

# Two-step Daily Reservoir Inflow Prediction Using ARIMA-Machine Learning and Ensemble Models

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November 21, 2022

## Abstract

The reservoirs play a crucial role in the development of civilization as they facilitate the storage of water for multiple purposes like hydroelectric power generation, flood control, irrigation, and drinking water etc. In order to effectively meet these multiple purposes, the knowledge of the inflow in the reservoir is essential. Apart from the historical data, future prediction of the inflows is also necessary especially in context of climate change. A two-step algorithm for the prediction of reservoir inflow to enable meticulous planning and execution of daily reservoir operation keeping the historical variation of inflow in account has been proposed. The developed algorithm takes into account the patterns in the historic inflow data using the time series analysis along with the variability in the climatic patterns using the different predictors in the machine learning model with a small error. The first step uses time series model, Auto Regressive Integrated Moving Average (ARIMA) method to forecast the monthly inflows, which are then used as the targets in the second step for the month-wise daily forecasting of the inflows using the two types of ensemble models, namely, averaging and boosting models in machine learning. The averaging ensemble models were found to perform better than the boosting ensemble models for maximum number of months. The yearly results show an error of less than 5% between actual and predicted values for all the test cases, showing the precision in the developed algorithm.

# Two-step Daily Reservoir Inflow Prediction Using ARIMA-Machine Learning and Ensemble Models

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## Key Points

- The first step of the two-step algorithm uses time series model ARIMA to forecast the monthly inflows.
- The second step for daily forecasting uses averaging and boosting ensemble models in machine learning.
- The yearly results shows an error less than 5% between actual and predicted values.

## Abstract

The reservoirs play a crucial role in the development of civilisation as they facilitate the storage of water for multiple purposes like hydroelectric power generation, flood control, irrigation, and drinking water etc. In order to effectively meet these multiple purposes, the knowledge of the inflow in the reservoir is essential. Apart from the historical data, future prediction of the inflows is also necessary especially in context of climate change. A two-step algorithm for the prediction of reservoir inflow to enable meticulous planning and execution of daily reservoir operation keeping the historical variation of inflow in account has been proposed. The developed algorithm takes into account the patterns in the historic inflow data using the time series analysis along with the variability in the climatic patterns using the different predictors in the machine learning model with a small error. The first step uses time series model, Auto Regressive Integrated Moving Average (ARIMA) method to forecast the monthly inflows, which are then used as the targets in the second step for the month-wise daily forecasting of the inflows using the two types of ensemble models, namely, averaging and boosting models in machine learning. The averaging ensemble models were found to perform better than the boosting ensemble models for maximum number of months. The yearly results show an error of less than 5% between actual and predicted values for all the test cases, showing the precision in the developed algorithm.

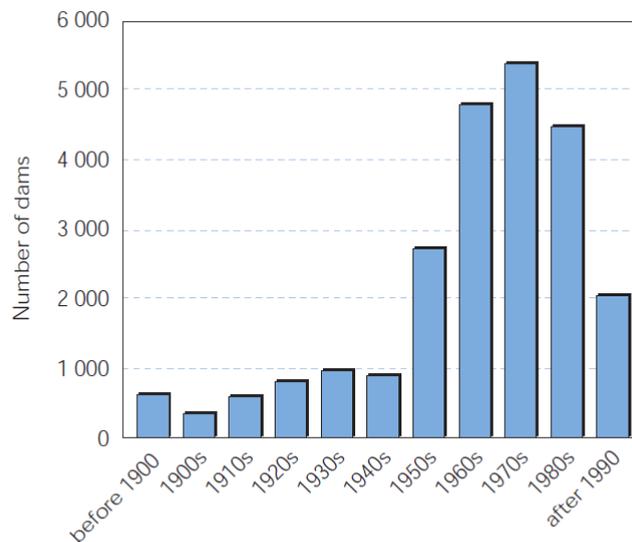
31 **Keywords**

32 Inflow prediction, ARIMA, Machine Learning, Ensemble models

33 **1. Introduction**

34 The reservoirs serve as the cornerstone in the management and development of water  
35 resources of the river basins. In earlier times, dams were built to fulfil single purpose of either  
36 water supply or irrigation. But with the development in technology and increase in  
37 population, dams have been constructed to fulfil the multiple purposes for water supply,  
38 irrigation, flood control, navigation, water quality, sediment control and energy. These  
39 multipurpose dams are very important projects especially for developing countries  
40 considering the huge investment and extensive domestic and economic benefits .

41 But despite the numerous advantages, the construction of new dams has been  
42 decreasing continuously after the 1970s [Fig.1]. This decrease has occurred because of  
43 multiple reasons like increase in construction costs, difficulties in obtaining the clearances,  
44 long gestation periods, security concerns etc. Thus, it becomes crucial to operate the already  
45 constructed dams economically and with efficient management [*Dams*, November 2000].



46

47 **Fig.1: Decade-wise break-up of number of dams constructed [*Dams*, November 2000].**

48

49 The efficient reservoir management requires the forecasting of inflows along with  
50 system variables and outputs like reservoir levels, flood damage risks, water releases,  
51 hydropower production, water supply withdrawals, water quality, navigation opportunities,  
52 and environmental flows [*Kistenmacher and Georgakakos*, 2015]. One of the major  
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53 hydrological parameters required planning the construction, operation and maintenance of  
54 dams is the record of the hydrological time series data on water available in the area. Apart  
55 from past records sometimes, it becomes important to have the information on the future  
56 availability of water for planning purposes especially in way of climate change. The  
57 frequency at which the inflow data is required depends on the objectives of the study, for  
58 example, the daily inflow data is required for carrying out reservoir operation scheduling  
59 [Ahmad and Hossain, 2019; 2020; S Yang et al., 2019] and monthly or 10-daily inflow data is  
60 enough for carrying out the reservoir planning studies [Salas et al., 1985]. The horizon of  
61 inflow forecasting also depends on capacity, inflow variability, and forecast uncertainty of  
62 the given reservoir [Zhao et al., 2019]. But these kinds of studies require a large time series  
63 dataset of past inflow of reservoir in order to predict or forecast the future inflows with  
64 acceptable accuracy.

