# RADIATIVE FORCING BY CO2 OBSERVED AT TOP OF ATMOSPHERE FROM 2002-2019

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#### Abstract

Spectroscopic measurements at top-of-atmosphere are uniquely capable of attributing changes in Earth's outgoing infrared radiation field to specific greenhouse gasses. The Atmospheric Infrared Sounder (AIRS) placed in orbit in 2002 has spectroscopically resolved a portion of Earth's outgoing longwave radiation for 17 years. Concurrently, atmospheric CO2 rose from 373 to 410 ppm, or 28% of the total increase over pre-industrial levels. The IPCC Fifth Assessment Report predicted  $0.508\pm0.102$  Wm<sup>-2</sup> additional radiative forcing from this CO2 increase. Here it is shown that global measurements under nighttime, cloud-clear conditions reveal  $0.358\pm0.067$  Wm<sup>-2</sup> of CO2-induced radiative forcing, or 70% of IPCC model predictions.

# RADIATIVE FORCING BY CO<sub>2</sub> OBSERVED AT TOP OF ATMOSPHERE FROM 2002-2019

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## Key Points:

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6	• The Atmospheric Infrared Sounder (AIRS) of	fers the longest observational record
7	7 among all current and previous satellite spect	rophotometers.
8	<sup>8</sup> • Seventeen years of global nighttime, cloud-clea	ar spectral radiance measurements
9	$_{9}$ reveal 0.358±0.067 Wm <sup>-2</sup> of CO <sub>2</sub> -induced rac	liative forcing.
10	• Observed $CO_2$ forcing is 70% of the effective n	cadiative forcing predicted by the IPCC

 $5^{th}$  Assessment Report.

#### 12 Abstract

<sup>13</sup> Spectroscopic measurements at top-of-atmosphere are uniquely capable of attributing

changes in Earth's outgoing infrared radiation field to specific greenhouse gasses. The

<sup>15</sup> Atmospheric Infrared Sounder (AIRS) placed in orbit in 2002 has spectroscopically re-

solved a portion of Earth's outgoing longwave radiation for 17 years. Concurrently, at-

<sup>17</sup> mospheric CO<sub>2</sub> rose from 373 to 410 ppm, or 28% of the total increase over pre-industrial

levels. The IPCC Fifth Assessment Report predicted  $0.508\pm0.102$  Wm<sup>-2</sup> additional radiative forcing from this CO<sub>2</sub> increase. Here it is shown that global measurements un-

der nighttime, cloud-clear conditions reveal  $0.358\pm0.067$  Wm<sup>-2</sup> of CO<sub>2</sub>-induced radia-

tive forcing, or 70% of IPCC model predictions.

#### 22 Introduction

Increasing infrared absorption caused by rising CO<sub>2</sub> is the foundational physical mechanism underpinning the anthropogenic global warming hypothesis. Despite numer-

<sup>25</sup> ous studies on global temperature trends and rising greenhouse gas concentrations, very

 $_{26}$  few investigations offer long term spectrophotometric measurement of CO<sub>2</sub> altering Earth's

outgoing longwave radiation (OLR). Harries et al. (2001) compared 529 OLR spectra

measured by the IRIS satellite in 1970 to 4,061 spectra measured by IMG in 1996 over

the Pacific Ocean. Feldman et al. (2015) reported increasing downwelling longwave ra-

diation (DLR) in two 1.6° conical upward views of the atmosphere between 2000 and 2010 (figure 1). Neither study provides a global assessment of  $CO_2$ -induced radiative forc-



Figure 1. Measurement coverage for this work and select prior works by others

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ing. The Atmospheric Infrared Spectrophotometer (AIRS) offers the longest record among all current or previous satellite spectrophotometers and has measured Earth's OLR while atmospheric CO<sub>2</sub> concentration rose from 373 to 410 ppm, 28% of the total increase since 1750. This work examines 50.7 billion global nighttime, cloud-clear spectral radiance measurements (hereafter: radiances) made by AIRS during the last seventeen years. Figure 2 exemplifies a single OLR spectrum comprised of 2,378 radiances. AIRS does not have measurement capability at <649.6 cm<sup>-1</sup>, 1136-1217 cm<sup>-1</sup> or 1614-2181 cm<sup>-1</sup>.

#### 39 1 Data

The majority of satellite views of Earth contain clouds that reflect or absorb upwelling infrared (IR). Cloud-clear scenes are preferred to avoid attributing cloud-induced OLR reductions to  $CO_2$ . The AIRS version 6 level 2 data product (Teixeira, 2013) quantifies fractional cloud content (*Tot\_Cld4\_CCfinal* field in *AIRS2CCF*.006) ranging from 0.00 to 1.00. This work utilizes radiances with 0.00 cloud fraction; only 11% or radiances



Figure 2. [a] AIRS nighttime, cloud-clear OLR spectrum and 293K Planck distribution, [b] 650-680 cm<sup>-1</sup> subset, [c] HITRAN2016 spectral absorbance lines for  $CO_2$  (Gordon et al., 2017). Note the excellent coincidence between AIRS detected radiance peaks and HITRAN  $CO_2$  absorbance lines.



