# A Post-2013 Drop-off in Total Ozone at Half of Global Ozonesonde Stations: ECC Instrument Artifacts?

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#### Abstract

An international effort to improve ozonesonde data quality and to reevaluate historical records has made significant improvements in the accuracy of global network data. However, between 2014 and 2016, ozonesonde total column ozone (TCO; O3) at 14 of 37 regularly reporting stations exhibited a sudden drop-off relative to satellite measurements. The ozonesonde TCO drop is 3-7 % compared to satellite and ground-based TCO, and 5-10 % or more compared to satellite stratospheric O3 profiles, compromising the use of recent data for trends, although they remain reliable for other uses. Hardware changes in the ozonesonde instrument are likely a major factor in the O3 drop-off, but no single property of the ozonesonde explains the findings. The bias remains in recent data. Research to understand the drop-off is in progress; this letter is intended as a caution to users of the data. Our findings underscore the importance of regular ozonesonde data evaluation.

- 1 A Post-2013 Drop-off in Total Ozone at a Third of Global Ozonesonde Stations: ECC Instrument
- 2 Artifacts?
- 3 Ryan M. Stauffer<sup>1,2</sup>, Anne M. Thompson<sup>2</sup>, Debra E. Kollonige<sup>3,2</sup>, Jacquelyn C. Witte<sup>2\*</sup>
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- 24
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- 26 Key Points:
- We report a drop in ozonesonde total column  $O_3$  of 3-7 % relative to independent
- 28 measurements at a third of sites beginning around 2014
- Comparisons with satellite stratospheric O<sub>3</sub> profiles show the artifact loss peaking at 5-
- 30 10 % or more in the middle and upper stratosphere

• Changes in the ozonesonde instrument are apparently associated with the drop-off, but no single factor appears to be the cause

33 Keywords: ECC Ozonesonde, Aura, OMI, MLS, Suomi-NPP, OMPS

34 Index Terms: 0394, 0365, 9815

#### 35 Abstract

An international effort to improve ozonesonde data quality and to reevaluate historical 36 records has made significant improvements in the accuracy of global network data. However, 37 38 between 2014 and 2016, ozonesonde total column ozone (TCO; O<sub>3</sub>) at 14 of 37 regularly reporting stations exhibited a sudden drop-off relative to satellite measurements. The ozonesonde 39 TCO drop is 3-7 % compared to satellite and ground-based TCO, and 5-10 % or more compared 40 to satellite stratospheric O<sub>3</sub> profiles, compromising the use of recent data for trends, although 41 they remain reliable for other uses. Hardware changes in the ozonesonde instrument are likely a 42 major factor in the O<sub>3</sub> drop-off, but no single property of the ozonesonde explains the findings. 43 The bias remains in recent data. Research to understand the drop-off is in progress; this letter is 44 intended as a caution to users of the data. Our findings underscore the importance of regular 45 ozonesonde data evaluation. 46

#### 47 Plain Language Summary

Balloon-borne ozonesondes provide accurate measurements of atmospheric ozone ( $O_3$ ) from the surface to above 30 km with high vertical resolution. Dozens of global stations have regularly launched ozonesondes for decades, and they provide vital information for improving O<sub>3</sub>-measuring satellite algorithms, tracking recovery of the stratospheric O<sub>3</sub> layer, and our understanding of surface to lower stratospheric O<sub>3</sub> changes in an evolving climate. We present the discovery of an apparent instrument artifact that has caused total column O<sub>3</sub> measurements

- from about a third of global stations to drop by 3-7 % starting in 2014-2016, limiting their
- suitability for calculating O<sub>3</sub> trends. Work is underway to solve the problem, but the exact cause
- of the drop is still unknown. This letter serves as a caution to the community of ozonesonde data
- 57 users.
- 58

### **1 Background: The Ozonesonde Instrument and Data Quality Assurance**

61	The electrochemical concentration cell (ECC) ozonesonde measures ozone (O <sub>3</sub> ) profiles
62	from the surface through the mid-stratosphere (~5 hPa). Ozone is measured via a chemical
63	reaction from bubbling ambient O3 into a two-chamber electrochemical cell containing a
64	potassium iodide (KI) solution (sensing solution type or SST, which refers to the solution KI and
65	pH buffer concentration; see Table 1). The ECC is launched on a weather balloon coupled to a
66	radiosonde that transmits O <sub>3</sub> partial pressure simultaneously with pressure, temperature,
67	humidity (PTU), and GPS-derived wind data to a ground station approximately once a second.
68	With a 20-30 s response time, the effective vertical resolution of the $O_3$ signal is ~150 m.
69	Because each ozonesonde is a new instrument that must be prepared before launch, it is
70	essential to standardize instrument preparation, operations, and the treatment of raw data. In the
71	past decade, a panel of researchers have engaged in both individual and collective tests of
72	instrumentation, meeting regularly to discuss quality assurance and to develop standard operating
73	procedures (SOP) in an activity designated Assessment of SOP for Ozonesondes (ASOPOS).
74	Current SOP were published in Smit and ASOPOS (2014). The main sources of instrument
75	variability are the instrument type (there are two major manufacturers of ECC instruments,
76	which we call "Type1" and "Type2"), the composition of the SST, conditioning protocol, and
77	post-processing; these parameters are given in the metadata for each record.
78	ASOPOS has also published guidelines for reprocessing sonde data records that may be
79	affected by deliberate or inadvertent ECC preparation changes. For example, the ASOPOS
80	recommendation is to deploy each ECC type with a different SST, even though the two types
81	operate on the exact same measurement principle. If a station changes only one of these

variables, the resulting step change in O<sub>3</sub> is considered an instrumental artifact. Reprocessing is

