# A mechanistic model for lateral erosion of bedrock channel banks by bedload particle impacts

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#### Abstract

Bedrock rivers get wider by lateral erosion. Lateral erosion is widely thought to occur when the bed is covered by alluvium, which deflects the downstream transport of bedload particles into channel walls. Here we develop a model for lateral bedrock erosion by bedload particle impacts. The lateral erosion rate is the product of the volume eroded per particle impact and the impact rate on the wall. The volume eroded per particle impact is modelled by tracking the motion of bedload particle deflected by roughness elements to impacts on the wall. The impact rate on the wall is zero if the bedload particle deflected by roughness elements cannot reach the wall. Otherwise, the impact rate on the wall is the same with that on roughness elements. The model further incorporates the co-evolution of wall morphology, shear stress and erosion rate. The model predicts the undercut wall shape observed in physical experiments. The non-dimensional lateral erosion rate is used to explore how lateral erosion varies under different relative sediment supply (ratio of supply to transport capacity) and transport stage conditions. Maximum lateral erosion rates occur at high relative sediment supply rates ( $^{\circ}$  0.7) and moderate transport stages ( $^{-10}$ ). The competition between lateral and vertical erosion is investigated by coupling the saltation-abrasion vertical erosion model with our lateral erosion model. The results suggest that vertical erosion dominates under near 75% of supply and transport stage conditions, but is outpaced by lateral erosion near the threshold for full bed coverage.

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21	Key Points:				
22 23	• A mechanistic lateral erosion model for bedrock rivers is developed, based on the abrasion caused by deflected bedload particles				
24 25	• The undercut wall shape observed in laboratory experiments are successfully reproduced by the lateral erosion model				
26 27 28	<ul> <li>Vertical erosion dominates under ~ 75% of sediment transport and supply conditions, but is outpaced by lateral erosion when the bed is near fully covered</li> </ul>				

# 30 Abstract

Bedrock rivers get wider by lateral erosion. Lateral erosion is widely thought to occur when the bed is covered by alluvium, which deflects the downstream transport of bedload particles into channel walls. Here we develop a model for lateral bedrock erosion by bedload particle impacts. The lateral erosion rate is the product of the volume eroded per particle impact and the impact rate on the wall. The volume eroded per particle impact is modelled by tracking the motion of bedload particles from collision with roughness elements to impacts on the wall. The impact rate on the wall is zero if the bedload particle deflected by roughness elements cannot reach the wall. Otherwise, the impact rate on the wall is the same with that on roughness elements. The model further incorporates the co-evolution of wall morphology, shear stress and erosion rate. The model predicts the undercut wall shape observed in physical experiments. The non-dimensional lateral erosion rate is used to explore how lateral erosion varies under different relative sediment supply (ratio of supply to transport capacity) and transport stage conditions. Maximum lateral erosion rates occur at high relative sediment supply rates ( $\sim 0.7$ ) and moderate transport stages ( $\sim 10$ ). The competition between lateral and vertical erosion is investigated by coupling the saltation-abrasion vertical erosion model with our lateral erosion model. The results suggest that vertical erosion dominates under near 75% of supply and transport stage conditions, but is outpaced by lateral erosion near the threshold for full bed coverage. 

# 66 **1. Introduction**

67 Bedrock river incision sets the pace of landscape evolution in unglaciated landscapes

- 68 (Willett, 1999; Whipple, 2004). Bedrock rivers are laterally constrained by rock banks and
- 69 have intermittently exposed rock beds that incise vertically (Turowski et al., 2008a;
- 70 Meshkova et al., 2012). Bedrock rivers form the lower boundary of hillslopes (Perron et al.,
- 2008) and thus are hard points in the landscape that must be cut through to lower the
- relevation of the whole landscape (Rennie et al., 2018; Venditti et al., 2019). Incision rates of
- bedrock rivers are typically modelled as a function of stream power (Seidl & Dietrich, 1992;
- Anderson, 1994; Tucker & Slingerland, 1994; Willett, 1999; Hancock & Anderson, 2002) or
- boundary shear stress parametrized from basin slope-area relations (Howard & Kerby, 1983;
  Howard, 1994; Moglen & Bras, 1995; Stark, 2006; Tucker & Slingerland, 1996; Whipple &
- Tucker, 1999; Wobus et al., 2006). These models allow for large-scale predictions of
- 78 landscape evolution over geologic time scales, but mask physical processes responsible for
- 79 bedrock river incision. This makes them difficult to apply in real landscapes and of little
- 80 value for reach-scale predictions, where active incision occurs. Process-based models are
- 81 needed to investigate the relative role of controlling variables such as rock strength, grain
- size, roughness, water discharge and sediment supply and to provide more detailed physical
- explanations (Whipple et al., 2000; Whipple, 2004; Sklar & Dietrich, 2004, 2006; Nelson &
- 84 Seminara, 2011; Huda & Small, 2014; Beer & Turowski, 2015; Turowski, 2018).
- 85 Vertical erosion processes are well known and several models exist to represent them.
- 86 Whipple et al. (2000) summarized the processes of vertical incision: abrasion by sediment
- 87 impacts of bedload or suspended load; plucking from the bed by hydraulic forces; chemical
- 88 and physical weathering; cavitation; and debris-flow scour. Detailed models of the physics of
- 89 individual incision processes have been developed to predict bedrock river dynamics,
- 90 including: saltation abrasion model (Sklar & Dietrich, 2004); total-load abrasion model
- 91 (Lamb et al., 2008); plucking model based on the block topple-sliding mechanism (Lamb et
- al., 2015; Larsen & Lamb, 2016); bedload abrasion, macroabrasion and plucking model
- 93 (Chatanantavet & Parker, 2009); and weathering model (Hancock et al., 2011). These models
- have been used to predict how vertical incision in bedrock channels changes in response to
- 95 changing boundary conditions (Whipple, 2004; Sklar & Dietrich, 2006, 2008; Egholm et al.,
- 96 2013; Huda & Small, 2014; Larsen & Lamb, 2016).
- However, bedrock rivers can also erode laterally, and adjust their width. Undercut walls are 97 evidence of active, local width adjustment (Figure 1). Local variations in bedrock river width 98 99 can induce highly turbulent plunging flow as water enters the narrow part of bedrock rivers. which can in turn promote erosion of the bed and sidewalls by bedload particle impacts 100 (Venditti et al., 2014). Lateral incision has also been observed to be responsible for formation 101 of strath terraces (Fuller et al., 2009), creation of wide valley bottoms (Snyder & Kammer, 102 2008) and planation of valley bottoms (Cook et al., 2014) at large scales. Therefore, 103 104 understanding lateral erosion mechanisms is crucial for exploring bedrock width dynamics and its influence on fluvial processes from local (reach) to large scales. In comparison to 105 what is known about vertical erosion, however, comparatively little is known about lateral 106 107 erosion mechanisms. Existing lateral erosion models rely on the stream power law to link 108 stream power or parametrized shear stress to erosion rates with various degrees of sophistication (Hancock & Anderson, 2002; Finnegan et al., 2005; Stark, 2006; Wobus et al., 109
- 110 2006; Lague, 2010; Langston & Tucker, 2018; Yanites, 2018). Most of these models ignore

the influence of sediment supply on lateral erosion by simply scaling the lateral erosion rate 111 with shear stress (Stark, 2006; Wobus, 2006) or the rate of energy dissipation per unit area of 112 the channel wall created by centripetal acceleration around a bend (Langston & Tucker, 113 2018). Others have introduced the influence of alluvial cover on limiting lateral erosion in 114 high sediment supply environments (Hancock & Anderson, 2002; Lague, 2010; Yanites, 115 2018), but did not include a quantitative relation between sediment supply and lateral erosion 116 rate. Turowski (2019) recently developed a lateral bank erosion model due to bedload particle 117 impacts, deflected by gravel bars. The model does not include the physics of deflections, but 118 rather treats the gravel alternate bars as a source of roughness capable of deflecting particles 119 in an otherwise straight bedrock channel. This produces the counterintuitive result that 120 decreasing lateral erosion rates occur with increasing extent of alluvial cover because gravel 121 bars increase their length as the cover gets greater due to the assumption of constant aspect 122

123 ratio of gravel bars.

124 Gilbert (1877) first suggested that a bedrock channel will incise laterally when the channel

bed is covered with transient alluvial deposits. Recent research on lateral erosion has focused

126 on the role of sediment supply on setting the relative rates of vertical and lateral erosion

127 (Turowski et al., 2007; Fuller et al., 2009; Finnegan & Balco, 2013). These investigations

128 suggest lateral erosion dominates in high sediment supply environments, but is limited in low 129 sediment supply environments. None of these studies propose a specific process or

sediment supply environments. None of these studies propose a specific process ormechanism to explain how the high sediment supply drives lateral erosion. Physical

experiments have documented channel widening by bedload abrasion (Finnegan et al., 2007;

132 Johnson & Whipple, 2010). Enlighted by these experiments, Fuller et al. (2016) further

explored the erosional mechanism of deflection of saltating bedload particles into the channel

134 wall by roughness elements, and concluded that it is an effective mechanism for lateral

erosion into bedrock. This mechanism explains why lateral erosion dominates in high

- sediment supply environments where intermittent alluvial cover likely occurs. The
- downstream transport of bedload particles is deflected by alluvial cover and obtain lateral

momentum to erode the wall. In low sediment supply environments, alluvial cover may not

be available to deflect bedload particles. This newly identified mechanism for lateral erosion

140 opens the door for a mechanistically-based lateral erosion model.

Here we develop a mechanistic model to explore the potential efficacy of bedload particle 141 impacts as a mechanism of lateral erosion in bedrock channels and test the model using the 142 Fuller et al. (2016) flume experiments, referred to as Fuller Experiments hereafter. Our model 143 only considers the collision between bedload particles and bed roughness elements as the sole 144 process by which saltating bedload particles obtain lateral momentum to erode the wall. The 145 model is formulated by determining the initial velocity of bedload particles before collision 146 with bed roughness elements from empirical relations (Sklar & Dietrich, 2004), estimating 147 the momentum transfer during collision from a simplified reflection methodology, and 148 tracking the movement of bedload particles from collision with bed roughness elements to 149 impact on the wall using force balance equations. This allows the distribution of lateral 150 151 erosion on the wall to be calculated. The model is implemented with and without coevolution of wall morphology, shear stress, and erosion rate to explore how channel change 152 influences the results. The lateral erosion model is coupled with the Sklar & Dietrich (2004) 153 vertical incision model to investigate the competition between vertical and lateral erosion 154

155 with transport stage and relative sediment supply.



Figure 1 Examples of undercut walls in a) Fraser Canyon, British Columbia. b) Fall Creek
Gorge, Indiana.

# 159 2. Model Development

156

The model is based on the saltation-abrasion mechanism of bedrock erosion and the well-160 known tools and cover effect (Sklar & Dietrich, 2004). Erosion rates are a function of 161 sediment supply, transport stage, grain size and rock strength (Sklar & Dietrich, 2004; 2008). 162 When the bed is relatively free of cover, impacts of saltating bedload particles are capable of 163 detaching rock particles from the surface. Vertical erosion is limited at high sediment supply 164 rates, when the bed is covered. However, when covered, downstream transport of saltating 165 bedload particles can be deflected by bed roughness elements and directed towards channel 166 walls, which induces lateral erosion. Following the saltation-abrasion vertical erosion model 167 formulation (Sklar & Dietrich, 2004), we assume that the flow, sediment transport and 168 distribution of roughness elements are uniform in a bedrock channel with a planar bed and 169 straight walls. We use a hybrid approach to model lateral erosion by impacts of saltation 170 bedload particles. First, we model all the possible individual deflection trajectories from 171 discrete parts of the roughness elements for a given hydraulic condition. Then we apply these 172 results in a continuum model by calculating the deflection rates on each cell of the roughness 173 surface and calculate the resultant erosion rates as a function of locations on the wall. 174

- 175 2.1 Initial hydraulic, flow resistance and bedload transport conditions
- 176 We assume that bed roughness elements are composed of immobile semi-spheres with
- diameter of  $D_r$  and an areal fraction of  $F_r$ , arranged in uniformly distributed rows and
- 178 columns with a spacing of d (Figure 2). Initial hydraulic conditions are calculated from six
- input variables: water discharge  $Q_w$ , channel width W, channel slope S, roughness element
- diameter  $D_r$ , areal fraction  $F_r$  of roughness elements and bedload particle diameter D.