**Figure 3.** Visible image from granule 015 collected on January 1, 2016. Cloud-clear regions identified by the algorithm outlined in red.

meet this criterion. Although AIRS Level 2 measurements are a cloud-cleared data prod-45 uct, only naturally cloud-clear radiances with no mathematical adjustments contribute 46 to this analysis. Figure 3 provides a cloud-clear selection example rendered from the sun-47 lit side of Earth to permit comparison with a visible image, however no daytime OLR 48 measurements contributed to this analysis. It is evident that the cloud detection algo-49 rithm is conservative: visibly cloud-clear areas were not included and no visibly cloud-50 contaminated areas were inadvertently included (in this example the cloud detection al-51 gorithm has false positives but no false negatives). 52

Solar longwave infrared radiation reflected by clouds or Earth's surface will combine with terrestrial OLR, contaminating daytime measurements. To eliminate this source of error, only nighttime measurements were utilized. When the AIRS solar zenith angle (SZA) is  $<90^{\circ}$  AIRS observes the sunlit side of Earth, when  $90^{\circ}<SZA<108^{\circ}$  it observes the twilight region and when  $SZA\geq108^{\circ}$  it observes the nighttime region. Only measurements at  $SZA\geq108^{\circ}$  were utilized.

The AIRS mirror scans  $\pm 49.5^{\circ}$  from nadir and higher scan angles observe IR emission from from higher in the atmosphere. Radiances from scan swath edges are significantly warmer or colder than nadir observations in the bands of radiatively-active gasses. To reduce the effects of this anisotropy, only radiances at scan angles  $\leq 25^{\circ}$  were utilized.

The 2,378 individual channels comprising the AIRS IR sensor are monitored for quality and flags are raised (0-2) whenever any should degrade. Measurements with quality value 0 "highest quality" and 1 "useful for scientific measurement" were utilized, while quality value 2 "do not use" were excluded. Radiance measurements flagged as dust-contaminated were similarly excluded, though these were rare (<0.01%).

#### 68 2 Method

All AIRS radiance measurements meeting the selection criteria were analyzed in 69 this study (not a subset). Radiances for a given wavenumber channel were binned by month, 70 sub-binned in  $10^{\circ}$  latitude increments, then averaged. For example, 4,554 nighttime, cloud-71 clear radiances at 650.814 cm<sup>-1</sup> measured between  $0^{\circ}$  and  $10^{\circ}$ N contribute to the av-72 erage radiance for January 2013 (figure 4, inset). 28.8% of sub-bins contain no data due 73 to heavy clouds, lack of nighttime measurements (e.g., polar summers) or failed detec-74 tor channels. An additional 1.2% of all sub-bins containing fewer than 25 radiances meet-75 ing the selection criteria were excluded to prevent trend skew by only a few measurements. 76 The median number of radiance measurements contributing to a monthly average for a 77



Figure 4. Least-squares regression fit to average monthly radiances for four AIRS channels in  $0^{\circ}$ - $10^{\circ}$  latitude bin. Remaining channels in all latitude bins were fit similarly.

given channel in a given latitude bin is 5,064. Over time, some channel detectors succumb to solar radiation exposure and cease useful data production. Of the maximum
potential 17 year record, channels with fewer than five years were excluded for insuffi-

<sup>81</sup> cient record length.

<sup>82</sup> A straight line was fit by least-squares regression to the time series of monthly ra-<sup>83</sup>diance averages for each channel in each latitude bin. Seasonal temperature cycling was <sup>84</sup>eliminated as a source of trend bias by utilizing only complete years of measurement data <sup>85</sup>starting on 1 September 2002 and ending on 31 August 2019. An example line fitting <sup>86</sup>is provided in figure 4. The slope of each line is the spectral radiance trend  $\dot{L}_{\tilde{\nu}}$  (mW m<sup>-2</sup>(cm<sup>-1</sup>)<sup>-1</sup>sr<sup>-1</sup>yr<sup>-1</sup>) <sup>87</sup>and the uncertainty is  $\pm 1\sigma$ . Lines were fit to all channels in all 18 latitude bins.

