# Stress release process along a crustal fault analogous to the plate boundary: a case study of the 2017 M5.2 Akita-Daisen earthquake, NE Japan

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November 30, 2022

### Abstract

Stress accumulation and release in the crust remains poorly understood compared to that at the plate boundaries. Spatiotemporal variations in foreshock and aftershock activities can provide key constraints on time-dependent stress and deformation processes in the crust. The 2017 M5.2 Akita-Daisen intraplate earthquake in NE Japan was preceded by intense foreshock activity and triggered a strong sequence of aftershocks. We examine the spatiotemporal distributions of foreshocks and aftershocks and determine the coseismic slip distribution of the mainshock. Our results indicate that seismicity both before and after the mainshock was concentrated on a planar structure with N-S strike that dips steeply eastward. We observe a migration of foreshocks towards the mainshock rupture area, suggesting that foreshocks were triggered by aseismic phenomena preceding the mainshock. The mainshock rupture propagated toward the north, showing less slip beneath foreshock regions. The stress drop of the mainshock was 1.4 MPa and the radiation efficiency was 0.72. Aftershocks were intensely triggered near the edge of large coseismic slip regions where shear stress increased. The aftershock region expanded along the fault strike, which is attributed to the post-seismic aseismic slip of the mainshock. The postseismic slip possibly triggered repeating earthquakes with M ~3. We find that the foreshocks, mainshock, aftershocks, and post-seismic slip released stress at different segments along the fault, which may reflect differences in frictional properties. Obtained results were similar to those observed for interplate earthquakes, which supports the hypothesis that the deformation processes along plate boundaries and crustal faults are fundamentally the same.

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17	Key Points:
18	• Relocated hypocenters and focal mechanisms indicate that the mainshock, foreshocks, and aftershocks occur on the same introplate foult
20 21	<ul> <li>The foreshocks, mainshock, aftershocks, and post-seismic slip released stress on different fault segments.</li> </ul>
22 23	• Foreshock and aftershock seismicity migrate along the fault plane, suggesting aseismic slip occurs before and after the mainshock.

### 25 Abstract

Stress accumulation and release in the crust remains poorly understood compared to that at the 26 plate boundaries. Spatiotemporal variations in foreshock and aftershock activities can provide 27 key constraints on time-dependent stress and deformation processes in the crust. The 2017 M5.2 28 Akita-Daisen intraplate earthquake in NE Japan was preceded by intense foreshock activity and 29 30 triggered a strong sequence of aftershocks. We examine the spatiotemporal distributions of foreshocks and aftershocks and determine the coseismic slip distribution of the mainshock. Our 31 results indicate that seismicity both before and after the mainshock was concentrated on a planar 32 structure with N-S strike that dips steeply eastward. We observe a migration of foreshocks 33 towards the mainshock rupture area, suggesting that foreshocks were triggered by aseismic 34 phenomena preceding the mainshock. The mainshock rupture propagated toward the north, 35 showing less slip beneath foreshock regions. The stress drop of the mainshock was 1.4 MPa and 36 the radiation efficiency was 0.72. Aftershocks were intensely triggered near the edge of large 37 coseismic slip regions where shear stress increased. The aftershock region expanded along the 38 fault strike, which is attributed to the post-seismic aseismic slip of the mainshock. The 39 postseismic slip possibly triggered repeating earthquakes with M ~3. We find that the 40 foreshocks, mainshock, aftershocks, and post-seismic slip released stress at different segments 41 along the fault, which may reflect differences in frictional properties. Obtained results were 42 43 similar to those observed for interplate earthquakes, which supports the hypothesis that the deformation processes along plate boundaries and crustal faults are fundamentally the same. 44

### 47 **1. Introduction**

Earthquakes are natural phenomena which release stress and strain energy accumulated inside the earth (Knopoff, 1958; Savage, 1969). Interplate earthquakes release the stress accumulated by the slip deficit along the plate interface, while intraplate earthquakes, which occur on multiple three-dimensionally-distributed faults, release stress and strain energy accumulated within the plates. Quantification of stress accumulation and release is required for a comprehensive understanding of the deformation processes that take place in the earth.

The accumulation and release of stress at plate boundaries is relatively well understood 54 compared to that in the crust. At plate boundaries, increase in stress can be monitored as part of 55 geodetic analysis by estimating slip deficit (Savage, 1983; Matsu'ura & Sato, 1989). Analyses of 56 recent dense geodetic network data revealed that the slip deficit rate exhibits substantial spatial 57 58 variations along the plate boundary (e.g., Suwa et al., 2006; Ryder & Bürgmann, 2008: Noda et al., 2018), which probably reflects variation in frictional properties (Lay & Kanamori, 1981). 59 The stress accumulated at plate boundaries is released by both interplate earthquakes and 60 aseismic slip events, and the rupture areas of large interplate earthquakes correlate well with 61 regions of high slip deficit (Hashimoto et al., 2009). Earthquakes cause slip and release stress at 62 same locations repeatedly (Nadeau and Johnson, 1998; Matsuzawa et al., 2002; Yamanaka and 63 Kikuchi, 2004), indicating that the frictional properties along plate boundaries remain the same 64 over long periods of time. 65

Furthermore, recent geodetic and seismological studies have revealed that not only 66 earthquakes but also abundant aseismic phenomena occur along plate boundaries (e.g., Ide et al., 67 2007; Beroza & Ide, 2012). These include post-seismic slip (e.g., Wesson, 1987; Heki et al., 68 1997; Hsu et al., 2006), pre-seismic slip (e.g., McGuire & Jordan, 2000; Uchida et al., 2004), and 69 episodic aseismic slip events (e.g., Linde et al., 1996; Hirose et al., 1999). The diversity in slip 70 styles at plate boundaries is attributed to heterogeneity in stress state, and frictional and 71 rheological properties of the boundary material (Tse & Rice, 1986; Marone et al., 1991; 72 Matu'ura et al., 1992; Shibazaki & Iio, 2003; Perfettini & Avouac, 2004; Liu & Rice, 2005; 73 Ando et al., 2012). Such aseismic phenomena may also play important roles in the accumulation 74 and release of stress in the crust (e.g., Iio et al., 2002; Meneses-Gutierrez & Sagiya, 2016). 75 The roles of crustal faults in the accumulation and release of stress, however, are poorly 76 understood compared to interplate faults. One difficulty in the assessment of the temporal 77 evolution of stress on a crustal fault comes from the weakness of the geodetic signal produced by 78 any aseismic slip which may occur along a crustal fault. This is due to the deformation rate in the 79

crust, which is substantially lower than at plate boundaries. However, certain time-dependent
aspects of seismicity can be used to extract information about aseismic phenomena. For example,
the migration patterns of hypocenters have been used for the detection and quantification of
aseismic slip propagation (Vidale et al., 2006; Lohman & McGuire, 2007; Kato et al., 2012) and
pore pressure diffusion (e.g., Parotidis, 2003; Yukutake et al., 2011; Chen et al., 2012; Shelly et
al., 2013a, b).

