Can the tsunami geological record contribute to constrain the tectonic source of the 1755 AD earthquake?

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November 25, 2022

Abstract

The precise location of the seismic source of Great Lisbon Earthquake is still uncertain. The aim of this work is to use the an onland sedimentary record in southern Portugal to test and validate seismic sources of the AD 1755 earthquake. To achieve this, tsunami deposit thicknesses from over 150 cores retrieved from Salgados (Portugal) were compared to the results of a tsunami sediment transport model (Delft3D-FLOW) which simulates tsunami propagation, inundation, erosion, and deposition. Seven different hypothetical seismic sources and varying bed roughness coefficients were used to determine which modeled sources better reproduce observed patterns of tsunami arrival times were also used to test different earthquake sources. Based on these comparisons, four modeled earthquake sources were unable to reproduce the observed physical data, suggesting they should be disregarded as likely sources of the AD 1755 earthquake.

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1 Title

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17 Abstract

18 The precise location of the seismic source of Great Lisbon Earthquake is still uncertain. The 19 aim of this work is to use the sedimentary record to test and validate seismic sources of the AD 20 1755 earthquake. To achieve this, tsunami deposit thicknesses from over 150 cores retrieved from 21 Salgados (Portugal) were compared to the results of a tsunami sediment transport model (Delft3D-22 FLOW) which simulates tsunami propagation, inundation, erosion, and deposition. Seven different 23 hypothetical seismic sources and varying bed roughness coefficients were used to determine 24 which modeled sources better reproduce observed patterns of tsunami deposit thicknesses and 25 also dune erosion at the studied site in southern Portugal. Modeled and observed historical 26 tsunami arrival times were also used to test different earthquake sources. Based on these 27 comparisons, four modeled earthquake sources were unable to reproduce the observed physical 28 data, suggesting they should be disregarded as likely sources of the AD 1755 earthquake.

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Keywords: numerical modelling, Delft3D, sediment transport, sedimentary signatures, tsunami
 hazard, tsunami sources

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39 **1. Introduction**

40 Many different sources have been proposed for the AD 1755 earthquake and tsunami, 41 although to date no single source accounts for the massive energy-release required to: (1) explain 42 the spatial pattern of earthquake intensity observed along the Cadiz Gulf and both the western and 43 southern mainland Portuguese coast, and (2) agree with tsunami travel times observed around and 44 over the Atlantic Ocean. There is no widespread consensus on which specific tectonic structure or 45 structures represent the source of the earthquake and tsunami. Some studies suggest that this 46 event was triggered by interconnected fault or landslide movements (e.g. Vilanova and Fonseca, 47 2004). Furthermore, the Cadiz Accretionary Wedge (CAW), Horseshoe Fault (HSF), Gorringe Bank 48 (GB) and Marquês de Pombal Fault (MPF) have all been proposed as primary locations where 49 fault-rupture might have generated the AD 1755 earthquake (Wronna et al. 2015; Baptista et al. 2011; Omira et al. 2009; Ramalho et al. 2018; Lima et al. 2010; Baptista et al. 2003; Barkan et al. 50 51 2009; Gutscher et al. 2006; Gjevik et al. 1997; Baptista et al. 1998) (Figure 1).

52 Most of the studies that identify specific sources for the AD 1755 earthquake primarily 53 utilize data derived from reports compiled on Arquivos do Ministério do Reino (1756), which contains information on the locations and times when ground shaking was felt, in addition to 54 reports of damage (Santos and Koshimura 2015; Baptista et al. 1998). Other studies were based 55 56 exclusively on simulations of tsunami travel times, either from proposed earthquake sources to the 57 locations where observed data describes the time of arrival and impacts of tsunami waves (Gjevik 58 et al., 1997), or by using target-to-source back-ray tracing (Baptista et al., 1996; Baptista et al., 59 1998). However, no single triggering mechanism proposed so far has been able to reproduce all of 60 the tsunami travel times inferred from the historical records.

61 Our approach initially models tsunami propagation from proposed seismic source-areas 62 (initial boundary conditions) to selected coastal target-locations. Secondly, travel times are derived 63 and validated with the documentary data. Finally, we model patterns of onshore inundation 64 including inland sediment transport and effects on coastal morphology at Salgados lowland (Figure 65 1). This coastal lowland contains high-resolution geological and geomorphological datasets that provide objective information on deposition and erosion induced by the AD 1755 Lisbon tsunami 66 (Costa et al., 2012). This allows for rigorously testing a number of proposed earthquake and 67 68 tsunami sources by expanding the number and diversity of metrics used as validation criteria.