82

83	carried out to compensate for such changes, and the data are said to be homogenized (Smit and
84	ASOPOS, 2012; Deshler et al., 2017). Both the SOP and reprocessing guidelines are based on
85	laboratory (Smit et al., 2007) and field tests (Deshler et al., 2008) in which different sensors are
86	compared with a standard $O_3$ reference photometer. In the lab, tests are made with 2-4 ECC
87	sensors operating in a closed chamber that simulates a standard profile over a 2-hr "flight." Field
88	tests compare instruments on a single gondola launched with a balloon capable of lifting the
89	payload to ~30 km.
90	During the period 2013 through 2017, data from more than 25 ozonesonde stations were
91	reprocessed (Tarasick et al., 2016; Van Malderen et al., 2016; Thompson et al., 2017; Witte
92	et al., 2017; Sterling et al., 2018; Witte et al., 2019). In general, the reprocessed data show
93	significant improvements in comparisons with independent total column ozone (TCO)
94	measurements. Reprocessed data at 12 of 14 SHADOZ stations agree to within 2 % of satellite
95	and ground-based TCO measurements (Thompson et al., 2017), compared to offsets > 8 % at
96	half of the stations for the period prior to 2005 in Thompson et al. (2007). Improvements in
97	tropical mid-stratospheric O3 values also led to better agreement with the Aura Microwave Limb
98	Sounder (MLS) profiles (2005-2017; Witte et al., 2017).
00	In spite of the reprocessing successes, the homogenized data for two tropical stations

In spite of the reprocessing successes, the homogenized data for two tropical stations (Costa Rica and Hilo) displayed sharp 5 % drop-offs in TCO relative to satellite measurements after 2014; at Hilo a simultaneous discrepancy appeared relative to the Mauna Loa Dobson spectrometer (**Thompson et al., 2017; Sterling et al., 2018**). The drop-off was also observed in the original datasets, ruling out the reprocessing as the cause. In contrast, NOAA's Boulder, CO, site, which used the same instrumentation and SST, did not appear to be similarly affected.

Hypothesized causes for these findings, e.g., hardware changes in the 2011-2016 period (the
company manufacturing Type1 ECCs changed ownership twice) or the non-standard SST
supplied by NOAA to the above-mentioned sites, were tested along with other variables in a new
series of chamber tests (JOSIE; Jülich Ozonesonde Intercomparison Experiments) in late 2017.
Initial results from the 80 chamber profiles in JOSIE-SHADOZ could not explain the drop-off
behavior (Thompson et al., 2019), and the cause remained unsolved.

Because ozonesonde profiles are relied upon as the foundation for satellite  $O_3$  retrievals 111 and validation, we re-examine the agreement among sonde, satellite, and ground-based TCO 112 with two more years of data from the SHADOZ and NOAA networks to determine if the drop-113 offs reported in Thompson et al. (2017) and Sterling et al. (2018) persist. We also extend these 114 analyses to the global network during the Aura satellite era of October 2004 to present. We find 115 that over a third of these 37 stations exhibit an instrumental artifact drop-off in TCO after 2013, 116 caused by a decline in stratospheric O<sub>3</sub> measured by the ECC instruments. Instrumental factors 117 118 are investigated but no definitive explanation for these findings has yet emerged. In Section 2 data sources and statistical methods are described. Section 3 describes results and potential 119 changes to the ECC instrument and factors that require further investigation. Section 4 is a 120 121 summary and recommendations for use of data affected by the ECC O<sub>3</sub> drop-off.

122

#### 123 **2 Data and Methods**

124

#### 125 **2.1 ECC Ozonesonde Data**

127	We selected a total of 37 global ECC ozonesonde sites based on the availability of
128	consistent and up-to-date records during the Aura period from October 2004 to present (i.e. data
129	available within the last few years; an exception is Watukosek which ended in October 2013) to
130	analyze the recent drop in ECC TCO measurements. Currently, 28 of the sites launch Type1
131	ECCs, and nine launch Type2. Some sites have previously changed ECC types, SST, or both, so
132	the most recent metadata are listed in Table 1. The primary evaluation of ozonesonde data is
133	with TCO and stratospheric O <sub>3</sub> measurements from NASA's Aura satellite; sample numbers
134	listed in <b>Table 1</b> are from the Aura period only. The ozonesonde data are not normalized to a
135	TCO measurement or an outside data source. We calculate ECC TCO amounts by integrating the
136	ozonesonde $O_3$ up to 10 hPa or balloon burst, whichever is greater in pressure, and add the
137	McPeters and Labow (2012) climatological residual O <sub>3</sub> to that amount. We do not calculate the
138	TCO amount for ozonesondes that fail to reach 30 hPa.
139	
140	2.2 Satellite and Ground-Based Data
141	
142	Satellite TCO measurements are from the Aura Ozone Monitoring Instrument (OMI v8.5;
143	McPeters et al., 2008; McPeters et al., 2015) and the Suomi-NPP Ozone Mapping Profiler
144	Suite (OMPS v2; McPeters et al., 2019). To identify "coincident" satellite overpasses, we limit
145	Level 2 TCO data to within 8 hours and 100 km of the ozonesonde measurement. Sensitivity
146	tests on our screening of coincident satellite TCO data by limiting comparisons based on cloud