- Figure 2 a) Cross section view and b) plan view of model setup in an idealized rectangular
  channel eroded by saltating bedload particles that are deflected by roughness elements
  distributed on the share all had. The area area in the maximum provided in the setup of the setup o
- 185 distributed on the channel bed. The grey semi-spheres represent roughness elements with
- 186 diameter of  $D_r$ , which are equally distributed in rows and columns with the same distance d.
- 187 The green spheres represent bedload particles that impact roughness elements. Only one side
  188 of the channel walls is shown here and used for simulation, assuming the walls are
  189 symmetrical.
- 190 Asumming steady uniform flow, the total shear stress  $\tau$  is given as
- 191

 $\tau = \rho_w ghS \tag{1}$ 

- 192 where  $\rho_w$  is water density, g is gravity acceleration, h is water depth.
- 193  $\tau$  can also be expressed as a function of Darcy-Weisbach hydraulic friction factor f and mean 194 flow velocity U
- 195

$$\tau = \frac{\rho_w f U^2}{8} \tag{2}$$

- 196 In a bedrock channel with roughness elements and transported bedload particles, the flow
- 197 resistance is derived from the bedrock surface, roughness elements, alluvial cover and
- 198 channels walls. To calculate the contribution of each source, flow resistance has been

weighted by its areal proportion (Tanaka & Izumi, 2013; Inoue et al., 2014; Johnson, 2014;
Ferguson et al., 2019). Here we adopted the Johnson (2014) method and assumed the wall

201 flow resistance is negligible, which is valid for a channel that is wide relative to its depth. f

202 can be expressed as a weighted average of the spatial fractions of different sources of flow

203 resistance in the channel

204

$$f = (1 - F_r - F_a)f_b + F_r f_r + F_a f_a$$
(3)

where  $F_a$  is the fraction of alluvium,  $f_b$ ,  $f_r$  and  $f_a$  are friction factors for bedrock, roughness elements, and alluvium, respectively. Because the deposition of alluvial cover was observed to be negligible in the Fuller Experiments, equation 3 for that case can be simplified to

208  $f = (1 - F_r)f_b + F_r f_r.$  (4)

 $f_b$  and  $f_r$  can be modelled using appropriate roughness length scales in any preferred flow resistance relation. For simplification, they are used here as fitting parameters to calibrate the model to the Fuller Experiments.

Combining equations 1-4 with the continuity equation for a rectangular channel ( $Q_w = WhU$ ), h, U and  $\tau$  can be solved as

214 
$$h = \left(\frac{Q_w}{W}\right)^{2/3} (8gS)^{-1/3} [(1 - F_r)f_b + F_r f_r]^{1/3}$$
(5)

215 
$$U = \left(\frac{Q_w}{W}\right)^{1/3} (8gS)^{1/3} [(1 - F_r)f_b + F_r f_r]^{-1/3}$$
(6)

216 
$$\tau = \frac{\rho_W}{8} \left(\frac{Q_W}{W}\right)^{2/3} (8gS)^{2/3} [(1 - F_r)f_b + F_r f_r]^{1/3}.$$
 (7)

Assuming the roughness elements cause flow separation and contribute form drag, the shear stress available to transport sediment  $\tau_s$  can be obtained from replacing *f* in equation 2 with  $(1 - F_r)f_b$ 

220 
$$\tau_{s} = \frac{\rho_{w}}{8} \left(\frac{Q_{w}}{W}\right)^{2/3} (8gS)^{2/3} [(1-F_{r})f_{b} + F_{r}f_{r}]^{-2/3} (1-F_{r})f_{b}.$$
(8)

Initial bedload transport conditions, including the saltation hop height  $h_s$ , saltation hop length bedload particle velocity  $u_s$ , are estimated from the empirical relations of Sklar & Dietrich (2004)

224 
$$\frac{l_s}{D} = 8.0 \left(\frac{\tau_s^*}{\tau_c^*} - 1\right)^{0.88} \left(1 - \left(\frac{u^*}{w_f}\right)^2\right)^{-0.50}$$
(9)

225 
$$\frac{h_s}{D} = 1.44 (\frac{\tau_s^*}{\tau_c^*} - 1)^{0.56}$$
(10)

226 
$$\frac{u_s}{((\frac{\rho_s}{\rho_w} - 1)gD)^{0.5}} = 1.56(\frac{\tau_s^*}{\tau_c^*} - 1)^{0.56}$$
(11)

227 where  $\rho_s$  is the sediment density,  $u^* = \sqrt{ghS}$  is the flow shear velocity,  $\tau_s^* =$ 

228  $\tau_s/(\rho_s - \rho_w) gD$  is the non-dimensional shear stress available for sediment transport,  $\tau_c^*$  is  $\tau_s^*$ 

at the threshold of motion for particle movement,  $w_f$  is the particle fall velocity, which is

calculated from the empirical method developed by Dietrich (1982), assuming values of Coryshape factor (0.8) and Powers scale (3.5) typical for natural gravel grains.

- 232 The bed-normal velocity  $w_s$  is calculated from the difference between the gravitational
- acceleration of the particle and deceleration due to drag (Lamb et al., 2008)

234 
$$w_s = \sqrt{\frac{c_1}{c_2} (1 - e^{-2C_2(h_s - h_c)})}$$
(12)

where  $C_1 = (\frac{\rho_s}{\rho_w} - 1)g$  is the gravitational acceleration coefficient,  $C_2 = 3C_d D \frac{\rho_w}{\rho_s}$  is the drag deceleration coefficient,  $C_d$  (0.45) is the drag coefficient,  $h_c$  is the height above the bed of the point of collision with the roughness element ( $h_c = 0$  for collisions with the bed).

#### 238 2.2 Collision between bedload particles and roughness elements

- Assuming that the saltating bedload particles have negligible lateral momentum during the normal course of a downstream hop, the saltation lateral velocity  $v_s$  before collision is zero.
- 241 Thus, the incoming saltation velocity vector  $i_s$  has two non-zero components

242 
$$i_s = (u_s, 0, w_s).$$
 (13)

243 During collision with roughness elements in water, bedload particles experience an inelastic

rebound that can be modelled by the sum of an elastic and a viscous force (Cundall & Strack,

1979). For simplicity, the elastic response is modelled using a reflection methodology to

calculate the outgoing saltation velocity vector after collision with a roughness element as

$$\mathbf{o}_s = C_r(\mathbf{i}_s - \mathbf{2}\mathbf{p}) \tag{14}$$

- 248 where p is the projection of the incoming particle velocity vector onto the surface normal 249 vector, at the point of collision (defined by the normal vector  $\hat{n}$ ) calculated from
- 250  $p = (\frac{i_s \cdot \hat{n}}{\hat{n} \cdot \hat{n}})\hat{n}$  (15)
- assuming that the tangential force during collision is negligible. The coefficient of restitution ( $C_r$ ) describes the retention of particle momentum during the collision between bedload particles and roughness elements. We choose a value  $C_r = 0.9$ , which means that the particle loses  $1 - C_r^2 = 19\%$  of its incident kinetic energy during an impact. Although this value of  $C_r$  is above the theoretical prediction of Davis et al. (1986) for elastic spheres ( $C_r = 0.65$ ), it is within the range of experimental observations (Niño et al., 1994; Schmeeckle et al., 2001; Joseph et al., 2001; Joseph & Hunt, 2004) for gravel spheres at high Stokes number.
- The magnitude and direction of  $\boldsymbol{o}_s = (u_o, v_o, w_0)$  are controlled by  $\boldsymbol{i}_s$  and  $\hat{\boldsymbol{n}}$  at the point of 258 259 collision (Figure 3). Consider a bedload particle that collides near the base of the roughness element, at the roughness element centerline. The magnitude of  $i_s$  for this case is maximized 260 because the collision occurs near the bed where  $w_s$  is the greatest, which will maximize the 261 magnitude of  $o_s$  for given hydraulic conditions. However, the collision will create an  $o_s$  for 262 this case that points in the upstream direction with negligible lateral velocity  $v_o$ , because  $\hat{n}$  is 263 264 pointing upstream (Figure 3). In contrast,  $o_s$  will have a substantial wall-normal velocity component  $v_0$  with negligible downstream velocity component  $u_0$  when  $\hat{n}$  is rotated to 45 265 266 degrees relative to the centerline of the roughness element (Figure 3). Therefore, to
- incorporate the variation of  $i_s$ ,  $\hat{n}$  and hence  $o_s$  at the point of collision with the roughness
- element, the surface of each roughness element is discretized into N approximately uniform triangular grid cells ( $N \approx 2000$  is selected here for a balance of efficiency and accuracy).

- 270 Within each cell, the potential impact position and impact angle are assumed to be
- 271 represented the cell centroid, and the outgoing velocity  $o_s$  of individual bedload particles is
- calculated in each grid cell from equations 13-15.



Figure 3 Schematic diagram of collision between roughness element (black) and bedload particles (green). The incoming velocity  $\mathbf{i}_s = (u_s, 0, w_s)$ , where  $u_s$  is incoming downstream velocity and  $w_s$  is incoming vertical velocity. The outgoing velocity  $\mathbf{o}_s = (u_o, v_o, w_o)$ , where  $u_0$  is outgoing downstream velocity,  $v_0$  is outgoing lateral velocity and  $w_0$  is outgoing vertical velocity. Two examples of collision are shown here: collision with the roughness element head resulting in  $v_o \approx 0$  and collision with 45 degrees relative to the base of the

280 roughness element head resulting in  $v_0 \gg 0$ .

281 Not all cells on the surface of a semi-spherical roughness element are subject to collisions. To estimate which cells will experience collisions, and the impact rate on each grid cell as a 282 function of the bedload flux, we begin by assuming that the trajectory of bedload particles 283 before impacting on the roughness element is composed of two components: upward 284 trajectory and downward trajectory (Sklar & Dietrich, 2004). The upward trajectory has a hop 285 height of  $h_s$  and a hop length of  $l_{su}$ , and the downward trajectory has a hop height of  $h_s$  and a 286 hop length of  $l_{sd}$ . Assuming these two trajectories together form a triangle, with a total hop 287 length of  $l_s$  and hop height of  $h_s$  (Figure 4),  $l_{su}$  and  $l_{sd}$  can be approximated from  $l_s$  as 288

289 (Sklar & Dietrich, 2004)

$$l_{su} = \frac{1}{3}l_s \tag{16}$$

291

290

$$l_{sd} = \frac{2}{2} l_s \tag{17}.$$

Three planes are formed by the triangular trajectory of bedload particles: 1) the plane parallel to the upward trajectory; 2) the plane parallel to the downward trajectory; and 3) the plane parallel to the bed (Figure 4). All upward moving particles must move parallel to the first plane and all downward moving particles must cross the first plane. In contrast, only upward moving particles will cross the second plane and all downward moving particles will follow

297 the second plane. The third plane, the channel bed, is where the particles turn around. Our

298 model only incorporates the impacts of downward moving particles on the roughness element

surface. The length L of the first plane for intercepting the downward moving particles is

300 
$$L = \sqrt{h_s^2 + l_{su}^2}$$
 (18)

301 and its angle  $\alpha$  intersecting with the bed is

302

 $\alpha = \arctan \frac{h_s}{l_{su}} \tag{19}$ 

The impact rate, with dimensions of collisions per unit time per unit area on the first plane,can be expressed as

305

 $I_p = \frac{q_s}{ML} \tag{20}$ 

where  $q_s$  is sediment supply per unit width, and *M* is the mass of a bedload particle. The area of each grid cell is projected onto the first plane, along a vector parallel to the downward trajectory of bedload particles, to calculate the impact rate on each grid cell. The angle  $\beta$  of the projected direction intersecting with the bed is (Figure 4)

$$\beta = \arctan \frac{h_s}{l_{sd}}$$
(21).

