass blocks short wave radiation and decreases energy use for cooling, water
   use, and nutrient consumption.
- Smart Glass reduces photosynthetically active radiation limiting photosynthesis and plants acclimate to low light by altering xanthophylls.
- Smart Glass does not affect overall fruit quality, but a high fruit abortion rate reduces
   yield possibly through source-sink regulation.

### 20 Abstract

- 21 Glasshouse films with adjustable light transmittance have the potential to reduce the high energy
- 22 cost for greenhouse horticulture operations. Whether these films compromise the quantity and
- 23 quality of light transmission for photosynthesis and crop yield, remains unclear. A "Smart Glass"
- film ULR-80 (SG) was applied to a high-tech greenhouse horticulture facility and two
- 25 experimental trials were conducted by growing eggplant (Solanum melongena) using commercial
- vertical cultivation and management practices. SG blocked 85% of ultraviolet (UV), 58% of far-
- red, and 26% of red light, leading to an overall reduction of 19% in photosynthetically active
- radiation (PAR, 380 699 nm) and a 25% reduction in total season fruit yield. There was a 53%
- (season mean) reduction in short-wave radiation (385 nm to 2105 nm upward; 295 to 2685 nm
   downward) that generated a net reduction in heat load and water and nutrient consumption that
- downward) that generated a net reduction in heat load and water and nutrient consumptio improved energy and resource use efficiency. Eggplant adjusted to the altered SG light
- environment via decreased maximum light-saturated photosynthetic rates ( $A_{max}$ ) and lower
- 33 xanthophyll de-epoxidation state. The shift in light characteristics under SG led to reduced
- 34 photosynthesis, which may have reduced source (leaf) to sink (fruit) carbon distribution,
- increased fruit abortion and decreased fruit yield, but did not affect nutritional quality. We
- 36 conclude that SG increases energy and resource use efficiency, without affecting fruit quality,
- but the reduction in photosynthesis and eggplant yield is high. The solution is to re-engineer the
- 38 SG to increase penetration of UV and PAR, while maintaining blockage of glasshouse heat gain.

### 39 Plain Language Summary

- 40 Greenhouse horticulture delivers higher outputs than field production, but high energy
- 41 requirements and costs may be a barrier for many growers. Innovative glass technologies such as
- 42 'Smart Glass' (SG) with low thermal transmission will improve the efficiency of greenhouses,
- 43 with less energy required to maintain optimal growth conditions. SG is designed to block the
- 44 light wavelengths generating heat and transmit most of the light used by plants for
- 45 photosynthesis and growth, but the impact on crop productivity and quality is unclear. We
- demonstrate the benefits (reduced resource use) and disadvantages (lower yield) of eggplant
- 47 grown in SG. Our findings suggest that the spectral characteristics of current SG should be
- 48 modified to maintain resource use benefits, while improving yield, for future food production.

### 49 **1 Introduction**

- 50 With declining cultivable agricultural land (Roser & Ritchie, 2019) and growing food demand,
- 51 crop production depends on higher yield through technological advancements and crop
- 52 improvement. Some of the major challenges of crop production, including limited resources,
- high cost of energy, and adverse effects of climate change, can be addressed by protected
- cropping (Rigby, 2019) of horticultural crops in controlled greenhouse environmental conditions.
- 55 The efficient use of energy in greenhouses has been addressed (Ahamed et al., 2019; Bakker et
- al., 2008; Cuce et al., 2016; Marucci & Cappuccini, 2016), but few studies have considered the
- 57 use of innovative glass technologies with selective light transmittance to reduce energy costs and
- <sup>58</sup> investigate the impacts of altered light environment on plant growth and photosynthesis (Loik et
- al., 2017). Most of the studies have investigated the impact of artificial light, which may be
- <sup>60</sup> required for growth and production in a temperate climate zone with low light levels in winter
- 61 (Goto, 2003; Ouzounis et al., 2015; Park & Runkle, 2018; Yang et al., 2017). However, few

62 studies have tested glazing materials, screens or synthetic films in a natural light environment to

- reduce the heat load in greenhouses in summer with long periods of hot temperatures and high
- solar radiation in subtropical and tropical climate zones (Hao & Papadopoulos, 1999; Kwon et
   al., 2017; Loik et al., 2017). The study by Loik et al., (2017) investigated the use of wavelength-
- 66 selective photovoltaic systems (WSPVs), which absorbed some of the blue and green
- 67 wavelengths of the solar spectrum for electricity generation but transmitted remaining
- 68 wavelengths of the solar spectrum for electricity generation but transmitted remaining 68 wavelengths including most of the red light, on tomato production. They measured the effect of
- altered light on photosynthesis and yield and suggested further studies on assessing
- photosynthesis in different crops and climates, in response to altered light environments (Loik et
- 71 al., 2017).
- Plants have access to 49 % of total solar energy within the photosynthetically active spectrum,
- while 51% of total solar energy is unavailable (Zhu et al., 2010) which can cause cost intensive
- heat build-up in greenhouses. Energy-efficient designs for high-tech greenhouses are expected to
- rs save up to 80% of energy for greenhouse operations (Ahamed et al., 2019; Andersson & Nielsen,
- 76 2000; Cuce et al., 2016; Hemming et al., 2011, 2012; Taki et al., 2018). Innovative glass
- technologies with adjustable light transmittance and semi-transparent photovoltaic glass can
- 78 greatly reduce energy cost in a commercial greenhouse, and potentially become energy self-
- <sup>79</sup> sufficient using renewable energy (Loik et al., 2017). Novel glazing and covering materials, such
- as the commercially available window film ULR-80 ("Smart Glass", SG) with low emissivity,
- can block the light that mainly contributes to heat, but transmit most of the wavelengths required
   by plants for photosynthesis and growth. In addition, novel materials with insulation properties
- by plants for photosynthesis and growth. In addition, novel materials with insulation properties trap heat during winter and save energy on heating. SG could significantly contribute to reducing
- the energy costs in greenhouse operations. Glazing and/or the application of films can change
- light intensity and spectral quality, thereby having an adverse effect on plant growth,
- photosynthesis, biomass partitioning, yield and quality (Hao & Papadopoulos, 1999; Loik et al.,
- 87 2017). Theoretically, blocking radiation not required for photosynthesis can decrease heat build-
- <sup>88</sup> up in the glasshouse, and hence reduce the energy cost required to maintain cooling in summer.
- 89 However, this theory of photonics and material science still has not been properly tested in a
- 90 high-tech greenhouse with a commercial horticultural crop over two seasons.
- Plants respond to light intensity, spectral quality, and photoperiod (Babla et al., 2019; Ballaré &
- 92 Pierik, 2017; Cazzonelli et al., 2020; Poorter et al., 2019). At the leaf level, blue photons are used
- less efficiently than orange and red photons in photosynthesis (McCree, 1971; Inada, 1976;
- 94 Bugbee, 2016). The change in spectral quality, especially the ratio of red to far-red light, can
- affect plant phenology and development of buds, flowers, and fruits (Cerdán & Chory, 2003;
- 96 Ballaré & Pierik, 2017). Plants cope with light fluctuations via adjustments at the whole
- 97 organism, cellular, biochemical and molecular levels (Ruban, 2009). The light energy absorbed
- 98 by pigments in the photosystems is used to drive chemical reactions for photosynthesis, and
- dissipate excessive light energy from Photosystem II (PSII) via chlorophyll *a* fluorescence and
   by several other thermal dissipation mechanisms (Baker, 2008; Logan et al., 2007).
- Dy several other merinal dissipation mechanisms (Baker, 2008; Logan et al., 2007).
- 101 Photosystems I and II are composed of varying amounts of Chl a, Chl b,  $\beta$ -carotene and 102 xanthophylls (lutein, antheraxanthin, violaxanthin, and neoxanthin) which facilitate quenching of
- excess PSII energy. Carotenoid pigments play an important function in facilitating
- photosynthesis and photo-protection, thereby contributing to an optimal carbon balance from
- source (leaf) to sink (fruit) (Baranski & Cazzonelli, 2016; Demmig-Adams et al., 2014). A
- reduction in photosynthesis will lower the supply of carbon in source leaves and carbohydrate

- 107 translocation to sinks such as fruits, thereby affecting fruit set (Aloni et al., 1996; Turner &
- 108 Wien, 1994). Limitations in photosynthesis can decrease crop yield and quality (Hao &
- 109 Papadopoulos, 1999), depending on the light environment.

