# A parameterization of local and remote tidal mixing

Casimir de Lavergne<sup>1</sup>, Clément Vic<sup>2</sup>, Gurvan Madec<sup>1</sup>, Fabien Roquet<sup>3</sup>, Amy Waterhouse<sup>4</sup>, Caitlin Whalen<sup>5</sup>, Yannis Cuypers<sup>1</sup>, Pascale Bouruet-Aubertot<sup>1</sup>, Bruno Ferron<sup>2</sup>, and Toshiyuki Hibiya<sup>6</sup>

<sup>1</sup>LOCEAN Laboratory, Sorbonne Université-CNRS-IRD-MNHN, Paris, France
 <sup>2</sup>LOPS Laboratory, UBO-IFREMER-CNRS-IRD, Plouzané, France
 <sup>3</sup>Department of Marine Sciences, University of Gothenburg, Gothenburg, Sweden
 <sup>4</sup>Scripps Institution of Oceanography, University of California, La Jolla, California
 <sup>5</sup>Applied Physics Laboratory, University of Washington, Seattle, Washington
 <sup>6</sup>Department of Earth and Planetary Science, Graduate School of Science, The University of Tokyo, Tokyo, Japan

November 23, 2022

#### Abstract

Vertical mixing is often regarded as the Achilles' heel of ocean models. In particular, few models include a comprehensive and energy-constrained parameterization of mixing by internal ocean tides. Here, we present an energy-conserving mixing scheme which accounts for the local breaking of high-mode internal tides and the distant dissipation of low-mode internal tides. The scheme relies on four static two-dimensional maps of internal tide dissipation, constructed using mode-by-mode Lagrangian tracking of energy beams from sources to sinks. Each map is associated with a distinct dissipative process and a corresponding vertical structure. Applied to an observational climatology of stratification, the scheme produces a global three-dimensional map of dissipation which compares well with available microstructure observations and with upper-ocean finestructure mixing estimates. This relative agreement, both in magnitude and spatial structure across ocean basins, suggests that internal tides underpin most of observed dissipation in the ocean interior at the global scale. The proposed parameterization is therefore expected to improve understanding, mapping and modelling of ocean mixing.

# A parameterization of local and remote tidal mixing

C. de Lavergne,<sup>1\*</sup> C. Vic,<sup>2</sup> G. Madec,<sup>1,3</sup> F. Roquet,<sup>4</sup>
A. F. Waterhouse,<sup>5</sup> C. B. Whalen,<sup>6</sup> Y. Cuypers,<sup>1</sup>
P. Bouruet-Aubertot,<sup>1</sup> B. Ferron,<sup>2</sup> T. Hibiya<sup>7</sup>

 <sup>1</sup>LOCEAN Laboratory, Sorbonne Université-CNRS-IRD-MNHN, Paris F-75005, France
 <sup>2</sup>LOPS Laboratory, UBO-IFREMER-CNRS-IRD, Plouzané, France
 <sup>3</sup>LJK Laboratory, Université Grenoble Alpes-INRIA-CNRS, Grenoble, France
 <sup>4</sup>Department of Marine Sciences, University of Gothenburg, S-405 30 Gothenburg, Sweden
 <sup>5</sup>Scripps Institution of Oceanography, University of California, La Jolla, California
 <sup>6</sup>Applied Physics Laboratory, University of Washington, Seattle, Washington
 <sup>7</sup>Department of Earth and Planetary Science, Graduate School of Science, The University of Tokyo, Tokyo, Japan

\*To whom correspondence should be addressed; e-mail: casimir.delavergne@locean.upmc.fr

#### 1 Main points

A global three-dimensional map of mixing induced by internal tides is presented.
The map can serve as a comprehensive and energy-constrained tidal mixing parameterization in global ocean models.

The map compares well to available microstructure and upper-ocean finestructure mixing
 estimates.

7

### **8** Abstract

Vertical mixing is often regarded as the Achilles' heel of ocean models. In particular, few 9 models include a comprehensive and energy-constrained parameterization of mixing by inter-10 nal ocean tides. Here, we present an energy-conserving mixing scheme which accounts for the 11 local breaking of high-mode internal tides and the distant dissipation of low-mode internal tides. 12 The scheme relies on four static two-dimensional maps of internal tide dissipation, constructed 13 using mode-by-mode Lagrangian tracking of energy beams from sources to sinks. Each map is 14 associated with a distinct dissipative process and a corresponding vertical structure. Applied to 15 an observational climatology of stratification, the scheme produces a global three-dimensional 16 map of dissipation which compares well with available microstructure observations and with 17 upper-ocean finestructure mixing estimates. This relative agreement, both in magnitude and 18 spatial structure across ocean basins, suggests that internal tides underpin most of observed dis-19 sipation in the ocean interior at the global scale. The proposed parameterization is therefore 20 expected to improve understanding, mapping and modelling of ocean mixing. 21

22

# <sup>23</sup> Plain Language Summary

When tidal ocean currents flow over bumpy seafloor, they generate internal tidal waves. Internal 24 waves are the subsurface analogue of surface waves that break on beaches. Like surface waves, 25 internal tidal waves often become unstable and break into turbulence. This turbulence is a 26 primary cause of mixing between stacked ocean layers, which is in turn a key contributor to 27 ocean currents and biology and a key ingredient of computer models of the global ocean. In this 28 article, a three-dimensional global map of mixing induced by internal tidal waves is presented. 29 This map incorporates a large variety of energy pathways from the generation of tidal waves 30 to turbulence, accounting for the conservation of energy. The map is compared to available 31 observations of turbulence across the globe and found to reproduce with good fidelity the main 32 patterns identified in observations. This relatively good agreement suggests that internal tidal 33 waves are the main source of turbulence in the subsurface ocean, and implies that the map may 34 serve a range of applications. In particular, the three-dimensional map provides an efficient and 35 realistic means to represent mixing by internal tidal waves in global ocean models. 36

#### **1. Introduction**

When tidal currents flow over sloping topography, they generate inertio-gravity waves called 38 internal tides (Bell 1975). Internal tides have long been suspected to play an important role in 39 mixing the deep ocean (Munk 1966). Accumulating observations from the past three decades 40 suggest that tides power much of the small-scale turbulence responsible for irreversible mixing, 41 not only in the deep ocean (Polzin et al. 1997, Ledwell et al. 2000) but also in the upper 42 ocean (Hibiya and Nagasawa 2004, Kunze et al. 2006, Polzin 2009, Whalen et al. 2012, 43 Kunze 2017). However, mapping tidal mixing at the global scale has proven arduous because 44 of the difficulty in measuring small-scale turbulence and in attributing the observed turbulence 45 to specific dissipation pathways (Waterhouse et al. 2014, MacKinnon et al. 2017). As a result, 46 extant parameterizations of tidal mixing suffer from substantial simplifications and uncertainties 47 in the specified distribution of internal tide energy dissipation. These uncertainties limit in turn 48 our understanding of the drivers, structure and climatic functions of the overturning circulation 49 (Sigman et al. 2010, de Lavergne et al. 2016, Melet et al. 2016). 50

Internal tides radiate from the seafloor with a variety of spatial scales. Small-scale internal 51 tides, referred to as high-mode internal tides, tend to break into small-scale turbulence close 52 to generation site (St Laurent and Garrett 2002). By contrast, large-scale or low-mode internal 53 tides can travel hundreds to thousands of kilometers and fuel dissipation remote from genera-54 tion site (Dushaw et al. 1995, Ray and Mitchum 1996). Most tidal mixing parameterizations 55 in use in Ocean General Circulation Models (OGCMs) only consider high-mode internal tides. 56 They rely on a map of internal tide generation and posit that one-third of this energy source 57 feeds high-mode waves that dissipate in the local water column (St Laurent et al. 2002). The 58 remaining two-thirds of power input are ignored or surmised to participate in sustaining a con-50

stant background diffusivity of order  $10^{-5}$  m<sup>2</sup> s<sup>-1</sup> (Simmons et al. 2004). This approach has two principal limitations: (i) the fraction of local dissipation is not uniform and actually depends on resolution and location (St Laurent and Nash 2004, Falahat et al. 2014a, Vic et al. 2019); (ii) constant background diffusivities disallow energy conservation and do not do justice to observed patterns of mixing rates (de Lavergne et al. 2019).

Several recent studies tackled the limitation (ii) by explicitly including mixing powered by 65 low-mode internal tides. Oka and Niwa (2013) employed a static horizontal map of low-mode 66 dissipation derived from high-resolution numerical experiments (Niwa and Hibiya 2011). As-67 suming that this dissipation is uniform in the vertical, they assessed the impact of adding remote 68 tidal mixing in an OGCM. Eden and Olbers (2014) proposed an interactive parameterization of 69 low-mode energy propagation and dissipation. They introduced an equation for the evolution 70 of low-mode energy within an OGCM. A map of low-mode internal tide generation, param-71 eterized attenuation rates and a simple model for the vertical dependence of dissipation then 72 allow solving for the evolving tidal mixing rates. These studies demonstrate the feasibility and 73 importance of replacing background diffusivities by an explicit parameterization of remote tidal 74 mixing. Further advance requires improvements in the realism of the modelled distribution of 75 internal wave energy loss. The vertical structure of dissipation needs particular attention, as it is 76 crucial for ocean ventilation and as it depends on the process causing dissipation (de Lavergne 77 et al. 2016, Melet et al. 2016). 78

To accurately parameterize mixing energized by low-mode internal tides, it is thus necessary to track where and how they dissipate. Using Lagrangian tracking of internal tide energy beams through observed stratification, de Lavergne et al. (2019) recently estimated column-integrated internal tide dissipation rates decomposed into contributing processes. Here, we make use of

these horizontal maps and of historical microstructure observations to propose a comprehensive 83 and energy-constrained parameterization of tidal mixing. The parameterization explicitly ac-84 counts for the local and remote dissipation of internal tides and obviates assumptions about the 85 fraction of local dissipation. It relies on four static maps of column-integrated dissipation, each 86 associated with a distinct process and related vertical structure (section 2). To gauge the realism 87 of the parameterization, we apply it to an observational hydrographic climatology, and compare 88 the obtained three-dimensional distribution of mixing to a compilation of observational mixing 89 estimates (section 3). We then document the inferred global budget of internal tide-induced dis-90 sipation (section 4) and conclude with a summary of implications and limitations of the analysis 91 (section 5). 92

93

#### **2. Process-dependent vertical structures**

The vertical mode of internal tides is a key determinant of their propagation and dissipation 95 characteristics (Olbers 1976). The lowest modes have elevated group speeds and relatively 96 slow rates of attenuation by wave-wave interactions. They consequently tend to lose much of 97 their energy through interactions with topography (Kelly et al. 2013). Conversely, high vertical 98 modes tend to lose most of their energy through wave-wave interactions within the near-local 99 water column (Nikurashin and Legg 2011). These different fates of internal tides were mapped 100 globally, for each mode and each of the main three tidal constituents ( $M_2$ ,  $S_2$  and  $K_1$ ), using the 101 following ingredients (Fig. 1; de Lavergne et al. 2019): (i) an observational climatology of strat-102 ification (Gouretski and Koltermann 2004); (ii) estimates of internal tide generation projected 103 onto vertical modes (Falahat et al. 2014b, Melet et al. 2013a); (iii) simplified representations 104 of energy sinks (Olbers 1983, Bühler and Holmes-Cerfon 2011, Hazewinkel and Winters 2011, 105

<sup>106</sup> MacKinnon et al. 2013, Legg 2014); and (iv) a Lagrangian energy tracker.

