## Ground-based Auroral Hiss Recorded at Northern Finland with Reference to Magnetic Substorms

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#### Abstract

VLF auroral hiss at Kannuslehto (KAN), Finland has been analyzed with a reference to progress of 98 isolated magnetic substorms. During 11 winter months of 2015-2018, 91 of them were accompanied by the auroral hiss during the substorm growth phase. No auroral hiss was recorded during the expansion and recovery phases. We found auroral hiss was observed under rising polar cap PC index showing an increasing input of the solar wind energy into the magnetosphere during the substorm growth phase. We also found that KAN was mapped to the vicinity of enhanced Field Aligned Currents (FACs) during the auroral hiss occurrence. For the first time, it was established that the auroral VLF hiss generation in the equatorial part of the auroral oval (KAN location) is a typical signature of a substorm phase.









a) Auroral hiss at KAN relative to the onset of the relevant substorm (SO)



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#### 13 Key Points:

- We analyze auroral hiss occurrence at ground-based auroral Finnish station Kannuslehto (KAN, MLAT = 64.2°N) during 98 isolated magnetic substorms;
- The growth phase of 93% of substorms was accompanied by auroral hiss at KAN;
- Auroral VLF hiss observed in the equatorial region of the auroral oval (KAN location) is a typical signature of the substorm *growth* phase

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recorded during the expansion and recovery phases. We found auroral hiss was observed under

rising polar cap PC index showing an increasing input of the solar wind energy into the

26 magnetosphere during the substorm growth phase. We also found that KAN was mapped to the

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- first time, it was established that the auroral VLF hiss generation in the equatorial part of the
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#### 30 Plain Language Summary

Auroral hiss is a well-known type of nighttime natural VLF emission with a noise-like structure

32 generated by the Cherenkov instability of precipitating soft electrons above the ionosphere. The

auroral hiss (up to 39 kHz) occurrence in the equatorward region of the auroral oval at the

Finnish station Kannuslehto (KAN,  $MLAT = 64.2^{\circ}N$ ) was studied during the 11 winter months

in 2015-2018. In this time interval, the 98 isolated and rather powerful magnetic substorms

36 were recorded at Scandinavia. It was revealed that in the growth phase of the 91 substorms (i.e.,

93% of all events of substorm), there was auroral VLF hiss observed at KAN simultaneously

38 with an enhancement of Field Aligned Currents (FAC) exhibiting the soft electron precipitation

which could be a plausible source of the auroral VLF hiss generation. For the first time, it was

40 found that the auroral VLF hiss occurrence in the equatorward region of the auroral oval (KAN

41 location) is a typical signature of the substorm growth phase.

### 42 **1 Introduction**

Auroral hiss is one of prominent natural whistler-mode VLF emissions (Helliwell, 1965) which
have a noise-like structure and are commonly recorded both by the ground-based instruments
and on board satellites throughout the auroral latitudes in the evening and nighttime (e.g.,
reviews by Makita, 1979; Sazhin et al., 1993; LaBelle and Treumann, 2002). Auroral hiss-like
emissions have been recently found in Saturn magnetosphere by the Cassini spacecraft as well
(Ye et al., 2016; Sulaiman et al., 2018).

The name 'auroral hiss' has been proposed by Martin et al. (1960) due to its connection with 49 the visible aurora present somewhere in the sky and a hissing sound. Many early papers 50 51 demonstrated a correlation between auroral hiss and visible auroras (e.g., Burton and Boardman, 1934; Ellis, 1957; Martin et al., 1960; Jørgensen and Ungstrup, 1962; Morozumi, 52 1965; Ungstrup, 1966; Harang, 1969) and it has found that this correlation is certainly not one-53 to-one. The hiss intensity and auroral luminosity were often not correlated (Martin et al., 1960; 54 Jørgensen and Ungstrup, 1962; Jørgensen, 1966; Rosenberg, 1968, Labelle and Treumann, 55 2002) noting that these two phenomena are generated at different altitudes by different 56 mechanisms and, possibly, by different electron populations. Moreover, aurora could be 57 observed without auroral hiss (e.g., Srivastava, 1976). 58