110 The primary objective of this study was to determine the impact of SG on light quality and

- 111 quantity, and subsequently on photosynthetic carbon assimilation, leaf biochemistry, yield and
- nutritional quality of eggplant (*Solanum melongena*) using a high-tech glasshouse facility. We
- used standard management practices during two greenhouse trials, conducted during high-light
- and long photoperiod summer growing periods, on a commercial eggplant cultivar (cv Tracey) to
- assess the efficacy of SG on reducing resource use while minimising negative impacts on crop
- 116 yield and quality.

### 117 2 Materials and Methods

118 2.1 Facility description and glass specifications

The first SG trial was conducted in the state-of-the-art glasshouse facility designed for 119 research and commercial production of horticultural crops at Western Sydney University, 120 NSW, Australia (Figure S1). The 1800  $m^2$  advanced glasshouse facility established in late 121 2017 is equipped with Priva software and hardware (Priva, The Netherlands) to monitor 122 and control temperature, humidity, nutrients, CO<sub>2</sub> and irrigation. Glasshouse air 123 temperature is controlled by chilled air blowers, curtains and opening vents. Relative 124 humidity (RH) is controlled using a humidification system, and air temperature is 125 partially controlled using hot water circulation through radiant pipes. We used four 105-126  $m^2$  research bays with precise environmental control of atmospheric CO<sub>2</sub>, air 127 temperature, RH, and hydroponic nutrient and water delivery. Each research bay included 128 6 gutters, used to deliver nutrients and water, which support 120-150 plants. 129

- Two research bays were fitted with HD1AR diffuse glass (70% haze; control bays) and 130 two research bays had HD1AR diffuse glass, but were also coated with ULR-80 window 131 film (Solar Gard, Saint-Gobain Performance Plastics, Sydney, Australia). The SG film 132 ULR-80 (Table S1) is a potentially suitable glazing material for greenhouse crop 133 production. It has low thermal emissivity (0.87) which blocks the light that mainly 134 contributes to heat, but transmits most of the wavelengths of light used by plants for 135 growth in the PAR region. According to the manufacturer specifications, SG blocks 136 ~88% light in the infrared (IR) and far-infrared (FIR) region between 780 nm - 2500 nm; 137 and >99% light in the ultraviolet (UV) region between 300 and 400 nm. In addition, SG 138 blocks 43 % of total solar energy with 40% transmission, 54% absorption and 6% 139 reflectance. The two control research bays consist of roof glass (70% diffuse light) and 140 wall glass (5% diffuse light) (Table S1). 141
- 142 2.2 Plant growth and management

Solanum melongena (cv. Tracey eggplant grafted on tomato cv. Kaiser stems) was the
 first horticulture crop tested under the SG for two experiments (Experiment 1- January
 2018 to July 2018 and Experiment 2 - September 2018 to March 2019). For each
 experiment, six-week-old nursery-grown seedlings were transplanted in Rockwool slabs

and transferred into two control hazed glass (Control) and two SG (Treatment) bays. 147 Each bay had 6 gutters (length 10.8 m, width 25 cm, AIS Greenworks, Castle Hill, 148 Sydney, NSW, AUS) with 10 Rockwool slabs ( $90 \times 15 \times 10$  cm, Grodan, The 149 Netherlands) per gutter. Three plants per slab were planted in the four middle gutters, and 150 two plants per slab were planted in the two side gutters and served as buffer plants. A 151 total of 160 plants were grown in each chamber, but all measurements were performed on 152 the 120 plants grown in the four middle gutters to avoid edge effects. Plants were grown 153 at standard growth conditions under natural light (as described in Table S2 and Figure 154 S2) and were provided non-limiting nutrients and water by the Priva computer-155 programmed fertigation (nutrients and water) system. Three stems were selected to grow 156 157 from each plant with weekly pruning and cutting according to commercial practices of eggplant production for vertical protected cultivation. Each stem was considered as an 158 individual plant for replication and all measurements were performed per stem. 159

### 160 2.3 *Light environment measurements*

Light quality and quantity were measured using a portable spectroradiometer (PS300, 161 Apogee Instruments, Inc., Logan, UT, USA) and a PAR sensor (LI-190SZ Quantum 162 Sensor, LI-COR) at the roof level during both experimental trials. Except for the 163 spectroradiometer, all other sensors continually logged data providing output as 5-minute 164 averages. Additional sensors including hobo pendant temp/light data logger (UA-002-08, 165 Instrument Choice, Dry Creek, SA, AUS), PAR (LI-190R-SMV-50 Quantum Sensor, LI-166 COR), net radiometer (SN-500, Apogee Instruments) and diffuse light sensor (BF5 167 sunshine sensor, Delta T Devices) were deployed to measure detailed light profiles 168 during the second experimental trial. Three hobo pendant temp/light data loggers (at the 169 base, middle and top positions of the canopy), 5 PAR sensors (at canopy level) and a net 170 radiometer were installed in each chamber. The diffuse light sensors were installed in one 171 control and one SG chamber. 172

### 173 2.4 Energy and nutrient savings calculations

The Priva system continuously records energy expenditure on cooling (kW) using water 174 flow, the temperature of the water before entering the chiller, and after exiting the chiller. 175 Each of the research bays was cooled via two 1.2 kW Fan Coil Units (FCUs). Chilled 176 water, from one of the two 75 kW chillers is supplied in a closed loop to each of the two 177 178 FCUs in each room. The chilled water flows through these two units and is then returned to the 200,000 L storage tank. Priva records the supply and the return temperature of 179 chilled water in each room. The meters do not measure the actual energy in kWh, unlike a 180 meter for electricity, but can be used to calculate an energy value based on three 181 variables: (1) water flowing through the flow meter; (2) temperature of the supply chilled 182 water; and (3) temperature of the return chilled water. It does not record the ON/OFF of 183 the FCUs, but if it reads a significant difference in the temperature of the supply and 184 return, it sends a pulse to Priva. All data are based on the same reading, which allows us 185 to directly use these numbers to determine the energy consumption. The Priva system 186 also continuously records fertilizer and water supply to the irrigation system, and the 187 irrigation water that subsequently enters the drainage system. The net consumption of 188 fertilizer and water is determined using supply and drain values. 189