Modes 6 and higher, which cumulate a power of 217 GW, were found to dissipate locally at 107 the half-degree resolution of the calculation. These locally dissipating modes comprise modes 108 6 to 10 (115 GW; Falahat et al. 2014b) and much higher modes generated by abyssal hills 109 (102 GW; Melet et al. 2013a). The dissipation of modes 1 to 5 was split into four processes: 110 wave-wave interactions (521 GW), incidence on critical topographic slopes (128 GW), shoaling 111 (95 GW) and scattering by abyssal hills (83 GW). Here we organize these contributions to the 112 overall internal tide energy loss (1044 GW) into four components, based on expectations about 113 induced vertical structures of turbulent kinetic energy production (Fig. 1): 114

- $E_{wwi}$ : attenuation of low modes by wave-wave interactions;
- $E_{sho}$ : direct breaking of low-mode waves through shoaling;
- $E_{cri}$ : low-mode waves dissipating at critical slopes;
- $E_{hil}$ : scattering of low-mode waves by abyssal hills and generation of high-mode waves by abyssal hills.

The four components are mapped in Fig. 2. Generation and scattering by abyssal hills  $(E_{hil})$ 120 is most intense along ridges of the Atlantic and Indian basins while non-negligible throughout 121 most of the open ocean (Fig. 2d). Abyssal hills are dominant features of the ocean floor at 122 horizontal scales within 10 km (Macdonald et al. 1996, Goff 2010). They are thought to be 123 responsible for the bulk of bottom-intensified mixing above rough ridges (Polzin 2004, Muller 124 and Bühler 2009, Nikurashin and Legg 2011, Lefauve et al. 2015). The remaining three com-125 ponents encapsulate the dissipation of the first 10 vertical modes (Fig. 2a-c). Modes 6 to 10 126 are assumed to dissipate through wave-wave interactions  $(E_{wwi})$  only, consistent with the over-127

whelming contribution (99%) of this process to mode 5 dissipation (de Lavergne et al. 2019).  $E_{wwi}$  features widespread, strong depth-integrated dissipation and dominates the overall budget (Fig. 2a). A floor of  $10^{-5}$  W m<sup>-2</sup> was imposed on  $E_{wwi}$  to maintain a minimal amount of mixing poleward of the S<sub>2</sub> turning latitude (85.8°); this floor increased total dissipation by 0.1 GW. Shoaling ( $E_{sho}$ ) mostly acts at the shelf break and shoreward, so that the induced turbulence is confined to relatively shallow waters (Fig. 2b). Dissipation of low-mode waves impinging on critical topography ( $E_{cri}$ ) occurs primarily at continental slopes (Fig. 2c).

Power contained in the four static maps must now be distributed in the vertical to obtain a threedimensional distribution of the production rate of turbulent kinetic energy. In all the following, this rate will be denoted  $\epsilon$  and referred to as *turbulence production* for brevity. Turbulence production can be related to diffusivity  $K_{\rho}$ , buoyancy frequency N and frictional heat production  $\epsilon_{\nu}$  through the simplified balance (Osborn 1980)

$$\epsilon = K_{\rho} N^2 + \epsilon_{\nu} . \tag{1}$$

Introducing the flux Richardson number or *mixing efficiency*  $R_f$ , the buoyancy flux term can be expressed as  $K_{\rho}N^2 = R_f\epsilon$ . The constant value  $R_f = 1/6$  is generally assumed in stratified oceanic conditions (Gregg et al. 2018).  $R_f$  should be distinguished from the *flux coefficient*  $\Gamma = K_{\rho}N^2/\epsilon_{\nu} = R_f/(1 - R_f)$ , used to deduce  $K_{\rho}$  from microstructure measurements of  $\epsilon_{\nu}$ , and usually taken to be one-fifth.

#### *a. Low-mode components*

Observational and theoretical evidence indicates that turbulence production fuelled by the downscale cascade of low-mode wave energy through wave-wave interactions scales with the square of the buoyancy frequency (Müller et al. 1986, Gregg 1989, Polzin et al. 1995, Polzin 2004). Accordingly, we define the local power density  $\epsilon_{wwi}$  (with units of W kg<sup>-1</sup>) as

$$\epsilon_{wwi} = \frac{E_{wwi}}{\rho} \frac{N^2}{\int N^2 dz},\tag{2}$$

where  $\rho$  denotes the in-situ density, z height and  $\int dz$  an integral over the full water column.

Shoaling-induced dissipation often occurs via direct breaking of low-mode waves, so that the dissipation structure roughly matches the profile of the wave energy, proportional to N (D'Asaro and Lien 2000, Legg 2014, Melet et al. 2016). We choose

$$\epsilon_{sho} = \frac{E_{sho}}{\rho} \frac{N}{\int N dz}.$$
(3)

Incidence on critical slopes triggers boundary turbulence along the slope (Eriksen 1982, Moum et al. 2002, Legg and Adcroft 2003, Nash et al. 2004). The along-slope concentration of dissipation is not easily mimicked in a coarsely-resolved ocean with step-like topography. To roughly capture the vertical extent and bottom-intensification of the dissipation, we use an exponential decay from the seafloor with an e-folding scale  $H_{cri}$  equal to the along-slope height difference (Fig. 3a). The height scale for a given grid column (i, j) is thus defined as the largest local topographic rise,

$$H_{cri}(i,j) = H(i,j) - \min\left(H(i+1,j), H(i-1,j), H(i,j+1), H(i,j-1)\right),$$
(4)

with H > 0 the bathymetry. Where the so defined  $H_{cri}$  is negative, it is set instead as the subgrid-scale maximum local topographic rise (see Appendix A in de Lavergne et al. 2019). Turbulence production is then given by

$$\epsilon_{cri} = \frac{E_{cri}}{\rho} \frac{\exp(-h_{ab}/H_{cri})}{H_{cri}(1 - \exp(-H/H_{cri}))},\tag{5}$$

where  $h_{ab}$  denotes the height above bottom. This exponential vertical structure implies that the bulk of dissipation occurs along the slope, but that some dissipation reaches higher up, in accord with results of process studies (Legg and Adcroft 2003, Legg 2014).

#### 167 b. Abyssal hills

Several vertical structures have been proposed for the dissipation of internal tides excited by 168 abyssal hills (Polzin 2004, 2009, Melet et al. 2013b, Lefauve et al. 2015). To assess these 169 structures, we focus on a densely sampled area of the eastern Brazil Basin: 21-22°S; 16-19°W. 170 During two cruises of the Brazil Basin Trace Release Experiment (BBTRE), in 1996 and 1997, 171 51 microstructure profiles were collected in this area (Polzin et al. 1997). Following Polzin 172 (2009), we first construct a composite profile of turbulence production for the region by averag-173 ing microstructure profiles in depth coordinate over the upper 3 km, and in height-above-bottom 174 coordinate over the bottom 1.5 km. The factor  $(1 - R_f)^{-1} = 6/5$  is used to convert from 175 the measured viscous dissipation to turbulence production. The resulting 4.5 km deep profile, 176 shown in Fig. 4, implies a depth-integrated power consumption of  $5.5 \times 10^{-3}$  W m<sup>-2</sup>. Somewhat 177 coincidentally, the predicted internal tide energy loss,  $E_{tid} = E_{wwi} + E_{sho} + E_{cri} + E_{hil}$ , aver-178 aged over the same area is  $5.6 \times 10^{-3}$  W m<sup>-2</sup>. Only  $E_{hil}$  and  $E_{wwi}$  are important contributors 179 here, amounting to  $3.5 \times 10^{-3}$  and  $2.0 \times 10^{-3}$  W m<sup>-2</sup>, respectively. 180

<sup>181</sup> Using a simplified theory for the decay of hill-generated internal tides within uniform stratifi-<sup>182</sup> cation, Polzin (2004) predicted that turbulence production  $\epsilon$  decreases with  $h_{ab}$  following

$$\epsilon = \frac{\epsilon_0}{(1 + h_{ab}/H_{bot})^2},\tag{6}$$

where  $H_{bot}$  is a height scale that depends on bottom conditions. Given  $H_{bot} = 150$  m and

 $\epsilon_0 = 10^{-8} \text{ W kg}^{-1}$ , this structure provides a good fit to the Brazil Basin composite microstruc-184 ture profile within 1.5 km of the bottom (Polzin 2004). Here, we must express  $\epsilon_{hil}$  as a function 185 of the depth-integrated power  $E_{hil}$  instead of the bottom  $\epsilon_0$ . To this end, we introduce the 186 parameter  $r_{bot}$ , which represents the fraction of  $E_{hil}$  that dissipates close to the bottom, i.e., 187 following equation (6). We obtain a best fit to the bottom-intensified portion of the composite 188 microstructure profile using  $r_{bot} = 0.86$ , together with  $H_{bot} = 150$  m (Fig. 4b). The remain-189 der of the power,  $(1 - r_{bot})E_{hil}$ , feeds small-scale turbulence in the shallower portion of the 190 water column. We choose to distribute this remainder as proportional to  $N^2$ , consistent with 191 turbulence arising from weakly nonlinear wave-wave interactions (Polzin 2009). The complete 192 parameterized vertical structure for  $\epsilon_{hil}$  is thus given by 193

$$\epsilon_{hil} = \frac{E_{hil}}{\rho} \left[ r_{bot} \frac{1}{(1 + h_{ab}/H_{bot})^2} \left( \frac{1}{H} + \frac{1}{H_{bot}} \right) + (1 - r_{bot}) \frac{N^2}{\int N^2 dz} \right]$$
(7)

The factor  $\frac{1}{H} + \frac{1}{H_{bot}}$  comes from normalization of the depth-integrated power. When adding  $\epsilon_{wwi}$ , this parameterization tracks the observational profile over the full water column (Fig. 4c). Quantitatively, the percentage power contained in each 750-m layer of the parameterized profile is within 1% of its observational counterpart (Table 1).

<sup>198</sup> We assess two alternative parameterizations (Fig. 4d-i; Lefauve et al. 2015, Melet et al. 2013b). <sup>199</sup> They apply to  $\epsilon_{hil}$  only (Fig. 4e,h), so that  $\epsilon_{wwi}$  is distributed according to equation (2) in every <sup>200</sup>  $\epsilon_{hil} + \epsilon_{wwi}$  profile (Fig. 4f,i). Both parameterizations resort to a stratification-weighted height <sup>201</sup> above bottom,

$$h_{wkb,m} = H \frac{\int_{-H}^{z} N^m dz}{\int_{-H}^{0} N^m dz},$$
(8)

<sup>202</sup> also known as Wentzel-Kramers-Brillouin stretching. Here m is an exponent equal to 1 (Fig. 4d) <sup>203</sup> or 2 (Fig. 4g). Lefauve et al. (2015) proposed a profile of turbulence production proportional <sup>204</sup> to  $N^2 \exp(-h_{wkb,1}/H_L)$ , where  $H_L$  is a height scale. As shown in Fig. 4f and Table 1, the best

fit obtained for  $H_L = 400$  m is unsatisfactory within the bottom portion of the water column: 205 the structure is strongly influenced by the local maximum in stratification near 3.7 km depth 206 (Fig. 4a). Recasting the structure of Polzin (2009) in an energy-conserving form, Melet et al. 207 (2013b) proposed a profile proportional to  $N^2(1+h_{wkb,2}/H_M)^{-2}$ , with  $H_M$  an appropriate decay 208 scale. This structure provides a reasonable fit to the composite microstructure profile for  $H_M$ 209 = 5 m (Fig. 4i and Table 1). However, turbulence production is underestimated at mid-depth, 210 near the local minimum in stratification. On the whole, parameterization (7) best mimics the 211 measured dissipation across the water column. 212

