A bound on Ekman pumping

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Abstract

Momentum transport by boundary-layer turbulence causes a weak synoptic-scale vertical motion. The classical textbook solution for the strength of this Ekman pumping depends on the curl of the surface momentum flux. A new solution for Ekman pumping is derived in terms of the curl of the geostrophic wind and a term that depends in a non-trivial way on the vertical profile of the turbulent momentum flux. The solution is confined to a boundary-layer regime that is vertically well mixed and horizontally homogeneous. The momentum flux is computed from a commonly used bulk surface drag formula and a flux-jump relation to capture the entrainment flux of momentum at the top of the boundary layer. It is found that the strength of Ekman pumping is bounded. The weakening of Ekman pumping for enhanced turbulent surface friction can be explained from the fact that it will reduce the magnitude of the horizontal wind. It is demonstrated that entrainment of momentum across the top of the boundary layer tends to diminish the large-scale divergence of the wind. As momentum transport is parameterized in large-scale models, the analysis is relevant for the understanding and interpretation of the evolution of synoptic-scale vertical motions as predicted by such models.

A bound on Ekman pumping

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5 Key Points:

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The large-scale vertical velocity caused by boundary-layer turbulent friction has
 a maximum value.

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8 Abstract

Momentum transport by boundary-layer turbulence causes a weak synoptic-scale ver-9 tical motion. The classical textbook solution for the strength of this Ekman pumping 10 depends on the curl of the surface momentum flux. A new solution for Ekman pump-11 ing is derived in terms of the curl of the geostrophic wind and a term that depends in 12 a non-trivial way on the vertical profile of the turbulent momentum flux. The solution 13 is confined to a boundary-layer regime that is vertically well mixed and horizontally ho-14 mogeneous. The momentum flux is computed from a commonly used bulk surface drag 15 formula and a flux-jump relation to capture the entrainment flux of momentum at the 16 top of the boundary layer. It is found that the strength of Ekman pumping is bounded. 17 The weakening of Ekman pumping for enhanced turbulent surface friction can be explained 18 from the fact that it will reduce the magnitude of the horizontal wind. It is demonstrated 19 that entrainment of momentum across the top of the boundary layer tends to diminish 20 the large-scale divergence of the wind. As momentum transport is parameterized in large-21 scale models, the analysis is relevant for the understanding and interpretation of the evo-22 lution of synoptic-scale vertical motions as predicted by such models. 23

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25 1 Introduction

Geostrophic flow is at the heart of dynamical meteorology. It elucidates why in a 26 synoptic system of (low) high pressure on the northern hemisphere the wind vector is 27 tangent to the isobars in a (counter-)clockwise direction. However, this theoretical wind 28 structure is fully two-dimensional with a zero vertical velocity component. In fact, the 29 presence of synoptic-scale vertical motion actually requires the consideration of turbu-30 lent boundary-layer eddies that act as a drag on the mean flow. As depicted schemat-31 ically in Fig. 1, this friction effect gives rise to a net horizontal transport of air from high 32 to low pressure. The resulting accumulation of mass drives a large-scale upwards ver-33 tical velocity in a low pressure system, and vice versa in a high pressure system. Because 34 the magnitude of the turbulent friction controls the strength of the cross-isobaric flow 35 [Svensson and Holtslag, 2009], it impacts the evolution of (anti) cyclones at synoptic scales 36 [Sandu et al., 2013]. 37



Figure 1. A schematic representation of Ekman pumping in a synoptic low and high pressure system (adapted from *Marshall and Plumb* [2016]). Boundary-layer eddies cause a cross-isobaric flow in which a net transport of air from high to low pressure occurs. This leads to a convergence of air in the low pressure system and a subsequent large-scale ascending motion. In the high pressure system large-scale subsidence is induced.