### 190 2.5 Plant growth and productivity measurements

191 Plant growth and yield parameters were measured periodically in both experimental trials. Replication (n) refers to the total number of plants in two control or two SG 192 chambers. Height was measured 79, 95, 109, 121 and 137 days after planting (DAP) 193 during Experiment 1 (n = 120, 60 stems per chamber) and 111, 125, 140 and 155 DAP in 194 Experiment 2 (n = 24, 12 stems per chamber). Bud, flower and fruit number was 195 measured 164, 171 and 178 DAP during Experiment 1 (n = 72, 36 stems per chamber) 196 197 and 84, 98, 110, 117, 131 and 146 DAP during Experiment 2 (n = 36, 18 per chamber, respectively). Bud, flower, and fruit development were tracked weekly to test the rate of 198 development of selected tagged buds until plants attained full development to the fruit 199 stage and harvest. Twelve weeks after planting, eggplant fruits (only those between 350 200 to 450 g, representing commercial harvest mass) were harvested weekly for 18- and 16-201 weeks during Experiment 1 (n = 360, 180 stems per chamber) and Experiment 2 (n =202 240, 120 stems per chamber), respectively. The weight of individual eggplant fruit 203 204 (between 350 to 450 g) and the number of fruits per stem was recorded. Pruned biomass per chamber was weighed at 5-time points (62, 75, 85, 90 and 96 DAP) in Experiment 2. 205

- 206 2.6 Leaf gas exchange measurements
- Instantaneous steady-state leaf gas exchange measurements (n > 15) were performed 207 using a portable, open-mode gas exchange system (LI-6400XT, LI-COR, Lincoln, USA). 208 Measurements were performed at 1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> PAR with two CO<sub>2</sub> concentrations 209 (400  $\mu$ l L<sup>-1</sup> during Experiment 1 and 500  $\mu$ l L<sup>-1</sup> during Experiment 2) and 25°C leaf 210 temperature. The response of Asat to light (Q) (A-Q curve) was measured at 25°C leaf 211 temperature at eight light levels (0, 50, 100, 250, 500, 1000, 1500 and 2000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) 212 213 1000, 1500 and 2000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) in Experiment 2 (n > 18). The response of A<sub>sat</sub> to sub-214 stomatal  $CO_2$  mole fraction (C<sub>i</sub>) (A-C<sub>i</sub> response curve) was measured in 8 steps of  $CO_2$ 215 concentrations (50, 100, 230, 330, 420, 650, 1200 and 1800 µl L<sup>-1</sup>) at 25°C leaf 216 temperature during Experiment 1. Spot measurements at 25°C leaf temperature and 500 217  $\mu$ L<sup>-1</sup>CO<sub>2</sub> were also performed during Experiment 2 (n > 4) using the clear leaf cuvette 218 under natural light conditions. The light response curve means were fitted using the 219 following equation (Ögren & Evans, 1993; Xu et al., 2019). 220

$$A = \frac{(\phi_{max} \cdot I + A_{max}) - \sqrt{(\phi_{max} \cdot I + A_{max})^2 - 4 \cdot \Theta \cdot \phi \cdot I \cdot A_{max}}}{2 \cdot \Theta} - R_d$$
(1)

where, *I*=absorbed irradiance, we assumed absorptance = 0.85;  $A = CO_2$  assimilation rate at given light;  $R_d$  =dark respiration;  $\Phi_{max}$  = maximum quantum yield of PSII;  $A_{max}$  = maximum light-saturated CO<sub>2</sub> assimilation rate; and  $\theta$  = curvature factor of the light response curve.

- 226 2.7 Spectral analysis of leaves using a spectroradiometer
- Leaf reflectance was collected using an ASD spectroradiometer (FieldSpec 4, Malvern Panalytical Ltd, Malvern, UK) with a spectral range of 350–2500 nm. The sensor has a sampling interval of 1.4 nm and 1.1 nm for 350–1000 nm and 1001–2500 nm regions,

respectively. Fully expanded leaves of eggplants were collected from the plant's middle 230 canopy from the four chambers of the glasshouse; measurements were taken with the aid 231 of a leaf clip attached to a plant probe over a 3-hr period (9 am to noon). The leaf clip 232 allows the leaf to touch plant probe and keep the light beam at an angle of 45 degrees. 233 Reflectance spectral values were developed from the conversion of spectra by referencing 234 a 99% Spectralon calibration panel (Labsphere, Inc., North Sutton, NH, USA). A 235 reference measurement of the calibration panel was taken before the first measurement 236 and every 30 minutes onwards. For each leaf, four measurements were taken from six 237 different spots. Spectral index values were estimated for each leaf using the mean of 238 these 24 measurements. Spectral indices, including Water Band Index (WBI) for leaf 239 240 water content (Peñuelas et al., 1997), modified Normalised Difference Vegetation Index (mNDVI) for chlorophyll content (Fuentes et al., 2001), Photochemical Reflectance 241 Index (PRI) for xanthophyll cycle pigments (Gamon et al., 1992), Red Green ratio (RGR) 242 for anthocyanin content (Fuentes et al., 2001), Structure Intensive Pigment Index (SIPI) 243 for carotenoid to chlorophyll-a ratio (Peñuelas, Baret, et al., 1995), Red Far-Red ratio 244 (RFR) (Mascarini et al., 2006) and Normalised Phaeophytinization Index (NPOI) for 245 chlorophyll degradation (Barnes et al., 1992) were calculated as follows, 246

247 
$$WBI = \frac{R_{900}}{R_{970}}$$
(2)

248 
$$mNDVI = \frac{R_{750} - R_{705}}{R_{750} + R_{705}}$$
(3)

249 
$$PRI = \frac{R_{531} - R_{570}}{R_{531} + R_{570}}$$
(4)

250 
$$RGR = \frac{\sum_{n=600}^{n=699} R_n}{\sum_{n=500}^{n=599} R_n}$$
(5)

251 
$$SIPI = \frac{R_{800} - R_{445}}{R_{800} - R_{680}}$$
(6)

252 
$$RFR = \frac{R_{680}}{R_{730}}$$
(6)

253 
$$NPQI = \frac{R_{415} - R_{435}}{R_{415} + R_{435}}$$
(8)

## 2.8 SPAD measurements and leaf pigment analysis using high-performance liquid chromatography

One leaf per plant from five different plants per chamber were used for SPAD 256 measurements, and then for pigment analysis using high-performance liquid 257 chromatography (HPLC) and gas chromatography coupled with mass spectrometry 258 259 (GCMS). Three leaf discs were punched from the top, middle and bottom position of a fully expanded mature leaf using a size 10 (2.54  $\text{cm}^2$  leaf area) cork borer in the morning 260 hours between 10 am to 12 pm. Samples were snap-frozen using liquid nitrogen and kept 261 262 at  $-80^{\circ}$ C until further analysis. Fresh leaf weight was measured to calculate leaf mass per unit area (LMA) and for quantification of carotenoids and pigments. For both control and 263

264	treatment, ten biological replicates were collected, frozen in the liquid N <sub>2</sub> , and ground to
265	a fine powder with TissueLyser (Qiagen). Carotenoids were extracted under low-light
266	conditions with 500 $\mu$ L extraction buffer (60% v/v ethyl acetate:40% v/v acetone and
267	0.1% BHT) and partitioned into the ethyl acetate layer by adding 500 $\mu$ L of H <sub>2</sub> O. The
268	carotenoid-containing organic phase was separated via centrifugation and analyzed by
269	reverse-phase HPLC (Agilent 1200 Series) using GraceSmart-C18 (4-µm, 4.6 × 250-mm
270	column; Alltech) column. HPLC runs were performed as previously described (Alagoz et
271	al., 2020). Pigments were identified based upon their specific retention time (RT) relative
272	to known standards and their spectral characteristics at 440 nm (lutein - L, $\beta$ -carotene - $\beta$ ,
273	antheraxanthin - A, zeaxanthin - Z, neoxanthin - N, violaxanthin - V, and chlorophylls),
274	and 286 nm (phytoene). Carotenoid quantification was performed as previously described
275	except cis-carotene phytoene (Pogson et al., 1996). Phytoene is quantified by using its
276	molar extinction coefficient and molecular weight to convert the peak area in micrograms
277	per gram fresh weight (µg/g FW) as previously described (Britton G et al., 1995). All
278	pigments were quantified at absorption wavelengths with maximum detection. The de-
279	epoxidation state (DPS) of the xanthophyll cycle was calculated as DPS = $(A+Z)$ /
280	(A+Z+V).