It is believed that auroral hiss emissions are generated above the ionosphere at ~5000-20000 59 60 km by the Cherenkov instability at the frequencies above the lower hybrid frequency and below the electron plasma frequency or gyrofrequency, whichever is lower (Brice and Smith, 61 1965; McEwen and Barrington, 1967; Jørgensen, 1968; Maggs, 1976). Auroral hiss refers to 62 downward electron beams with energies of ~100 eV - 1 keV (e.g., Gurnett and Frank, 1972; 63 Hoffman and Laaspere, 1972; Laaspere and Hoffman, 1976). The low-altitude spacecrafts 64 observed auroral hiss on the night-side almost every time when the spacecraft crossed the 65 auroral zone (Gurnett, 1966; Barrington et al., 1971). Only a small fraction of VLF hiss 66

<sup>67</sup> recorded by satellites reaches the ground. For example, Gurnett (1966) found only two auroral <sup>68</sup> hiss events of 140 conjunctions occurred simultaneous on the ground and spacecrafts. Only <sup>69</sup> waves with the wave normal angle within a narrow vertical transmission cone can penetrate the <sup>70</sup> ionosphere to reach the ground level. The model (Sonwalkar and Haricumar, 2000) explains <sup>71</sup> how auroral hiss can penetrate to the ground due to wave scattering by meter-scale F region <sup>72</sup> density irregularities.

Auroral hiss observed on the ground is a fairly localized phenomenon, and is not 73 simultaneously occurred at the stations with about 5° difference in latitude (e.g., Jørgensen, 74 75 1966; Srivastava, 1976). Moreover, Harang and Larsen (1965) showed a significant difference between auroral hiss observed at Tromsø and Kiruna stations separated by ~250 km. The 76 similar result was reported by Makita (1979) and Nishino et al. (1982) comparing auroral hiss 77 at Syowa and Mizuho stations separated by 260 km along the magnetic meridian. But at the 78 same latitude, auroral hiss could be observed simultaneously at two sites spaced in longitude by 79 at least about 400 km, for instance at Syowa and Molodezhnay stations in Antarctica 80 (Kleimenova and Golikov, 1980) and at Kannuslehto in Finland and Lovozero in Russia 81 (Manninen et al., 2018; Lebed et al., 2019). 82

Most the early studies of the auroral hiss properties have been based on the high-latitude VLF 83 observations: Syowa at ~-70° MLAT and Mizuho at ~-72° MLAT (e.g., Tanaka et al., 1976; 84 Makita, 1979; Sato et al., 1980; Nishino et al., 1982; Ozaki et al., 2008), Bird at ~-71° MLAT 85 (Martin et al., 1960), South Pole at ~-74° MLAT (Spasojevic, 2016). Some knowledge of 86 auroral hiss was obtained from auroral latitudes also, e.g., at Tromsø (~67° MLAT) (Harang 87 and Larsen, 1965; Harang, 1968; Jørgensen, 1966), at Macquarie Island (~-65° MLAT) 88 (Dowden, 1961), at Farewell, Alaska (~62° MLAT) (Morgan, 1977) and some others. 89 Unfortunately, there were no simultaneous observations of auroral hiss at high- and auroral 90 latitudes as the ocean is located at latitudes lower than Syowa and the sea is located poleward 91 from the Scandinavian mainland auroral stations as well. 92

Many authors reported that auroral hiss is usually closely correlated with local geomagnetic 93 activity, however, this statement is ambiguous. In particular, Jørgensen and Ungstrup (1962) 94 did not find such correlation in Greenland VLF data, but Harang and Larsen (1965) showed a 95 positive correlation between auroral hiss occurrence at the auroral latitudes and local moderate 96 geomagnetic disturbances and negative one in the case of strong disturbances. Based on the 97 Syowa observations, Makita (1979) (on page 88) claimed "most of auroral hiss emissions, 98 including impulsive and continuous hiss are observed during the expansion phase of a 99 substorm", but Kokubun et al., 1972 reported some events of auroral hiss at Syowa observed in 100 101 the substorm growth phase. However, at the lower, i.e., auroral latitudes, the auroral hiss occurrence in respond to substorm progress could be different. 102

103 It is well known that a magnetospheric substorm (first introduced by Akasofu, 1964) is one of 104 the major agents in the coupling of the solar wind and magnetosphere, therefore, the 105 understanding of a sequence of different geophysical phenomena during a substorm progress is 106 one of the key problems in near-Earth space plasma physics. The aim of this paper is to study 107 the substorm effects in the occurrence of auroral hiss at the auroral latitudes (at MLAT <  $\sim$ 108 70°).

