# Volcano-tectonic interactions at Sabancaya volcano, Peru: eruptions, magmatic inflation, moderate earthquakes, and fault creep

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#### Abstract

We present evidence of volcano-tectonic interactions at Sabancaya volcano that we relate to episodic magma injection and high regional fluid pore pressures. We present a surface deformation time series at Sabancaya including observations from ERS-1/2, Envisat, Sentinel-1, COSMO-SkyMed, and TerraSAR-X that spans June 1992 - February 2019. These data show deep seated inflation northwest of Sabancaya from 1992-1997 and 2013-2019, as well as creep and rupture on multiple faults. Afterslip on the Mojopampa fault following a 2013 Mw 5.9 earthquake is anomalously long-lived, continuing for at least six years. The best fit fault plane for the afterslip is right-lateral motion on an EW striking fault at 1 km depth. We also model surface deformation from two 2017 earthquakes (Mw 4.4 and Mw 5.2) on unnamed faults, for which the best fit models are NW striking normal faults at 1-2 km depth. Our best fit model for a magmatic inflation source (13 km depth, volume change of 0.04 to 0.05 km<sup>3</sup> yr<sup>-1</sup>), induces positive Coulomb static stress changes on these modeled fault planes. Comparing these deformation results with evidence from satellite thermal and degassing data, field observations, and seismic records, we interpret strong pre-eruptive seismicity at Sabancaya as a consequence of magmatic intrusions destabilizing tectonic faults critically stressed by regionally high fluid pressures. High fluid pressure likely also promotes fault creep driven by static stress transfer from the inflation source. We speculate that strong seismicity near volcanoes will be most likely with high pore fluid pressures and significant, offset magmatic inflation.

# Volcano-tectonic interactions at Sabancaya volcano, Peru: eruptions, magmatic inflation, moderate earthquakes, and fault creep

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Key Points:

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| 13 | • InSAR evidence for laterally and vertically complex volcanic plumbing system at       |
|----|---|
| 14 | Sabancaya   |
| 15 | • High fluid pressure at Sabancaya promotes strong seismicity during 2012-2019          |
| 16 | eruptive period   |
| 17 | • High fluid pressure and static stress transfer from deep inflation promote long-lived |
| 18 | fault creep   |

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#### 19 Abstract

We present evidence of volcano-tectonic interactions at Sabancaya volcano that we re-20 late to episodic magma injection and high regional fluid pore pressures. We present a 21 surface deformation time series at Sabancaya including observations from ERS-1/2, En-22 visat, Sentinel-1, COSMO-SkyMed, and TerraSAR-X that spans June 1992 – February 23 2019. These data show deep seated inflation northwest of Sabancaya from 1992-1997 and 24 2013-2019, as well as creep and rupture on multiple faults. Afterslip on the Mojopampa 25 fault following a 2013 M<sub>W</sub> 5.9 earthquake is anomalously long-lived, continuing for at 26 least six years. The best fit fault plane for the afterslip is right-lateral motion on an EW 27 striking fault at 1 km depth. We also model surface deformation from two 2017 earth-28 quakes ( $M_W$  4.4 and  $M_W$  5.2) on unnamed faults, for which the best fit models are NW 29 striking normal faults at 1-2 km depth. Our best fit model for a magmatic inflation source 30 (13 km depth, volume change of 0.04 to 0.05 km<sup>3</sup> yr<sup>-1</sup>), induces positive Coulomb static 31 stress changes on these modeled fault planes. Comparing these deformation results with 32 evidence from satellite thermal and degassing data, field observations, and seismic records, 33 we interpret strong pre-eruptive seismicity at Sabancaya as a consequence of magmatic in-34 trusions destabilizing tectonic faults critically stressed by regionally high fluid pressures. 35 High fluid pressure likely also promotes fault creep driven by static stress transfer from 36 the inflation source. We speculate that strong seismicity near volcanoes will be most likely 37 with high pore fluid pressures and significant, offset magmatic inflation.

#### **39 1** Introduction

In several volcanic crises, tectonic activity on regional faults kilometers away from 40 the volcanic edifice preceded renewed magmatic activity [White and McCausland, 2016]. 41 White and McCausland [2016] summarize several such cases of precursory distal volcano-42 tectonic earthquakes ("distal VTs" or "dVTs") around the globe. These dVTs are often the 43 earliest precursor to eruptive activity at volcanoes that have been dormant for decades or 44 more. They begin weeks to years before the onset of volcanic activity, can occur kilome-45 ters from the volcanic edifice, and tend to die off sharply after the beginning of volcanic 46 activity [White and McCausland, 2016]. White and McCausland [2016] propose a mech-47 anism for dVTs in which intrusion of new magma into a volcanic system pressurizes sur-48 rounding aquifers, triggering earthquakes on pre-existing tectonic faults. They argue fur-49 ther that static stress changes from the intrusion [e.g., King et al., 1994] are unlikely to be 50 large enough to trigger slip on faults kilometers away. 51

Recent tectonic activity preceding and coincident with eruptive activity at Sabancaya volcano in southern Peru (Figure 1) displays many of the features attributed to distal VTs. The rich database of deformation, thermal [*Reath et al.*, 2019a,b], and seismic data available at Sabancaya provides an ideal data set for testing the *White and McCausland* [2016] interpretation and mechanism for distal VT events – a chance to test a proposed "common process at unique volcanoes" [*Cashman and Biggs*, 2014].

Sabancaya volcano is one of the most active volcanoes in the Central Andes [Pritchard 58 et al., 2018], with an ongoing period of unrest that began in 2012-2013 [Jay et al., 2015]. 59 Manifestations of unrest have included increased fumarolic activity, gas emissions, swarms 60 of volcano-tectonic (VT) earthquakes, ground deformation, and explosions [Reath et al., 61 2019a]. Explosions began in 2014, and reached a maximum of VEI 3 (volcanic explosiv-62 ity index) in November 2016 [Machacca Puma et al., 2018; Global Volcanism Program, 63 2017]. Eruptions at Sabancaya present a hazard to the surrounding region and Peru's sec-64 ond largest city, Arequipa (Figure 1), through ashfall and potential contamination of the 65 drinking water supply [Rankin, 2012]. 66

<sup>76</sup> Several different faults surrounding the volcano have had shallow depth (< 30 km) <sup>77</sup> moderate earthquakes ( $M_W > 4.5$ ) during the recent unrest, over 20 within 50 km of Sa-<sup>78</sup> bancaya since 2013. Few earthquakes were recorded teleseismically prior to early 2013



Figure 1. (a) Overview map of the Central Andean Volcanic Zone, with Holocene volcanoes [red triangles, 67 Global Volcanism Program, 2013] and subduction slab contours [gray lines, Hayes et al., 2012]. Black box 68 denotes area shown in Figure 1b. (b) Map of southern Peru showing the location of Sabancaya. Blue rectan-69 gles indicate satellite tracks used in this study, and red triangles are Holocene volcanoes [Global Volcanism 70 Program, 2013]. Black circle marks the location of Arequipa. Black box denotes area shown in Figure 1c. 71 (c) Zoom-in map of the Sabancaya region. Red triangles mark locations of Sabancaya, Hualca Hualca, and 72 Ampato. Faults and lineaments are from <sup>1</sup>Antayhua et al. [2002], <sup>2</sup>Jay et al. [2015], and <sup>3</sup>this study. Filled 73 circles are earthquakes recorded by the <sup>4</sup>INGEMMET seismic network (orange triangles) from 2014 to 2019. 74 Figure modified from Figure 1 in Jay et al. [2015]. 75

near Sabancaya [Jay et al., 2015]. The large number of earthquakes with  $M_W > 4.5$  near 79 a volcano without coincident eruption is globally unusual [Zobin, 2001; Jay et al., 2015]. 80 Given the close proximity in time and space of the elevated seismicity and eruptive ac-81 tivity at Sabancaya, a link between the two seems likely, but the nature of that link is unclear. A previous InSAR (Interferometric Synthetic Aperture Radar) study using very lim-83 ited data from 2002 to 2015 found no evidence for magmatic deformation above the detec-84 tion threshold [Jay et al., 2015], although InSAR detected inflation had occurred between 85 1992 and 1997 during a previous eruptive episode [Pritchard and Simons, 2004]. More-86 over, higher temporal resolution SAR data collected after 2015, covering the still ongoing 87 eruption at Sabancaya, may help elucidate any connections between tectonic and magmatic 88 activity. 89

An additional atypical feature of the tectonic activity near Sabancaya is the obser-90 vation of potentially aseismic slip on multiple faults. Jay et al. [2015] observed possible 91 creep across the Solarpampa fault, an aseismic component to an earthquake swarm in 92 2002, and afterslip on the Mojopampa fault [Benavente et al., 2016] after it ruptured in 93 a  $M_W$  5.9 earthquake on 17 July 2013. These observations of fault creep are unique in a volcanic area in that they are not obviously related to flank gravitational motion, as is the 95 case on other volcanoes [e.g., Poland et al., 2017]. For crustal faults, fault creep is typi-96 cally linked to changes in lithology along the fault, changes in the state of stress on the 97 fault, and/or elevated pore pressure on the fault [e.g., Bürgmann, 2018; Avouac, 2015]. 98 Determining what permits fault creep at Sabancaya would shed light on the state of stress 99 in the shallow crust and what conditions favor aseismic versus seismic slip. 100

In this paper we investigate the following three research questions:

- 1. Is aseismic and seismic tectonic activity at Sabancaya related to magmatic activity, and if so, how?
- 2. By determining the driving tectonic forces at Sabancaya, can we identify the state of tectonic faults in the region?
  - 3. What can ground and spaced based observations of unrest tell us about the dynamics of the magmatic system at Sabancaya?