The distribution of fault structures in the crust adds further complexity to its stress state. Hypocenters of crustal earthquakes are often scattered three-dimensionally in the bulk crust; this distribution is sometimes referred to as a seismicity cloud. Each earthquake within a seismicity cloud can have different fault plane orientations. Intraplate seismicity does not always occur in a

- 90 well-defined plane, but some seismicity clouds in the crust merely represent hypocenter
- estimation errors. In fact, by performing precise hypocenter relocation, previous studies have
- succeeded in delineating planar structures from seismicity clouds (e.g., Waldhauser & Ellsworth,
- 93 2000; Asanuma et al., 2001; Moriya et al., 2003; Yoshida & Hasegawa, 2018a, b). On the other
- hand, some diversity in hypocenter distribution reflects the true nature of fault structures (e.g., Kill & Public 2002; Vachida et al. 2014 and 2015; Page et al. 2017a, 2010; Yug et al. 2018)
- Kilb & Rubin, 2002; Yoshida et al., 2014 and 2015; Ross et al., 2017a, 2019; Xue et al., 2018).
  In general, larger earthquakes cause larger stress changes further from the fault plane and trigger
- more off-fault seismicity. This results in a more complex aftershock distribution. We anticipate
- 98 that this problem can be avoided, and essential information regarding crustal stress accumulation
- and release can be gathered, by examining a moderate-sized (M~5) earthquake in detail.

In this study, therefore, we examine the spatiotemporal distribution of precisely relocated hypocenters of foreshocks and aftershocks and the coseismic slip distribution of the 2017 M5.2 Akita-Daisen earthquake in NE Japan, to shed light on processes that release stress in the crust. Our results indicate that (1) the foreshocks, mainshock, aftershocks, and post-seismic slip all released stress at different segments of the fault, and (2) much like interplate earthquakes, there

- are aseismic phenomena behind the occurrence of this M5 intraplate earthquake.
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### 107 2. The 2017 M5.2 Akita-Daisen earthquake

The 2017 M5.2 Akita-Daisen earthquake occurred on Sep. 8th, 2017 in Akita-Daisen, a northern part of inland NE Japan (Fig. 1), at a depth of about 10 km. This paper refers to the event as the Akita-Daisen earthquake. This earthquake is the largest to have occurred in the northern part of NE Japan since the 2011 M9 Tohoku-Oki earthquake. The focal area is surrounded by the national dense seismic network (Fig. S1 [a]). The moment magnitude and centroid depth listed in the F-net moment tensor catalog (Fukuyama et al., 1997) is Mw 4.9 and 5 km, respectively.



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- 119 Orientations of the maximum and minimum principal compressional axes of the static stress 120 change of the 2011 Tohoku-Oki earthquake are indicated by red and blue bars, respectively. The
- 121 length of the bar corresponds to the plunge of the principal stress axes. The static stress change
- 122 was computed by Yoshida et al. (2012) using the coseismic slip model of Iinuma et al. (2011).
- 123 The broken and solid rectangles indicate the range of the map shown in Fig. 1 (b) and Fig. 4 (a),
- respectively. (b) Hypocenters of earthquakes that occurred before (blue) and after (red) the 2011
- 125 Tohoku-Oki earthquake. Plus symbols represent seismic stations. Station names are shown only
- 126 for stations used for the waveform inversion. The x-mark indicates the location of the nearest
- 127 *KiK-net station (AKTH16). The solid rectangle denotes the area shown in Fig. 2 (a). The 'beach*
- ball' symbol shows the focal mechanism of the mainshock listed in the *F*-net catalog.
- 129

130 The hypocenters of 554 seismic events with  $M_{JMA} \ge 1$  are shown in Fig. S1 (b)–(k). They 131 are listed in the JMA (Japan Meteorological Agency) unified catalog for the period from Jan. 1, 132 2003 to Dec. 31, 2018. Their depths range from 8 to 12 km. They show a cloud-like spatial 133 distribution across a diameter of a few kilometers, and no planar structure can be observed.

Around the focal region, the stress field was estimated to have rotated > 90° after the 2011 Tohoku-Oki earthquake, transitioning from a dominantly E–W compressional reverse-fault regime to a NNE–SSW compressional strike-slip fault regime, because of the static stress change

- 137 (1 MPa of differential stress) caused by the earthquake (Fig. 1 [a]). If this is the case, the
- differential stress magnitude in the region should be less than 1 MPa (Yoshida et al., 2012).
- 139 Subsequent studies, however, have suggested the possibility that the observed stress rotation in
- 140 this region is the product of heterogeneity in stress fields (Yoshida et al., 2019a).

The moment tensor solution of the Akita-Daisen earthquake shows a NE-SW 141 142 compressional strike-slip earthquake, according to the F-net moment tensor catalog, which is consistent with the stress field produced by the 2011 Tohoku-Oki earthquake (Fig. 1 [a]). 143 Seismicity drastically increased in and around the focal region of the Akita-Daisen earthquake 144 immediately after the 2011 Tohoku-Oki earthquake (Fig. S2). The shear stress magnitude on the 145 fault plane of the Akita-Daisen earthquake increased continuously after the 2011 Tohoku-Oki 146 earthquake occurred (Fig. S3) due to post-seismic deformation, which probably contributed to 147 the occurrence of the Akita-Daisen earthquake. 148

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### 150 **3. Methods**

## 151 **3.1. Hypocenter relocation**

We precisely determined the earthquake hypocenters shown in Fig. S1 (a). We followed 152 the procedure outlined in Yoshida & Hasegawa (2018a). We first extracted the P-wave (49,070 153 picks) and S-wave (47,566 picks) differential arrival time data from the JMA unified catalog. We 154 also used waveform data obtained at stations close to the source area (Fig. S1 [a]) for the 155 waveform correlation measurements. The stations are three-component velocity seismometers 156 with a sampling rate of 100 Hz, operated by Tohoku University, JMA and Hi-net (Okada et al., 157 2004). We applied a bandpass filter of between 5 and 12 Hz, and computed the cross-correlation 158 159 function. Derived differential arrival times were only used if the cross-correlation coefficient was higher than 0.8. The number of differential arrival time data for P- and S-waves, derived from 160 waveform cross-correlation delay measurements, was 175,817 and 204,395, respectively. 161

We then applied the double-difference earthquake relocation method (Waldhauser & 162 Ellsworth, 2000) to differential arrival time data. We assumed the 1-D velocity model of 163 Hasegawa et al. (1978), which was used routinely at Tohoku University to determine hypocenter 164 locations and focal mechanisms for events in NE Japan. The residual of the differential arrival 165 times decreased from 82 to 20 msec during processing. We evaluated the uncertainty in the 166 relative hypocenter locations by recalculating the relocations 1,000 times, based on bootstrap 167 resampling of differential arrival time data. The 95% confidence regions of the relative 168 hypocenter locations of close events (< 1 km) are 0.0005° in longitude, 0.0003° in latitude, and 169 224 m in depth on average. This method focuses on estimating relative locations of hypocenters, 170 which is consistent with our goal. For absolute locations, however, the hypocenters determined 171 above might be less reliable than those based on absolute arrival time data. We therefore shifted 172 the centroid of relocated hypocenters to the location of the centroid of hypocenters listed in the 173 JMA catalog while maintaining the relative locations. 174

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## 176 **3.2. Determination of focal mechanisms**

We used the amplitudes of direct P- and S-waves (corrected by those of a reference earthquake whose focal mechanism was known) to calculate the focal mechanisms. We followed the procedure outlined in Yoshida et al. (2019b), which utilizes the amplitude ratios of P-, SH-, and SV-waves by assuming that the medium in the vicinity of the source is homogeneous and

isotropic (Dahm, 1996). We limited the distance between target and reference events to less than

182 3 km. We used the waveform correlation between the target earthquake and a reference

183 earthquake to reliably obtain the amplitude ratio data.