- 281 2.9 Leaf metabolite analysis using gas chromatography-mass spectrometry
- One leaf disc (from the middle position per leaf) was used for metabolite profiling using 282 gas chromatography-mass spectrometry (GCMS). Each leaf disc was extracted using 283 methanol/chloroform/water (700/400/800 = 1100  $\mu$ L aqueous phase) by grinding with 284 sand, followed by phase separation. A 200  $\mu$ L aliquot of the aqueous phase of the extract 285 was dried, and 50.0 µL 20 µg/mL ribitol was added followed by re-drying for 3 hours. 286 Finally, the extract was derivatized with 40 µL MOX followed by 60 µL MSTFA before 287 analysis by GC-MS as described previously (Lisec et al., 2006). Peaks were aligned and 288 retention indices calculated against alkanes (Kovat's RI). Peak picking, deconvolution 289 and ID were performed with MS-DIAL(Tsugawa et al., 2015) using generic GC-MS 290 parameters, and MSP file for 15,302 entries of metabolites with Kovat's RI. The data 291 matrix was manually edited to verify IDs and remove deconvolution errors Ions with m/z 292 73 or 147 were not used as quantification ions. 293
- 294 2.10 Statistics and data analysis

295 Data analyses and plotting were performed using R computer software (R Core Team, 2019). The treatment effect was analysed using one-way analysis of variance (ANOVA). 296 The linear model involved testing of each parameter over two treatment conditions, SG 297 and Control glass, using measurements from two SG and two control glass rooms. 298 Replication e.g. n = 10 refers to 10 plants/stems per treatment or 5 plants/stems from each 299 chamber. The homogeneity of variance was tested using Levene's test from the car 300 package. The parameters showing unequal variance (with less than 0.05 probability for 301 Levene's test) were corrected using Welch's t-test for unequal variances using the 302 oneway.test function in R. Other packages were also used, including (but not limited to) 303 lubridate (for effective use of dates in plots), sciplot (for plotting) and doby (for 304 calculating means and standard errors). For GCMS data analysis unpaired T-tests were 305 used for univariate comparisons of metabolite concentrations, with P values corrected to 306

307account for the false discovery rate due to multiple comparisons (Benjamini and308Hochberg, 1995) using an Excel spreadsheet (Pike, 2011). The significance levels for309ANOVA were, P > 0.05=ns, P < 0.05 = \*, P < 0.01 = \*\* and P < 0.001 = \*\*\*.

### 310 **3 Results**

320

311 3.1 SG blocks UV and light wavelengths > 800 nm and significantly reduces PAR

Spectroradiometer measurements validated manufacturer SG specifications, including 312 blockage of UV and infrared (Table S1 and Figure 1). Although a modest reduction (-5% 313 to -10%) in overall light transmission was expected, a considerable amount of PAR was 314 blocked by SG with higher reduction in red-light (600 nm to 750 nm) relative to blue or 315 green light (Table 1, Figure 1). SG blocked most of the UV (221-279 nm, -85%), and a 316 considerable amount of red (600-699 nm, -26%) and far-red (710-850 nm, -58%), with 317 an overall reduction of -19% PAR integrated from 280–799 nm (Table 1, and Figure 1). 318 Thus, SG changed both the quantity and quality of the light spectrum. 319

Daily light integral (DLI) measured using a PAR sensor at roof level in each room was 321 significantly reduced (-24% and -28% during Experiments 1 and 2, respectively) under 322 SG relative to control (Table 1 and Figure 1). The reduction in DLI measured at canopy 323 level (-2 % in SG relative to Control) was relatively lower than roof level reduction (-324 28% in SG relative to Control) in DLI during Experiment 2 (experiment mean). In 325 addition, the proportion of diffuse light measured using a diffuse light sensor was -25% 326 lower in SG relative to Control (Table 1). Short-wave radiation (385 nm to 2105 nm 327 upward; 295 to 2685 nm downward), which mostly contributes to heat generation in the 328 329 glasshouse, was measured during Experiment 2 using a net radiometer and was reduced by -53% under SG (Table 1, Figure 2). The blocked short-wave radiation consequently 330 reduced energy expenditure on cooling (-8%) by chillers and net fertigation (fertilizer + 331 water) consumption (-18%) under SG relative to Control (Figure 2). In addition, the 332 visible light intensity measured in lux by the hobo pendant temp/light data logger showed 333 significant reduction in daily average light measured at the top (-56%), middle (-70%) 334 and bottom (-67%) of the canopy (Table S3 and Figure 3). Thus, SG blocks most of the 335 heat-generating energy not required by plants, thereby saving energy on cooling and 336 resource use. However, SG also considerably reduces PAR required for photosynthesis 337 and growth. 338

- Table 1 Summary of radiation, light intensity, canopy temperature, leaf gas exchange, and
- 340 leaf mass area (LMA) measurements: One-way analysis of variance for the Smart Glass effect
- on radiation (n = 318) during two experiments (Exp) including daily total means for short wave
- (SW), diffused light, and daily light integral (DLI); light spectrum (n= 18, spectroradiometer
- measurements at nine locations per chamber) including UV, blue, green, red, PAR and far-red
- light wavelengths; instantaneous leaf gas exchange (n>15) including light saturated CO<sub>2</sub> assimilation rates ( $A_{sat}$ ), stomatal conductance ( $g_s$ ) and intercellular CO<sub>2</sub> concentration (C
- assimilation rates ( $A_{sat}$ ), stomatal conductance ( $g_s$ ) and intercellular CO<sub>2</sub> concentration (Ci) and photosynthetic water use efficiency (*PWUE*); instantaneous gas exchange at natural growth light
- photosynthetic water use efficiency (*PWUE*); instantaneous gas exchange at natural growth light (n = 5) including average PAR measured using LI-6400 (*PARi*), CO<sub>2</sub> assimilation rates at growth
- light  $(A_{gl})$ , stomatal conductance and growth light  $(g_{sgl})$  and photosynthetic water use efficiency
- at growth light ( $PWUE_{gl}$ ); and light response curve modelled parameters (n>18) including
- maximum light saturated CO<sub>2</sub> assimilation rates ( $A_{max}$ ), maximum quantum yield ( $\varphi_{max}$ ) and
- 351 curvature factor ( $\Theta$ ).