148 (less than 1 % change in overall OMI/ECC TCO agreement). Stratospheric O<sub>3</sub> profile

147

149 measurements are from Aura MLS (Froidevaux et al., 2008). We use MLS v4.2 Level 2 O<sub>3</sub> data

fraction or a smaller overpass distance to the ECC site had negligible effects on the statistics

150	averaged within one day and $5^{\circ}$ latitude and $8^{\circ}$ longitude of the ozonesonde launch. MLS data
151	are screened according to the v4.2 Level 2 MLS Data Quality document (Livesey et al., 2018).
152	The OMI and OMPS TCO measurements compare well with the series of Solar
153	Backscatter Ultraviolet instruments and are suitable for TCO trend analysis (McPeters et al.,
154	<b>2015; 2019</b> ). Aura MLS $O_3$ measurements in the stratosphere exhibit little drift – the v3.3
155	measurements are stable to within 1.5 % per decade (Hubert et al. 2016; it is presumed the v4.2
156	data used here have similar stability). Thus, these three satellite instruments are suitable to detect
157	significant changes in the ECC ozonesonde network. Our primary ECC comparisons are with
158	OMI and MLS because of their > 15 year record. OMPS reinforces the OMI and MLS results.
159	Twenty-three of the 37 ECC sites have a co-located ground-based TCO instrument
160	(Table 1). Most sites have a Brewer or Dobson spectrophotometer (or both at Hilo and Tateno);
161	Réunion uses a SAOZ UV-visible spectrometer. ECC TCO comparisons with all three ground-
162	based instrument types are found in Thompson et al. (2017).
163	
164	<b>2.3 Defining the ECC O<sub>3</sub> Drop-off: Example Sites</b>
165	
166	To characterize the $O_3$ drop-off, we separate the sites with unambiguous drops in TCO,
167	which we call "affected" sites, from those called "reference" sites. Affected sites are defined as
168	follows: At each site, the average difference between ECC and OMI TCO for 2004-2013 (nearly
169	a decade of measurements) is computed. A moving, 100-sample average of differences between
170	ECC and OMI TCO for the entire record is compared to the 2004-2013 value. If the moving
171	average falls more than 3 % below the 2004-2013 value, the site is identified as having a drop-
172	off at that date. The identified drop-off dates may occur a few months after a visual "breakpoint"

shire comparisons, out the roo sample moving average ensares
is sustained over many ozonesonde profiles and is not a
drop-off and maximum TCO drop relative to OMI are listed for
cample, <b>Figure 1a</b> displays a sudden drop-off relative to OMI at
The ECC TCO averaged 4.1 % higher than OMI from 2004-2013.
ge fell to $+1$ % in November 2014, and fell as low as -0.7 % in
n 4.7 % drop (Table 1).
ed at Hilo in March 2015 and at Costa Rica in December 2015
Rica exhibit maximum drop-offs of 4.0 and 6.2 % relative to
between ozonesonde and MLS stratospheric $O_3$ in the top panels
between ozonesonde and MLS stratospheric $O_3$ in the top panels in ECC $O_3$ relative to MLS is coincident with the TCO drop.
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<ul> <li>between ozonesonde and MLS stratospheric O<sub>3</sub> in the top panels</li> <li>in ECC O<sub>3</sub> relative to MLS is coincident with the TCO drop.</li> <li>C O<sub>3</sub> Drop-off</li> <li>&gt; 3 % TCO drop relative to OMI, we find that 14 of 37 sites are</li> <li>able 1 lists the affected sites in bold including the maximum TCO</li> </ul>
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196	Dates of the drop in TCO measurements range from January 2014 at San Cristóbal to
197	January 2017 at Edmonton. All but one (Natal) of the affected sites use Type1 ECCs. The
198	magnitude of the TCO drop-off varies considerably. The drop in TCO at Nairobi is a relatively
199	modest 3.2 %, whereas a change of 7.4 % is observed at Yarmouth. It appears that there are two
200	clusters of affected sites, in the tropics and in Canada, with most mid-latitude sites remaining
201	unaffected by a drop-off. In summary, there is inconsistency in TCO drop-off amount, and the
202	drop-off is not a universal problem.
203	Comparisons similar to Figure 1 for the remaining 34 sites in Table 1 are found in the
204	Supplementary Material in Figures S1a-k and S2a-w. We note that individual sites show
205	periods of high or low bias compared to OMI and MLS (e.g. Madrid's high bias for a portion of
206	2009; Figure S2h). However, our focus is on sudden drops in O <sub>3</sub> that persist for more than 2 or 3
207	years in the most recent record, because this appears to be a widespread pattern, affecting much
208	of the global network.
209	
210	<b>3.2</b> Comparisons with Aura MLS Stratospheric O <sub>3</sub>
211	
212	Closer comparison of ECC and MLS O <sub>3</sub> profiles in the stratosphere is warranted given
213	the coincidence between the ECC drop-off relative to OMI and OMPS TCO, and apparent ECC
214	drop-off relative to MLS $O_3$ in <b>Figure 1</b> . Figure 3a shows a composite of comparisons between
215	MLS and ECC ozonesonde stratospheric $O_3$ at the 14 affected sites before and after the identified
216	drop-off (dates in <b>Table 1</b> ). Prior to the drop-off at the 14 affected ECC sites, stratospheric $O_3$
217	biases compared to MLS follow the zero line in Figure 3a (blue colors). After the drop-off in
218	TCO, the ECC measurements shift 5-10 % lower relative to MLS (red colors), occasionally