Sixteen focal mechanisms determined and compiled by Yoshida et al. (2012, 2019a) were 184 adopted as reference focal mechanisms. Amplitude ratio was computed at each seismic station if 185 the cross-correlation coefficient is greater than 0.8. If amplitude ratio data were obtained from 186 more than eight different seismic stations, we estimated the moment tensor components. We 187 computed 2,000 focal mechanisms for each target event based on bootstrap resampling of 188 amplitude ratio data. The difference in focal mechanisms from the best-solution was measured 189 by the 3-D rotation angle (Kagan, 1991). If the 90% confidence region was larger than 30°, we 190 discarded the result. Thus, the moment tensor solutions of 273  $M_{IMA} \ge 1$  events were 191

192 determined.

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### 194 **3.3. Estimation of rupture process**

We used seismic waveform data to estimate the coseismic slip distribution of the mainshock. We first removed the site- and path-effects from the observed waveforms and obtained apparent moment rate functions (AMRFs) of the mainshock using the EGF (empirical green function) method (e.g., Hartzell, 1978). We then inverted the AMRFs for the spatiotemporal distribution of fault slip. The procedure is similar to that of Ross et al. (2017b).

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### **3.3.1. Estimation of apparent moment rate functions of the mainshock**

We used the iterative time-domain approach developed by Ligorría and Ammon (1999) 202 after Kikuchi & Kanamori (1982) for the deconvolution of waveforms. We used waveforms from 203 the largest M3.4 foreshock, which occurred close to the mainshock hypocenter (< 350 m 204 according to the relocated hypocenters) as EGF. This earthquake has a similar focal mechanism 205 to the mainshock. We hereafter refer to this earthquake as the "EGF event". Examples of strong 206 waveforms of the mainshock and the EGF event obtained at the nearest KiK-net station are 207 shown in Fig. S4. The transverse components of S-waves were used for waveform 208 deconvolution. The cut-off frequency of the low-pass (Butterworth-type) filter used in the 209 210 algorithm was set to 3 Hz. If the obtained apparent source time function can explain more than 80% of the observed waveforms in terms of variance reduction, we regarded the deconvolution 211 as successful. Fig. 2 shows the AMRFs recorded at 13 different seismic stations. Since the result 212 at the nearest KiK-net station (Fig. S4 [c]) does not fit this criterion (only 73.3% was 213 reproduced), this result was not used for the waveform inversion. However, we can see that the 214 215 characteristics of the AMRF are quite similar to the results with similar direction (e.g., TU.NIB).



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219 Fig. 2. Distribution of AMRFs computed from waveform deconvolution. The functions are

220 plotted at the locations of the seismic stations and colored according to maximum amplitude.

221 Tick marks denote 0.2 s intervals. The black star denotes the location of the mainshock. Timings of

AMRFs are aligned such that the onset of all AMRFs occurs at ~0 s. The x-mark indicates the

223 *location of the nearest KiK-net station (AKTH16).* 

224

Accurate onset times of AMRFs are necessary to accurately estimate the source process. 225 This requires that the arrival time of the mainshock S-waves is accurately picked; however, this 226 is difficult when the onset is emergent and contaminated by the P-coda wave. In fact, the onset of 227 P-waves implies that slip in the initial stage (~0.1 s) is smaller than slip at the later stage (Fig. S5 228 [a] and [b]), which suggests that the onset of S-waves also has a small signal. The waveform of 229 the mainshock in the initial stage (-0.1 to 0.1 s in Fig. S5 [b]) is similar to that of the EGF event 230 (Fig. S4 [c]). This supports the result that the distance between the mainshock and the EGF event 231 was small, and may indicate that the mainshock rupture initiated with a similar rupture to that of 232 the EGF event, but finally became larger earthquakes. 233

We could only pick the onset of the emergent S-wave with confidence using data from a

- limited number of stations. We assumed that Vp/Vs near the hypocenters of the mainshock and
- the EGF event were uniform, following Shimamura et al. (2012). We then estimated the
- differential arrival times of S-waves between the mainshock and the EGF event at each station
  based on the obtained arrival time of P- and S-waves, and used these estimates as reference
- points to pick the onsets of S-waves. The relationship between the differential arrival time of P-
- and S-waves between the mainshock and the EGF event is shown in Fig. 3 (a). The differential
- arrival times are concentrated on a line which corresponds to uniform Vp/Vs. In Fig. 3 (b), we
- aligned AMRFs by the mainshock S-wave arrival time thus obtained. The timing of the second
- 243 pulse can be observed to correlate well with the azimuth.



Fig. 3 (a) Differential arrival times of P- and S-waves between the mainshock and the EGF
event. The red line indicates the best-fit linear relationship between P- and S-wave differential
arrival times. (b) AMRFs aligned by the onset of S-waves.

### **3.3.2. Finite fault inversion**

The AMRFs were inverted to obtain the spatiotemporal distribution of fault slip, following the method of Hartzell & Heaton (1983) and Mori & Hartzell (1990) using the linear equation:

255

$$d_{obs} = Gm \tag{1}$$

where  $d_{obs}$  is the data vector containing the AMRFs, G is the matrix of synthetics, and m is the 256 solution vector of the subfault weights. We assumed that the source nucleated at the single point 257 258 on the fault surface, and that slip propagated over the fault plane with a constant rupture velocity  $V_r$ . We computed the relative delay times between each source node and a given station using the 259 1-D model formulated by Hasegawa et al. (1978). The fault geometry is assumed to be 260 rectangular with a fault strike of 0° and a dip angle of 80°, based on the mainshock focal 261 mechanism and the hypocenter distribution. We varied  $V_r/V_s$  from 0.1 to 0.9, over intervals of 262 0.1. The length and width of the model fault were set to twice the rupture distance over 2.0 263 seconds. The model fault was divided into  $31 \times 31$  subfaults. The length and width of each 264 subfault depend on the assumed value of  $V_r/V_s$ . For example, they are 396 m in case of  $V_r/V_s$ . 265  $V_{\rm s}=0.9.$ 266

At individual points on the fault, we represented the local moment-rate function as a 267 superposition of five synthetic sub moment rate functions (sMRFs) with different onset timings 268 with regular intervals. The sMRFs were computed by applying the same low-pass filter as that 269 used for the waveform deconvolution to symmetric triangles. The half-duration of the triangles 270 271 and the time intervals between the onset of the five sMRFs were set to t<sub>h</sub>. The initiation timing 272 of the first sMRF was set to when the rupture front reaches the center of the subfault. Their 273 amplitudes were determined in the inversion. We assumed  $t_h$  to be 0.09 s, which corresponds to two thirds of the time necessary for the rupture front to pass one subfault. We introduced a 274 constant damping factor ( $\lambda$ ) and a smoothing factor ( $e_s$ ) with the same value ( $\lambda = e_s = 2$ ), which 275 was determined based on the trade-off curve (Fig. S6), and employed the nonnegative least-276 squares algorithm of Lawson and Hanson (1995) to ensure slip positivity. 277

Fig. S7 (a) shows a comparison of the assumed values of  $V_r/V_s$  against the variance reductions *VR* between the theoretical and observed AMRFs.