#### <sup>88</sup> 3 Results: CO<sub>2</sub> Radiative Forcing

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<sup>89</sup> Reductions in OLR at 650-756 cm<sup>-1</sup> are presumed the result of rising atmospheric <sup>90</sup> CO<sub>2</sub> concentration. The reductions at detectable portions of the CO<sub>2</sub> P, Q, and R-branches <sup>91</sup> (650-682 cm<sup>-1</sup>) are minor compared to 687-756 cm<sup>-1</sup> where the majority of detectable <sup>92</sup> OLR reduction coincides with one of the CO<sub>2</sub> wings. OLR flux density change  $\delta E$  (Wm<sup>-2</sup>) <sup>93</sup> was produced by integrating the spectral radiance trend  $\dot{L}_{\tilde{\nu}}$  over the range of CO<sub>2</sub>-affected <sup>94</sup> wavenumbers, then multiplying by 17 years and by  $\pi$  sr, regarding the atmosphere as a <sup>95</sup> Lambertian emitter at these optically-thick channels:

$$5E_{PQR} = 17\pi \int_{649.6cm^{-1}}^{682.0cm^{-1}} \dot{L}_{\tilde{\nu}} d\tilde{\nu} = -0.031 \pm 0.014 \, Wm^{-2} \tag{1}$$

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$$\delta E_{wing} = 17\pi \int_{687.6cm^{-1}}^{756.3cm^{-1}} \dot{L}_{\tilde{\nu}} d\tilde{\nu} = -0.164 \pm 0.033 \, Wm^{-2} \tag{2}$$

Integrals are depicted in the figure 6 inset, shaded regions. The majority of detectable 99 flux density change is attributable to the increasing wing absorption at  $687-756 \text{ cm}^{-1}$ . 100 The symmetrical wing at 580-650  $\rm cm^{-1}$  is outside of AIRS measurement range (see fig-101 ure 7). Consequently, (1) + (2) is only a partial measurement of  $\delta E$  caused by rising 102  $CO_2$  and  $\delta E_{total}$  must be estimated. The P-branch and R-branch absorption lines flank-103 ing the Q-branch are nearly symmetrical and rising  $CO_2$  caused nearly-identical reduc-104 tions in radiance. By extension, it is a reasonable prediction that the unmeasured 580-105  $650 \text{ cm}^{-1}$  wing has undergone OLR reduction by an amount similar to the measured 687-106



Figure 5. Nighttime, cloud-clear spectral radiance trend 2002-2019 in  $10^{\circ}$  latitude increments.  $\pm 1\sigma$  shaded.



Figure 6. Global composite nighttime, cloud-clear spectral radiance trend 2002-2019.  $\pm 1\sigma$  shaded. Inset illustrates the integrals assessed to determine OLR reduction attributable to rising CO<sub>2</sub>.



Figure 7. HITRAN2016 CO<sub>2</sub> v<sub>2</sub> absorption lines and AIRS measurement ranges

<sup>107</sup> 756 cm<sup>-1</sup> wing. Therefore, total OLR flux density reduction due to rising  $CO_2$  is esti-<sup>108</sup> mated as:

$$\delta E_{total} = \delta E_{POR} + 2\delta E_{wing} = -0.358 \pm 0.067 \, W m^{-2} \tag{3}$$

The computed flux density change, with reversed sign, is termed *radiative forcing* (RF). It is reasonable to view (1) + (2) as a partial global measurement of nighttime, cloudclear CO<sub>2</sub> RF and (3) as an empirically-derived estimation of total nighttime, cloud-clear CO<sub>2</sub> RF added between 2002-2019.

Measurement of the atmospheric  $CO_2$  concentration increase that produced this 114 additional forcing was supplied by the NOAA ESRL's global monitoring division (Dlugokencky 115 & Tans, 2019). The combination of empirical measurement of TOA RF and  $CO_2$  con-116 centration change permits a direct comparison to the climate model predictions of  $CO_2$ -117 induced effective radiative forcing (ERF) taken from the IPCC's Fifth Assessment Re-118 port (Stocker et al., 2013), also known as AR5. ERFs for 2000-2020 (368.9-412.1 ppm 119  $CO_2$ ) were interpolated for 2002-2019 (373.1-410.5 ppm  $CO_2$ ) and compared to AIRS 120 measurements in table 1. 121

AR5 ERFs were computed while holding surface temperature constant, a condition that does not hold for Earth. Therefore, an additional comparison was sought to AIRS measurements of locations where surface/lower tropospheric temperatures did not significantly change. Window wavenumber trends in Figure 5 indicate this is largely true for  $10^{\circ}$ N-40°S and the area-weighted average forcing for this latitude range was included in table 1. In either case, AR5 climate models appear to over-predict CO<sub>2</sub> RF.

**Table 1.** Radiative Forcing from +37 ppm  $CO_2$ 

Source	$-\delta E_{total} \pm 1\sigma \; (\mathrm{Wm}^{-2})$
AIRS 90°N-90°S	$0.358 {\pm} 0.067$
AIRS $10^{\circ}$ N- $40^{\circ}$ S	$0.434{\pm}0.047$
IPCC AR5 ERF	$0.508 {\pm} 0.102$

#### <sup>128</sup> 4 Sources of Error

Radiance and flux density changes in the  $CO_2 v_3$  band (2300-2380 cm<sup>-1</sup>) were not characterized since less than 0.01% of the Earth's infrared radiant exitance occurs in this band.