219	reaching $> 20$ % lower than MLS above 10 hPa (the 25 <sup>th</sup> percentile value at the 6.81 hPa MLS
220	level is -20.3 %). Figure 3b and 3c show similar statistics for the reference Type1 and Type2
221	sites. The comparisons with MLS profiles are split into 2004-2013 and 2014-2019, near the time
222	when many affected sites exhibit the drop-off. Figure 3b and 3c show that there is no
223	comparable drop-off in stratospheric $O_3$ at the Type1 and Type2 reference sites. Figure 3a
224	indicates that the stratospheric $O_3$ drop-off is the major contributor to the TCO offsets with OMI
225	and OMPS. Time series of ECC comparisons with OMI TCO and MLS partial stratospheric
226	column $O_3$ in <b>Figure S3</b> demonstrate that the drop-off in ECC stratospheric $O_3$ exactly coincides
227	with the TCO drop. At this point, a similar drop-off in tropospheric O <sub>3</sub> has not been detected and
228	is presumed to be insignificant. Exceptions are two stations, Costa Rica and Hilo, which may be
229	reading low in recent years in the troposphere due to occasional volcanic SO <sub>2</sub> interference (e.g.
230	Morris et al., 2010). That is beyond the scope of our study.
231	
232	<b>3.3</b> Potential ECC Instrument Factors in the O <sub>3</sub> Drop-off
233	
234	The ECC O <sub>3</sub> drop-off has been quantified against satellite TCO and satellite O <sub>3</sub> profiles
235	(Thompson et al., 2017; Sterling et al., 2018; ground-based comparisons to follow in Section
236	3.5). Thus, we rule out geophysical factors as the only cause; the drop-off seems to be an
237	instrument artifact, so we consider potential instrumental contributions. Each ECC is built from a
238	number of components that may change over time as the manufacturer or manufacturers'
239	suppliers change. For example, the Type1 instrument changed manufacturer twice between 2011
240	and 2016. Components that could change and affect the ECC measurements include the

the constant-speed motor, batteries, and the platinum electrodes. A 3-7 % change of response could be caused by loss of O<sub>3</sub> or of molecular iodine to the ECC chamber walls, losses through the internal resistance of the cell, or in-flight changes in the pump and motor efficiency with pressure. The sensing solution composition and the radiosonde model (and interface) are additional considerations (Section 3.6). The ECC serial number is used to evaluate potential instrument/component changes over time.

Figure 4 shows ECC TCO offsets with OMI and OMPS separated by the 13 affected (red 248 on Figure 4) and 15 reference (blue on Figure 4) Type1 sites. Median, 25<sup>th</sup> and 75<sup>th</sup> percentile 249 statistics are shown for every 1000 serial numbers (e.g. 24K = 24000-24999). The affected sites 250 show a low bias for 25K and higher serial numbers, abruptly dropping from a median TCO bias 251 compared to OMI and OMPS of +1.6 % (24K), to -2.6 % (25K). The inconsistency in timing of 252 the ECC drop-off at affected sites is partly due to when the site begins launching serial numbers 253 25K and above. The reference sites show no such drop, and, in fact, no recent serial number set 254 255 since 24K has a median bias larger than -1.5 % (30K) for the 12 reference sites. The affected sites show significant negative biases for all serial numbers from 25K to 35K, with a maximum 256 median low bias of -5.4 % for 31K serial numbers. Figure 4 shows the history of good 257 258 ECC/satellite agreement at affected Type1 sites throughout the Aura record since October 2004 and prior to the 25K serial numbers, although there are indications of some low-biased 259 260 measurements from serial numbers 20-22K. The largest deviation for reference Type1 sites is the 261 +1.7 % median bias for 16K serial numbers (Figure 4). In summary, before the TCO drop-off at the affected sites, the ECC TCO comparisons with satellite measurements averaged within 1 or 2 262 %, and comparisons at reference sites remain, on average, within 1 or 2 %. 263