65 The studies on reservoir inflow forecasting have been carried out for a long time.  
66 Most of the studies are carried out for monthly forecasting and only limited studies have been  
67 conducted for daily forecasting. The monthly inflow forecasts [Bae et al., 2007; Yun Bai et  
68 al., 2015; Bravo et al., 2009; Cigizoglu, 2005; Dariane and Azimi, 2016; Ghazali et al., 2019;  
69 Jiang et al., 2018; Ma et al., 2013; Mohsenzadeh Karimi et al., 2018; Silva Santos et al.,  
70 2019; T Yang et al., 2017; Yin et al., 2016; Y Yu et al., 2017] have been carried out  
71 successfully with quite accurate results. The usage of daily forecasting [Guimarães Santos  
72 and Silva, 2014; Hsu et al., 1995; Londhe and Narkhede, 2017; Shiri et al., 2012] is limited  
73 to reservoir operation. Apart from this, short-term inflow forecasting have also been carried  
74 out with 1-hour ahead, 2-hour ahead, 3-hour ahead and so on [Lin and Wu, 2011; Stokelj et  
75 al., 2002; Z X Xu and Li, 2002].

76 A number of techniques have been employed in the literature for the forecasting  
77 process. The most commonly used are the time-series analysis, the Artificial intelligence (AI)  
78 and Data mining (DI) approaches. The time series approaches including auto-regressive  
79 models like Auto-Regressive Moving Average (ARMA), Auto- Regressive Integrated  
80 Moving Average (ARIMA) [Abdellatif et al., 2015; Lohani et al., 2012; Sveinsson et al.,  
81 2008; W Xu et al., 2015; P-S Yu and Tseng, 2009] and Additive modelling [Yun Bai et al.,  
82 2015] have been widely used in the literature. Artificial Neural Network (ANN)[Abdellatif et  
83 al., 2015; Ahmad and Hossain, 2019; Esmaeilzadeh et al., 2017; He et al., 2014; Jain et al.,  
84 1999; Jothiprakash and Kote, 2011b; Rezaie-Balf et al., 2019; Shiri et al., 2012; Silva Santos  
85 et al., 2019; Stokelj et al., 2002] has been used in significant number of studies for

86 forecasting with the variations in the configurations [Z X Xu and Li, 2002]. The different  
87 ANN techniques like Multi-layer Perceptron (MLP) [Ghazali et al., 2019; Muluye and  
88 Coulibaly, 2007], general regression neural network (GRNN) [Cigizoglu, 2005; Ghazali et  
89 al., 2019; Kisi and Kerem Cigizoglu, 2007], radial basis function (RBF)[Ghazali et al., 2019;  
90 Kisi and Kerem Cigizoglu, 2007], nonlinear autoregressive network with exogenous inputs  
91 (NARX) [Ghazali et al., 2019], rotated general regression neural network (RGRNN) [Yin et  
92 al., 2016], feed-forward back propagation (FFBP) [Kisi and Kerem Cigizoglu, 2007], time-  
93 lagged feed-forward networks (TLFN) [Muluye and Coulibaly, 2007; Taghi Sattari et al.,  
94 2012], Bayesian neural networks (BNN) [Muluye and Coulibaly, 2007], multilayer feed-  
95 forward ANN [Bravo et al., 2009] have been explored in the literature. The Random Forest  
96 (RF)[Yun Bai et al., 2018] is found to work better than the ANN and Support Vector  
97 Regression (SVR) [Esmaeilzadeh et al., 2017] for the monthly inflow forecasting [T Yang et  
98 al., 2017]. A Modified Box-Cox model along with the Bayesian [Lima et al., 2014; Ma et al.,  
99 2013] inference of the model parameters and Markov chain Monte Carlo [P-S Yu and Tseng,  
100 2009] approach for modelling the uncertainties have been developed and employed  
101 successfully for middle and long term inflow forecasting [Q J Wang et al., 2009]. Other  
102 variants of AI like Fuzzy logic, clustering technique [Nayak and Sudheer, 2008], Adaptive  
103 Neuro-fuzzy Inference System (ANFIS)[Bae et al., 2007; Dariane and Azimi, 2016; He et al.,  
104 2014; Lohani et al., 2012; Shiri et al., 2012], gene expression programming (GEP)  
105 [Mohsenzadeh Karimi et al., 2018; Shiri et al., 2012], support vector machine (SVM)[He et  
106 al., 2014; Mohsenzadeh Karimi et al., 2018; Raghavendra. N and Deka, 2014; Y Yu et al.,  
107 2017], interactive trees (IT) [Mohsenzadeh Karimi et al., 2018], M5 model tree  
108 [Esmaeilzadeh et al., 2017; Jothiprakash and Kote, 2011a; b; Rezaie-Balf et al., 2019], M5-  
109 MT [Rezaie-Balf et al., 2019], multivariate adaptive regression spline (MARS) [Rezaie-Balf  
110 et al., 2019], Linear genetic programming (LGP)[Jothiprakash and Kote, 2011b], Clustered  
111 K Nearest Neighbour (CKNN) [Akbari et al., 2010], extreme learning machine  
112 (ELM)[Yaseen et al., 2016] has also been explored with better results than the traditional  
113 techniques.

114         Apart from the individual techniques, the recent trend is towards the development of  
115 hybrid techniques for forecasting as these tend to provide better results than the individual  
116 techniques [Yun Bai et al., 2016; Hong, 2008; Raghavendra. N and Deka, 2014]. The  
117 combination of low-frequency component using wavelet decomposition [U. Okkan, 2012;  
118 Umur Okkan and Ali Serbes, 2013; Partal, 2009] along with time series approach[Jiang et al.,

119 2018] as well as AI [*Dariane and Azimi*, 2016; *Esmailzadeh et al.*, 2017; *Guimarães Santos*  
120 *and Silva*, 2014; *Honorato et al.*, 2019; *Kişi*, 2009; *Londhe and Narkhede*, 2017; *Santos et*  
121 *al.*, 2019; *W Wang et al.*, 2009] have provided improved results than the individual  
122 techniques. The combination of conceptually different algorithms is also tested and found to  
123 give good results [*Coulibaly et al.*, 2005]. The multi-level algorithms have also been tested to  
124 fine tune the existing models [*Adarsh and Janga Reddy*, 2019; *Li et al.*, 2016; *Lin and Wu*,  
125 2011; *Singh and Majumdar*, 2009; *Zhou et al.*, 2019]. The ensemble forecasting is another  
126 area that is being explored in the recent literature with promising results and future  
127 application prospects [*Fan et al.*, 2015; *Jeong and Kim*, 2005].

128 The increasing variation in the climatic pattern in recent years has resulted in the  
129 change of rainfall pattern. The studies investigating the climate change impacts on the  
130 reservoir inflows have also been undertaken to conclude that the present inflow patterns are  
131 quite different from the past patterns [*Ahmed et al.*, 2015; *P-S Yu et al.*, 2014]. So, it becomes  
132 very important to incorporate the variation in the climatic pattern in the inflow model along  
133 with the past inflow data. It is acknowledged in many studies that climate conditions  
134 significantly impact water supply and many climate phenomenon indices can be used as  
135 predictors in supporting water resources management [*Bae et al.*, 2007; *Ma et al.*, 2013;  
136 *Santos et al.*, 2019; *Smith et al.*, 1992; *T Yang et al.*, 2017]. The different climatic parameters  
137 that have been used for the prediction of inflow are precipitation, evaporation, discharge,  
138 temperature and wind speed [*Dixon and Wilby*, 2015; *Esmailzadeh et al.*, 2017; *McGuire et*  
139 *al.*, 2006; *Sveinsson et al.*, 2008].