The projected area for each grid cell is defined as  $A_c$ . The impact rate on each grid cell of the roughness element surface  $I_c$  can hence be expressed as

$$I_c = I_p A_c \tag{22}$$

314



315

316 *Figure 4 Sketch of calculating the impact rate on the roughness element (grey semi-circle).* 

317 The trajectory for bedload particle flux is simplified as a triangle, formed by upward  $l_{su}$  and

318 downward portion  $l_{sd}$  of the total hop length  $l_s$  and total hop height  $h_s$ . Three planes are

319 *defined here, including the plane parallel to the upward trajectory (dotted line) intersected* 

320 with the bed from an angle  $\alpha$ , the plane parallel to the downward trajectory (dashed line) 321 intersected with the bed from an angle  $\beta$ , and the plane of the bed where the particles turn

521 intersected with the bed from an angle p, and the plane of the bed where the particles tail 222 around (solid line). Each plane is as wide as the channel

*around (solid line). Each plane is as wide as the channel.* 

323 Limits also exist on impact positions on both the downstream and upstream facing parts of

the roughness elements. Bedload particles moving downstream cannot impact the

- downstream face of the roughness element below the tangent point (Figure 4) which has a
- 326 central angle  $\theta_d$  calculated from

$$\theta_d = \frac{\pi}{2} + \beta . \tag{23}$$

Whether a particle impacts the upstream facing part of the roughness element is controlled by the relation between the downstream distance of the potential impact position on the bed  $l_u$ and the distance between the center of the roughness element and the vertex of the upstream face of the successive downstream roughness element  $l_r$  (Figure 4)

$$l_u = \frac{r}{\sin\beta} \tag{24}$$

333

$$l_r = d - r \tag{25}$$

where r is the semi-circle radius cut along the roughness element in the downstream 334 direction, which decreases from center line of the roughness element laterally. When  $l_{\mu}$  is 335 equal or smaller than  $l_r$  ( $l_u \leq l_r$ ), the downstream trajectory of bedload particles at the 336 tangent line can impact on the bed directly (Figure 4). Therefore, the bedload particles can 337 impact any positions on the upstream facing part of the roughness element. However, if  $l_u >$ 338  $l_r$ , the downstream trajectory of bedload particles at the tangent line is intercepted by the 339 340 upstream facing part of the subsequent downstream roughness element instead of impacting on the bed. 341

342 The limitation and variation of impact rates  $I_c$  are illustrated in Figure 5, where the 4.3 mm and 10 mm roughness elements from the Fuller Experiments are used as examples. The 343 center of each grid cell is projected onto a horizontal 2D surface. There is no impact on most 344 of the downstream facing part of the semi-sphere surface that is below the tangent point of 345 downward moving trajectory (Figure 5). Meanwhile, the impact rate is zero near the vertex of 346 the upstream facing part of the roughness element (Figure 5), because the impacts here are in 347 the shadow of downward moving trajectory when  $l_u > l_r$ . The impacts decrease from the 348 349 center to the edge of the roughness element (Figure 5), due to the decrease of the shadow effect as the radius r of a circle for a longitudinal slice through the sphere reduces to zero at 350 the edge of the roughness. The impact rate also decreases with distance downstream because 351 the impact area  $A_c$  goes to zero when the surface cell gets tangential (parallel) to the flux 352 trajectory (Figure 5). 353



Figure 5 Distribution of impact rates on each grid cell of roughness elements with diameter of a) 4.3 mm and b) 10.0 mm using models inputs from the Fuller Experiments.

357 2.3 Movement of bedload particles from collision with roughness element to impact on the358 wall

After collision, the movement of bedload particles is modelled from force balance equations and tracked over each time step  $\Delta t$ . We assume that fluid drag and gravity are the dominant forces affecting instantaneous downstream velocity u, lateral velocity v and vertical velocity w. The change in particle velocities with time are given by

363 
$$-\frac{du}{dt} = C_2 (u - U_z)^2$$
(26)

$$-\frac{dv}{dt} = C_2 v^2 \tag{27}$$

365 
$$-\frac{dw}{dt} = \begin{cases} C_2 w^2 + C_1 & \text{for } w > 0\\ C_2 w^2 - C_1 & \text{for } w \le 0 \end{cases}$$
(28)

where  $U_z$  is the downstream flow velocity at height *z* above the bed. For turbulent boundary layer flow in a channel,  $U_z$  can be calculated from the law of the wall

$$U_z = \frac{u^*}{\kappa} \ln(\frac{30z}{k_s})$$
(29)

369 where  $\kappa$  is von Karman's constant (~ 0.41),  $k_s$  is the hydraulic roughness length scale which 370 can be obtained from friction factor *f* using a general Manning-Strickler formula

371 
$$k_{\rm s} = h(8f)^3$$
 (30)

372 (Johnson, 2014). Equations 26-28 can be numerically integrated at each time step  $\Delta t$  to solve 373 for the velocity and position of individual bedload particles. The time step used in the 374 simulation is  $\Delta t = 10^{-5}$ s. Smaller time steps were also tested, which substantially increase 375 the computational time but do not change the results. A minimum wall-normal velocity  $v_{min}$ 376 is adopted here to distinguish between impacts that cause erosion and impacts that are 377 viscously damped, which is a function of the particle Stokes number  $S_t$  (Davis et al., 1986; 378 Schmeeckle et al., 2001; Joseph & Hunt, 2004):

$$v_{min} = \frac{9S_t \rho_w v}{\rho_s D} \tag{31}$$

where v is the kinematic viscosity of the fluid ( $10^{-6} \text{ m}^2 \text{s}^{-1}$ ), and a value of  $S_t = 100$  is 380 selected here from Schmeeckle et al. (2001) and Joseph & Hunt (2004). At each time step, a 381 bedload particle may be rebounded by the channel bed or other roughness elements before it 382 impacts on the wall (Figure 6). In this situation, the rebounded velocity is simulated using the 383 same method used for the original collision with the roughness element, taking into account 384 that the normal vector for the bed is vertical. The simulation runs until a bedload particle has 385 impacted the wall or its lateral velocity is below the velocity limit  $v_{min}$  before reaching the 386 wall. When bedload particles impact the wall, the impact velocity vector  $IV = (u_i, v_i, w_i)$  and 387 impact position vector  $IL = (x_l, y_l, z_l)$  are recorded for calculation of lateral erosion rate of 388 different locations on the wall. 389

- 390 The deflection trajectories of bedload particles vary with the impact positions on the same
- roughness element. Bedload particles impacting on the part that is near 45 degrees relative to
- the centerline of roughness element travel a shorter downstream distance because the
- particles have larger lateral velocity and can impact on the wall faster (Figure 6a).
- 394 Meanwhile, bedload particles deflected by the higher part of the roughness element can
- impact higher on the wall due to the higher initial height before deflection and the upward
- moving velocity after deflection here (Figure 6b). When the roughness elements are located
- further from the wall, more impacts are viscously damped and are rebounded by the bedbefore impacting on the wall due to more loss of momentum on the way to the wall (Figure
- before impacting on the wall due to more loss of momentum on the way to the wall (Figure6). The bedload particles deflected by the roughness elements further from the wall also
- 400 impact lower on the wall (Figure 6a), and impact further downstream on the wall as it takes
- 401 longer to impact on the wall (Figure 6b).



*Figure 6 a) Plan view and b) downstream view of the deflection trajectories of bedload* 

- 404 particles (colorful circles with dashed lines) for a range of deflection positions on the
  405 roughness elements (black circles and semi-circles with solid lines). The roughness size is
  406 10.0 mm and the bedload particle size is 4.3 mm. The model inputs are from the Fuller
- *Experiments*.

#### 411 2.4 Calculation of instantaneous lateral erosion rate

412 Assuming the channel wall is fully exposed to impacts, the erosion rate  $E_c$  due to deflections 413 from one grid cell on a roughness element, can be expressed as the product of two terms: the

414 volume eroded per particle impact  $V_c$  and the number of particle impacts per unit time  $I_w$ 

415 (Sklar & Dietrich, 2004)

416

$$E_c = V_c I_w \tag{32}$$

417 where  $V_c$  can be calculated as a function of impact velocity  $v_i$ , and rock parameters, including 418 Young's modulus of elasticity of the bedrock *Y*, dimensionless bedrock strength coefficient 419  $k_v$ , and tensile yield strength  $\sigma_T$ 

420 
$$V_c = \frac{\pi \rho_s D^3 v_l^2 Y}{6k_v \sigma_T^2}.$$
 (33)

421  $I_w$  can be determined from  $I_c$  depending on whether the movement of bedload particle

deflected by each cell will lead to an impact on the wall or not. If the bedload particle

423 deflected by the roughness element does not impact on the wall, its impact rate on the wall  $I_w$ 424 is zero. However, if the bedload particle obtains enough momentum to reach the wall, its

425 impact rate on the wall  $I_w$  is the same with that on the roughness element  $I_c$ .

426 
$$I_{w} = \begin{cases} I_{c} & impacts on the wall \\ 0 & not impacts on the wall \end{cases}$$
(34)

427  $E_c$  varies with each grid cell on a roughness element (Figure 7). Only the 1/4 of the semi-

428 sphere roughness element that faces upstream and toward the near wall contributes to  $E_c$  due

to the concentration of impacts on the upstream facing part of the semi-sphere (Figure 5) and

the deflection of bedload particles towards the other side of the channel if they impact on the

roughness element surface that faces against the wall (Figure 7).  $E_c$  is highest at the impact

432 position that has a normal vector  $\hat{n}$  facing 45 degrees relative to the longitudinal centerline of 433 the roughness element in planview, and is close to the base of the roughness element, because

the rebounded velocity (Figure 3) and the impact rate (Figure 5) are highest there.  $E_c$ 

decreases with the increasing distance between the roughness element and the wall due to the

436 loss of lateral momentum of bedload particles when travelling towards the wall (Figure 7).



Figure 7 Variation of  $E_c$  with each grid cell on the a) 4.3 mm roughness elements and b) 10.0 mm roughness elements using inputs from the Fuller Experiments.