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264	Figure 4 shows that reference and affected Type1 sites were both launching ECCs with
265	similar serial numbers, so it is puzzling why they show such large discrepancies in their
266	comparisons with satellite TCO after serial number 25K. This commingling of good and poorly-
267	performing Type1 serial numbers, which appear to be distinguishable only by site, tells us that
268	the ECC O <sub>3</sub> drop-off is not due to manufacturing issues for the Type1 ECC alone and that at least
269	one additional secondary factor must play a role in its occurrence.
270	
271	3.4 Stations with Type2 ECCs
272	
273	We examined nine Type2 ECC ozonesonde sites for a drop-off and sudden low TCO
274	bias. Statistics of the TCO offset between reference Type2 ECCs and OMI and OMPS are also
275	shown on Figure 4 in grey. Note that the similar serial numbers between Type1 and Type2
276	ECCs are a coincidence. The Type2 comparisons show no abrupt downward shift in agreement
277	with satellite TCO as seen at the affected Type1 sites in Figure 4. An exception is at Natal
278	(Figure S1h).
279	
280	3.5 ECC Comparisons with Ground-Based TCO Measurements
281	
282	Of the 37 sites analyzed here, 23 have ground-based TCO measurements to compare
283	against the ECCs (Table 1). Example time series of the comparisons between ECCs and the
284	Brewer at Churchill, and the Brewer and Dobson at Hilo are shown in Figure S4. The ground-
285	based TCO measurements near Hilo are taken at Mauna Loa (3405 m), which explains why the
286	ECC TCO is higher than the Brewer and Dobson prior to the March 2015 drop-off. Statistics

287	similar to Figure 4 for the ground-based TCO comparisons are shown in Figure S5. The ECC
288	TCO drop-off relative to the ground-based instruments at affected Type1 sites is ~3-4 % after >
289	25K serial numbers in Figure S5. The ground-based comparisons with reference Type1 and
290	Type2 sites are quite variable, and the difference in behavior of affected Type1 ECCs is not as
291	apparent in the ground-based comparisons as it is in the satellite TCO comparisons. This is
292	because several affected sites like Costa Rica, Ascension, Kelowna, and Yarmouth do not have
293	ground-based TCO instruments. Spectrometer data at some affected Canadian sites are also
294	limited by low winter sunlight.

- 295
- **3.6 Possible Sources of the Drop-Off**
- 297

Around 2010-2012, most of the affected ozonesonde sites examined here switched from 298 the Vaisala RS-80 to RS-92 radiosonde, or from RS-80 to the InterMet iMet radiosonde. The 299 300 radiosonde pressure measurements affect the ECC  $O_3$  calculation and altitude registration, so a change from non-GPS RS-80 to GPS-enabled RS-92 and iMet radiosondes can lead to pressure 301 measurement changes, which translate to O<sub>3</sub> changes (Steinbrecht et al., 2008; Stauffer et al., 302 303 2014; Inai et al., 2015). Some sites (e.g. Lauder in 2015) switched radiosondes again from RS-92 to the RS-41. An example of an RS-80 to iMet transition at Hilo is shown in Figure S6. There 304 305 is a shift in mid-stratospheric pressure and temperature measurements with the transition to iMet 306 in 2011-2012, but this change occurs more than two years before the Hilo low O<sub>3</sub> bias in March 2015. Similar mismatches between radiosonde changes and the ECC drop-off are found at other 307 sites. Costa Rica switched from RS-80 to iMet radiosondes in 2012-2013, but the drop-off did 308 309 not occur until December 2015 (Thompson et al., 2017). Nairobi switched from RS-80 to RS-92

310	radiosondes in 2010, but there was no drop-off until July 2016. We therefore rule out radiosonde
311	changes as the primary cause of the ECC O <sub>3</sub> drop-off.
312	The drop-off is found at sites that use a variety of SSTs (Table 1) and three different
313	radiosonde types (RS-92 or 41 and iMet). Sites that are seemingly unaffected, e.g. Trinidad
314	Head, Boulder, and Huntsville, all use the same 1.0 % KI with 1/10 <sup>th</sup> buffer SST and iMet
315	radiosonde combination as Hilo and Costa Rica (Figure 1). We have not fully explored the
316	effects of different SSTs on the $O_3$ drop-off, but given that all three SSTs currently in use are
317	affected (Table 1), it does not appear that SST is the main factor.
318	The ASOPOS 2.0 panel is performing additional experiments and analyses to identify
319	possible sources of the O <sub>3</sub> drop-off. Tests include examining the different radiosonde interface
320	boards and batteries used on Type1 ECC sondes, reviewing site ECC preparation procedures,
321	and experiments with older Type1 ECCs manufactured before the drop-off began. Possible
322	changes in behavior of the pump, pump motor, or batteries at low stratospheric pressures and
323	temperatures, are obvious candidate factors and have been considered, but preliminary results
324	have not identified significant differences. Both Type1 and Type2 ozonesondes, four different
325	sensing SSTs, and varying preparation procedures were tested in the 2017 JOSIE-SHADOZ
326	experiment (Thompson et al., 2019), and a preliminary analysis did not reveal any signs of the
327	drop-off in those data. In-depth analysis of the 80 profiles from JOSIE-SHADOZ should help
328	identify the causes and magnitudes of contributing factors like SST to the ECC O <sub>3</sub> drop-off.
329	
330	4 Summary and Recommendations for Affected Data