140 The selection of inflow forecasting technique depends on a number of factors. The  
141 purpose of inflow forecasting is a crucial parameter to select the model to be used in  
142 forecasting of inflow. This also impacts the complexity of the required model. The selection  
143 should also depend upon the historical time series data [*Salas et al.*, 1985]. The comparison  
144 of the data-driven techniques for the different time horizons has been performed and some  
145 techniques were performing better for shorter time horizons while others were giving good  
146 results for monthly predictions [*Zhang et al.*, 2018]. The uncertainties in forecasting arise  
147 because of errors in the models being used, their parameters, and the boundary conditions and  
148 thus uncertainty analysis has been carried out in inflow forecasts [*Bourdin et al.*, 2014;  
149 *Soleimani et al.*, 2016; *P-S Yu and Tseng*, 2009].

150 Based on the information from the literature it was observed that the daily inflow  
151 forecasting is required for the effective reservoir operation and monthly inflow forecasting is

152 required for the planning of reservoirs. This study attempts both of these sequentially,  
 153 monthly forecasts giving targets to daily forecasting model. The monthly forecasting has  
 154 been taken up using ARIMA model so as to retain the basic hydrograph profile.  
 155 Subsequently, daily forecasting is done using different regression models in Machine  
 156 Learning (ML) in order to incorporate the climatic variations by taking different climatic  
 157 parameters as the predictors in the model.

158

## 159 **2. Methodology**

160 A brief description of the different techniques that have been applied in the study, along with  
 161 the description of the developed algorithm has been presented in this algorithm.

162

### 163 **2.1 Auto-Regressive Integrated Moving Average (ARIMA) model**

164 ARIMA model is obtained by combining autoregressive and moving average models.  
 165 This model has been widely applied and tested for different types of time-series [Box and  
 166 Jenkins, 1990; Lee; Salas et al., 1985]. Most of the real-world data consist of seasonal time  
 167 series and thus the modelling of seasonal time series besides non-seasonal series is also  
 168 required to be discussed. The seasonal time series modelling is known as multiplicative  
 169  $ARIMA(p, d, q)(P, Q, D)_x$  model and is defined as per Equation 1.

$$\begin{aligned}
 & (1 - \phi_1 B^x - \phi_2 B^{2x} - \dots - \phi_p B^{px})(1 - \varphi_1 B - \varphi_2 B^2 - \dots - \varphi_q B^q)(1 - B^x)^D (1 - B)^d Z_t = \\
 & (1 - \Theta_1 B^x - \Theta_2 B^{2x} - \dots - \Theta_Q B^{Qx})(1 - \theta_1 B - \theta_2 B^2 - \dots - \theta_q B^q) \varepsilon_t
 \end{aligned}
 \tag{1}$$

170 where  $\varepsilon_t$  is random variable,  $x$  is periodic term,  $B$  is the difference operator given as  $B(Z_t) =$   
 171  $Z_{t-1}$ ,  $(1 - B^x)^D$  is Dth seasonal difference of  $x$ ,  $(1 - B)^d$  is dth non-seasonal difference,  $p$  is the  
 172 order of non-seasonal autoregressive model,  $q$  is the order of non-seasonal moving average  
 173 model,  $P$  is the order of seasonal autoregressive model,  $Q$  is the order of seasonal moving  
 174 average model,  $\phi$  is the parameter of non-seasonal autoregressive model,  $\varphi$  is the parameter  
 175 of non-seasonal moving average model,  $\Theta$  is the parameter of seasonal autoregressive  
 176 model, and  $\theta$  is the seasonal moving average model [Karamouz and Araghinejad, 2012;  
 177 Valipour et al., 2013]. The determination of the order of AR and MA terms in ARIMA model  
 178 is very important and is performed using Auto Correlation Function (ACF) and Partial Auto  
 179 Correlation Function (PACF) curves. [Cryer and Chan, 2008; Mohammadi et al., 2005].

181

182

## 183 **2.2 Regression model using Machine Learning (ML)**

184 Machine learning is one of the many applications of artificial intelligence (AI) which  
185 provides the systems with an ability to learn automatically and improve from experiences  
186 without being explicitly programmed. The focus of machine learning is on developing  
187 computer programs that access data and use it to learn for themselves. The process of  
188 learning begins with observations of data, looking for the patterns in data and making better  
189 decisions in the future, based on the real time data. The primary aim is to allow the computers  
190 to learn automatically without human intervention or assistance and adjust actions  
191 accordingly [Theobald, 2018].

192 The ML algorithms are generally classified into two categories: Regression and  
193 Classification. For the prediction of data, regression models are used and the basic regression  
194 model available is Multiple Linear Regression model. A Multiple Linear Regression fits a  
195 linear model with coefficients  $w = (w_1, \dots, w_p)$  in order to minimize the residual sum of  
196 squares between the observed values in the dataset, and the targets are then predicted by the  
197 linear approximation.

198 Other advanced and accurate techniques that are used are ensemble methods with the  
199 basic aim to combine the predictions of several base estimators that are built with given  
200 learning algorithms so as to improve generalizability as well as the robustness over a single  
201 estimator. The ensemble methods are usually of two types and are summarized as below :

- 202 1. Averaging methods: The driving principle for these methods are building of several  
203 estimators independently and then to average their predictions. For example, Bagging  
204 methods, and Forests of randomized trees, etc.
- 205 2. Boosting methods: The base estimators are built sequentially and the aim is to reduce the  
206 bias of the combined estimator. The motivation is to combine several weak models to  
207 produce a powerful ensemble. For example, AdaBoost, and Gradient Tree Boosting, etc.

208 This work uses the ensemble methods both averaging and boosting to check the  
209 performance of these for inflow forecasting.