352 Figure 1 Smart Glass blocks UV and light wavelengths > 800 nm, but also significantly reduces photosynthetically active radiation (PAR) mainly in the red-light region of the 353 spectrum: Smooth plot of photons over wavelength measured using a spectroradiometer at 354 multiple locations (a). Light passing through roof and wall of the glasshouse bay are depicted in 355 peach and grey colors with 95 % confidence intervals, respectively. Lower panel depicts daily 356 light integral (DLI, total daily PAR) measured using PAR sensors at roof level (b) and canopy 357 level (c). Canopy level PAR is the average of five PAR sensors at different locations. Solid line 358 and shaded region depict mean and confidence interval, respectively. Control and Smart Glass 359 rooms are depicted in green and blue, respectively. 360



394

395

### 396 Figure 2 Smart Glass significantly reduced total daily short-wave radiation measured

- during Experiment 2: Panels a, b and c depict smooth plot of daily net short-wave radiation,
- 398 cooling energy expenditure and net fertigation consumption, respectively. Solid lines depict the
- averages with 95% confidence intervals, while the faint data points show daily observations.
- 400 Panels d, e and f depict bar plot of means for net short-wave radiation, cooling energy
- 401 expenditure and net fertigation consumption, respectively. Error bars indicate standard error of
- 402 mean. Control and Smart Glass treatments are depicted in green and blue, respectively.



**Figure 3 Daily averages of light intensity and canopy temperature measured during Experiment 2:** Smooth plot of daily averages light intensity in lux (a, b and c) and canopy temperature (d, e and f). Solid lines represent the growth averages, while the shaded region depicts 95 % confidence intervals. Control and Smart Glass treatments are depicted in green and blue, respectively.

408

409

### 3.2 SG reduces eggplant photosynthesis due to light limitation

- 410 The impact of an altered light environment on photosynthesis was investigated by
- 411 measuring instantaneous leaf gas exchange and light response curves. Altered light
- 412 quality and quantity, including reduction in PAR, decreased light-saturated CO<sub>2</sub>
- 413 assimilation rates  $(A_{sat})$  (-12% and -18% in Experiment 1 and 2, respectively). However,
- 414 stomatal conductance  $(g_s)$  decreased (-24%) only in Experiment 1 (Table 1 and Figure 4).



Figure 4 Smart Glass decreased photosynthesis in both experiments due to reduced 415 photosynthetically active radiation (PAR): Bar plot of means for light-saturated CO<sub>2</sub> 416 assimilation rates  $(A_{sat})$  (a, b) and stomatal conductance  $(g_s)$  (c, d) measured at 1500 PAR (µmol 417 418  $m^{-2} s^{-1}$ ). The error bars indicate standard error (SE) of the mean. Lower panel (e) depicts light response of photosynthesis. Circles and triangles represent Experiment 1 and 2, respectively. 419 Control and Smart Glass are depicted in green and blue, respectively. Light response curves were 420 fit using equation 1. Where, I = irradiance,  $A = CO_2$  assimilation rate at given light,  $\Phi_{max} =$ 421 maximum quantum yield of PSII,  $A_{max}$  = maximum light-saturated CO<sub>2</sub> assimilation rate and  $\theta$  = 422 curvature factor of the light response curve. 423

In Experiment 2, instantaneous leaf gas exchange measured at natural growth light levels 424 (1406 and 1168 µmol m<sup>-2</sup> s<sup>-1</sup> mean PAR in control and SG, respectively) showed 425 reductions in CO<sub>2</sub> assimilation rates (A) (-21%) and  $g_s$  (-18%) under SG (Table 1 and 426 Figure 4). Leaf level photosynthetic water use efficiency (PWUE) did not differ, either 427 during light-saturated or ambient growth light conditions (Table 1). In addition, average 428 daily canopy temperature measured at the top, middle and bottom position of the canopy 429 was reduced by 0.5 to 0.9 °C under SG during Experiment 2 (Table 1 and Figure 3). 430

Based on AQ curves, photosynthetic rates were generally reduced under SG at higher 431 light intensities in both experiments. Maximum light-saturated CO<sub>2</sub> assimilation rates 432  $(A_{max})$  (-22%) were significantly reduced under SG in Experiment 2, while maximum 433 quantum yield ( $\Phi_{max}$ ) and curvature factor ( $\theta$ ) were similar under both control and SG 434 (Table 1 and Figure 4). The dark respiration  $(R_d)$  measured during light response curves 435 was decreased by 14% under SG relative to control (Table 1). Therefore, reductions in 436 PAR under SG caused light limitation and decreased photosynthesis, particularly at 437 438 higher light levels, suggesting adaptive changes in the photosynthetic apparatus without changes in the photosynthetic efficiency. 439

440

### 3.3 Eggplant leaves grown under SG have an altered xanthophyll composition

The composition and abundance of carotenoid pigments was quantified in top canopy 441 leaves from control and SG grown plants. Downregulation of photosynthesis and  $A_{max}$  in 442 low light conditions in SG was correlated with an altered pigment composition and 443 spectral indices. There was a significant reduction in specific xanthophyll pigments (A, 444 Z, V and N), yet no change in lutein or  $\beta$ -carotene. Altered light under SG significantly 445 reduced pool sizes of A (-26%), Z (-45%) and V (-18%). de-epoxidation state (DPS) was 446 447 consequently lowered (-14%) in leaves from plants grown under SG. In addition, the photochemical reflectance index (PRI) was significantly increased (+8%) under SG, 448 which is inversely proportional to the DPS (Gamon et al., 1992; Peñuelas, Filella, et al., 449 1995) (Table 2 and Figure 5). A lower structure intensive pigment index (SIPI), a 450 measure of carotenoid to chlorophyll a ratio (Peñuelas, Baret, et al., 1995) was consistent 451 with a lower carotenoid / chlorophyll ratio quantified by HPLC (-0.3% and -8%) in SG 452 relative to Control leaves. There was a reduction in mNDVI (-2%) and SPAD values (-453 6%) that suggest that leaf chlorophyll content was slightly lower in SG grown plants. 454 However, HPLC data showed no significant difference in chlorophyll content when 455 measured per unit fresh weight (Table 2). Rather, a lower leaf water content evident from 456 reduced WBI (-1%) (Peñuelas et al., 1993) and LMA (-9%), indicated that chlorophyll 457 content was reduced per unit leaf area, but not per unit fresh weight (Table 2 and Figure 458 5). It is worth noting that the spectral indices and physical measurements do not usually 459 commensurate with each other, given the different way they are measured and that the 460 indices are 'indicators' rather than direct estimates. Untargeted GCMS of polar 461 metabolites resolved >200 features in leaves (Table S6). After FDR correction, peaks 462 areas (i.e. concentration) of 13 metabolites differed significantly between SG and control 463 (FDR-corrected t-test). However, all of the significantly different metabolites were 464 present at low concentrations and in no cases were fold-differences large. Therefore, 465 leaves from plants grown under SG acclimated with an altered xanthophyll composition 466

467 and DPS without altering metabolite, total carotenoid or chlorophyll levels (Table 2 and
468 Figure 5).

### 469 Table 2 Summary of reflectance-based spectral indices, SPAD measurements, leaf mass per

470 area (LMA) and pigment analysis using HPLC: Summary of statistical analysis using one-

471 way analysis of variance (ANOVA) for the Smart Glass effect on spectral indices (n = 20), leaf

472 pigment parameters, SPAD measurements and LMA (n = 10).