332	Since 2014-2016, we have observed a drop-off in ECC ozonesonde TCO and
333	stratospheric O <sub>3</sub> at 14 ECC global ozonesonde sites, 13 of which launch Type1 ECC
334	ozonesondes. The TCO drop is 3-7 % compared to OMI TCO measurements, and the
335	stratospheric O <sub>3</sub> drop can be greater than 10 % compared to MLS O <sub>3</sub> profiles in the mid-
336	stratosphere. The low bias is notably absent at half of the 28 Type1 sites that we examined.
337	Except for Natal, there is no significant drop-off or change in bias for Type2 ECC ozonesondes
338	during similar years. Because the drop-off varies greatly from site-to-site, it is likely that it is
339	influenced by station-specific procedures yet to be identified. The ECC $O_3$ drop-off has more
340	than one single cause (i.e. both instrument- and station-specific influences).
341	Affected data archives such as SHADOZ (https://tropo.gsfc.nasa.gov/shadoz/), the World
342	Ozone and Ultraviolet Data Centre (WOUDC.org), and the Network for the Detection of
343	Atmospheric Composition Change (NDACC; ndaccdemo.org) are posting caveats and flagging
344	affected profiles. Ongoing research is directed at identifying the cause of the low O <sub>3</sub> bias.
345	We emphasize that all reprocessed data are expected to be more accurate than
346	unhomogenized data. For affected sites, data before the drop-off are highly reliable and even
347	affected data are accurate for satellite validation and algorithms, process studies, and model
348	evaluation because the apparent drop-off averages less than 5 %. However, the affected data are
349	judged not appropriate for calculations of TCO or stratospheric trends or satellite drift.
350	

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- Thompson, PI). SHADOZ v6.0 ozonesonde data were downloaded from the NASA/GSFC
- archive at https://tropo.gsfc.nasa.gov/shadoz/. Canadian reprocessed ozonesonde data were
- 356 provided by co-author D. Tarasick, and reprocessed Uccle ozonesonde data were provided by co-
- author R. Van Malderen. NOAA ozonesonde data (Boulder, Huntsville, and Trinidad Head) were
- downloaded at <u>ftp://aftp.cmdl.noaa.gov/data/ozwv/Ozonesonde/</u>. All other ozonesonde data and
- all ground-based TCO data are available at the World Ozone and Ultraviolet Data Centre
- 360 (WOUDC; <u>https://woudc.org/data/explore.php?lang=en</u>). Aura MLS v4.2 Level 2 O<sub>3</sub> overpass
- 361 data were downloaded at
- 362 <u>https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/MLS/V04/L2GPOVP/O3/</u>. OMI and OMPS
- 363 Level 2 TCO overpass data were downloaded at
- 364 <u>https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/OMI/V03/L2OVP/OMTO3/</u> and
- 365 <u>https://avdc.gsfc.nasa.gov/pub/data/satellite/Suomi\_NPP/L2OVP/NMTO3-L2/</u>.

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- Table 1. ECC type, total number of samples, latitude, longitude, Solution type (SST) (KI
- 459 concentration, buffer strength), the 25<sup>th</sup> percentile, mean, and 75<sup>th</sup> percentile TCO differences
- 460 with OMI (October 2004-present), date of drop-off and maximum amount of drop-off in the 100-
- sample moving mean (see Figure 1) if applicable, and ground-based instrument if applicable are
- 462 listed. Sites with a > 3 % drop in TCO relative to OMI (Section 2.3) are in bold. Type1 is EnSci
- 463 (Westminster, CO, USA) and Type2 is Science Pump Corporation (SPC; Camden, NJ, USA).
- Note that Japanese stations Sapporo, Tateno, and Naha launched carbon-iodine ozonesondes
- 465 prior to 2008-2009, and those are not considered here.