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2. Geologic evidence of the AD 1755 tsunami in the Salgados lowland

71 In this study we use a geological dataset consisting of data from over 150 cores obtained at 72 the Salgados coastal lowland, Portugal (Figure 1). The lowland corresponds to a sediment-choked 73 lagoon separated from the ocean by a sand beach backed by a multiple-ridged dune. Landward of 74 the dune, the AD 1755 tsunami deposit has been characterized as a massive to normally-graded. 75 sheet of marine-facies shell-rich sand with an erosive base sandwiched in lagoonal mud (Costa et 76 al. 2012; Costa et al. 2016). The tsunami deposit is roughly 50 cm thick closer to the sea and thins 77 in both landward and alongshore directions (Figure 1). Costa et al. (2012), Costa et al. (2016) and 78 Moreira et al. (2017) used paleoecological, geochemical, mineralogical, microtextural and grain-79 size data from tsunami and modern surface sediments from Salgados lowlands to show that the 80 primary source of the tsunami sediments were the dunes and secondarily the beach.

81 Costa et al. (2016) present data from a Ground Penetrating Radar (GPR) investigation of 82 the dunes at Salgados. Two cross-shore profiles (AB and AC in Figure 1) extending from the upper 83 beach towards the backbarrier area provided information on the architecture of the dune complex. 84 sediment packages and erosional features. Profile AB is 210 meters long and located 300 meters 85 to the west of the Salgados inlet channel, where the dune crest reaches 8.5 m MSL (Figure 1). 86 Profile AC is 245 meters long and is located 120 meters westward of AB. Profile AB presents a 87 dune crest that reaches 11 m above MSL. Both profiles contain a clear image of an erosional 88 surface within the dunes at approximately 6m above MSL. Optically Stimulated Luminescence (OSL) dating of dune sands immediately below and above that surface constrained an episode of
erosion to the mid-17th century (Costa et al. 2016). Regional tsunami historical records, however,
suggest that wave heights at the coast were higher, up to 12 m above MSL (Costa et al. 2016).

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3. Tsunami modeling methods

94 To validate tsunami hydrodynamic and sediment transport models we tested 7 different 95 hypothetical fault (source) areas for the AD 1755 earthquake. All source areas considered herein have been previously proposed in the literature and include the Marquês de Pombal Fault 96 (Baptista et al. 2003; Omira et al. 2009; Wronna et al. 2015; Lima et al. 2010), Gorringe Bank 97 (Gjevik et al. 1997; Baptista et al. 2003; Omira et al. 2009; Wronna et al. 2015), Cadiz Accretionary 98 99 Wedge (Gutscher 2006; Omira et al. 2009; Lima et al. 2010; Wronna et al. 2015; Ramalho et al. 100 2018;), Horseshoe Fault (Baptista et al. 2003; Barkan et al. 2009; Omira et al. 2009; Lima et al. 101 2010; Baptista et al. 2011; Wronna et al. 2015; Ramalho et al. 2018) and 3 new hypothetical 102 composite scenarios combining some of these shallow faults with deeper (30-60 km) ones (Figure 103 1, Table 1).

The initial sea surface perturbation generated by the sources considered has been computed using Mansinha and Smiley (1971) elastic deformation approach through Mirone software (Luis, 2007). The first four sources represent uniform slip on faults: Cadiz Accretionary Wedge (CAW), Horseshoe Fault (HSF), Gorringe Bank (GB) and Marquês de Pombal Fault (MPF). Parameters for these sources were derived from previous studies that validated sources against historically observed tsunami arrival times and ray-tracing, but not against the geological record.

The next three hypothetical sources, Scenarios 1, 2 and 3, are three rearrangements of the 1969 Lisbon earthquake source (Fukao 1973) and a possible combination with a seismogenic structure located between GB and HSF at about 30-60 km deep (Silva 2017). Scenario 1 results from a simple combination of the GB and HSF sources. In Scenario 2 a fault crosses the GB and HSF, while in Scenario 3 it is limited by these. In scenarios 2 and 3 are located at 60 km depth.