Parameter	Erm	Treatment		Change (%)	P - Value	
(mean) Exp	Control	Smart Glass				
	Spectral Index parameters					
Leaf water content (WBI)	1	$1.0456 \pm 0.0005$	$1.0364 \pm 0.001$	-1	$1.4  imes 10^{-8}$	
Chlorophyll Content (mNDVI)	1	$0.640\pm0.002$	$0.625\pm0.004$	-2	0.002	
Xanthophyll Cycle (PRI)	1	$0.0437 \pm 0.0003$	$0.0475 \pm 0.0005$	+8	$9.3 \times 10^{-7}$	
Carotenoid/Chl-a (SIPI)	1	$1.0142 \pm 0.0003$	$1.011 \pm 0.0002$	-0.3	$1.9 \times 10^{-7}$	
Red Green Ratio (RGR)	1	$0.671\pm0.002$	$0.631\pm0.004$	-6	$2.9  imes 10^{-9}$	
Chlorophyll degradation (NPQI)	1	$0.010\pm0.001$	$0.021 \pm 0.001$	+50	$2.8  imes 10^{-6}$	
<b>Red-Far-red Ratio</b> (RFR)	1	$0.0901 \pm 0.0004$	$0.088\pm0.0003$	-2	0.001	
Chlorophyll	and caro	tenoid absolute leve	els measured by HP	LC		
Chlorophyll a (µg/gfw)	2	$1543 \pm 37$	$1569\pm29$	+1	0.5	
<b>Chlorophyll b</b> (µg/gfw)	2	624 ± 17	642 ± 14	+3	0.4	
<b>Phytoene</b> (µg/gfw)		$137 \pm 12$	$69 \pm 6$	-49	0.0001	
B-Carotene (µg/gfw)	2	$105 \pm 2$	$101 \pm 2$	-4	0.1	
<b>Lutein</b> (µg/gfw)	2	$190 \pm 4$	$194 \pm 5$	+2	0.5	
<b>Neoxanthin</b> (µg/gfw)	2	54 ± 1	44 ± 1	-18	0.0005	
X	anthophy	vll cycle pigments us	sing HPLC			
<b>Violaxanthin</b> (µg/gfw)	2	$73 \pm 2$	60 ± 2	-18	0.0009	
Antheraxanthin(µg/gfw)	2	$3.5\pm0.1$	$2.6\pm0.1$	-26	0.0004	
Zeaxanthin (µg/gfw)	2	$2.2\pm0.1$	$1.2\pm0.1$	-45	$3.6 \times 10^{-5}$	
<b>De-epoxidation</b> (DPS)	2	$0.073 \pm 0.003$	$0.063\pm0.002$	-14	0.03	
Tot Carotenoid (µg/gfw)	2	$430\pm8$	$404 \pm 11$	-6	0.09	
<b>Tot Chlorophyll</b> (μg/gfw)	2	$2168\pm54$	$2212\pm44$	+2	0.5	
Xanthophyll/Chlorophyll	2	$0.0365 \pm 0.0009$	$0.0291 \pm 0.0007$	-20	$1.1 \times 10^{-5}$	
Carotenoid/Chlorophyll	2	0.198 ±0.003	$0.182\pm0.002$	-8	0.0007	
SPAD Values at different leaf positions						
Leaf - Top	2	$56.1\pm0.7$	$52.6 \pm 1.1$	-6	0.02	
Leaf - Middle	2	$56.7\pm0.9$	$53.8 \pm 1.1$	-5	0.06	
Leaf - Bottom	2	$57.4 \pm 0.9$	$52.9 \pm 1.2$	-7	0.01	
Leaf mass area (LMA) using leaf fresh weight per unit area						
Leaf-Top (mg/cm <sup>2</sup> )	2	$19.4 \pm 0.3$	$17.9 \pm 0.4$	-7	0.01	
Leaf-Middle (mg/cm <sup>2</sup> )	2	$20.5\pm0.5$	$18.4 \pm 0.4$	-10	0.007	
<b>Leaf-Bottom</b> (mg/cm <sup>2</sup> )	2	$20.4\pm0.6$	$17.8 \pm 0.4$	-12	0.003	



Figure 5 Plants acclimated to low light under SG by reducing carotenoids and xanthophyll 473 474 cycle pigments: Bar plot of means for photochemical reflectance index (PRI) inversely related to xanthophyll cycle pigments Antheraxanthin (A), Zeaxanthin (Z) and Violaxanthin (V) (a), de-475 epoxidation (DPS= (A + Z) / (A + Z + V)) state of xanthophyll cycle pigments measured using 476 HPLC (b), structure intensive pigment index (SIPI) proportional to carotenoid/chlorophyll a ratio 477 (c), carotenoid/chlorophyll ratio measured using HPLC (d), water band index (WBI) related to 478 leaf water content (e) and leaf mass per area (f). The error bars indicate standard error (SE) of the 479 480 mean. Control and Smart Glass rooms are depicted in green and blue, respectively.

481 3.4 SG does not affect morphological features or fruit quality, but a high fruit abortion
 482 rate reduces yield

Plant morphological traits including height, bud, flower and fruit number were analysed
in response to altered light environment under SG. Plants grown under SG had similar
height, number of flowers, and number of buds (Table 3). However, mean fruit number (28%, p-value < 0.001 and -23%, p-value < 0.001) and fruit weight (-32%, p-value <</li>
0.001 and -24%, p-value < 0.001) were significantly reduced leading to decreased</li>
productivity under SG relative to the control (Table 3 and Figure 6).

Table 3: Summary of plant morphology, yield and fruit quality parameters: Summary of statistical analysis using one-way analysis of variance (ANOVA) for the Smart Glass effect on plant height (n>24), bud/flower/fruit number (n>36), pruned total biomass (per chamber), yield (experiment total), fruit weight (n>240) and fruit quality parameters (n=6-10).

Parameter	Exp	Treatment		Change	D. Valaa	
(mean)		Control	Smart Glass	(%)	P - value	
Productivity and Development Parameters						
Mean Height	1	$236\pm2$	$234 \pm 2$	-1	0.6	
$(\text{cm stem}^{-1})$	2	$276 \pm 3$	$279\pm4$	+1	0.6	
Mean Bud Number	1	$6.4\pm0.2$	$6.4\pm0.3$	0	0.9	
$(n \text{ stem}^{-1})$	2	$6.2\pm0.2$	$6.2\pm0.2$	0	0.9	
Mean Flower Number	1	$0.6 \pm 0.1$	$0.6 \pm 0.1$	0	ns	
$(n \text{ stem}^{-1})$	2	$1.6 \pm 0.1$	$1.7 \pm 0.1$	+5	0.3	
Mean Fruit Number	1	$0.39\pm0.01$	$0.28\pm0.01$	-28	$2.2 \times 10^{-16}$	
$(g \text{ stem}^{-1})$	2	$0.53\pm0.01$	$0.41 \pm 0.01$	-23	$1.1  imes 10^{-13}$	
Mean Fruit Weight	1	155 ± 2	105 ± 2	-32	$2.2 \times 10^{-16}$	
(g stem <sup>-1</sup> )	2	192 ± 4	145 ± 3	-24	$2.2 \times 10^{-16}$	
Total Yield (kg/m <sup>-2</sup> /year)	1+2	41.3	31.8	-23	NA	
Pruned Biomass (kg)	2	6.3	5.2	-17	NA	
	Eggplai	nt Fruit Quality Pa	irameters	:		
Mineral (ash)	1	$0.43 \pm 0.01$	$0.39\pm0.01$	-9	0.02	
$(g \ 100 \ g^{-1})$	2	$0.35\pm0.01$	$0.25\pm0.01$	-28	$3.5  imes 10^{-7}$	
TT	1	$5.46\pm0.02$	$5.53\pm0.02$	+1	0.03	
рн	2	$5.09\pm0.02$	$5.04\pm0.02$	-1	0.1	
Titratable Acidity	1	$9.6 \pm 0.4$	9.3 ± 0.6	-3	0	
(mq NaOH kg <sup>-1</sup> )	2	$9.9\pm0.4$	$9.4 \pm 0.2$	-5	0.3	
Moisture	1	$93.9 \pm 0.2$	94.4 ± 0.2	+1	0.2	
(%)	2	$94.7 \pm 0.2$	$95.3 \pm 0.1$	+1	0.07	
	1	$3.6 \pm 0.1$	3.1 ± 0.1	-12	0.02	
<b>Total Soluble Solids</b> (Brix)	2	$2.91\pm0.07$	$2.65\pm0.05$	-8	0.07	
<b>Glucose</b> (g 100 g <sup>-1</sup> )	1	$1.02 \pm 0.01$	$1.09 \pm 0.01$	+6	0.004	
<b>Fructose</b> (g 100 g <sup>-1</sup> )	1	$1.07 \pm 0.01$	$1.13 \pm 0.01$	+5	0.01	
<b>Sucrose</b> (g 100 g <sup>-1</sup> )	1	$0.17 \pm 0.01$	$0.24 \pm 0.02$	+29	0.03	
Total Sugars (g 100 g <sup>-1</sup> )	1	$2.27\pm0.03$	$2.47 \pm 0.04$	+8	0.002	
Fat (%)	1	$0.072\pm0.008$	$0.059 \pm 0.005$	-18	0.1	
N (%)	1	$0.112 \pm 0.004$	$0.107 \pm 0.002$	-4	0.3	
Protein (%)	1	$0.702 \pm 0.026$	$0.674 \pm 0.013$	-4	0.3	