Parameterization (7) has an additional important characteristic: it ensures that near-bottom tur-213 bulence levels are decoupled from upper-ocean changes in stratification. This is not the case of 214 structures proposed by Melet et al. (2013b) and Lefauve et al. (2015), according to which an 215 increase in near-surface stratification implies a reduction of the power input to abyssal mixing. 216 The latter behaviour is illustrated in Fig. 5 by applying the three parameterizations to climato-217 logical summer and winter profiles of stratification in the region 21-22°S; 16-19°W. In summer, 218 larger buoyancy frequencies in the upper 400 m of the water column lead to a decrease of tur-219 bulence production in the bottom kilometer using the structure of Lefauve et al. (2015), and in 220 the bottom grid cell using that of Melet et al. (2013b). A long-term increase in upper-ocean 221 stratification, as may occur under a climate change scenario, would similarly drain mixing en-222 ergy from the abyss. In contrast, parameterization (7) predicts unchanged abyssal mixing under 223 unchanged abyssal conditions, as expected for turbulence driven by the rapid decay of bottom-224 generated small-scale internal tides (Polzin 2004, 2009). 225

Properties of parameterization (7) rely on the introduction of two adjustable parameters,  $H_{bot}$ and  $r_{bot}$ . These parameters are expected to vary geographically: more energetic or smaller scale

waves should decay more rapidly above the bottom, implying smaller  $H_{bot}$  or higher  $r_{bot}$  or 228 both (Lefauve et al. 2015). Lefauve et al. (2015) found that internal tide energy dissipation 229 above abyssal hills is largely shaped by the root-mean-square amplitude of internal tides at the 230 bottom,  $A_{rms}$ . This characteristic wave amplitude is related to the power input  $E_{hil}$  and the 231 mean local wavenumber of abyssal hills  $k_{hil}$  via the scaling  $A_{rms} \propto E_{hil}^{1/2} k_{hil}^{3/2}$ . We mapped 232  $A_{rms}$  using  $k_{hil}$  estimated by Goff (2010). In general,  $A_{rms}$  decays away from the crest of the 233 main mid-ocean ridges (Fig. 3c). The eastern Brazil Basin has relatively short-scale abyssal 234 hills and relatively large  $A_{rms}$  (Fig. 6). Hence, on average, turbulence induced by abyssal hills 235 should be less concentrated near the bottom than observed in the 21-22°S; 16-19°W region. 236

To account for this variability, we test a range of scenarios defined by  $H_{bot} \propto A_{rms}^l$  and 237  $r_{bot} \propto A_{rms}^p$ , with  $l \in \{-2, -1, -0.5, 0\}$  and  $p \in \{-0.5, 0, 0.5, 1\}$ . Combined to the val-238 ues  $H_{bot} = 150$  m and  $r_{bot} = 0.86$  appropriate to the eastern Brazil Basin, the scenarios define 239 global geographies of the two parameters. We then apply the complete parameterization to the 240 WOCE annual mean climatology of stratification (Gouretski and Koltermann 2004) and com-241 pare the obtained distribution of  $\epsilon_{tid} = \epsilon_{hil} + \epsilon_{cri} + \epsilon_{sho} + \epsilon_{wwi}$  to available microstructure 242 observations across the globe (Fig. 7a; see section 3 for an expanded description). In particular, 243 we sample the parameterized  $\epsilon_{tid}$  along microstructure profiles and compare project-average 244 profiles (Fig. 8). We find that  $H_{bot} \propto A_{rms}^{-1}$  (Fig. 3b,c) and a constant  $r_{bot}$  generate the best 245 overall agreement. Specifically, a steeper decrease of  $H_{bot}$  with  $A_{rms}$  or an increase of  $r_{bot}$ 246 with  $A_{rms}$  promotes underestimation (overestimation) of the near-bottom dissipation in sam-247 pled regions where  $A_{rms}$  is relatively weak (strong). Zonal and vertical patterns of measured 248

<sup>&</sup>lt;sup>1</sup>Scaling equation (7) in Lefauve et al. 2015 gives  $A_{rms} \propto C(k_{hil})^{1/2} J(k_{hil}) k_{hil}^2$ , where C is the 2-D spectrum for small-scale bathymetry, and J is the Bessel function of the first kind of order one. Scaling equation (7) in Polzin 2009, and omitting tidal harmonics, gives  $E_{hil}(k_{hil}) \propto C(k_{hil}) J^2(k_{hil}) k_{hil}^{-1/2}$ . Combining the two scalings gives  $A_{rms} \propto E_{hil}^{1/2} k_{hil}^{3/2}$ .

dissipation across the Brazil Basin provide the strongest constraint. The scenario  $H_{bot} \propto A_{rms}^{-1}$ and  $r_{bot} = 0.86$  gives a reasonable match between the parameterization and BBTRE across the basin and throughout the water column (Figs. 8 and 9). In the following, we retain this scenario exclusively and document the resultant climatological distribution of  $\epsilon_{tid}$ .

## 254 3. Comparison to microstructure and finestructure observations

#### *a. Microstructure*

253

Our compilation of microstructure data (Fig. 7a) includes field campaigns described and ana-256 lyzed by Waterhouse et al. (2014) as well as data from eight additional projects: INDOMIX 257 (Bouruet-Aubertot et al. 2018a); OUTPACE (Bouruet-Aubertot et al. 2018b); three cruises over 258 the Izu-Ogasawara ridge, hereafter referred to as IZU (Hibiya et al. 2012); DoMORE (Thurn-259 herr et al. 2020); RidgeMix (Vic et al. 2018), OVIDE (Ferron et al. 2014), RREX (Petit et al. 260 2018) and PROVOLO (Fer et al. 2019). The compilation encompasses a total of 19 campaigns 261 cumulating 1171 microstructure profiles. Only two profiles, from station 5 of the INDOMIX 262 dataset, are excluded from the present analysis. Located in Ombai Strait, these profiles fea-263 ture dissipation rates in excess of 10<sup>-4</sup> W kg<sup>-1</sup>, likely related to large internal solitary waves 264 (Bouruet-Aubertot et al. 2018a), that overwhelm the regional average. Following Waterhouse 265 et al. (2014), we split the BBTRE dataset into eastern (BBTREe: east of 28°W) and western 266 (BBTREw: west of 28°W) regions (Fig. 7a). Measured viscous dissipation rates are binned into 267 200 m depth intervals and multiplied by  $(1 - R_f)^{-1} = 6/5$  to obtain profiles of turbulence 268 production. Project-average profiles are shown in black in Fig. 8. 269

Comparison with the parameterized  $\epsilon_{tid}$  profiles (Fig. 8, blue) yields mixed results. Good agree-270 ment is found for NATRE, BBTREw, BBTREe, RidgeMix and DoMORE. Some overestimation 271 of the deepest measurements from the latter three campaigns is nonetheless apparent. A rea-272 sonable match in magnitude but departures in shape are obtained for GEOTRACES, OVIDE, 273 DIMES-DP, HOME, LADDER and INDOMIX. In several other cases, namely IZU, RREX, 274 GRAVILUCK, OUTPACE and TOTO, the parameterization reproduces the shape but substan-275 tially overestimates the magnitude of the observational profile below 200 m. In the remaining 276 SOFine, DIMES-West, PROVOLO and Fieberling areas, the parameterization predicts lower 277 turbulence production than was measured. 278

Discrepancies can be explained by: (i) biases of the parameterization; (ii) non-tidal energy 279 sources; (iii) application of the parameterization to a gridded annual mean stratification field, 280 that may depart from the local stratification at the time of measurements; (iv) variability of 281 turbulence that limits representativeness of measurements; and (v) measurement uncertainty. 282 Substantial overestimates of turbulence production by the parameterization most likely stem 283 from (i). Biased internal tide generation rates near Iceland (Lefauve et al. 2015) may con-284 tribute to RREX and OVIDE mismatches. A lack of energy redistribution in the model, possibly 285 linked to overly strong attenuation by wave-wave interactions (de Lavergne et al. 2019), could 286 also explain overestimates around generation sites such as IZU. Localized biases in mapped 287 internal tide sources and sinks likely contribute to large magnitude offsets at TOTO, GRAV-288 ILUCK and Fieberling sites. Explanation (ii) is designated for the three Southern Ocean sur-289 veys (SOFine, DIMES-West and DIMES-DP): internal waves generated by atmospheric storms 290 or jet-topography interactions likely dominate turbulence production in these areas (Ledwell et 291 al. 2011, St Laurent et al. 2012, Waterman et al. 2013). In general, non-modelled processes are 292 expected to contribute to salient mismatches in shape or amplitude. 293

Microstructure profilers provide instantaneous local measurements of patchy and intermittent 294 turbulence, so that spatio-temporal variability and limited sampling will also account for some 295 differences between observations and the  $\epsilon_{tid}$  climatology. In particular, seasonal modulation 296 of stratification, the spring-neap tidal cycle, and differences in seafloor depth between the  $0.5^{\circ}$ -297 resolution climatology and local observational casts can blur the comparison. For example, 298 analysis of the timing of RREX observations along the Reykjanes ridge crest relative to the 299 spring-neap cycle indicates that the measurements are biased toward neap tides on average 300 (not shown). This sampling bias could contribute to the discrepancy with the parameterization. 301 Microstructure-derived dissipations also have intrinsic uncertainty, thought to be about a factor 302 of 2, attributable in part to sensor calibration and to the translation of microscale shear spectra 303 into frictional dissipation rates (e.g., Toole et al. 1994). We note that a recent reinterpretation 304 of the DoMORE raw data (see Appendix in Thurnherr et al. 2020) produces dissipation rates 305 (used here) that are larger than those initially estimated (Clément et al. 2017), leading to better 306 agreement with BBTRE and with the present parameterization. 307

Viewed overall, the comparison in Fig. 8 suggests that the parameterization captures reasonably 308 well contrasts of turbulence production across regions and between ocean layers. For example, 309 the gap of three orders of magnitude between Indonesian seas (INDOMIX) and the subtropical 310 northeast Atlantic (NATRE) is correctly reproduced. The predicted vertical structure is also 311 realistic in most cases; exceptions tend to coincide with regions for which there is evidence 312 that internal tides are not the main conduit to small-scale turbulence. Hence, the constructed 313 two-dimensional maps (Figs. 2 and 3) combined to chosen vertical structures (equations (2), 314 (3), (5) and (7)) appear to have skill in mimicking turbulence powered by internal tides. This 315 skill is exemplified by the Brazil Basin transect shown in Fig. 9 and further endorsed by the 316 two-dimensional histogram of Fig. 10a. In spite of scatter in microstructure data and variability 317

across four orders of magnitude, turbulence levels and trends are generally comparable in the in-situ and climatological datasets. In particular, 85% of the 13,733 values in the microstructure database agree with  $\epsilon_{tid}$  within a factor of 10; 41% of values agree within a factor of 2.