Although the characteristic synoptical-scale vertical velocity is small, typically on 43 the order of $\operatorname{cm s}^{-1}$, its effect on the evolution of the boundary layer cannot be neglected. 44 Large-scale subsidence tends to advect the boundary-layer top downwards [Lilly, 1968], 45 which has a strong impact on, for example, the concentration of air pollution in the at-46 mospheric boundary layer [Seibert et al., 2000], the evolution of stratocumulus [Zhang 47 et al., 2009; Van der Dussen et al., 2016], and Arctic mixed-phase stratocumulus [Young 48 et al., 2018]. On the other hand, convergence of air leads to an upward motion of air. 49 Saturation of air, and subsequently clouds may develop as rising air cools down adiabat-50 ically. The generation of precipitation in such a system will be strongly controlled by the 51 large-scale convergence [Back and Bretherton, 2009]. 52

Various efforts have been made to assess the large-scale subsidence from field observations of horizontal wind and with use of the equation for conservation of mass,

$$\frac{\partial U}{\partial x} + \frac{\partial V}{\partial y} + \frac{\partial W}{\partial z} = 0, \tag{1}$$

with U, V, W the east-west (x), north-south (y) and vertical (z) components of the wind vector, respectively. The mean vertical velocity is controlled by the large-scale divergence of horizontal wind,

$$D \equiv \left(\frac{\partial U}{\partial x} + \frac{\partial V}{\partial y}\right) = -\frac{\partial W}{\partial z}.$$
 (2)

This diagnostic expression proved useful to study the diurnal cycle of D from radioson-53 des that were launched during the Atlantic Stratocumulus Transition EXperiment (AS-54 TEX) [Ciesielski et al., 1999]. Lenschow et al. [2007] studied aircraft measurements of 55 the horizontal wind field collected from circular legs flown during the Second Dynam-56 ics and Chemistry of Marine Stratocumulus (DYCOMS-II) experiment and they con-57 cluded that this measurement strategy is not suitable to diagnose D as it yields unac-58 ceptable large errors. By contrast, from a careful analysis of observations from dropson-59 des that were released from an aircraft that flew along circular patterns over the trop-60 ical Atlantic near Barbados Bony et al. [2017] demonstrated that this strategy can ac-61 tually be rather well used to determine D with a sufficient accuracy. 62

A well known and frequently used solution for the mean vertical motion depends on the curl of the surface momentum flux [*Beare*, 2007]. In this note a new diagnostic equation for the large-scale divergence of the horizontal wind D in terms of the strength of a non-dimensional turbulent boundary-layer friction factor and entrainment of momentum across the top of the boundary layer will be derived. It will be demonstrated that this solution predicts a maximum value for the large-scale divergence of the horizontal wind.

70 2 Theory

The dependency of the large-scale vertical velocity on the momentum flux profile can be readily obtained from the conservation equations for momentum and mass. The main goal of this note is to study the effect of boundary-layer friction on Ekman pumping. To this end we will consider an idealized steady-state, horizontally homogeneous and vertically well-mixed boundary-layer forced by a constant geostrophic wind. The momentum flux will be specified in terms of a bulk surface friction factor and an entrainment velocity at the top of the boundary layer.

The mixed-layer model framework originally developed by *Stevens et al.* [2002] is
depicted schematically in Fig. 2. The horizontal wind is constant with height in the bound-

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- ary layer. This approximation holds rather well for convectively driven atmospheric bound-
- ary layers. Further support for the use of this model is given by *Back and Bretherton*
- ⁸² [2009] who showed that it can skilfully reproduce observed surface winds and convergence
- ⁸³ over the tropical oceans.



Figure 2. A schematic representation of the vertical profiles of the steady-state horizontal wind components and their vertical turbulent fluxes (thick black lines) for a forcing $U_{\rm g} > 0$ (indicated by the black dotted vertical line) and $V_{\rm g} = 0$. The momentum fluxes at the surface and at the top of the boundary layer are computed with bulk formulae.

⁸⁸ 2.1 Governing equations

The horizontal momentum equations read,

$$\frac{dU}{dt} = fV - \frac{1}{\rho} \frac{\partial P}{\partial x} - \frac{\partial \overline{uw}}{\partial z},\tag{3}$$

$$\frac{dV}{dt} = -fU - \frac{1}{\rho} \frac{\partial P}{\partial y} - \frac{\partial \overline{vw}}{\partial z},\tag{4}$$

with P the pressure, f the Coriolis parameter, and \overline{uw} and \overline{vw} the Reynolds averaged momentum fluxes. Due to our assumption of horizontal homogeneity the mean horizontal advection terms vanish. Mean vertical advection of momentum is zero as in the boundary layer the wind is assumed to be constant with height. Last, we will use a constant value for the density of air ρ .

