

High-Elevation Monsoon Precipitation Processes in the Central Andes of Peru

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Abstract

Measurements at the high-elevation Lamar Observatory in the Mantaro Valley in the Central Andes of Peru demonstrate a diurnal cycle of precipitation characterized by convective rainfall during the afternoon and nighttime stratiform rainfall with embedded convection. Based on 15 years of TRMM precipitation radar (PR) swath product 2A25, the area and rain type of precipitation features (PFs) over the Mantaro Valley showing PFs with areas smaller than 25,000 km and a mean daily ratio of convective to stratiform rainfall of 40/60. Data from three wet seasons 2016-2018 reveal long-duration (6-12 hours) precipitating systems (LDPS) that produce about 17% of monsoon rainfall for warming/cooling of Sea Surface Temperature (SST) in 2016/2018 during the El Niño/La Niña in the regions 3.4 and 1.2 of the Pacific. The LPDS fraction of monsoon rainfall doubles to 34% with weekly recurrence under warm and cool conditions in the region 1.2 and 3.4 respectively, that is the El Niño Costero. Backward trajectory analysis shows that precipitable water sustaining > 80% of seasonal precipitation and LPDS originate from the western Amazon. The analysis further shows that LDPS are associated with terrain-following moisture transport at low levels from the eastern foothills of the Andes under favorable weak South America Low Level Jet (SALLJ) conditions. LDPSs consist of late afternoon shallow embedded convection in the valley with trailing stratiform rainfall that persists until the early morning of the next day. The increase in the frequency of LDPSs explains the 30% increase in rainfall during 2017.

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9
10 **Key points:**

- 11 • Monsoon precipitation consists of diurnal convection (>70%) and incidental stratiform
12 long duration systems (LDPS)
- 13 • LDPS are tied to easterly moisture transport and terrain-following flow from the eastern
14 Andes foothills at low levels
- 15 • Interplay of El Niño and South America Low Level Jet (SALLJ) governs inter-annual
16 variability (~30% precipitation, #LDPS)

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22 Central Andes of Peru demonstrate a diurnal cycle of precipitation characterized by convective
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36 afternoon shallow embedded convection in the valley with trailing stratiform rainfall that persists
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38 30% increase in rainfall during 2017.

42 **1 Introduction**

43 Snow and rainfall in mountainous regions account for half the world’s freshwater, their
44 intensity, duration, and timing vary from one region to another, and in the same region, it is
45 strongly modulated by elevation and landform (Barros, 2013). The Central Andes of Peru
46 contains 70% of the world glaciers located in tropical latitudes (Chevallier et al., 2011) and their
47 eastern slopes are considered an invaluable candidate for biodiversity conservation (Myers et al.,
48 2000). However, it is a region with scarce weather and hydrological data (Peterson et al., 1997;
49 Menne et al., 2012). Besides, most of the data such as precipitation measurements are available
50 at daily time-scales and do not resolve the diurnal cycle, thus limiting the understanding of the
51 current dynamics of precipitation in this region, let alone their sensitivity to future climate
52 variability and change. Efforts to overcome these problems rely principally on using remote
53 sensing measurements and simulations of climate models. However, these approaches require
54 validation with in-situ observations. For example, in the foothills of the Central Andes of Peru
55 TRMM product 3B42 underestimate annual rainfall up to 300% on average (Lowman & Barros,
56 2014).

57 The Central Andes of Peru encompasses mountain ridges above 6000 m ASL, canyons of
58 3000 m depth, myriad valleys, the Altiplano, and the Amazon rainforest at lower elevations. On
59 the eastern slopes of the Peruvian Andes, in the Andes-Amazon transition, the low-level
60 moisture flux coming from the Amazon is blocked by the mountains and turns southward out of
61 the tropics of South America (Marengo et al., 2004; Vera et al., 2006). Enhanced convergence
62 along the foothills of the Andes produces heavy rainfall, resulting in three hotspots of
63 precipitation, i.e., regions where the mean annual rain is at least the double of the mean rainfall
64 in the Amazon region (Espinoza et al., 2015; Chavez and Takahashi, 2017, hereafter CT17).
65 Recently, a climatology of robust heavy orographic precipitation has also been linked to

66 southerly cold fronts that originate in the mid-latitudes in South-America and latch to the eastern
67 slope of the Andes in their northward progression (Eghdami and Barros, 2019). Besides, on the
68 eastern slopes of the Andes, the complex and tortuous 3D topography results in strong
69 precipitation gradients and complex spatial patterns of precipitation (Nesbitt and Anders, 2005;
70 Biasutti et al., 2007; CT17).

71 The spatial distribution of precipitation is associated with favorable large-scale moisture
72 transport and the interaction between precipitation systems and topography (Giovannettone and
73 Barros, 2009; Romatschke and House, 2010). In the eastern foothills of the central Andes
74 abundant moisture carried by the South American Low Level Jet (SALLJ) permit the
75 development of mesoscale convective systems (MCSs) (Liu et al., 2011; Rasmussen et al.,
76 2016; CT17), whereas dry conditions inhibit the MCSs' development at high elevations (above
77 3000 m ASL) (Moohr et al. 2014). However, valley systems' such as the Apurimac valley
78 system (14°S) channelize the moisture flux from the foothills of the Andes to the highlands
79 (Killeen et al., 2007; Junquas et al., 2017). The reliability of the upslope moisture transport
80 however is strongly tied to low-level entropy in the atmosphere, which in turn is closely tied to
81 the modulation of atmospheric stability by evapotranspiration from montane forests (Sun and
82 Barros, 2015).

83 According to the Tropical Rainfall measurement mission (TRMM) precipitation radar
84 (PR) product 2A25 and the rain gauges from the Peruvian National Weather Service
85 (SENAMHI) the rainiest region of Peru is located between Cusco and Madre de Dios (Nesbitt
86 2005, Biasutti,2007; Espinoza 2015, CT17). Most of the rainfall there is associated with large
87 precipitation systems, 86% of these systems are associated with a stronger SALLJ, while the
88 resting 14% are related to cold air incursions (CAIs) (CT17). An altitudinal transect of rain

89 gauges, located slightly north of this precipitation hotspot, revealed that extreme rainfall is linked
90 with CAIs which produce outstanding rainfall in the lower elevations and some important
91 rainfall at higher elevations (Eghdami & Barros, 2019), the contribution from CAIs to rainfall in
92 that region was estimated between 10-20 % (Eghdami, personal communication). During CAIs
93 moisture transport at higher elevations is significantly larger (above 3500m) than days of normal
94 and stronger SALLJ conditions (CT17; Eghdami & Barros, 2019). At the Quelccaya glacier,
95 located next to the hot spot of precipitation, snow records show that cold air incursions are
96 responsible of most of the snow accumulation (Hurley et al., 2015).

97 Solar forcing and local processes also influence the dynamics of precipitation. In the
98 eastern slopes of the central Andes and at low elevations in the Apurimac valley system most of
99 the rainfall is observed during the night and early morning (Giovannettone and Barros, 2009;
100 CT17; Junquas et al., 2017). Nevertheless, in the highlands of the central Andes of Peru, most of
101 the rainfall is observed in the afternoon (Mohr et al., 2014; CT17). High-resolution simulations
102 of wind and the specific humidity in the Apurimac valley system (Junquas et al., 2017) show that
103 daytime humidity is over the summits due to the local upslope valley winds while at night
104 humidity is found in the valley associated with downslope flow. Indeed, using the TRMM
105 precipitation features, Lowman and Barros (2014) showed that the centroids of precipitation
106 features in the central Andes are in the ridges between 1-7 PM and in the Valleys between 1-7
107 AM.

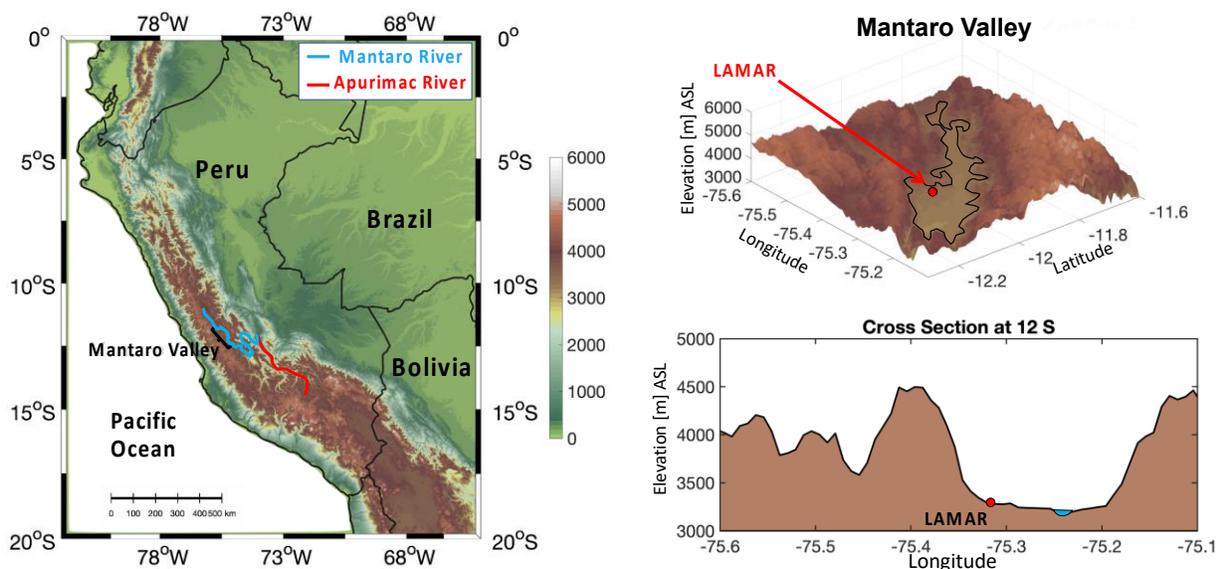
108 Interannual variability of precipitation in the Peruvian Andes has long been linked to
109 variability in ENSO activity. Takahashi et al. (2011) proposed two indices of Sea Surface
110 Temperature (SST) tied to ENSO based on eastern Pacific (E) and central Pacific (C) conditions.
111 Subsequent studies found that positive/negative C conditions are associated with dry/wet