Figure 6 Smart Glass significantly reduced fruit number in both experiments due to fruit abortion: Bar plot of means for fruit weight (a, b) and fruit number (c, d) in experiment 1 and 2. The error bars indicate standard error (SE) of the mean. Control and Smart Glass rooms are depicted in green and blue, respectively. Lower panel (e) depicts number of flowers aborted or developed into harvestable fruit during Experiment 2. Variation in abortion rate was dependent on Smart Glass according to Pearson's chi squared test (p value 0.01).

499

500	A reduction in fruit number was attributed to increased abortion of flowers or fertilized
501	young fruits (Chi-square test, p-value <0.01) under SG (Figure 6). In addition, the
502	biomass harvested after pruning was lower (-17%) under SG relative to control (Table 3).

Fruit quality parameters, including pH, titratable acidity, moisture, total soluble solids 503 (brix), mineral content (ash), elemental composition (AGVITA, Table S4), metabolites 504 (GCMS, Table S5), sugar content (HPLC), fat (ANKOM) and nitrogen (DUMAS) 505 content were assessed. None of the >400 metabolites resolved by untargeted GC-MS 506 differed significantly between SG and control (FDR-corrected t-test). We found increases 507 in total sugars (+8%), sucrose (+29%), Fe (+28%) and decreases in mineral content (-9%) 508 and -28% in Experiment 1 and 2, respectively), but otherwise parameters were unchanged 509 (Tables 3 and S3). In summary, SG did not affect eggplant morphological traits, but 510 increased abortion rate in fertilised young fruits, thereby decreasing fruit yield without 511 major changes in fruit quality. 512

### 513 4. Discussion

- SG film ULR-80 blocked 85% of UV (221-279 nm), 26% of red (600-699 nm) and 58% 514 far-red (710-850 nm) light with an overall reduction of 19% PAR (280 - 799 nm) and 515 53% reduction (season mean) in short wave radiation (385 nm to 2105 nm upward; 295 516 to 2685 nm downward) measured using spectroradiometer. This consequently reduced 517 energy expenditure for cooling and water and nutrient consumption. However, SG also 518 reduced mean season PAR (DLI: -24% and -28% in Experiment 1 and 2, respectively) 519 leading to reductions in photosynthesis and hence productivity (mean fruit weight: -32 520 and -24% in Experiment 1 and 2, respectively), and generally did not affect fruit quality 521 except for significantly increasing the sweetness of the fruits. Growth under SG reduced 522 Amax and the xanthophyll cycle pigments (A, V and Z) and DPS, thereby highlighting that 523 SG grown plants may have partially acclimated to low light conditions. Novel glazing 524 materials with low thermal emissivity can be applied to greenhouses to reduce energy 525 expenditure and resource use (water and nutrients), but specifically SG film ULR-80 will 526 require spectral compositional modification to maximise PAR transmission to avoid 527 compromising plant productivity. 528
- 4.1 SG blocks radiation and decreases energy use for cooling, water use, and nutrient
   consumption
- According to manufacturer specifications, SG film (ULR-80) was anticipated to block 531 UV and mostly higher wavelengths of light with marginal reductions (-5 to -10%) in light 532 transmission. However, SG blocked a considerable amount of PAR at the canopy level (-533 25%, season mean), leading to a light limitation for plant growth and photosynthesis. 534 Significant reductions (-53%, season mean) in short wave radiation under SG blocked 535 radiation contributing to heat, ultimately decreasing energy used by chillers for cooling (-536 8%) and irrigation (water + nutrient) consumption (-18%). A previous study with 537 tomatoes reported energy saving up to 25-33% using glass with an anti-reflection coating 538 with some near-infrared (NIR) reflective properties (Hemming et al., 2011). Another 539 study with tomatoes grown under wavelength-selective photovoltaic systems (WSPVs) 540 found small water savings due to reduced (-25%) stomatal conductance (Loik et al., 541

2017). WSPVs absorbed some of the blue and green wavelengths of the solar spectrum 542 for electricity generation, but transmitted remaining wavelengths including most of the 543 red light (Loik et al., 2017). In contrast, SG reduced the intensity of light mainly in the 544 red-light region of the visible light spectrum, which suggested differences in the quality 545 of light in our study relative to Loik et al. (2017). The reduction in water and nutrient 546 consumption of the eggplant crop in our study can be attributed to a reduction in radiation 547 load, as well as decreased photosynthesis and productivity. The electrical power used by 548 chillers is an indirect measurement of energy use (kWh) calculated using water flow and 549 temperatures, before and after cooling. Hence, the actual total energy savings could be 550 different, and a detailed, certified accounting of energy usage is required in future 551 552 investigations.

553

### 4.2 Plants acclimated to low light by reducing $A_{max}$ and xanthophyll composition

SG changed light quantity and quality and this was reflected in the responses of 554 photosynthetic activity and pigments. Light limited reduction in photosynthetic rates is 555 consistent with tomatoes (-20%) grown under WSPVs when measured at higher light 556 levels (Loik et al., 2017). Interestingly, photosynthetic light saturation was observed at 557 ~500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in tomato (Loik et al., 2017) relative to ~1000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in eggplants 558 (current study) which can be due to differences in growth CO<sub>2</sub>, temperature (Xin et al., 559 2019) and species. Stomatal conductance was decreased by SG in one of the two eggplant 560 experiments. Loik et al., (2017) also found a higher reduction in  $g_s$  than  $A_{sat}$  which was 561 linked to reduced blue light under WSPVs which plays an important role in stomatal 562 functioning. Light intensity and quality both affect stomata (O'Carrigan et al., 2014) and 563 in dynamic light environments, stomata have been found to respond more slowly than 564 photosynthesis, resulting in non-coordination between A and  $g_s$  (McAusland et al., 2016). 565 Reduced stomatal conductance, similar to reduced photosynthesis, is partly in response to 566 lower light intensity under SG. However, altered light quality, particularly vastly reduced 567 red and far-red light, may have modified the stomatal response in Experiment 2 and 568 further work is required to understand the impact of SG on light quality and stomata. 569