In the absence of turbulence a geostrophic balance is maintained by the Coriolis and the pressure gradient forces,

$$U = U_{\rm g} \equiv -\frac{1}{\rho f} \frac{\partial P}{\partial y} \quad , \quad V = V_{\rm g} \equiv \frac{1}{\rho f} \frac{\partial P}{\partial x}, \tag{5}$$

 $_{94}$ with $U_{\rm g}$ and $V_{\rm g}$ defining the geostrophic wind velocity components. The fact that a purely

geostrophic flow does not support any mean vertical motion can be derived from a sub-

stitution of the geostrophic solution Eq. (5) in Eq. (2) which gives D = 0.

The importance of turbulence on the vertical motion becomes clear after a differentiation of Eqs. (3) and (4) with respect to y and x, respectively, and the use of these expressions in Eq. (2),

$$-\frac{\partial}{\partial z}\left[fW - \frac{\partial\overline{uw}}{\partial y} + \frac{\partial\overline{vw}}{\partial x}\right] = 0.$$
 (6)

Here we reversed the order of differentiation in the pressure and momentum flux terms. The latitudinal variation of the Coriolis parameter $\partial f/\partial y$ will be ignored. The vertical gradient of W has entered equation (6) by the use of the continuity equation (1). A vertical integration from the surface (indicated by the subscript 'sfc') upwards to the height h^+ , which is just above the boundary layer where turbulence vanishes, shows that the vertical velocity depends on the curl of the surface momentum fluxes [*Beare*, 2007],

$$W|_{h^+} = \frac{1}{f} \left(\frac{\partial \overline{u}\overline{w}_{\rm sfc}}{\partial y} - \frac{\partial \overline{v}\overline{w}_{\rm sfc}}{\partial x} \right),\tag{7}$$

with W = 0 at the ground surface. The vertical velocity that is driven by surface mo-97 mentum fluxes is called Ekman pumping after the Swedish oceanographer who was the 98 first to derive an analytical solution for wind-driven horizontal transport in the ocean. 99 Ekman's solution for ocean flow is widely used as a powerful diagnostic tool that relates 100 the strength of Ekman pumping in the ocean to the curl of the wind stress exerted at 101 the Ocean's surface. Note that Eq. (7) ignores the effect of entrainment fluxes at the top 102 of the boundary layer. To further explore the role of the momentum fluxes on Ekman 103 pumping we will now apply parameterizations for their values at the surface and at the 104 top of the boundary layer due to entrainment. 105

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2.2 Parameterization of the momentum flux

The surface momentum fluxes can be expressed by the following bulk formula,

$$(\overline{u}\overline{w}_{\rm sfc}, \overline{v}\overline{w}_{\rm sfc}) = -C_{\rm d}U_{\rm spd}(U, V), \tag{8}$$

with $U_{\rm spd}$ the wind speed,

$$U_{\rm spd} = \sqrt{U^2 + V^2}.\tag{9}$$

The factor $C_{\rm d}$ is turbulent drag coefficient that depends on the vertical stability and the roughness length [Schröter et al., 2013]. Because the magnitude of $U_{\rm spd}$ is controlled by

the surface drag coefficient $C_{\rm d}$, the parameterization of the surface momentum flux has introduced a non-linearity in the system. To avoid this additional complexity, the formulation of the surface momentum flux may be further simplified by introducing a linearized friction coefficient [*Back and Bretherton*, 2009],

$$w_{\rm sfc} = C_{\rm d} U_{\rm spd}.\tag{10}$$

¹⁰⁷ This factor is sometimes referred to as a surface ventilation velocity.

The flux at the top of the boundary layer, denoted by h, can be expressed by the 'flux-jump' relation in a similar fashion [Lilly, 1968],

$$\overline{uw}_h = -w_e(U_{ft} - U) \quad , \quad \overline{vw}_h = -w_e(V_{ft} - V), \tag{11}$$

with $w_{\rm e}$ the entrainment velocity, and the subscript 'ft' represents the value of free tropospheric value of the wind just above the boundary layer. In the remainder we will assume that the wind in the free troposphere is in a geostrophic balance, $(U_{\rm ft}, V_{\rm ft}) = (U_{\rm g}, V_{\rm g})$. Because both the actual and geostrophic winds are assumed to be constant with height in the boundary layer, the condition of a steady state requires that the momentum flux must vary linearly with height in order to balance their net force, which allows to express the vertical change of the momentum fluxes as follows,

$$\frac{\partial \overline{u}\overline{w}}{\partial z} = \frac{-w_{\rm e}(U_{\rm g} - U) + w_{\rm sfc}U}{h} \quad , \quad \frac{\partial \overline{v}\overline{w}}{\partial z} = \frac{-w_{\rm e}(V_{\rm g} - V) + w_{\rm sfc}V}{h}, \tag{12}$$

to give the following momentum balance equations,

$$V - V_{\rm g} + k_{\rm top}U_{\rm g} - (k_{\rm sfc} + k_{\rm top})U = 0,$$

$$-U + U_{\rm g} + k_{\rm top}V_{\rm g} - (k_{\rm sfc} + k_{\rm top})V = 0.$$
 (13)