To answer these questions we construct interferograms and InSAR times series at 108 Sabancaya using data from several SAR satellites. We then use these deformation data to 109 model deformation sources, and the static stress changes from these deformation sources, 110 focusing on InSAR detected inflation, earthquakes, and aseismic slip. We finally combine 111 these results with other information from seismic, thermal, and degassing data to form a 112 conceptual model of magma-tectonic interactions at Sabancaya in which high pore fluid 113 pressures facilitate aseismic slip and promote elevated seismicity in response to perturba-114 tions to the local stress field. 115

#### **116 2 Overview of Sabancaya**

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Sabancaya is located in the Central Andean Volcanic Zone, one of the three vol-117 canic zones in South America caused by the subduction of the oceanic Nazca plate be-118 neath the South American continental plate (Figure 1a). The region around the volcano 119 is cut by several active normal faults, striking primarily E-W and NW-SE, perpendicu-120 lar to the overall direction of shortening in the arc [Machare et al., 2003; Mering et al., 121 1996; Huaman-Rodrigo et al., 1993; Sébrier et al., 1985](Figure 1c). Dalmayrac and Mol-122 nar [1981] theorize that this trench parallel normal faulting is due to the collapse of the 123 highest elevation regions of the Andes, in which the compressive stresses causing thrust 124 faulting in the lowlands are insufficient to support the highest elevation mountains. 125

Recent eruptive activity has included two eruptive episodes (max. VEI 3) from 1990 to 1998, and from 2012 to the time of this writing, with additional minor eruptive activity (max. VEI 2) in the early 2000s [*Global Volcanism Program*, 2013]. Eruptive products
 range in composition from andesite to dacite [*Samaniego et al.*, 2016; *Gerbe and Thouret*,

- <sup>130</sup> 2004], and long periods of repose (decades to centuries) are common [*Global Volcanism*
- <sup>131</sup> *Program*, 2013; *Samaniego et al.*, 2016].

Increases in fumarolic activity heralded the reawakening of Sabancaya prior to its 132 eruptions in the 1990s, after almost 200 years of dormancy [Samaniego et al., 2016; Gerbe 133 and Thouret, 2004; Global Volcanism Program, 2000; Rodríguez and Uribe, 1994; Global 134 Volcanism Program, 1988; Antayhua et al., 2001, 2002]. Pritchard and Simons [2004] later 135 observed uplift produced by inflation of a deep seated source centered at Hualca Hualca (NE of Sabancaya) from 1992 to 1997. Gerbe and Thouret [2004] analyzed the products 137 of the 1990-1998 eruptive sequence, and determined that a combination of magma mixing 138 (andesite) and fractional crystallization (dacite), best explained the range from andesite to 139 dacite in eruptive products. 140

Two phreatic explosions in August 2014 [Jay et al., 2015; Puma et al., 2016; Global 141 Volcanism Program, 2016] ended a period of relative quiet from 1998 to 2014. Prior to 142 the 2014 eruption, the area again experienced elevated levels of seismicity, culminating 143 in a  $M_W$  5.9 earthquake west of Hualca Hualca on the Mojopampa fault [Figure 1 on 17 144 July 2013, and Figure S1, Puma et al., 2016; Jay et al., 2015]. Jay et al. [2015] analyzed 145 a limited SAR data set and seismic records for a series of earthquakes that preceded the 146 2014 eruption, but did not detect any magmatic deformation with the available data and 147 analysis methods used (Jay et al. [2015] did not calculate any deformation time series). 148 ASTER satellite measurements also detected volcanic thermal anomalies at Sabancaya. 149 Beginning in 2011 these anomalies steadily increased first in temperature, and then in 150 area [Figure S2, Reath et al., 2019b]. During this time the plume consisted primarily of 151 magmatic gasses with only trace amounts of ash [Figure S4, Machacca Puma et al., 2018; 152 Global Volcanism Program, 2016]. 153

In November of 2016 eruptive activity increased dramatically in intensity, changing 154 from a diffuse plume of mainly magmatic gasses to an ash-rich plume with several ex-155 plosions per day [Figure S4, Manrique Llerena et al., 2018; Global Volcanism Program, 156 2017]. Kern et al. [2017] measured extremely high emission rates of water vapor at Sa-157 bancaya in the six months prior to November 2016, consistent with the boiling off of the 158 hydrothermal system. On 6 November 2016, vulcanian style explosions began [Instituto 159 Geofísico del Perú, 2017]. After the onset of the November 2016 eruption, the thermal output at Sabancaya was high enough to saturate ASTER thermal measurements on the 161 next two clear acquisitions (3 and 28 April 2017) [Figure S2, Reath et al., 2019a]. In late 162 2016 a thermal anomaly at Hualca Hualca first became visible in ASTER satellite images, 163 significantly increasing first in temperature and then area, similar to the thermal features 164 on Sabancaya (Figure S2). Around this time, there were reports of an increase in the ac-165 tivity of pre-existing hydrothermal features in the area, and field observations found areas 166 of hydrothermal activity co-located with the ASTER thermal anomalies [Macedo, 2018].

#### 168 **3 Data and Data Processing**

In this study we use all available synthetic aperture radar (SAR) data from the Sentinel 1 A/B (S1), TerraSAR-X (TSX), and COSMO-SkyMed (CSK) SAR satellite missions spanning 2013 to 2019 as well as limited Envisat and ERS-1/2 data from 1992 to 2010 (Table S1).

#### 173 **3.1 ERS-1/2 and Envisat**

Only a few interferograms are available from ERS-1/2 and Envisat C-band (5.6 cm wavelength) satellites, and most have long temporal and/or perpendicular baselines (Table S1). Thus, the time series for these datasets are noisier than for the more recent data. Both datasets were processed using the ROI\_PAC software package [*Rosen et al.*, 2004] – the Envisat data by *Jay* [2014] and ERS-1/2 by *Pritchard and Simons* [2004].

To calculate a time series with the ERS-1/2 data, we used the the deformation source calculated for each interferogram in *Pritchard and Simons* [2004] to forward model the predicted deformation at Sabancaya. We used the time-series approach of *Henderson and Pritchard* [2013] to calculate a time series using the Envisat data.

#### 3.2 COSMO-SkyMed

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We used SAR data from the Italian Space Agency (ASI) COSMO-SkyMed (CSK) X-band (3.1 cm wavelength) four-satellite constellation. Data are from the ascending pass of the satellite. CSK data were processed using the InSAR Scientific Computing Environment (ISCE) package developed at the Jet Propulsion Laboratory (JPL), Caltech, and Stanford University [*Rosen et al.*, 2015]. ISCE processing used the Shuttle Radar Topography Mission (SRTM) 30 m digital elevation model [*Farr et al.*, 2007] to correct for topography. For interferogram unwrapping we used the SNAPHU unwrapper [*Chen and Zebker*, 2001] implemented in ISCE.

Perpendicular baselines for the CSK data were generally constrained to be within 400 m and 3 months in orbital and temporal separation, respectively. For each acquisition, we then selected the four shortest (in time) pairs meeting the requirements mentioned above (e.g., 1-2, 1-3, 1-4, 1-5; 2-3, 2-4, 2-5, 2-6...).

We computed InSAR time series from such sets of 348 interconnected interferograms using the GIAnT software package [*Agram et al.*, 2012] in the NSBAS mode. Atmospheric corrections were not applied, as the smaller spatial footprint makes estimation of atmospheric effects harder, and the signals of interest were large enough that such corrections were not needed.

#### 3.3 TerraSAR-X

We calculated interferograms for the TSX time series (originally calculated for *Reath et al.* [2019a]) with perpendicular baselines less than 100 m and temporal baselines less than 1 year using the ROI\_PAC software package [*Rosen et al.*, 2004]. We then downlooked interferograms by 8 looks with a power spectrum filter strength between 0.2 and 0.5 [*Goldstein et al.*, 1988], unwrapped them with the SNAPHU algorithm [*Chen and Zebker*, 2001], and co-registered them using the "ampcor" routine in ROI\_PAC [*Rosen et al.*, 2004].