Tsunami propagation, inundation, and sediment transport were modeled using Delft3D-FLOW, which solves the nonlinear shallow water equations using a finite difference scheme and has been validated against analytical, laboratory, and field measurements of tsunami hydrodynamics (Apotsos et al., 2011). Three nested grids were prepared with spatial resolutions of 232 m (Level 0), 100 m (Level 1), 50 m, 25 m, and 5 m (Level 2 - varying spatial resolutions on a single grid). Also a synthetic tide gauge was added 500 m offshore southward of Salgados near the 10 m isobath to monitor tsunami water levels (Figure 1).

A combined bathymetric-topographic DEM was created from three different datasets with vertical datum adjusted to MSL at the Cascais tide gauge, 25km west of Lisbon. The DEM was adjusted by using lithostratigraphic data from the 150 sediment cores retrieved from the lowland to reconstruct the approximate surface prior to the AD 1755 event. A final correction of -1.5 m was applied to the DEM to account for the rising tide observed at the time of the earthquake.

A depth-averaged (2DH) model was run using the weakly reflective Riemann boundaries on 127 128 all grid levels in order to calculate tsunami-induced hydrodynamics. Run-ups (i.e. height reached 129 on the observation points) and tsunami travel times were compared to observations at 4 sites 130 (Sines, Cabo de São Vicente, Lagos and Huelva) (Figure 1). Sediment transport in Salgados was 131 calculated by running the model within Level 2 grid with 10 vertical sigma layers (3DV) in order to 132 resolve important sediment dynamics near the bottom. An unlimited erodible sediment source is 133 represented in the model as a 10-15 m thick sand extending from the offshore to the back of the 134 foredune, with no sand available in the muddy lowland area. In all simulations, the median grain 135 size sediment parameter [D50] used was 250 µm with a density 2,650 kg/m³. In order to test the sensitivity of model outputs regarding bed roughness, we adjusted the Manning's n roughness 136 137 coefficient between 0.025 to 0.080 in the dune and lowland areas.

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139 4. Model results

140 Comparison between the historical data for the arrival times at Sines, Cabo de São Vicente, 141 Lagos and Huelva and modeled results is presented in Table 1. These results indicate that the best 142 overall match between documentary data and modeled arrival times were obtained using the MPF and HSF sources, which yielded lower mean errors (less than 3% and 4% respectively) than other 143 sources The worst correlation corresponds to CAW and GB sources with mean errors of 23% and 144 28%, respectively. In contrast, the modeled and observed tsunami run-up values at Cabo de São 145 146 Vicente and Lagos are in excellent agreement for the CAW source (Table 1). The mean errors for 147 run-up results found in relation to other sources were: HSF - 18%, MPF - 10%, Scenario 1 - 21%, 148 GB - 39%, Scenario 2 - 47% and Scenario 3 - 52%.

149 Sediment transport simulations for GB, Scenario 2 and Scenario 3 sources, using a 150 conservative low bed roughness of 0.025, were not able to reproduce a tsunami deposit volume of 151 more than 25% of the measured volume in Salgados (Figure 2, 3 and 4). The modeled volumes from the CAW and Scenario 1 sources reach or exceed 100% of the measured volume over a 152 153 range of bed roughness values ranging from 0.025 to 0.065. Using a Manning's roughness coefficient of 0.080 with the CAW source, the simulated volume of sediment deposited was 121% 154 of the volume calculated from CS (Figure 2). Results for all sources using a Manning's n value of 155 156 0.025 for the entire domain are shown in Figure 4. The effects of varying the value of Manning's 157 roughness coefficient from 0.035 to 0.065 in the spatial distribution of modeled erosion and accumulation at Salgados for HSF, MPF and Scenario 1 sources, are shown in Figure 4. Erosion 158 on southwest and northeast flanks on the dunes along profiles AB and AC (Figure 5) was 159 reasonably well reproduced using CAW, HSF, MPF and Scenario 1 sources. It is noteworthy that 160 the CAW source overestimates erosion, in contrast with the HSF solution, that clearly 161 162 underestimates in erosion in both intensity and spatial extent when compared to GPR profile data (Costa, 2016). Results for simulations related to GB, Scenario 2 and Scenario 3 sources fail to fully 163 164 reproduce this erosional pattern. Comparison between modeled inland extent and depositional 165 signature is difficult because there is an intrinsic underestimation in this approach.