112 anomalies in the Central Andes of Peru, whereas positive E conditions are associated with the
113 southern displacement of the Inter-Tropical Convergence Zone (ITCZ), and therefore more
114 rainfall in northern Peru (Espinoza and Lavado, 2013; Sulca et al., 2018). Both indices are
115 associated with westerly wind anomalies that generate less than average rainfall and negative
116 mass balance in glaciers in the tropical Andes (Francou et al., 2004; Vuille et al., 2008).
117 However, the E index is more restricted to the southern part of Peru (Sulca et al., 2018). In 2016,
118 2017 and 2018, three different the El Niño flavors were identified: The central Pacific El Niño,
119 the El Niño Costero (coastal) and the La Niña respectively. During the first months of 2016 the
120 indexes 3.4, 1.2 and E and C identified a strong the El Niño, but was a dry year in Peru. During
121 January-March of 2017 The El Niño Costero (coastal) that has only one reference back to 1925
122 (Takahashi & Martinez, 2019) developed rapidly in the region 1.2 with almost no warning, while
123 been neutral in the region 3.4, which generated conflicting forecast reports from U.S and Peru
124 (Ramírez & Briones, 2017). Opposite to the El Niño of the previous year, the El Niño Costero
125 inundated the northern and central coast of Peru and generated landslides in the inter-Andean
126 Valleys. In 2018, the indexes in regions 3.4 and 1.2 coincided that was a moderate the La Niña
127 year (Fig. 11).

128 The meteorology of selected high-elevation monsoon rainfall in the central Andes has
129 been studied previously at the event-scale (Moya et al., 2018; Flores-Rojas, 2019; Martinez-
130 Castro et al., 2019). In this study, the primary objective is to characterize the diurnal cycle,
131 vertical structure and microphysics of monsoon precipitation using observations in the Mantaro
132 Valley (MV, Figure 1). MV is nestled in the central Andes with north-south orientation and
133 mean elevation of 3300 m. MV's eastern (Amazonian) flank is the Huaytapallana chain that
134 reaches 5500 m ASL elevation. The MV supplies the Capital of Peru Lima, where around 10

135 million people live, with food and hydroelectric energy, which could be negatively impacted by
 136 the recent acceleration of the Huaytapallana glacier retreat associated with global warming (e.g.,
 137 Vuille et al. 2008; Rabatel et al. 2013) because this glacier is a key source of freshwater during
 138 the dry season. Furthermore, the MV is highly vulnerable to extreme hydrological events,
 139 including floods, frosts, and landslides (Lavado et al. 2010, Espinoza et al. 2013; Saavedra and
 140 Takahashi, 2017; Zubieta et al., 2016). Understanding the dynamics of precipitation in this valley
 141 has therefore high socio-economic value to local people as well as millions elsewhere who
 142 benefit from its resources.

143



144
 145 **Figure 1-** In the top a digital elevation model of the Central Andes of Peru. At the bottom, a 3D
 146 view showing a zoom of the Central Andes of Peru. The Mantaro Valley (MV) is the black area
 147 and the location of the Laboratory of Atmospheric Microphysics and Radiation LAMAR is the
 148 red dot.
 149

150 The Laboratory of Atmospheric Microphysics and Radiation (LAMAR) of the Peruvian
 151 Geophysical Institute (IGP) is a measurement site that has been operational since 2015. LAMAR
 152 is equipped with a Ka-band vertical profiles radar, an optical disdrometer, two rain gauges, and a
 153 vertical profiler wind radar. These instruments measure for the first time in this region the

154 vertical structure of precipitating systems, the rain type, the drop size distribution, and the low
155 and mid-level winds. Finally, understanding regional precipitation processes including their
156 vertical structure is critically important for satellite-based remote sensing of precipitation, in
157 particular, to improve radar algorithms estimation of rainfall near the surface where ground-
158 clutter in complex terrain introduces confounding ambiguity between stratiform and convective
159 rainfall. The specific objectives of this study are: 1) to characterize the diurnal cycle and
160 interannual variability of precipitation; 2) to characterize the drop size distribution associated
161 with different types of precipitation observed at different hours of the day; 3) to determinate the
162 diurnal variability of the echo-tops of precipitating systems between the Andes and the Amazon
163 using the TRMM precipitation radar echo-top heights; 4) to use the continuous measurements of
164 the ground Ka-band radar to identify and characterize the dominant precipitating systems,
165 including their vertical structure; and 5) to map the relevant moisture source regions and
166 examine the interannual variability of precipitation. In addition, a viability assessment of Global
167 Precipitation Measurement Mission (GPM) observations over the region is conducted by
168 examining GPM dual precipitation radar (DPR) and ground-based radar reflectivity profiles.

169 **2 Data**

170 Three monsoon seasons of reflectivity data from the Doppler pulsed 35 GHz Ka-band vertical
171 profiler radar [January-March from 2016, December-March of 2017 and December-March of
172 2018] were used for analysis. Vertical profiles of wind velocity for each component u , v and w
173 were obtained from the boundary layer tropospheric wind radar (BLTR) during January-March,
174 2016 and December-February, 2017. Rain gauge data at 1-minute temporal resolution from
175 January 2016 to March 2018 were used to calculate rain rate and accumulation. Rainfall data
176 between December of 2002 to January of 2017 at a different site in the MV provides a long-term

177 reference. Raindrop size spectra from an optical disdrometer Parsivel-2 were available from
178 September, 2017 to March, 2018. In addition, 15 years of data (1998-2012) from the Tropical
179 Rainfall Measurement Mission (TRMM) radar swath products 2A23 and 2A25 (Iguchi et al.,
180 2000, 2009; Awaka et al., 2009; Kozu et al., 2009) were used to obtain precipitation features
181 (PFs) and echo top heights. The Global Precipitation Mission (GPM) dual precipitation radar
182 (DPR) Ku-band radar in normal scan (NS) mode was used to determinate the ground clutter free
183 height. The Hysplit algorithm (Stein et al, 2015) was applied for backward trajectory analysis
184 using as input Era-Interim Reanalysis meteorological data during each of three seasons January-
185 March 2016-2018. Finally, data from Era5 Reanalysis was used to obtain the maps of Total
186 Water Content (TWC) and to elaborate cross sections of the moisture flux components uq and vq
187 during January and February of 2016-2018.

188 **3 Methodology**

189 Vertical reflectivity profiles from the Ka-band radar at the original temporal resolution of
190 5.3 s were averaged to 10 min. BLTR wind profiles were averaged to 10 min resolution as well.
191 The diurnal cycle of reflectivity was obtained for rainy profiles considering only those
192 reflectivity profiles where the near surface (280 m AGL) reflectivity is greater than 0 dB, which
193 is an indicator that there is light rainfall in the surface as measured by the disdrometer and in situ
194 observations. At the same time the profiles of each wind component were extracted to derive the
195 diurnal cycle of every component of the wind when rains.

196 The horizontal extension and the rainfall produced by the precipitation features (PFs)
197 over the Mantaro Valley in the 1998-2012 period were quantified from the TRMM2A25 swath
198 product (Iguchi, 2000). The PFs are obtained from the instantaneous swaths and are defined as
199 the rainy areas of contiguous pixels with estimated surface rain rate greater than 0.15 mm/h

200 (Romatschke and Houze, 2013). Convective and stratiform rainfall corresponding to each PFs
201 exactly over the Mantaro valley was quantified from the classification of the TRMM 2A23
202 product (Awaka, 2009; Funk et al., 2013).

203 Transects of the echo-top height measurements of TRMM precipitation radar
204 observations from the Andes to the Amazon were obtained following the methodology proposed
205 by CT17 for the 1998-2012 period. These transects are centered in the topographic contour of
206 1000 m ASL and extend over the Andes-Amazon transition region along 10 - 13.5 S. The
207 vertical distribution of echo-top heights was calculated by counting all echo-tops heights in bins
208 of 200 m elevation for 6-hour intervals.