We calculated the TSX time series with a MATLAB implementation of the SBAS 209 time series method of *Berardino et al.* [2002], with no Digital Elevation Model (DEM) er-210 ror correction, that has been used in many other publications [Finnegan et al., 2008; Hen-211 derson and Pritchard, 2013, 2017; Delgado et al., 2017]. DEM error corrections were not 212 attempted because the pairs have a small temporal baseline and the satellite baseline does 213 not drift during the acquisition time. In order to avoid removing signals of interest, no at-214 mospheric corrections were applied, nor ramps removed, because of the small area of the 215 TSX swath relative to the signals of interest. We instead referenced every interferogram 216 to a stable area south of the deforming area. We found that this approach of removing a 217 spatially constant offset did not change the results significantly with respect to removing 218 ramps in the small non-deforming areas at Sabancaya. 219

220 **3.4 Sentinel 1** 

We used the ISCE software package [*Rosen et al.*, 2015] to process interferograms for the S1 dataset, using the SRTM 30 m digital elevation model [*Farr et al.*, 2007] to correct for topography. We chose interferograms to process for the S1 time series via a

"daisy-chain" method in which for each SAR scene we created interferograms with the 224 next three SAR scenes chronologically. We did not use a single master SLC to create a 225 common simulation for the whole data set. This maintains small temporal baselines, while 226 also ensuring that each time interval is covered by multiple interferograms in case one of the interferograms has burst alignment issues [potentially due to ionospheric perturbations, 228 e.g., Wang et al., 2017] or unresolvable unwrapping errors. We downlooked the S1 inter-229 ferograms using 2 looks in azimuth and 8 looks in range. These interferograms were first 230 unwrapped using the GRASS algorithm [Goldstein et al., 1988], and if unwrapping errors 231 were found we redid the unwrapping using the SNAPHU algorithm [Chen and Zebker, 232 2001]. After the second pass of unwrapping, interferograms that still had unwrapping er-233 rors or burst alignment errors [e.g., Wang et al., 2017] were excluded from later time se-234 ries calculations.

We calculated deformation time series from the S1 interferograms with the SBAS method [*Berardino et al.*, 2002] using the open source software package GiANT [*Agram et al.*, 2012]. As the spatial footprint of the S1 radar images is much larger than the signals of interest, we were able to use the methods of *Lin et al.* [2010] to apply an empirical correction for topography-related stratified atmosphere effects to the S1 time series. This correction reduced the temporal variance of pixels in areas of high relief, particularly near the canyon north of Hualca Hualca.

We processed selected S1 interferograms for earthquake modeling with less downlooking to create higher resolution interferograms, using 1 look in azimuth and 4 looks in range. These interferograms were unwrapped using the SNAPHU algorithm [*Chen and Zebker*, 2001]. We masked regions of low coherence and unwrapping errors prior to modeling.

#### **4 Modeling Strategy**

In this paper we infer deformation sources for InSAR-measured deformation from two 2017 earthquakes, inflation, and a creeping fault using analytical deformation source models. These analytical models are a vast simplification of complex geology, assuming a semi-infinite, elastic, isotropic, homogeneous half-space [*Mogi*, 1958; *Yang et al.*, 1988; *Newman et al.*, 2006]. However, they are still useful for getting a first-order picture of the different sources of deformation at Sabancaya that will allow us to investigate any interactions between these deformation sources over the time periods of months-years.

To solve for a deformation source for the deep-seated inflation, we first downsampled surface deformation [*Lohman and Simons*, 2005] rate maps calculated from the S1 time series (ascending and descending tracks) and the TSX time series (ascending track only), as the inflation rate is roughly linear over the time period of observation. We used the Neighborhood Algorithm [*Sambridge*, 1999] to find best fit parameters for a spherical source [*Mogi*, 1958], and a vertical prolate ellipsoid [*Newman et al.*, 2006; *Yang et al.*, 1988].

For calculating the earthquake deformation sources, we used S1 interferograms span-263 ning the event as close in time as possible, with as high a coherence as possible. We did 264 not remove a model of the inflation from these interferograms prior to performing modeling, as the inflation signal is below the level of the noise in these interferograms. We 266 downsampled this data using the method described in Lohman and Simons [2005]. Using 267 a Neighborhood Algorithm [Sambridge, 1999], we first found parameters for a uniform-268 slip fault plane embedded in a homogeneous elastic half-space [Okada, 1985] that best 269 matched the data. Next, we used this best-fit fault plane to solve for distributed slip with 270 triangular dislocations using the algorithms of *Barnhart and Lohman* [2010]. 271

We modeled the time series for aseismic movement on the Mojopampa fault (Figure 1) using the analytical expression of *Perfettini and Avouac* [2004]:

(1) 
$$\delta(t) = \delta_i + \frac{aH\sigma}{G}\log\left[1 + d\frac{V_i}{V_0}(exp(t/t_r) - 1)\right]$$

Equation 1 calculates afterslip on a fault as a result of post-seismic rate-strengthening creep in response to a dynamic or static stress perturbation. In this expression,  $\delta_i$  is the initial slip, *a* is a unitless rate dependent frictional parameter, *H* is the characteristic length of the rate-strengthening area,  $\sigma$  is the effective normal stress, and *G* is the shear modulus, and  $t_r$  is the characteristic time of the afterslip.  $V_i$  and  $V_0$  are the initial creep velocity and interseismic plate velocity, respectively. *d* is a unitless parameter that characterizes the change in sliding velocity in response to a coseismic shear stress change.

We solved for  $\delta_i$ ,  $t_r$ , and the parameter combinations  $\frac{aH\sigma}{G}$  and  $d\frac{V_i}{V_0}$  using the Neighborhood Algorithm [*Sambridge*, 1999] to find the best fit to the measured line-of-sight displacement across the Mojopampa fault.

To model the deformation source for the creep on the Mojopampa fault, we first downsampled [*Lohman and Simons*, 2005] surface deformation maps calculated from the TSX time series spanning November 2013 to December 2016. We then found the best-fit parameters for a uniform-slip fault plane [*Okada*, 1985] using the Neighborhood Algorithm [*Sambridge*, 1999].

To calculate coulomb static stress changes from the volcanic inflation and earthquakes, we used the Coulomb3.4 Matlab package by S. Toda (https://earthquake. usgs.gov/research/software/coulomb/), that uses the methods developed in *King et al.* [1994]. We used the best fit spherical source model as the coulomb static stress source and receiver for magmatic inflation. InSAR modeled fault planes from this study and *Jay et al.* [2015] served as both sources and receivers in our calculations.

#### 295 5 Results

Figures 2 and 3 show rates of InSAR detected deformation at Sabancaya from 2013-2019. A broad zone of uplift is evident in the CSK, TSX, and S1 time series (Figures 2 and 3), centered northwest of Sabancaya near Hualca Hualca. Figure 3 also shows deformation from seismic slip on two faults north of Hualca Hualca. Figure 2b and d feature aseismic slip on three faults west of Hualca Hualca, while Figure 3c and d shows creep continuing on the Mojopampa fault following the 17 July 2013 earthquake through 2019 [*Jay et al.*, 2015].



Figure 2. Maps of rate of ground displacement in satellite line-of-sight at Sabancaya showing deforma-303 tion from fault creep and the beginnings of inflation at Hualca Hualca. (a) and (b) show displacement rates 304 calculated from a linear time series from CSK data spanning 27 July 2013 to 30 July 2014. (c) and (d) show 305 displacement rates calculated from a linear time series from TSX data spanning 1 June 2014 to 22 December 306 2016. Note that color scales are different between (a) and (b), and between (c) and (d) to better show fault 307 creep in (c) and (d). Although the CSK and TSX time series both span the 17 July 2013  $M_W$  5.9 earthquake 308 [modeled by Jay et al., 2015], these figures do not span the earthquake. Numbers and colored dots indicate 309 locations of time series points shown in Figure 8. Volcanoes are marked with black triangles. Faults and 310 lineaments are from Jay et al. [2015] and Antayhua et al. [2002]. 311



Figure 3. Maps of rate of line-of-sight deformation at Sabancaya showing deformation from inflation, 312 fault creep (black rectangle in (a)), and two earthquake events (black ellipses in (a)) for the ascending (a) and 313 descending (b) S1 tracks. Deformation rates are calculated from a linear time series from S1 data spanning 15 314 March 2015 to 4 February 2019 and 19 October 2014 to 1 February 2019 for the ascending and descending 315 tracks, respectively. Volcanoes are marked with black triangles. Faults and lineaments are from Jay et al. 316 [2015] and Antayhua et al. [2002]. Black rectangle in (a) indicates area depicted in (c) and (d), and red square 317 is the location of the time series in Figure 10. Black rectangle in (b) indicates area depicted in Figure 5. (c) 318 and (d) show a zoom-in on the Mojopampa fault/Creeping Fault 1 (Figure 2). Gray dots in (c) show the points 319 subtracted in Figure 8 to show the evolution of aseismic slip with time. Note that color scales are different 320 between (a) and (b), and between (c) and (d) to better show fault creep in (c) and (d). 321

#### **5.1 Inflation source**

The uplift rate between 2013 and 2019 creates a maximum line-of-sight displacement of approximately 3-4 cm yr<sup>-1</sup> in the CSK, TSX, and S1 time series, with the point of maximum displacement located at Hualca Hualca (Figures 2 and 3). The spatial footprint of the deformation signal is similar to that in *Pritchard and Simons* [2004] during the 1992-1997 time period.