210

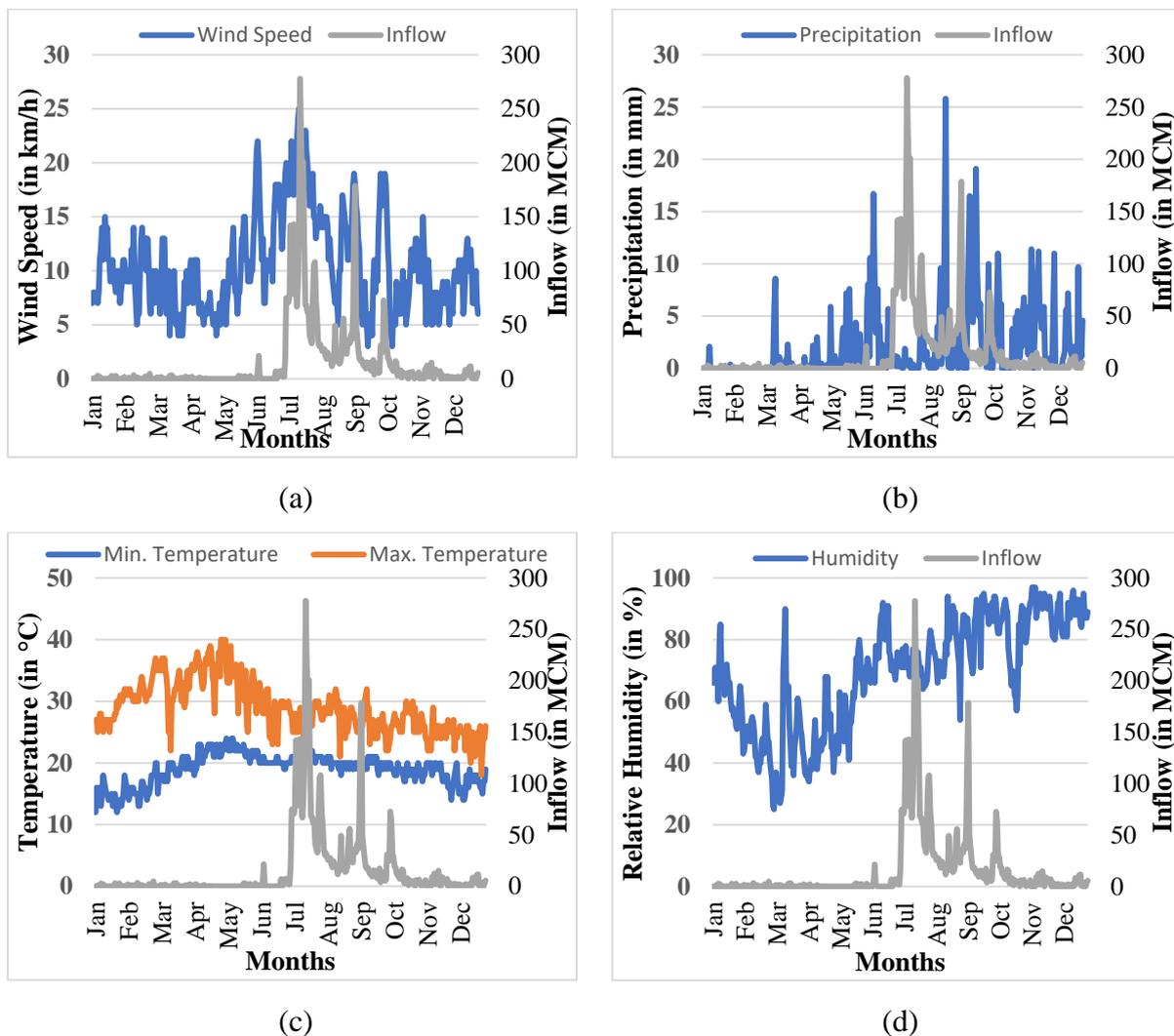
## 211 **2.3 Developed Algorithm**

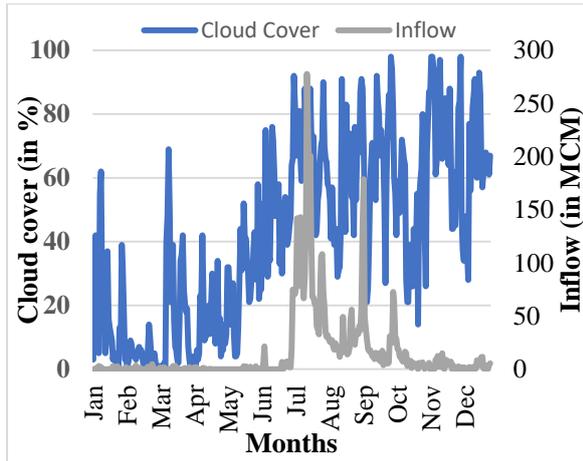
212 This paper deals with the daily as well as monthly forecasting of reservoir inflow. The  
213 forecasting algorithm is divided into two stages: Monthly model and the daily ML model

214 using ensemble methods. The monthly model forecasts the monthly inflows using the  
 215 ARIMA model, based on the historical inflow time series data. The monthly targets obtained  
 216 are then used in the next stage for determining the daily inflows using ML incorporating the  
 217 daily weather conditions into the forecast for different years for the training purpose.

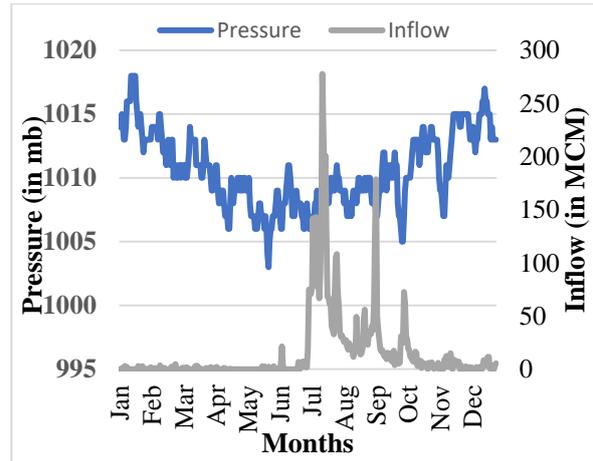
218 The different weather conditions viz. temperature, precipitation, wind speed, humidity,  
 219 cloud cover and pressure have been considered in the study and their relation with the daily  
 220 inflows are shown in Fig.2. It can be seen that each parameter has either a direct or inverse  
 221 relationship with the inflow value. Apart from these other parameters that have been  
 222 considered in this study are Day of week, day of month and the direction of wind. Thus, a  
 223 total of nine parameters have been used in machine learning model.