Here we introduced the non-dimensional factors,

$$k_{\rm sfc} = \frac{w_{\rm sfc}}{fh}$$
 , $k_{\rm top} = \frac{w_{\rm e}}{fh}$. (14)

The factor $k_{\rm sfc}$ may be interpreted as a turbulent Ekman number as it compares the importance of surface ("viscous") friction relative to the Coriolis force.

The use of the factor $w_{\rm sfc}$ enables us to solve U and V analytically from Eq. (13).

- In the next section we will effectively apply this strategy. This is motivated by the fact
- that the analytical solutions for the boundary-layer wind, and more specifically their de-
- pendency on the non-dimensional factors $k_{\rm sfc}$ and $k_{\rm top}$, will demonstrate some impor-
- tant general behaviour of Ekman pumping. However, in section 3.3 we will discuss an
- example that is based on numerical solutions of the momentum equations for a prescribed
- value of the bulk surface friction $C_{\rm d}$.

¹¹⁷ 3 Analytical solutions for the large-scale divergence and subsidence

¹¹⁸ Here we present and discuss the analytical solutions for the large-scale flow that

follow from the steady-state linearized momentum equations (13).

3.1 Analytical steady-state solutions

The solutions for the horizontal wind can be expressed in terms of the geostrophic wind,

$$U = \frac{1 + k_{\rm top}(k_{\rm sfc} + k_{\rm top})}{1 + (k_{\rm sfc} + k_{\rm top})^2} U_{\rm g} - \frac{k_{\rm sfc}}{1 + (k_{\rm sfc} + k_{\rm top})^2} V_{\rm g},$$

$$V = \frac{1 + k_{\rm top}(k_{\rm sfc} + k_{\rm top})}{1 + (k_{\rm sfc} + k_{\rm top})^2} V_{\rm g} + \frac{k_{\rm sfc}}{1 + (k_{\rm sfc} + k_{\rm top})^2} U_{\rm g}.$$
(15)

The divergence of the horizontal wind field can be obtained with aid of Eq. (2),

$$D = F(k_{\rm sfc}, k_{\rm top}) \left(\frac{\partial U_{\rm g}}{\partial y} - \frac{\partial V_{\rm g}}{\partial x}\right) = -F(k_{\rm sfc}, k_{\rm top}) \frac{\nabla^2 P}{\rho f},\tag{16}$$

where we introduced the function

$$F(k_{\rm sfc}, k_{\rm top}) = \frac{k_{\rm sfc}}{1 + (k_{\rm sfc} + k_{\rm top})^2},$$
(17)

and ∇ indicates the Laplacian operator in the horizontal directions. The function F is also present in the solution for the large-scale vertical velocity, whose magnitude at the top of the boundary layer can be readily obtained from a vertical integration of D,

$$w|_{h} = -F(k_{\rm sfc}, k_{\rm top}) \left(\frac{\partial U_{\rm g}}{\partial y} - \frac{\partial V_{\rm g}}{\partial x}\right) h,$$
 (18)

where we assumed that the value of D is constant within the boundary layer, which is not an uncommon assumption for vertically well-mixed boundary layers [*Stevens*, 2006].

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3.2 Interpretation

The solutions for the mean vertical velocity (7) and (18) differ in the sense that the 124 former depends on the curl of the surface momentum flux, whereas the new solution Eq. 125 (18) depends on the curl of the geostrophic wind, or, alternatively, on the Laplacian of 126 the pressure field. One might be tempted to hypothesize that a larger surface friction 127 will yield a stronger Ekman pumping from the premise that more surface friction will 128 cause an enhancement of the surface momentum flux. We will now argue that $k_{\rm sfc}$ puts 129 a bound on the strength of Ekman pumping, a condition that cannot be inferred directly 130 from Eq. (7). 131

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3.2.1 Surface friction effect, no entrainment $(k_{top} = 0)$