209 The diurnal cycle of the drop size distribution during the spring (SON) and summer
210 (DJF) months was calculated based on the measurements of the drop size distribution from
211 Parsivel-2 disdrometer. The vertical structure of the Ka-band reflectivity profiles for the 3 wet
212 seasons 2016-2018 were summarized in a contour frequency by altitude diagram (CFAD) that
213 displays the frequency distribution of radar reflectivity as function of height. The CFAD plot was
214 constructed counting the reflectivity values observed for each bin of 31 m elevation and 1 dBZ
215 considering a minimum threshold of -20 dBZ. In addition, the clutter free height was calculated
216 for GPM DPR Ku NS in the period March 2014-March 2019 using as reference the GPM-DPR
217 Level 2 algorithm theoretical basis document (Iguchi, 2018). A sample swath showing the clutter
218 free height over the Central Andes is shown in Figure 7.

219 Precipitating systems (PSs) were identified in terms of their duration and vertical
220 structure as they passed over the vertical profiling Ka-band radar during the 3 wet seasons 2016-
221 2018. The PSs were extracted from the 10 min average reflectivity profiles time-series as
222 follows. First, all the contiguous areas where reflectivity is higher than -15 dBZ are identified.

223 This low threshold accounts for radar attenuation and cloud regions reducing fragmentation of a
224 single large precipitating system (PS), which is suitable for detecting embedded convection
225 systems. Only the PSs that have at least one value of reflectivity higher than 20 dBZ near the
226 surface were selected to eliminate cloud systems with no precipitation or very light precipitation.
227 Next, the shape of each PS is quantified by fitting an ellipse to its area to determine the centroid
228 of the ellipse and the minor and major axes, which are used as PS metrics. Because of the
229 alignment of the PSs in the space of range and time, the major (vertical) axis gives information
230 of PS range or height AGL and the minor (horizontal) axis represents the duration of the PS in
231 minutes. Finally, the PSs were categorized as long-duration PS (LDPS) if they last 6 hours or
232 more according to the Ka-band and rain gauge observations and No LDPS for all other PS lasting
233 less than 6 hours. In addition, the diurnal cycle of cumulative hourly rainfall from the rain gauge
234 corresponding to LDPS and No LDPS categories in January-February of 2016, 2017 and 2018
235 which are 3 very different years in terms of el Niño activity.

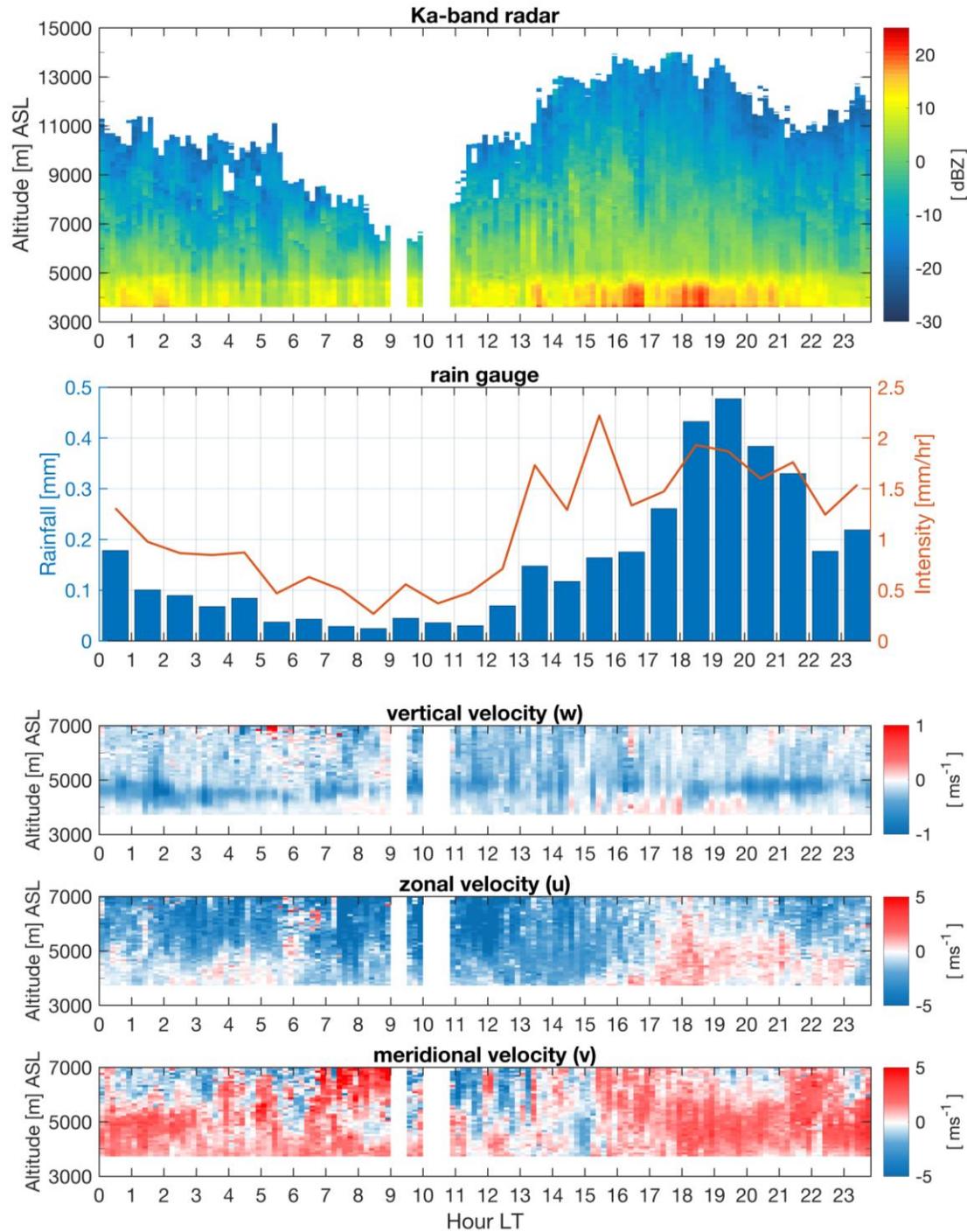
236 Moisture transport was analyzed from 48 hours wind back trajectories calculated by the
237 HYSPLIT model (Stein et al, 2015) starting in the Mantaro Valley at 13 LT at 500 m above
238 ground level. The trajectories were subset for days when nocturnal long duration and afternoon
239 PSs were detected with no repeating days, i.e., if in the same day nocturnal and afternoon PS
240 were detected we assign that day as a day with nocturnal PS and it does not count as a day with
241 afternoon PS.

242 **4 Discussion of Results**

243 **4.1 Vertical Profiles of radar reflectivity and winds when rains in LAMAR**

244 The vertical profiles of reflectivity of precipitating systems (PSs) in the Mantaro Valley
245 reveal a strong diurnal cycle (Fig 2) and the corresponding wind profiles provide insight into the

246 underlying processes. In the afternoon, in particular between 16-20 LT the reflectivity profiles
247 reach altitudes above 11 000 MSL, and vertical motion is upward in the lower 1 km, whereas it is
248 downward or negligible at other times at all elevations. Both the rain and wind profiles are
249 typical of deep convective activity in the afternoon (16-20 LT) as found in previous studies
250 (Moya et al., 2018; Flores-Rojas, 2019; Martinez-Castro et al., 2019). During late night and early
251 morning (20-07 LT), the reflectivity profiles show isolated peaks (bright-band effects associated
252 with the ice-phase) below 5000 m ASL with concurrent changes in the downdrafts at that
253 altitude associated with hydrometeor phase-change from solid to liquid which is typical of
254 stratiform precipitation (Houze, 2014). However there are some intervals in early morning with
255 no bright band and no downdrafts but instead updrafts winds, which are related to embedded
256 convection associated to long duration PSs as seen in Fig 9. The mean zonal wind (Fig 2) is
257 predominantly in the east-west direction prior to the onset of the maximum convective activity
258 and weakens between 17-23 LT when convective activity is more intense. The mean meridional
259 wind is in south-north direction except between 12-17 LT when it reverses direction.



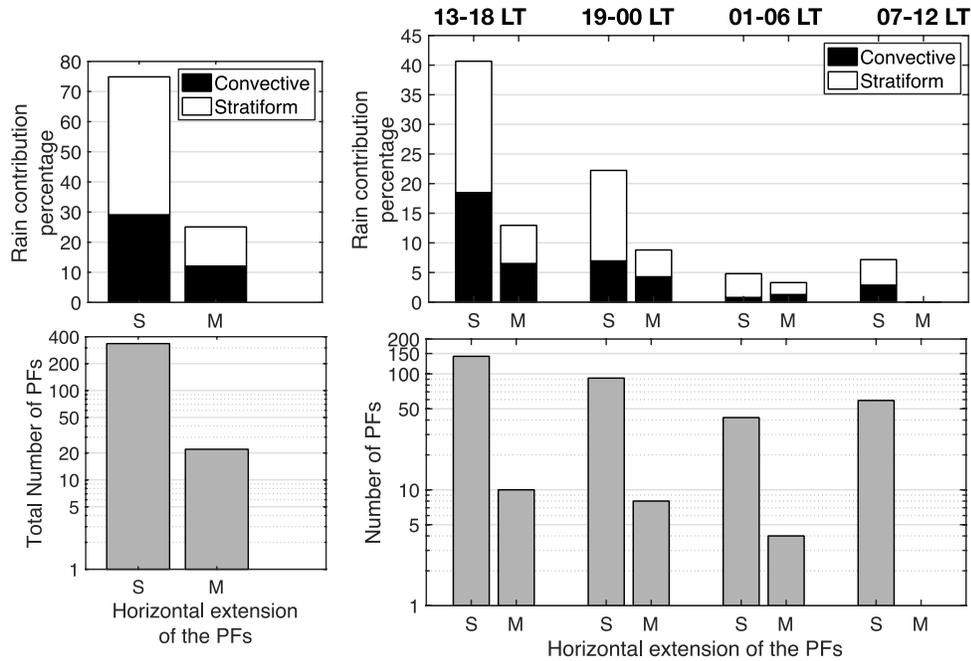
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Figure 2- Diurnal cycle of reflectivity and winds vertical profiles when rains obtained from the Ka-band and the BLTR radar respectively during two wet seasons JFM of 2016 and DFJ of 2017 at the LAMAR site located in the Mantaro Valley (Fig 1). In addition, the mean hourly rain and rain rate from a rain gauge is shown as bars and an orange line respectively.