Assuming that bed roughness elements are uniformly distributed in rows comprised of 440 441 equally spaced semi-spheres (Figure 2), and transported bedload is uniformly distributed across the channel, each row of roughness elements deflects same number of bedload 442 particles and causes same amount of lateral wall erosion. Therefore, only one row of 443 roughness elements is used for calculating the instantaneous local lateral erosion rate  $E_c$  and 444 the total erosion rate  $E_t$  due to the existence of one row of roughness elements is simply the 445 sum of all  $E_c$ , the local erosion rates due to individual bedload particles deflected by each 446 grid cell on the roughness elements 447

448

437

$$E_t = \sum E_c \tag{35}$$

Because the total erosion rate due to multiple rows of roughness elements is the superposition of the lateral erosion rate due to a single row of roughness elements, and the lateral erosion rate in the longitudinal direction repeats for the downstream distance *d* between two adjacent rows of roughness elements, the integrated lateral erosion rate within *d* due to multiple rows of roughness element is equal to  $E_t$ . Therefore, the averaged area of material removed from the channel cross section per unit time (referred to as bulk erosion rate  $E_b$ ) within *d* can be expressed as

$$E_b = \frac{E_t}{d} \tag{36}$$

- 457 Bedload particles impact on the wall at many different elevations and downstream locations 458 (Figure 6). To calculate the average lateral erosion rate  $E_z$  at a given elevation z, the wall is
- 459 divided into a uniform grid with a vertical interval  $\Delta z$  from the base of the wall to the
- 460 maximum erosion height on the wall  $z_{lmax}$ . A value of  $\Delta z = 1$  mm is selected here in
- 461 accordance with the experimental results of Fuller et al. (2016); and  $z_{lmax}$  is obtained from
- 462 the distribution of the height  $z_l$  of all impacts.
- 463 The impact area within each grid  $A_w$  is

$$A_w = d\Delta z \tag{37}$$

465 The lateral erosion rate  $E_z$  for a given elevation range  $z + \Delta z$  can be calculated as a sum of 466 the volume eroded by impacts that fall within that elevation range divided by the impact area 467  $A_w$ 

$$E_z = \frac{\sum_{z_l \in z} E_c(z_l)}{A_w} \tag{38}$$

### 469 2.5 Co-evolution of lateral erosion rate, wall morphology and shear stress

As the wall is eroded over time, the travel distance, and hence the potential for loss of momentum of bedload particles after collision with the roughness element, will increase, resulting in lower instantaneous lateral erosion rates. Meanwhile, the cross-sectional area of the flow will change as the wall is eroded, becoming wider and shallower. This results in a somewhat lower bed shear stress and hence lower lateral erosion rate. In turn, the lower lateral erosion rate will slow down the wall evolution. Without considering the co-evolution between shear stress and lateral erosion rate, the model will exaggerate wall evolution.

To model the effects of wall evolution, we break the simulation into a sequence of time 477 periods, each time period T lasting 10 minutes. Smaller time periods were tested, but did not 478 479 influence the results. During each period we assume that the flow depth, and thus shear stress, do not change. We average the erosion rate from impacts that occur during that time period. 480 Then for the next period we update the depth and shear stress, and calculate new erosion 481 rates. At beginning of the simulation (T = 1), the initial depth and shear stress are obtained 482 from assuming a rectangular cross section from equations 1-8. As the wall is eroded over 483 time, the channel cross section and hence the wetted area become irregular. Therefore,  $Q_w(T)$ 484 is not simply a product of W(T), h(T), and U(T) at the time period T > 1. Instead,  $Q_w(T)$ 485 needs to calculated from the wetted area A(T) over the irregular cross section of the flow 486

487 
$$Q_w(T) = A(T)U(T)$$
 (39)

488 where A(T) is a function of flow depth h(T) and needs to be obtained from integrating the 489 flow width over h(T) for a given cross section shape. We assume that the friction factor f is 490 constant over the run period, because the changes of flow depth are relatively small. 491 Combining equation 39 with equations 1-6, h(T) can be expressed as

492 
$$h(T) = \frac{1}{8gS} \left(\frac{Q_w}{A(T)}\right)^2 \left[(1 - F_r)f_b + F_r f_r\right]$$
(40)

493 h(T) and A(T) can be solved from equation 40 by starting with an initial guess of h(T), 494 integrating the flow width over h(T) for the current cross section shape to get A(T) and 495 iteratively changing the values of h(T) and A(T) until these two solutions converge in 496 equation 40. U(T) will then be back-calculated from equation 39, and used to get the total 497 shear stress  $\tau(T)$  and shear stress available for sediment transport  $\tau_s(T)$  from total friction 498 factor  $f = (1 - F_r)f_b + F_r f_r$  and bedrock friction factor  $(1 - F_r)f_b$  using equation 2, 499 respectively

500 
$$\tau(T) = \frac{\rho_{W}[(1-F_{r})f_{b}+F_{r}f_{r}]U(T)^{2}}{8}$$
(41)

$$\tau_s(T) = \frac{\rho_w (1 - F_r) f_b U(T)^2}{8}.$$
(42)

#### 4. Results 502

We assessed model performance using results from laboratory experiments reported by Fuller 503 et al. (2016). Fuller et al. (2016) constructed three experimental channels (referred to as 504 channels C1, C2 and C3), held the water discharge and sediment supply constant for each 505 506 channel throughout the experiment, but varied the roughness element size over six classes: no roughness elements (smooth sections); 2.4 mm; 4.3 mm; 7.0 mm; 10.0 mm; and 16.0 mm 507 (roughness sections). Table 1 and Table 2 list the initial hydraulic and sediment transport 508 509 conditions in the Fuller Experiments, and the values of parameters used in the model calculations. These experiments provide an ideal test case for our model because the flow 510 depth and thus initial shear stress available for sediment transport was measured, and erosion 511 512 rates and patterns are measured for the various roughness element sizes. However, the rock tensile strength  $\sigma_T$  which controls the magnitude of the erosion rate was not measured. For 513 the model calculations we use a value of  $5.5 \times 10^4$  Pa for  $\sigma_T$ , which is calibrated from the 514 bulk erosion rate of 10 mm roughness elements ( $E_b = 74 \text{ mm}^2/\text{hr}$ ) in Channel C3. This value 515 is reasonable for the weak concrete used in the Fuller Experiments (Sklar & Dietrich, 2001), 516 517 and is used for predicting the erosion rate and assessing the model performance for other

518 roughness element sizes.

519	Table 1 Initial hydraulic and bedload transport conditions used in the simulation of the
520	Fuller Experiments

Channel section	D <sub>r</sub> <sup>b</sup> (mm)	$F_r^{b}$	d <sup>c</sup> (mm)	<i>W</i> <sup>b</sup> (1 <sup>a</sup> ) (mm)	$Q_w^{b}$ (×10 <sup>-3</sup> m <sup>3</sup> /s)	$q_s^{b}$ (kg/m/s)	τ <sup>b</sup> (1 <sup>a</sup> ) (Pa)	$\tau_g^{b}(1^a)$ (Pa)	$f_r^{\ d}$	$f_b{}^{d}$
C2	2.4	0.34	3.65	183	12.9	0.21	18.6	14.9	0.10	0.21
C3	4.3	0.47	5.58	165	12.9	0.19	14	13	0.0091	0.10
C1	7.0	0.50	8.75	160	12.7	0.19	12	11.6	0.0024	0.070
C2 <sup>e</sup>	10.0	0.51	13.2	181	12.9	0.21	18.3	9.2	0.16	0.16
C2	16.0	0.56	19.5	183	12.9	0.21	26.4	8	0.61	0.34

<sup>a</sup> 1 indicates the initial conditions, prior to wall evolution. 521

<sup>b</sup> directly from Fuller et al. (2016). 522

<sup>c</sup> calculated from  $F_r$  by Fuller et al. (2016) assuming the roughness elements are uniformly 523

distributed. 524

<sup>d</sup> calibrated from  $\tau$  and  $\tau_s$  by Fuller et al. (2016). 525

<sup>e</sup> 10.0 mm roughness elements are located both in C2 and C3 by Fuller et al. (2016), the one 526

in C3 is used for calibration of  $\sigma_T$  and the one in C2 is used for model performance. 527

528

529

530

Variable	Value
Bedload particle size $D$ (mm)	4.3 <sup>a</sup>
Channel slope S	0.025 <sup>a</sup>
Critical Shields stress $\tau_c^*$	0.045 <sup>b</sup>
Water density $\rho_w$ (kg/m <sup>3</sup> )	1000 <sup>b</sup>
Sediment density $\rho_s$ (kg/m <sup>3</sup> )	2650 <sup>b</sup>
Rock elastic modulus Y (Pa)	5×10 <sup>10 c</sup>
Restitution coefficient $C_r$	0.9 <sup>b</sup>
Dimensionless rock resistance parameter $k_v$	10 <sup>6 c</sup>
Rock tensile strength $\sigma_T$ (Pa)	5.5×10 <sup>4 d</sup>
Time period $\Delta T$ (min)	10 <sup>b</sup>
Time step $\Delta t$ (s)	10 <sup>-5 b</sup>

532 Table 2 Parameters used in simulation of the Fuller Experiments

<sup>a</sup> From Fuller et al. (2016).

<sup>b</sup>Assumed.

<sup>c</sup> From Sklar and Dietrich (2004).

<sup>d</sup> From calibration with the 10 mm roughness element in C3 by Fuller et al. (2016).

### 537 *4.1 Model performance*

We assessed three aspects of the model performance when comparing to the Fuller 538 Experiments: 1) shape of the eroded profile, 2) peak erosion rate, and 3) bulk (integrated) 539 cross-section erosion rate. Figure 8 shows the erosion rates measured in the Fuller 540 Experiments. An undercut wall morphology occurred, with erosion below ~ 25 mm on the 541 wall for all roughness sections. Lateral erosion was concentrated in the lower half of the 542 undercut (5 mm - 10 mm) and decreased progressively up to the maximum height of erosion. 543 The peak erosion rate was similar for each roughness section, occurring between a height of 5 544 mm and 10 mm over 2.15 hr. 545

546 The model without co-evolving the shear stress, wall morphology and erosion rate captures the concentration of erosion in the lower half of the wall observed in the Fuller Experiments 547 (Figure 8). However, it overpredicts the peak erosion rate by 3 to 5 times, except the 2.4 mm 548 roughness element where the measured peak erosion rate is slightly larger (~ 10%). The 549 elevation of the peak erosion rate concentrates in a smaller zone near the bottom of the wall 550 (below 5 mm), while the Fuller Experiments show a wider zone of peak erosion rate 551 spreading from the base of the wall to the middle of the erosion zone (below 10 mm). The 552 erosion rate below the radius of bedload particles is under-predicted by the model compared 553 with the substantial undercut on the wall observed in the Fuller Experiments (Figure 8) due to 554 the assumption of spherical bedload particles, which cannot impact on the wall below their 555

556 radius.

- 557 To allow a normalized comparison of bulk erosion rate between model predictions and the
- 558 Fuller Experiments solely because of the occurrence of roughness, we follow the method by
- 559 Fuller et al. (2016) in their Figure 9 and subtracted the bulk erosion rate measured in the 560 smooth section of the same channel from the bulk erosion rate in sections with roughness
- 560 smooth section of the same channel from the bulk erosion rate in sections with roughne 561 elements. The Fuller Experiments produced a roughly parabolic relation between the
- 562 roughness element size and integrated cross-section erosion (Figure 9), which increases with
- roughness size below 4.3 mm, peaks at 4.3 mm, and then gradually decreases with larger
- roughness element sizes. Although the model captures this parabolic relation observed in the
- Fuller Experiments, it overpredicts the erosion for all roughness sections by 1.2 to 2 times.





568 Figure 8 Comparison of modelled cross section shape and peak erosion rate to the Fuller

569 *Experiments for a*) 2.4 *mm, b*) 4.3 *mm, c*) 7.0 *mm, d*) 10.0 *mm and e*) 16.0 *mm roughness* 570 *sections.* 



Figure 9 Comparison of the total (integrated) cross-section erosion between model
predictions and the Fuller Experiments for 2.4 mm, 4.3 mm, 7.0 mm, 10.0 mm in Channel 3
(C3), 10.0 mm in Channel 2 (C2) and 16.0 mm roughness sections.