#### 321 *b. Finestructure*

Internal wave energy dissipation can be estimated from the finescale strain contained in hydro-322 graphic casts (Polzin et al. 1995, Whalen et al. 2012). Such finestructure dissipation estimates 323 carry large uncertainties because of choices and parameters involved in the inference (Polzin et 324 al. 2014, Pollmann et al. 2017). Nonetheless, they allow extensive spatial coverage in compar-325 ison to microstructure measurements (Fig. 7). Here we analyse full-depth dissipation estimates 326 of Kunze (2017) based on shipboard CTD casts (Fig. 7b), and upper-ocean dissipation estimates 327 of Whalen et al. (2015) based on Argo CTD profiles (Fig. 7c). Values shallower than 380 m are 328 considered unreliable by Kunze (2017) due to influence of the mixed layer on calculated strain. 329 Whalen et al. (2015) exclude the mixed layer and mode water from the processing of individual 330 Argo profiles. The Argo-based dataset employed here spans the 300-1900 m depth range and 331 consists of average profiles binned into  $1^{\circ} \times 1^{\circ}$  grid squares. 332

Comparison of finestructure observational estimates to the present climatology at 400 m depth reveals strong similarities (Fig. 11), consistent with previous findings (de Lavergne et al. 2019). The western low-latitude Pacific stands out as the most dissipative region, followed by western Indian and mid-Atlantic waters. The imprint of ridges hosting strong internal tide generation and dissipation is visible in all three maps, in spite of the relatively shallow depth (400 m) shown here. Enhanced dissipation above the Izu-Ogasawara ridge (which extends south of Japan) is conspicuous in the present (Fig. 11a) and Argo-finestructure (Fig. 11c) datasets, though less intense in the latter. This local difference in magnitude reflects a more general tendency: basinscale horizontal contrasts appear to be amplified in the  $\epsilon_{tid}$  climatology relative to finestructure observations. Such a pattern amplification, previously identified in depth-integrated dissipation rates, could reflect an underestimation of energy redistribution in the two-dimensional mapping (de Lavergne et al. 2019).

Other regional discrepancies are apparent. Whalen et al. (2015) and Kunze (2017) infer larger 345 internal wave energy loss near major currents such as the Kuroshio, Gulf Stream, Agulhas 346 Current and Antarctic Circumpolar Current. These regions host intense mesoscale activity and 347 above-average near-inertial energy input from atmospheric storms (e.g., Shum et al. 1990, 348 Alford 2003). It is likely that non-tidal processes account for the bulk of the inferred internal 349 wave energy dissipation there (Nikurashin et al. 2012, Waterman et al. 2014, Clément et 350 al. 2016, Pollmann et al. 2017, Whalen et al. 2018), and therefore for the discrepancy with 351 the present climatology. The dissipation map of Whalen et al. (2015) also displays a band 352 of enhanced turbulence along the equator (Fig. 11c) that is absent from the  $\epsilon_{tid}$  climatology 353 (Fig. 11a). The elevated dissipation rates likely signal equatorial processes unrelated to internal 354 tides, and possibly unrelated to inertio-gravity waves (e.g., Moum et al. 2009, Holmes and 355 Thomas 2015). The inferred dissipation agrees with microstructure measurements at a western 356 Pacific site (154-158°E) on the equator (Whalen et al. 2015). However, the equatorial band does 357 not stand out in other finestructure estimates (Fig. 11b; Kunze 2017, Pollmann et al. 2017). A 358 dedicated microstructure survey along the equator would be needed to establish whether this 359 mixing pattern is real. 360

<sup>361</sup> By sampling the parameterized  $\epsilon_{tid}$  distribution along each finestructure profile, we can compare <sup>362</sup> mean vertical profiles of turbulence production (Fig. 12). On average, turbulence production

has a very similar vertical distribution in the present and Argo-finestructure datasets (Fig. 12d-363 f). This agreement owes much to the scaling  $\epsilon(z) \propto N^2(z)$ , valid within most water columns of 364 the open ocean in the 0.3 - 2 km depth range. This scaling is built in present and finestructure 365 parameterizations, but also endorsed by microstructure data (Gregg 1989, Polzin et al. 1995). 366 Fair agreement of  $\epsilon_{tid}$  with the ship-based mean finestructure profile is also observed above 1.5 367 km depth (Fig. 12a-c). However, the mean  $\epsilon_{tid}$  profile exceeds its finestructure counterpart by 368 almost an order of magnitude deeper than 2 km (Fig. 12a). This excess is most pronounced in 369 regions where abyssal hills and critical slopes are dominant contributors to internal tide dissipa-370 tion (Fig. 12b). These regions are characterized by elevated deep-ocean turbulence production 371 catalysed by rough or steep topography. A transect across the Atlantic near 23°S further il-372 lustrates the divide (Fig. 13): although the present parameterization and finestructure estimates 373 compare well in the upper 2 km, finestructure-inferred dissipation is substantially weaker in the 374 abyss. Indian and Pacific transects exhibit analogous similarities and differences (Supplemen-375 tary Figs. S1 and S2). 376

The tidal dissipation climatology compares more favourably with deep microstructure data 377 than with deep finestructure inferences (Figs. 8, 9, 12 and 13). Together with a comparison 378 of neighbour finestructure and microstructure profiles (Supplementary Fig. S3), this suggests 379 that finestructure estimates of Kunze (2017) are biased low in the deep ocean. In particular, the 380 estimates seem to under-predict bottom-intensified dissipation above rough or steep topogra-381 phy. This assessment concurs with recent studies reporting underestimated dissipation by the 382 employed finestructure method in regions of rough topography or strong forcing (Thurnherr et 383 al. 2015, Bouruet-Aubertot et al. 2018a, Liang et al. 2018). The identified low bias is not 384 expected to be universal, however, as it depends on implementation choices of the method and 385 regional processes at play (Hibiya et al. 2012, Waterman et al. 2014, Takahashi and Hibiya 386

<sup>387</sup> 2018, Kunze and Lien 2019). We note that profiles of Fig. 12d-f also hint at a divergence near
<sup>388</sup> 1.7 km depth between the present parameterization and the dissipation estimates of Whalen et
<sup>389</sup> al. (2015).

In summary, the  $\epsilon_{tid}$  climatology and finestructure observations display similar horizontal pat-390 terns and similar vertical structure in the upper ocean (Figs. 11-13 and Supplementary Figs. S1-391 S3). A histogram comparison of the global three-dimensional distributions (Fig. 10b-d) con-392 firms the strong correlation between  $\epsilon_{tid}$  and finestructure-inferred dissipation across five orders 393 of magnitude. Agreement within a factor of 10 reaches 93% of values in the Argo-based dataset 394 and 86% of values in the ship-based dataset. The latter percentage increases to 89% when 395 excluding depths > 2 km. These results support the realism of the present tidal mixing pa-396 rameterization and suggest that internal tides largely shape the global distribution of turbulence 397 production. 398

399

### **400 4. Global distribution of internal tide-driven mixing**

The parameterization proposed in this study allows visualization and quantification of the global 401 distribution of turbulence production due to internal tides. The zonal sum of  $\epsilon_{tid}$  shows that the 402 bulk of turbulence production takes place in the upper kilometre of low and middle latitudes 403 (Fig. 14a). This concentration is largely explained by the influence of stratification on internal 404 tide generation and dissipation rates. In these strongly stratified waters, the parameterized mix-405 ing is mainly attributable to low-mode internal tides dissipating through wave-wave interactions 406 (Fig. 14b) and to shoaling-induced wave breaking near continental margins (Fig. 14c). Critical 407 slopes cause turbulence relatively evenly distributed in the upper 2.5 km (Fig. 14d), where most 408

steep continental slopes lie. Deeper than 2.5 km, tidal mixing mostly originates from internal tide generation and scattering by small-scale topographic roughness (Fig. 14e). The seafloor area distribution of ridges has a distinct footprint in the zonal sum of  $\epsilon_{hil}$ , noticeable as a band of relatively high dissipation at abyssal depths (mostly between 2.5 and 4.5 km).

The distribution of the parameterized internal tide energy dissipation as a function of depth or height above bottom is presented in Figs. 15 and 16. The top kilometre of the ocean hosts 70% of the total energy loss (Fig. 16b), with contributions from all four components (Fig. 15a). This leaves only 311 GW, from the total of 1044 GW, of power input to small-scale turbulence at depths greater than 1 km. Between 1 and 2 km depth, energy loss amounts to 123 GW, fuelled mostly by modes  $\leq$  10 dissipating via wave-wave interactions and critical slopes. Below 2 km depth, power availability drops to 18% of the total and is dominated by the  $\epsilon_{hil}$  component.

Almost 300 GW dissipate in the bottom 500 m of the ocean (Fig. 15b), including 180 GW in the open ocean (Fig. 15c). Components of dissipation linked to topography dwindle rapidly with height above bottom:  $\epsilon_{hil} + \epsilon_{cri} + \epsilon_{sho}$  contributes little power at  $h_{ab} > 2$  km. This trend is opposed by the large  $\epsilon_{wwi}$  component, so that the overall power distribution decreases only gradually with  $h_{ab}$  beyond the bottom 500 m.

Hence, only about 30% of internal tide-induced energy dissipation occurs in the immediate vicinity of topography (Fig. 15b), and only about 300 GW contributes to mixing below 1 km depth (Fig. 15a). This budget contrasts with the notion put forth by Munk and Wunsch (1998) that internal tides supply about 1 TW of power to deep-ocean mixing, primarily along the bottom boundary. In reality, the bulk of the energy of internal tides contributes to mixing the upper ocean, away from topography. This finding highlights the efficient energy redistribution achieved by internal tides, and the concentration of vertical gradients in the upper ocean.
Notwithstanding, the estimated power distribution does not necessarily imply a sluggish abyssal
overturning nor 'missing mixing' in the deep ocean: sizeable overturning transports can be
maintained below 2.5 km depth with moderate power input to mixing, owing to the weak stratification and large seafloor areas at these depths (de Lavergne et al. 2016, 2017).

We now compare the predicted distribution of internal tide energy loss to that implied by stan-436 dard parameterizations of tidal mixing. In the NEMO model (version 3; Madec et al. 2016), 437 one-third of the power input to internal tides mapped by Nycander (2005) is distributed in the 438 local water column, using an exponential decay with  $h_{ab}$  and an e-folding scale of 500 m. A con-439 stant diffusivity of  $10^{-5}$  m<sup>2</sup> s<sup>-1</sup>, reduced to  $10^{-6}$  m<sup>2</sup> s<sup>-1</sup> near the equator, is added to represent 440 so-called background mixing (Mignot et al. 2013). The CCSM model (version 4; Danabasoglu 441 et al. 2012) follows an analogous approach but uses a distinct latitude-dependence of the back-442 ground diffusivity (Jochum 2009) and the formulation of Jayne and St Laurent (2001) in place 443 of the static map of Nycander (2005). For a meaningful comparison, the NEMO and CCSM pa-444 rameterizations are applied here to the WOCE climatology (Gouretski and Koltermann 2004). 445 Background diapycnal diffusivities  $K_{\rho}$  are converted into turbulence production rates  $\epsilon$  using 446  $R_f=1/6$  and  $\epsilon=R_f^{-1}K_\rho N^2$  (Osborn 1980). 447

The three parameterizations of tidal mixing produce depth distributions of turbulence production that differ in several ways (Fig. 16). The CCSM parameterization implies larger power input to mixing in the upper ocean, mostly due to relatively high background diffusivities (averaging  $1.68 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup> globally compared to  $0.83 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup> in the NEMO standard). The present parameterization produces the weakest power input at mid-depths (between 0.5 and 2.5 km), but the largest in the abyss (below 2.5 km). Three main factors underpin this shift of energy toward the abyssal ocean: (i) the present parameterization accounts for variations in the modal distribution of internal tide generation, hence for the stronger local dissipation at abyssal sites relative to mid-depth sites (de Lavergne et al. 2019, Vic et al. 2019); (ii) low-mode internal tides have been tracked from sources (mostly at steep mid-depth topography) to sinks (mostly in shallow layers and above abyssal hills); (iii) the present scheme, unlike the other two, includes internal tide generation by abyssal hills. These effects focus the transition from  $\mathcal{O}(10^{-5} \text{ m}^2 \text{ s}^{-1})$ to  $\mathcal{O}(10^{-4} \text{ m}^2 \text{ s}^{-1})$  zonal mean diffusivities near 2.5 km depth (Fig. 17a,b).