267 **4.2 Precipitation systems over the Mantaro Valley**

268 Following CT17, TRMM precipitation features (PFs) are categorized based on areal
269 extent: Small (25- 2250 km²), Medium (2250 -24700 km²) and Large (>24700 km²) (Figure 3).
270 The large PFs that contribute most of the rainfall in the eastern slopes of the Andes (CT17) are
271 not observed in the high -elevations of the Mantaro Valley that is attributed to dry conditions
272 that inhibit the development of large convective systems (Moohr et al., 2014). Based on the
273 TRMM swath retrievals (Iguchi, 2000), the rain contribution in percentage to total rain over the
274 Mantaro Valley of small PFs is 75% and medium PFs is 25%. By disaggregating the contribution
275 to the total rain into intervals of 6 hours, it was found that afternoon (13-18 LT) small size PFs
276 contribute 41 % of the TRMM daily rainfall based on 145 small size PFs and 10 medium size
277 PFs in the record (Fig. 3). Indeed, the number of small size PFs is generally one order of
278 magnitude higher than the number of medium size PFs. The rainfall contributions associated
279 with convective and stratiform rain according to the TRMM PR 2A23 product classification
280 (Awaka, 2009; Funk et al., 2013) are shown in black and white respectively (Fig 3). At daily
281 scale, the principal contribution to the total TRMM rainfall in the Mantaro Valley comes from
282 stratiform precipitation (60%). When disaggregating the rainfall contribution in intervals of 6
283 hours (Fig 3) the contribution of stratiform and convective rain remains around 60% and 40%
284 respectively except during the early morning (01-06 LT) when the stratiform contribution is
285 around 75%, and the convective contribution is 25%. Note that this classification misses shallow
286 precipitation systems (Duan et al., 2015; Arulraj & Barros, 2019).

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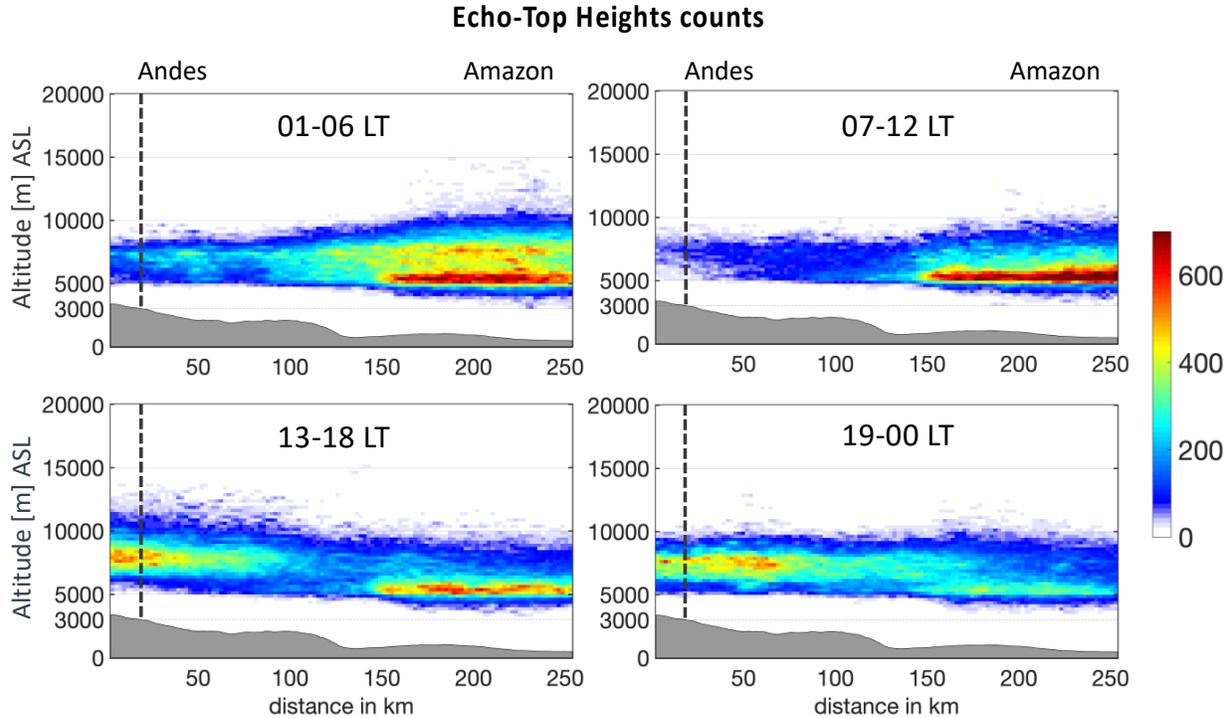
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290 **Figure 3-** Contribution of small and medium size PFs to the rainfall in the Mantaro Valley
 291 during the wet season November-February (the contribution from convective and stratiform
 292 rainfall is shown in black and white respectively), and the number and the contribution of the
 293 small and medium size PFs to the total daily rainfall in percentage disaggregated in intervals of 6
 294 hours.

295

296 4.3 Echo-top heights of the storms in the Andes and the Amazon transition

297 The distribution of echo-top height measurements along transects from the Andes to the
 298 Amazon (Fig. 4) between 10°S and 13.5° S reveals differences in the echo-top heights associated
 299 to the diurnal cycle of precipitating systems developed in the transition region from the Andes
 300 Mountains to the Amazon. In the context of Fig. 3, we consider the Andes Mountains as the
 301 region where the average terrain elevation is greater than 2000 MSL, and the Andes foothills the
 302 region where the average terrain elevation ranges between 500-2000 MSL. Higher echo-tops are
 303 observed in the mountains than in the foothills in the afternoon (13-18 LT). In the mountains
 304 (foothills) the echo-top heights range between 5000-13000 m (5000-9000m).



305
 306 **Figure 4-** Echo-top heights counts in vertical bins of 200 m from TRMM precipitation radar
 307 along transects starting in the Andes and extended to the Amazon at intervals of six hours. The
 308 gray area is the terrain elevation of the mean of the transects. The transects latitudes ranges
 309 between 10 S and 13.5 S. The shading is the total count of echo-top heights for all the transects.
 310 The dashed line shown the location where the vertical pointing radar at LAMAR is located.
 311

312 At LAMAR in particular (dashed-line in Fig. 4), the echo-top heights range from 5500 to
 313 12,500 m, in agreement with measurements of the Ka-band radar (Fig. 2). In the evening and
 314 into mid-night (19-00 LT), the number of echo-top heights between 5,000-9000m diminishes in
 315 the foothills, and the echo-tops above 10,000m are not observed in the mountains. At that time,
 316 the echo-top heights range from 5,000-10,000 m at LAMAR. In the early morning (01-06 LT),
 317 higher echo-tops heights are observed in the foothills than in the mountains, reaching the daily
 318 maximum altitude as in CT17 who showed that greater depth and higher rain rate of convective
 319 systems in the Andes-Amazon transition region are observed at that time. Mountain downslope
 320 winds from the Andes mountains converging with the moist flow from the Amazon basin might
 321 be present along the Andes foothills as suggested by Giovannettone and Barros (2009). At the

322 location of LAMAR, the echo-top heights range from 5,000-8,000 m, which is also in agreement
323 with measurements of the Ka-Band radar. Fewer echo-tops are observed in the Andes and in the
324 foothills in the morning (7-12 LT) with more towards the Amazon compared to the previous
325 hours. Precipitating systems, at that time, are decaying from more convective to more stratiform
326 rain (CT17).

327 **4.4 Diurnal cycle of the Drop Size Distribution (DSD)**

328 Measurements of the DSD from an optical disdrometer for the spring season September-
329 November of 2017 and summer corresponding to January-March 2018 were averaged per hour
330 and the diurnal cycle (Fig. 5) was analyzed separately.