224





(e)



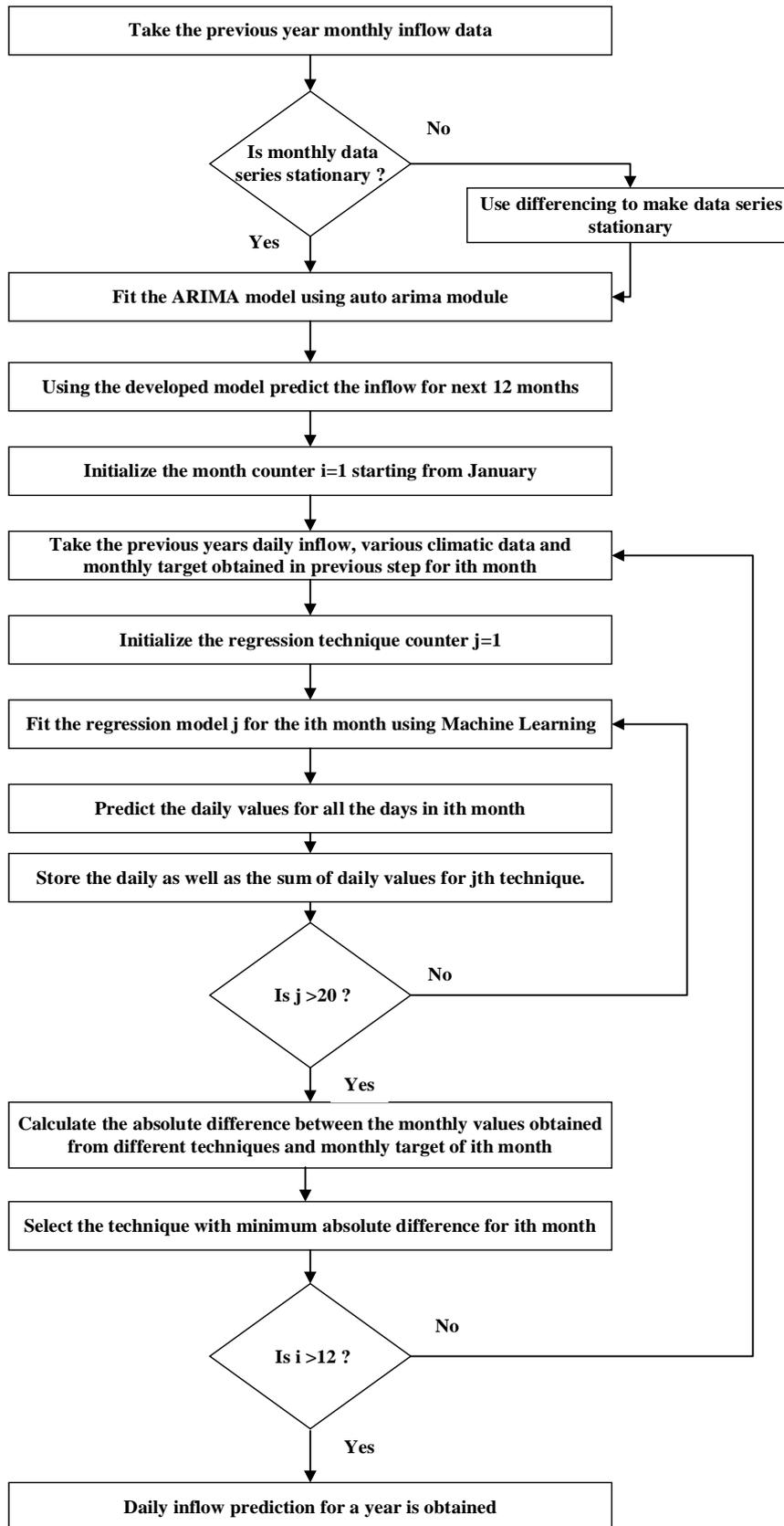
(f)

225 **Fig.2: Daily variation of Inflow with the different climatic parameters for a typical year**

226 In the developed algorithm different Machine learning models have been used to predict the  
 227 daily inflow values on the basis of the targets set by the monthly ARIMA model. The  
 228 different models used in the study are listed in Table 1. A total of 20 models have been  
 229 applied for the daily inflow prediction covering both the averaging and the boosting methods.  
 230 The flowchart of the developed algorithm is shown in Fig.3.

231 **Table 1: Different models of regression used for Daily prediction**

S.No.	Model	Abbreviation
<b>Single Regression</b>		
1.	Multiple Linear Regression	MLR
2.	Gradient Boost Regression	GBR
3.	Random Forest Regression	RFR
4.	Extra-Tree Regression	ETR
5.	Ada-Boost Regression	ABR
<b>Bagging Regression</b>		
6.	MLR	BRLR
7.	GBR	BRGBR
8.	RFR	BRRFR
9.	ETR	BRETR
10.	ABR	BRABR
<b>Voting Regression</b>		
11.	MLR, GBR, RFR	VMGR
12.	MLR, GBR, ETR	VMGE
13.	MLR, GBR, ABR	VMGA
14.	MLR, RFR, ETR	VMRE
15.	MLR, RFR, ABR	VMRA
16.	MLR, ETR, ABR	VMEA
17.	GBR, RFR, ETR	VGRE
18.	GBR, RFR, ABR	VGRA
19.	GBR, ETR, ABR	VGEA
20.	RFR, ETR, ABR	VREA



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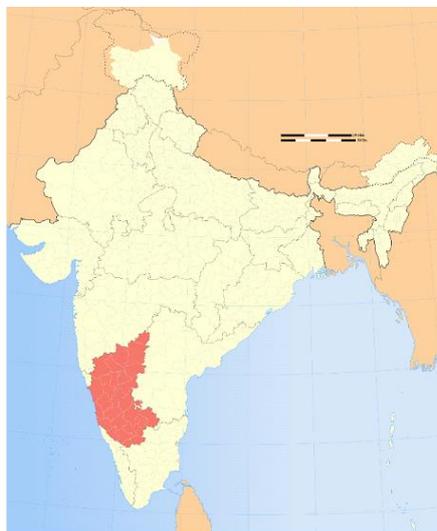
233

**Fig.3: Flow chart of the developed algorithm**

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234 **3. Problem Setting**

235 The developed algorithm has been tested for the reservoirs in a southern state of India,  
 236 Karnataka located on the Western part of the Deccan Peninsular region of India as shown in  
 237 Fig.4.



238

239 **Fig.4: The index map of Karnataka**

240 The annual rainfall in the state varies from 50 to 350 cm during monsoon season from June to  
 241 September which increases significantly in the western part of the state and reaches its  
 242 maximum over the coastal belt. There are three major reservoirs Linganmakki, Supa and  
 243 Mani that are located in the Western part of the state with details as given in Table 2.