More dramatic differences exist in the horizontal distribution of dissipation and mixing (Fig. 17c-461 f). The pronounced lateral heterogeneity of mixing rates mapped here contrasts with the more 462 uniform diffusivities in the NEMO (or CCSM) standard parameterization. Mixing rates are 463 strongly shaped by sources and sinks of internal tide energy, in the abyssal ocean (Fig. 17e) 464 as well as in the pycnocline (Fig. 17c). The resultant complex patterns of mixing are not re-465 produced when remote tidal mixing is represented by a background diffusivity that varies only 466 with latitude (Fig. 17d,f). Reduced diffusivities in the equatorial band (Gregg et al. 2003) are 467 not found here: rather, a zonal gradient of diffusivity, between the eastern and western Pacific, 468 is predicted (Fig. 17c) and corroborated by finestructure estimates of Kunze (2017) (Fig. 11b). 469 The more realistic horizontal distribution of mixing produced by the present scheme may have 470 important consequences for the simulated ocean and climate states (Zhu and Zhang 2019), in-471 cluding biogeochemical cycles (Tuerena et al. 2019). 472

473

#### **5.** Conclusions

<sup>475</sup> Building upon a recent mapping of depth-integrated internal tide dissipation rates (de Lavergne

et al. 2019), we have proposed a comprehensive and energy-constrained parameterization of 476 mixing powered by internal tides. This parameterization uses four static two-dimensional maps 477 of available power (Fig. 2), each associated with a specific dissipative pathway and a relevant 478 vertical structure of turbulence production (equations (2), (3), (5) and (7)). The scheme explic-479 itly accounts for the near-field dissipation of small-scale internal tides and the far-field dissi-480 pation of larger scale internal tides, without assuming a constant proportion of near-field dissi-481 pation. Vertical structures incorporate three parameters ( $H_{cri}$ ,  $H_{bot}$  and  $r_{bot}$ ) which have been 482 calibrated and mapped (Fig. 3) with the aid of previous observational and theoretical studies 483 (Polzin et al. 1997, Legg and Adcroft 2003, Polzin 2009, Lefauve et al. 2015). 484

The proposed parameterization has been applied to an observational climatology of stratification 485 to obtain a global three-dimensional map of turbulence production. Comparison of this map to 486 a compilation of observational mixing estimates shows that the parameterization has skill in 487 reproducing horizontal and vertical patterns of mixing. The comparison also suggests that, in 488 the ocean interior, internal tides are the principal energy source for mixing and are responsible 489 for the main large-scale patterns of mixing. This inference is consistent with the large power 490 input to internal tides ( $\sim 1$  TW) and with the relative temporal stability of basin-scale dissipation 491 patterns (Ferron et al. 2016, Kunze 2017). The estimated climatology of internal tide energy 492 loss further shows that 70% of the total power lies in the upper kilometre of the ocean. Hence, 493 internal tides contribute first and foremost to mix the upper ocean-in spite of their generation 494 at the bottom boundary. 495

The parameterization can also be applied to model oceans. Its implementation in OGCMs merely necessitates a remapping of static maps (Figs. 2 and 3) onto the model grid, and distribution of turbulence production in the vertical according to the simulated stratification. While

the available power within each water column is fixed in time, the vertical structure of the energy 499 supply thus evolves with the simulated density profile. Experiments performed with the NEMO 500 model (which will be documented elsewhere) showed that the parameterization obviates the 501 need for a constant background diffusivity above molecular levels, and therefore ensures that 502 explicit diapycnal mixing in the model is energy-constrained. This essential property, together 503 with the scheme's low computational cost, motivated its implementation in several climate mod-504 els participating to phase 6 of the Coupled Model Intercomparison Project (cf. Voldoire et al. 505 2019). 506

Naturally, the use of static maps representative of modern mean ocean conditions disallows 507 representation of transient changes in the horizontal distribution of internal tide energy loss, 508 and limits applicability to other climate states. Nonetheless, we expect only weak sensitivity 509 of this horizontal distribution to climate-driven changes in ocean stratification (Egbert et al. 510 2004). This expectation is backed by an experiment in which we perturbed the climatological 511 stratification entering the two-dimensional mapping procedure. Specifically, we calculated the 512 change in buoyancy frequency between periods 1860-1910 and 2100-2150 of a HadGEM2-ES 513 simulation (Collins et al. 2011) forced by historical and RCP8.5 boundary conditions, and mul-514 tiplied the WOCE climatological buoyancy frequency by this change (expressed as the ratio of 515 the later to the earlier period, in  $h_{wkb,1}$  vertical coordinate). Despite the high climate sensitiv-516 ity of HadGEM2-ES (Andrews et al. 2012), this perturbation led to marginal changes in the 517 geography of internal tide energy sinks (Table 2). By contrast, the total energy consumption 518 implied by a constant diapycnal diffusivity  $K_{\rho}$ , calculated as  $\int \int \int R_f^{-1} K_{\rho} N^2 dM$  with dM the 519 unit mass, increases by 40% between the two periods. Hence, imposing a constant diffusivity 520 can lead to large, spurious and uncontrolled changes in the magnitude and distribution of turbu-521 lence production. This prejudicial behaviour, and its potential consequences for model drift and 522

simulated climate (Eden et al. 2014), are avoided by the proposed mixing parameterization.

In spite of its advantages, the parameterization leaves substantial room for improvement. First, 524 its realism is limited by approximations and simplifications of the two-dimensional mapping 525 procedure, and notably by the ad hoc representation of internal tide attenuation by wave-wave 526 interactions (de Lavergne et al. 2019). Interactions with balanced flows and with low-mode 527 near-inertial waves have not been modelled despite evidence for impacts on propagation and 528 dissipation (Rainville and Pinkel 2006, Ponte and Klein 2015, Cuypers et al. 2017). More accu-529 rate and comprehensive internal tide generation estimates, accounting for all bathymetric scales 530 and slopes, would also improve the fidelity of the parameterization. Sub-annual variability, in-531 cluding seasonal and spring-neap variations of internal tide generation and dissipation, has been 532 ignored in the construction of static maps: its impact deserves further investigation. Second, 533 vertical structures also incorporate important simplifications and uncertainties. For example, 534 the calibration of  $r_{bot}$  and  $H_{bot}$  parameters did not account for the varying efficiency of triadic 535 wave instabilities (Nikurashin and Legg 2011, Richet et al. 2017) nor for the effects of internal 536 lee waves (Hibiya et al. 2017). Further work is needed to better constrain these two parameters, 537 and thereby to alleviate biases in the predicted mixing above ridge flanks. Refinement of the 538 vertical structure (2), to account for various scenarios of local or remote dissipation via wave-539 wave interactions, should also be pursued. Third, the present parameterization only represents 540 mixing powered by propagating internal tides. Other tidal contributions to mixing, namely 541 bottom-trapped internal tides (e.g., Müller 2013, Falahat and Nycander 2014) and frictional 542 drag of barotropic tides (e.g., Lee et al. 2006), have not been considered. These contributions 543 could be added provided suitable maps of available power can be obtained. 544

<sup>545</sup> The degree of agreement between the parameterized distribution of internal tide-driven mixing

and observational estimates of mixing (Figs. 8-12) indicates that the parameterization can serve
a range of purposes, including forward and inverse modelling, water-mass transformation estimates, regional to global tracer budgets, and context for field campaigns. Conversely, new field
campaigns and numerical studies will help to narrow down uncertainties, expose biases, and
identify avenues for improvement of the modelled distribution of turbulence production.

### 552 Acknowledgements

We thank all investigators involved in the collection of microstructure measurements analysed 553 here. Efforts to gather and publish historical microstructure datasets (see https://microstructure.ucsd.edu) 554 are also gratefully acknowledged. We thank E. Kunze, I. Fer, L. Clément, A. Melet and J. Goff 555 for sharing their published datasets. J. Nycander and L. Clément provided helpful comments on 556 the manuscript. Static maps entering the present parameterization and three-dimensional fields 557 computed using the WOCE hydrographic climatology are made available at: *link to be provided* 558 before publication. This project has received funding from the European Union's Horizon 2020 559 research and innovation programme under grant agreement N°821001. 560

561

#### 562 **References**

563

- Alford, M.H., 2003. Improved global maps and 54-year history of wind-work on ocean inertial
   motions. *Geophys. Res. Lett.* 30, 1424.
- Andrews, T., Gregory, J.M., Webb, M.J., Taylor, K.E., 2012. Forcing, feedbacks and climate sensitivity in CMIP5 coupled atmosphere-ocean climate models. *Geophys. Res. Lett.* **39**,

- 568 L09712.
- Bell, T.H., 1975. Topographically generated internal waves in the open ocean. J. Geophys. Res.
  80, 320-327.
- <sup>571</sup> Bouruet-Aubertot, P., Cuypers, Y., Ferron, B., Dausse, D., Ménage, O., Atmadipoera, A., Jaya,
- I., 2018a. Contrasted turbulence intensities in the Indonesian Throughflow: a challenge for
   parameterizing energy dissipation rate. *Ocean Dynamics* 68, 779-800.
- <sup>574</sup> Bouruet-Aubertot, P., Cuypers, Y., Doglioli, A., Caffin, M., Yohia, C., de Verneil, A., Petrenko,
- A., Lefèvre, D., Le Goff, H., Rougier, G., Picheral, M., Moutin, T., 2018b. Longitudinal
- contrast in turbulence along a  $\sim 19^{\circ}$ S section in the Pacific and its consequences for biogeo-
- chemical fluxes. *Biogeosciences* **15**, 7485-7504.
- <sup>578</sup> Bühler, O., Holmes-Cerfon, M., 2011. Decay of an internal tide due to random topography in <sup>579</sup> the ocean. *J. Fluid Mech.* **678**, 271-293.
- <sup>580</sup> Clément, L., Frajka-Williams, E., Sheen, K.L., Brearley, J.A., Naveira Garabato, A.C., 2016.
- <sup>581</sup> Generation of internal waves by eddies impinging on the western boundary of the North
- <sup>582</sup> Atlantic. J. Phys. Oceanogr. **46**, 1067-1079.
- <sup>583</sup> Clément, L., Thurnherr, A., St Laurent, L.C., 2017. Turbulent mixing in a deep fracture zone <sup>584</sup> on the Mid-Atlantic Ridge. *J. Phys. Oceanogr.* **47**, 1873-1896.
- <sup>585</sup> Cuypers, Y., Bouruet-Aubertot, P., Vialard, J., McPhaden, M.J., 2017. Focusing of internal tides
  <sup>586</sup> by near-inertial waves. *Geophys. Res. Lett.* 44, 2398-2406.
- <sup>587</sup> Collins, W.J., Bellouin, N., Doutriaux-Boucher, M., Gedney, N., Halloran, P., Hinton, T.,
- Hughes, J., Jones, C.D., Joshi, M., Liddcoat, S., Martin, G., O'Connor, F., Rae, J., Senior, C.,
- Sitch, S., Totterdell, I., Wiltshire, A., Woodward, S., 2011. Development and evaluation of
- an Earth-System model HadGEM2. *Geosci. Model Dev.* **4**, 1051-1075.
- <sup>591</sup> D'Asaro, E.A., Lien, R.-C., 2000. The wave-turbulence transition for stratified flows. J. Phys.
- <sup>592</sup> Oceanogr. **30**, 1669-1678.