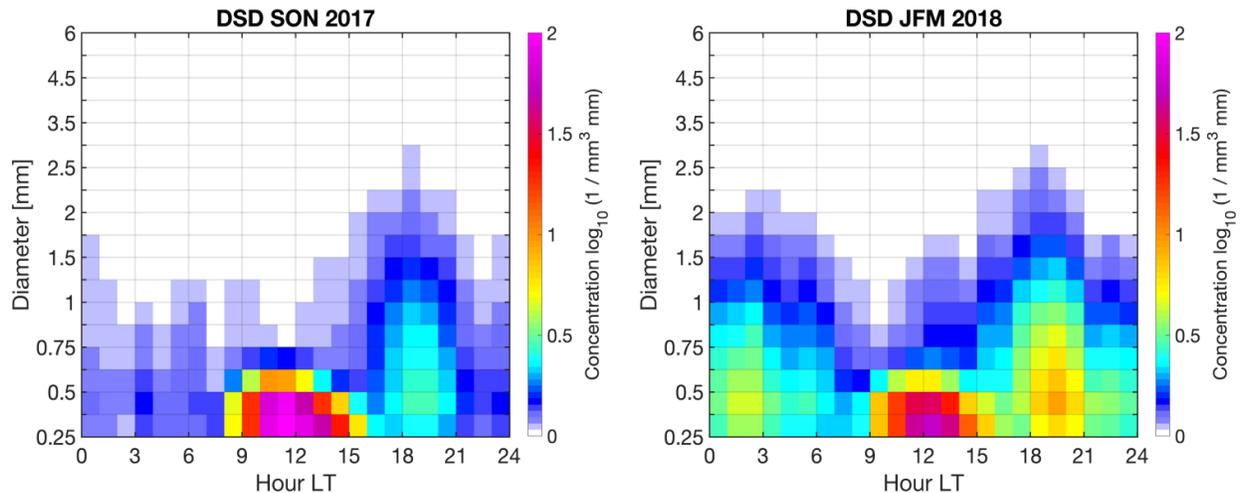
331 In the spring season, large drops with diameters greater than 1.75 mm are observed only
332 in the afternoon (15-21 LT); these drops can reach diameters of 3 mm as expected of convective
333 rainfall. During the late night and early morning (22-07 LT), number drop concentrations are
334 low for diameters under 1 mm. Around midday a great concentration of small hydrometeors
335 with diameters less than 0.5 mm could to be related to orographic enhancement via low-level
336 Seeder-Feeder Interactions as observed in the southern Appalachians (Wilson & Barros, 2014;
337 Duan and Barros, 2017) though additional measurements would be necessary to be conclusive.

338 In the summer months, i.e. January-March of 2018, large number concentrations are
339 observed at all times compared to the spring, except around noon. Large drops with diameters
340 greater than 1.75 mm are observed between 15-21 LT and in early morning 2-6 LT. The presence
341 of large drops in early morning is associated with the peak in rainfall produced by LDPSs at that
342 time (Fig. 12). Indeed, a moderate La Niña was detected in the Pacific region 3.4 in the summer
343 of 2018 which previous studies (Lavado and Espinoza, 2013) relate to increase of rainfall in the
344 central Andes of Peru at LAMAR location (The vertical reflectivity profiles for the summer of

345 2018 also show reflectivity values reaching higher elevations in early morning compared to the
346 previous year (Fig. S1).

347

348



349

350 **Figure 5-** Diurnal cycle of the drop size distribution from measurements of the optical
351 disdrometer Parsivel2 corresponding to September-November of 2017 and January-March 2018.
352 The x-axis are the hours of the day, the y-axis is the diameter of the hydrometeors and in shading
353 the concentration.

354

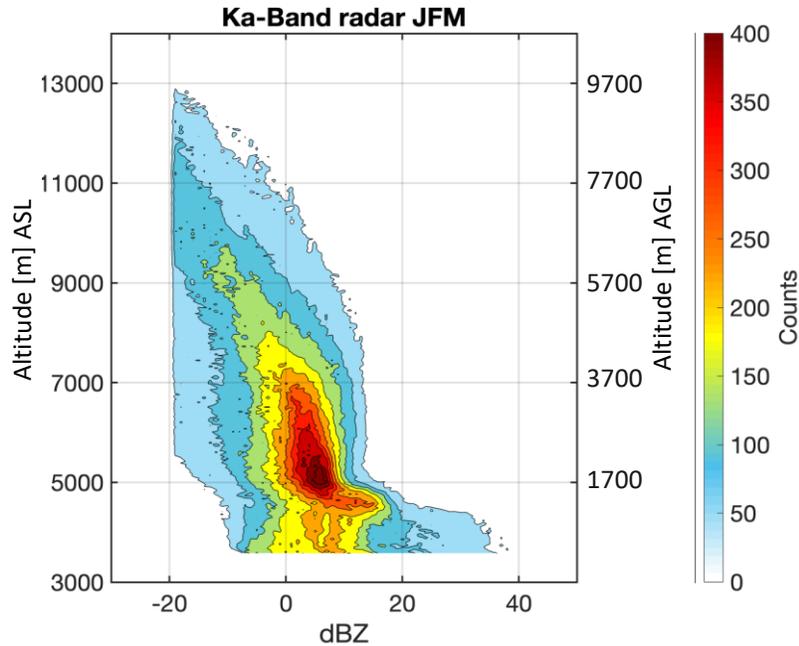
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357 **4.5 Vertical profiles of reflectivity from the ground Ka-Band and GPM Ku band.**

358

The vertical structure of the Ka-band reflectivity profiles is depicted in Fig. 6 for the
359 2016, 2017 and 2018 wet seasons. On average the 0° isotherm is at 5,000 m ASL or 1,700 m
360 AGL, and it is associated with hydrometeors' phase change from solid to liquid that produces a
361 bright-band (local reflectivity peak). The mean vertical structure reveals higher reflectivity
362 values below the isotherm of zero degrees, located between 4900-5100 m ASL or 1600-1800 m
363 AGL.



364

365 **Figure 6** - CFAD for 3 wet seasons, i.e., JFM months of 2016-2018. The shading is the number
 366 of counts in bins of 31m altitude and 1dBZ resolution.

367

368 Figure 7 shows the height (blue line) of the clutter free region for the GPM-DPR Ku band for

369 one randomly selected overpass among all examined. In the example, the blue line is above the

370 0° isotherm indicating that shallow precipitation systems cannot be detected. Arulraj and Barros

371 (2019) showed that contamination of near-surface reflectivity profiles due to ground-clutter is the

372 major source of error in the Ku-PR quantitative rainfall estimates in the Southern Appalachian

373 Mountains, and that the contaminated region can extend from 1.5 km to 3 km above ground level

374 depending on the radar view angle (AGL). In the Mantaro Valley, during the lifetime of GPM

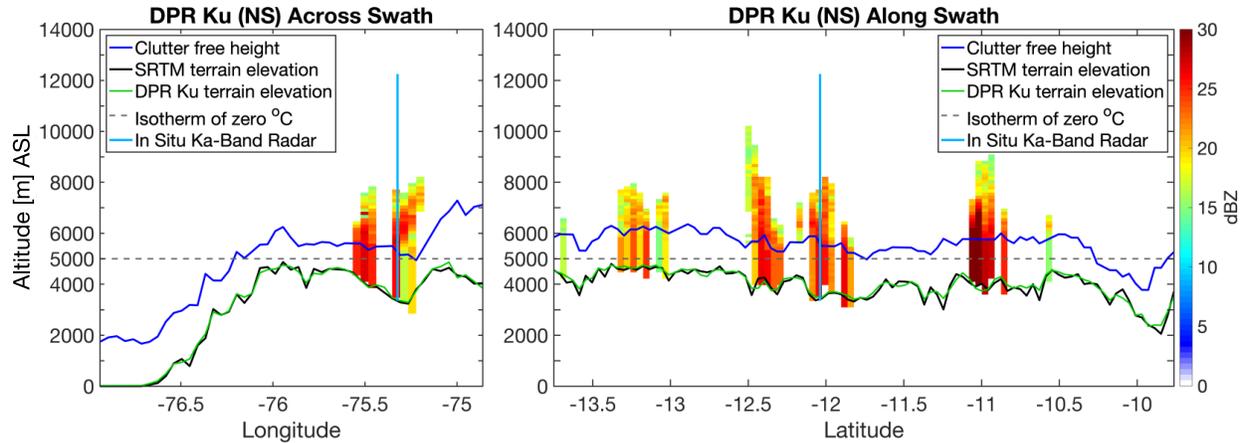
375 DPR (2014-2019) the height of the ground-clutter region ranges between 0.9 km to 2.1 km AGL,

376 having an average of 1.5 km AGL. Due to the elevated topography (3300 m ASL), the height of

377 the contaminated region is 44% / 56% of the time above/below the 0° isotherm, which suggests

378 large underestimation of rainfall in this region.

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Figure 7- GPM DPR Ku NS cross sections of reflectivity across the swath and along the swath.