Let us inspect the function F shown in Fig. 3. For a frictionless flow, $k_{\rm sfc} = k_{\rm top} =$ 133 0, we recover the solutions of a geostrophic balance (5), and consequently there will be 134 no large-scale divergence since F = 0. For $k_{\rm sfc} > 0$ we find that V > 0, which indi-135 cates that cross-isobaric flow occurs. The presence of this ageostrophic wind component 136 results in the large-scale divergence (or convergence) of the flow that, in turn, drives the 137 large-scale vertical motions. If the surface friction goes to infinity, or equivalently, $k_{\rm sfc} \rightarrow$ 138 ∞ , then $F \rightarrow 0$. In this limit surface friction damps the horizontal wind to zero, and 139 subsequently the large-scale divergence $D \to 0$. This leads to the key conclusion that 140 the effect of surface friction on the large-scale vertical velocity is bounded. Eq. (17) pre-141 dicts that the large-scale divergence is maximum with F = 1 for $k_{sfc} = 1$ and zero en-142 trainment, $k_{top} = 0$. According to Eq. (15) this solution corresponds to U = V =143 $\frac{1}{2}U_{\rm g}$, and since the angle of the actual wind with the geostrophic wind is given by $\tan \alpha =$ 144 V/U we find this so-called ageostrophic angle to be equal to $\alpha = 45^{\circ}$. 145



Figure 3. The factor F as a function of the non-dimensional surface friction $(k_{\rm sfc})$ and entrainment $(k_{\rm top})$ factors as defined by Eq. (17). The red dotted line connects the maximum

- values for the function F. The regime left of the red dotted line is indicated by 'strengthening',
- which means that the large-scale divergence D increases for increasing $k_{\rm sfc}$. In the weakening
- regime, D will decrease for increasing $k_{\rm sfc}$. The linestyles are according to the legend.



Figure 4. The wind component (a) U, (b) V, (c) wind speed $U_{\rm spd}$ and (d) the ageostrophic angle α as a function of the non-dimensional surface friction $(k_{\rm sfc})$ and entrainment $(k_{\rm top})$ factors as defined by Eq. (17) for $U_{\rm g} = 10 \text{ ms}^{-1}$ and $V_{\rm g} = 0$. The linestyles are according to the legend.

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3.2.2 Combined surface friction and entrainment

Before discussing the large-scale divergence let us first discuss the solutions for the horizontal wind according to Eq. (15), which show that in the presence of turbulence the steady-state wind speed becomes

$$U_{\rm spd}^2 = \frac{1 + k_{\rm top}^2}{1 + (k_{\rm sfc} + k_{\rm top})^2} (U_{\rm g}^2 + V_{\rm g}^2) \le |\vec{U}_{\rm g}|^2.$$
(19)

Surface friction and entrainment appear to have opposing effects on the wind speed. This can be seen from the limit $k_{\rm sfc} \to \infty$ which yields a zero wind speed. By contrast, for strong entrainment the free tropospheric wind speed is imposed on the boundary layer, as for $k_{\rm top} \to \infty$ we find $U_{\rm spd} \to |\vec{U_{\rm g}}|$.

Fig. 4 shows examples of U, V, $U_{\rm spd}$ and the ageostrophic angle α for a forcing $U_{\rm g} =$ 10 ms⁻¹ and $V_{\rm g} = 0$. While V tends to become smaller with increasing entrainment velocity, we notice a more delicate dependency of U on entrainment in the sense that for small (large) $k_{\rm sfc}$, U tends to decrease (increase) for increasing entrainment velocity. This

results in a wind speed $U_{\rm spd}$ that tends to diminish for increasing entrainment in the regime 163 $k_{\rm sfc} < 2/k_{\rm top}$. Stevens et al. [2002] explains that this is caused by an asymmetry in the 164 entrainment flux. Fig. 5 illustrates that entrainment tends to enhance the momentum 165 fluxes at the top of the boundary layer which results, however, in opposing effects on the 166 vertical gradients of \overline{uw} and \overline{vw} . If the vertical slope of \overline{vw} is enhanced by a larger en-167 trainment velocity, there will be a stronger damping acting on V by turbulent friction, 168 which results in a smaller steady-state value of V, in accord with the results displayed 169 in Fig. 4. As a consequence, the forcing term fV that is present in the budget equation 170 (3) for U is also reduced, and to achieve a steady-state the vertical slope of \overline{uw} has to 171 diminish. An increase in the entrainment velocity can already partly support this, but 172 if this does not yield the requested total change in the vertical gradient of \overline{uw} a balance 173 can be achieved only if U is decreased as well. 174