Using a spherical source [Mogi, 1958], the best fit model for the S1 time series (as-328 cending and descending tracks) places the inflation at a depth of 13 km with a volume 329 change of about 0.04 km<sup>3</sup> yr<sup>-1</sup> (Figure 4), located within 3 km of the deformation source 330 calculated for the 1992-1996 inflation [Pritchard and Simons, 2004]. The suite of accept-331 able models generated by the neighborhood algorithm [Sambridge, 1999], defined as hav-332 ing misfit within 5% of the best fit model, yields depths ranging from 12 to 15 km, and 333 volume changes ranging from 0.03 to 0.05 km<sup>3</sup> yr<sup>-1</sup>. We achieved similar results using 334 a spherical source to model the TSX data (ascending track only), with a depth of 14 km 335 and a volume change of 0.05 km<sup>3</sup> yr<sup>-1</sup>. Using both the S1 and TSX time series, the cen-336 ter of the inflation is centered at the inactive volcano Hualca Hualca, approximately 7 km 337 NNW of Sabancaya. Inversion results for the inflation source with and without models 338 of the 2017 earthquakes removed are not significantly different, so we did not remove the 339 earthquake signals in the final inversion. These results for the depth and location of the 340 source are consistent with modeling results for a spherical source using GPS data from 341 2014-2015 that find a source depth of 13 km [Taipe Maquerhua et al., 2016]. 342

Modeling the uplift with a prolate ellipsoidal source does not significantly improve 343 the model fit to data (Figure S5). The best fit prolate ellipsoidal source [Newman et al., 344 2006; Yang et al., 1988], using a shear modulus of 28 GPa, has a depth of 13 km, a semi-345 major axis of 8 km, a semi-minor axis of 6 km, and a pressure change of 1.2 MPa yr<sup>-1</sup>. 346 An F-test between the two inversions gives a 98% chance of no significant difference be-347 tween the two modeling scenarios, so we continue our analysis using the spherical source. 348 The best-fit ellipsoidal source also has an aspect ratio of 0.7, approaching that of a sphere, 349 strengthening the argument for using the simpler spherical source. 350



Figure 4. Spherical source modeling results for inflation source, using rate maps for ascending and descending S-1 a/b data spanning 2014-2019 (Figure 3). Top row is original data (full resolution), second row is forward modeled data, third row is the residual between the data and modeled data. The final row shows NS (orange) and EW profiles (blue) of data (dots) and forward modeled data (lines). Profiles are plotted as colored lines in the first three rows. The deformation signals from the 2017 earthquakes (black rectangles in (e) and (f)) were not removed prior to inversion, as tests showed that inversions removing the earthquake deformation model (Figure 5) produced no significant difference in the spherical source parameters.

#### **5.2 2017 Earthquakes**

<sup>359</sup> Multiple S1 interferograms spanning January 10, 2017 show a northwest-southeast <sup>360</sup> trending region of subsidence and uplift to the northeast of Hualca Hualca (Figure 5 A <sup>361</sup> and B). The seismic catalog from the local seismic network records one  $M_L$  4.4 earth-<sup>362</sup> quake on this date. To model this event we used two unwrapped interferograms from the <sup>363</sup> ascending and descending tracks (Table S2).

InSAR modeling of this event gives  $M_W$  5.0 for slip on a normal fault striking north-364 west dipping 89 degrees to the northeast, a slightly larger magnitude than that calculated 365 from the local seismic network (Figure 6). The discrepancy in magnitude is small and 366 may reflect the inherent uncertainty in both estimates of magnitude, but could indicate 367 a small portion of the deformation occurred aseismically, potentially as afterslip that is 368 seen after other earthquakes in the region. A distributed slip inversion shows that most of 369 the slip occurs at 1.5 km depth, with a small region of higher magnitude slip at less than 0.5 km depth (Figure 7j). This concentration of high magnitudes of slip near the surface 371 suggests that there may have been surface rupture associated with this event. However, 372 evidence for this is ambiguous in the interferogram, as zones of low coherence along the 373 fault are concentrated on a ridge, potentially indicating surface disruption (such as small 374 landslides) rather than surface rupture. We discuss the difference in depth between the In-375 SAR results and seismic catalogs in section 6.1. 376

The local seismic catalog records 5 events on 30 April 2017, all located to the north of Hualca Hualca, with the largest event having a local magnitude of 5.2. S1 interferograms spanning this date show an area of subsidence north of Hualca Hualca (Figure 5 c and d). To model this event we used an unwrapped interferogram from the ascending track (Table S2). We did not use any interferograms from the descending track to model this event as all descending interferograms covering this event suffered from unresolvable unwrapping errors.

Modeling of this interferogram gives a  $M_W$  5.2 for oblique slip on a northwest striking normal fault dipping 54 degrees to the northeast, in good agreement with the local seismic network (Figure 6). A distributed slip inversion shows that most of the slip is concentrated at 1.5 km depth (Figure 7k).

The distributed slip inversion residuals show a low amplitude (4 cm) but spatially 388 coherent area of negative residual (Figure 7). We interpret this as deformation from slip 389 on another smaller magnitude event on the same day. The local seismic catalog shows a 390  $M_W$  4.1 event nearby at less than 10 km, providing a likely candidate for this event. Attempts to model the residual with slip on a uniform fault plane [Okada, 1985] give mixed 392 results. The best fit model is a normal fault with a strike of 250 degrees and a very shal-393 low dip of 13 degrees. While the strike is broadly consistent with the observed defor-394 mation (Figure 5c) and regional fault strikes, the shallow dip is inconsistent with dip of 395 faults in the area, typically between 60 and 70 degrees Machare et al. [2003]; Mering et al. 396 [1996]; Sébrier et al. [1985]. Further, earthquakes on shallowly dipping normal faults are 397 very rare and not predicted by most physical models [Abers, 2009]. It is likely that either 398 the data was too contaminated by atmospheric noise to accurately constrain slip for the smaller event, or that a joint inversion of both fault planes simultaneously [e.g., Frietsch 400 et al., 2019] is necessary to constrain the geometry of both faults. It also also possible that 401 the earthquake is best modeled by a curved and/or more complex fault geometry. 402

#### 418 **5.3 Creeping faults**

Interferograms and time series from CSK, TSX, and S1 data reveal creep on three faults located northwest of Hualca Hualca (Figures 2 and 3). Creeping Faults 1 and 2 in Figure 2 are most likely the same faults that ruptured in the M<sub>W</sub> 5.9 event on 17 July 2013 (Mojopampa fault), and the M<sub>W</sub> 4.8 event on 25 July 2013, respectively, as observed



Figure 5. Unwrapped S1 interferogram spanning two small earthquakes – 10 January 2017  $M_W$  5.0 (top row) and 30 April 2017  $M_W$  5.2 (bottom row). A model of the inflation signal was not removed from this data (See section 4). Left column is data from the ascending track (T47) and the right column is from the descending track (T25). Hualca Hualca is marked with a white triangle. Faults and lineaments are from *Jay et al.* [2015] and *Antayhua et al.* [2002].

| Date            |                    | InSAR | Local                       | NEIC          | GCMT                |
|-----------------|--------------------|-------|-----------------------------|---------------|---------------------|
|                 | Depth (km)         | 1     | 12                          | 3.9           | -                   |
| 10 January 2017 | M <sub>w</sub>     | 5.0   | 4.4                         | 4.5           | -                   |
| 10 January 2017 | Focal<br>Mechanism |       | -                           |               | -                   |
|                 | Depth (km)         | 1.5   | 6.1, 8.7, 13,<br>13.6, 12.1 | 10, 10, 10    | 12, 21.7            |
| 20 April 2017   | M <sub>w</sub>     | 5.2   | 5.2, 4.1,<br>4.0, 4.0, 4.5  | 5.1, 4.6, 4.4 | 5.0, 4.9            |
| 30 April 2017   | Focal<br>Mechanism |       | -                           | -,-           | <b>)</b> , <b>)</b> |

Figure 6. Comparison of depths, magnitudes, and fault source parameters for earthquakes modeled in this

study, calculated from InSAR, the IGP local seismic network, the Global CMT catalog, and the National

410 Earthquake Information Center. Dashes (-) indicate no data.

by *Jay et al.* [2015]. Using a smaller SAR data set, *Jay et al.* [2015] also observed aseismic slip on Creeping Fault 1 (Mojopampa fault) during the first year after the 17 July 2013 earthquake.

Figure 8a shows line-of-sight displacement across Creeping Fault 1 from March 426 2013 to February 2019 using CSK, TSX, and S1 data, and Figure 8b shows line-of-sight 427 displacement across Creeping Faults 2 and 3 from March 2013 to August 2014 using CSK 428 data. In the first six months after the 17 July 2013  $M_W$  5.9 event, afterslip on Creeping 429 Fault 1/Mojopampa fault was relatively rapid at a rate of 2-8 cm yr<sup>-1</sup>. After about one 430 year, the afterslip decayed to a constant rate of 0.5-0.6 cm/yr that continues to February 431 2019 (Figure 8a). Using Equation 1, we find a characteristic time  $t_r$  of 1.5 years best fits 432 the data (black line in Figure 8a). For Creeping Faults 2 and 3, afterslip is much smaller 433 magnitude (<2 cm in line-of-sight), and decayed to zero displacement after about five 434 months. 435



Figure 7. Modeling results for (from top to bottom) 10 January 2017  $M_W$  4.8 earthquake (T47 and T25) and 30 April 2017  $M_W$  5.2 earthquake using S1 interferograms. A model of the inflation signal was not removed from this data (See section 4). Left column is the downsampled data used in inversion, the center column is forward modeled data from inferred distributed slip models with the XY coordinates of the fault plane plotted (black rectangle), and the right column is the residual between data and forward modeled data. (j) and (k) show the distributed slip models for the 10 January 2017 earthquake (j) and 30 April 2017 earthquake (k).