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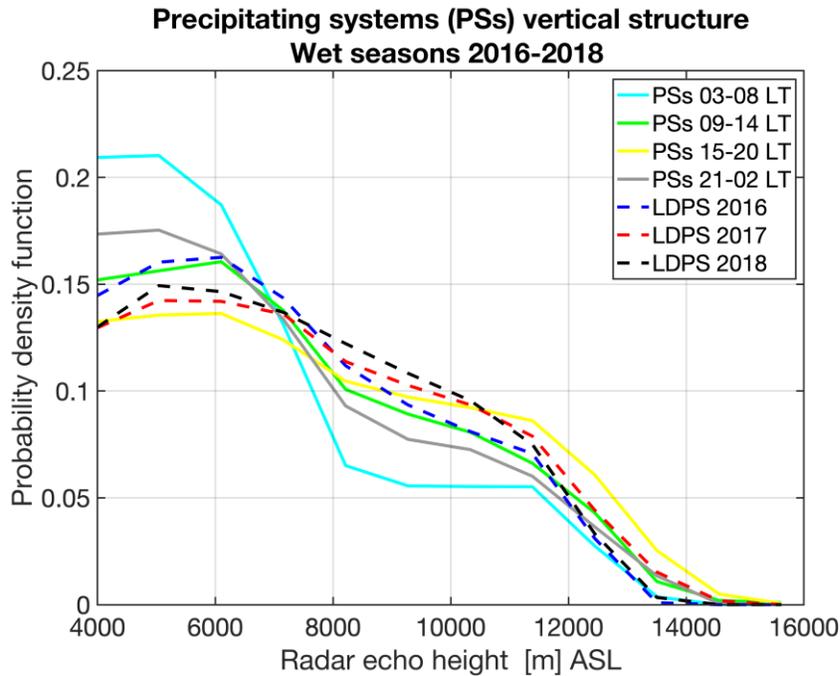
4.6 Long duration nocturnal and afternoon precipitating systems

385 The focus in this Section is on PSs obtained from the Ka-band radar continuous
386 measurements. As a PS passes over the radar, the radar captures its vertical structure and
387 duration. The reader should note that the PSs are different from the precipitation features (PFs)
388 obtained from the instantaneous TRMM swaths: PSs are obtained from time continuous ground-
389 based radar measurements; and the PFs are snapshots of the rainy area from the satellite.

390 A total of 11 LDPSs were observed by the Ka-band radar during three wet seasons
391 corresponding to January and February of 2016, 2017, and 2018. Most of these long duration
392 systems start in the late afternoon and persist until dawn (06 LT) (Fig. 11). In terms of frequency,
393 during JF of 2016, 2 LDPS contribute 17% of rainfall, in JF of 2017, 7 LDPS events contribute
394 with 35% of rainfall, and during JF of 2018, 2 events contribute with 17% of rainfall.

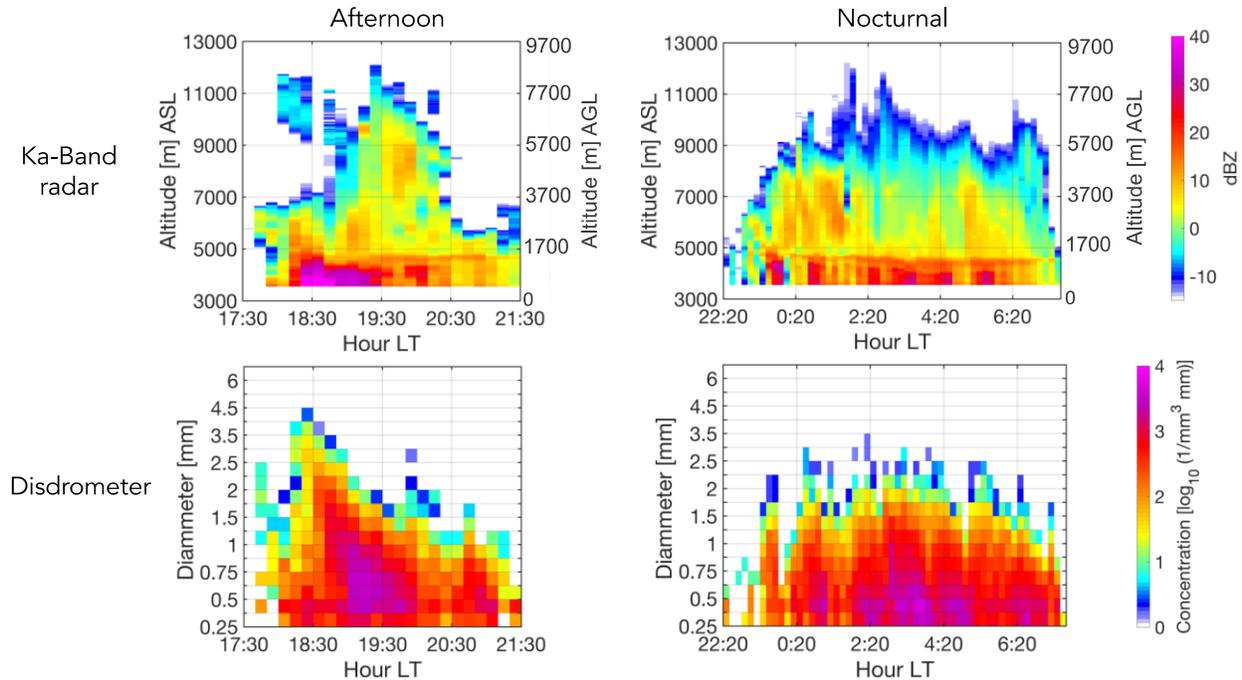
395 The mean vertical structure of PSs at different hours during the day is shown alongside
396 that of nocturnal LDPSs in Fig. 8. PSs were assigned to the time interval where they spend more
397 time. The Ka-band radar detects not only the precipitating regions but also the cloud structure;
398 therefore, Fig 8 shows that the full development of some PSs can reach altitudes 12000 m ASL

399 or 8700 m AGL. In addition, figure 8 shows that the probability density function of the radar
 400 echo heights corresponding to the nocturnal LDPSs is more similar to the afternoon PSs (15-20
 401 LT) than to other nighttime PSs. Examples of afternoon and nocturnal PSs and their drop size
 402 distributions (Fig. 9) show some common features, such as embedded convection, the presence
 403 of a bright band and large drops > 2mm.



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Figure 8- Probability density function of the Ka-band radar echo heights of precipitating systems (PSs) observed at different hours and specifically for nocturnal long duration PS (LDPS) for different years.

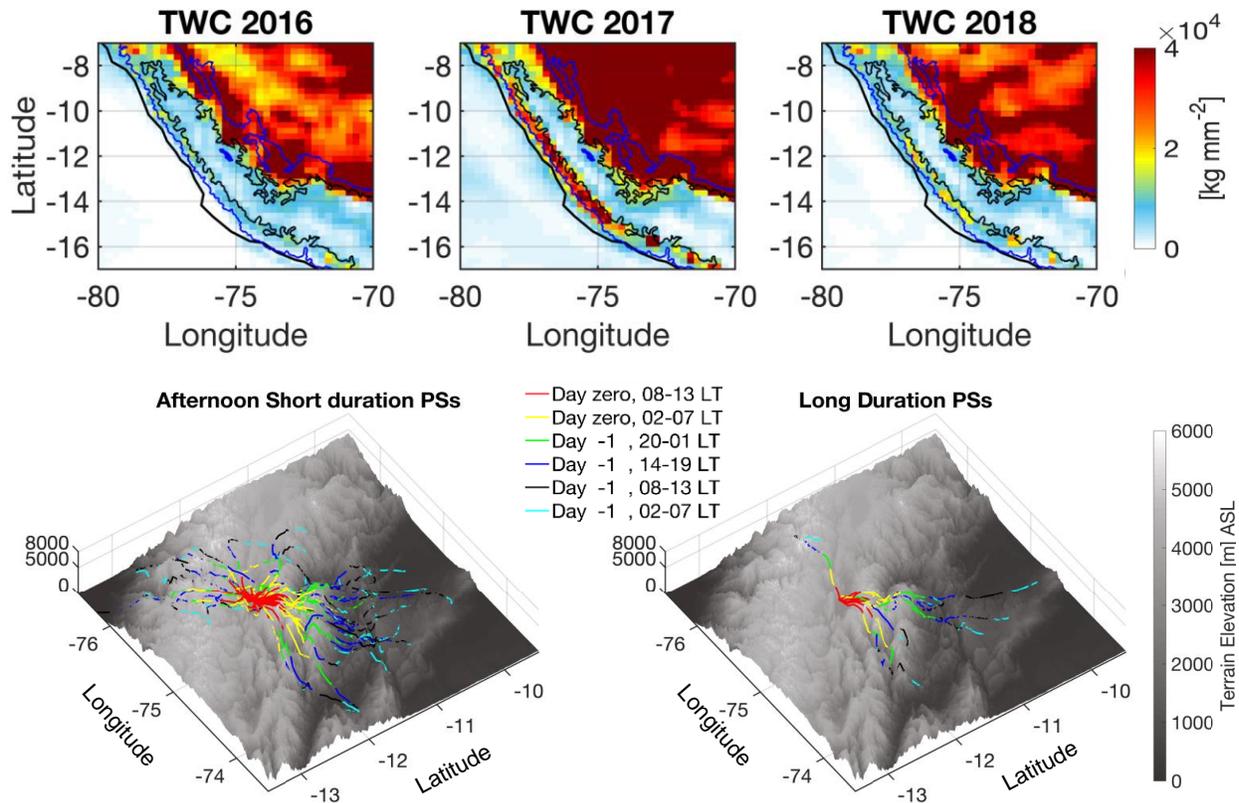


410
 411 **Figure 9-** Ka-band reflectivity vertical profiles and disdrometer raindrops diameter concentration
 412 measurements for two PSs one during the afternoon and the other at nighttime.
 413

414 Backward trajectories for nocturnal long duration and afternoon short duration PSs show that the
 415 principal moisture source is the low-level moisture convergence zone along the eastern Andes
 416 foothills (Fig 10; see also Sun and Barros, 2015). Moist air reaching the LAMAR site at 13 LT
 417 comes from adjacent ridges (02-13 LT) which in turn can be traced successively to upwind
 418 valleys and ridges all the way down to the foothills over a two-day period with solar forcing
 419 driving upslope (daytime) and downslope (nighttime) winds over the landform. Except for one
 420 case, the remote easterly moisture transport linked to the long duration nocturnal PSs contribute
 421 with 25% of the rainfall during January and February of 2016-2018. Moisture source regions for
 422 the afternoon short duration afternoon events are at high elevations in the Western Cordillera and
 423 along the valley from the northwest as found in previous studies (Moya et al., 2018; Flores-
 424 Rojas, 2019; Martinez-Castro et al., 2019). In general, for the 3 wet seasons 2016-2018 the
 425 trajectories coming from the western Cordillera and from the eastern slopes of the Andes account

426 for 10% and 75 % of the total seasonal rainfall respectively, the remainder 15% of seasonal
 427 rainfall correspond to nocturnal short duration PSs.