244 **Table 2: Details of Linganmakki, Supa and Mani reservoirs**

S.No.	Reservoir	Catchment area (sq. km)	Dam Size (m)		FRL (m)	MDDL (m)	Storage (MCM)	
			Height	Length			Gross	Live
1	Linganmakki	1992	59.13	2749	554.43	522.74	4435	4294.5
2	Supa	1057	101	332	564	494	4178	4116
3	Mani	163	59	585	594.36	572	960	881.6

245 FRL: Full Reservoir Level, MDDL: Maximum Draw Down Level

246 MCM: Million Cubic Meter

247 These three reservoirs are of great importance to the state because they are the first and the  
 248 largest reservoirs in three cascaded hydroelectric schemes totalling to an installed capacity of

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249 about 3200 MW. Being the first reservoirs in the respective cascades it is important that the  
 250 inflows of these reservoirs should be predicted accurately for the future scheduling and  
 251 planning of the reservoirs. This becomes even more crucial with the increase in solar and  
 252 wind installations in the state of Karnataka as hydropower needs to be scheduled in order to  
 253 balance the renewable energy penetration, taking into consideration the economic  
 254 sustainability of themselves [Gupta et al., 2019].

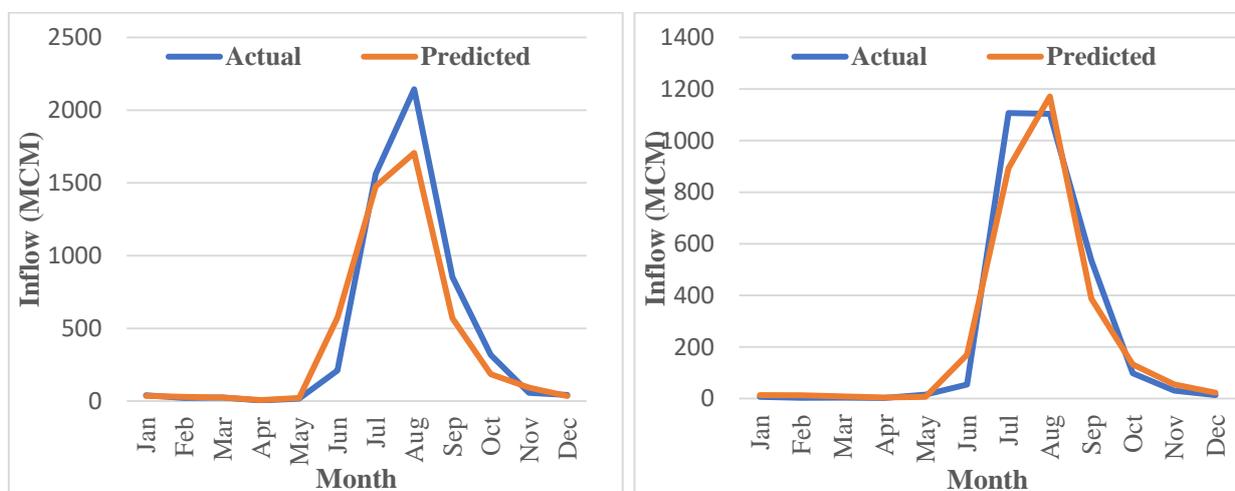
#### 255 4. Results and Discussion

256 The developed algorithm has been applied to Linganmakki, Supa and Mani reservoir. The  
 257 following historical data has been used in the algorithm:

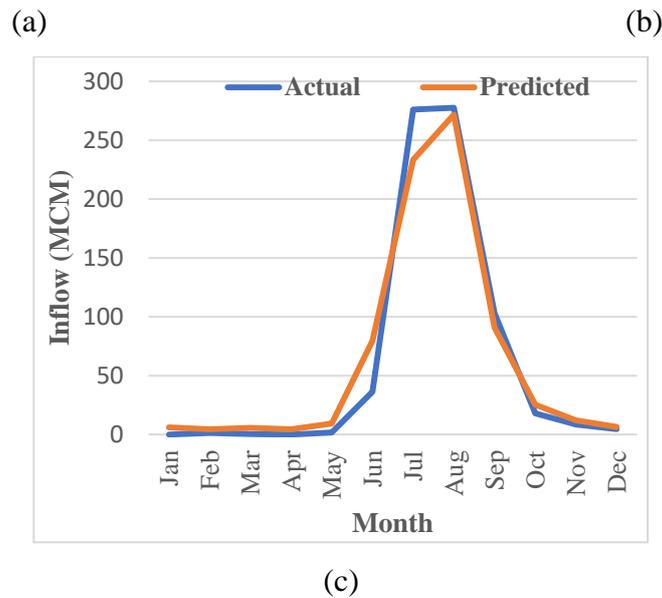
258 1. In ARIMA model for predicting monthly targets 10 years historical inflow data has  
 259 been used from 2004-14. In this data from 2004-2013 has been used as training data and the  
 260 2014 data has been used for validating and results of 2014 have been compared with the  
 261 actual values.

262 2. In the machine learning regression models, all the daily data i.e. inflow data,  
 263 minimum and maximum temperatures, wind speed and direction, humidity, cloud cover,  
 264 precipitation and pressure are taken for 6 years from 2009-2014. In this data from 2009-2013  
 265 has been used as training data and the 2014 data has been used for validating and results of  
 266 year 2014 haven been compared with the actual values.

267 The ARIMA model has been used to predict the monthly reservoir inflow for all the three  
 268 reservoir and the results for the year have been compared with the actual monthly results in  
 269 Fig 5.



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270 **Fig.5: Monthly prediction using ARIMA model (a) Linganmakki (b) Supa (c) Mani reservoir**