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$$VR = \sum_{i=1}^{n} \left( 1 - \frac{\sum (d_{obs}(t_i) - s(t_i))^2}{\sum d_{obs}^2(t_i)} \right)$$
(2)

where  $d_{obs}(t_i)$  and  $s(t_i)$  are the time series of the observed and synthetic apparent moment rate functions, respectively. The best agreement was achieved when  $V_r/V_s = 0.9$ , with a variance reduction of 84%. This value falls within the typically-documented range of 0.6–0.9 (Geller, 1976; Lay et al., 2010). The maximum derived slip amount was 14.6 cm.

However, differences in VR are subtle when  $\frac{V_r}{V_c} \ge 0.6$ , so we cannot reject the values 285 simply based on the fitting of waveforms. Although the assumed value of rupture speed directly 286 affects the spatial extent of the estimated rupture area, the effect is not dramatic in the interval 287  $\frac{V_r}{V_s} \ge 0.6$ . Hereafter, we discuss the results of the coseismic slip distribution obtained by 288 assuming  $V_r/V_s = 0.9$ . In section 4.2, we show that coseismic slip distribution is not sensitive to 289 changes in the value of  $V_r/V_s$  when it is assumed to be  $\geq 0.6$ . Furthermore, since differences in 290 the assumed value of t<sub>h</sub> affect the resultant coseismic slip distribution only slightly, we hereafter 291 292 assume  $t_h = 0.09$  s.

The measurement error of the initiation timings of P- and S-waves is a possible cause of 293 294 the estimation error of the coseismic slip distribution. We examined the uncertainty of the coseismic slip distribution using 1,000 simulated AMRF datasets, produced by fluctuating the 295 initiation timings of AMRFs. The probability distribution of fluctuation is assumed to be a 296 uniformly random distribution ranging from -0.15 to 0.15 s. The frequency distribution of VR of 297 1,000 results based on the simulated datasets is shown in Fig. S8 (a). The mean value and the 298 standard deviation of VR are 76.7% and 4.0%, respectively. The mean value is well below that 299 of the main result (84%), justifying the validity of the initiation timings used for the main result 300 in the scale of added noise. The mean coseismic slip distribution, and the standard deviation of 301 slip amount at each subfault are presented in Fig. S8 (b) and (c), respectively. We also conducted 302 the waveform inversions by shifting the initiation timings of all the AMRFs all together by the 303 same amount from -0.07 to 0.07s. The results are shown in Fig. S9. The results shown in Figs. S8 304 and S9 are used for the measures of uncertainty of the result. 305

306

### **307 4. Results**

### **4.1. Fault structure and migration behavior of foreshock and aftershock activities**

The distribution of relocated hypocenters is shown in Fig. 4 for the same area as Fig. S1.

Most of the relocated hypocenters are distributed on a single planar structure. Figs. 5 and 6 show

an enlarged view of the relocated hypocenters and focal mechanisms, respectively.



region were relocated using the procedure outlined in section 3.1. Plus symbols represent

seismic stations. The dashed rectangle denotes the area shown in (b). (b) A map view showing

the focal region of the 2017 Akita-Daisen earthquake. (c)–(k) Cross-sectional views of vertical

320 hypocenter distribution along the lines indicated in (b). Black circles represent hypocenters. The

size of each circle corresponds to the diameter of the fault, assuming a stress drop of 3 MPa.

<sup>315</sup> Figure 4. Distribution of the relocated hypocenters. (a) Hypocenters of earthquakes that

<sup>316</sup> occurred before (blue) and after (red) the 2011 Tohoku-Oki earthquake. Hypocenters in this





circles) of earthquakes before (blue) and after (red) the mainshock. The size of each circle

329 corresponds to the fault diameter, assuming a stress drop of 3 MPa. Blue and red beach balls

show the focal mechanisms derived from the JMA catalog before and after the mainshock. Focal

331 mechanisms were determined from first-motion polarity data. For the mainshock, the first-

motion polarity solution listed in the JMA catalog and the moment tensor solution listed in the F-

net catalog are shown by black beach balls. The black star denotes the location of the

*mainshock.* 



337

Figure 6. (a) Map and (b)-(j) cross-sectional views (A–I) of focal mechanisms before (blue) and 338 after (red) the mainshock. Beach balls represent focal mechanisms. 339

The planar distribution of the hypocenters is characterized by N–S strike over a length of 5 341 km and width of 4 km (Figs. 4 and 5). Its dip is almost vertical in the northernmost cross 342 sections, while it dips towards the east at an angle of  $\sim 65-70^{\circ}$  to the south. This geometry is 343 consistent with focal mechanisms in this area (Fig. 6), which are characterized by right-lateral 344 345 strike-slip with almost vertical nodal planes in the north, and nodal planes with similar dip to the hypocenters in the south. These results strongly suggest that individual earthquakes occur on the 346 same macroscopic planar structure. 347

Two different kinds of focal mechanism are shown for the mainshock in Fig. 5: the first-348 349 motion polarity solution listed in the JMA catalog, which represents the fault geometry near the hypocenter, and the moment tensor solution listed in the F-net catalog, which represents the 350 average fault geometry in the entire rupture area. The former has a nodal plane that dips strongly 351  $(\sim 65-70^{\circ})$  to the east, which is parallel to hypocenter alignment in the south. The latter has an 352 353 almost vertical nodal plane and is parallel to hypocenter alignment in the north. This suggests

that the mainshock rupture was initiated in the southern part of the rupture area, and the largest

355 slip occurred in the northern part. Different characteristics of AMRFs between the northern and 356 southern stations (Fig. 2) support this hypothesis. The duration of the first pulse is shorter, the

amplitude is higher, and the time interval between the two pulses is shorter ( $\sim 0.4$  s) at the

northern stations. The time interval between the two pulses is  $\sim 1.2$  s at the southern stations. This

difference suggests that the first pulse is primarily characterized by northward propagation and

the second pulse was produced north of the hypocenter.