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431 **Figure 10-** Top: Total Water Content during January and February from ERA 5 reanalysis.
 432 Bottom: Temporal evolution of HYSPLIT trajectories that start at 13 LT in the Mantaro Valley,
 433 for days when afternoon short duration and long duration precipitating systems were identified in
 434 January and February 2016-2018.

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438 **Back Trajectories and Interannual Variability**

439 Moisture transport from the eastern foothills of the Andes to Mantaro Valley (MV) associated

440 with No LDPS, i.e. PS lasting less than 6 hours, was mainly tracked to the eastern foothills of the

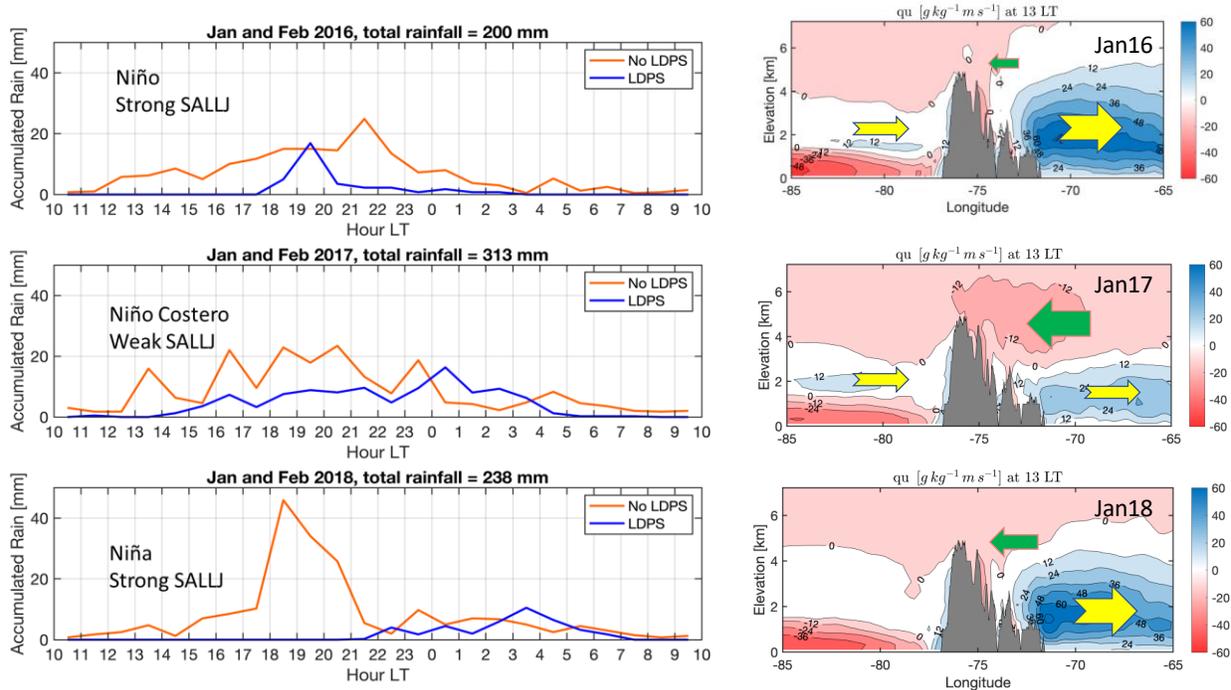
441 Andes, and specially for LDPS, 10 of 11 associated back trajectories originate at the eastern

442 foothills of the Andes. Over a two-day period, low level moisture associated with LPDS moves

443 from the eastern foothills along the orographic envelope of the eastern Andes to reach the MV

444 (Fig.10). In this section, we examine the inter-annual variability of LDPS activity in the context
445 of key elements of regional hydrometeorology: ENSO conditions over the Pacific and moisture
446 convergence along the Andes foothills that is related to changes in the strength of the South
447 American Low-Level Jet (SALLJ). East of the MV the SALLJ direction is from north west to
448 south east i.e. almost parallel to the topography. Figure 11 shows cross-sections at 12°S latitude
449 show the East-West moisture flux component uq at 13 LT (1PM) in January of 2016, 2017 and
450 2018, this component is positive (to the east) at low elevations east of the MV every year and
451 negative (to the west) at some altitudes above 4000m when the SALLJ is weaker. A month-by-
452 month detailed analysis can be found in Supplemental Information (Fig. S2). The SALLJ
453 intensity changes from year to year but also from month to month. In January 2016, the SALLJ
454 was strong and the strongest in the four-year period analyzed here. Thus, less moisture reaches
455 the Andes and no LDPS were detected in the MV. In February of the same year the SALLJ
456 weakened and 2 LDPS were detected in the MV; the back trajectories for these 2 days originate
457 in the eastern foothills, and the total amount of rainfall measured in January and February of
458 2016 was 200 mm. In addition, 2016 was considered a strong El Niño in region 3.4 and the ONI
459 index in JFM was 2.2. In January of 2017, the SALLJ was the weakest with strong moisture flux
460 toward the Andes between 4000-6000 m (Fig. 12). These favorable conditions were associated
461 with the detection of 5 LDPS in the MV, four of which can be traced to eastern foothills and one
462 at the western Andes Cordillera. Although the SALLJ strengthened in February of 2017 at lower
463 levels, moisture transport to the west in the mid-troposphere at altitudes between 4000-6000 m
464 remains strong. Rainfall in the MV for January and February was 313 mm, 35 % of which is
465 associated with the LDPSs and explains 2/3 of the rainfall accumulation difference between 2016
466 and 2017. The Oceanic Niño Index (ONI) index was neutral in 2017, but the Sea Surface

467 Temperature (SST) anomalies in the region 1.2 are large consistent with El Niño Costero (Table
 468 1). In January of 2018, the SALLJ was stronger than in January of 2017 but weaker than January
 469 of 2016, and 2 LDPS were detected in the MV linked to the eastern foothills. In February of
 470 2018 the SALLJ was even weaker than in January and no LDPS were detected in the MV. In
 471 JFM of 2018 the ONI index, and the anomalies in the regions 1.2 and 3.4 indicate La Niña
 472 conditions (Table 1). Despite La Niña conditions, the total accumulation in January and
 473 February of 2018 was a modest 238 mm due to strong SALLJ activity. This analysis suggests
 474 that inter-annual variability of monsoon precipitation in the High-Andes is governed by
 475 teleconnections to Niño activity in the Pacific and synoptic-scale circulation in the Amazon to
 476 the west which affect the diurnal cycle and in particular the intra-seasonal frequency of LDPS.
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478
 479 **Figure 11-** Left: Accumulated rainfall during January and February for years 2016-2018 at
 480 different hours. The rainfall from LDPS is the blue line and rainfall from No LDPS (i.e., PS
 481 lasting less than 6 hours) is the orange line. Right: Cross section at 12 S of the East-West
 482 component of the moisture flux uq at 13 LT for January of 2016-2018. The arrows represent the

483 direction to the east (yellow) and to the west (green) of uq , the size of the arrow represent the
484 magnitude.

485

Year	Month	E	C	Region 1.2	Region 3	ONI JFM	Rain [mm] Mantaro Valley
2016	1	1.87	1.93	1.81	2.59	2.2	200
2016	2	1.18	1.95	1.38	1.97		
2017	1	0.44	-0.79	0.95	-0.08	-0.1	313
2017	2	0.79	-0.70	1.44	0.42		
2018	1	-1.04	-1.18	-1.10	-1.19	-0.8	238
2018	2	-0.91	-0.98	-0.89	-0.91		

486

487

488 **Table 1-** The table shows the most common ENSO indexes, which correspond to different
489 locations and time scales. The last column shows the accumulated rainfall from January and
490 February measured in the Mantaro Valley.

491

492 5 Conclusions

493 The diurnal cycle of precipitation in the central Andes of Peru characterized by nocturnal
494 and early morning stratiform and afternoon convective precipitation was revealed by the TRMM
495 precipitation radar (PR), in-situ radars, rain gauges and an optical disdrometer.

496 TRMM PR echo top heights were used with the idea of extending the observations of the
497 vertical structure of storms to the surrounding of the Mantaro Valley. In particular, the top of the
498 vertical profiles of reflectivity when rain is observed at LAMAR is in agreement with the
499 TRMM PR measurements of echo-top heights.