In the current study,  $A_{sat}$  and  $A_{max}$  were reduced without significant changes in  $\Phi_{max}$  and  $\theta$ , 570 suggesting that the photosynthetic apparatus acclimated in response to reduced light 571 intensity (Evans & Poorter, 2001). Acclimation was generally uniform across light-572 dependent and light-independent reactions of photosynthesis, including photosynthetic 573 efficiency and the electron or photon cost of CO<sub>2</sub> fixation, which aligns with unchanged 574 total chlorophyll or carotenoid content. In contrast, chlorophyll a/b ratios and electron 575 transport components decreased at lower light levels in spinach and pea (Evans, 1987; 576 Terashima & Evans, 1988) which can be attributed to the stronger light treatment (>70% 577 lower light for spinach and >80% lower light for pea) compared to relatively modest light 578 579 treatment (~26 % lower light for eggplant) in our study. Unchanged total chlorophyll and carotenoid content suggests that the light treatment in our study was not strong enough to 580 induce changes in total pigment levels, but the shifted light environment could alter 581 pigment composition associated with light capture and photo protection. 582

Carotenoid pigments such as the xanthophylls facilitate non-photochemical quenching
 (NPQ) and light capture (Demmig-Adams et al., 2014; Niyogi, 1999). Selective synthesis

and degradation of chloroplast components during acclimation have been shown to 585 modulate the composition and function of the photosynthetic apparatus (Bailey et al., 586 2001). Under high light, violaxanthin undergoes de-epoxidation (DPS) via an 587 antheraxanthin intermediate back to zeaxanthin in the thylakoid pigment bed to help 588 dissipate excess light-induced excitation energy as heat and minimise photo-oxidative 589 stress (Demmig-Adams et al., 2014; Demmig-Adams & Adams, 2006; Havaux et al., 590 2004; Marin et al., 1996). The DPS was lower ( $\sim 0.07$ ) in eggplant leaves due to the 591 markedly low abundance of A and Z, keeping consistent with tomato (F. Ding et al., 592 2017) and rice leaves (Yin et al., 2010), in comparison to eucalyptus tree leaves that have 593 a considerably higher DPS (~0.7) and similar abundances of V, A and Z (Dhami et al., 594 2020). Lower light levels are linked to lower DPS values, which can rise during the 595 midday in response to higher light levels (L. Ding et al., 2006). Our results suggest a 596 limited capacity for eggplant to use the xanthophyll cycle for photo-protection, perhaps 597 relying instead on the production of antioxidants (Logan et al., 2006). Spectral indices 598 (e.g., SIPI and PRI) provide additional evidence to support the lower DPS and these 599 indices have been successfully used for quantifying biophysical characteristics of 600 agricultural crops (Peñuelas, Baret, et al., 1995; Peñuelas, Filella, et al., 1995; Thenkabail 601 et al., 2000). Plants grown under SG appear to have acclimated by lowering their 602 xanthophyll composition, without affecting lutein,  $\beta$ -carotene or chlorophyll levels. This 603 is consistent with the recent meta-analysis on plant responses to light (Poorter et al., 604 2019), where the xanthophyll to chlorophyll ratio correlates with the quantity of light. 605 Lower DPS and zeaxanthin levels under SG also suggest plants may have reduced NPQ 606 based on the curvilinear relationship between Zeaxanthin and NPQ (Cheng et al., 2003). 607 However, photosynthesis was limited by electron transport rate under WSPVs, yet no 608 differences were found in NPQ (Loik et al., 2017). Taken together, a reduction in A<sub>max</sub> 609 was associated with a reduction in xanthophyll composition and DPS in SG leaves, 610 thereby revealing a reduced photosynthetic capacity for plants acclimated to the SG 611 environment. 612

# 4.3 Reduced photosynthesis and high abortion rate under SG decreases yield without changing fruit quality

In crop plants, the average yield is generally reduced by 0.8 to 1% for every 1% reduction 615 in light intensity (Marcelis et al., 2006). In accordance, we found that light limited (~-616 26% DLI) photosynthesis under SG reduced fruit yield (~ -28%) without significantly 617 affecting fruit quality and plant morphological traits, including plant height, bud number, 618 and flower number. One of the few changes in fruit quality (e.g. 29% increase in sucrose 619 content) was a positive impact of SG, while the decrease in mineral (-9% and -28% in 620 621 experiment 1 and 2 respectively) content was a negative impact. The reduction in fruit yield was driven by reduced fruit number due to a high flower abortion rate under SG 622 relative to control. A very high rate of flower abortion (56.2% in cv Emi and 93.4% in cv 623 Long Negro) has been reported for eggplant cultivars (Passam & Khah, 1992). However, 624 a previous study found a decrease in flowers, flower buds, fresh fruit weight and fruit 625 growth period under reduced light intensity in eggplants (Uzun, 2007). One cultivar of 626 tomato (cv. Clarence) grown under WSPVs also showed a significant decrease in fruit 627 number and mass due to lower light and photosynthesis (Loik et al., 2017). Poorter et al., 628 (2019) also showed a strong relationship between light intensity and fruit number. The 629

yield reduction in our study could be related to the control of carbon from source to sink. 630 Limited availability of carbon due to reduced photosynthesis may have triggered plants to 631 decrease the number of fruits developed to full maturation, which was evident from high 632 abortion rates in SG. Source-sink regulation is known to control fruit load depending on 633 the availability of photosynthate for translocation during fruit development (Marcelis et 634 al., 2004), which allows plants to produce fewer, but fully developed and better quality 635 fruits (Pallas et al., 2013). Fruit set is related to assimilate supply (source strength) in 636 pepper and low light decreased fruit set due to lower capacity to accumulate sugars and 637 starch during the day (Aloni et al., 1996). Turner & Wien, (1994) suggested that the low 638 light stress-induced abscission in pepper associated with reduced assimilate partitioning 639 to flower buds could be related to the high assimilate consumption in the maintenance of 640 expanded leaves. However, light quality was also altered in SG, which may have induced 641 fruit abortion and decreased yield (Cerdán & Chory, 2003). Hence, further investigation 642 is required to understand if light quality, light quantity or both are driving the reduction in 643 fruit yield. 644

### 645 **5 Conclusions**

SG blocked UV and light wavelengths > 780 nm, but also a significant proportion of PAR 646 mainly in the red-light region of the spectrum, contributing to decreased energy, water and 647 nutrient consumption. Reductions in PAR reduced photosynthesis in leaves from SG grown 648 plants, which was associated with a decrease in yield due mainly to higher fruit abortion rates, 649 without affecting fruit quality. SG did not affect morphological features, including plant height, 650 floral bud number or the number of open flowers. Further investigation into whether light quality 651 and /or quantity primarily reduce fruit yield will shed light on how to engineer a new generation 652 of SG for protected cropping industries. It should be noted that SG is likely to have different 653 effects in a crop-specific manner; e.g., vegetative crops such as leafy vegetables may have a 654 different response because leaves, and not reproductive structures, are harvested for yield. Thus, 655 additional SG trials with different crop types are required to identify the most appropriate SG 656 characteristics for use with a wide variety of crop plants. Overall, this research shows that novel 657 glass technologies can provide significant energy savings for commercial vegetable greenhouses 658 and may benefit growers who seek to develop sustainable food production with lower resource 659 use in the future. 660

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