						OMI 25th	<u>OMI µ</u>	<u>OMI 75th</u>		TCO Drop	
<u>Site</u>	ECC	<u>N</u>	<u>Lat (°)</u>	<u>Lon (°)</u>	<u>KI SST</u>	<u>(%)</u>	<u>(%)</u>	<u>(%)</u>	Drop-Off	<u>(%)</u>	Ground TCO
Alert	Type1	645	82.49	-62.34	1.0%, Full	-0.6	1.0	3.1	02/2016	-4.3	Brewer
Eureka	Type1	922	79.98	-85.94	1.0%, Full	-0.4	1.9	4.5	04/2016	-4.2	Brewer
Resolute	Type1	540	74.7	-94.96	1.0%, Full	-4.8	-2.2	0.6	N/A	N/A	Brewer
Churchill	Type1	417	58.74	-94.07	1.0%, Full	-1.1	0.7	3.3	11/2016	-5.5	Brewer
Edmonton	Type1	674	53.54	-114.1	1.0%, Full	-2.9	-0.4	2.9	01/2017	-3.9	Brewer
Goose Bay	Type1	663	53.31	-60.36	1.0%, Full	-1.9	0.7	3.4	N/A	N/A	Brewer
De Bilt	Type2	736	52.1	5.18	1.0%, Full	-0.6	1.3	2.9	N/A	N/A	Brewer
Uccle	Type1	2140	50.8	4.35	0.5%, Half	-1.5	0.0	2.0	N/A*	N/A	Brewer
Kelowna	Type1	664	49.93	-119.4	1.0%, Full	1.4	3.4	5.9	11/2014	-4.7	N/A
Payerne	Type1	2191	46.49	6.57	0.5%, Half	-2.5	-0.7	0.9	N/A*	N/A	N/A
Yarmouth	Type1	616	43.87	-66.11	1.0%, Full	-0.2	2.4	5.3	02/2015	-7.4	N/A
Sapporo	Type1	373	43.06	141.33	0.5%, Half	1.0	2.7	4.4	N/A	N/A	Dobson
Trinidad Head	Type1	772	40.8	-124.16	1.0%, 1/10	-2.1	-0.2	1.6	N/A	N/A	N/A
Madrid	Type2	680	40.47	-3.58	1.0%, Full	-2.1	-0.3	1.6	N/A	N/A	Brewer
Boulder	Type1	816	40	-105.25	1.0%, 1/10	-2.1	-0.3	2.0	N/A	N/A	Dobson
Wallops Island	Type2	773	37.93	-75.48	1.0%, Full	-2.5	-0.3	1.8	N/A	N/A	Dobson
Tateno	Type1	430	36.06	140.13	0.5%, Half	0.8	2.6	4.3	N/A	N/A	Dobson, Brewer
Huntsville	Type1	759	34.72	-86.64	1.0%, 1/10	-1.6	0.0	1.9	N/A	N/A	N/A
Naha	Type1	403	26.21	127.69	0.5%, Half	0.2	1.7	3.5	N/A	N/A	Dobson
Hong Kong	Type2	690	22.31	114.17	1.0%, Full	-7.0	-4.6	-2.1	N/A	N/A	N/A
Hanoi	Type1	264	21.01	105.8	0.5%, Half	-4.1	-1.8	0.5	N/A	N/A	N/A
Hilo	Type1	711	19.43	-155.04	1.0%, 1/10	-3.7	-1.9	0.2	03/2015	-4.0	Dobson, Brewer
Costa Rica	Type1	605	9.94	-84.04	1.0%, 1/10	-3.1	-0.8	1.9	12/2015	-6.2	N/A
Paramaribo	Type2	517	5.8	-55.21	1.0%, Full	-5.0	-2.5	-0.1	N/A	N/A	Brewer
Kuala Lumpur	Type1	264	2.73	101.27	0.5%, Half	-7.3	-4.5	-1.3	N/A	N/A	N/A
San Cristobal	Type1	168	-0.92	-89.62	1.0%, 1/10	-4.9	-0.8	2.4	01/2014	-4.7	N/A
Nairobi	Type1	596	-1.27	36.8	0.5%, Half	-3.7	-2.1	-0.4	07/2016	-3.2	N/A
Natal	Type2	400	-5.42	-35.38	1.0%, Full	-3.6	-1.5	1.0	04/2016	-3.5	Dobson
Watukosek	Type1	115	-7.5	112.6	2.0%, None	-3.4	-1.9	0.4	end 10/2013	N/A	N/A
Ascension	Type1	394	-7.58	-14.24	0.5%, Half	-6.0	-2.8	0.4	03/2016	-4.2	N/A
Samoa	Type1	474	-14.23	-170.56	1.0%, 1/10	-3.0	-1.2	0.9	07/2016	-3.9	Dobson
Fiji	Type1	200	-18.13	178.4	1.0%, 1/10	-3.1	-0.5	2.2	05/2015	-4.8	N/A
Réunion	Type1	449	-21.06	55.48	0.5%, Half	-2.0	0.3	2.4	N/A	N/A	SAOZ
Irene	Type2	212	-25.9	28.22	1.0%, Full	-1.3	1.3	4.3	N/A	N/A	Dobson

Broadmeadows	Type2	667	-37.69	144.95	1.0%, Full	-0.9	0.6	2.7	N/A	N/A	Dobson
Lauder	Type1	705	-45	169.68	0.5%, Half	-3.3	-1.3	0.8	N/A	N/A	Dobson
Macquarie	Type2	675	-54.5	158.95	1.0%, Full	-4.6	-2.4	0.1	N/A	N/A	Dobson
466											



- Figure 1. Time series of comparisons at Kelowna (A; data end in June 2017), Hilo (B), and Costa
- Rica (C) between ECC ozonesondes and Aura MLS stratospheric O<sub>3</sub> profiles (top panels), and
- 470 OMI (blue dots) and OMPS (red dots) TCO (bottom panels). Red or blue colors on the top panels
- 471 indicate where the ECC  $O_3$  is greater or less than MLS. Horizontal dashed lines indicate the 0 %
- 472 line for TCO comparisons. Vertical dashed lines indicate the date of the drop-off at each site (see
- Table 1 for dates), marked by a TCO drop of 3 % relative to the 2004-2013 average difference in
- 474 OMI and ECC TCO comparisons (blue line on bottom panels).