Foreshocks and aftershocks appear to have caused slip on different segments of the same 361 plane. Hypocenters of foreshocks, including the largest M3.4 event that occurred on Sep. 9, 362 2016, are located near the hypocenter of the mainshock (cross-section E in Fig. 5). In contrast, 363 aftershock hypocenters are not distributed near the mainshock hypocenter. Fig. 7 shows a cross-364 section of hypocenters along the fault strike. A clear seismic gap of aftershocks with a length of 365 about 2 km and a width of about 1 km can be observed around the mainshock hypocenter (Fig. 7 366 [c]). This seismic gap may represent large slip regions of the mainshock, as reported for larger 367 earthquakes based on the direct comparison of aftershock distribution and coseismic slip 368 distribution (e.g., Mendoza & Hartzell, 1988; Das & Henry, 2003; Woessner et al., 2006; Asano 369 et al., 2011; Ebel & Chambers, 2016; Yoshida et al., 2016; Ross et al., 2017b & 2018; Welzter et 370 al., 2018). 371

372



Fig. 7. (a) Map view and (b), (c) cross-sectional views along the strike of the rupture zone showing hypocenters of earthquakes (represented by circles) before (blue) and after (red) the mainshock. The size of each circle corresponds to the fault diameter, assuming a stress drop of 3 MPa. The black star

380 *denotes the location of the mainshock.* 

381

Fig. 8 shows the time of occurrence of foreshocks with distance along the fault strike from the mainshock hypocenter. The foreshock sequence initiated ~2,000 days before the mainshock, with foreshock hypocenters gradually migrating from north to south. Foreshocks occurred closest to the mainshock hypocenter one year before the mainshock, reaching the area south of the mainshock ~30 days before the mainshock took place (Fig. 8 [b]). Foreshocks migrated back north before the mainshock occurred (Fig. 8 [c]).





389 390

391 Fig. 8. Temporal variations of hypocenters in the foreshock sequence. (a) Foreshock hypocenters

are plotted by time of occurrence according to the color scale. (b)-(c) Time-plots of the latitudes

393 of foreshock hypocenters. Circle size represents earthquake magnitude. The black star indicates

*the location of the mainshock.* 

Fig. 9 compares the occurrence timing of aftershocks against the distance along the fault strike. The aftershock area expands with time, especially over the first few days to the southern region. The aftershock region expands approximately with the logarithm of time (Figs. 9 [b] and [c]).



403

Fig. 9. Time plots of along strike distance of aftershock hypocenters away from the mainshock
hypocenter. Circle size indicates earthquake magnitude. Red dots represent possible repeating
earthquakes. (a) Distance (km) along the strike as a function of time (days). (b)–(c) Distance
(km) along the strike as a function of the logarithm of time (days). In (c), red and blue crosses
represent the values at each bin, above and below which 10% of earthquakes occur, respectively.

- 409 Each bin has the same number of events.
- 410
- 411

# 412 4.2. Coseismic slip distribution of the 2017 M5.2 Akita-Daisen earthquake and its 413 relationship with foreshock and aftershock activity

Fig. 10 shows the final coseismic slip distribution of the mainshock and the moment rate 414 function at each subfault. There are two large slip regions: a region near the hypocenter and a 415 region north of the mainshock hypocenter, which is consistent with what would be expected 416 from the azimuthal dependency of the AMRFs. The distance between the two large slip areas is 417 approximately 1.5 km. Similar characteristics were obtained for the mean result of the 1,000 418 simulated coseismic slip distributions (Fig. S8 [b]) and the results obtained by systematically 419 shifting the initiation timings of all the AMRFs (Fig. S9); they have two large slip regions near 420 the hypocenter and  $\sim 1.5$  km north from the hypocenter. Existence of the two slip peaks is 421 significant from the standard deviation of the 1,000 simulated coseismic slip distributions (Fig. 422 S8 [c]). These results indicate that the characteristics of the coseismic slip distribution obtained 423 in this study are robust. 424

425



Fig. 10. (a) The coseismic slip distribution obtained assuming Vr/Vs = 0.9. The slip amount is 430 shown by the color scale. (b) Comparison of the observed (black) and synthetic (red) source time 431 functions at each station. 432

433

Fig. 11 compares the coseismic slip distribution with the hypocenter distributions. 434 Aftershocks occurred abundantly outside the edges of the two large slip portions (Fig. 11 [b]). 435 The area with a relatively small amount of coseismic slip between two large slip regions 436 corresponds to the deeper extension of intense foreshock activity. Comparisons of the coseismic 437 slip amount against number of foreshocks and aftershocks along the large slip regions are shown 438 in Figs. 11 (c) and (d), respectively. Foreshocks and aftershocks occur at the edges of large slip 439 regions. As a whole, the mainshock, foreshocks, and aftershocks cause slip on different parts of 440 fault. This pattern barely changes if other values of  $\frac{V_r}{V_c} \ge 0.6$  are used for the waveform inversion 441 (Fig. S10). 442

443



447

Fig. 11. Comparison of the interpolated coseismic slip distribution (green) against (a) foreshock
sequences (blue circles) and (b) aftershock sequences (red circles). Along-strike and along-dip
distances are measured with respect to the mainshock hypocenter (black star). In (c) and (d), the
amount of coseismic slip (black line) is compared with the number of events before and after the
mainshock within the along-strike zone shown by the triangles and bold lines in (a) and (b).

453

The theoretical equations compiled by Okada (1992) were used to calculate the change in 454 shear stress along the fault, by computing the stress change caused by such a dislocation in a 455 homogeneous elastic half-space. We assumed a Poisson's ratio of 0.25 and a rigidity of 30 GPa. 456 The average stress drop was 1.4 MPa, which was weighted by the slip amount (Shao et al., 2012; 457 458 Noda et al., 2013). The largest value was 7.0 MPa near the hypocenter. The results are compared with the locations of foreshocks and aftershocks in Figs. 12 (a) and (b), respectively. Aftershocks 459 tend to occur in locations with positive shear stress. The model results showed shear stress 460 change was positive for 75% of M>2 events. 461



466 Fig. 12. Comparison of the distribution of shear stress change with (a) foreshock sequences
467 (blue circles) and (b) aftershock sequences (red circles). Along-strike and along-dip distances

*are measured with respect to the mainshock hypocenter (black star).* 

We estimated the radiation energy using the method proposed by Vassiliou and Kanamori 471 (1982), which employs the integration of the square of the seismic moment acceleration function. 472 The obtained radiation energy  $E_R$  was  $4.7 \times 10^{11}$  J, which follows that the scaled energy by the 473 seismic moment  $\frac{E_R}{M_o}$  was  $1.7 \times 10^{-5}$ . The assumption of a rigidity  $\mu$  of 30 GPa yielded an apparent stress  $\sigma_{ap} = \mu \frac{E_R}{M_o}$  of 0.5 MPa. The radiation efficiency  $\eta_R = 2 \frac{\mu}{\Delta \sigma_E} \left(\frac{E_R}{M_o}\right)$  (Kanamori & 474 475 Rivera, 2006) was approximately 0.72, which falls in the typical range of Mw > 6.7 earthquakes 476 477 (Venkataraman & Kanamori, 2004). This value is a few times larger than estimates for recent smaller crustal earthquakes such as the 2008 Mw 5.4 Chino Hills, California, earthquake (Shao 478 et al., 2012) and the 2016 Mw 6.2 Tottori earthquake (Ross et al., 2018). 479 The relatively high radiation efficiency in this study suggests that the Akita-Daisen 480

earthquake occurred on a mature fault. The observation that foreshock and aftershock activities are concentrated on the same planar structure (Fig. 5) supports this idea. The relationship between  $V_r/V_s$  and  $\eta_R$  is consistent with that of mode-II cracks (Freund, 1972; Venkataraman & Kanamori, 2004).