#### 109 2 Observations and discussion

110 Our study is based on the ground VLF observations at Kannuslehto (KAN) station (MLAT= 111 64.2°N) in Northern Finland. Several wintertime VLF campaigns (2006-2019) have been 112 carried out at this remote, low industrial noise site. The VLF emissions are received by two 113 orthogonal magnetic loop antennas, oriented in the geographic North-South and East-West 114 directions, in the frequency band of 0.2-39 kHz sampled at 78.125 Hz (Manninen, 2005). The 115 noise level of the receiver is about of  $10^{-14}$  nT<sup>2</sup> Hz<sup>-1</sup>. The method of the receiver calibration is described in (Fedorenko et al., 2014). The Fast Fourier Transform (FFT) is performed to
calculate the power spectral densities of the magnetic field of VLF emissions (frequency-time
dynamic spectra, so called spectrograms).

Our observations are essentially different from the VLF registration method previously applied at Syowa and many other stations, there were used the chart paper records in several narrow bands (from 4 to 128 kHz) with different sensitivity at different frequencies (e.g., Makita, 1979). Our continuous records are also different from the VLF records at South Pole station used the 1 min digital data stored synoptically every 15 min (Spasojevic, 2016).

We have to underline, that our ground-based VLF measurements at KAN are carried out at 124 much lower magnetic latitude than Syowa and South Pole Antarctic stations, so our recordings 125 are badly hampered by well-known strong sferics (atmospherics) which hided all VLF 126 emissions above ~3 kHz, especially during the night-time. Sferics are electromagnetic pulses 127 originated from lightning discharges and propagate over distances of thousands of km inside 128 the Earth-ionosphere waveguide (e.g., Volland, 1995). Therefore, to study high-frequency 129 auroral hiss, we have to apply the special method of digitally filtering out the impulsive sferics 130 with the duration less than 30 ms. The method has been briefly described in Manninen et al. 131 (2016). Two examples of non-filtered and the filtered spectrograms are given in Figure 1. One 132

133 can clearly see that the auroral hiss bursts became visible only after the sferics filtering.



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Fig. 1. Two examples of the VLF spectrograms at KAN. From top to bottom: a) nonfiltered
spectrograms demonstrating how sferics hide all high-frequency VLF emissions; b) the same
spectrograms after sferics filtering, the white horizontal lines are navigation transmitter signals
after filtering; c) the auroral hiss spectrograms up to 39 kHz at KAN demonstrating auroral hiss
bursts with intensity maximum concentrating around 10-15 kHz.

In the early works (e.g., Morozumi, 1965; Kokubun et al., 1972; Tanaka et al., 1976; Makita, 140 1979; Tanaka and Nishino, 1988), the auroral hiss at high-latitude Syowa Station has been 141 divided into two types: continuous hiss and impulsive hiss. The continuous hiss is limited by 142 143 frequencies less than 30 kHz and remained steady over tens of minutes or more, it is mainly associated with a steady auroral arc located far poleward from the VLF receiver. The impulsive 144 hiss extends in frequency to several tens of kHz up to 100 kHz with very rapid intensity 145 decreasing with frequency and consists of highly-structured bursts lasting from a few seconds 146 to a few minutes, and accompanied by auroral brightening near the zenith. In the paper by 147 Nishino et al. (1982), these types were called the "narrow-band" hiss and "wide-band" hiss. 148 Sometimes the both types were observed simultaneously. The intensity maximum of both types 149 was observed at the same frequencies around 10 kHz and diurnal variations of both type 150 occurrence show the similar tendencies. Due to that, in some later papers (e.g., Nishino et al., 151