Figure 8. Line-of-sight displacements across creeping faults at Sabancaya. (a) Difference between points
(black dots in Figure 3c) spanning Creeping Fault 1/Mojopampa fault (Figure 2) from 23 July 2013 to 4
February 2019 (gray dots in Figure 3c). Blue dots are from the CSK time series (23 July 2013 to 30 July
2014), and yellow dots are from the S1 time series (ascending, track 47, 15 March 2015 to 4 February 2019).
Displacement is displayed relative to displacement in CSK time series on 23 July 2013. Black line is the
best-fitting analytical function for frictional afterslip [*Perfettini and Avouac*, 2004]. (b) Displacement across
Creeping Faults 2 and 3 (Figure 2) from 23 July 2013 to 30 July 2014, using CSK time series data.

The best-fit model for slip on Creeping Fault 1 (Mojopampa fault) is right-lateral 443 motion at a depth of 1 km of an east-west striking fault dipping 78 degrees to the north, 444 with a yearly moment release of M<sub>w</sub> 4.4 (Figure S8). The location of the modeled slip is 445 consistent with slip on the Mojopampa fault, at a shallower depth than the slip of the 2013 446 earthquake modeled in Jay et al. [2015]. The small amplitude of the surface deformation 447 precluded distributed slip modeling. We did not attempt to model any aseismic slip on the 448 eastern branch of the Mojopampa fault, as our InSAR data does not have resolution in that 449 area of steep relief. 450

#### 451

#### 5.4 Seismic and aseismic active faults at Sabancaya, 1992-2019

Figure 9 shows a map of faults near Sabancaya with InSAR detected slip between 1992 and 2019 [this study, *Pritchard and Simons*, 2004; *Jay et al.*, 2015], along with ASTER satellite detected thermal anomalies [this study, *Reath et al.*, 2019a] and hydrothermal features mapped in the field [*Macedo*, 2018]. Faults where the sense of slip is shown via black hash marks have either been previously mapped in the field or modeled from InSAR data [this study, *Jay et al.*, 2015]. See Figures S6 and S7 in the supporting information for ERS and TSX interferograms that show fault slip in 1998 and 2013.



Figure 9. Active faults (seismogenic and aseismic) at Sabancaya imaged by InSAR (1998 to 2019), hy-459 drothermal areas active from 2013 to 2019, and inflation sources active from 1992-1996 and 2013-2019 (See 460 Figure 10). See Figures S6 and S7 in the supporting information for interferograms that show the active faults 461 in 1998 and 2013. Faults related to a main earthquake event are labeled with the date of the earthquake. All 462 other faults mapped as active represent likely secondary, triggered slip from a main earthquake event. Black 463 ellipse marks section of the Solarpampa fault that slipped in 2002 [see Figure 2 of Jay et al., 2015]. Faults 464 and lineaments are from <sup>1</sup>Antayhua et al. [2002],<sup>2</sup>Jay et al. [2015], and <sup>3</sup>this study. Hydrothermal areas are 465 from <sup>4</sup>*Macedo* [2018]. ASTER thermal anomalies are from <sup>3</sup>this study and <sup>5</sup>*Reath et al.* [2019a]. Inflation 466 sources are from <sup>3</sup>this study and <sup>6</sup>Pritchard and Simons [2004]. 467

InSAR detected slip is most frequently observed on the west, northwest, and north sides of Hualca Hualca (Figure 9). Interferograms that span large earthquakes in 1998 and 2013 show slip on multiple faults to the west of Hualca Hualca in both 1998 and 2013 (Figures S6 and S7). The hydrothermal activity at Hualca Hualca occurred directly on faults that ruptured in 2017 (Figure 9).

#### 473 5.5 Coulomb static stress changes

The inflation source described above creates a positive Coulomb stress change (CSC) 474 on the faults for the two 2017 earthquakes, as well as on Creeping Fault 1/Mojopampa 475 fault (Figure S9). Assuming a start time for the inflation of May 2013 and a constant in-476 flation rate, the January 2017 fault experienced an approximately 0.18 MPa increase in 477 coulomb stress prior to slipping, and the April 2017 fault experienced an approximately 478 0.08 MPa increase. Although low, the increase in Coulomb static stress should be suffi-479 cient to promote slip on the January 2017 earthquake fault [Wauthier et al., 2016; Toda 480 and Stein, 2003]. However, the stress change on the April 2017 earthquake, although pos-481 itive, is much less, so the evidence for static stress triggering on this fault is uncertain. 482 Creeping Fault 1 also experienced a static stress increase of approximately 0.03 MPa/year, 483 a cumulative static stress change of almost 0.2 MPa from May 2013 to February 2019. As 484 for the 2017 earthquake faults, this magnitude of CSC should be enough to promote slip 485 on this fault [Wauthier et al., 2016; Toda and Stein, 2003]. 486

We also found that the  $M_W$  5.9 earthquake on the Mojopampa fault in 2013 did not cause a static stress change sufficient to promote slip on any of the 2017 earthquake faults. None of the earthquakes in 2017 caused a static stress change sufficient to promote slip on the Mojopampa fault. *Jay et al.* [2015] similarly found that static stress changes from seis<sup>491</sup> mogenic faults in 2013 were insufficient to trigger any of the InSAR modeled earthquakes <sup>492</sup> activity in 2013.

493

## 5.6 Timeline of activity at Sabancaya

Figure 10a summarizes activity at Sabancaya in the two previous eruptive episodes, compiling information from the *Global Volcanism Program* [2013]; *Reath et al.* [2019a,b], and this study. Strong seismicity, inflation, and significant hydrothermal activity characterized both the 1990s and current eruptive episode.

Figure 10c shows a time series of inflation and hydrothermal activity at Sabancaya 498 from 1992 to 2019. The location of the inflation time series is marked as a red square in 499 Figure 10b. The inflation time series includes data from ERS 1 and 2, ENVISAT, ALOS, 500 TSX, and S1. The hydrothermal time series includes ASTER data at Sabancaya [previ-501 ously published in *Reath et al.*, 2019a] and Hualca Hualca, and OMI degassing data [pre-502 viously published in Carn et al., 2017; Reath et al., 2019a]. The inflation rate in the 2013-503 2019 eruptive episode is significantly higher than in the previous inflation from 1992 to 1996 [Pritchard and Simons, 2004]. For the current eruptive episode, inflation is first evi-505 dent as of May 2013 in both the CSK and TSX time series (Figure 10). The roughly lin-506 ear, increasing trend of uplift from 2013 to 2019 agrees with GPS observations beginning 507 in 2016 that also show a similar, steady uplift [Cruz et al., 2018; Machacca Puma et al., 508 2018]. 509



Figure 10. Timeline of activity at Sabancaya. Earthquakes  $> M_W 4.5$  included in this figure are those 510 studied by InSAR. See Figure S1 for times of all earthquakes >  $M_W$  4.5 within 50 km of Sabancaya. (a) Sum-511 mary of activity at Sabancaya with data from the Global Volcanism Program [2013], Reath et al. [2019a], 512 Pritchard and Simons [2004], and this study. (b) For reference, S1 maps of rate of ground displacement from 513 Figure 3 with location of time series in (c) marked with red square. (c) Inflation at Sabancaya and thermal 514 anomalies at Sabancaya and Hualca Hualca. Line-of-sight inflation time series at Sabancaya (red square in 515 (b) and Figure 3a) derived from ERS [Pritchard and Simons, 2004; Jay, 2014], ENVISAT [Jay, 2014; Jay 516 et al., 2015], ALOS [Morales Rivera et al., 2016], TSX [Reath et al., 2019a], and S1 (this study) data. Note 517 that although this time series mixes ascending and descending data, the point at Sabancaya is chosen such that 518 the amplitude of the uplift signal is as close as possible between ascending and descending. For the ALOS 519 portion of the time series, we used the dates of the ALOS-1 images from Morales Rivera et al. [2016] and 520 added synthetic noise with the same amplitude as the ERS and ENVISAT time series to simulate a time series 521 with no deformation. Thermal data at Sabancaya originally published in Reath et al. [2019a]. 522

#### 523 6 Discussion

#### 524

#### 6.1 Discrepancies between InSAR and seismic catalog fault depths

The InSAR depths for the earthquakes modeled in this study are significantly shal-525 lower than the depths in the global catalogs (GMT, NEIC), although these discrepancies 526 are consistent with those reported in other comparison of InSAR focal mechanisms and 527 CMT catalogs, particularly given the small size ( $< M_w$  6) of the events [e.g., Devlin et al., 528 2012; Holtkamp et al., 2011; Weston et al., 2011]. The larger depth discrepancy for the 529 January 2017 event between InSAR results and the local seismic catalog could be par-530 tially due to the velocity model used for the local catalog or the network geometry [Mel-531 lors et al., 2004]. A full waveform inversion of local or teleseismic seismic records as in 532 Devlin et al. [2012] could potentially reduce the discrepancy between InSAR and local 533 and global seismic catalog depths, but this is beyond the scope of this study. Mellors et al. 534 [2004] and Gaherty et al. [2019] find no significant difference between a homogeneous 535 and heterogeneous half space model in their modeling of larger seismic events. We do 536 not believe that the discrepancy can be explained as an effect of using homogeneous half-537 space modeling, rather than a more complex model. Visual inspection of the interferogram 538 for the January and April 2017 earthquakes (Figure 5) also suggests that the earthquakes 539

are indeed much shallower than the depths listed in seismic catalogs. The interferograms
 are incoherent along the trace of the fault (incoherence masked in Figure 5), suggesting
 possible surface rupture. Based on this consideration of the possible causes of discrepancies between our results and other data sources, we conclude that the InSAR depths are
 more accurate than those from the local or global seismic catalogs.