Figure 5. A schematic representation of the effect of a) an increase in the entrainment velocity (red dashed lines) w_e and b) a decrease of the horizontal wind component U (blue dotted line) on the momentum flux profiles. The black lines indicate the momentum flux profiles belonging to a steady-state solution for a forcing $U_g > 0$ and $V_g = 0$ as shown in Fig. 2. An increase in entrainment causes a larger slope of \overline{vw} (stronger effect of turbulent friction) but a smaller slope of \overline{uw} (smaller effect of turbulent friction).

An important consequence of the presence of entrainment is that it tends to diminish the large-scale divergence (see Fig. 3). Moreover, the maximum value of F is shifted towards larger values of $k_{\rm sfc}$. This can be derived by setting the derivative of the function F with respect to $k_{\rm sfc}$ to zero to give,

$$k_{\rm sfc} = \sqrt{1 + k_{\rm top}^2}.$$
 (20)



Figure 6. Numerical solutions for the wind velocity (a) U, (b) V, (c) the wind speed $U_{\rm spd}$, the non-dimensional (d) surface friction factor $k_{\rm sfc}$, the entrainment factor (e) $k_{\rm top}$, and (f) the function F as a function of the latitude. The forcing conditions were taken from *Stevens et al.* [2002], $C_{\rm d} = 0.00111$, $U_{\rm g} = -6 \,{\rm ms}^{-1}$, $h = 500 \,{\rm m}$. The results for the wind as obtained for an entrainment velocity of 1 cm s⁻¹ are identical to his Figure 1, and the zero entrainment case is added here to illustrate its impact on the wind. The linestyles are according to the legend.

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3.3 A numerical example for a case with a prescribed bulk surface drag coefficient $C_{\rm d}$

As a practical illustration of the theory we took the reference case of Stevens et al. 189 [2002], with h = 500 m, $w_{\rm e} = 1$ cm s⁻¹, $U_{\rm g} = 6$ ms⁻¹. Furthermore $C_{\rm d}$ is set to 0.0011 190 which is a typical value over the tropical oceans. However, noting that the relevant pa-191 rameters $k_{\rm sfc}$ and $k_{\rm top}$ do both depend on reciprocal of the Coriolis parameter we have 192 computed results up to a latitude of 90°. The sensitivity of the results on the entrain-193 ment is addressed by setting w_e to zero. Because $U_{\rm spd}$ depends on the prescribed value 194 of $C_{\rm d}$, the solutions shown in Fig. 6 were computed numerically, and as a consequence 195 the bulk surface friction factor $k_{\rm sfc}$, that is now diagnosed from the resulting wind speed 196

according to $k_{\rm sfc} = C_{\rm d} U_{\rm spd} / hf$, differs for the two cases shown. Except for a narrow 197 band near the tropics, where $k_{\rm sfc}$ exceeds unity, entrainment tends to diminish the wind 198 speed. The wind speed tends to approach the (absolute) value of the geostrophic wind 199 towards higher latitudes. This can be explained from the bulk surface friction factor $k_{\rm sfc}$ 200 whose magnitude diminishes away from the Equator. The effect of entrainment on Ek-201 man pumping is evident from the resulting shape of the function F which in the trop-202 ical regime is diminished by more than a factor of about two with respect to the case 203 without entrainment. In conclusion, the findings suggest that maximum values for the 204 function F are most likely to be expected at low latitudes. 205

3.4 Discussion

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Sandu et al. [2013] evaluated the effect of a less diffusive parameterization for tur-207 bulent transport in stably-stratified boundary layers in the European Centre for Medium-208 Range Weather Forecasts (ECMWF) model, and confirmed that the strength of turbu-209 lence diffusion affects the large-scale flow by modulating the strength of synoptic-scale 210 systems. Moreover, they found that the model improved the representation of high-pressure 211 systems, but the storm track region in the Southern Hemisphere was less well captured. 212 Our analysis suggests that the question as to which a change in the parameterization of 213 turbulence in a large-scale weather forecast model leads to either a strengthening or a 214 weakening effect on the evolution of synoptic-scale systems, depends on the factors $k_{\rm sfc}$ 215 and k_{top} in a nontrivial way. 216