The deviation in the erosion profile and peak erosion rate between the model predictions and 575 576 the Fuller Experiments can occur because changes in wall morphology will cause a decline in shear stress applied to the bed. As the wall is eroded over time, the shear stress will drop and 577 the travel distance for individual particles will increase, resulting in a lower erosion rate over 578 579 time. We explored the hypothesis that shear stress needs to co-evolve with morphology to accurately predict erosion rate by dividing the model run into 10 minute periods. For each 580 period, we calculated the suite of particle deflections and resulting erosion rates, then updated 581 the wall morphology, used it recalculate the water depth, water velocity and shear stress 582 available for sediment transport in the next time period from equations 39-42), and updated 583 the particle impact velocity, particle impact rates and erosion rates at next period. The initial 584 model inputs are from measurements by the Fuller Experiments (Table 1 and 2), and each 585 model run is 2.15 hr. 586

- Figure 10 shows the decline in mean velocity and shear stress that occurs due to the increase in cross-sectional area as the wall is undercut. The change in cross-sectional area, velocity, and shear stress is subtle. Shear stress declines most over the first time period (10 min) but barely changes for the rest of the time, because the erosion rate is largest in that first time period, when the bedload particle travel distance is smallest. The overall decline in shear
- 592 stress is ~10%, because the changes in wall morphology are relatively small. Only the bottom
- 593 of the wall is eroded and the maximum eroded length is only  $\sim 10\%$  of the total river width.
- Our assumption of constant friction factor f may also slow down the change of shear stress.



Figure 10 Cross section area of flow, mean velocity and shear stress evolution for 4.3 mm
and 10.0 mm roughness element

598 The model, when coupled with wall evolution, produces an undercut wall shape that matches the Fuller Experiments well (Figure 8). The simulated erosion concentrates in the lower half 599 of the erosion zone and tapers off with increasing height on the walls. The predicted peak 600 601 erosion depth on the wall generally ranges from 8 mm to 15 mm for all roughness sections, as in the experiments. However, the peak erosion is slightly less than that in the experiments, by 602  $\sim 2$  mm over the total time period. We suspect this is because we neglected the influence of 603 turbulence on lateral bedload particle deflection into the wall. The Fuller Experiments with a 604 planar bed and no deflectors had a wall erosion depth of ~2 mm over the 2.15 hr run duration 605 (See Figure 6d by Fuller et al., 2016). The model with evolution of the wall and shear stress 606 also successfully reproduces the parabolic relation between the roughness element size and 607 integrated cross-section erosion, and the magnitude of erosion over all roughness sections. 608

The model is suitable for predicting the instantaneous lateral erosion rate on the wall. To
successfully predict the change of wall morphology over time, however, the model needs to
be coupled with co-evolution of shear stress, wall morphology and lateral erosion rate.

- 612 *4.2 Evolution of instantaneous lateral erosion rate and wall morphology*
- 613 The modelled evolution of erosion rate and wall morphology is similar for all channels in the
- Fuller Experiments. Representative profiles, for the 4.3 and 10 mm roughness elements, of
- 615 lateral erosion rate and wall morphology evolution through time are shown in Figure 11 and
- Figure 12, respectively. The instantaneous erosion rate declines over time (Figure 11).
- Erosion rate is roughly 10 times lower in the final time period compared to the initial time

- 618 period. As the wall is eroded over time, the shear stress declines with the mean flow velocity
- (Figure 9), which leads to a lower erosion rate by decreasing the impact velocity on the wall
- 620 in later time periods. However, the shear stress at the end of the time period is  $\sim 90$  % of the
- 621 initial shear stress (Figure 9), indicating the influence of the decreasing shear stress on622 erosion rate is almost negligible over the time period here. The decreasing erosion rate over
- time is largely due to the longer travel distance from deflection on the roughness element to
- 624 impact on the wall as the wall is eroded over time (Figure 12). The erosion rates do not
- decline to zero over the 2.15 hr model runs, but the rate of wall evolution does decline
- 626 (Figure 12).
- 627 The erosion rate decreases in the lower half of the erosion zone, but barely changes in the
- upper half. At the beginning of the time period, the erosion rate is roughly 10 times smaller in
- 629 the upper half of the erosion zone, compared to its lower half (Figure 11). At the end of the
- time period, the erosion rate in the upper and lower halves of the erosion zone are similar.The combined effect of this vertical variation through time is a uniform erosion pattern on the
- 631 The combined effect of this vertical variation through three is a uniform efformation time (Figure 12)
- 632 wall over the 2.15 hr simulation time (Figure 12).
- 633 The elevation of the peak erosion rate on the wall gets higher from  $\sim 2.5$  mm to  $\sim 8$  mm above
- the bed (Figure 11). Initially, the maximum erosion rate is mostly created by impacts of
- 635 downward moving bedload particles, which concentrates in a zone near the base of the wall.
- As the wall is eroded over time, however, the corner between the bed and the wall is
- 637 protected as it has been undercut. Instead, more bedload particles will either impact higher on
- the wall or impact on the bed, obtain upward momentum and bounce up on the wall. The
- elevated position of the peak erosion rate on the wall elevates the concentration of erosion
- 540 zone on the wall (Figure 12).



Figure 11 Evolution of instantaneous lateral erosion rate on the wall for a) 4.3 mm and b)
10.0 mm roughness sections over 2.15 hr



645

Figure 12 Evolution of wall morphology for a) 4.3 mm and b) 10.0 mm roughness sections
over 2.15 hr

### 649 5. Coupled lateral and vertical erosion model

Both field observations (Hartshorn et al., 2002; Turowski et al., 2008b; Fuller et al., 2009; 650 Finnegan & Balco, 2013) and laboratory experiments (Finnegan et al., 2007; Johnson & 651 Whipple, 2010) have shown that low sediment supply rates promote vertical erosion and high 652 sediment supply rates promote lateral erosion. Vertical erosion is relatively high when bare 653 exposed bedrock is exposed to sediment impact, but relatively low when the bed is protected 654 by the alluvial cover. Lateral erosion is thought to be high when the bed is alluviated and able 655 to deflect bedload particles into the wall. However, studies of the competition between lateral 656 and vertical erosion due to bedload particle impacts remain qualitative. 657

Our lateral erosion model replicates the essential lateral erosion patterns that were observed 658 in the Fuller Experiments by explicitly accounting for bedrock erosion from bedload particle 659 impacts. We couple the lateral erosion model with a vertical erosion model to quantify the 660 changes in vertical and lateral erosion due to impacts from bedload particles for a range of 661 hydraulic and sediment transport conditions. We generalize the lateral erosion model by 662 treating the roughness elements as alluvial cover that has the same grain size as the bedload 663 particles  $(D_r = D)$  and use a nondimensional form of the model to show that for a given grain 664 size the full model behavior collapses to a unique functional surface in the parameter space 665 defined by two nondimensional quantities: the relative sediment supply  $(q_s/q_t)$  and the 666 transport stage  $(\tau_s^*/\tau_c^*)$ . We then combine the lateral erosion model with the Sklar & Dietrich 667 (2004) vertical erosion model and quantify the competition between lateral and vertical 668 erosion by looking at the ratio of lateral to vertical erosion rate as a function of relative 669 sediment supply  $(q_s/q_t)$  and the transport stage  $(\tau_s^*/\tau_c^*)$ . 670

#### 672 5.1 Nondimensional framework of coupled numerical model

The nondimensional framework for the lateral erosion model is intended to explore the

variation of instantaneous lateral erosion rate for the given hydraulic and transport conditions,

675 rather than the co-evolution of lateral erosion rate, wall morphology and shear stress over

time. We start by determining the size and distribution of roughness elements on the bed.Assuming the alluvial cover provides the only roughness elements capable of deflecting

bedload particles, and has the same size as the bedload particles  $(D_r = D)$ , the fraction of

roughness elements  $F_r$  increases with sediment supply rate and can be calculated from the

relative sediment supply  $q_s/q_t$  using the method proposed by Sklar & Dietrich (2004)

$$F_r = \frac{q_s}{q_t} \tag{43}$$

where the fraction of roughness elements (alluvial cover)  $F_r$  is assumed to be a linear function of relative sediment supply, and the transport capacity  $q_t$  can be estimated from the

684 Fernandez Luque & Van Beek (1976) bedload sediment transport relation

685 
$$q_t = 5.7\rho_s (R_b g D^3)^{0.5} (\tau_s^* - \tau_c^*)^{1.5}$$
(44)

686 where  $R_b = \rho_s / \rho_w - 1$  is nondimensional buoyant density. Assuming the alluvial cover is 687 uniformly distributed on the bed, the distance between two adjacent roughness elements *d* is 688 expressed as

689

$$d = \frac{D}{F_r} \tag{45}$$

690 Substituting equation 43 into equation 45, *d* can be obtained from the given grain size *D* and 691 relative sediment supply rate  $q_s/q_t$ 

 $d = D \frac{q_t}{q_s} \tag{46}$ 

We then determine the initial saltation trajectories and deflection trajectories from discrete 693 roughness elements from equations 9-15, for a given transport stage  $\tau_s^*/\tau_c^*$  and grain size D. 694 These results are then applied in a continuum model by calculating the deflection rates  $I_c$  on 695 each cell of the roughness surface from equation 16-25, the impact rate  $I_w$  on the wall from 696  $I_c$ , the impact velocities  $v_i$  and positions on the wall from equation 26-28 and the resultant 697 total erosion rates  $E_t$  for all impact locations on the wall from combining equations 32-35 for 698 the given rock parameters ( $k_v$ ,  $\sigma_T$  and Y), relative sediment supply rate  $q_s/q_t$ , transport stage 699 700  $\tau_s^*/\tau_c^*$  and grain size D

701 
$$E_t = \sum \frac{\pi \rho_s D^3 v_i^2 Y}{6k_v \sigma_T^2} I_w.$$
 (47)

702 The downstream velocity after deflection in equation 26 is assumed to be constant here for 703 simplification, without considering the variation of deflection trajectories in the longitudinal direction. To account for the transition from bedload to suspension that is equivalent to a 704 particle taking a hop of infinite length, Sklar & Dietrich (2004) assume that the impact rate 705 on the bed and the impact velocity become negligible as  $u^*$  approaches  $w_f$  (see their equation 706 21 and 22). When  $l_s$  becomes infinite in our lateral erosion model, the impact velocity on the 707 bed  $w_s$  (equation 12) before deflection, and hence the impact velocity on the wall  $v_i$ 708 (equation 33) monotonically increases with higher transport stage. This is problematic 709

- because the lateral erosion rate should decline as the transport stage approaches the
- suspension threshold. To keep the lateral erosion model consistent with the Sklar & Dietrich
- 712 (2004) vertical erosion model,  $v_i$  is set to be negligible by multiplying it with
- 713  $(1 (u^*/w_f)^2)^{0.5}$  in equation 47 as  $u^*$  approaches  $w_f$  and rearranging equation 47

714 
$$E_t = \frac{\pi \rho_s D^3 Y}{6k_\nu \sigma_T^2} (1 - (u^*/w_f)^2) \sum (v_i^2 I_w).$$
(48)

To evaluate the average lateral erosion rate  $E_l$  on the wall,  $E_t$  is averaged over the maximum impact elevation on the wall  $z_{lmax}$  which is obtained from the distribution of  $z_l$  of all