#### 6.2 Offset inflation at Hualca Hualca

545

The offset inflation observed at Sabancaya in both the 2010s and the 1990s [Pritchard 546 and Simons, 2004], centered approximately 7 km NNW of Sabancaya, is not unique among 547 volcanic systems. In a survey of volcanic deformation detected via satellite observations, 548 Ebmeier et al. [2018] observes that 24% of detected deformation is centered more than 5 549 km from the nearest active volcanic edifice, which the authors interpret as compelling ev-550 idence for laterally extensive, complex magmatic plumbing systems [e.g., Cashman et al., 551 2017]. The best fit spherical sources for both episodes of inflation at Sabancaya are within 552 less than 5 km of each other, suggesting pressurization of the same zone of magma stor-553 age in both episodes. This offset inflation may illuminate activity in the deeper section 554 of a laterally and vertically extensive complex of dikes and sills beneath Hualca Hualca, 555 Sabancaya, and Ampato. 556

In both recent episodes of eruptive activity, the temporal behavior of the inflation does not correlate strongly with changes in eruptive behavior at the surface [Figure 10c, *Pritchard and Simons*, 2004; *Global Volcanism Program*, 2000]. Throughout the current eruptive sequence at Sabancaya, uplift continues linearly, without any changes in rate, even with the drastic change in eruptive activity in November 2016 (Figure 10c). However, the consistent observation of inflation in both of these eruptive episodes suggests some connection between the magma plumbing systems beneath Hualca Hualca and Sabancaya.

A possible interpretation of the offset inflation could be mafic recharge at depth, 564 as proposed by Gerbe and Thouret [2004] for Sabancaya based on petrologic data, and suggested at other volcanic systems by measurement of excess SO<sub>2</sub> [e.g., Edmonds et al., 566 2010, 2001]. In the model proposed by Gerbe and Thouret [2004], mafic recharge at depth 567 induces magma mixing in a pre-existing dacitic magma reservoir slowly differentiating 568 through open system crystallization. From our calculations in section 5.1, we suggest that 569 the persistent inflation source at 13 km depth is the geophysical signature of this mafic 570 recharge at depth. We speculate that mafic magma then moves laterally from a temporary 571 zone of accumulation beneath Hualca Hualca to a shallower (6km), storage zone where 572 magma mixing occurs between the fresh mafic magma and degassed, dacitic magma [e.g., Gerbe and Thouret, 2004], ultimately triggering eruptive activity at the surface. Tempo-574 rary deflections in GPS-measured uplift at Sabancaya's summit immediately preceding 575 increases in the number of hybrid earthquakes may be evidence for a smaller, shallow 576 magma chamber near Sabancaya's summit [Cruz et al., 2018]. Additionally, earthquake 577 locations from 2015 to 2017 provide some evidence for possible magma transfer between 578 Hualca Hualca and Sabancaya [Anccasi Figueroa et al., 2018]. 579

Voluminous degassing at Sabancaya suggests that the shallow magma storage zone 580 is more open [Kern et al., 2017; Moussallam et al., 2017; Reath et al., 2019a] (using the 581 definition for "open" proposed by Chaussard et al. [2013]), perhaps explaining why no 582 inflation has been observed centered at Sabancaya. This could explain the partial tempo-583 ral disconnect between inflation episodes and eruptive activity, and fits with Reath et al. 584 [2019a]'s classification of Sabancaya as "partially open". While the mafic intrusion reacti-585 vates the system and potentially induces magma mixing, triggering the whole sequence of 586 unrest, it does not directly trigger pulses of eruptive activity. 587

#### 588 6.3 Fault creep at Sabancaya

Aseismic movement on tectonic faults appears to be relatively common near Saban-589 caya, manifesting as fault creep, after-slip, and triggered slip. The InSAR time series in 590 this study reveal aseismic fault movement on several faults northwest of Hualca Hualca 591 (Figures 2,3, and 8). Jay et al. [2015] report possible aseismic movement in this area in 592 the early 2000s based on the discrepancy between the cumulative seismic moment re-593 ported in earthquake catalogs and the seismic moment calculated from InSAR observed 594 deformation. The authors also record creep on the Solarpampa fault in the same time pe-595 riod, although the magnitude of this creep is likely small. 596

Static stress transfer may be important for driving the long-lived aseismic slip on the 597 Mojopampa fault (Creeping Fault 1 in Figures 8 and S9). After an initial period of after-598 slip, creep on this fault continues linearly, in tandem with the continuing inflation (Figures 599 8 and 10). Although long-lived afterslip on a normal fault is not unprecedented in this tectonic setting, the longest recorded afterslip in this region lasted for only one year [Xu 601 et al., 2019], far less than the 5+ years of creep observed in this study. For the Hayward 602 fault in California, Schmidt and Bürgmann [2008] found that, after an earthquake, creep 603 resumed when the ratio of shear to normal stress matched the value of this ratio prior to 604 the earthquake. We posit that an optimal stress state caused by the inflation source may 605 help drive the long-lived creep on the Mojopampa fault. Similarly, Lundgren et al. [2017] 606 found that inflation at Copahue volcano also promoted aseismic normal slip on faults at 607 the volcano's summit. 608

High pore fluid pressures likely also promote creep. The injection of fluids has been
observed to induce aseismic slip on faults [*Bürgmann*, 2018], and rate-state friction modeling suggests that high pore fluid pressures should favor stable slip and extended afterslip
[*Segall and Rice*, 1995]. Although all instances of fault creep occur in an area west of Sabancaya that lacks surface evidence of hydrothermal activity, the close timing between
aseismic slip on the faults west of Hualca Hualca, and reinvigorated hydrothermal activity
at Sabancaya (Figure 10), is suggestive of a link.

While static stress transfer from continuing inflation, along with high pore fluid pressures, may explain the long lived creep on the Mojopampa fault, this does not explain why this fault and others creep rather than rupture seismically. At other volcanoes, fault creep is often related to collapse of the volcanic edifice [e.g., *Poland et al.*, 2017]. However, we argue that the geometry of the creeping faults near Hualca Hualca are inconsistent with flank collapse, and are too far away from the summit of Hualca Hualca to be considered part of the flanks of the volcano.

Instead, lithological differences may explain the tendency for aseismic creep in this area. Geologic maps of the area near the creeping faults show outcrops of Jurassic age sedimentary rocks, in contrast to andesitic flows in the area near the 2017 earthquakes [*Benavente Escobar et al.*, 2018]. We propose that these sedimentary rocks exhibit ratestrengthening behavior that promotes aseismic slip. This is consistent with observations of extended afterslip in thicker sedimentary basins [e.g., *Lienkaemper and McFarland*, 2017]. More generally, multiple studies have identified lithological controls on the mode of fault slip along the length of a fault [e.g., *Bürgmann*, 2018; *Avouac*, 2015].

631

#### 6.4 Connection between magmatic and tectonic activity

To establish any connection between seismicity and magmatic activity at Sabancaya, we must first rule out that the timing and location of the seismicity is simply due to local tectonics alone. The earthquakes modeled in this study and that of *Jay et al.* [2015] are consistent with regional fault trends, and the normal faulting observed in this study is expected in this region due to a rotation of the stress tensor in areas of high relief [*Dal-mayrac and Molnar*, 1981; *Wimpenny et al.*, 2018; *Mering et al.*, 1996; *Devlin et al.*, 2012].

However, the region within 50 km of Sabancaya experienced no seismic activity between 638 2013 and the end of eruptive activity in 2002, except for one earthquake in 2011 (Fig-639 ure S1). One possible explanation for the temporal clustering of seismicity in this region 640 could be simple clustering of seismicity [e.g., Marco et al., 1996; Kagan and Jackson, 1991]. However, shallow seismicity over the period of time covered by the NEIC cata-642 log (1950 to 2019) is also spatially clustered around Sabancaya (Figure S10). We there-643 fore consider the idea that the these tectonic earthquakes can be linked to the inflation ob-644 served at Sabancaya. We first investigate the mechanism of static stress transfer, and then 645 consider the mechanism of a "fluid pressure pulse" [as in White and McCausland, 2016] 646 by comparing the location and timing of hydrothermal activity and fault slip. 647

Static stress transfer from the inflation source should promote slip on regional faults, although static stress transfer alone is only likely to be sufficient after inflation has continued for a few years. Coulomb static stress change is positive for the faults analyzed in this study (Figure S9), which are representative of many of the faults that ruptured in this area [*Jay et al.*, 2015]. Analog models of caldera formation commonly predict normal faulting above an inflating source [*Acocella*, 2007]. By 2017, the buildup of static stress from ongoing inflation is sufficient to explain at least one of the two  $M_W$  4.5+ earthquakes in that year.