It should be noted that the boundary-layer depth itself is controlled by the strength 217 of turbulence. For example, the enhancement of turbulent diffusion in stable conditions, 218 used to improve the representation of large-scale synoptic systems, leads not only to larger 219 momentum fluxes but also to deeper boundary-layers [Sandu et al., 2013; Svensson and 220 Holtslag, 2009]. For the case studied here, a larger entrainment rate will cause a deeper 221 boundary layer, which according to Eq. (18) will enhance Ekman pumping since cross-222 isobaric flow will take place over a deeper layer depth h. However, entrainment has an 223 opposing impact on Ekman pumping via its control on the function F. In particular, if 224 the modelled entrainment is too large, the function F will become smaller as shown in 225 Fig. 3. This suggests that a bias in the entrainment in convective well mixed bound-226 ary layers may yield only a limited impact on Ekman pumping. 227

²²⁸ 4 Conclusion

The present study discusses the effect of boundary-layer turbulence on the magnitude of the large-scale vertical velocity. In particular, a vertically well-mixed and horizontally homogeneous structure of the boundary layer is assumed. We confine our analysis to steady-state conditions and we use bulk parameterizations following the mixed layer model for wind as used in *Stevens et al.* [2002]. We present new diagnostic relations for the large-scale divergence of horizontal wind (D) and the large-scale vertical velocity.

In the absence of entrainment a maximum value for the large-scale divergence D236 is found if the non-dimensional surface friction factor $k_{\rm sfc}$ is equal to unity, a value which 237 corresponds to a situation in which the actual wind has a cross-isobaric (ageostrophic) 238 angle of 45°. The factor $k_{\rm sfc}$ can be thought of as an Ekman number that weighs the im-239 portance of the turbulent surface momentum flux relative to the force due to planetary 240 rotation. A maximum value of Ekman pumping can be explained from the following no-241 tion. For a purely frictionless geostrophic flow the large-scale divergence of horizontal 242 wind D = 0 and consequently there will be no Ekman pumping. The presence of sur-243 face friction act as a drag on the flow that generates an ageostrophic flow component giv-244 ing $D \neq 0$, which, in turn, drives a small large-scale velocity. However, in the limit of 245 infinite turbulent surface friction the horizontal wind will tend to zero, and likewise D =246 0. This reasoning suggests a maximum effect of turbulent surface friction on the mag-247 nitude of D, which is quantified in this study. More precisely, D is found to depend on 248 the curl of the geostrophic wind, in addition to a function F that depends on the non-249 dimensional factors related to surface friction and entrainment. It is found that entrain-250 ment tends to diminish the large-scale divergence. 251

The findings reported in this note might be useful to fine-tune parameterizations 252 in global models such as explored in the study by Sandu et al. [2013] and including the 253 ones which apply an explicit formulation of turbulent form drag due to subgrid orogra-254 phy [Beljaars et al., 2004], or to better understand the impact of the parameterization 255 of the bulk drag coefficient $C_{\rm d}$ on the model outcome [Moon et al., 2007; Foreman and 256 *Emeis*, 2010]. However, our study is restricted to vertically well mixed layers, a condi-257 tion that is not applicable to the nocturnal stable boundary layer whose structure ex-258 hibits strong vertical gradients. 259

-14-

In the context of the present analysis it is worthwhile to mention some relevant stud-260 ies on Ekman pumping based on height-dependent solutions for the wind by Wu and Blu-261 men [1982] and Tan [2001]. Wu and Blumen derived analytical solutions for the boundary-262 layer wind profile for non-stationary conditions. They maintained the advective trans-263 port term in the momentum equation, and they parameterized the momentum flux with 264 a downgradient diffusion approach. Their solution is a modified Ekman spiral, a solu-265 tion that closely mimics the one that is frequently observed for stable boundary layers, 266 with the wind direction and wind speed depending on the magnitude of the constant eddy 267 viscosity. Tan [2001] investigated the role of a height-dependent eddy viscosity and a baro-268 clinic pressure field on Ekman pumping from an analysis of an approximate solution for 269 the wind. Tan concluded that a variable eddy viscosity and inertial acceleration have 270 an important role in the divergence in the boundary layer and the subsequent Ekman 271 pumping strength. A similar analysis might be performed for a clear convective boundary-272 layer regime. For example, Stevens et al. [2002] presented height-dependent wind pro-273 files as obtained with a turbulent diffusion parameterization. 274

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