- de Lavergne, C., Madec, G., Le Sommer, J., Nurser, A.J.G., Naveira Garabato, A.C., 2016. On
   the consumption of Antarctic Bottom Water in the abyssal ocean. *J. Phys. Oceanogr.* 46,
   635-661.
- <sup>596</sup> de Lavergne, C., Madec, G., Roquet, F., Holmes, R.M., McDougall, T.J., 2017. Abyssal ocean
   <sup>597</sup> overturning shaped by seafloor distribution. *Nature* 551, 181-186.
- de Lavergne, C., Falahat, S., Madec, G., Roquet, F., Nycander, J., Vic, C., 2019. Toward global
   maps of internal tide energy sinks. *Ocean Modelling* 137, 52-75.
- Danabasoglu, G., Bates, S.C., Briegleb, B.P., Jayne, S.R., Jochum, M., Large, W.G., Peacock,
  S., Yeager, S.G., 2012. The CCSM4 ocean component. *J. Clim.* 25, 1361-1389.
- <sup>602</sup> Dushaw, B.D., Cornuelle, B.D., Worcester, P., Howe, B.M., Luther, D.S., 1995. Barotropic <sup>603</sup> and baroclinic tides in the central North Pacific ocean determined from long-range reciprocal <sup>604</sup> acoustic transmissions. *J. Phys. Oceanogr.* **25**, 631-647.
- Eden, C., Olbers, D., 2014. An energy compartment model for propagation, nonlinear interaction, and dissipation of internal gravity waves. *J. Phys. Oceanogr.* 44, 2093-2106.
- Eden, C., Czeschel, L., Olbers, D., 2014. Towards energetically consistent ocean models. J.
   *Phys. Oceanogr.* 44, 3160-3184.
- Egbert, G.D., Ray, R.D., Bills, B.G., 2004. Numerical modelling of the global semidiurnal tide
  in the present day and in the last glacial maximum. *J. Geophys. Res.* 109, C03003.
- Eriksen, C.C., 1982. Observations of internal wave reflection off sloping bottoms. J. Geophys. *Res.* 87, 525-538.
- Falahat, S., Nycander, J., Roquet, F., Thurnherr, A.M., Hibiya, T., 2014a. Comparison of cal-
- culated energy flux of internal tides with microstructure measurements. *Tellus A* **66**, 23240.
- Falahat, S., Nycander, J., Roquet, F., Moundheur, Z., 2014b. Global calculation of tidal energy
  conversion into vertical normal modes. *J. Phys. Oceanogr.* 44, 3225-3244.
- <sup>617</sup> Falahat, S., Nycander, J., 2014. On the generation of bottom-trapped internal tides. J. Phys.

- 618 Oceanogr. 45, 526-545.
- <sup>619</sup> Fer, I., Bosse, A., Soiland, H., Ferron, B., Bouruet-Aubertot, P., 2019. Ocean currents, hydrog-
- raphy and microstructure data from PROVOLO cruises. https://doi.org/10.21335/NMDC 1093031037
- Ferron, B., Kokoszka, F., Mercier, H., Lherminier, P., 2014. Dissipation rate estimates from
   microstructure and finescale internal wave observations along the A25 Greenland-Portugal
   OVIDE line. J. Atmos. Oceanic Technol. 31, 2530-2543.
- <sup>625</sup> Ferron, B., Kokoszka, F., Mercier, H., Lherminier, P., Huck, T., Rios, A., Thierry, V., 2016.
- Variability of the turbulent kinetic energy dissipation along the A25 Greenland-Portugal transect repeated from 2002 to 2012. *J. Phys. Oceanogr.* **46**, 1989-2003.
- Goff, J.A., 2010. Global prediction of abyssal hill root-mean-square heights from small-scale altimetric gravity variability. *J. Geophys. Res.* **115**, B12104.
- Gouretski, V.V., Koltermann, K.P., 2004. WOCE global hydrographic climatology: a technical
  report. Berichte des Bundesamtes für Seeschifffahrt und Hydrographie 35/2004, 52 pp.
- Gregg, M.C., 1989. Scaling turbulent dissipation in the thermocline. *J. Geophys. Res.* **94**, 9686-9698.
- Gregg, M.C., Sanford, T.B., Winkel, D.P., 2003. Reduced mixing from the breaking of internal
   waves in equatorial waters. *Nature* 422, 513-515.
- Gregg, M.C., D'Asaro, E.A., Riley, J.J., Kunze, E., 2018. Mixing efficiency in the ocean. *Annu. Rev. Mar. Sci.* 10, 443-473.
- <sup>638</sup> Hazewinkel, J., Winters, K.B., 2011. PSI of the internal tide on a  $\beta$  plane: flux divergence and <sup>639</sup> near-inertial wave propagation. *J. Phys. Oceanogr.* **41**, 1673-1682.
- Hibiya, T., Nagasawa, M., 2004. Latitudinal dependence of diapycnal diffusivity in the thermocline estimated using a finescale parameterization. *Geophys. Res. Lett.* **31**, L01301.
- <sup>642</sup> Hibiya, T., Furuichi, N., Robertson, R., 2012. Assessment of fine-scale parameterizations of

- turbulent dissipation near mixing hotspots in the deep ocean. *Geophys. Res. Lett.* 39,
  L24601.
- <sup>645</sup> Hibiya, T., Ijichi, T., Robertson, R., 2017. The impacts of ocean bottom roughness and tidal
  <sup>646</sup> flow amplitude on abyssal mixing. *J. Geophys. Res.* **122**, 5645-5651.
- <sup>647</sup> Holmes, R.M., Thomas, L.N., 2015. The modulation of equatorial turbulence by tropical insta<sup>648</sup> bility waves in a regional ocean model. *J. Phys. Oceanogr.* 45, 1155-1173.
- Jayne, S.R., St Laurent, L.C., 2001. Parameterizing tidal dissipation over rough topography.
- 650 Geophys. Res. Lett. 28, 811-814.
- Jochum, M., 2009. Impact of latitudinal variations in vertical diffusivity on climate simulations.
- 652 J. Geophys. Res. 114, C01010.
- Kunze, E., Firing, E., Hummon, J.M., Chereskin, T.K., Thurnherr, A.M., 2006. Global abyssal
- mixing inferred from lowered ADCP shear and CTD strain profiles.. J. Phys. Oceanogr. 36,
  1553-1576.
- Kunze, E., 2017. Internal wave-driven mixing: global geography and budgets. *J. Phys. Oceanogr.*47, 1325-1345.
- Kunze, E., Lien, R.-C., 2019. Energy sinks for lee waves in shear flow. J. Phys. Oceanogr., in
   press. https://doi.org/10.1175/JPO-D-19-0052.1.
- Ledwell, J.R., Montgomery, E.T., Polzin, K.L., St Laurent, L.C., Schmitt, R.W., Toole, J.M.,
- <sup>661</sup> 2000. Evidence for enhanced mixing over rough topography in the abyssal ocean. *Nature*<sup>662</sup> 403, 179-182.
- Ledwell, J.R., St Laurent, L.C., Girton, J.B., Toole, J.M., 2011. Diapycnal mixing in the Antarc tic Circumpolar Current. *J. Phys. Oceanogr.* 41, 241-246.
- Lee, H.-C., Rosati, A., Spelman, M.J., 2006. Barotropic tidal mixing effects in a coupled climate model: Oceanic conditions in the northern Atlantic. *Ocean Modelling* **11**, 464-477.
- <sup>667</sup> Lefauve, A., Muller, C., Melet, A., 2015. A three-dimensional map of tidal dissipation over

- abyssal hills. J. Geophys. Res. 120, 4760-4777.
- Legg, S., Adcroft, A., 2003. Internal wave breaking at concave and convex continental slopes.
- 670 J. Phys. Oceanogr. 33, 2224-2246.
- Legg, S., 2014. Scattering of low-mode internal waves at finite isolated topography. J. Phys.
   Oceanogr. 44, 359-383.
- <sup>673</sup> Liang, C.-R., Shang, X.-D., Qi, Y.-F., Chen, G.-Y., Yu, L.-H., 2018. Assessment of fine-scale <sup>674</sup> parameterizations at low latitudes of the North Pacific. *Scientific Reports* **8**, 10281.
- Locarnini, R.A., Mishonov, A.V., Baranova, O.K., Boyer, T.P., Zweng, M.M., Garcia, H.E.,
- Reagan, J.R., Seidov, D., Weathers, K., Paver, C.R., Smolyar, I., 2018. World Ocean Atlas
- <sup>677</sup> 2018, Volume 1: Temperature. A. Mishonov Technical Ed.; NOAA Atlas NESDIS 81, 52 pp.
- <sup>678</sup> Macdonald, K.C., Fox, P.J., Alexander, R.T., Pockalny, R., Gente, P., 1996. Volcanic growth <sup>679</sup> faults and the origin of Pacific abyssal hills. *Nature* **380**, 125-129.
- MacKinnon, J.A., Alford, M.H., Pinkel, R., Klymak, J., Zhao, Z., 2013a. The latitudinal de pendence of shear and mixing in the Pacific transiting the critical latitude for PSI. *J. Phys. Oceanogr.* 43, 3-16.
- MacKinnon, J.A., Zhao, Z., Whalen, C.B., Waterhouse, A.F., Trossman, D.S., Sun, O.M., St
- Laurent, L.C., Simmons, H.L., Polzin, K., Pinkel, R., Pickering, A., Norton, N.J., Nash, J.D.,
- Musgrave, R., Merchant, L.M., Melet, A.V., Mater, B., Legg, S., Large, W.G., Kunze, E.,
- Klymak, J.M., Jochum, M., Jayne, S.R., Hallberg, R.W., Griffies, S.M., Diggs, S., Danaba-
- soglu, G., Chassignet, E.P., Buijsman, M.C., Bryan, F.O., Briegleb, B.P., Barna, A., Arbic,
- B.K., Ansong, J.K., Alford, M.H., 2017. Climate process team on internal wave-driven ocean
   mixing. *Bull. Am. Meteorol. Soc.* 98, 2429-2454.
- <sup>690</sup> Madec, G., and the NEMO team, 2016. NEMO ocean engine. Scientific notes of climate <sup>691</sup> modelling center, **27** – ISSN 1288-1619, Insitut Pierre-Simon Laplace (IPSL).
- Melet, A., Nikurashin, M., Muller, C., Falahat, S., Nycander, J., Timko, P.G., Arbic, B.K., Goff,

- J.A., 2013a. Internal tide generation by abyssal hills using analytical theory. *J. Geophys. Res.* **118**, 6303-6318.
- <sup>695</sup> Melet, A., Hallberg, R., Legg, S., Polzin, K., 2013b. Sensitivity of the ocean state to the vertical <sup>696</sup> distribution of internal-tide-driven mixing. *J. Phys. Oceanogr.* **43**, 602-615.
- <sup>697</sup> Melet, A., Legg, S., Hallberg, R., 2016. Climatic impacts of parameterized local and remote <sup>698</sup> tidal mixing. *J. Clim.* **29**, 3473-3500.
- Mignot, J., Swingedouw, D., Deshayes, J., Marti, O., Talandier, C., Séférian, R., Lengaigne,
- M., Madec, G., 2013. On the evolution of the oceanic component of the IPSL climate models
- <sup>701</sup> from CMIP3 to CMIP5: a mean state comparison. *Ocean Modell.* **72**, 167-184.
- Moum, J.N., Caldwell, D.R., Nash, J.D., Gunderson, G.D., 2002. Observations of boundary
   mixing over the continental slope. *J. Phys. Oceanogr.* 32, 2113-2130.
- Moum, J.N., Lien, R.-C., Perlin, A., Nash, J.D., Gregg, M.C., Wiles, P.J., 2009. Sea surface
  cooling at the Equator by subsurface mixing in tropical instability waves. *Nat. Geosci.* 2,
  706 761-765.
- Muller, C.J., Bühler, O., 2009. Saturation of the internal tides and induced mixing in the abyssal
   ocean. J. Phys. Oceanogr. 39, 2077-2096.
- Müller, M., 2013. On the space- and time-dependence of barotropic-to-baroclinic tidal energy
   conversion. *Ocean Modelling* 72, 242-252.
- Müller, P., Holloway, G., Henyey, F., Pomphrey, N., 1986. Nonlinear interactions among internal gravity waves. *Rev. Geophys.* 24, 493-536.
- 713 Munk, W.H., 1966. Abyssal Recipes. *Deep Sea Res.* 13, 707-730.
- Munk, W., Wunsch, C., 1998. Abyssal recipes II: energetics of tidal and wind mixing. *Deep-Sea Res.* 45, 1977-2000.
- <sup>716</sup> Nash, J.D., Kunze, E., Toole, J.M., Schmitt, R.W., 2004. Internal tide reflection and turbulent
- mixing on the continental slope. J. Phys. Oceanogr. 34, 1117-1134.