717 deflection trajectories on the wall

718 
$$E_{l} = \frac{\pi \rho_{s} D^{3} Y}{6k_{v} \sigma_{T}^{2}} \frac{(1 - (u^{*}/w_{f})^{2})}{dz_{lmax}} \sum (v_{i}^{2} I_{w})$$
(49)

719 The variable  $u^*/w_f$  is a function of transport stage  $\tau_s^*/\tau_c^*$  for a given grain size D, so  $E_l$  is a

function of four variables, including rock parameters ( $\sigma_T$  and *Y*), relative sediment supply

rate  $q_s/q_t$ , transport stage  $\tau_g^*/\tau_c^*$  and grain size *D*. The influence of rock parameters ( $\sigma_T$  and

722 *Y*) in equation 49 can be erased when  $E_l$  is non-dimensionalized as (Sklar & Dietrich, 2004)

723 
$$E_l^* = \frac{E_l \sigma_T^2}{\rho_s Y(gD)^{1.5}} = \frac{\pi (D/g)^{1.5}}{6k_v} \frac{(1 - (u^*/w_f)^2)}{dz_{lmax}} \sum (v_l^2 I_w)$$
(50)

- Therefore,  $E_l^*$  can be considered as a function of just two nondimensional quantities, the relative sediment supply  $q_s/q_t$  and the transport stage  $\tau_s^*/\tau_c^*$  for a constant grain size *D*.
- Meanwhile, an analytical solution for the non-dimensional vertical erosion rate  $E_v^*$  has been

proposed to be a function of  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$  by Sklar & Dietrich (2004)

728 
$$E_{\nu}^{*} = \frac{E_{\nu}\sigma_{T}^{2}}{\rho_{s}Y(gD)^{1.5}} = \frac{0.046(R_{b}\tau_{c}^{*})^{1.5}}{k_{\nu}}\frac{q_{s}}{q_{t}}(1-\frac{q_{s}}{q_{t}})(\frac{\tau_{s}^{*}}{\tau_{c}^{*}}-1)(1-(\frac{u^{*}}{w_{f}})^{2})^{1.5}$$
(51)

729 Vertical and lateral erosion can be coupled from the ratio *e* 

- 730  $e = \frac{E_l^*}{E_v^*}$  (52)
- because both erosion rates can be related to two variables  $q_S/q_t$  and  $\tau_s^*/\tau_c^*$  for a given *D*.

### 732 5.2 Competition between vertical and lateral erosion

In order to explore the competition between vertical and lateral erosion with varied  $q_S/q_t$  and 733  $\tau_s^*/\tau_c^*$ , we assume that channel erosion is disconnected from the hillslopes. The most direct 734 analogue for the coupled model here is a bedrock canyon or gorge that is deeply incised into 735 a river valley and largely disconnected from the hillslopes. In order to implement lateral and 736 vertical erosion in a coupled format, we must specify various parameters, including the grain-737 size of transported material, transport thresholds and various sediment, rock and water 738 properties. For convenience, we use values reported by Sklar & Dietrich (2004) for the South 739 Fork Eel River in Northern California (Table 3). 740

- 741
- 742
- 743

744	Table 3 Reference site and the model parameter values used as inputs for vertical, lateral and
745	coupled erosion models.

Variable	Value
Bedload particle size $D$ (m)	0.060 <sup>a</sup>
Channel width $W$ (m)	18.0 <sup>a</sup>
Critical Shields stress $\tau_c^*$	0.045 <sup>b</sup>
Water density $\rho_w (\text{kg/m}^3)$	1000 <sup>b</sup>
Sediment density $\rho_s$ (kg/m <sup>3</sup> )	2650 <sup>b</sup>
Rock elastic modulus Y (Pa)	5×10 <sup>10 a</sup>
Dimensionless rock resistance parameter $k_v$	10 <sup>6 a</sup>
Rock tensile strength $\sigma_T$ (Pa)	7×10 <sup>6 a</sup>

<sup>a</sup> From Sklar and Dietrich (2004).

<sup>b</sup>Assumed.

The first step in exploring the competition between vertical and lateral erosion involved 748 749 calculating how  $E_v^*$  varies with  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$  for the grain size D = 0.06 m at the reference site, using the Sklar & Dietrich (2004) model.  $E_v^*$  has an analytical solution for 750  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$ , so we can simply determine  $E_v^*$  for each combination of  $q_s/q_t$  and  $\tau_q^*/\tau_c^*$ 751 from equation 51. Figure 13 shows that  $E_{v}^{*}$  collapses to a unique functional surface in the 752 parameter space created by  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$ . As in Sklar & Dietrich (2004),  $E_v^*$  goes to zero 753 at the threshold of motion and suspension along the  $\tau_s^*/\tau_c^*$  axis, and the threshold of full 754 cover and no cover along the  $q_s/q_t$  axis. The decline in erosion rate at the threshold for 755 suspension is adopted for simplicity here, but we recognize that this is not strictly correct and 756 that there is some reduced bedrock erosion beyond the suspension threshold (Lamb et al., 757 2008; Scheingross et al., 2014).  $E_{\nu}^{*}$  peaks at the intermediate transport stages (Figure 14a) 758 where the growth in the impact energy is balanced by a decline in the impact frequency as the 759 saltation hop length increases with shear stress, and at moderate relative sediment supply 760 (Figure 14b), where the growth in impact rate is balanced by the reduction in the extent of 761

bedrock exposure with increasing sediment supply.



Figure 13 Non-dimensional vertical erosion rate  $(E_v^*)$  as a function of transport stage and relative sediment supply.

The second step in examining the competition between vertical and lateral erosion was to 766 explore how  $E_l^*$  varies with  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$  for the grain size D = 0.06 m at the reference 767 site. We varied  $\tau_s^*/\tau_c^*$  from 1 to 22, and for each value of  $\tau_s^*/\tau_c^*$  calculated the initial saltation 768 trajectories (equations 9-12) before deflection by roughness elements and the transport 769 capacity  $q_t$  (equation 44). We also varied  $q_s/q_t$  from 0 to 1, and for each value of  $q_s/q_t$ 770 calculated the distance between two adjacent roughness elements d (equation 46). For each 771 combination of  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$ , we calculated the sediment supply rate  $q_s$  (equation 43) 772 and used the deflection model to get all the possible individual deflection trajectories from 773 774 discrete parts of the roughness elements (equations 13-15). We then applied these results in the continuum model by calculating the deflection rates on each cell of the roughness surface 775 (equation 16-25), the maximum erosion height  $z_{lmax}$  (equation 26-28), and the resultant  $E_l$ 776 (equation 49) and  $E_l^*$  (equation 50). Using this nondimensional framework, the lateral erosion 777 model also collapses to the unique functional surface in the parameter space defined by  $q_s/q_t$ 778

779 and  $\tau_s^*/\tau_c^*$  (Figure 15).



Figure 14 Non-dimensional vertical erosion rate as a function of a) transport stage  $\tau_s^*/\tau_c^*$ and b) relative sediment supply  $q_s/q_t$ ; non-dimensional lateral erosion rate as a function of c) transport stage  $\tau_s^*/\tau_c^*$  and d) relative sediment supply  $q_s/q_t$ , and the ratio of lateral to vertical erosion rate as a function of e) transport stage  $\tau_s^*/\tau_c^*$  and f) relative sediment supply  $q_s/q_t$ .



Figure 15 Non-dimensional lateral erosion rate  $(E_l^*)$  as a function of transport stage and relative sediment supply.

Figure 15 reveals that  $E_l^*$  goes to zero at the threshold of motion and suspension along the 790  $\tau_s^*/\tau_c^*$  axis, and the threshold of no cover along the  $q_s/q_t$  axis, but is relatively high at the 791 threshold of full cover. As with  $E_{\nu}^{*}$ ,  $E_{l}^{*}$  peaks at an intermediate transport stages, however,  $E_{l}^{*}$ 792 peaks at high relative sediment supply rate (~ 0.7; Figure 15). Figure 14c-d illustrates the 793 pattern of  $E_l^*$  with increasing shear stress and relative sediment supply rate more clearly.  $E_l^*$ 794 shows a parabolic variation with transport stage, where  $E_l^*$  is zero at the threshold of motion 795 796 due to a lack of particle movement along the transport stage axis (Figure 14c). As the transport stage exceeds the threshold for motion,  $E_l^*$  increases gradually with transport stage 797 798 due to the growth in impact velocity. However, the impact frequency of bedload particles on the roughness element decreases with transport stage, because the saltation trajectories tend 799 800 to grow more elongated with increasing shear stress. The growth in the particle impact energy and the reduction in the impact frequency with increasing shear stress results in a peak  $E_l^*$  at 801 802 intermediate transport stages.  $E_l^*$  goes to zero at the threshold of suspension, because no impacts between roughness elements and bedload particles occur in our model. This is an 803 artifact of the saltation model used. Some limited lateral erosion is possible from deflected 804 particles above the suspension threshold, but  $E_l^*$  would be low. Along the relative sediment 805 supply axis, a parabolic variation of  $E_l^*$  also exists.  $E_l^*$  is zero when the bed is free of cover 806 and remains negligible when the relative sediment supply is <0.15 (Figure 14d). This occurs 807 because when the relative sediment supply is low, the fraction of bed coverage is low, and 808 809 there are relatively few deflectors on the bed.  $E_l^*$  gradually grows with the relative sediment supply rate above 0.15 due to the increase of the number of saltating bedload particles and the 810

- extent of roughness. However,  $E_l^*$  peaks at the relative sediment supply of ~ 0.7 (Figure 14d)
- 812 due to a competition between the impact area  $A_c$  and wall-normal velocity  $v_o$  and the number
- of deflections on each cell of the roughness surface. When  $q_s/q_t$  increases, the distance between two adjacent roughness elements starts to decline, which will reduce the deflections
- near the bottom of the roughness surface and force bedload particles to impact near the top of
- the roughness surface. The concentration of impacts near the top of the roughness surface
- 817 will lead to lower impact area on each cell as the cell starts to get close to the flux surface
- (Figure 5) and lower wall-normal velocity  $v_o$  after deflection by the cell as the vertical
- velocity  $w_s$  before deflection declines and the normal vector increasingly points upward.
- 820 However, the number of deflections on each cell increases with higher sediment supply rates
- 821 as  $q_s/q_t$  increases. The decrease in impact area  $A_c$  and wall-normal velocity  $v_o$  and the
- increase of the number of deflections on each cell of the roughness surface with higher  $q_s/q_t$
- will lead to a peak in  $E_l^*$  when they are balanced.  $E_l^*$  starts to decline for  $q_s/q_t$  above ~ 0.7 and is ~ 75% of the peak lateral erosion rate at the threshold of full cover.
- 825 The contour lines of non-dimensional lateral erosion rate are not smooth. This is not
- improved by using smaller time steps and space grids. The roughness element surface is

discretized into nearly uniform triangular grid cells to model the collision with a finite

number of bedload particles, which leads to variations in the modelled erosion rate. Some

829 variation is also caused by our numerical approach. We track the movement of each particle

to obtain the impact velocity and the impact position on the wall under every combination of