- 152 1982), the auroral hiss properties have been investigated at frequency at 8 kHz which includes 152 both types of hiss
- both types of hiss.
- Our VLF observations at KAN (up to 39 kHz) do not allow to distinguish a high-frequency part of auroral hiss to clearly identify the presence of the impulsive hiss like at the Syowa station. Moreover, the auroral arcs which are plausible source of continuous auroral hiss, observed at Syowa and Mizuho stations, are located far poleward, up to 1000 km distant from Syowa (Nishino et al., 1982). It is too far to be recorded at the latitudes like the KAN location. So, the similar continuous hiss described in (e.g., Makita, 1979) can be hardly observed at KAN located in the equatorward part of auroral oval.
- The auroral hiss dynamic spectra (spectrograms) at KAN look like bursts (groups of signals) with a duration from a few min to ~20 min representing dense sequences of short impulse signals lasting less than ~5 min. Moreover, almost every hiss burst reaches the instrument frequency cutoff (39 kHz) and extends above it, as it is clearly shown in Figure 1c. Further we call these bursts of VLF emissions "auroral hiss" as in classical monograph by Helliwell (1965).
- Auroral hiss was recorded at KAN in 207 days during 11 winter months in 2015-2018 (total number of campaign days was 334) and occurred dominantly prior the magnetic midnight, mostly at 21-22 MLT (18-19 UT). That is in good agreement with many early works (e.g., Harang and Larsen, 1965; Jørgensen, 1966; Morgan, 1977; Makita, 1979). As in all previous studies, auroral hiss at KAN represented the right-hand polarized waves indicating the station location not far (less than ~300-400 km) from the ionospheric wave exit area, as it was shown by some authors, e.g., Ozaki et al. (2008).
- 174 It was found that auroral hiss at KAN was typically observed under low and moderate 175 geomagnetic conditions with Kp < 3. There was no auroral hiss during very quiet days with Kp176  $\leq 1$  (93 days) as well as during very disturbed time with Kp > 4 (34 days). We found that within 177 207 auroral hiss days, 170 events (82%) were associated with local moderate high-latitude 178 magnetic activity (sometimes with very complicated temporal variations looking as a sequence 179 of multiple bay-like disturbances) and hiss bursts were typically recorded *before* their onsets. 180 Not all of these magnetic disturbances can be classified as a magnetic substorm.
- To extract the pure effect of the substorm progress, in our analysis, we selected only 181 disturbances demonstrated the typical isolated substorm signature. Similarly, to Janzhura et al. 182 (2007) the following criteria have been applied for the selection of the typical isolated 183 substorms: (1) the intensity of a negative magnetic bay is more than 400 nT, (2) the sudden 184 sharp drop of the magnetic X component in the substorm onset is more than 150-200 nT, (3) 185 during the 3 h prior to the onset, there are no magnetic disturbances stronger than 200 nT. So, 186 we selected only rather powerful substorms. The planetary AL index is usually used as a proxy 187 of the substorm intensity. Contrary to that, to measure the substorm activity at Scandinavia, we 188 applied the IL indicator of the local magnetic activity at the IMAGE meridian 189 (http://space.fmi.fi/IMAGE) instead of the planetary AL index. The IL indicator is a local 190 analog of the global AL index and calculated by the same method (Tanskanen, 2009). 191
- Within 334 days of VLF observations, there were found only 98 isolated substorms with the onset between 17 UT and 22 UT (i.e., in the time interval of auroral hiss occurrence at KAN) fitted to our criteria. Among these 98 substorms, the 91 events (93%) were accompanied by auroral hiss observed *prior* to the substorm onsets (i.e., within the substorm growth phase) as it is illustrated in Figure 2 (upper plot). Only a few auroral hiss bursts were observed within 10





Fig. 2. Top panel (a): the plot of auroral hiss occurrence relatively to the onsets (SO) of the 91 magnetic substorms demonstrating the auroral hiss during the substorm growth phase and the sudden disappearance of these emissions within the substorm onset. Bottom panels: the examples of auroral hiss during the substorm progress: b) auroral hiss spectrograms; c) the IL indicator showing that the auroral hiss bursts are observed prior to the substorm onset; d) the polar cap PC index as a proxy of the input of the solar wind energy into the magnetosphere which increases in the substorm growth phase.

min after the substorm onset. No auroral hiss was recorded during the expansion and recovery
 phases of the studied substorms. The 7 events of substorms without auroral hiss were mostly
 caused by sudden jumps of the solar wind dynamic pressure and did not show the typical
 growth phase.