- Nikurashin, M., Legg, S., 2011. A mechanism for local dissipation of internal tides generated
   at rough topography. *J. Phys. Oceanogr* 41, 378-395.
- Nikurashin, M., Vallis, G.K., Adcroft, A., 2012. Routes to energy dissipation for geostrophic
  flows in the Southern Ocean. *Nat. Geosci.* 6, 48-51.
- <sup>722</sup> Niwa, Y., Hibiya, T., 2011. Estimation of baroclinic tide energy available for deep ocean mixing
- based on three-dimensional global numerical simulations. J. Oceanogr. 67, 493-502.
- Nycander, J., 2005. Generation of internal waves in the deep ocean by tides. J. Geophys. Res.
  110, C10028.
- Oka, A., Niwa, Y., 2013. Pacific deep circulation and ventilation controlled by tidal mixing away from the sea bottom. *Nat. Comm.* **4**, 1-8.
- Olbers, D.J., 1976. Nonlinear energy transfer and the energy balance of the internal wave field in the deep ocean. *J. Fluid. Mech.* **74**, 375-399.
- <sup>730</sup> Olbers, D.J., 1983. Models of the oceanic internal wave field. *Rev. Geophys.* 21, 1567-1606.
- 731 Osborn, T.R., 1980. Estimates of the local rate of vertical diffusion from dissipation measure-
- <sup>732</sup> ments. J. Phys. Oceanogr. **10**, 83-89.
- <sup>733</sup> Petit, T., Mercier, H., Thierry, V., 2018. First direct estimates of volume and water mass trans-
- <sup>734</sup> ports across the Reykjanes ridge. J. Geophys. Res. **123**, 6703-6719.
- <sup>735</sup> Pollmann, F., Eden, C., Olbers, D., 2017. Evaluating the global internal wave model IDEMIX
- using finestructure methods. J. Phys. Oceanogr. 47, 2267-2289.
- <sup>737</sup> Polzin, K.L., Toole, J.M., Schmitt, W., 1995. Finescale parameterizations of turbulent dissipa-
- tion. J. Phys. Oceanogr. 25, 306-328.
- Polzin, K.L., Toole, J.M., Ledwell, J.R., Schmitt, W., 1997. Spatial variability of turbulent
  mixing in the abyssal ocean. *Science* 276, 93-96.
- Polzin, K.L., 2004. Idealized solutions for the energy balance of the finescale internal wave
  field. *J. Phys. Oceanogr.* 34, 231-248.

- <sup>743</sup> Polzin, K.L., 2009. An abyssal recipe. *Ocean Modelling* **30**, 298-309.
- <sup>744</sup> Polzin, K.L., Naveira Garabato, A.C., Huussen, T.N., Sloyan, B.M., Waterman, S., 2014.
- <sup>745</sup> Finescale parameterizations of turbulent dissipation. J. Geophys. Res. **119**, 1383-1419.
- Ponte, A.L., Klein, P., 2015. Incoherent signature of internal tides on sea level in idealized
  numerical simulations. *Geophys. Res. Lett.* 42, 1520-1526.
- Rainville, L., Pinkel, R., 2006. Propagation of low-mode internal waves through the ocean. J. *Phys. Oceanogr.* 36, 1220-1236.
- Ray, R.D., Mitchum, G.T., 1996. Surface manifestation of internal tides generated near Hawaii.
   *Geophys. Res. Lett.* 23, 2101-2104.
- Richet, O., Muller, C., Chomaz, J.-M., 2017. Impact of a mean current on the internal tide
  energy dissipation at the critical latitude. *J. Phys. Oceanogr.* 47, 1457-1472.
- <sup>754</sup> Shum, C.K., Werner, R.A., Sandwell, D.T., Zhang, B.H., Nerem, R.S., Tapley, B.D., 1990.
- Variations of global mesoscale eddy energy observed from Geosat. J. Geophys. Res. 95,
  17865-17876.
- <sup>757</sup> Sigman, D.M., Hain, M.P., Haug, G.H., 2010. The polar ocean and glacial cycles in atmospheric
   <sup>758</sup> CO2 concentration. *Nature* 466, 47-55.
- Simmons, H.L., Jayne, S.R., St Laurent, L.C., Weaver, A.J., 2004. Tidally driven mixing in a
   numerical model of the ocean general circulation. *Ocean Modell.* 6, 245-263.
- Smith, W.H.F., Sandwell, D.T., 1997. Global sea floor topography from satellite altimetry and
   ship depth soundings. *Science* 277, 1956-1962.
- St Laurent, L.C., Simmons, H.L., Jayne, S.R., 2002. Estimating tidally driven mixing in the
   deep ocean. *Geophys. Res. Lett.* 29, 2106.
- St Laurent, L.C., Garrett, C., 2002. The role of internal tides in mixing the deep ocean. J. Phys.
   Oceanogr. 32, 2882-2899.
- <sup>767</sup> St Laurent, L.C., Nash, J.D., 2004. An examination of the radiative and dissipative properties

- <sup>768</sup> of deep ocean internal tides. *Deep-Sea Res.* **51**, 3029-3042.
- <sup>769</sup> St Laurent, L.C., Naveira Garabato, A.C., Ledwell, J.R., Thurnherr, A.M., Toole, J.M., Watson,
- A.J., 2012. Turbulence and diapycnal mixing in Drake Passage. J. Phys. Oceanogr. 42,
  2143-2152.
- Takahashi, A., Hibiya, T., 2019. Assessment of finescale parameterizations of deep ocean mix-
- ing in the presence of geostrophic current shear: results of microstructure measurements in
  the Antarctic Circumpolar Current region. *J. Geophys. Res.* 124, 135-153.
- Thurnherr, A.M., Kunze, E., Toole, J..M., St Laurent, L., Richards, K.J., Ruiz-Angulo, A.,
- 2015. Vertical kinetic energy and turbulent dissipation in the ocean. *Geophys. Res. Lett.* 42,
  7639-7647.
- Thurnherr, A.M., Clément, L., St Laurent, L., Ferrari, R., Ijichi, T., 2020. Transformation
  and upwelling of bottom water in fracture zone valleys. *J. Phys. Oceanogr.*, in press.
  doi:10.1175/JPO-D-19-0021.1
- Toole, J.M., Schmitt, R.W., Polzin, K.L., 1994. Estimates of diapycnal mixing in the abyssal
  ocean. *Science* 264, 1120-1123.
- <sup>783</sup> Tuerena, R.E., Williams, R.G., Mahaffey, C., Vic, C., Green, J.A.M., Naveira Garabato, A.,
- Forryan, A., Sharples, J., 2019. Internal tides drive nutrient fluxes into the deep chlorophyll
   maximum over mid-ocean ridges. *Global Biogeochem. Cycles* 33, 995-1009.
- <sup>786</sup> Vic, C., Naveira Garabato, A.C., Green, J.A.M., Spingys, C., Forryan, A., Zhao, Z., Sharples,
- J., 2018. The lifecycle of semidiurnal tides over the northern Mid-Atlantic Ridge. *J. Phys. Oceanogr.* **48**, 61-80.
- 789 Vic, C., Naveira Garabato, A.C., Green, J.A.M., Waterhouse, A.F., Zhao, Z., Melet, A., de
- Lavergne, C., Buijsman, M.C., Stephenson, G.R., 2019. Deep-ocean mixing driven by smallscale internal tides. *Nat. Comm.* 10, 2099.
- <sup>792</sup> Voldoire, A., Saint-Martin, D., Sénési, S., Decharme, B., Alias, A., Chevallier, M., Colin, J.,

- <sup>793</sup> Guérémy, J.-F., Michou, M., Moine, M.-P., Nabat, P., Roehrig, R., Salas y Mélia, D., Séférian,
- R., Valcke, S., Beau, I., Belamari, S., Berthet, S., Cassou, C., Cattiaux, J., Deshayes, J.,
- Douville, H., Ethé, C., Franchistéguy, L., Geoffroy, O., Lévy, C., Madec, G., Meurdesoif,
- Y., Msadek, R., Ribes, A., Sanchez-Gomez, E., Terray, L., Waldman, R., 2019. Evaluation
- <sup>797</sup> of CMIP6 DECK experiments with CNRM-CM6-1. *J. Adv. Modell. Earth Systems* **11**, <sup>798</sup> 2177-2213.
- 799 Waterhouse, A.F., MacKinnon, J.A., Nash, J.D., Alford, M.H., Kunze, E., Simmons, H.L.,
- Polzin, K.L., St Laurent, L.C., Sun, O.M., Pinkel, R., Talley, L.D., Whalen, C.B., Huussen,
- T.N., Carter, G.S., Fer, I., Waterman, S., Naveira Garabato, A.C., Sandord, T.B., Lee, C.M.,
- 2014. Global patterns of diapycnal mixing from measurements of the turbulent dissipation
  rate. J. Phys. Oceanogr. 44, 1854-1872.
- Waterman, S., Naveira Garabato, A.C., Polzin, K.L., 2013. Internal waves and turbulence in the
   Antarctic Circumpolar Current. *J. Phys. Oceanogr.* 43, 259-282.
- Waterman, S., Polzin, K.L., Naveira Garabato, A.C., Sheen, K.L., Forryan, A., 2014. Sup-
- <sup>807</sup> pression of internal wave breaking in the Antarctic Circumpolar Current near topography. J.
- <sup>808</sup> *Phys. Oceanogr.* **44**, 1466-1492.
- Whalen, C.B., Talley, L.D., MacKinnon, J.A., 2012. Spatial and temporal variability of global
  ocean mixing inferred from Argo profiles. *Geophys. Res. Lett.* 39, L18612.
- Whalen, C.B., MacKinnon, J.A., Talley, L.D., Waterhouse, A.F., 2015. Estimating the mean
  diapycnal mixing using a finescale strain parameterization. *J. Phys. Oceanogr.* 45, 11741188.
- <sup>814</sup> Whalen, C.B., MacKinnon, J.A., Talley, L.D., 2018. Large-scale impacts of the mesoscale <sup>815</sup> environment on mixing from wind-driven internal waves. *Nat. Geosci.* **11**, 842-847.
- <sup>816</sup> Zhu, Y., Zhang, R.-H., 2019. A modified vertical mixing parameterization for its improved
- ocean and coupled simulations in the tropical Pacific. J. Phys. Oceanogr. 49, 21-37.

- <sup>818</sup> Zweng, M. M., Reagan, J.R., Seidov, D., Boyer, T.P., Locarnini, R.A., Garcia, H.E., Mishonov,
- A.V., Baranova, O.K., Weathers, K., Paver, C.R., Smolyar, I., 2018. World Ocean Atlas 2018,
- Volume 2: Salinity. A. Mishonov Technical Ed.; NOAA Atlas NESDIS 82, 50 pp.