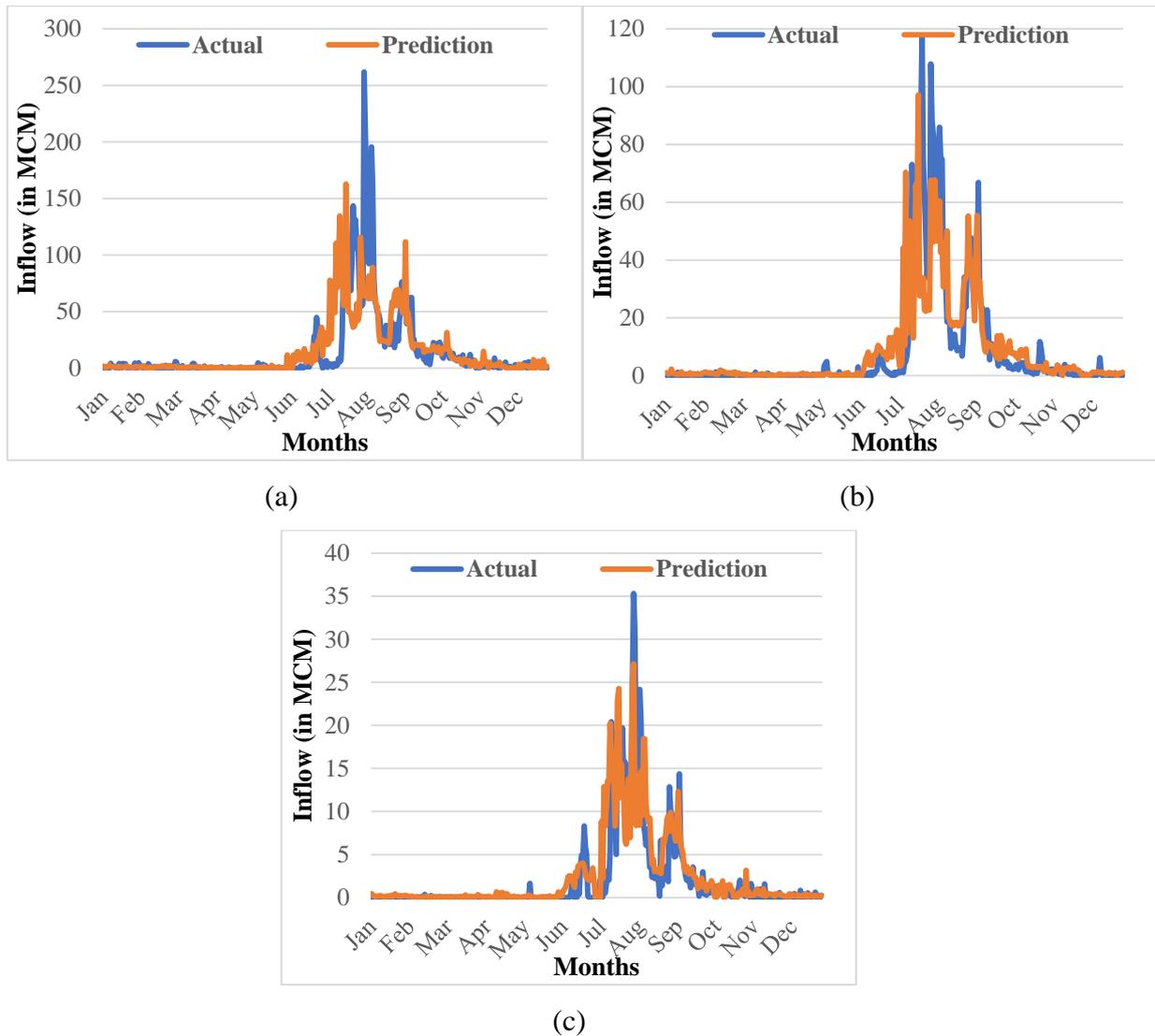
271 It can be observed that the predicted monthly values of the inflow obtained from the fitted  
 272 ARIMA model are matching closely with the pattern of the inflow curve for the year.  
 273 However, the magnitudes of the inflows do not necessarily match the actual values. This can  
 274 be better visualized from the Table 3, which gives the values of the absolute and relative  
 275 Root Mean Square Error (RMSE) and the Mean Absolute Error (MAE). The absolute values  
 276 of RMSE and MAE for monsoon and non-monsoon periods does not give a clear picture of  
 277 the situation because of the fact that in monsoon periods the inflow is very high compared to  
 278 non-monsoon periods, thus absolute errors are also high. Hence, relative values are  
 279 calculated based on the maximum values of the monsoon and non-monsoon periods. It  
 280 shows that a better prediction is obtained for the monsoon period compared to non-monsoon.

281 **Table 3: RMSE and MAE values of the monthly predicted values**

S.No	Reservoir	Non-monsoon Period				Monsoon Period			
		RMSE		MAE		RMSE		MAE	
		Absolute	Relative	Absolute	Relative	Absolute	Relative	Absolute	Relative
1	Linganmakki	129.85	0.408	62.24	0.196	304.71	0.142	268.58	0.125
2	Supa	41.50	0.421	23.89	0.242	155.21	0.141	143.13	0.130
3	Mani	15.26	0.420	9.19	0.253	25.80	0.093	20.20	0.073

282

283 After obtaining the monthly targets from the ARIMA model the same targets are then used  
 284 for the prediction of the daily values using the different Machine Learning algorithms as  
 285 shown in Table 2. The daily results corresponding to the best monthly regression model for  
 286 the three reservoirs are shown in Fig.6.



287 **Fig.6: Daily inflow for the three reservoirs for (a) Linganmakki (b) Supa (c) Mani reservoirs**

288 The daily results for the all the reservoirs are based on the monthly best regression technique,  
 289 out of the 20 models used. The best techniques identified for the different months and for  
 290 different reservoirs are illustrated in Table 4. It can be seen that for maximum number of  
 291 months best technique belongs to the averaging ensemble group.

292

293

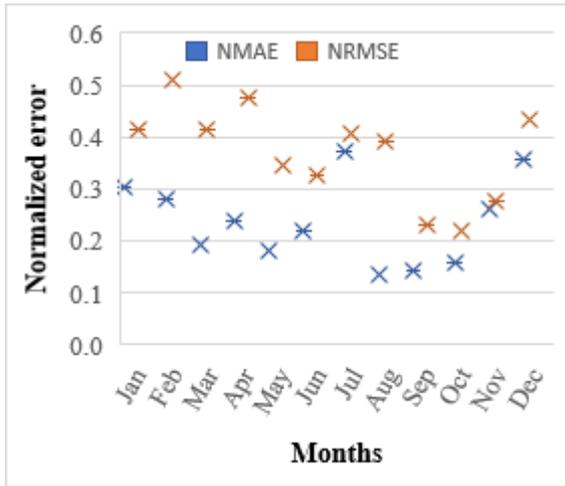
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**Table 4: Best techniques identified for different months**

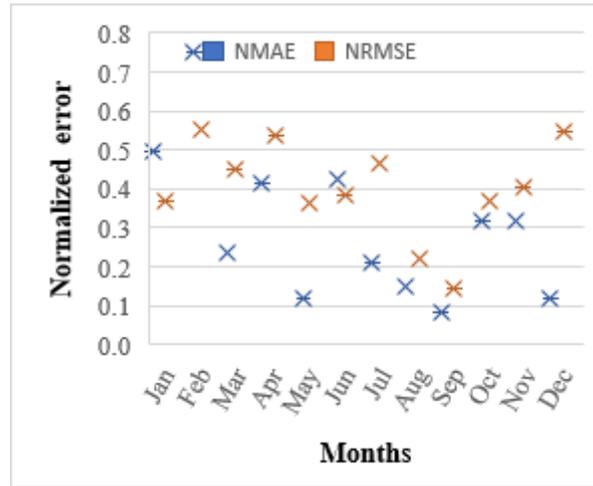
S.No.	Month	Reservoir		
		Linganmakki	Supa	Mani
1	Jan	BRGBR	VGRE	BRRFR
2	Feb	VREA	GBR	ABR
3	Mar	VGRA	BRLR	ABR
4	Apr	BRLR	VMEA	VMEA
5	May	ABR	ETR	BRETR
6	Jun	BRETR	VMRA	BRRFR
7	Jul	VGEA	ETR	MLR
8	Aug	BRRFR	ABR	RFR
9	Sep	BRABR	VGRE	VMEA
10	Oct	BRETR	VGRE	ETR
11	Nov	VMGE	BRLR	VGEA
12	Dec	ETR	MLR	VGEA