- relative sediment supply rate and transport stage instead of deriving explicit empirical
- correlations, resulting in a lateral erosion model that varies irregularly with control variables.
- The competition between vertical and lateral erosion was calculated from the ratio of  $E_l^*$  to  $E_v^*$ 833 for each combination of  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$ . The ratio e collapses to a unique functional surface 834 in the parameter space created by  $q_s/q_t$  and  $\tau_s^*/\tau_c^*$  (Figure 16). *e* goes to zero with no bed 835 cover, at the thresholds of motion and suspension, and is infinite when the bed has full cover. 836 Figure 14e-f illustrates the patterns in e with changes of  $\tau_s^*/\tau_c^*$  and  $q_s/q_t$ . Along the  $\tau_s^*/\tau_c^*$ 837 axis, e is parabolic, with a peak at an intermediate transport stage. This occurs because  $E_l^*$ 838 increases more rapidly than  $E_{\nu}^{*}$  at lower transport stages (<10), and decreases more rapidly 839 than  $E_v^*$  at high transport stages (Figure 14a-b), for a constant  $q_s/q_t$ . In contrast, e shows a 840 monotonic increase with increasing  $q_s/q_t$  (Figure 14f); *e* goes to zero when  $q_s/q_t = 0$  and 841 gradually increases with relative  $q_s/q_t$  (> 0.15), because  $E_l^*$  grows faster than  $E_v^*$  when the 842 relative sediment supply rate is below 0.5, and  $E_l^*$  continues to increase but  $E_v^*$  start to 843 decrease when the relative sediment supply is between 0.5 and 0.7 (Figure 14b and d). The 844 ratio *e* continues to increase at high relative sediment supply (> 0.7), because  $E_l^*$  decreases 845 846 more slowly than  $E_{\nu}^{*}$ . When the bed is fully covered, the ratio goes to infinity as the lateral
- 847 erosion rate is relatively high, but the vertical erosion rate goes to zero.
- 848 The coupled model shows that the lateral erosion rate is lower than the vertical erosion rate
- under nearly 75% of the transport and supply conditions (Figure 16). Lateral erosion is

negligible at low sediment supply rates when the bed coverage is less than 20% and gradually

- 851 increases with the extent of alluvial cover, but only dominates at high sediment supply rates852 when the bed is largely covered by roughness elements. The ratio *e* is ultimately controlled
- by the change in  $E_{\nu}^*$  and  $E_l^*$  and where it is high does not necessarily correspond to where





Figure 16 The ratio of lateral to vertical erosion rate  $e = E_l^* / E_v^*$  as a function of transport stage and relative sediment supply.

859

# 861 6. Discussion

The lateral erosion model confirms that bedload particle impact is a viable mechanism for lateral erosion in bedrock rivers by reproducing key patterns in lateral erosion from the Fuller Experiments, including the undercut wall shape, the peak erosion rate and the total erosion rate. Saltating bedload particles obtain lateral momentum to erode the wall by colliding with the roughness elements on the bed. The bedload particle impacts concentrate in a zone near the bottom of the walls, thereby creating an undercut wall shape.

# 868 6.1 Limiting conditions on lateral erosion

While our model can reproduce key features of lateral rock erosion in channels, it is useful to 869 consider some limiting conditions on the process of lateral erosion by abrasion. Before doing 870 so, it is useful to acknowledge that the lateral erosion model predicts instantaneous erosion 871 rates. Application of the model to a natural channel needs to consider time scales of 872 effectiveness for both the vertical and lateral erosion processes, which are ultimately 873 controlled by discharge and sediment supply variations (Lague et al., 2005, 2010; Finnegan et 874 al., 2005; Finnegan & Balco, 2013; Inoue et al., 2014, 2016). Wall erosion is the integrated 875 result of intermittent periods of variable discharge and sediment supply. Nevertheless, during 876 877 periods when wall erosion can be effective, there are limits to how far lateral erosion by abrasion may occur before one of the following happens: 1) changes in channel geometry 878 cause the stress to fall below the threshold of motion to maintain bedload; 2) the undercut 879 becomes so deep that deflected particles can no longer reach the wall; or 3) the undercut is so 880 deep that the rock mass above it fails into the channel (as in Figure 1a). 881

As the wall is undercut over time, mean velocity and shear stress drop due to the increase in cross-sectional area. The lateral erosion rate will go to zero when the shear stress is below the threshold for particle motion. However, this is unlikely to happen because the stress and wall morphology co-evolve. At low stresses, where changes in the wall would affect the shear stress, the erosion rate would be low, so the wall evolution would be very slow. It would therefore take an excessively long time for the shear stress to drop below the threshold of motion.

The lateral momentum for bedload particles to reach the wall drops due to the increase in 889 travel distance as the wall gets undercut over time. We select the 10.0 mm roughness section 890 as an example and ran the lateral erosion model over 15 hr. The lateral erosion stops after 12 891 hr, although the transport stage ( $\sim 2.5$ ) at the end of the time period is still enough to transport 892 bedload particles (Figure 17). This occurs because the wall is eroded over 18 mm at the end 893 of the time period, which is too far for bedload particles to impact on the wall at transport 894 stage of ~2.5. As such, the increase in travel distance provides a greater limiting condition on 895 lateral erosion than the drop of shear stress. Using a constant resistance coefficient over the 896 run may overpredict the shear stress because the hydraulic roughness may increase as the 897 wall is undercut. Also, the calculation shown in Figure 17 does not include any roughness 898 elements within the undercut. If the newly exposed bed by channel undercutting becomes 899 alluviated, those deflectors would allow lateral erosion to continue. 900



Figure 17 a) Shear stress evolution and b) wall morphology of 10.0 mm roughness section
(C2) over 15 hr.

901

Continued undercutting of the lower wall creates an imbalance on the wall and may cause the 905 upper part to collapse and to widen the whole channel. Such a mechanism of channel 906 widening has been documented in both experiments (Carter & Anderson, 2006) and field data 907 (Cook et al., 2014). However, the question of how far the wall needs to be undercut before it 908 fails remains unanswered. Bedrock walls with lesser rock mass strength can fail more easily 909 as the lower part of the wall is undercut. The degree of fracturing and jointing on the bedrock 910 walls influences the rate of rock sliding and toppling and hence channel width. Bedrock 911 bedding may play a dominant role in controlling the wall collapse. Undercut bedrock walls 912 with vertical bedding can cause a channel to widen more effectively than with horizontal 913 bedding, which may remain intact for deeper undercuts. 914

915

### 916 6.2 Undercut wall shape dynamics and channel cross-section shape

In bedrock channels with a planar bed, the competition between vertical and lateral erosion is 917 controlled by the extent of alluvial cover under different sediment supply conditions, which 918 may lead to different wall shapes. In a low sediment supply environment, the channel bed is 919 more exposed and vertical erosion will dominate, with lateral erosion relatively negligible, 920 resulting in a near straight wall shape. At an intermediate to high sediment supply where the 921 bed is 50%-90% covered, both the bed and walls can be cut by bedload particle impacts. The 922 continuing lowering of the channel bed will shift down the lateral erosion zone by preventing 923 the bedload particles impacting on a fixed elevation on the walls. This will create an undercut 924 wall shape that keeps the same width but spreads more deeply over time. However, when the 925 bed is near fully covered (>90%), the bed is relatively static due to the protection of alluvium, 926

leading to an undercut wall shape that gets wider over time. As such, the wall shape wouldchange from near straight to deeply undercut as the sediment supply increases.

However, the undercut wall shape may be modified by roughness elements made of the 929 bedrock surface. The beds of bedrock rivers are mostly marked by a wide range of sculpted 930 bed morphologies (Wohl, 1993; Montgomery & Buffington, 1997; Wohl & Merritt, 2001; 931 Richardson & Carling, 2005), such as potholes, flutes, furrows, runnels, etc. In a bedrock 932 channel with bedrock obstacles near the walls, bedload particles can be deflected toward the 933 walls by bedrock obstacles even when no alluvial cover exists. Beer et al. (2017) mapped the 934 lateral erosion patterns in a bedrock gorge in the Swiss Alps under three bedrock obstacle 935 conditions: 1) no bedrock obstacle; 2) low bedrock obstacle; 3) high bedrock obstacle. 936 Although the magnitude of lateral erosion on the bedrock walls was nearly the same over 937 three conditions, the undercut wall shape was more elevated in sections with low and high 938 bedrock obstacle and more irregular in sections with low bedrock obstacle. The occurrence of 939 bedrock obstacles to deflect bedload particles to higher elevations than the alluvium may 940 have the effect of elevating the undercut zone. The size of bedrock roughness obstacles can 941 influence the erosion rate from two opposite effects. Small bedrock obstacles do not have 942 large surface area to deflect bedload particles but tend to have high impact velocity due to 943 low form drag. Larger bedrock obstacles have more surface area for deflections, but the 944 impact velocity will be reduced because of higher form drag. This may explain the near same 945 lateral erosion rate in bedrock rivers with no, low and high bedrock obstacle observed by 946 947 Beer et al. (2017). Intermediate bedrock obstacle that balances the tradeoff between surface area and impact velocity may be most beneficial for lateral erosion. 948

It is possible to infer the relative width to depth ratio and degree of incision of a channel 949 cross-section from Figure 16. A bedrock channel with a high sediment supply rate, which can 950 be found near the upper corner of Figure 16, is mostly covered by alluvium. This channel 951 would be dominated by lateral erosion with negligible vertical erosion, allowing for a wide 952 bedrock channel, relative to its depth. In contrast, a channel that receives relatively little 953 sediment supply should plot near the lower corner of Figure 16, will preferentially incise the 954 bed and have a lower relative width to depth ratio. Of course, the sediment supply and 955 transport stage conditions of bedrock rivers change over time with hydrographs and 956 sedigraphs in a basin. The ultimate shape of a channel is determined by how long it spends in 957 particular positions on Figure 16. A channel that spends the vast majority of its time in the 958 959 lower corner of Figure 16 is likely to be narrow and deeply incised. A channel that is in the upper corner of Figure 16 most of the time will be relatively wider. Tracking a channel 960 through time on Figure 16 requires a full morphodynamic implementation of the model 961 presented herein, which requires imposed hydrographs and sedigraphs. 962

963

# 964 *6.3 Model limitations and further prospects*

965 There are a number of simplifications in our model, which were necessary to produce a 966 result, that may affect the outcomes. Our model uses a uniform grain size with spherical 967 shape for sediment particles to represent the wide distribution of grain sizes supplied to 968 bedrock rivers. Grain size controls the threshold for motion and hence the transport stage, and 969 hence impact velocity and impact rates. Grain size of the alluvial cover determines the 970 elevation of collision, thereby influencing the transfer of momentum during collision and the 971 impact height on the wall. High points of the alluvial cover that protrude above the reach of