209 In Figure 2, it is clearly seen that auroral hiss disappeared in the time of the substorm onset. It could be a result of the VLF wave absorption caused by an enhancement of precipitating 210 electrons in this time. But our study of the 30 MHz riometer absorption data (SOD) 211 (http://www.sgo.fi), observed during and after the considered 91 substorm onsets, showed that 212 the absorption higher than 1.5 dB (which can suppress hiss) was observed only in 27 events 213 (~30% of the events). So, we may suppose that the sudden auroral hiss disappearance can be a 214 215 result by not only the ionosphere VLF absorption, but, probably, by the shift of the auroral hiss source to the higher latitudes. 216

217 Thus, our finding shows that the auroral hiss recorded in KAN is a typical signature of the growth phase of a substorm. The concept of a growth phase existence prior to the magnetic 218 substorm onset was discussed by several authors (e.g., Pudovkin et al., 1968; McPherron, 1970; 219 Kokobun, 1971; Iijima and Nagata, 1972; Sergeev et al., 2011; Clausen et al., 2012), They 220 demonstrated that substorm growth phase is accompanied by some magnetospheric 221 phenomena, such as temporal activations of the pre-breakup quiet auroral arcs and their gradual 222 equatorward shift, Pi2 geomagnetic pulsations, electron precipitation enhancement. The 223 substorm growth phase is generally believed to be initiated by the southward turning of the 224 Interplanetary Magnetic Field (IMF) (e.g., McPherron et al., 1973; Iyemori, 1980), and the 225 increasing of an input of the solar wind energy into the magnetosphere (e.g., Li et al., 2013). 226

One of the indicators of these processes could be the polar cap PC index introduced by Troshichev et al. (1988) and Stauning (2013). The PC index is a proxy of the polar cap magnetic activity generated by the geoeffective interplanetary electric field and the southward IMF. A substorm can start only if the energy input into the magnetosphere exceeds an appropriate threshold of the energy storage. Troshichev et al. (2014) found that during the growth phase of a substorm, the PC index rises until it has reached some threshold level (~1.5 ± 0.5 mV/m) for a possible substorm onset.

We considered the behavior of PC index two hours prior and during the auroral hiss bursts 234 observed before all 91 studied substorms accompanied by hiss. It was found that there was the 235 common tendency to increasing of the values of the PC index prior and during the auroral hiss 236 at KAN. But at times under the PC index general enhancement, some short-time decreasing of 237 the PC index could be observed. Usually it was a result of a short-time IMF variations, for 238 instance, a northward turning of the IMF Bz. Figure 2 (bottom panels) displays 3 examples of 239 the variations of the PC index and IL indicator during auroral hiss bursts. We found only 9 240 cases between 91 studied events when during auroral hiss occurrence, there were no PC index 241 increasing, but before that, the PC index already reached the values 2.5-3.5 mV/m, required for 242 243 a substorm development (Troshichev et al., 2014).

Since auroral hiss is caused by Cherenkov radiation of the low-energetic precipitating electrons, we considered the behavior of the upward Field Aligned Currents (FACs), also known as Birkeland currents, corresponded to the soft electron precipitation. Some upward current increasing prior to a substorm onset was found in Forsyth et al (2018).

To study the FACs during the auroral hiss occurrence at KAN, we used the global maps of
FACs provided by AMPERE (Active Magnetosphere and Planetary Electrodynamics Response
Experiment) facility which consists of the 66 telecommunication satellites at 780 km altitude

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Fig. 3. Survey of the auroral hiss event on 23 December 2016 at 17-19 UT. From top to 253 bottom: a) the auroral hiss spectrogram; b) the auroral keogram from Abisko; c) the auroral 254 keogram from Sodankylä; d) the IL indicator of the magnetic activity; e) the South-West 255 quarters of the global map (in geomagnetic coordinates) of the Field Aligned Currents (FACs) 256 according to the AMPERE data (http://ampere.jhuapl.edu). Blue arrows point the time of these 257 map of auroral hiss at KAN was accompanied by the upward FAC increasing in the pre-258 midnight sector. constructions. The upward FACs are marked by red and the downward FACs 259 - by blue. The small circles indicate the location of KAN 260