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167 4.1 Gorringe Bank, Scenarios 2 and 3

Our results allow to disregard the hypothetical Scenarios 2 and 3 and the Gorringe Bank as probable sources, because the modeled tsunami waves were far too small to generate significant inundation and consequently unable to produce a tsunami deposit. Compared to historical observations, the modeled run-ups were smaller and the modeled tsunami travel time from Gorringe Bank (GB) was too large. Travel times for Scenarios 2 and 3 tsunami travel time displayed a good fit in the impacted areas near the source, but were too long in sites farther afield.

175 4.2 Cadiz Accretionary Wedge

176 For the Cadiz (CAW) source, the modeled run-up agrees well with the historical data. 177 However, the modeled tsunami travel time is shorter than indicated in the historical documents (i.e. 178 modeled tsunami waves travelled app. 20% slower). Furthermore, the modeled sediment deposit volume is eight times larger than the volume calculated from geological data retrieved from cores. 179 180 In order to achieve an erosion depth compatible with the GPR (cross-dune) profiles described by 181 Costa et al. (2016) it is necessary to use an unrealistical high roughness coefficient (> 0.08) that is 182 not in agreement with the land cover observed in the Salgados lowland (Chow 1959). 183 Furthermore, the resulting (modeled) deposition/erosion profile does not agree well with field data 184 described in Costa et al. (2016).

186 4.3 Horseshoe Fault

187 The Horseshoe Fault (HF) model results do not agree well with the observed data. The 188 modeled tsunami arrives 4 minutes later in Sines than in the historical record. Likewise, the 189 modeled run-up for Cabo de São Vicente was 5 meters higher than reported in historical records. 190 The modeled sediment volumes deposited in the lowland are compatible with objective 191 observations when using Manning's n coefficient values between 0.025 and 0.040, which are in 192 broad agreement with the land cover. The model predicted larger amounts of erosion than 193 observed on the seaward section of the dune along profile AB, and less erosion on the landward 194 section. On profile AC, the model predicted no erosion.

196 **4.4 Marquês de Pombal Fault**

Both modeled tsunami travel times and run-up magnitude correlate well with the observed historical data. There is also a close correspondence between the observed and modeled volume of the tsunami deposit when using a realistic Manning's n coefficient of 0.04 to 0.05. However, the modeled dune erosion only partially matches the GPR data. Actually, the model fails to correctly reproduce erosion along profile AC on the landward region of the dune, possibly because the largest simulated wave could not overtop the dune obstacle; this obstructed representation of flow along its landward slope and computation of the corresponding erosion.

205 4.5 Scenario 1

Simulated tsunami travel times obtained from this setting yields good results in all target locations with the exception of Sines and Cabo São Vicente, where simulated travel times are higher. Furthermore, the modeled run-up is approximately 20% higher than reported in the historical records. However, it is noteworthy that the modeled patterns of dune erosion and the volume of sediment deposited in the lowland predicted by the models correlate well field data when a relatively high Manning roughness coefficient of 0.060-0.070 is used.

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5. Discussion and Conclusions

The geological record of the 1755 tsunami provides an independent dataset able to validate tsunami propagation and sediment transport models and to test hypothetical earthquake sources.

Among the seven hypothetical scenarios presented above, the Marquês de Pombal (and Scenario 1) provide the best overall match with both source-to-target tsunami travel time and run-up taken from the documentary record sources. In addition, they also provide the best overall match in terms of predicted erosion/deposition patterns (e.g. total volume) obtained from field (geological evidences).

221 The source closest to shore (Marquês de Pombal) yielded the best correlations between 222 modelled and field data. This suggests the region southwest of Cabo São Vicente as the most 223 likely epicenter of the AD 1755 earthquake. This has been previously proposed by Baptista et al. 224 (1992) based on the location of the February 1969 earthquake and also based on back-ray tracing 225 (Baptista et al., 1998). In contrast, all simulated sources located further south in the Cadiz region 226 (CAW and Scenario 1) over-predict the volume of the tsunami deposit in Salgados lowland, the magnitude of run-up reported in the documentary record, thus suggesting that a Cadiz 227 228 Accretionary Wedge source model is an highly unlikely source of the AD 1755.