821

Depth	Obs.	Equation (7)	Lefauve et al. 2015	Melet et al. 2013b
range	composite	(r <sub>bot</sub> =0.86, H <sub>bot</sub> =150m)	(H <sub>L</sub> =400m)	(H <sub>M</sub> =5m)
[m]	[%]	[%]	[%]	[%]
0-750	33.1	34.1	29.3	34.6
750-1500	8.0	7.0	10.4	7.8
1500-2250	3.7	2.7	5.8	2.4
2250-3000	2.2	2.3	3.5	0.9
3000-3750	4.9	5.5	17.9	3.4
3750-4500	48.1	48.4	33.1	51.0

Table 1: Vertical distribution of power in the composite observational profile (21-22°S; 16-19°W) and three different parameterizations. The distribution is described by the percentage power contained in each 750 m-thick layer. All three parameterizations include  $\epsilon_{hil} + \epsilon_{wwi}$ . Only the vertical structure of  $\epsilon_{hil}$  changes according to parameterization. The present parameterization of  $\epsilon_{hil}$  uses  $r_{bot} = 0.86$  and  $H_{bot} = 150$  m. The structure proposed by Lefauve et al. (2015) is applied with  $H_L = 400$  m; that proposed by Melet et al. (2013b) is applied with  $H_M = 5$  m.

Constituent Experiment	M₂ REF	M <sub>2</sub> WARM
Power in modes 1-5 (GW)	564	564
Shelves (%)	4.8	4.9
Wave-wave interactions (%)	61.5	60.2
Scattering by hills (%)	9.9	10.7
Critical slopes (%)	14.9	14.9
Shoaling (%)	8.9	9.2

Table 2: Process contributions to  $M_2$  low-mode internal tide dissipation in REF and WARM experiments. The REF experiment is the reference calculation documented in de Lavergne et al. (2019). The WARM experiment is identical to REF except for perturbed stratification as described in section 5. Shelves, defined as areas where the seafloor depth < 400 m, have been separated out in the decomposition by process. Only modes 1 to 5 of the  $M_2$  tidal constituent are included in the total power and percentages given. The impact of the stratification change on the criticality/supercriticality of topographic slopes has been taken into account.



**Figure 1:** Diagram summarizing the approach. This study extends the dissipation maps from 2D to 3D by applying a vertical structure appropriate to each process-specific map of column-integrated internal tide energy loss. The vertical structures involve the buoyancy frequency N as well as parameters ( $H_{cri}$ ,  $H_{bot}$ ,  $r_{bot}$ ) determined by comparison to microstructure observations.



Figure 2: Global maps of the four static power fields that enter the parameterization.



**Figure 3:** Global maps of the two decay scales, (a)  $H_{cri}$  and (b)  $H_{bot}$ , that enter the parameterization. Panel (c) shows  $A_{rms}$  normalized by its global average.



**Figure 4:** Parameterized dissipation in the eastern Brazil Basin ( $21-22^{\circ}$ S;  $16-19^{\circ}$ W). (a) Composite stratification profile. (b) Composite microstructure profile (black) and parameterized  $\epsilon_{hil}$  profile (blue) for  $H_{bot} = 150$  m and three values of  $r_{bot}$ . An exponential decay with an e-folding scale of 500 m (St Laurent et al. 2002) is shown in grey. (c), Same as (b), with the total parameterized profile  $\epsilon_{hil} + \epsilon_{wwi}$  in blue. (d) WKB-scaled height above bottom as defined by Lefauve et al. (2015) and applied to the composite stratification profile. (e,f), Same as (b,c), with  $\epsilon_{hil}$  distributed according to Lefauve et al. (2013b). (h,i), Same as (b,c), with  $\epsilon_{hil}$  distributed according to Melet et al. (2013b). (h,i), Same as (b,c), with  $\epsilon_{hil}$  distributed according to Melet et al. (2013b). and three values of  $H_L$ . (g) WKB-scaled height above bottom as defined by Melet et al. (2013b). (h,i), Same as (b,c), with  $\epsilon_{hil}$  distributed according to Melet et al. (2013b) and three values of  $H_M$ . In all panels, thin black lines delimitate 95% confidence intervals from bootstrapping.



**Figure 5:** Seasonal change of parameterized turbulence production in the eastern Brazil Basin (21-22°S; 16-19°W). (a) Stratification profile representative of (blue) winter and (red) summer, from World Ocean Atlas 2018 (Locarnini et al. 2018, Zweng et al. 2018). (b) Parameterized  $\epsilon_{hil}$  profile in (red) summer and (blue) winter. The present parameterization ( $H_{bot} = 150 \text{ m}$ ,  $r_{bot} = 0.86$ ) is shown by thick lines. For comparison, we also show (dashed-dotted lines) the vertical structure of Lefauve et al. (2015) with  $H_L = 400 \text{ m}$  and (dotted lines) that of Melet et al. (2013b) with  $H_M = 5 \text{ m}$ .



**Figure 6:** Distribution of the global total power,  $\int \int E_{hil} dx dy$ , as a function of (a)  $E_{hil}$ , (b)  $k_{hil}$  and (c)  $E_{hil}^{1/2} k_{hil}^{3/2} \propto A_{rms}$ . The red line shows the eastern Brazil Basin (21-22°S; 16-19°W) value.



**Figure 7:** Global (a) microstructure, (b) ship-based finestructure (Kunze 2017) and (c) Argo-based finestructure (Whalen et al. 2015) sampling. The number of profiles for each project is shown in parentheses in (a). Finestructure profile locations are shown in blue and black in (b); black sections are those plotted in Figs. 13, S1 and S2. Shading in (c) depicts the maximum depth (in kilometres) of Argo-based finestructure profiles.



Figure 8: Project-average microstructure profiles (black) compared to parameterized profiles (blue). Parameterized profiles are obtained by sampling the global distribution of internal tide energy dissipation which is based on the WOCE climatology of stratification—at the location and depths of each available microstructure profile. If either the parameterized or measured profile is not defined at some depth, both are set to not-a-number at this depth before taking the average; this ensures that differences in coverage do not bias the comparison. Thin lines delimitate 95% confidence intervals from bootstrapping. Project locations are shown in Fig. 7. 48



**Figure 9:** Southwestern Atlantic transect of (b) parameterized and (c) measured turbulence production. Selected measurements are BBTRE microstructure profiles shown in red in (a). Selected grid points for the parameterization track the observational transect. Horizontal linear interpolation has been used in seven unsampled grid columns (marked by circles) of panel (c). Shading in (a) shows the 'etopo2v2' bathymetry (Smith and Sandwell 1997) averaged at  $0.5^{\circ}$  resolution.



**Figure 10:** Turbulence production estimated from (a) microstructure or (b-d) finestructure observations (y-axis) versus that predicted by the present parameterization (x-axis). Panel (b) uses the dataset of Whalen et al. (2015). Panels (c,d) use the dataset of Kunze (2017), with depths > 2 km excluded in panel (d). Colourscales show the number of values in each dissipation bin; one value corresponds to one 200 m profile segment. The top colourscale applies to panel (a); the right-side colourscale applies to other panels. Total number of values (n) and correlation coefficients (r) are given in the top-left corner of each panel; outside (inside) parentheses is the correlation coefficient computed using raw (using the logarithm of) dissipation values. All correlations are statistically significant (p-value < 0.05). Thin black lines delimitate agreement within a factor of 10; the corresponding percentage of values is given at the top right of each panel.



Internal wave energy dissipation at 400 m depth (log(W kg<sup>-1</sup>))

**Figure 11:** Internal wave energy dissipation at 400 m depth predicted from (a) the present parameterization, (b) ship-based finestructure observations (Kunze 2017) and (c) Argo-based finestructure observations (Whalen et al. 2015).



**Figure 12:** Average (black) finestructure-inferred and (blue) parameterized turbulence production profile. Parameterized profiles are obtained by sampling the global distribution of dissipation at the location and depths of each available finestructure profile. (a,d) All profiles. (b,e) Profiles where  $E_{cri} + E_{hil} > E_{wwi} + E_{sho}$ . (c,f) Profiles where  $E_{cri} + E_{hil} < E_{wwi} + E_{sho}$ . Panels (a-c) use the finestructure dataset of Kunze (2017); panels (d-f) use that of Whalen et al. (2015). Thin lines delimitate 95% confidence intervals from bootstrapping. Grey shading in (a-c) marks the 0-380 m depth range within which finestructure estimates were deemed unreliable by Kunze (2017).



**Figure 13:** South Atlantic transect, near 23°S, of (a) climatological stratification, (b) parameterized turbulence production and (c) finestructure-inferred turbulence production (Kunze 2017). Transect location is shown in Fig. 7b.



**Figure 14:** Global zonal sum of (a) the total turbulence production  $\epsilon_{tid}$  and (b-e) its decomposition into the four components: (b)  $\epsilon_{wwi}$ , (c)  $\epsilon_{sho}$ , (d)  $\epsilon_{cri}$  and (e)  $\epsilon_{hil}$ .



**Figure 15:** Global distribution of  $\epsilon_{tid}$  as a function of (a) depth and (b,c) height above bottom. Colors indicate respective contributions of (red)  $\epsilon_{wwi}$ , (orange)  $\epsilon_{sho}$ , (light blue)  $\epsilon_{cri}$  and (blue)  $\epsilon_{hil}$ . In panel (c), regions where the seafloor depth is less than 1 km have been excluded.



**Figure 16:** Global depth distribution of  $\epsilon_{tid}$  in (black) this study, (blue) the standard NEMO parameterization and (orange) the standard CCSM parameterization. (a) Total turbulence production binned into 500 m depth intervals. The grey shading indicates turbulence production implied by a uniform diffusivity of  $10^{-4}$  m<sup>2</sup> s<sup>-1</sup>. (b) Cumulative turbulence production, given as a percentage of the global total.



**Figure 17:** Diapycnal diffusivity in the present (a,c,e) and NEMO standard (b,d,f) mixing parameterizations. (a,b) Zonal mean diffusivity. (c,d) Diffusivity at 500 m depth. (e,f) Diffusivity at 3000 m depth. In panels (a,b) diffusivity averages have been weighted by  $N^2$ . Note that the standard NEMO parameterization also includes a reduction of diffusivity under sea ice and an elevation in Indonesian seas that are not represented here.



**Figure S1:** South Pacific transect (P21, near  $17^{\circ}$ S) of (a) climatological stratification, (b) parameterized turbulence production and (c) finestructure-inferred turbulence production (Kunze 2017). Transect location is shown in Fig. 7b.



**Figure S2:** Indian Ocean transect (I03 and I04, near 20°S) of (a) climatological stratification, (b) parameterized turbulence production and (c) finestructure-inferred turbulence production (Kunze 2017). Transect location is shown in Fig. 7b.



Figure S3: Comparison of turbulence production (black) measured by microstructure probes, (orange) inferred by Kunze (2017) and (blue) parameterized here. We first averaged finestructure and parameterized profiles located within 50 km of each microstructure cast. We thus obtained 540 profiles with defined microstructure, finestructure and parameterized values. If either of the three measures of dissipation is not defined at some depth, all three are set to not-a-number at this depth; this ensures that differences in coverage do not bias the comparison. Thick lines show the average over the 540 profiles. Thin lines enclose 95% confidence intervals from bootstrapping. The top panel shows in red the locations of the 540 profiles and in white the locations of all ship-based finestructure profiles, overlain on the shaded internal tide energy dissipation  $E_{tid}$ .