(e.g., Anderson et al., 2014). Since the AMPERE data were available only up to 17 September
 2017, we estimated (using the summary plots of the AMPERE maps in
 <u>http://ampere.jhuapl.edu</u>) the average location of the enhanced upward FACs during the events

of the auroral hiss occurrence in the growth phase of the considered 65 substorms in 2015-264 2017. It was found that in the 58 events, prior to the substorm onset (when auroral hiss was 265 recorded at KAN), the enhanced upward FACs were located roughly at ~66-68° MLAT, and 266 about 20-30 min after the substorm onset they shifted westward to ~71-73° MLAT (at that time 267 the auroral hiss bursts at KAN disappeared). It is well known typical FAC dynamics during 268 substorm progress (e.g., Sergeev et al., 2011; Clausen et al, 2012; Forsyth et al, 2018). We have 269 270 to note that very often the upward FAC enhancement was observed without the auroral hiss occurrence, but each event 271

272 One typical auroral hiss event (at ~17:10-18:10 UT on 23 December 2016) is presented in Figure 3. In this event, the optical auroras were observed as keograms (zenith angles along the 273 meridian of aurora versus time) at two stations: Abisko (ABK, MLAT= 65.4°N, located ~300 274 km to North-West from KAN) and Sodankylä (SOD, MLAT= 64.1°N, located ~37 km to South 275 from KAN). Both keograms showed the same auroral arc poleward of these stations. The arcs 276 moved equatorward after ~17.50 UT. The auroral breakup was observed at ~18:10 UT 277 simultaneously with the sharp drop of the IL indicator and strong impulsive riometer absorption 278 at Sodankylä up to 5 dB (not shown here). That completely suppressed auroral hiss. The maps 279 of the FAC distribution according to the AMPERE data are shown in Figure 3e. Well before 280 the substorm onset and in the absence of auroral hiss at KAN, the upward FACs were located 281 far poleward from KAN. Together with the auroral arc moving, the FACs moved to the lower 282 latitudes as well, and KAN became in the vicinity of the upward FACs. In the substorm 283 expansion phase, after the 18:10 UT breakup, there was no auroral hiss at KAN, and the 284 upward FACs shifted to the MLAT ~70°, far poleward from KAN. There were no auroral hiss 285 in the substorm recovery phase, and the enhanced FACs were recorded far poleward from 286 287 KAN.

#### **3** Conclusion 288

The analysis of VLF auroral hiss observation during 11 winter months of 2015-2018 at KAN, 289

- (located in the auroral latitudes, at MLAT=  $64.2^{\circ}$ N) has been done with a reference to a 290 substorm progress.
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292 During these 11 winter months, the 98 isolated and rather powerful magnetic substorms were recorded at Scandinavian meridian by the IMAGE magnetometer network. It was revealed that 293 the 91 substorms (93%) were accompanied by the auroral hiss bursts observed in the substorm 294 growth phase. We also found that auroral hiss prior to a substorm onset was observed under 295 rising values of the polar cap PC index showing an increasing of the input of the solar wind 296 energy into the magnetosphere during the substorm growth phase. Besides, we found that 297 298 before a substorm onset when the auroral hiss occurs, KAN was located in the vicinity of an enhancement of Field Aligned Currents (FAC) demonstrated the soft electron precipitation 299 which could be a plausible source of the auroral hiss generation. 300

- Thus, it was concluded that the typical wave signature of the substorm *growth* phase is auroral 301 hiss generation at the auroral latitudes. 302
- This result is not consistent with the data obtained at high-latitude Antarctic Syowa Station 303 (MLAT ~70°S) where auroral hiss was mostly observed during the expansion phase of a 304 305 substorm (Makita, 1979), although in some cases, auroral hiss was observed also during the substorm growth phase as well (Kokobun et al., 1972). This apparent contradiction may be a 306 result of the space-temporal dynamics of the precipitation of low-energetic electrons and the 307 correspondent high-latitude FACs during the substorm cycle: in the substorm growth phase, all 308 geophysical processes develop in the auroral latitudes, while in the substorm *expansion* phase 309 they move to much higher latitudes. The global maps of FAC distribution, constructed by the 310 AMPERE facility data during the substorm cycle, demonstrated an excellent agreement with 311 paradigm of the polar cap expansion of FACs after the substorm onset. The ground-based 312

- feature of the auroral hiss at different latitudes is controlled by the space-temporal dynamics of
- a substorm progress.

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Figure 1.



# 23 Dec 2016



28 Jan 2018



07 Feb 2017



Figure 2.





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Figure 3.

23 Dec 2016