229 Although the Gorringe Bank source has been favored by Santos and Koshimura (2015), its 230 use in the context described herein leads to unacceptable mismatch with both sedimentary and 231 hydrodynamic results as well as with the documentary record in terms of travel time and run-up. In 232 fact, it presented the poorest overall agreement results among all tested sources. This was mainly 233 due to the large distance travelled by the tsunami waves (> 200 km) before impacting the coast. 234 Tsunami travel times and run-up inferred from this source were consistently longer and smaller, 235 respectively, when compared with field and historical data. Scenarios 2 and 3 characterize a 236 deeper source that, according to the modeled results presented in this work, would be incapable of 237 generating a tsunami with similar impact to the AD 1755.

The numerical modeling approach carried out on this work provides an innovative methodology where the robust geological record was able to partially constrain proposed AD 1755 earthquake source hypothesis. It is important to stress that this exercise does not unequivocally resolves the age-old question about the AD 1755 epicenter, nevertheless it points future directions for other fields of geoscience to pursue and, hopefully, it will contribute to the establishment of more reliable hazard assessments for Iberia and for the mid-North Atlantic.

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Acknowledgments

246 The authors thank the Fulbright Commission for Junior Faculty Member Award (2018) for 247 the financial support, the Pacific Coastal and Marine Science Center/United State Geological 248 Survey (USGS), the Foundation for Research Support of the Rio de Janeiro State (FAPERJ) for a 249 Young Scientist of Our State Grant (2016). Authors would also like to acknowledge FCT funded 250 project OnOff - Coupling onshore and offshore tsunami record: complementary tools for a broader 251 perspective on tsunami events - PTDC/CTA- GEO/28941/2017. The authors confirm that the data 252 supporting the findings of this study are available within the article and on a public open database 4TU.ResearchData with the DOI: 10.4121/uuid:99cf3b07-43e4-4a3b-986b-835811aad485 253

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366 367 368 369	Figure 5 – A- GPR and modeled erosion and deposition thickness variation along profile AB (see Figure 1 for precise location) with 0.025 m ^{-1/3} s Manning's roughness coefficient. B - GPR and modeled erosion and deposition thickness variation along profile AC (see Figure 1 for precise location) with 0.025 m ^{-1/3} s Manning's roughness coefficient.
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Table 1 – Fault parameters for the modeled hypothetical tectonic sources (Values obtained from averaging data provided in selected references (Gjevik et al., 1997; Baptista et al., 2003; Gutsher et al., 2006; Barkan et al., 2009; Omira et al. 2009; Lima et al. 2010; Omira et al. 2010; Baptista et al. 2011; Wronna et al. 2015; Ramalho et al. 2016; Silva 2017).

382 Comparison of tsunami travel time (TTT), in minutes, and run-up, in meters, at coastal locations

along the broad Gulf of Cadiz retrieved from the historical record and yielded by modeling differentepicentral areas.

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Figure 1.





Figure 2.



Figure 3.





Figure 4.





Figure 5.



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Comparison of tsunami travel time (TTT), in minutes, and run-up, in meters, at coastal locations along the broad Gulf of Cadiz retrieved from the historical record and yielded by modeling different epicentral areas.

Source	CAW	HSF	GB	MPF	Scenario 1	Scenario 2	Scenario 3
Depth (km)	5	5	5	5	5	60	60
Length (km)	120	114	120	110	114/120	127	127
Width (km)	60	100	60	70	60/70	170	300
Slip (m)	10	14	10	12	10/12	15	10
Strike (°)	57	42	57	23	42/57	52	52
Dip (°)	35	35	35	35	35	35	25
Rake (°)	90	90	90	90	90	90	90
Magnitude	8.8	8.4	8.2	8.2	8.4	8.6	8.6
Sines Historical TTT (30 min)	40	34	34	29	34	28	26
Sines Historical Run-Up (no information)	-	-	-	-	-	-	-
São Vicente Historical TTT (16-17min)	21	18	25	15	20	18	18
São Vicente Historical Run-Up (11-15m)	13	19	8	12	17	5	6
Lagos Historical TTT (23-30 min)	27	27	39	28	28	31	30
Lagos Historical Run-Up (10 m)	10	9	5	12	12	4	6
Huelva Historical TTT (45-50 min)	40	50	>60	50	55	>60	>60
Huelva Historical Run-Up (No information)	-	-	-	-	-	-	-