295

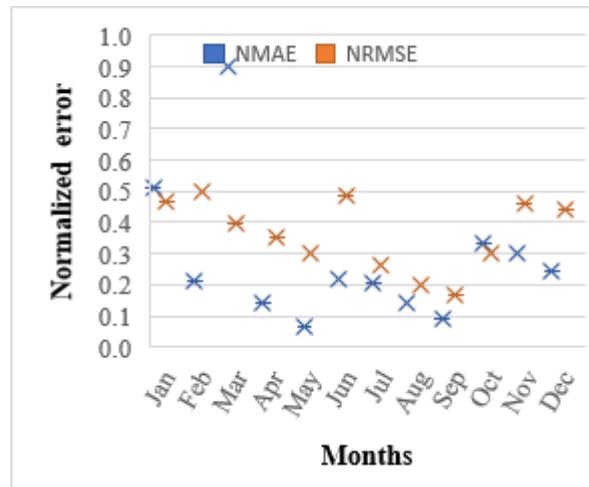
296 The Fig.6 shows that the daily inflow values obtained from the developed algorithm also  
297 follows the inflow trend, just like the monthly inflows. The magnitude comparison can be  
298 carried out using Fig.7, where the month-wise Normalized Root Mean Square Error  
299 (NRMSE) and the Normalized Mean Absolute Error (NMAE) for the daily inflow for all the  
300 three reservoirs are presented. It can be seen that for all the three reservoirs the NMAE  
301 values are mostly below 0.5 and that of NRMSE 0.6 for all the months.



(a)



(b)



(c)

302 **Fig.7: Daily NRMSE and NMAE values for (a) Linganmakki (b) Supa (c) Mani reservoir**

303 The Average NRMSE and NMAE values for the daily prediction of inflow for all the  
 304 reservoirs for monsoon, non-monsoon and full year is shown in Table 5. It is quite evident  
 305 that the techniques presented work a little better in case of monsoon period compared to the  
 306 non-monsoon period. But the overall values of errors are quite low for the developed  
 307 algorithm.

308 **Table 5: Average NRMSE and NMAE values for the daily predicted inflow**

S.No.	Reservoir	Non-monsoon period		Monsoon period		Total	
		NRMSE	NMAE	NRMSE	NMAE	NRMSE	NMAE
1	Linganmakki	0.379	0.243	0.342	0.217	0.370	0.237
2	Supa	0.441	0.355	0.276	0.147	0.400	0.303

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3	Mani	0.413	0.325	0.209	0.144	0.362	0.280
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309

310 The consolidated yearly results of the daily inflow prediction for the three reservoirs is  
 311 summarized in Table 6. The percentage error between the actual and the predicted inflows is  
 312 less than 5 % for all the three reservoirs. Also, the percentage error is maximum for the non-  
 313 monsoon period whereas for the monsoon period the error is quite low, which is in agreement  
 314 to the average NRMSE and MAE values.

315

**Table 6: Yearly values for the daily predicted inflow**

S.No.	Reservoir	Non-monsoon period			Monsoon period			Total		
		Actual	Predicted	Error	Actual	Predicted	Error	Actual	Predicted	Error
		(MCM)		(%)	(MCM)		(%)	(MCM)		(%)
1	Linganmakki	753	956	26.96	4542	4551	0.20	5295	5507	4.00
2	Supa	229	265	15.72	2747	2790	1.56	2976	3055	2.65
3	Mani	70	80	14.29	657	681	3.65	727	761	4.67

316

## 317 5. Conclusion

318 The prediction of reservoir inflow is a crucial area for planning the various activities related  
 319 to reservoirs like hydropower generation, irrigation and drinking water etc. The prediction  
 320 become increasingly important citing the changing climatic conditions, as the changing the  
 321 patterns of rainfall alter the patterns of inflow from the historic data. This also reflects the  
 322 scenario in which the models that are only based upon the historical time series of the inflow  
 323 may not predict the future values accurately and a data driven model that incorporates the  
 324 different climatic factors could provide better results.

325 This work attempts the prediction of the reservoir inflow incorporating the time series  
 326 analysis as well as the data driven regression analysis. The ARIMA model for time series  
 327 analysis has been used for monthly prediction of the inflows based on past 10 years data and  
 328 is used to set the target for the daily prediction. These targets are then used in the daily data  
 329 driven prediction model. In this the minimum and maximum temperature, humidity, wind  
 330 speed and direction, pressure, precipitation, cloud cover have been used as the predictors to  
 331 formulate the model and based on the daily data for these values, prediction of daily inflow  
 332 for the reservoir has been carried out. A total of 20 regression models, both averaging and  
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333 boosting ensemble have been fitted for each month and out of them the one giving the least  
334 MAE is selected for every month.

335 The developed model has been tested on the set of three reservoirs located in the state  
336 of Karnataka in India. It was observed that the prediction model is more accurate for the  
337 monsoon period as compared to the non-monsoon period, especially in the case of monthly  
338 ARIMA model. The observed relative RMSE and MAE for the non-monsoon periods are in  
339 the range of 0.4-0.45 and 0.2-0.25 respectively, whereas for the monsoon period are in  
340 between 0.1-0.15 and 0.1-0.15 respectively. Out of the regression techniques used in the  
341 study, it was observed that for maximum number of months in daily inflow prediction,  
342 averaging type ensemble methods perform better compared to the boosting methods. The  
343 daily month-wise NRMSE and NMAE values were found below 0.6 for maximum number of  
344 months. The average values for monsoon and non-monsoon months show that the prediction  
345 for monsoon period was better than that of non-monsoon period.

346 The total yearly results for the three reservoirs show that for the yearly values the  
347 percentage error of the predicted values is less than 5%. But in case of monsoon period the  
348 error is quite less in comparison to non-monsoon periods. This work could be extended by  
349 incorporating the use of weightage in the months to fine tune the forecasting using the time  
350 series and machine learning. Also, the uncertainty analysis in inflow prediction could also be  
351 considered in future work.

## 352 **Acknowledgement**

353 The first author would extend a sincere gratitude to the Ministry of Human Resources and  
354 Development (MHRD) for providing the financial and technical support in order to carry out  
355 the research work at the Indian Institute of Technology (IIT), Roorkee.

## 356 **Data Availability Statement**

357 The authors confirm that the datasets supporting the problem statement and findings of this  
358 study are available within the article.

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