500 The diurnal cycle shows during 15-20 LT convective activity with vertical profiles
501 reaching 13000 m ASL, vertical wind updrafts, maximum rain and rain rate (Fig 2) and large
502 drops (Fig 5). In addition, between 13-18 LT the echo-top heights are higher in the Andes
503 mountains than in the Andes foothills (Fig 4). During late night and early morning 20-06 LT, a
504 bright band and vertical downward winds suggest stratiform precipitation. Furthermore, at that

505 time the echo-top heights in the Andes mountains reach lower altitudes than in the afternoon and
506 are lower than the echo-top heights at the Andes foothills. However, the rain rate is significant,
507 and some large drops are measured (Fig 5).

508 The spatial structure of the precipitation systems that affect the Mantaro Valley was
509 characterized by synthesizing 5 years of TRMM PR observations and shows that precipitation is
510 produced by precipitation systems (PFs) of small and medium size in contrast with large PFs like
511 in the Andes foothills (Chavez & Takahashi, 2017). In the afternoon (12-18 LT) around 40 % of
512 the daily rainfall is produced by small size PFs, and the ratio between convective and stratiform
513 rain is 45/55. During the night (19-00 LT) the ratio of stratiform over convective precipitation is
514 higher, and in the early morning the rainfall is principally stratiform. According to the TRMM
515 PR, 60% of daily rainfall is stratiform and and 40% is convective. Despite agreement with
516 previous global studies (Funk et al., 2013), these values are strongly influenced by the clutter
517 free height (1-3 km) demonstrated here for the GPM DPR that results in large missed detection
518 rates and underestimation of shallow precipitation systems overall. Furthermore, if the 0°
519 isotherm is below the clutter-free height, the algorithms that rely on the presence of a bright band
520 to classify precipitation as stratiform fail as it cannot be detected (Awaka et al., 2009).

521 LDPS consist of afternoon shallow embedded convection in the valley with nighttime
522 stratiform rainfall that often extend until the early morning of the next day is remarkable that
523 only 11 long duration nocturnal precipitation systems (PSs) contribute around 25% of the rainfall
524 during 3 wet seasons 2016-2018. LDPSs are important in terms of sustained soil saturation with
525 potential to trigger landslides (Tao and Barros, 2014).

526 Wind back trajectories show that the air reaching the Mantaro Valley, the days when long
527 duration nocturnal and afternoon PSs were observed, came mainly from eastern slopes of the

528 Andes and follows a trajectory composed of one after other solar driven mountain-valley
529 breezes.

530 East of the MV the SALLJ direction is from north west to south east. The East-West
531 moisture flux component uq in January-February of 2016, 2017 and 2018 is to the east at low
532 elevations east of the MV every year and to the west at altitudes above 4000m when the SALLJ
533 is weaker. As stronger the SALLJ more moisture is transported away from the Andes towards the
534 Amazon. In El Niño year (2016) when the SALLJ was stronger in the period 2016-2018 less
535 moisture reaches the Andes. Thus, less rainfall and only 2 LDPS were detected in the Mantaro
536 Valley. On the other hand, when the SALLJ weakens, such as in the El Niño Costero (2017) and
537 La Nina (2018) years, more total rainfall associated with an increase number of LDPS is
538 observed in the Mantaro Valley.

539 The Mantaro Valley elevation is a significant challenge for GPM DPR Ku-band, the
540 height of clutter-free ranges between 900-2100 m ASL, and 44% of the time is above the
541 isotherm of zero degrees (5000 m ASL). Therefore GPM DRP Ku-band does not capture the
542 processes occurring close to the surface and according to the in-situ Ka-band radar, reflectivity
543 increases towards the surface (Fig 10). Detection and underestimation errors tied to ground
544 clutter artifacts should be especially important in the case of LDPS, which in turn should result
545 in large-interannual variability in the uncertainty of satellite-based precipitation.

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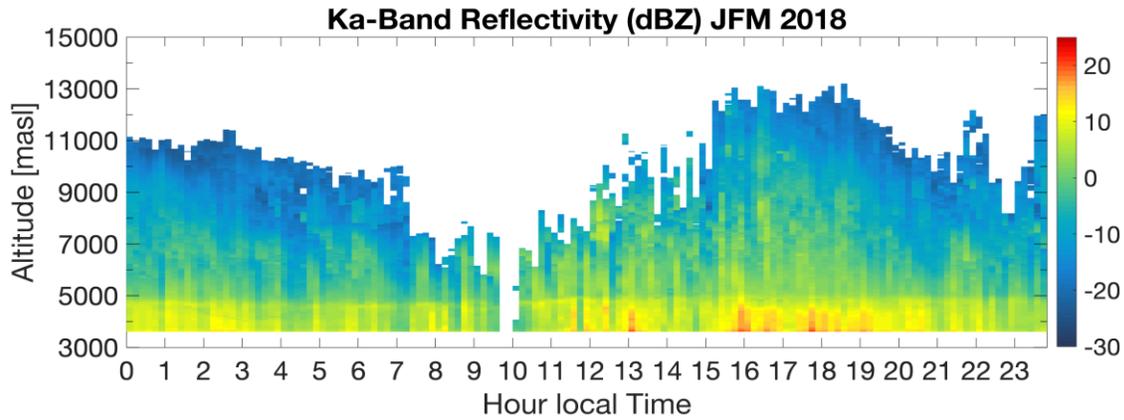
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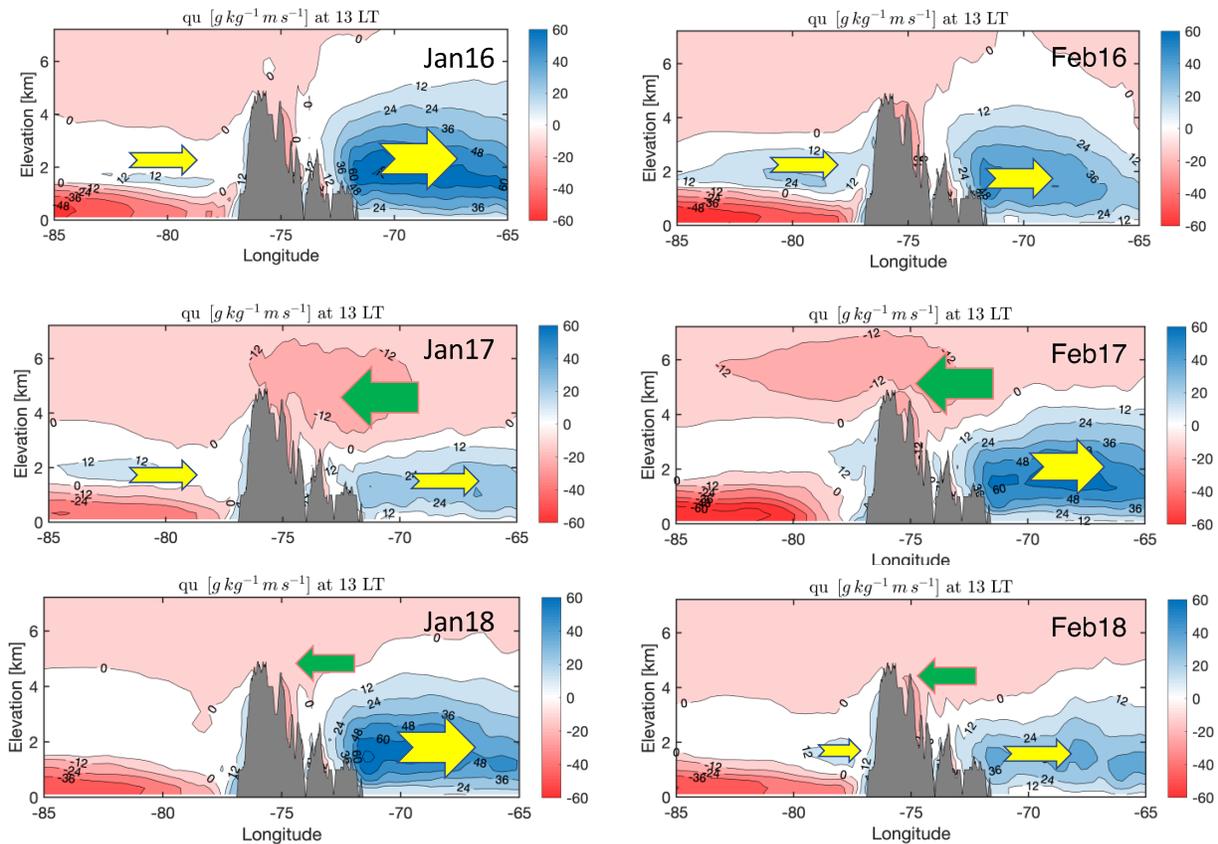
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Supplementary Figures



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S1- Diurnal cycle of reflectivity vertical profiles and winds when rain is observed in the surface corresponding to JFM of 2018.



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775 **S2-** Cross section at 12°S of the East-West component of the moisture flux uq at 13 LT for
776 January and February of 2016-2018. The arrows represent the direction to the east (yellow) and
777 to the west (green) of uq , the size of the arrow represent the magnitude.