- bedload abrasion are not effective in deflecting bedload particles into the wall. Therefore, the 972
- distribution of grain sizes supplied by the upstream catchment (Sklar et al., 2017) may 973
- influence the lateral erosion rate by changing the fraction of total load that is transported as 974 bedload and the momentum transfer of bedload particles during collision with the alluvial
- 975 cover. The shape of sediment particles determines the distribution of impact angles during 976
- collision between roughness elements and bedload particles, thereby influencing the direction 977
- of movement after collision. Given that our assumption of a uniform grain size with spherical 978
- shape has well reproduced the erosion patterns observed in the Fuller Experiments, which 979
- used non-spherical deflectors, the influence of the non-spherical shapes of natural particles on 980 lateral erosion rate may be negligible. 981
- Our lateral erosion model uses numerical formulations to track the movement of individual 982
- bedload particles. The potential for bedrock erosion by bedload impacts at transport stages 983 above the suspension threshold are ignored. It is possible that particle impacts might be
- 984
- viscously damped for fine grains that are transported as suspended load. Yet, bedload 985 transport remains a significant, but decreasingly important component of the total load as 986
- transport stages increase above the suspension threshold (Lamb et al., 2008; Scheingross et 987
- al., 2014). The suspended load has been proposed to be responsible for lateral erosion 988
- through turbulent fluctuations that laterally sweep particles to impact on the wall (Whipple et 989
- al., 2000). Nonetheless, it is not possible for us to track particle movements above the 990
- 991 suspension threshold, so we force the lateral erosion rate to zero at the suspension threshold,
- which is consistent with the Sklar & Dietrich (2004) vertical erosion model. 992
- 993 Another simplification in the lateral erosion model is our assumption that bedload particles are uniformly transported in a rectangular channel with a planar bed and straight walls. In a 994 rectangular bedrock channel, the shear stress is higher in the channel center than near the wall 995 due to the wall drag (Parker, 1978). This flow structure results in faster bedload particle 996 velocity in the center than near the walls. Bedload particles have also been observed to 997 preferentially move in the channel center (Finnegan et al., 2007; Nelson & Seminara, 2011). 998 The higher speed and greater concentration of bedload particles in the channel center will 999 increase the impact energy and frequency and accelerate the vertical erosion rate in the 1000 channel center, but slow down the lateral erosion rate due to the increasing travel distance for 1001 1002 the particles to impact on the wall.
- The simplified treatment of flow dynamics in the model may influence the result as well. The 1003 movement of sediment after collision is modelled by assuming that the influence of 1004 turbulence on trajectories is negligible. However, local turbulent fluctuations can be intense 1005 above a bed with significant roughness (Richardson & Carling, 2005). We assume that flow 1006 1007 advection is negligible near the bed so that particles impact on roughness elements and 1008 subsequently on the wall without being swept away with the flow. The advective component 1009 of the impact velocity can be significant over roughness elements (Tinkler, 1997; Johnson & 1010 Whipple, 2007), where flow goes around large roughness elements and advects the sediment 1011 toward the wall, potentially increasing the impact velocity and rates on the wall. Non-uniform flow in deeply incised bedrock rivers may exacerbate this problem. Erosion rate scales with 1012 the impact velocity squared, so erosion is controlled by local velocity. Venditti et al. (2014) 1013 documented plunging flow structures, compensated by upwelling of highly turbulent, low-1014 velocity fluid along the walls as flow entered a narrow and deep canyon reach. These 1015

1016 secondary flow cells can direct bedload particles to the walls without being deflected by the 1017 roughness element, which may enhance undercutting.

1018 The inherent limitation of the model is that it requires discretizing roughness elements and

1019 tracking the movement of individual bedload particles, which makes it difficult to test the

sensitivity of lateral erosion rate on changes of variables in bedrock channels, such as

1021 discharge, sediment supply, grain size, shear stress, rock strength and bed roughness, and to

1022 predict bedrock channel dynamics at reach or larger scales with varied discharge and

sediment supply over time. An analytical solution for the lateral erosion model may exist and

- 1024 can be further developed to explore the complex dynamics in bedrock channels if the impact
- angle on the roughness element is fixed as 45 degrees relative to the base of the roughness
- 1026 element head instead of discretizing the roughness elements, because the lateral erosion is
- 1027 dominated by the impacts here (Figure 5 and Figure 7).

1028 Despite these simplifications, our model agrees well with experiments that have relatively

simple geometries (Fuller et al., 2016), which suggests that the model captures the

1030 fundamental mechanism correctly. Furthermore, the model generates some features that are

1031 qualitatively similar to field observations (Cook et al., 2014; Beer et al., 2017). Nevertheless,

some caution should be exercised in applying the model where the transport stages exceed the

suspension threshold, where the cross-section is irregular, or where the flow field is non-

1034 uniform.

# 1036 6. Conclusion

We have developed a mechanistic model for lateral erosion of bedrock channel banks by 1037 bedload particle impacts using well established empirical relations for initial velocities of 1038 bedload particles, a simplified reflection methodology for collision with roughness elements, 1039 and a numerical model for tracking the motion of bedload particles from collision to impacts 1040 on the wall. Simulations of the Fuller Experiments show that the model successfully predicts 1041 the essential undercut wall shape, the dynamics of peak erosion rate and total cross-sectional 1042 erosion rate with roughness element size, which not only validates the formulation of our 1043 lateral erosion model but also supports the bedload particle impacts as an effective 1044 1045 mechanism for lateral incision in bedrock rivers. The predicted lateral erosion rate can be further expressed in non-dimensional form as a function of transport stage and relative 1046 sediment supply for the given grain size by assuming that the alluvial cover due to deposition 1047 of sediment particles is effective at deflecting downstream transport particles. The non-1048 dimensional lateral erosion model defines a unique functional surface bounded by four 1049 thresholds, including the threshold of motion, the threshold of suspension, the threshold of no 1050 cover, and the threshold of full cover. The lateral erosion is relatively high at the threshold of 1051 full cover, but turns to be zero at all other three thresholds. The model also predicts a peak 1052 lateral erosion rate when the bed is near 70% covered, due to a trade-off of deflection rates 1053 and deflection angles as the sediment supply increases. A coupled model that combines 1054 vertical erosion with lateral erosion due to bedload particle impacts is further developed. The 1055 1056 coupled model predicts that vertical erosion dominates under ~ 75% of transport and supply conditions on the unique functional surface. The lateral erosion only outpaces the vertical 1057 erosion when the bed is near fully covered. 1058

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- 1063 https://vault.sfu.ca/index.php/s/Jl5a8gOerxscWQf.

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1272	
1273	
1274	Notation:
1275	$A_c$ projected area of each grid cell (m <sup>2</sup> )
1276	$A_w$ impact area on the wall (m <sup>2</sup> )
1277	A(T) wetted area at time period $T$ (m <sup>2</sup> )
1278	$C_1$ gravitational acceleration coefficient (ms <sup>-2</sup> )
1279	$C_2$ drag deceleration coefficient (ms <sup>-2</sup> )
1280	$C_d$ drag coefficient (dimensionless)
1281	$C_r$ restitution coefficient (dimensionless)
1282	d distance between two adjacent roughness elements (m)
1283	D grain size of bedload particles (m)
1284	$D_r$ grain size of roughness elements (m)
1285	<i>e</i> the ratio of lateral to vertical erosion rate (dimensionless)
1286	$E_c$ instantaneous total erosion rate from one cell (m <sup>3</sup> s <sup>-1</sup> )
1287	$E_b$ bulk erosion rate (m <sup>2</sup> s <sup>-1</sup> )
1288	$E_l$ lateral erosion rate (ms <sup>-1</sup> )
1289	$E_l^*$ nondimensional lateral erosion rate (ms <sup>-1</sup> )
1290	$E_t$ total erosion rate (m <sup>3</sup> s <sup>-1</sup> )
1291	$E_{v}^{*}$ nondimensional vertical erosion rate (ms <sup>-1</sup> )
1292	$E_z$ erosion rate at elevation z (ms <sup>-1</sup> )
1293	f total friction factor (dimensionless)
1294	$f_a$ friction factor for alluvium (dimensionless)
1295	$f_b$ friction factor for bedrock surface (dimensionless)
1296	$f_r$ friction factor for roughness element (dimensionless)
1297	$F_a$ fraction of alluvium (dimensionless)
1298	$F_r$ fraction of roughness elements (dimensionless)
1299	g gravity acceleration (ms <sup>-2</sup> )
1300	h water depth
1301	$h_c$ impact elevation on the roughness element (m)
1302	$h_s$ saltation hop height (m)
1303	$I_c$ impact rate on grid cell (s <sup>-1</sup> )

 $I_p$  impact rate on the upward trajectory plane (m<sup>-2</sup>s<sup>-1</sup>)

- $I_w$  impact rate on the wall (s<sup>-1</sup>)
- $i_s$  incoming saltation velocity vector (ms<sup>-1</sup>)
- *IL* impact position vector (ms<sup>-1</sup>)
- *IV* impact velocity vector (ms<sup>-1</sup>)
- $k_s$  hydraulic roughness length scale (m)
- $k_{\nu}$  Rock resistance coefficient (dimensionless)
- $l_r$  the distance between the center of the roughness element and the vertex of the upstream facing part
- 1312 of the successive downstream roughness element (m)
- $l_s$  saltation hop length (m)
- $l_{sd}$  saltation hop length of the downward trajectory (m)
- $l_{su}$  saltation hop length of the upward trajectory (m)
- $l_u$  downstream distance of impact position
- *L* length of upward trajectory plane (m)
- *M* mass of bedload particles (kg)
- $\hat{n}$  surface normal vector (dimensionless)
- *N* number of grids (dimensionless)
- $o_s$  outgoing saltation velocity vector (ms<sup>-1</sup>)
- **p** projection of the incoming velocity vector onto the surface normal vector (ms<sup>-1</sup>)
- P(T) wetted perimeter at time period T (m)
- $q_s$  sediment supply per unit width (kgm<sup>-1</sup>s<sup>-1</sup>)
- $q_t$  transport capacity per unit width (kgm<sup>-1</sup>s<sup>-1</sup>)
- $Q_w$  water discharge (m<sup>3</sup> s<sup>-1</sup>)
- r semi-circle radius cut along the roughness element (m)
- $R_b$  nondimensional buoyant density (dimensionless)
- *S* channel slope (dimensionless)
- $S_t$  Stokes number (dimensionless)
- u instantaneous downstream velocity (ms<sup>-1</sup>)
- $u^*$  shear velocity (ms<sup>-1</sup>)
- $u_i$  downstream impact velocity (ms<sup>-1</sup>)
- $u_o$  outgoing downstream velocity (ms<sup>-1</sup>)
- $u_s$  saltation downstream velocity (ms<sup>-1</sup>)
- $U_z$  flow velocity at depth z (ms<sup>-1</sup>)
- U(T) flow velocity at time period T (ms<sup>-1</sup>)
- v instantaneous lateral velocity (ms<sup>-1</sup>)

- $v_{min}$  minimum wall-normal velocity limit (ms<sup>-1</sup>)
- $v_i$  lateral impact velocity (ms<sup>-1</sup>)
- $v_o$  outgoing lateral velocity (ms<sup>-1</sup>)
- $v_s$  saltation lateral velocity (ms<sup>-1</sup>)
- $V_l$  volume eroded per particle impact (m<sup>3</sup>)
- *w* instantaneous vertical velocity ( $ms^{-1}$ )
- $w_0$  outgoing vertical velocity (ms<sup>-1</sup>)
- $w_f$  fall velocity (ms<sup>-1</sup>)
- $w_i$  vertical impact velocity (ms<sup>-1</sup>)
- $w_s$  saltation vertical velocity (ms<sup>-1</sup>)
- *W* channel width (m)
- $x_l$  downstream impact position (ms<sup>-1</sup>)
- $y_l$  lateral impact position (m)
- *Y* Young's modulus of elasticity (kgm<sup>-1</sup>s<sup>-2</sup>)
- $z_l$  vertical impact position (m)
- $z_{lmax}$  maximum erosion height on the wall (m)
- $\rho_s$  sediment density (kgm<sup>-3</sup>)
- $\rho_w$  water density (kgm<sup>-3</sup>)
- $\sigma_T$  Rock tensile strength (Pa)
- $\tau$  total shear stress (Pa)
- $\tau_s$  shear stress due to skin friction (Pa)
- $\tau_s^*$  nondimensional shear stress due to skin friction (dimensionless)
- $\tau_c^*$  critical shields stress for incipient sediment motion (dimensionless)
- $\Delta T$  time period (min)
- $\Delta t$  time step (s)
- $\Delta z$  vertical interval on the wall (m)
- $\alpha$  angle of the upward trajectory plane (°)
- $\beta$  angle of the downward trajectory plane (°)
- **1367**  $\theta$  central angle of impact (°)
- $\theta_d$  central angle limit at the downstream face of roughness element (°)
- $\kappa$  Karman's constant (dimensionless)
- $\nu$  kinematic viscosity of the fluid (m<sup>2</sup>s<sup>-1</sup>)