485

### 486 **5. Interpretation of the results**

### 487 **5.1. Foreshock migration and aseismic process prior to the mainshock**

The migration of foreshocks suggests that aseismic processes, such as aseismic slip and fluid migration, proceeded before the occurrence of the mainshock. Aseismic slip has been detected before the occurrence of large interplate earthquakes (e.g., Uchida et al., 2004; Kato et al., 2012; Ito et al., 2013). For example, the migration of foreshocks toward the rupture initiation point of the mainshock was observed in the 2011 Tohoku-Oki earthquake (Ando & Imanishi, 2011; Kato et al., 2012), which was interpreted as the propagation of aseismic slip. It is possible that such aseismic slip also contributes to the occurrence of crustal earthquakes.

Another cause of hypocenter migration is the diffusion of fluid. Earthquake swarm 495 activities triggered by the 2011 M9 Tohoku-Oki earthquake in inland NE Japan were interpreted 496 to be caused by fluid movement facilitated by the earthquake (Terakawa et al., 2013; Okada et 497 498 al., 2016; Yoshida & Hasegawa, 2018a, b; Yoshida et al., 2018), because their hypocenters exhibited distinct upward migration along planar structures (Yoshida & Hasegawa, 2018a, b), 499 and synchronized temporal variations in seismicity and source parameters (Yoshida et al., 2016c, 500 2017; Yoshida & Hasegawa, 2018b). Such fluid movement may have preceded the occurrence of 501 the Akita-Daisen earthquake, which in turn may have affected the frictional properties of the 502 source region, causing the earthquake sequence and aseismic slip. 503

504 Quasi-static rupture associated with the nucleation of the mainshock is an alternative mechanism for the occurrence and migration of foreshocks (e.g., Dodge et al., 1996; McGuire et 505 al., 2005; Yabe et al., 2015; Yabe & Ide, 2018). The slip-weakening dependence of frictional 506 strength (e.g., Ida, 1972) predicts that stable slip quasi-statically expands with time prior to the 507 dynamic instability that leads to rupture. This feature is confirmed by in-situ experiments 508 (Ohnaka & Kuwahara, 1990). Physical simulations indicate that interseismic creep penetrates 509 seismogeneic patches from external stable-slip regions before the occurrence of unstable slip 510 (Tse & Rice, 1986). 511

At present, it is difficult to determine the physics behind the observed hypocenter 512

migration. However, our results suggest that aseismic phenomena preceded the 2017 Akita-513 Daisen earthquake. 514

Foreshock activity is sometimes reported to have significantly lower b-values than regular 515 seismicity (e.g., Suyehiro, 1966; Enescu and Ito, 2001; Nanjo et al., 2012; Tormann et al., 2015; 516 Tamarichuchi et al., 2018), which might be related to aseismic processes. In the case of the 2017 517 Akita-Daisen earthquake, we do not observe a significant change in b-value. The b-values of 518 foreshocks and aftershocks are 0.90 and 0.93, respectively (Fig. S11). The standard errors for b-519

values are 0.13 for both foreshocks and aftershocks according to the solution of Shi & Bolt 520 (1982).

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522

### 5.2. Aftershock migration and afterslip propagation 523

524 One possible cause of the expansion of the aftershock region is the propagation of postseismic aseismic slip (e.g., Wesson, 1987; Kato, 2004; Hsu et al., 2006; Ariyoshi et al., 2007). 525 526 The expansion of aftershock region with the logarithm of time observed in this study is consistent with observations and simulations of post-seismic slip (Kato et al., 2007; Peng & 527 Zhao, 2009; Frank et al., 2017; Perfettini et al., 2018; Ross et al., 2018). The propagation speed 528 seems lower in the northern front than in the southern front (Fig. 9c). The difference in the 529 530 propagation speed might be related to the difference in effective normal stress and/or frictional properties (Ariyoshi et al., 2007 and 2019). Some geodetic studies have showed that moment 531 532 releases of post-seismic slip can be comparable to those of the mainshocks (Nishimura et al., 2000; Kawasaki et al., 2001; Pritchard & Simons, 2006; Freed, 2007; Johanson et al., 2006) 533 especially for small to moderate-sized earthquakes (Hawthorne et al., 2016). This might suggest 534 that the post-seismic slip also plays an important role for the release of stress in the case of this 535 earthquake sequence. 536

Fluid diffusion is another possible cause for aftershock migration. However, hypocenter 537 fronts expand with the square root of time in fluid diffusion models (e.g., Shapiro et al., 1997), 538 which accurately describe the expansion of source regions of swarm activity, especially in 539 volcanic regions (Parotidis et al., 2005; Yukutake et al., 2011a; Shelly et al., 2013a, 2013b, 2015; 540 Yoshida et al., 2017, 2018). Our observation of aftershock expansion with the logarithm of time 541 is better explained by the post-seismic slip propagation model. 542

Mainshock-aftershock sequences are characterized by a decay in the rate of seismicity in 543 proportion to the reciprocal of time (Omori Law), and events are often assumed to be triggered 544 by static stress changes from the mainshock (e.g., Dieterich, 1994; King et al., 1994) and/or post-545 seismic slip (e.g., Schaff et al., 1998; Hsu et al., 2006). On the other hand, earthquake swarms, 546 which do not obey the Omori law, are often assumed to be caused by aseismic processes, such as 547 episodic aseismic slip (Vidale & Shearer, 2006; Roland & McGuire, 2009; Chen et al., 2012) or 548 fluid migration (e.g., Hainzl & Ogata, 2005). The number of aftershocks observed after the 2017 549 Akita-Daisen earthquake follows the Omori law (Fig. S12), which suggests that the dominant 550 causes of these aftershocks were static stress change and post-seismic slip of the mainshock. 551

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### 5.3. Spatial separation of co- and post-seismic slip, and foreshock and aftershock activities 554

555 We have suggested that the mainshock, foreshocks, and aftershocks released stress on 556 different segments of the fault. Furthermore, aseismic slip probably influenced aftershock and 557 foreshock generation, which also contributed to the redistribution of stress.

According to geodetic estimates, areas that undergo post-seismic slip are often spatially 558 separated from those that experience coseismic slip (e.g., Heki et al., 1997; Miyazaki et al., 2004; 559 Johanson et al., 2006; Miura et al., 2006 Wang et al., 2012; Jinuma et al., 2016), which may be 560 attributed to variation in the frictional properties of faults. Fault segments on which post-seismic 561 slip occurs are often modeled using velocity strengthening frictional behavior (e.g., Rice & Gu, 562 1983; Marone, 1991; Schaff et al., 1998; Perfettini & Avouac, 2004; Kato 2004; Viesca, 2019). 563 In fact, post-seismic slip is often reported to occur at shallower and deeper levels than coseismic 564 slip areas, which can be explained by the dependency of frictional properties on temperature (Tse 565 & Rice, 1986; Blanpied et al., 1995). Post-seismic slip sometimes occurs at the same depth as 566 coseismic slip (e.g., Hearn et al., 2002; Miyazaki et al., 2004; Hashimoto et al., 2006; Johnson et 567 al., 2006; Hsu et al., 2006; Murakami et al., 2006; Pritchard & Simon, 2006; Uchida et al., 2009; 568 Helmstetter & Shaw, 2009), which might reflect lateral variations in stress, frictional, and/or 569 rheological properties along faults. 570

The spatial separation observed in this study may be attributable to differences in frictional 571 properties along the fault; the areas with post-seismic slip might have a velocity-strengthening 572 nature, but contain some small velocity-weakening patches on which aftershocks occurred. The 573 rupture propagation of the mainshock may have been arrested by such a velocity-strengthening 574 575 area. We usually do not know whether stable slip occurs along crustal faults at depth due to the weakness of the geodetic signal. However, the observation that fault segments characterized by 576 velocity strengthening possibly exist may suggest that stable slip proceeds there during the 577 interseismic period, and that slip deficit accumulates at other fault segments similar to the 578 processes characteristic of plate boundary faults. 579

Aftershocks did not occur in the area between the two large slip regions and deeper portions (z > 10 km) even if the shear stress magnitude was increased by the mainshock (Fig. 12). This may indicate that shear stress was released aseismically rather than through aftershock generation.