Figure 2. Map of all 37 ECC ozonesonde sites considered in this study. The blue squares indicate
sites that show no detectable TCO drop-off relative to OMI. We call these sites "reference" sites.
The yellow, red, and black dots indicate sites that exhibit maximum drops of 3-4 %, 4-6 %, and
over 6 % (Table 1) relative to OMI TCO. The method for computing the values shown on this

481 figure and in Table 1 are explained in Section 2.3.



484 Figure 3. A composite of comparisons between ECC ozonesonde and Aura MLS stratospheric O<sub>3</sub>

485 profiles from before the drop-off at each site (A; blue; dates of drop-off are in Table 1), and

486 during the period after the drop-off (red). Reference Type1 (B) and Type2 (C) sites were split

into 2004-2013 and 2014-2019 comparisons to show that there has been no comparable drop-off in stratospheric  $O_3$  around the same period. The shading indicates the 25<sup>th</sup> to 75<sup>th</sup> percentile, with

mean values shown by the solid lines. ECC sonde sample numbers are shown for each period in

490 the lower portion of the figure.



Figure 4. Median (lines) and 25<sup>th</sup> to 75<sup>th</sup> (shading) percentiles of comparisons between ECC and OMI and OMPS TCO. The comparisons are separated by every 1000 serial numbers for Type1 (top) and Type2 (bottom) ECCs. The Type1 ECCs are separated into affected (red) and reference (blue) stations. Natal, the only affected Type2 site, is not included in this figure. The number of samples for each serial number bin are shown at the top of each panel.

## **@AGU**PUBLICATIONS

#### Geophysical Research Letters

Supporting Information for

## A Post-2013 Drop-off in Total Ozone at a Third of Global Ozonesonde Stations: ECC Instrument Artifacts?

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## Contents of this file

Figures S1 to S6









Figure S1. As in Figure 1, but for the 11 remaining affected ECC ozonesonde sites that exhibit a > 3 % drop-off in TCO relative to OMI. Note that the only affected Type2 station is Natal, Brazil (h). See Table 1 for more metadata on each site.











![](_page_41_Figure_0.jpeg)

![](_page_42_Figure_0.jpeg)

![](_page_43_Figure_0.jpeg)

Figure S2. As in Figure 1, but for the 23 reference ECC ozonesonde sites (i.e. those that do not exhibit a > 3 % drop-off in TCO relative to OMI). See Table 1 for more metadata on each site.

![](_page_44_Figure_0.jpeg)

Figure S3. Time series of percent differences between ECC and OMI TCO (blue dots) and ECC and MLS partial stratospheric column  $O_3$  integrated from 121 to 10 hPa (orange dots) for Kelowna (A), Hilo (B), and Costa Rica (C; the same sites as Figure 1). This shows the coincidence in the ECC stratospheric column drop vs. MLS with the TCO drop vs. OMI. The horizontal black dashed lines indicate the 0 % line and the vertical dashed lines indicate the date of ECC TCO drop-off (see Table 1).

![](_page_45_Figure_0.jpeg)

Figure S4. Time series of comparisons between ECC and ground-based TCO measurements at Churchill, Canada (A), and Hilo, HI (B). Horizontal dashed lines indicate the 0 % line for TCO comparisons, and the vertical black dashed lines indicate the date of ECC drop-off (see Table 1). Note the different y-scales for each panel.

![](_page_46_Figure_0.jpeg)

Figure S5. Median (lines) and 25<sup>th</sup> to 75<sup>th</sup> (shading) percentiles of comparisons between ECC and ground-based TCO. The comparisons are separated by every 1000 serial numbers for Type1 (top) and Type2 (bottom) ECCs. The Type1 ECCs are separated into affected (red; six available sites) and reference (blue; nine sites) stations. Natal, the only affected Type2 site, is not included in this figure, leaving seven Type2 sites that have available ground-based data for this comparison (Hong Kong does not have ground-based TCO data available). The number of samples for each serial number bin are shown at the top of each panel.

![](_page_47_Figure_0.jpeg)

Figure S6. Top panel: Time series of TCO percent differences between Hilo ECC, and OMI (blue) and OMPS (red) TCO. Bottom panel: Pressure (grey) and temperature (red) values at 28 km altitude (representative of the mid-stratosphere). The solid dots show when the ECC ozonesonde was paired with a Vaisala RS-80 radiosonde, and the open dots show when the ECC was paired with an InterMet iMet radiosonde. The vertical dashed lines indicate the date of the ECC TCO drop-off at Hilo (see Table 1), and the horizontal line on the top panel indicates the 0 % line for TCO comparisons.