The AIRS instrument measurement gap at 681.993-687.601 cm<sup>-1</sup> prevents trend quantification in a narrow portion of the  $v_2$  R-branch. Interpolation from adjacent channels yields 0.0038 Wm<sup>-2</sup> of forcing was not measured, causing underestimate of  $\delta E_{total}$ by 1.2%.

The unmeasured 580-650  $\rm cm^{-1}$  wing was assumed to undergo radiance reduction 136 identical to the measured wing, however, the 580-650  $\rm cm^{-1}$  wing overlaps with stronger 137 water vapor absorption lines. The assumption of symmetry is conservatively high and 138 actual OLR reductions at 580-650  $\rm cm^{-1}$  are expected to be lower than at 687-756  $\rm cm^{-1}$ . 139 Trend asymmetry between the two wings was observed in DLR reported by Feldman et 140 al. (2015): the 580-650  $\mathrm{cm}^{-1}$  wing showed less forcing change over time relative to the 141  $687-756 \text{ cm}^{-1}$  wing, particularly in the southern great plains where atmospheric mois-142 ture content is higher. 143

Over 17 years, detector stability is more important than absolute accuracy as un-144 biased noise does not preclude long-term trend analysis. One possible cause of trend bias 145 is gradual accumulation of molecular contaminants on the AIRS detector mirror. A hy-146 pothetical 100Å contamination layer is predicted by H. Aumann et al. (2000) to increase 147 the mirror emissivity variation by 0.001, producing cold scene brightness temperatures 148 at 650-800 cm<sup>-1</sup> that are  $0.1-0.2^{\circ}$  K warmer than reality. If such a contamination layer 149 were gradually building up during the observation period, warming trends could be am-150 plified and cooling trends (including forcing) could be diminished. Evidence of mirror 151 contamination between 2002-2010 has been reported by others (H. H. Aumann et al., 152 2018) to affect AIRS midwave IR channels  $(2181-2665 \text{ cm}^{-1})$  which were not utilized in 153 this study. 154

This analysis assumed isotropic atmospheric emissions despite radiances from scan 155 swath edges measuring significantly warmer or colder than nadir observations. Over time, 156 if proportionally more (or fewer) swath edge measurements meet the quality and cloud-157 clear selection criteria, trend bias will result. As a check, trend fits and integrals were 158 recomputed with a wider and narrower scan angle ranges, including and excluding larger 159 portions of scan swath edges. Fewer total measurements contribute to a restricted scan 160 angle analysis with a commensurate increase in uncertainty. Results in table 2 indicate 161 that including scan swath edges causes overestimation of forcing:  $\delta E_{total}$  produced from 162  $\pm 25^{\circ}$  and  $\pm 49.5^{\circ}$  measurements are 3.8% and 10.4% higher than from  $\pm 12.5^{\circ}$  measure-163 ments, respectively. 164

Scan Angle	Radiances at $650-756 \text{ cm}^{-1}(\text{count})$	$\begin{array}{c} \text{CO}_2 \text{ Forcing} \\ -\delta E_{total} \pm 1\sigma (\text{Wm}^{-2}) \end{array}$
$\pm 49.5^{\circ}$	$25.7 \text{x} 10^9$	$0.381{\pm}0.067$
$\pm 25.0^{\circ}$	$15.5 \mathrm{x} 10^9$	$0.358{\pm}0.067$
$\pm 12.5^{\circ}$	$7.8 x 10^9$	$0.345 {\pm} 0.068$

 Table 2.
 Swath Edge Radiance Impact

#### <sup>165</sup> 5 Conclusion

Seventeen years of AIRS nighttime, cloud-clear OLR measurements reveal  $0.358 \pm 0.067$ 166  $\rm Wm^{-2}$  additional radiative forcing induced by +37 ppm atmospheric CO<sub>2</sub>. Unfortunately, 167 AIRS lacks measurement capability at 580-650 cm<sup>-1</sup> for complete CO<sub>2</sub>  $v_2$  band char-168 acterization, therefore this empirical estimate of increased forcing was devised by pre-169 suming  $CO_2 v_2$  wing symmetry and doubling the observed wing's radiative forcing. The 170 IPCC Fifth Assessment Report predicted  $0.508\pm0.102$  Wm<sup>-2</sup> RF resulting from this CO<sub>2</sub> 171 increase, 42% more forcing than actually observed. The lack of quantitative long-term 172 global OLR studies may be permitting inaccuracies to persist in general circulation model 173 forecasts of the effects of rising  $CO_2$  or other greenhouse gasses. 174

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 github.com/rentcp/Heatwave.

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