However, the seismic activity in 2013 roughly coincides with the beginning of de-656 tectable inflation (Figure 10), when accumulated static stress from the inflation would 657 be insufficient to promote slip. An alternative way to explain the earthquake activity in 2013 may be a fluid pressure pulse caused by an incipient volcanic intrusion [e.g., White 659 and McCausland, 2016]. We have evidence of the reactivation of the hydrothermal sys-660 tem prior to the eruption from a satellite observed thermal anomaly at Sabancaya that 661 increased in temperature and size around the time of the first phreatic eruption in 2013 662 [Reath et al., 2019b], possible evidence of increased heat flow from a magmatic intrusion. 663 Kern et al. [2017] measured anomalously high levels of water vapor in the plume from Sa-664 bancaya in 2016, evidence for aqueous fluids in the system prior to 2016. It may be that an increase in fluid pressure in the surrounding aquifers caused regional tectonic faults to 666 slip early in their seismic cycle, as in the mechanism for "distal VTs" proposed by White 667 and McCausland [2016]. 668

Repeated triggered slip of regional tectonic faults suggests that the area around Sabancaya is at a critical state of stability. Figure 9 shows multiple smaller faults that slipped in both 1998 and 2013. The  $M_W$  5+ earthquakes in both 1998 and 2013 appear to have triggered slip on several smaller surrounding faults, consistent with dynamic triggering from the larger earthquakes (Figures 9, S6, and S7). We interpret this as evidence that the area around Sabancaya is critically stressed by high fluid pore pressures, such that any stress perturbation in the area, whether from a large earthquake or a magmatic intrusion, will lead to a flurry of triggered slip on regional tectonic faults.

Aqueous fluids may have also influenced the 2017 earthquakes as well. Both satel-677 lite (Figure 10) and field observations [Macedo, 2018] show an increase in the area and 678 intensity of a thermal anomaly on the north flank of Hualca Hualca (Figures 9, 10, and 679 S2) beginning in 2016. These thermal anomalies are co-located with field observations of 680 fumarole fields (Figure 9) that locals reported had increased the intensity of their emis-681 sions in 2018 [Macedo, 2018]. The trace of the April 2017 earthquake, when projected to the surface, aligns well with two of these fumarole fields [Figure 9, Macedo, 2018]. Both 683 of the 2017 earthquakes occur in this region of reinvigorated hydrothermal activity. This 684 suggests that increased pore pressure in the faults may have triggered slip on these faults 685 686 in 2017, already primed to slip by static stress transfer from the inflation at depth.

While the evidence considered in this paper supports contributions from both static stress transfer and elevated fluid pore pressures to explain the strong seismicity at Sabancaya, our ability to determine the relative contributions of each of these mechanisms is limited. Geophysical mapping of the extent of the subsurface hydrothermal system, for
example using electrical methods [e.g., *Comeau et al.*, 2016; *Byrdina et al.*, 2013], could
more accurately identify areas where we would expect high pore fluid pressures. Subsurface mapping of the hydrothermal system could also shed light on what determines the
location of creep at Sabancaya. Further work could also include more detailed modeling
of the fluid pressure pulse itself [e.g., *Miller et al.*, 2004], in order to better constrain the
timing and magnitude of the pressure perturbation needed to trigger the observed seismicity.

#### 6.5 Comparison with other volcanic systems

Multiple other volcanic systems have similar features to Sabancaya (deformation 699 and/or extensive hydrothermal systems), but lack large earthquakes. We argue that the 700 key factors contributing to large  $(>M_W 4.5)$  earthquakes at Sabancaya are the combina-701 tion of (1) the magnitude of the deformation, (2) the extensive hydrothermal activity, and 702 (3) uplift offset 5 km from the active volcano beneath an area of low heat flow that al-703 lows larger seismogenic faults. Ubinas volcano is located in southern Peru with a similar 704 tectonic environment [Dalmayrac and Molnar, 1981] and volume of degassing [Mous-705 sallam et al., 2017], but unlike Sabancaya does not experience significant deformation or large magnitude seismicity [Figure S10, Global Volcanism Program, 2013; Reath et al., 707 2019a]. Similar to Sabancaya, Three Sisters Volcano in the United States experienced up-708 lift in an area offset from the summit, in an area with likely low heat flow conducive to 709 larger faults, but the area lacks any signs of hydrothermal activity [Riddick and Schmidt, 710 2011] that might point to high pore fluid pressures. Cordon Caulle [Delgado et al., 2018] 711 and Laguna del Maule [Singer et al., 2018] in Chile, and Sierra Negra in the Galapagos, 712 Ecuador [Chadwick et al., 2006], have all experienced meter scale deformation. Of those 713 three, only Sierra Negra has experienced large earthquakes in its trapdoor-faulting episodes 714 [Chadwick et al., 2006], which involve very large faults bounding the caldera. 715

Iwatesan volcano in Japan has many of the features we identify as crucial at Saban-716 caya for promoting large earthquakes, and in 1998 a  $M_W$  6.1 earthquake occurred on a 717 known Quaternary fault close to the volcano [Nishimura et al., 2001]. The volcano has a 718 well-known geothermal area, and beginning months before the earthquake, InSAR satellite 719 measurements detected uplift offset from the edifice [Nishimura et al., 2001]. Nishimura 720 et al. [2001] calculated a volume change of 0.03 km<sup>3</sup>, comparable to what we find for 721 Sabancaya, and found that the Coulomb static stress change from the inflation promoted 722 slip on the fault that ruptured. This example shows a positive example of how magmatic 723 inflation, an extensive hydrothermal system but limited heat flow above the deformation 724 source, and large existing faults can conspire to produce large earthquakes in volcanic ar-725 726 eas.

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# 6.6 A conceptual model for magma-tectonic interactions during the 2012-2019 eruption at Sabancaya

In our conceptual model for Sabancaya, a critically fluid saturated crust keeps preexisting tectonic faults close to failure. The stress perturbation from an incipient magmatic intrusion creates a fluid pressure pulse that rapidly destabilizes regional faults, leading to a dramatic uptick in seismicity. As the intrusion grows in size, static stress transfer from the inflation drives creep and pushes faults closer to failure, helped along by regionally high pore fluid pressures. Figure 11 depicts the stages of unrest at Sabancaya for the most recent episode of eruptive activity.



**Figure 11.** Conceptual model for 2012-2019 eruptive sequence at Sabancaya. See text for explanation.

First (Figure 11a), a mafic intrusion around 2011-2012 (thermal and degassing anoma-737 lies, Figure 10) at ~13 km depth (InSAR modeled deep inflation, Figure 4) brings a fresh 738 charge of heat and magmatic gasses into the magmatic plumbing system beneath Hualca 739 Hualca and Sabancaya, reactivating the shallow dacitic magma chamber at ~6 km depth 740 [petrologic data, Gerbe and Thouret, 2004]. Heat and magmatic gasses from the dacitic 741 magma chamber travel along pre-existing permeable pathways in the volcanic conduit at 742 Sabancaya [Moussallam et al., 2017], causing thermal anomalies and elevated degassing 743 at Sabancaya (Figure 11a). This is consistent with the increase between 2011 and 2012 744 in thermal and  $SO_2$  output at Sabancaya (Figure 10), the depth of the best-fit model for 745 the InSAR time series (Figure 4), and the petrologic evidence for magma mixing between 746 dacite and a mafic intrusion in the 1990-1998 eruption in Gerbe and Thouret [2004]. 747

Second, pressure in the zone of mafic magma storage builds sufficiently to cause a 748 stress perturbation that creates a fluid pressure pulse [e.g., White and McCausland, 2016], 749 causing tectonic earthquakes on several regional faults (Figure 11b). These earthquakes 750 preferentially occur to the north of Sabancaya [Figure 1, and Figure 1 of Jay et al., 2015], 751 closer to the source of the stress perturbation (the deep inflation source, Figure 4). Defor-752 mation from the mafic intrusion begins to be detectable via InSAR (Figure 10a). We note 753 that the intrusion rate prior to 2013 was likely low enough to be undetectable with the 754 limited InSAR data available during this time period (Figure 10a). A layer of mafic an-755 desite forms at the base of the shallow storage zone [mafic enclaves in tephra from 1990-756 1998 eruption, Gerbe and Thouret, 2004]. The heat and magmatic gasses from the unde-757 gassed mafic magma [e.g., Edmonds et al., 2001, 2019] drive convection in the conduit of 758 Sabancaya [Moussallam et al., 2017], leading to a steady hydrothermal plume and phreatic 759 eruption, consistent with sustained high temperatures between 2012 and 2016 (Figure 10). 760

Finally, disruption and dispersion of the mafic andesite layer in the magma reservoir lead to higher intensity ash rich eruptions [Figure 11c of this study, *Gerbe and Thouret*, 2004]. Static stress from the now larger intrusion drives long-lived creep, in agreement
with this study's InSAR observations of long-term aseismic slip (Figure 8) and static
stress calculations(Figure S9). The sustained elevated heat flow eventually reactivates hydrothermal activity at Hualca Hualca [new thermal anomaly at Hualca Hualca in 2017,
Figure 10 of this study, *Macedo*, 2018], leading to higher pore pressures and slip on faults
in the hydrothermally active areas [Figures 3 and 9 of this study, *Macedo*, 2018].