584

### 585 **5.2. Stress release processes along the fault of the Akita-Daisen earthquake**

The temporal evolution of stress associated the Akita-Daisen earthquake can be summarized as follows:

- (1) Shear stress along the fault continuously increased following the 2011 Tohoku-Oki
   earthquake due to coseismic and postseismic deformation.
- Seismicity drastically increased after the 2011 Tohoku-Oki earthquake in and around the
   focal region. Foreshocks started to occur ~2,000 days before the mainshock, and their
   hypocenters migrated along the fault plane from north to south, redistributing shear stress
   on the fault. We suspect that aseismic slip was responsible for foreshock activity, which
   also contributed to the redistribution of stress on the fault.
- (3) The M5.2 mainshock finally occurred six years after the 2011 Tohoku-Oki earthquake and
   primary propagated toward the north. The mainshock released shear stress accumulated on

597 some segments of the fault (stress drop of 1.4 MPa on average). The amount of coseismic 598 slip was smaller in areas where foreshocks had already released stress. Heterogeneous 599 states of stress and/or friction may have contributed to the heterogeneous distribution of 600 coseismic slip. The mainshock increased shear stress near the edges of large slip regions.

- (4) Aftershocks occurred abundantly in areas where shear stress was increased by the
   mainshock. The aftershock region expanded along the fault strike, probably associated with
   the propagation of postseismic slip.
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### 605 **6. Discussion**

# 606 6.1. Possible repeating earthquakes along the crustal fault and estimation of postseismic 607 slip magnitude

Repeating earthquakes, i.e., those which repeatedly cause slip on the same portion of a
fault, have been used to examine the occurrence and characteristics of aseismic slip along plate
boundaries (e.g., Ellsworth, 1995; Nadeau & McEbilly, 1999; Igarashi et al., 2003; Uchida et al.,
2003, 2004; Uchida & Matsuzawa, 2013). Recently, repeating earthquakes have also been
detected along crustal faults (Bourouis & Bernard, 2007; Hiramatsu et al., 2011; Hayashi &

Hiramatsu, 2013; Naoi et al., 2015), and it is anticipated they may help to investigate aseismic

614 phenomena (Uchida & Bürgman).

Fig. 5 shows that several M~3 earthquakes occur very close to the southern front of the aftershock area (gray rectangle). Fig. 13 shows an enlarged view of the southern portion of the aftershock area. Two earthquakes of M2.6 and M2.9 in cross-section B, at a depth of about 1.4 km, lie very close to each other (23 m). Similarly, two earthquakes of M3.0 and M3.2 in crosssection C, at a depth of about 1.4 km, are very close to each other (41 m). We call these two earthquake pairs possible repeating earthquake pair #1 and #2, respectively.

The earthquakes possibly caused slip on the same portions of the fault. Their magnitudes 621 are relatively large at about M3, and their locations are very close to each other compared with 622 their fault sizes (360 and 240 m for stress drop of 3 and 10 MPa, respectively). Their distances 623 apart are a few tens of meters, which are much shorter than the fault sizes based on the crack 624 model. Figs. 13 (c) and (d) show the uncertainty in the relative distances of the two possible 625 repeating earthquake pairs obtained by the 1,000 bootstrap hypocenter relocations. In most 626 results, their distances are estimated to be less than 50 m, which is much smaller than the 627 expected source sizes. They occur in the hypocenter expansion front, which is consistent with the 628 629 hypothesis that they are caused by post-seismic slip propagation.



Fig. 13. (a) Enlarged map view, and (b) cross-sectional views across the fault strike showing the 634 hypocenters of aftershocks (circles). Repeating earthquakes can be observed in cross sections B and 635 C. The location is outlined in Fig. 5 by the gray rectangle. The size of each circle corresponds to the 636 fault diameter, assuming a stress drop of 10 MPa. (c), (d) Uncertainty in the distances between the 637 two sets of possible repeating earthquakes obtained by 1,000 relocation iterations, based on 638 bootstrap subsampling of (c) possible repeating earthquake pair #1 and (d) possible repeating 639 earthquake pair #2. 640

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The locations of these earthquakes are similar but slightly different (a few tens of meters). 642 Example waveforms of these four earthquakes at the nearest seismic station are similar but not 643 identical (Fig. S13). The different waveforms suggest that these earthquakes caused slip on the 644 same portion on the fault, but the rupture processes were different. Such a difference in rupture 645 processes and waveforms of repeating earthquakes is also observed for interplate repeating 646 earthquakes (Shimamura et al., 2012). 647

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#### 6.2. Stress release process along crustal fault 649

The 2017 M5.2 Akita-Daisen earthquake sequence occurred on a crustal fault, but has 650 similar features to interplate earthquakes. For example, in the case of the 2011 M9 Tohoku-Oki 651 earthquake, the spatial distribution of aftershocks shows a clear seismic gap corresponding to the 652

large mainshock coseismic slip region (e.g., Asano et al., 2011; Kato & Igarashi, 2012;

- Nakamura et al., 2016). Foreshock hypocenters migrated to the rupture initiation point of the
- mainshock, which was interpreted as the propagation of aseismic slip (Ando & Imanishi, 2011;
- Kato et al., 2012). The aftershock region expanded with the logarithm of time, which was
   attributed to post-seismic aseismic slip of the mainshock (Lengline et al., 2011; Perfettini et al.,
- 658 2018). During the post-seismic period, earthquakes repeatedly occurred on the same portions of
- 659 the fault, and were probably triggered by aseismic slip (Uchida & Matsuzawa, 2013). Overall,
- the foreshocks, mainshock, aftershocks, and post-seismic slip all released stress at different fault
- segments in the M9 event (Hasegawa & Yoshida, 2015; Iinuma et al., 2016). These features of
- the megathrust earthquake are similar to those observed for the M5 intraplate earthquake in this study. These similarities are consistent with the hypothesis that the process of stress release
- 663 study. These similarities are consistent with the hypothesis that the proces 664 along crustal faults is essentially the same as that along plate boundaries.