This conceptual model pulls together evidence from InSAR, gas and thermal monitoring, seismic records, and petrology to explain two puzzling features of volcanic activity at Sabancaya: the inflation source that is offset from the eruption in both time and space, and the strong tectonic seismicity observed during eruptive periods. A mafic intrusion at depth, centered beneath Hualca Hualca, with a more "closed" behavior [e.g., *Chaussard et al.*, 2013] that perturbs a shallow, more "open" magma reservoir explains why eruptions at Sabancaya are accompanied by offset inflation that does not directly correspond to changes in eruptive activity at the surface.

#### 777 7 Conclusion

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We present an updated time series of deformation at Sabancaya volcano that captures deformation from volcanic inflation, two earthquakes, and fault creep, with the following key results:

- 7811. InSAR-derived surface deformation times series data reveal a broad area of uplift782north of Sabancaya with ongoing deformation from approximately 2013 through7832019. The best fit model for the uplift is a spherical inflation source centered 7 km784NNW of Sabancaya with a depth of 13-14 km and a volume change rate of 0.04 to7850.05 km³ yr<sup>-1</sup>.
- The best fit source models for surface deformation from two earthquakes north of
   Sabancaya in January and April of 2017 are NW striking normal faults dipping to
   the NE, similar to mapped tectonic faults in the area.
- Our surface deformation times series reveal aseismic creep on three faults NW of
   Sabancaya. Of these faults, the Mojopampa fault exhibits long-lived post-seismic
   creep lasting for at least six years.
- 4. We find deformation from triggered slip on regional tectonic faults in response to large earthquakes in 1998 and 2013 in interferograms spanning these events.
  - 5. Coulomb static stress changes from the modeled inflation source at Sabancaya are positive for the long-lived creeping fault and the two 2017 earthquakes.

We conclude that the deep seated inflation beneath Hualca Hualca is evidence of a laterally and vertically complex magma plumbing system beneath Sabancaya and Hualca Hualca. We argue that the deep seated inflation observed at Hualca Hualca represents mafic recharge of the system at depth, as in the model proposed by *Gerbe and Thouret* [2004]. We further speculate that these mafic intrusions at depth destabilize the magmatic plumbing system, pushing it towards eruption.

This study represents a semi-quantitative test of the "distal VTs" framework for understanding precursory seismic activity as put forward by *White and McCausland* [2016]. We argue that the key conditions for generating large magnitude seismicity in volcanic areas are significant magmatic inflation, extensive hydrothermal activity, and offset uplift beneath an area of low heat flow that favors the formation of larger faults.

InSAR observations spanning nearly three decades, and ground and satellite observations of hydrothermal activity, are key for our conceptual model of the 2013-2019 eruption at Sabancaya. InSAR-observed triggered slip in response to large earthquakes, and satellite and ground observations of extensive hydrothermal activity around Sabancaya, support our argument for a highly fractured, fluid saturated crust perpetually at a critical state of stress

in the region around Sabancaya. In our model, the stress perturbation from a magma in-812 trusion at 13-14 km depth, first inferred via satellite thermal and degassing anomalies in 813 2011-2012 and later detectable via InSAR in 2013, triggers seismicity on regional tectonic 814 faults in 2013 via a fluid pressure pulse. As the intrusion continues from 2013 through 815 2019, high regional fluid pore pressures, evident through satellite and ground observations 816 in 2017 of the reinvigorated hydrothermal system at Hualca Hualca, also facilitate creep 817 driven by static stress transfer from the deep inflation source. Static stress transfer from 818 the ongoing intrusion is also sufficient to promote additional seismogenic slip on faults 819

north of Hualca Hualca.

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## **Supporting Information for**

## "Volcano-tectonic interactions at Sabancaya volcano, Peru: Eruptions, magmatic inflation, moderate earthquakes, and fault creep"

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## Contents

- 1. Figures S1 to S10
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## Introduction

This supplemental material provides additional information regarding the progression of eruptive activity at Sabancaya, InSAR modeling, additional interferograms, and seismicity in the central volcanic zone. We provide 10 figures and 2 tables.

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**Figure S1.** Earthquakes within 50 km of Sabancaya and less than 30 km depth from 1990 through 2019, from the NEIC Earthquake Database. Note that there are no earthquakes matching these constraints from 1950 to 1990 in the NEIC catalog. Magnitudes are a mix of types, all plotted together here for simplicity.





**Figure S2.** Temperature and area of ASTER thermal anomalies for Sabancaya and Hualca Hualca from 2003 to 2018. Temperature is the temperature above background of the hottest pixel, and area is the total sum of the anomalous pixel areas. The data for Sabancaya was previous published in *Reath et al.* [2019a] and *Reath et al.* [2019b].



**Figure S3.** ASTER image from of thermal anomalies at Hualca Hualca acquired on May 17, 2018 at 03:25:22 UTC. Three thermal anomalies are marked by black ellipses, with pixels above background outlined in white. Regions with high temperatures that are not indicated as anomalies associated with topography or surface composition, see *Reath et al.* [2019b] for more detail on anomaly detection.



**Figure S4.** Observations of Sabancaya plume height and emission type from 2014 to 2018 derived from INGEMMET webcam monitoring. Plume heights are calculated based on known reference locations in the webcam images, and emission types are classified by the color of the plume. Data originally published in *Machacca Puma et al.* [2018].





**Figure S5.** Prolate ellipsoidal source [*Yang et al.*, 1988, with corrections from *Newman et al.* [2006]] modeling results for inflation source, using rate maps from ascending and descending tracks for S-1 spanning 2014-2019. Top row is original data (full resolution), second row is forward modeled data, third row is the residual between the data and modeled data. The final row shows NS (orange) and EW profiles (blue) of data (dots) and forward modeled data (lines). Profiles are plotted as black lines in the first three rows. The deformation signals from the 2017 earthquakes (black rectangeles in e and f) were not removed prior to inversion, as tests showed that removing them had no significant effect on the results for modeling the spherical source.



**Figure S6.** Google Earth overlay of ERS interferogram spanning 18 October 1996 to 27 September 2002 (Orbits 38885-07823), showing the 1998 Cabanaconde Earthquake (see also Fig. S7 of *Jay et al.* [2015]). Faults with triggered slip are marked with black lines.



Figure S7. Google Earth overlay of TSX interferogram spanning 12 May 2012 to 17 July 2013 (Orbits 17047-16045), showing the 17 July 2013  $M_W$  5.9 earthquake. The  $M_W$  5.9 fault and the other faults with triggered slip are marked with black lines.



**Figure S8.** Modeling results for Creeping Fault 1 (Mojopampa Fault), using a surface deformation rate map calculated from the TSX time series (ascending) spanning November 2013 to December 2016. a) Full resolution data used for inversion. b) Predicted deformation from best-fit model geometry and slip. Modeled fault indicated with black box. c) Residual between data and predicted deformation. d) Profiles of range change for north-south profiles across the fault. Gray dots are original data (gray line in a), blue line is predicted data (blue line in b), and red line is the residual red line in c). Modeled fault plane indicated with black box in a) and b).



**Figure S9.** Coulomb static stress change for the 10 Jan. 2017 fault plane (a), 30 Apr. 2017 fault plane (b), and Creeping Fault 1 (c). Black triangles mark location of volcanoes, and red circle marks the location of the modeled spherical inflation source.



Figure S10. Map of log of cumulative seismic moment released from crustal earthquakes (depth < 30 km) 1800 to 2019. Earthquakes are taken from the NEIC Earthquake catalog, excluding earthquakes of  $M_W$  < 4.5 to minimize bias from uneven seismic station coverage in older epochs. Location of Sabancaya marked with large blue triangle, Holocene volcanoes with smaller black triangles [*Global Volcanism Program*, 2013]. Slab contours from *Hayes et al.* [2012].

## Table S1

| Satellite               | Tracks                   | Num. Images | Dates Covered                      |
|-------------------------|--------------------------|-------------|------------------------------------|
| ERS-1/2 <sup>1</sup>    | 454 (Desc.)              | 17          | 2 June 1992 to 5 April 2002        |
| Envisat <sup>2</sup>    | 454 (Desc.)              | 13          | 6 December 2002 to 19 March 2010   |
| COSMO-SkyMed            | $N/A^{3}(Asc.)$          | 96          | 9 March 2013 to 30 July 2014       |
| TerraSAR-X <sup>4</sup> | 43 (Asc., stripmap mode) | 30          | 12 May 2013 to 22 December 2016    |
| Sentinel 1 A/B          | 25 (Desc.), 47 (Asc.)    | 70, 77      | 19 October 2014 to 4 February 2019 |
|                         |                          |             |                                    |

<sup>1</sup> Data originally published in *Pritchard and Simons* [2004]

<sup>2</sup> Data originally published in Jay [2014] and Jay et al. [2015]

<sup>3</sup> CSK does not provide track/frame information.

<sup>4</sup> Data originally published in *Reath et al.* [2019a]
 Table S1. Information on SAR satellite data used in this study

## Table S2

#### **Interferogram Dates**

| Earthquake       | Ascending (T47)                             | Descending (T25)                 |
|------------------|---|----------------------------------|
| January 10, 2017 | 16 Dec. 2016 to 19 Jan. 2017                | 6 Jan. 2017 to 30 Jan. 2017      |
| April 30, 2017   | 8 Mar. 2017 to 19 May 2017                  | N/A                              |
| 1                | Cable S2.         Dates of interferograms u | sed in 2017 earthquake modeling. |

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