In this study, we focused on an M5 earthquake sequence that occurred on a single fault 665 plane. Fault structures in the crust, however, are usually more complex, and their interactions 666 play important roles in the three-dimensional release of stress in the crust (Urata et al., 2017). 667 When faults are randomly distributed in space, shear strain energy density can be a measure of 668 the average shear stress over the faults (Saito et al., 2018). Recent studies reported that the 669 change of shear strain energy density affects seismicity in the seismogeneic zone (Noda et al., 670 2020; Terakawa et al., 2020). To improve our understanding of the deformation process in the 671 crust, future work should consider the interactions of complex fault distributions. 672

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## 675 6.3. Constraints on absolute stress magnitude in NE Japan

676 Drastic changes in focal mechanisms were observed after the 2011 M9 Tohoku-Oki earthquake across a large part of east Japan, from the off-shore source region (Asano et al., 2011) to inland 677 678 areas a few hundred kilometers from the source region (Kato et al., 2011; Yoshida et al., 2012). Stress fields were estimated to have rotated by  $> 30^{\circ}$  after this earthquake (e.g., Hasegawa et al., 679 2011 and 2012; Hardebeck, 2012; Yoshida et al., 2012). Differential stress magnitudes estimated 680 from the rotation of stress fields are about 20 MPa near the source region (Hasegawa et al., 2012) 681 682 and as small as 1 MPa in and around the source region of the Akita-Daisen earthquake (Yoshida et al., 2012). This suggests that fault weakening mechanisms, such as drastic increases in pore 683 pressure, play important roles in the occurrence of earthquakes (e.g., Rice, 1992; Sibson, 1992). 684 On the other hand, stress orientations estimated by seismological methods can be biased due to 685 the existence of strong heterogeneity in stress fields, which can lead to a large underestimation of 686 deviatoric stress magnitudes (Smith & Dieterich, 2010; Smith & Heaton, 2011). To improve our 687 understanding of deformation processes in the earth, it is important to confirm whether 688 earthquakes occur under such very low shear stresses or not. The source process of the M5.2 689 earthquake provides a clue as to whether the absolute stress magnitude in NE Japan is truly very 690 small (< 1 MPa), and whether strength reduction mechanisms are controlling factors for the 691 occurrence of earthquakes. 692

Earthquakes occur to release strain energy stored in the crust. The change in strain energy associated with a stress drop of  $\Delta \sigma$  is

$$\Delta E = -\frac{M_0}{2\mu} (2\tau_0 - \Delta\sigma) \tag{3}$$

696 where  $\tau_0$  is initial stress (e.g., Aki & Richards. 2002). The radiation energy is a part of the 697 released strain energy, so  $-\Delta E > E_r$ . Therefore,

$$\Delta E > E_r$$
. Therefore,

 $\tau_0 > \frac{\Delta\sigma}{2} + \mu \frac{E_r}{M_o} = \frac{\Delta\sigma}{2} + \sigma_{ap} \tag{4}$ 

This relationship is valid regardless of assumptions regarding frictional constitutive laws. If we 699 substitute  $\Delta \tau = 1.4$  MPa and  $\sigma_{ap} = 0.5$  MPa, we obtain  $\tau_0 > 1.2$  MPa. Moreover, the stress drop 700 is underestimated if the short-wavelength components are underestimated in the slip distribution 701 (Saito & Noda 2019). In this case, the initial stress required to excite the earthquake faulting 702 703 would be larger. Therefore, the initial stress must be larger than 1.2 MPa. This is significantly higher than the 0.5 MPa maximum shear stress magnitude that can reproduce the observed 704 rotations of the principal stress orientations after the 2011 Tohoku-Oki earthquake (Yoshida et 705 al., 2012). The rupture process of the 2017 M5.2 Akita-Daisen earthquake therefore indicates 706 that the stress orientations in inland NE Japan did not rotate after the 2011 Tohoku-Oki 707 earthquake. The apparent stress rotation probably comes from spatial heterogeneity in the stress 708 fields (Yoshida et al., 2019). This was partly supported by a relatively high radiation efficiency 709 of this earthquake, which suggests that the fault which caused the 2017 M5.2 earthquake may 710 have slipped repeatedly, and its stress field remains constant for time periods longer than the 6 711 years which have elapsed since the 2011 Tohoku-Oki earthquake. 712

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### 714 **7.** Conclusions

Stress accumulation and release processes in the crust are poorly understood compared to those at plate boundaries. The weakness of the geodetic signal of aseismic slip at crustal faults, and the complexity of crustal fault structure in the crust, restrict our understanding. This study examined foreshock and aftershock activities of the 2017 M5.2 Akita-Daisen earthquake, which has a simple fault geometry, to extract information about the processes of stress accumulation and release in the crust.

We relocated the hypocenters of 554  $M_{JMA} \ge 1$  earthquakes for the period 2003–2018 in the rupture area of the M5.2 mainshock using the waveform cross-correlation technique, and determined their focal mechanisms. We also determined the moment rate function of the mainshock and estimated the source process based on the waveform inversion method.

Relocated hypocenters indicated that hypocenters were concentrated on a planar structure
with N-S strike which dips eastward at a high angle, consistent with their focal mechanisms.
Furthermore, foreshocks, the mainshock, and aftershocks occurred on different fault segments
and released stress in a complementary manner.

Hypocenters of foreshocks migrated from the northern to the southern part of the rupture area, which suggests that the M5.2 earthquake and aftershocks were triggered by aseismic phenomena, such as fluid migration and episodic aseismic slip. Foreshock migration may be caused by the quasi-static expansion of stable slip associated with nucleation of the mainshock.

Abundant aftershocks occurred near the edge of large coseismic slip regions, on which shear stress increased after the earthquake. The aftershock region expanded along the fault strike with the logarithm of time, which can be attributed to post-seismic aseismic slip of the mainshock. During the post-seismic period, possible repeating earthquakes (~M3) occurred on the same portions of the fault, which may be triggered by aseismic slip.

- Areas with coseismic slip are spatially separated from those with post-seismic slip
- (estimated from aftershock migration), which might reflect differences in frictional properties.
- The areas with post-seismic slip may be of a velocity-strengthening nature, but contain some
- small velocity-weakening patches on which aftershocks occurred. Rupture propagation of the
- mainshock may have been inhibited by velocity strengthening areas. This suggests that some
- portions of the fault creep during the interseismic period. These features are similar to those of
- 744 megathrust earthquakes, which suggests that the stress release processes along crustal faults are
- not essentially different from those along plate boundaries.
- 746

### 747 Acknowledgments

- We deeply thank the editor and two anonymous reviewers for their constructive
- comments, which helped to improve the manuscript. KY thanks Akira Hasegawa for discussions
- about repeating earthquakes and post-seismic slip, and Hisahiko Kubo for discussions about
- vaveform inversion. This study used hypocenters and P-and S-wave arrival time data reported in
- the unified catalog of the JMA (https://www.data.jma.go.jp/svd/eqev/data/bulletin/hypo\_e.html).
- 753 The seismograms were collected and stored by JMA, national universities, and National
- 754 Research Institute for Earth Science and Disaster Resilience
- 755 (http://www.hinet.bosai.go.jp/?LANG=en). The figures in this paper were created using GMT
- (Wessel and Smith, 1998). Obtained results of hypocenters, focal mechanisms, and coseismic
- slip distribution are available at http://www.aob.gp.tohoku.ac.jp/~yoshida/pub/JGR2020/.
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